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# Introducing the *p*-Laplacian Spectra

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### Abstract

In this work we develop a nonlinear decomposition, associated with nonlinear eigenfunctions of the *p*-Laplacian for  $p \in (1, 2)$ . With this decomposition we can process signals of different degrees of smoothness.

We first analyze solutions of scale spaces, generated by  $\gamma$ -homogeneous operators,  $\gamma \in \mathbb{R}$ . An analytic solution is formulated when the scale space is initialized with a nonlinear eigenfunction of the respective operator. We show that the flow is extinct in finite time for  $\gamma \in [0, 1)$ .

A main innovation in this study is concerned with operators of fractional homogeneity, which require the mathematical framework of fractional calculus. The proposed transform rigorously defines the notions of decomposition, reconstruction, filtering and spectrum. The theory is applied to the *p*-Laplacian operator, where the tools developed in this framework are demonstrated. *Keywords:* Nonlinear spectra, filtering, shape preserving flows, p-Laplacian,

*Neyworas:* Nonlinear spectra, intering, snape preserving nows, p-Laplacian nonlinear eigenfunctions.

#### Acronyms

FTFC Fundamental Theorem of Fractional Calculus. 8, 11, 12

**PET** Positron Emission Tomography. 15, 20

**TV** Total Variation. 2, 8, 12, 19–21

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#### **5** 1. Introduction

Data representation is commonly performed by transforming a signal into a different domain, more convenient for analysis and processing. By the Fourier transform, a signal can be represented as a sum of eigenfunctions of the Laplace operator [1]. Recently, a new data representation with respect to the 1-Laplacian operator was suggested in [2, 3], referred to as the TV-transform. These transforms are based on operators which are particular cases of the p-Laplace operator,

$$\Delta_p(u) = \operatorname{div}\left(\left|\nabla u\right|^{p-2} \nabla u\right), \quad p \in [1, \infty), \tag{1}$$

where u resides in some Hilbert space and  $\nabla$  is the gradient operator. The *p*-Laplace operator is the negative variational derivative of the *p*-Dirichlet energy,

$$J_p(u) = \frac{1}{p} \langle |\nabla u|^p, 1 \rangle.$$
(2)

The Fourier and TV-transforms stem from the gradient descent of the *p*-Dirichlet energy,  $u_t(t) = \Delta_p u(t)$ , with some initial condition. This flow becomes the heat equation for p = 2 or the TV-flow for p = 1 [4]. The data representation, achieved by means of these transforms, are related to the (non)-linear eigenfunctions, defined by

$$\Delta_p(\phi) = \lambda \cdot \phi, \quad \lambda \in \mathbb{R},\tag{3}$$

with the respective value of p. Eq. (3) should be complemented with suitable boundary conditions, yielding different eigenfunctions. In this paper, we assume Neumann boundary conditions. The existence of eigenfunctions in the form of (3) was shown in [5] for  $p \in [1, \infty)$ . Analytic solutions of (3) are known only for p = 1, 2, as far as we know. However, for 1 the eigenfunctions canbe numerically computed, for instance using [6]. Eigenfunctions for differentvalue of <math>p with Neumann boundary conditions are shown in Fig. 1. Note, the eigenfunctions change in a continuous manner from a cosine function (p = 2) to a step function (p=1). There are no general analytic solutions for the nonlinear p-Laplacian flow. We remark that the weak solution of  $u_t(t) = \Delta_p(u(t))$ , when



Figure 1: Examples of eigenfunctions with increasing homogeneity, p. The edges are emphasized when  $p \to 1.$ 

it is initialized with a Dirac measure, is known as the Barenblatt solution [7] as explained in [8]. For example, for  $p = 2, x \in \mathbb{R}^N$  we obtain a Gaussian kernel with variance proportional to t.

The *p*-Laplacian scale space, for  $p \in [1, 2]$ , has increasingly attracted attention in recent years [9, 10, 11, 12, 13, 14, 15, 16, 17]. In the studies [18, 19, 20] by Kuijper, this flow was analyzed in terms of gauge coordinates. Blomgren et al. [21] suggested to design a flow with adaptive *p*, as *p* directly controls edge preservation.

Other than image denoising and analysis, the *p*-Laplacian operator plays a <sup>25</sup> crucial role in semi-supervised learning. A generalizing function in this domain is essential when there is significantly fewer labeled data compered to unlabeled [22, 23]. See recent advances on this topic in [24, 25].

Thus, signal representation based on *p*-Laplacian eigenfunctions for 1 is called for. The suggested nonlinear decomposition, referred to as the<sup>30</sup>*p*-transform, is a generalization of the transforms in [2, 3, 26]. With the*p*transform we can interpolate between different degrees of smoothness and unify $the transform formulation in one expression for <math>p \in (1, 2)$ .

The main contributions of this work are:

1. Analytic solutions for evolutions based on  $\gamma$ -homogeneous operators are

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formulated for a certain class of initial conditions (nonlinear eigenfunctions).

- 2. A signal decomposition related to the eigenfunctions of a  $\gamma$ -homogeneous operator, where  $\gamma \in (0, 1)$ , is formulated.
- 3. This facilitates signal decompositions with different degrees of smoothness.
- 4. The proposed framework rigorously defines novel nonlinear decomposition, reconstruction, spectrum and filtering.

The plan of this paper is as follows. We begin by examining general scale space flows. We put a special emphasize on flows, generated by homogeneous operators (e.g. *p*-Laplace operator). We formulate necessary and sufficient

- <sup>45</sup> conditions for obtaining a solution with separated variables. This allows the formulation of an analytic solution of these flows, initialized with an eigenfunction (Sec. 3). Based on the analytic solution and the finite extinction time of this solution, we propose a transform that represents an eigenfunction as a Dirac delta in the transform domain (Sec. 4). A rigorous signal analysis framework is
- formulated, including spectrum, filtering, and reconstruction. We demonstrate this framework, using the p-Laplacian operator for different values of p (Sec. 5). Conclusions are discussed in Sec. 6. The detailed proofs are provided in Appendices (in order to improve the reading flow). We precede with preliminary definitions and identities.

#### 55 2. Preliminaries

We recall some essential definitions that are used in the paper. Let  $\mathcal{H}$  be an infinite dimensional Hilbert space, which is equipped with an Euclidean inner product,  $\langle \cdot, \cdot \rangle$ , and norm  $\|\cdot\| = \sqrt{\langle \cdot, \cdot \rangle}$ .

**Definition 1** (Monotone operator). Let P be an operator on  $\mathcal{X}$  in some Hilbert space H. Then, P is a monotone operator if the following holds

$$\langle P(u) - P(v), u - v \rangle \ge 0, \quad \forall u, v \in \mathcal{X}.$$
 (4)

Definition 2 (Maximally monotone operator). A monotone operator with setting domain X is maximally monotone if there is no other monotone operator with a setting domain which properly contains X.

**Definition 3** (Kernel). The kernel of the operator P is a set,  $\mathcal{K} \subset \mathcal{X}$ , defined by

$$\mathcal{K} = \{ e \in \mathcal{X} : P(e) = 0 \}.$$
(5)

**Definition 4** (Orthogonal complement of the kernel). The orthogonal complement of the kernel,  $\mathcal{K}$ , of the operator P, is defined by

$$\mathcal{K}^{\perp} = \{ w \in \mathcal{X} : \langle w, e \rangle = 0, \quad \forall e \in \mathcal{K} \}.$$
(6)

**Definition 5** (Kernel orthogonality). We say P is kernel orthogonal if  $Img\{P\} \subseteq \mathcal{K}^{\perp}$ , *i.e.* 

$$\langle P(u), e \rangle = 0, \quad \forall e \in \mathcal{K}, \, \forall u \in \mathcal{X}.$$
 (7)

Definition 6 (Nonlinear flow). We define a nonlinear flow by

$$u_t(t) = P(u(t)), \qquad u(0) = f \in \mathcal{X},$$
 (Flow)

where  $-P(\cdot)$  is a maximally monotone operator, P(0) = 0, and f is the initial condition. The solution of (Flow) exists according to [27]. We assume the solution is unique and differentiable, with respect to  $t \in (0, \tau), \tau \in \mathbb{R}_{>0}$ .

**Definition 7** (Mass preserving flow). *The nonlinear scale space* (**Flow**) *is mass preserving if* 

$$\langle u(t), e \rangle = const, \quad \forall e \in \mathcal{K}, \forall t \in \mathbb{R}.$$

Mass conservation can be achieved if  $Img\{P\} \perp Ker\{P\}$ , i.e. if P meets Definition 5.

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**Definition 8** (Coercive operator). Let P be a  $\gamma$ -homogeneous operator. We say P is a coercive operator if there is a positive constant C that

$$||u||^{\gamma+1} \le C\langle -P(u), u \rangle, \quad \forall u \in K^{\perp}.$$
(8)

**Definition 9** (Homogeneity). We say that P is a  $\gamma$ -homogeneous operator (not necessarily integer) if the following relation holds

$$P(cu) = c|c|^{\gamma-1} \cdot P(u), \quad \forall c \in \mathbb{R}.$$
(9)

In the context of this paper, we formulate the gradient descent of (2) as follows.

**Definition 10** (*p*-Flow). The *p*-flow is the gradient flow with respect to the p-Dirichlet energy, (2),

$$u_t(t) = \Delta_p u(t), \quad u(0) = f \in \mathcal{K}^\perp,$$
 (pFlow)

with homogeneous Neumann boundary conditions, where  $\Delta_p$  is the p-Laplacian operator, Eq. (1).

In this paper we focus on nonlinear flows where P is a homogeneous operator. Note, that the *p*-Laplacian is a p-1 homogeneous operator. The *p*-Laplacian operator is maximally monotone since it is a subgradient of a convex functional. We will often omit the spatial index, x, or the temporal one, t, when the context is clear.

**Definition 11** (Finite extinction time). Let u(t) be a solution of (Flow). If there exists  $T \in \mathbb{R}_{>0}$  such that

$$u_t(t) = 0, \quad \forall t \ge T \tag{10}$$

then we say that (Flow) has a finite extinction time T, where T is the minimal value of t for which (10) holds.

Note, that if the flow is mass preserving and the initial condition f belongs to  $\mathcal{K}^{\perp}$  then (10) implies u(t) = 0 for all t > T.

**Definition 12** (Shape preserving flow). We term a solution, u(t), of (Flow) as a shape preserving flow if it has separated variables, i.e.

$$u(t) = a(t) \cdot f. \tag{SPF}$$

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The spatial shape of u(t), f, is preserved over time whereas only the contrast changes. We term the contrast, a(t), as the *decay profile*.

**Definition 13** (Nonlinear eigenfunction).  $v \in \mathcal{X}$  is a nonlinear eigenfunction of the (nonlinear) operator P if there exists  $\lambda \in \mathbb{R}$  such that

$$P(v) = \lambda \cdot v. \tag{EF}$$

Since -P is assumed to be a maximally monotone operator the eigenvalue is non-positive. This can be easily verified by  $\lambda ||v||^2 = \langle P(v), v \rangle = \langle P(v) - P(0), v - 0 \rangle \le 0$  for any eigenfunction v.

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#### We will often refer to a nonlinear eigenfunction simply as an eigenfunction.

#### Fractional calculus background

There are several definitions of fractional derivatives and integrals [28]. We write below the most established ones, which are used in this paper.

**Definition 14** (Fractional integrals in the Riemman-Liouville sense [29]). Let y be a real function  $y : [a, b] \to \mathbb{R}$  in  $L^1[a, b]$ . The left sided and the right sided fractional integrals of order  $\alpha \in \mathbb{R}_{>0}$  are respectively defined as

$$I_{a^+}^{\alpha}\left\{y\right\}(t) = \frac{1}{\Gamma(\alpha)} \int_a^t (t-\tau)^{\alpha-1} y(\tau) d\tau$$
(11a)

$$I_{b^{-}}^{\alpha} \{y\}(t) = \frac{1}{\Gamma(\alpha)} \int_{t}^{b} (\tau - t)^{\alpha - 1} y(\tau) d\tau,$$
(11b)

where  $\Gamma(\cdot)$  is the extension of the factorial function to the real axis, for a positive integer n we have  $\Gamma(n) = (n-1)!$ .

Explanations on the origins of the left and right handed definitions are given in [30] page 36. The left sided definition is valid for t > a and the right sided for t < b. In principle, these are direct extensions of Cauchy's formula. In this work we mostly use the right sided definition (11b).

**Definition 15** (Fractional derivatives in the Riemman-Liouville sense [29]). Let y be a real function  $y : [a, b] \to \mathbb{R}$  in  $L^1[a, b]$ . The right handed fractional derivative of order  $\alpha \in \mathbb{R}_{\geq 0}$  of y is

$$D_{b^{-}}^{\alpha}\left\{y\right\}(t) = (-1)^{\left\lceil\alpha\right\rceil} \frac{d^{\left\lceil\alpha\right\rceil}}{dt^{\left\lceil\alpha\right\rceil}} \left\{I_{b^{-}}^{\left\lceil\alpha\right\rceil-\alpha}\left\{y(t)\right\}\right\},\tag{12}$$

where  $\lceil \alpha \rceil$  is the least integer greater than  $\alpha$ , and  $I_{b^-}\{y(t)\}$  is defined in (11b).

**Definition 16** (Space  $I_{b^-}^{\alpha}(L^p)$  [29]). The space  $I_{b^-}^{\alpha}(L^p)$  is defined, for any  $\alpha \in \mathbb{R}_{>0}$  and  $p \ge 1$ , by

$$I_{b^{-}}^{\alpha}(L^{p}) := \{y(t) : y(t) = I_{b^{-}}^{\alpha}\{x(t)\}, x(t) \in L^{p}(a,b)\}.$$

The theoretical basis of the FTFC [29] can be found in [31] Lemmas 2.4-2.7 and in [32] Theorem 2.4.

**Definition 17** (Fundamental Theorem of Fractional Calculus). If  $y(t) \in I_{b^-}^{\alpha}(L^1)$ then

$$I_{b^{-}}^{\alpha} \left\{ D_{b^{-}}^{\alpha} \left\{ y \right\} \right\} (t) = y(t).$$
(13)

The aforementioned definitions hold for  $\alpha \in \mathbb{C}$  with a positive real part. However, in our work  $\alpha$  is limited to the real numbers.

**Assumption 1.** In this paper we analyze the scale space, Eq. (Flow), where P is a  $\gamma$ -homogeneous operator and admits the Definitions 7 and 8.

If P is a subgradient of a convex homogeneous functional part of the Assumption 1 can be proven [33]. We note that subgradients admit mass preservation. Coercivity of the operator implies coercivity of the functional. For functionals

which are norms, coercivity is given, in finite dimensions, due to norm equivalence.

#### 3. Towards the *p*-transform - shape preserving flows

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The TV-transform [2, 3] is motivated by the analytic solution of (**pFlow**), for p = 1, initialized with a nonlinear eigenfunction (**EF**). The analytic solution is

$$u(x,t) = (1+\lambda \cdot t)^+ \cdot f(x), \qquad (14)$$

where  $q^+ = \max\{0, q\}$  [34]. We refer to three attributes of this solution as the theoretical basis of the TV-transform: the solution is in the form of (**SPF**), its decay profile is linear, and it extincts in finite time.

These attributes are studied here for a homogeneous operator. In general, the necessary condition of the solution to be in the form of (SPF) is that the

initial condition f is an eigenfunction. The following theorem asserts that if P is a homogeneous operator this condition is also sufficient.

#### <sup>115</sup> Shape preserving flows for homogeneous operators

**Theorem 1.** Let the solution of (Flow) exist and P be a  $\gamma$ -homogeneous operator. The solution is shape preserving iff the initial condition u(t = 0) = f is an eigenfunction with eigenvalue  $\lambda$ , i.e. admits (EF). In that case, the decay profile is

$$a(t) = \left[ ((1 - \gamma)\lambda t + 1)^+ \right]^{\frac{1}{1 - \gamma}}.$$
 (15)

The corresponding proof can be found in Appendix A. Applying this theorem to the *p*-Laplacian operator, we can conclude the following.

**Corollary 1.** If  $(\mathbf{pFlow})$  is initiated with an eigenfunction, f, then

$$u(t) = a(t) \cdot f = \left[ \left( (2-p)\lambda t + 1 \right)^+ \right]^{\frac{1}{2-p}} \cdot f.$$
 (16)

It is simply shown by setting  $\gamma = p - 1$  in Theorem 1. In addition, for  $1 \le p < 2$  and  $\lambda < 0$  the *p*-flow has finite extinction time T

$$T = \frac{1}{(p-2)\lambda}.$$
(17)

For p > 2 the *p*-flow does not vanish. For  $p \to 2$  the solution (16) becomes

$$u(t) = e^{\lambda t} f.$$

This can be shown by using the limit  $(1 + \frac{1}{n})^n \xrightarrow[n \to \infty]{} e$ . This result is expected from the heat equation. Eq. (16) coincides with (14) for p = 1.

#### 120 Extinction time for arbitrary initial conditions.

**Proposition 1** (Finite extinction for a coercive  $\gamma$ -homogeneous operator). Let P a  $\gamma$ -homogeneous and coercive operator in the sense of (8). Let u(t) be the solution of mass preserving flow (Flow) where  $f \in \mathcal{K}^{\perp}$ . Then there exists C > 0 such that  $u(t) = 0 \ \forall t \geq T = \frac{\|f\|^{1-\gamma}}{(1-\gamma)C} > 0$ .

The corresponding proof can be found in [33] (Theorem 2.13).

#### 4. The *p*-Framework

We now formulate a framework, which includes decomposition (transform), reconstruction (inverse-transform), spectrum, and filtering. In this section, we limit ourselves to a finite dimensional Hilbert space, i.e.  $f \in \mathcal{X} \subset \mathcal{H}$  where  $\mathcal{H}$ is a finite dimensional Hilbert space. Moreover, we limit ourselves to the flow and the operator P that admit the conditions of Proposition 1. Note that the scale (time) parameter is continuous. We first define the fundamentals of this transform, then we discuss its attributes.

#### 4.1. Definitions

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Let us denote

$$\beta = \frac{1}{1 - \gamma}.\tag{18}$$

**Definition 18** (*p*-Transform). The *p*-transform is defined by,

$$\phi(x,t) = \frac{t^{\beta}}{\Gamma(\beta+1)} D_{b^{-}}^{\beta+1} \{ u(x,t) \},$$
(19)

where u(t) is the solution of (Flow), generated by  $\gamma$ -homogeneous operator, where  $\gamma \in (0,1)$ ,  $\beta$  is defined in (18) and  $D_{b^{-}}^{\beta+1}$  is defined in (12) and is with respect to t. In addition, b is greater than the extinction time of u(t).

**Definition 19** (Inverse *p*-transform). The inverse *p*-transform is defined by

$$\hat{f}(x) = \int_0^\infty \phi(x, t) dt.$$
(20)

**Definition 20** (Filtering). Let h(t) be a real function (a filter). The filtering of f by the filter h(t) is

$$f_h(x) = \int_0^\infty \phi(x,t) \cdot h(t) dt.$$
(21)

The filtering in the transform domain, t, is a simple amplification (or attenuation) of  $\phi(x, t)$  at every scale t.

**Definition 21** (p-Spectrum). The spectrum of f at any scale t is defined by,

$$S(t) = \langle f, \phi(t) \rangle. \tag{22}$$

#### 140 4.2. Attributes

4.2.1. The "frequency" representation of an eigenfunction

**Theorem 2** (The *p*-transform of an eigenfunction). The *p*-transform, (19), of an eigenfunction f with eigenvalue  $\lambda$  is:

$$\phi(x,t) = f(x) \cdot t^{\beta} \left[ (\gamma - 1)\lambda \right]^{\beta + 1} \cdot \delta(1 - (\gamma - 1)\lambda \cdot t),$$
(23)

where  $\delta(\cdot)$  is the Dirac delta function.

The proof can be found in Appendix B.

#### 4.2.2. Reconstruction

Proposition 2 (Reconstruction). Let u(t) be the solution of (Flow) and belong to  $I_{b^-}^{\beta+1}(L^1)$ . Then f = u(0) can be reconstructed by the inverse transform, Def. 19,  $f = \hat{f}$ .

*Proof.* Let us examine expression (20). From the finite extinction, there exists T > 0 which admits (10). Moreover, the solution is zero from that time as  $f \in \mathcal{K}^{\perp}$ . Then,  $\phi(t)$  has a finite support in time. Reminding b > T, we can rewrite (20) as

$$\hat{f} = \int_{0}^{\infty} \phi(\tau) d\tau = \int_{0}^{b^{-}} \frac{\tau^{\beta}}{\Gamma(\beta+1)} D_{b^{-}}^{\beta+1} \{u\}(\tau) d\tau$$
$$\underbrace{=}_{\text{Eq. (11b)}} I_{b^{-}}^{\beta+1} \left\{ D_{b^{-}}^{\beta+1} \{u\} \right\}(t) \Big|_{t=0} \underbrace{=}_{\text{Eq. (13)}} u(0) = f.$$

**Remark 1.** The assumption on the solution, u, might be relaxed by assuming that  $u(t) \in L^1(0, b)$  and using the relevant formulation of the Fundamental Theorem of Fractional Calculus (Theorem 2 in [29]).

#### 4.2.3. Filtering

As shown in (17), the extinction time is inverse proportional to the absolute eigenvalue for all  $\gamma \in [0, 1)$ . It is well known that in linear decomposition (such as the Fourier transform) every eigenfunction is represented as a delta function in the transform domain. We follow the definitions of ideal filters in TV-spectral decomposition (see [3]) and formulate an ideal low pass filter with a cutoff at  $t_1$  by

$$h_{LPF,t_1}(t) = \begin{cases} 0 & t < t_1 \\ 1 & t \ge t_1 \end{cases}.$$
 (24)

The ideal high pass, band pass, and band stop filters can be defined in a similar manner (see [3]). Another special case of low pass filter (not ideal) is

$$h(t) = \begin{cases} 0 & t < t_1 \\ \left[\frac{t-t_1}{t}\right]^{\beta} & t \ge t_1 \end{cases}$$
(25)

Substituting (25) and (19) in (21) yields the FTFC, Eq. (13), at  $t = t_1$ , which immediately gives us

$$f_h(x) = \int_0^\infty \phi(x, t)h(t)dt = u(x, t_1),$$
(26)

where  $u(x, t_1)$  is the solution of (**Flow**) at time  $t_1$ . Thus, the scale space can be interpreted as a specific type of LPF.

#### 155 4.2.4. Parseval-type identity

Based on Def. 21, the following Parseval-type identity holds,

$$\int_0^\infty S(t)dt = \int_0^\infty \langle f, \phi(t) \rangle dt = \langle f, \int_0^\infty \phi(t)dt \rangle = \langle f, f \rangle = \|f\|^2.$$

Relation to the TV-transform. The methodology we use to formulate the *p*-transform is inspired by the TV-transform [2, 3] and by its extension to one-homogeneous functional decomposition [26, 35, 36]. It was found useful in several image-processing applications, e.g. for denoising [37], segmentation [38] and

<sup>160</sup> image fusion [39]. The regularity properties of the time derivative for spectral-TV are addressed in [35]. It can be seen that the *p*-transform is a generalization of the previous studies and the TV-transform is obtained by assigning  $\gamma = 0$  $(\beta = 1)$ .



Figure 2: Decay profile of an eigenfunction. On the left, eigenfunctions of the *p*-Laplacian are shown for p = 1.5 in one and two dimensions. On the right, the experimental and theoretical values of  $u(t, x_0)$  vs. time are shown.

#### 5. Experiments

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In this section we numerically illustrate the theory presented above. We choose the operator P to be the p-Laplacian operator where  $p \in (1, 2)$ . We assume finite dimensions and Neumann boundary conditions. This setting admits Assumption 1 and therefore all Theorems and Propositions shown earlier are valid.

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We use the implementation of the gradient and divergence operator as defined by Chambolle in [40]. We implement our transform in Matlab and some of our experiments can be found <u>here</u> (https://github.com/IdoCohen5743/pLaplaceFramework.git).

The aims of the following experiments are:

1. To validate Theorem 1 by examining the decay profile of the p-flow ini-

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tiated with an eigenfunction (Fig. 2).



Figure 3: *p*-Spectrum of a two dimensional eigenfunction. In the first row we show two eigenfunctions of the *p*-Laplace operator for different values of *p*. In the second row we show the absolute value of their *p*-spectra (Eq. (22)). The extinction time of the *p*-flow is T = 26.9 when p = 1.3 and T = 74.3 when p = 1.5. The delta functions are obtained at the expected time according to Eq. (17).

- 2. To illustrate Theorem 2 by applying the *p*-transform on a single eigenfunction (Fig. 3).
- 3. To numerically demonstrate the framework of the *p*-transform by filtering and decomposing images with different values of p (Figs. 4, 7, 9, 8, 10).
- The *p*-flow was implemented by an explicit scheme [41] with a fixed time step  $(dt = 10^{-4})$ . We used the fractional derivative implementation of Euler-Grünwald-Letnikov type, as shown in [42]. The eigenfunctions were generated numerically by the algorithm of [6].

**Decay profile (Theorem 1).** The analytic solution of the decay profile, <sup>185</sup> Eq. (16), was numerically validated. The analytic solution was computed for a certain spatial coordinate,  $x_0$ . We show the solutions for  $x_0 = 0$  (in 1D),  $x_0 = (0,0)$  (in 2D). A similar behaviour was exhibited for all other points (Fig.



Figure 4: **Filtering.** Fig. 4a is noise image uniformly distributed noise between [0, 1]. Fig. 4b a *p*-Laplacian eigenfunction. Fig. 4c is the initial condition in Eq. (**pFlow**). Fig. 4d is the spectrum of the initial condition where the filtered parts, blue and red, are Figs. 4e and 4f respectively.



Figure 5: A zebra Figure 6: A PET image.



(a) The p-spectrum S vs. time



Figure 7: Zebra image decomposition with p = 1.01

2). It can be observed that the analytic solution and the experimental one well agree. In addition, the extinction time was accurately predicted (Eq. (17)).

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Spectral behaviour of an eigenfunction (Theorem 2). Here we illustrate the *p*-spectrum of eigenfunctions with different values of p (Fig. 3). On the top two eigenfunctions are shown (p = 1.3, left, and p = 1.5, right) for which the *p*-transform is applied to. On the bottom row the magnitude of the spectrum (Def. 21) is presented. One can observe the spectrum has a single dominant scale *t*. Thus, the transform approaches a numerical delta, as predicted by Theorem 2. The theoretical extinction time, Eq. (17), of the eigenfunctions is 26.913 and 74.298 for p = 1.3 and p = 1.5, respectively. The experimental results show high spectral density around 26.896 and 74.151, which agrees well with the theory.

Filtering. Filtering of noise is demonstrated in Fig. 4. Ideal LPF (Eq. (24)),  $t_1 = 40$ , is applied to an image containing an eigenfunction with additive



(a) The p-spectrum S vs. time



(b) 0% - 1.5% (c) 1.5% - 7.5% (d) 7.5% - 20% (e) 20% - 100%

Figure 8: Zebra Decomposition with p = 1.5



(a) The  $p\operatorname{-spectrum}\,S$ 



Figure 9: A PET image decomposition with p=1.01



(a) The p-spectrum S vs. time



Figure 10: A PET image decomposition p=1.5



(a) The zero homogeneous spectrum S "Normalized" vs. time



(b) 0% - 5.89% (c) 5.89% - 20.32% (d) 20.32% - 44.16% (e) 44.16% - 100%

Figure 11: A PET image decomposition p=1.5 with normalized Laplacian operator



(a) The TV-spectrum vs. time



Figure 12: Zebra image TV-decomposition

white uniform noise. As can be viewed in the spectral plot, bottom left, the noise corresponds to low values of t (high eigenvalues - blue part) and the spectral part corresponding to the eigenfunction is concentrated at higher scales t (red part). Note, that the peak of the spectrum at high scale (corresponding to the eigenfunction) appears approximately at the same scale as the clean eigenfunction (Fig 3b).

*p*-Decomposition. One of the benefits of our framework is the ability to decompose a signal into components with different degree of smoothness, depending on the value of *p*. In this context, a decomposition is a partition of the spectrum into non-overlapping intervals such that the sum of all parts covers the entire spectrum. This is, the sum of all parts is the original image. Here, we decompose two images (Figs. 5 and 6) by the *p*-transform with two values of p (p = 1.01, 1.5). We decompose the spectrum into four parts. As most of the details are concentrated at lower scales *t*, the filters' width is growing with scale. We arbitrarily chose the filters cut offs at 1.5%, 7.5%, 20%, where 100% is the width of the entire spectrum. The *p*-decompositions of a zebra image (Fig. 5) with p = 1.01 and p = 1.5 are shown in Figs. 7 and 8, respectively. The *p*-decompositions of a PET image (Fig. 6) with p = 1.01 and p = 1.5 are shown in Figs. 9 and 10, respectively.

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It can be observed that low scale t is related to fine details in the image when p = 1.01 and also to edges when p = 1.5; high scale t is related to the coarser parts of the image. Whereas the p-decomposition with p = 1.5 resembles linear diffusion, the decomposition with p = 1.01 can be seen as an approximation of the TV-transform.

**Comparison to TV.** As it well studied, the TV-flow is implemented with the dual problem (see [40]), which is computationally expensive. However, the *p*-flow can be evaluate explicitly [41]. We can approximate the TV-transform with the *p*-transform when *p* is close to one. Theoretically, there is no constrain on the step size of the TV-flow in a semi-implicit setting. However, to compare between the image spectrum of an image the step size should be similar. The TV-spectrum, shown in Fig. 12a, is characterized with group of peaks which are similar to the decomposition with p = 1.01 Figs. 7a and 9a.

**Normalized flow.** The last issue we would like to examine is a comparison between the *p*-transform and the one-homogeneous setting from [26]. More specifically, we examine the following *normalized flow* 

$$u_t(t) = \Delta_p u(t) / \|\nabla u(t)\|_p^{p-1}.$$

This is a flow based on a zero-homogeneous operator, stemming from an absolutely one-homogeneous functional. Theoretically, the *p*-Laplacian flow and the normalized flow are shape preserving flows for the same class of eigenfunctions. The difference is in the decay profiles, where the normalized flow decays linearly. We apply a decomposition with the normalized flow for p = 1.5. In order to compare between the normalized flow and the original one we should rescale the time axes. The normalized *p*-decomposition (Fig. 11) and the decomposition with p = 1.5 (Fig. 10) are very similar but not identical. It is a still an open problem whether it is only a numerical issue. Although this operator is one homogeneous, this decomposition is not similar to TV decomposition. This kind of decomposition should be further investigated. We know an eigenfunction de-

cays linearly as expected. We would like to thank the anonymous reviewer for

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raising this issue.

## 6. Conclusion

The Fourier transform is highly instrumental in processing smooth, bandlimited signals. However, filtering signals with inherent discontinuities is known to produce artifacts. The TV-transform is well adapted for such signals. This work aims to bridge the gap between these two type of transforms, allowing partially smooth signals to be well represented and processed.

Definitions for decomposition, reconstruction, filtering, and spectrum are proposed within a rigorous mathematical framework. In contrast to [43] where the decay profile might be similar to those we study, our decompositions are associated with a much broader class of eigenfunctions, not based only on zerohomogeneous operators. This framework is valid for any functional of homogeneity in the range of (1, 2). Thus, it generalizes the one-homogeneous spectral representation of [26].

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#### Appendix A. A proof of Theorem 1

*Proof.*  $\Leftarrow$  (We assume the solution is shape preserving and prove f is an eigenfunction)

If the solution is shape preserving, since a(t = 0) = 1, we can write

$$u_t(t=0) = P(a(t=0) \cdot f) = P(f).$$

On the other hand

$$u_t(t=0) = a'(t=0)f.$$

By comparing these expressions, we get that f is an eigenfunction with the eigenvalue  $\lambda = a'(t = 0)$ .

 $\Rightarrow$  (We assume f is an eigenfunction and we prove the solution is shape preserving)

Let us examine the flow u(t) = a(t)f, where a(t) is defined by the following ODE,

$$a'(t) = a(t)|a(t)|^{\gamma-1}\lambda, \quad a(0) = 1.$$

When f is an eigenfunction with eigenvalue  $\lambda$ , one can observe this flow solves (Flow). Existence and uniqueness yield this is the only solution. Eq. (15) is the solution for the above ODE.

### Appendix B. A proof of Theorem 2

*Proof.* Let us discuss the monomial  $y(x) = x^{\beta}$  where  $x \in [0, 1]$  and 0 else. Based on Euler [28, 44], we can assert (see proof in Appendix C)

$$\mathcal{I}_{0^{+}}^{\lceil \beta \rceil - \beta} \left\{ x^{\beta} \right\} = \frac{\Gamma(\beta + 1)}{\Gamma(\lceil \beta \rceil + 1)} x^{\lceil \beta \rceil}.$$
 (B.1)

On the other hand, we can say

$$\begin{split} \frac{\Gamma(\beta+1)}{\Gamma(\lceil\beta\rceil+1)} x^{\lceil\beta\rceil} &= \frac{1}{\Gamma(\lceil\beta\rceil-\beta)} \int_{0}^{x} \tau^{\beta} (x-\tau)^{\lceil\beta\rceil-\beta-1} d\tau \\ &= \underbrace{\sum_{\tau=1-(\gamma-1)\lambda \cdot s} \frac{1}{\Gamma(\lceil\beta\rceil-\beta)} \int_{\frac{1}{(\gamma-1)\lambda}}^{\frac{1-x}{(\gamma-1)\lambda}} \left[1-(\gamma-1)\lambda \cdot s\right]^{\beta} \\ &\quad \left\{x-[1-(\gamma-1)\lambda \cdot s]\right\}^{\lceil\beta\rceil-\beta-1} \cdot \left[-(\gamma-1)\lambda\right] \cdot ds \\ &= \frac{(\gamma-1)\lambda}{\Gamma(\lceil\beta\rceil-\beta)} \int_{\frac{1-x}{(\gamma-1)\lambda}}^{\frac{1-x}{(\gamma-1)\lambda}} \left(1-(\gamma-1)\lambda \cdot s\right)^{\beta} \\ &\qquad \left[(\gamma-1)\lambda \left(\frac{x-1}{(\gamma-1)\lambda}+s\right)\right]^{\lceil\beta\rceil-\beta-1} ds \\ &= \frac{\left[(\gamma-1)\lambda\right]^{\lceil\beta\rceil-\beta}}{\Gamma(\lceil\beta\rceil-\beta)} \int_{\frac{1-x}{(\gamma-1)\lambda}}^{\frac{1-x}{(\gamma-1)\lambda}} \left(1-(\gamma-1)\lambda \cdot s\right)^{\beta} \left[\frac{x-1}{(\gamma-1)\lambda}+s\right]^{\lceil\beta\rceil-\beta-1} ds. \end{split}$$
(B.2)

Let us denote  $t = \frac{1-x}{(\gamma-1)\lambda}$  and we have

$$\begin{split} \frac{\Gamma(\beta+1)}{\Gamma(\lceil\beta\rceil+1)} \left\{ \left[1+(1-\gamma)\lambda t\right]^{+} \right\}^{\lceil\beta\rceil} &= \\ &= \frac{\left[(\gamma-1)\lambda\right]^{\lceil\beta\rceil-\beta}}{\Gamma(\lceil\beta\rceil-\beta)} \int_{t}^{\frac{1}{(\gamma-1)\lambda}} \left[1-(\gamma-1)\lambda \cdot s\right]^{\beta} \left[s-t\right]^{\lceil\beta\rceil-\beta-1} ds \quad (B.3) \\ &= \left[(\gamma-1)\lambda\right]^{\lceil\beta\rceil-\beta} I_{\frac{1}{(\gamma-1)\lambda}}^{\lceil\beta\rceil-\beta} \left\{ \left[\left(1+(1-\gamma)\lambda \cdot t\right)^{+}\right]^{\beta} \right\}. \end{split}$$

Then

$$\frac{\Gamma(\beta+1)}{\Gamma(\lceil\beta\rceil+1)} \left[ \left(1+(1-\gamma)\lambda t\right)^+ \right]^{\lceil\beta\rceil} = \left[ (\gamma-1)\lambda \right]^{\lceil\beta\rceil-\beta} I_{\frac{1}{(\gamma-1)\lambda}}^{\lceil\beta\rceil-\beta} \left\{ \left[ \left(1+(1-\gamma)\lambda \cdot t\right)^+ \right]^\beta \right\}$$
(B.4)

Now, we apply the operator  $d^{\lceil\beta\rceil+1}/dt^{\lceil\beta\rceil+1}$ . For convenience we separate the discussion to the right and the left hand side of (B.4). Applying the derivative operator on the right hand side gives:

$$\frac{d^{\lceil \beta \rceil + 1}}{dt^{\lceil \beta \rceil + 1}} \left\{ \frac{\Gamma(\beta + 1)}{\Gamma(\lceil \beta \rceil + 1)} \left[ (1 + (1 - \gamma)\lambda t)^+ \right]^{\lceil \beta \rceil} \right\} =$$
$$= \Gamma(\beta + 1) \left[ (1 - \gamma)\lambda \right]^{\lceil \beta \rceil + 1} \delta(1 + (1 - \gamma)\lambda t).$$

Applying the derivative operator on the right hand side and using (12) gives:

$$\begin{split} & \frac{d^{\lceil\beta\rceil+1}}{dt^{\lceil\beta\rceil+1}} \left\{ \left[ (\gamma-1)\lambda \right]^{\lceil\beta\rceil-\beta} I_{\frac{1}{(\gamma-1)\lambda}^{-}}^{\lceil\beta\rceil-\beta} \left\{ \left[ (1+(1-\gamma)\lambda t)^{+} \right]^{\beta} \right\} \right\} = \\ & = \left[ (\gamma-1)\lambda \right]^{\lceil\beta\rceil-\beta} (-1)^{\lceil\beta\rceil+1} D_{\frac{1}{(\gamma-1)\lambda}^{-}}^{\beta+1} \left\{ \left[ (1+(1-\gamma)\lambda \cdot t)^{+} \right]^{\beta} \right\}. \end{split}$$

Comparing these expressions yields

$$D^{\beta+1}_{\frac{1}{(\gamma-1)\lambda}-}\left\{\left[\left(1+(1-\gamma)\lambda\cdot t\right)^{+}\right]^{\beta}\right\}=\Gamma(\beta+1)\left[(\gamma-1)\lambda\right]^{\beta+1}\delta(1+(1-\gamma)\lambda t).$$

Substituting it in (19) we reach (23).

Note, we could choose any value greater than  $\frac{1}{(\gamma-1)\lambda}$  (the extinction time of 270 the flow) since  $u(t) = 0, \forall t > T$ .

## Appendix C. Fractional integration of a monomial function

Let the function f be

$$f(x) = \begin{cases} x^{\beta} & x \in [0, 1] \\ 0 & else \end{cases}$$

where  $\beta \in \mathbb{R}_{>0}$ . The  $\lceil \beta \rceil - \beta$  order fractional integral of f is

$$I_{0^+}^{\lceil\beta\rceil-\beta}f(x) = \begin{cases} 0 & x < 0\\ \frac{1}{\Gamma(\lceil\beta\rceil-\beta)} \int_0^x (x-\tau)^{\lceil\beta\rceil-\beta-1} \tau^\beta d\tau & x \in [0,1]\\ \frac{1}{\Gamma(\lceil\beta\rceil-\beta)} \int_0^1 (x-\tau)^{\lceil\beta\rceil-\beta-1} \tau^\beta d\tau & x > 1 \end{cases}$$

Let us simplify the following expression

$$\begin{split} \int_0^x (x-\tau)^{\lceil\beta\rceil-\beta-1} \tau^\beta d\tau =& x^{\lceil\beta\rceil-\beta-1} \int_0^x \left(1-\frac{\tau}{x}\right)^{\lceil\beta\rceil-\beta-1} \tau^\beta d\tau \\ =& x^{\lceil\beta\rceil-1} \int_0^x \left(1-\frac{\tau}{x}\right)^{\lceil\beta\rceil-\beta-1} \left(\frac{\tau}{x}\right)^\beta d\tau \\ \underbrace{=}_{s=\frac{\tau}{x}} x^{\lceil\beta\rceil} \underbrace{\int_0^1 (1-s)^{\lceil\beta\rceil-\beta-1} s^\beta ds}_{\text{beta function}} \\ =& x^{\lceil\beta\rceil} \frac{\Gamma(\lceil\beta\rceil-\beta)\Gamma(\beta+1)}{\Gamma(\lceil\beta\rceil+1)}. \end{split}$$

And we get

$$I_{0^+}^{\lceil\beta\rceil-\beta}f(x) = \begin{cases} 0 & x < 0\\ \frac{\Gamma(\beta+1)}{\Gamma(\lceil\beta\rceil+1)}x^{\lceil\beta\rceil} & x \in [0,1]\\ \frac{\Gamma(\beta+1)}{\Gamma(\lceil\beta\rceil+1)} & x > 1 \end{cases}$$

We recall here the Euler generalization of integer derivative of monomial [28, 44]

$$D^{m} \{x^{n}\} = \frac{\Gamma(n+1)}{\Gamma(n-m+1)} x^{n-m}.$$
 (C.1)

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