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► **To cite this version:**

Giovanni S. Alberti, Yves Capdeboscq. Elliptic regularity theory applied to time harmonic anisotropic Maxwell's equations with less than Lipschitz complex coefficients. *SIAM Journal on Mathematical Analysis*, 2014, 46 (1), pp.998–1016. 10.1137/130929539 . hal-02083830

HAL Id: hal-02083830

<https://hal.science/hal-02083830>

Submitted on 10 Feb 2021

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ELLIPTIC REGULARITY THEORY APPLIED TO TIME HARMONIC ANISOTROPIC MAXWELL'S EQUATIONS WITH LESS THAN LIPSCHITZ COMPLEX COEFFICIENTS*

GIOVANNI S. ALBERTI[†] AND YVES CAPDEBOSQ[†]

Abstract. The focus of this paper is the study of the regularity properties of the time harmonic Maxwell's equations with anisotropic complex coefficients, in a bounded domain with $C^{1,1}$ boundary. We assume that at least one of the material parameters is $W^{1,p}$ for some $p > 3$. Using regularity theory for second order elliptic partial differential equations, we derive $W^{1,p}$ estimates and Hölder estimates for electric and magnetic fields up to the boundary, together with their higher regularity counterparts. We also derive interior estimates in bianisotropic media.

Key words. Maxwell's equations, Hölder estimates, L^p regularity, anisotropic media, bianisotropic media

AMS subject classifications. 35Q61, 35J57, 35B65, 35Q60

DOI. 10.1137/130929539

1. Introduction. Let $\Omega \subseteq \mathbb{R}^3$ be a bounded and connected open set in \mathbb{R}^3 , with $C^{1,1}$ boundary. Let $\varepsilon, \mu \in L^\infty(\Omega; \mathbb{C}^{3 \times 3})$ be two bounded complex matrix-valued functions with uniformly positive definite real parts and symmetric imaginary parts. In other words, there exists a constant $\Lambda > 0$ such that for any $\lambda \in \mathbb{C}^3$ there holds

$$(1) \quad 2\Lambda |\lambda|^2 \leq \bar{\lambda} \cdot (\varepsilon + \bar{\varepsilon}^T) \lambda, \quad 2\Lambda |\lambda|^2 \leq \bar{\lambda} \cdot (\mu + \bar{\mu}^T) \lambda, \quad \text{and} \quad |\mu| + |\varepsilon| \leq \Lambda^{-1} \text{ a.e. in } \Omega,$$

where a^T is the transpose of a , $\bar{a} = \Re(a) - \mathbf{i}\Im(a)$, where $\mathbf{i}^2 = -1$, and $|x| = \text{Trace}(\bar{x}^T x)$ is the Euclidean norm. The 3×3 matrix ε represents the complex electric permittivity of the medium Ω : its real part is the physical electric permittivity, whereas its imaginary part is proportional to the electric conductivity, by Ohm's law. The 3×3 matrix μ stands for the complex magnetic permeability; the imaginary part may model magnetic dissipation or lag time.

For a given frequency $\omega \in \mathbb{C} \setminus \{0\}$ and current sources J_e and J_m in $L^2(\Omega; \mathbb{C}^3)$ we are interested in the regularity of the time-harmonic electromagnetic fields E and H , that is, the weak solutions E and H in $H(\text{curl}, \Omega)$ of the time-harmonic anisotropic Maxwell's equations

$$(2) \quad \begin{cases} \text{curl}H = \mathbf{i}\omega\varepsilon E + J_e & \text{in } \Omega, \\ \text{curl}E = -\mathbf{i}\omega\mu H + J_m & \text{in } \Omega, \\ E \times \nu = G \times \nu & \text{on } \partial\Omega. \end{cases}$$

The boundary constraint is meant in the sense of traces, with $G \in H(\text{curl}, \Omega)$. Our focus is the dependence of the regularity of E and H on the coefficients ε and μ , the current sources J_e and J_m , and the boundary condition G . The precise dependence on the regularity of the boundary of Ω is beyond the scope of this work. We refer

*Received by the editors July 18, 2013; accepted for publication (in revised form) December 27, 2013; published electronically February 20, 2014. The authors were supported by the EPSRC Science & Innovation Award to the Oxford Centre for Nonlinear PDE (EP/EO35027/1).

<http://www.siam.org/journals/sima/46-1/92953.html>

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the reader to [1, 9, 5] where domains with rougher boundaries are considered. For $N \in \mathbb{N}^*$ and $p > 1$ we denote by $W^{N,p}(\text{curl}, \Omega)$ and $W^{N,p}(\text{div}, \Omega)$ the Banach spaces

$$W^{N,p}(\text{curl}, \Omega) = \{v \in W^{N-1,p}(\Omega; \mathbb{C}^3) : \text{curl} v \in W^{N-1,p}(\Omega; \mathbb{C}^3)\},$$

$$W^{N,p}(\text{div}, \Omega) = \{v \in W^{N-1,p}(\Omega; \mathbb{C}^3) : \text{div} v \in W^{N-1,p}(\Omega; \mathbb{C})\},$$

equipped with canonical norms. The space $W^{1,2}(\text{curl}, \Omega)$ is the space $H(\text{curl}, \Omega)$ mentioned above, whereas $W^{1,2}(\text{div}, \Omega)$ is commonly denoted by $H(\text{div}, \Omega)$. Throughout this paper, $H^1(\Omega) = W^{1,2}(\Omega; \mathbb{C}^3)$ and $L^2(\Omega) = L^2(\Omega; \mathbb{C}^3)$.

It is very well known that when the domain is a cylinder $\Omega' \times (0, L)$, the electric field E has only one component, $E = (0, 0, u)^T$, the physical parameters are real, scalar, and do not depend on the third variable, then u satisfies a second order elliptic equation in the first two variables

$$\text{div}(\mu^{-1} \nabla u) + \omega^2 \varepsilon u = 0 \text{ in } \Omega'.$$

In such a case, the regularity of u follows from classical elliptic regularity theory. In particular, u is Hölder continuous due to the De Giorgi–Nash theorem (at least in the interior). The regularity of E and H is less clear when the material parameters are anisotropic and/or complex valued. For general nondiagonal elliptic systems with nonregular coefficients, Müller and Šverák [19] have shown that the solutions may not be in $W^{1,2+\delta}$ for any $\delta > 0$. Assuming that the coefficients are real, anisotropic, suitably smooth matrices, Leis [16] established well-posedness in $H^1(\Omega)$. The regularity of the coefficients was reduced to globally Lipschitz in Weber [23], for a C^2 smooth boundary, and C^1 for a $C^{1,1}$ domain in Costabel [10].

As far as the authors are aware, neither the H^1 nor the Hölder regularity of the electric and magnetic fields for complex anisotropic less than Lipschitz media have been addressed so far. Anisotropic dielectric parameters have received a renewed attention in the last decades. They appear for example in the mathematical theory of liquid crystals, in optically chiral media, and in metamaterials. In this work we show that the theory of elliptic boundary value problems can be used to study the general case of complex anisotropic coefficients.

Our first result addresses the $H^1(\Omega)$ regularity of E .

THEOREM 1. *Assume that (1) holds, and that ε also satisfies*

$$(3) \quad \varepsilon \in W^{1,3+\delta}(\Omega; \mathbb{C}^{3 \times 3}) \text{ for some } \delta > 0.$$

Suppose that the source terms J_m, J_e , and G satisfy

$$(4) \quad J_m \in L^p(\Omega; \mathbb{C}^3), J_e \in W^{1,p}(\text{div}, \Omega), \text{ and } G \in W^{1,p}(\Omega; \mathbb{C}^3)$$

for some $p \geq 2$. If $E, H \in H(\text{curl}, \Omega)$ are weak solutions of (2), then $E \in H^1(\Omega)$ and

$$(5) \quad \|E\|_{H^1(\Omega)} \leq C(\|E\|_{H(\text{curl}, \Omega)} + \|G\|_{H^1(\Omega)} + \|J_m\|_{L^2(\Omega)} + \|J_e\|_{H(\text{div}, \Omega)})$$

for some constant C depending on Ω, Λ given in (1), ω , and $\|\varepsilon\|_{W^{1,3+\delta}(\Omega; \mathbb{C}^{3 \times 3})}$ only.

Note that no regularity assumption is made on μ , apart from (1). Our second result is devoted to the $H^1(\Omega)$ regularity of H .

THEOREM 2. *Assume that (1) holds, and that μ also satisfies*

$$(6) \quad \mu \in W^{1,3+\delta}(\Omega; \mathbb{C}^{3 \times 3}) \text{ for some } \delta > 0.$$

Suppose that the source terms $J_e, J_m,$ and G satisfy

$$(7) \quad \begin{aligned} J_e \in L^p(\Omega; \mathbb{C}^3), J_m \in W^{1,p}(\operatorname{div}, \Omega), J_m \cdot \nu \in W^{1-\frac{1}{p},p}(\partial\Omega; \mathbb{C}), \\ \text{and } G \in W^{1,p}(\Omega; \mathbb{C}^3) \end{aligned}$$

for some $p \geq 2$. If $E, H \in H(\operatorname{curl}, \Omega)$ are weak solutions of (2), then $H \in H^1(\Omega)$ and

$$\begin{aligned} \|H\|_{H^1(\Omega)} \leq C \left(\|H\|_{H(\operatorname{curl}, \Omega)} + \|G\|_{H^1(\Omega)} \right. \\ \left. + \|J_e\|_{L^2(\Omega)} + \|J_m\|_{H(\operatorname{div}, \Omega)} + \|J_m \cdot \nu\|_{H^{1/2}(\partial\Omega; \mathbb{C})} \right) \end{aligned}$$

for some constant C depending on Ω, Λ given in (1), $\omega,$ and $\|\mu\|_{W^{1,3+\delta}(\Omega; \mathbb{C}^{3 \times 3})}$ only.

Naturally, interior regularity for H follows from the interior regularity of E , due to the (almost) symmetrical role of the pairs (E, ε) and (H, μ) in Maxwell’s equations. The difference between Theorem 1 and Theorem 2 comes from the fact that (2) involves a boundary condition on E , not on H . Combining both results, we then show that when ε and μ are both $W^{1,3+\delta}$ with $\delta > 0$, then E and H enjoy the regularity inherited from the source terms, up to $W^{1,3+\delta}$.

THEOREM 3. *Suppose that the hypotheses of Theorems 1 and 2 hold.*

If E and H in $H(\operatorname{curl}, \Omega)$ are weak solutions of (2), then $E, H \in W^{1,q}(\Omega; \mathbb{C}^3)$ with $q = \min(p, 3 + \delta)$ and

$$\begin{aligned} \|E\|_{W^{1,q}(\Omega; \mathbb{C}^3)} + \|H\|_{W^{1,q}(\Omega; \mathbb{C}^3)} \\ \leq C \left(\|E\|_{L^2(\Omega)} + \|H\|_{L^2(\Omega)} + \|G\|_{W^{1,p}(\Omega; \mathbb{C}^3)} \right. \\ \left. + \|J_e\|_{W^{1,p}(\operatorname{div}, \Omega)} + \|J_m\|_{W^{1,p}(\operatorname{div}, \Omega)} + \|J_m \cdot \nu\|_{W^{1-\frac{1}{p},p}(\partial\Omega; \mathbb{C})} \right) \end{aligned}$$

for some constant C depending on $\Omega, \Lambda, \omega, q, \|\varepsilon\|_{W^{1,3+\delta}(\Omega; \mathbb{C}^{3 \times 3})}$, and $\|\mu\|_{W^{1,3+\delta}(\Omega; \mathbb{C}^{3 \times 3})}$ only. In particular, if $p > 3$, then $E, H \in C^{0,\alpha}(\overline{\Omega}; \mathbb{C}^3)$ with $\alpha = \min(1 - \frac{3}{p}, \frac{\delta}{3+\delta})$.

As an extension of this work, we show in section 3 that, as far as interior regularity is concerned, the analogue of Theorem 3 holds for more general constitutive relations, for which Maxwell’s equations read

$$(8) \quad \begin{cases} \operatorname{curl} H = \mathbf{i}\omega(\varepsilon E + \xi H) + J_e & \text{in } \Omega, \\ \operatorname{curl} E = -\mathbf{i}\omega(\zeta E + \mu H) + J_m & \text{in } \Omega, \end{cases}$$

provided that $\zeta, \xi \in L^\infty(\Omega; \mathbb{C}^{3 \times 3})$ are small enough to preserve the underlying elliptic structure of the system—see condition (27). These constitutive relations are commonly used to model the so called bianisotropic materials.

Our approach is classical and fundamentally scalar. It is oblivious of the fact that Maxwell’s equations are posed on vectors, as we consider the problem component per component, just like it is done in Leis [17]. A general L^p theory for vector potentials has been developed very recently by Amrouche and Seloula [2, 3]. Applying their results would lead to similar regularity results for scalar coefficients. It seems our approaches are completely independent, even though both are based on the L^p theory for elliptic equations.

Finally, section 4 is devoted to the case when only one of the two coefficients is complex valued. We consider the case when $\varepsilon \in W^{1,3+\delta}(\Omega; \mathbb{C}^{3 \times 3})$, with $\delta > 0$, and $\mu \in L^\infty(\Omega, \mathbb{R}^{3 \times 3})$. In that situation, a Helmholtz decomposition of the magnetic field into $H = T + \nabla h$, where $T \in H^1(\Omega)$ is divergence free, provides additional insight on

the regularity of H . Indeed, the potential h then satisfies a real scalar second order elliptic equation and therefore enjoys additional regularity properties.

THEOREM 4. *Suppose that the hypotheses of Theorem 1 hold for some $p > 3$. Assume additionally that Ω is simply connected and that $\Im\mu = 0$.*

If E and H in $H(\text{curl}, \Omega)$ are weak solutions of (2), then there exists $0 < \alpha \leq \min(1 - \frac{3}{p}, \frac{\delta}{3+\delta})$ depending only on Ω and Λ given in (1) such that $E \in C^{0,\alpha}(\bar{\Omega}; \mathbb{C}^3)$ with

$$\|E\|_{C^{0,\alpha}(\bar{\Omega}; \mathbb{C}^3)} \leq C(\|E\|_{L^2(\Omega)} + \|G\|_{W^{1,p}(\Omega; \mathbb{C}^3)} + \|J_e\|_{W^{1,p}(\text{div}, \Omega)} + \|J_m\|_{L^p(\Omega; \mathbb{C}^3)})$$

for some constant C depending on Ω , Λ , ω , and $\|\varepsilon\|_{W^{1,3+\delta}(\Omega; \mathbb{C}^{3 \times 3})}$ only.

This is a generalization of the result proved by Yin [24] who assumed instead $\varepsilon \in W^{1,\infty}(\Omega; \mathbb{C})$ and $\mu \in L^\infty(\Omega; \mathbb{R})$: this is not the minimal regularity requirement to prove Hölder continuity of the electric field.

We do not claim that requiring that one of the parameters is in $W^{1,3+\delta}$ for some $\delta > 0$ is optimal. We are confident that it is sufficient to assume that the derivatives are in the Campanato space $L^{3,\lambda}$ with $\lambda > 0$, for example. However, as we do not know that these are necessary conditions, it seemed that such a level of sophistication was unjustified in this work. Assuming simply $W^{1,3}$ regularity (i.e., $\delta = \lambda = 0$) does not seem to work with our proof: the bootstrap argument we use stalls in this case. A completely different approach would be required to handle the case of coefficients with less than VMO regularity.

Our paper is structured as follows. Section 2 is devoted to the proof of Theorems 1, 2, and 3. At the end of section 2 we prove Theorem 9, the $W^{N,p}$ counterpart of Theorem 3, with appropriately smooth coefficients in a domain with $C^{N,1}$ boundary. Section 3 is devoted to the statement of our result for the generalized bianisotropic Maxwell's equations; the proof of this result is given in the appendix. Section 4 focuses on the particular case when μ is real valued and is devoted to the proof of Theorem 4.

2. $W^{1,p}$ regularity for E and H . Our strategy is to consider a coupled elliptic system satisfied by each component of the electric and magnetic field, where in each equation, only one component appears in the leading order term. In a first step, we show that the electric and magnetic fields are very weak solutions of such a system. This system was already introduced, in its strong form, in Leis [17], and was used recently in Nguyen and Wang [20].

PROPOSITION 5. *Assume that (1) holds. Let $E = (E_1, E_2, E_3)^T$ and $H = (H_1, H_2, H_3)^T$ in $H(\text{curl}, \Omega)$ be weak solutions of (2).*

- *If (3) and (4) hold, for each $k = 1, 2, 3$, E_k is a very weak solution of*

$$(9) \quad -\text{div}(\varepsilon \nabla E_k) = \text{div}((\partial_k \varepsilon) E - \varepsilon(\mathbf{e}_k \times (J_m - \mathbf{i}\omega\mu H)) - \mathbf{i}\omega^{-1} \mathbf{e}_k \text{div} J_e) \text{ in } \Omega,$$

where \mathbf{e}_k is the unit vector in the k th direction. More precisely, E_k satisfies for any $\varphi \in W^{2,2}(\Omega; \mathbb{C})$

$$(10) \quad \begin{aligned} & \int_{\Omega} E_k \text{div}(\varepsilon^T \nabla \bar{\varphi}) \, dx \\ &= \int_{\partial\Omega} (\partial_k \bar{\varphi}) \varepsilon E \cdot \nu \, d\sigma - \int_{\partial\Omega} (\mathbf{e}_k \times (E \times \nu)) \cdot (\varepsilon^T \nabla \bar{\varphi}) \, d\sigma \\ & \quad + \int_{\Omega} ((\partial_k \varepsilon) E - \varepsilon(\mathbf{e}_k \times (J_m - \mathbf{i}\omega\mu H)) - \mathbf{i}\omega^{-1} \mathbf{e}_k \text{div} J_e) \cdot \nabla \bar{\varphi} \, dx. \end{aligned}$$

- If (6) and (7) hold, for each $k = 1, 2, 3$, H_k is a very weak solution of

$$(11) \quad -\operatorname{div}(\mu \nabla H_k) = \operatorname{div}((\partial_k \mu)H - \mu(\mathbf{e}_k \times (J_e + \mathbf{i}\omega \varepsilon E)) + \mathbf{i}\omega^{-1} \mathbf{e}_k \operatorname{div} J_m) \text{ in } \Omega.$$

More precisely, H_k satisfies for any $\varphi \in W^{2,2}(\Omega; \mathbb{C})$

$$(12) \quad \begin{aligned} & \int_{\Omega} H_k \operatorname{div}(\mu^T \nabla \bar{\varphi}) \, dx \\ &= \int_{\partial\Omega} (\partial_k \bar{\varphi}) \mu H \cdot \nu \, d\sigma - \int_{\partial\Omega} (\mathbf{e}_k \times (H \times \nu)) \cdot (\mu^T \nabla \bar{\varphi}) \, d\sigma \\ & \quad + \int_{\Omega} ((\partial_k \mu)H - \mu(\mathbf{e}_k \times (J_e + \mathbf{i}\omega \varepsilon E)) + \mathbf{i}\omega^{-1} \mathbf{e}_k \operatorname{div} J_m) \cdot \nabla \bar{\varphi} \, dx. \end{aligned}$$

Proof. We detail the derivation of (10) for the sake of completeness. The derivation of (12) is similar, thanks to the intrinsic symmetry of Maxwell’s equations (2).

We multiply the identity $\operatorname{curl} E = -\mathbf{i}\omega \mu H + J_m$ by $\bar{\Phi} = \bar{g} \mathbf{e}_l$ for some $g \in W^{1,2}(\Omega; \mathbb{C})$, integrate by parts, and multiply the result by \mathbf{e}_l . We obtain

$$\mathbf{e}_l \int_{\Omega} \bar{g} (-\mathbf{i}\omega \mu H + J_m) \cdot \mathbf{e}_l \, dx = \mathbf{e}_l \int_{\Omega} E \cdot (\nabla \times \bar{\Phi}) \, dx - \mathbf{e}_l \int_{\partial\Omega} (E \times \nu) \cdot \bar{\Phi} \, d\sigma,$$

which can be written also as

$$\int_{\Omega} \bar{g} (-\mathbf{i}\omega \mu H + J_m) \, dx + \int_{\partial\Omega} \bar{g} (E \times \nu) \, d\sigma = \int_{\Omega} E \times \nabla \bar{g} \, dx.$$

Note that since $E \in H(\operatorname{curl}, \Omega)$ by assumption, $E \times \nu$ is well defined in $H^{-\frac{1}{2}}(\partial\Omega; \mathbb{C}^3)$ and this formulation is valid. Next, we take the cross product of this identity with \mathbf{e}_k , and take the scalar product with \mathbf{e}_i . Using the vector identity $a \times (b \times c) = (a \cdot c)b - (a \cdot b)c$ on the right-hand side, we obtain

$$(13) \quad \begin{aligned} & \mathbf{e}_i \cdot \int_{\Omega} \bar{g} \mathbf{e}_k \times (-\mathbf{i}\omega \mu H + J_m) \, dx + \mathbf{e}_i \cdot \int_{\partial\Omega} \bar{g} \mathbf{e}_k \times (E \times \nu) \, d\sigma \\ &= \int_{\Omega} E_i \partial_k \bar{g} - E_k \partial_i \bar{g} \, dx \end{aligned}$$

for any i and k in $\{1, 2, 3\}$ and $g \in W^{1,2}(\Omega; \mathbb{C})$. In view of (3), we have that $\bar{\varepsilon}^T \nabla \varphi \in H^1(\Omega)$ for any $\varphi \in W^{2,2}(\Omega; \mathbb{C})$. Thus, applying (13) with $g = (\bar{\varepsilon}^T \nabla \varphi)_i$ for any $i = 1, 2, 3$ and $\varphi \in W^{2,2}(\Omega; \mathbb{C})$ we find that

$$\begin{aligned} \int_{\Omega} E_i \partial_k (\bar{\varepsilon}^T \nabla \varphi)_i \, dx &= \int_{\Omega} E_k \partial_i (\bar{\varepsilon}^T \nabla \varphi)_i \, dx + \mathbf{e}_i \cdot \int_{\partial\Omega} (\bar{\varepsilon}^T \nabla \varphi)_i \mathbf{e}_k \times (E \times \nu) \, d\sigma \\ & \quad + \mathbf{e}_i \cdot \int_{\Omega} (\bar{\varepsilon}^T \nabla \varphi)_i \mathbf{e}_k \times (-\mathbf{i}\omega \mu H + J_m) \, dx. \end{aligned}$$

Summing over i , this yields

$$(14) \quad \begin{aligned} \int_{\Omega} E \cdot \partial_k (\bar{\varepsilon}^T \nabla \varphi) \, dx &= \int_{\Omega} E_k \operatorname{div} (\bar{\varepsilon}^T \nabla \varphi) \, dx + \int_{\partial\Omega} (\mathbf{e}_k \times (E \times \nu)) \\ & \quad \cdot (\bar{\varepsilon}^T \nabla \varphi) \, d\sigma + \int_{\Omega} \varepsilon (\mathbf{e}_k \times (-\mathbf{i}\omega \mu H + J_m)) \cdot \nabla \bar{\varphi} \, dx. \end{aligned}$$

We then use the second part of Maxwell's equations. We test $\operatorname{curl}H - J_e = i\omega\varepsilon E$ against $\nabla(\partial_k\bar{\varphi})\frac{1}{i\omega}$ for $\varphi \in W^{2,2}(\Omega; \mathbb{C})$ and obtain

$$\begin{aligned} & \int_{\Omega} \varepsilon E \cdot \partial_k(\nabla\bar{\varphi}) \, dx \\ &= -i\omega^{-1} \int_{\Omega} \operatorname{curl}(H) \cdot \nabla(\partial_k\bar{\varphi}) \, dx + i\omega^{-1} \int_{\Omega} J_e \cdot \nabla(\partial_k\bar{\varphi}) \, dx \\ &= -i\omega^{-1} \left(\int_{\partial\Omega} (\partial_k\bar{\varphi}) \operatorname{curl}H \cdot \nu \, d\sigma - \int_{\partial\Omega} (\partial_k\bar{\varphi}) J_e \cdot \nu \, d\sigma + \int_{\Omega} \operatorname{div} J_e \partial_k\bar{\varphi} \, dx \right) \\ &= -i\omega^{-1} \left(i\omega \int_{\partial\Omega} (\partial_k\bar{\varphi}) \varepsilon E \cdot \nu \, d\sigma + \int_{\Omega} \operatorname{div} J_e \partial_k\bar{\varphi} \, dx \right). \end{aligned}$$

Since $J_e \in H(\operatorname{div}, \Omega)$, the boundary term is well defined. Writing the left-hand side of the above identity in the form

$$\int_{\Omega} \varepsilon E \cdot \partial_k(\nabla\bar{\varphi}) \, dx = \int_{\Omega} E \cdot \partial_k(\varepsilon^T \nabla\bar{\varphi}) \, dx - \int_{\Omega} (\partial_k\varepsilon) E \cdot \nabla\bar{\varphi} \, dx,$$

we obtain

$$- \int_{\Omega} (\partial_k\varepsilon) E \cdot \nabla\bar{\varphi} \, dx + \int_{\Omega} E \cdot \partial_k(\varepsilon^T \nabla\bar{\varphi}) \, dx = \int_{\partial\Omega} (\partial_k\bar{\varphi}) \varepsilon E \cdot \nu \, d\sigma - i\omega^{-1} \int_{\Omega} \operatorname{div} J_e \partial_k\bar{\varphi} \, dx.$$

Inserting this identity into (14) we obtain (10). \square

To transform the very weak identities given by Proposition 5 into regular weak formulations, we shall use the following lemma. Given $r \in (1, \infty)$, we write r' the solution of $\frac{1}{r} + \frac{1}{r'} = 1$.

LEMMA 6. *Assume that (1) and (3) hold. Given $r \geq 6/5$, $u \in L^2(\Omega; \mathbb{C}) \cap L^r(\Omega; \mathbb{C})$, $F \in (W^{1,r'}(\Omega; \mathbb{C}))'$, let B be the trace operator given either by $B\varphi = \varphi$ on $\partial\Omega$ or by $B\varphi = \varepsilon^T \nabla\bar{\varphi} \cdot \nu$ on $\partial\Omega$ for $\varphi \in W^{2,2}(\Omega; \mathbb{C})$.*

If for all $\varphi \in W^{2,2}(\Omega; \mathbb{C})$ such that $B\varphi = 0$ there holds

$$(15) \quad \int_{\Omega} u \operatorname{div}(\varepsilon^T \nabla\bar{\varphi}) \, dx = \langle F, \varphi \rangle,$$

then $u \in W^{1,r}(\Omega; \mathbb{C})$ and

$$(16) \quad \|\nabla u\|_{L^r(\Omega; \mathbb{C}^3)} \leq C \|F\|_{(W^{1,r'}(\Omega; \mathbb{C}))'}$$

for some constant C depending on Ω , Λ given in (1), $\|\varepsilon\|_{W^{1,3}(\Omega; \mathbb{C}^{3 \times 3})}$, and r only.

Proof. We first observe that, since $r \geq 6/5$, both terms of the identity (15) are well defined as $W^{2,2}(\Omega; \mathbb{C}) \subset W^{1,6}(\Omega; \mathbb{C})$ and $\frac{1}{6} + \frac{1}{6/5} = 1$. Let $\psi \in \mathcal{D}(\Omega)$ be a test function and fix $i = 1, 2$, or 3 . Let $\varphi^* \in W^{1,2}(\Omega; \mathbb{C})$ be the unique solution to the problem

$$\begin{cases} \operatorname{div}(\varepsilon^T \nabla\bar{\varphi}^*) = \partial_i \psi & \text{in } \Omega, \\ B\varphi^* = 0 & \text{on } \partial\Omega. \end{cases}$$

In the case of the Neumann boundary condition, we add the normalization condition $\int_{\Omega} \varphi^* \, dx = 0$. Since $\varepsilon \in W^{1,3}(\Omega; \mathbb{C}^{3 \times 3})$, it is known [4, Theorem 1] that for any $q \in (1, \infty)$ there holds

$$(17) \quad \|\varphi^*\|_{W^{1,q}(\Omega; \mathbb{C})} \leq C \|\psi\|_{L^q(\Omega; \mathbb{C})}$$

for some $C = C(q, \Omega, \Lambda, \|\varepsilon\|_{W^{1,3}(\Omega; \mathbb{C}^{3 \times 3})}) > 0$. In particular, $\varphi^* \in W^{1,q}(\Omega; \mathbb{C})$ for all $q < \infty$. The usual difference quotient argument (see, e.g., [15, 13]) shows in turn that $\varphi^* \in W^{2,2}(\Omega; \mathbb{C})$, as ψ is regular. Thus, by assumption we have

$$\left| \int_{\Omega} u \partial_i \psi \, dx \right| = \left| \int_{\Omega} u \operatorname{div}(\varepsilon^T \nabla \overline{\varphi^*}) \, dx \right| = |\langle F, \varphi^* \rangle| \leq \|F\|_{(W^{1,r'}(\Omega; \mathbb{C}))'} \|\varphi^*\|_{W^{1,r'}(\Omega; \mathbb{C})},$$

which in view of (17) gives

$$\left| \int_{\Omega} u \partial_i \psi \, dx \right| \leq C \|F\|_{(W^{1,r'}(\Omega; \mathbb{C}))'} \|\psi\|_{L^{r'}(\Omega; \mathbb{C})},$$

as required. \square

We now are equipped to write the main regularity proposition for E , which will lead to the proof of Theorem 1 by a bootstrap argument.

PROPOSITION 7. *Assume that (1), (3), and (4) hold. Assume that $E, H \in H(\operatorname{curl}, \Omega)$ are solutions of (2) with $G = 0$.*

Suppose that $E \in L^q(\Omega; \mathbb{C}^3)$ and $H \in L^s(\Omega; \mathbb{C}^3)$, with $2 \leq q, s < \infty$, and write $r = \min((3q + q\delta)(q + 3 + \delta)^{-1}, p, s)$. Then $E \in W^{1,r}(\Omega; \mathbb{C}^3)$ and

$$(18) \quad \begin{aligned} \|E\|_{W^{1,r}(\Omega; \mathbb{C}^3)} \leq C & (\|E\|_{L^q(\Omega; \mathbb{C}^3)} + \|H\|_{L^s(\Omega; \mathbb{C}^3)} + \|J_e\|_{L^2(\Omega)} \\ & + \|J_m\|_{L^p(\Omega; \mathbb{C}^3)} + \|\operatorname{div} J_e\|_{L^p(\Omega; \mathbb{C})}) \end{aligned}$$

for some constant C depending on Ω, Λ given in (1), $\omega, \|\varepsilon\|_{W^{1,3+\delta}(\Omega; \mathbb{C}^{3 \times 3})}$, and r only.

The corresponding proposition regarding H is as follows.

PROPOSITION 8. *Assume that (1), (6), and (7) hold. Assume that $E, H \in H(\operatorname{curl}, \Omega)$ are solutions of (2) with $G = 0$.*

Suppose that $E \in L^s(\Omega; \mathbb{C}^3)$ and $H \in L^q(\Omega; \mathbb{C}^3)$, with $2 \leq q, s < \infty$, and write $r = \min((3q + q\delta)(q + 3 + \delta)^{-1}, p, s)$. Then $H \in W^{1,r}(\Omega; \mathbb{C}^3)$ and

$$(19) \quad \begin{aligned} \|H\|_{W^{1,r}(\Omega; \mathbb{C}^3)} \leq C & (\|H\|_{L^q(\Omega; \mathbb{C}^3)} + \|E\|_{L^s(\Omega; \mathbb{C}^3)} + \|J_m\|_{L^2(\Omega)} + \|J_e\|_{L^p(\Omega; \mathbb{C}^3)} \\ & + \|\operatorname{div} J_m\|_{L^p(\Omega; \mathbb{C})} + \|J_m \cdot \nu\|_{W^{1-\frac{1}{p}, p}(\partial\Omega)}) \end{aligned}$$

for some constant C depending on Ω, Λ given in (1), $\omega, \|\mu\|_{W^{1,3+\delta}(\Omega; \mathbb{C}^{3 \times 3})}$, and r only.

We prove both propositions below. We are now ready to prove Theorems 1, 2, and 3.

Proof of Theorems 1, 2, and 3. Let us prove Theorem 1 first. Considering the system satisfied by $E - G$ and H , we may assume $G = 0$. Since $H \in L^2(\Omega; \mathbb{C}^3)$, we may apply Proposition 7 with $p = s = 2$ a finite number of times with increasing values of q . For $q_n \geq 2$ we obtain $E \in W^{1,r_n}(\Omega; \mathbb{C}^3)$, with $r_n = \min(q_n(3 + \delta)(q_n + 3 + \delta)^{-1}, 2)$. If $r_n = 2$, the result is proved. If $r_n < 2$, Sobolev embeddings show that $E \in L^{q_{n+1}}(\Omega; \mathbb{C}^3)$ with

$$q_{n+1} = q_n + \frac{\delta q_n^2}{9 + \delta(3 - q_n)} \geq q_n + \frac{4\delta}{9 + \delta},$$

using the bounds $q_n \geq 2$ and $9 + \delta(3 - q_n) > 0$, which follow from $r_n < 2$. Thus the sequence r_n converges to 2 in a finite number of steps. Note that in estimate (5), H is bounded in terms of E and J_m using the simple bound

$$\Lambda |\omega| \|H\|_{L^2(\Omega; \mathbb{C}^3)} \leq \|\operatorname{curl} E\|_{L^2(\Omega; \mathbb{C}^3)} + \|J_m\|_{L^2(\Omega; \mathbb{C}^3)},$$

which follows from (2). The proof of Theorem 2 is similar, using Proposition 8 in lieu of Proposition 7 to bootstrap.

Let us now turn to Theorem 3. Suppose first $p \leq 3$ and $\delta < 3$. From Theorem 1 (resp., Theorem 2) and Sobolev embeddings, we have $E \in L^6(\Omega; \mathbb{C}^3)$ (resp., $H \in L^6(\Omega; \mathbb{C}^3)$). We apply Propositions 7 and 8 a finite number of times, with $q = s$. Starting with $q_n \geq 6 = q_0$ we obtain E (and H) $\in W^{1,r_n}(\Omega; \mathbb{C}^3)$, with $r_n = \min(q_n(3 + \delta)(3 + \delta + q_n)^{-1}, p)$. If $r_n = p$, the result is proved. If $r_n < p$, Sobolev embeddings imply that E and H belong to $L^{q_{n+1}}(\Omega; \mathbb{C}^3)$ with

$$q_{n+1} = q_n + \frac{\delta q_n^2}{9 + \delta(3 - q_n)} \geq q_n + \frac{q_0^2 \delta}{9 + \delta(3 - q_n)} \geq q_n + \frac{12\delta}{3 - \delta},$$

since $q_n \geq 6$, $\delta < 3$, and $9 + \delta(3 - q_n) > 0$ (as $r_n < 3$). Thus the sequence r_n converges to p in a finite number of steps.

Suppose now $p > 3$ and $\delta \in (0, \infty)$. The previous argument shows that E and H are in $W^{1,3}(\Omega; \mathbb{C}^3)$. One more iteration of the argument concludes the proof if $p < 3 + \delta$, and shows otherwise that E and H are in $L^\infty(\Omega; \mathbb{C}^3)$, and the result is obtained by a final application of Propositions 7 and 8. \square

We now prove Proposition 7.

Proof of Proposition 7. We subdivide the proof into four steps.

Step 1. Variational formulation. Since $E \times \nu = 0$ on $\partial\Omega$, identity (10) shows that for every $\varphi \in W^{2,2}(\Omega; \mathbb{C})$ and $k = 1, 2, 3$ there holds

$$(20) \quad \int_{\Omega} E_k \operatorname{div}(\varepsilon^T \nabla \bar{\varphi}) \, dx = \int_{\Omega} F_k \cdot \nabla \bar{\varphi} \, dx + \int_{\partial\Omega} (\partial_k \bar{\varphi}) \varepsilon E \cdot \nu \, d\sigma,$$

where we set

$$F_k = (\partial_k \varepsilon) E - \varepsilon (\mathbf{e}_k \times (J_m - \mathbf{i}\omega\mu H)) - \mathbf{i}\omega^{-1} \mathbf{e}_k \operatorname{div} J_e.$$

Since $(\partial_k \varepsilon) E \in L^{q(3+\delta)(q+3+\delta)^{-1}}(\Omega; \mathbb{C}^3)$, we have that $F_k \in L^r(\Omega; \mathbb{C}^3)$.

Step 2. Interior regularity. Given a smooth open subdomain Ω_0 such that $\overline{\Omega_0} \subset \Omega$, we consider a cut-off function $\chi \in C_0^\infty(\Omega; \mathbb{R})$ such that $\chi = 1$ in Ω_0 . A computation gives for $\varphi \in W^{2,2}(\Omega; \mathbb{C})$

$$\int_{\Omega} \chi E_k \operatorname{div}(\varepsilon^T \nabla \bar{\varphi}) \, dx = \int_{\Omega} E_k \operatorname{div}(\varepsilon^T \nabla (\chi \bar{\varphi})) \, dx + T_k(\varphi),$$

where $T_k(\varphi) = - \int_{\Omega} E_k (\operatorname{div}(\varepsilon^T \bar{\varphi} \nabla \chi) + \varepsilon \nabla \chi \cdot \nabla \bar{\varphi}) \, dx$. Thus, by (20) we obtain

$$\int_{\Omega} \chi E_k \operatorname{div}(\varepsilon^T \nabla \bar{\varphi}) \, dx = \int_{\Omega} F_k \cdot \nabla (\chi \bar{\varphi}) \, dx + T_k(\varphi),$$

since χ is compactly supported. Using Sobolev embeddings and the fact that F_k is in $L^r(\Omega; \mathbb{C}^3)$, we verify that $\varphi \mapsto \int_{\Omega} F_k \cdot \nabla (\chi \bar{\varphi}) \, dx + T_k(\varphi)$ is in $(W^{1,r'}(\Omega; \mathbb{C}))'$. Thanks to Lemma 6 we conclude that $\chi E_k \in W^{1,r}(\Omega; \mathbb{C})$, namely, $E \in W^{1,r}(\Omega_0; \mathbb{C}^3)$.

Step 3. Boundary regularity. Take now $x_0 \in \partial\Omega$. Since $\partial\Omega$ is of class $C^{1,1}$ there exists a ball B centred in x_0 and an orthogonal change of coordinates $\Phi \in C^{1,1}(B; \mathbb{R}^3)$ such that in the new system $u_i = \Phi_i(x)$ we have $\Phi(B \cap \Omega) = \{u_3 < 0\} \cap B(0, R)$. We can now express the relevant quantities with respect to the coordinates u_1, u_2, u_3 . Let the components of vectors be marked by tildes if they are expressed in the u_i coordinate system. Denoting $L = \operatorname{curl} E$ we have (see [23, Lemma 3.1])

$$\tilde{E} = (\nabla \Phi) E, \quad \tilde{L} = (\nabla \Phi) L = (\partial_{u_1}, \partial_{u_2}, \partial_{u_3}) \times \tilde{E},$$

and the corresponding identities for H , as $\nabla\Phi$ is an orthogonal matrix chosen so that $\det \nabla\Phi = 1$. Therefore, using the notation $\tilde{\nabla} = (\partial_{u_1}, \partial_{u_2}, \partial_{u_3})$, (2) implies

$$\begin{cases} \tilde{\nabla} \times \tilde{H} = \mathbf{i}\omega\tilde{\varepsilon}\tilde{E} + \tilde{J}_e, \\ \tilde{\nabla} \times \tilde{E} = -\mathbf{i}\omega\tilde{\mu}\tilde{H} + \tilde{J}_m, \\ \tilde{E}_1 = \tilde{E}_2 = 0 \quad \text{on } u_3 = 0, \end{cases}$$

where $\tilde{\varepsilon} = (\nabla\Phi)\varepsilon(\nabla\Phi)^T$, $\tilde{J}_e = (\nabla\Phi)J_e$, $\tilde{\mu} = (\nabla\Phi)\mu(\nabla\Phi)^T$, and $\tilde{J}_m = (\nabla\Phi)J_m$. Namely, Maxwell’s equations (2) in the new coordinates u_i can be written in the same form. Note that $\tilde{\varepsilon}$ and $\tilde{\mu}$ satisfy the ellipticity condition (1) for some $\tilde{\Lambda} > 0$. Moreover, since $\nabla\Phi \in W^{1,\infty}(B; \mathbb{R}^{3 \times 3})$, the regularity assumptions (3) and (4) hold for $\tilde{\varepsilon}$ and for the sources \tilde{J}_e, \tilde{J}_m . Furthermore, $E \in W^{1,r}(B \cap \Omega; \mathbb{C}^3)$ if $\tilde{E} \in W^{1,r}(\{u_3 < 0\} \cap B(0, R); \mathbb{C}^3)$.

We have shown that without loss of generality we can assume that around x_0 the boundary is flat. More precisely, suppose that $B \cap \Omega = \{x \cdot \mathbf{e}_3 < 0\} \cap B(0, R)$ and take $\chi \in \mathcal{D}(B; \mathbb{R})$ such that $\chi = 1$ in a neighborhood \tilde{B} of x_0 .

Let us first consider the two tangential components of E , that is, E_j with $j = 1, 2$. Proceeding as in step 2 we obtain for every $\varphi \in W^{2,2}(\Omega; \mathbb{C}) \cap W_0^{1,2}(\Omega; \mathbb{C})$

$$\int_{\Omega} \chi E_j \operatorname{div}(\varepsilon^T \nabla \varphi) \, dx = \int_{\Omega} E_j \operatorname{div}(\varepsilon^T \nabla(\chi \varphi)) \, dx + T_j(\varphi),$$

where $T_j(\varphi) = - \int_{\Omega} E_j (\operatorname{div}(\varepsilon^T \varphi \nabla \chi) + \varepsilon \nabla \chi \cdot \nabla \varphi) \, dx$. In view of identity (20), since $\chi \varphi = 0$ on $\partial\Omega$, we have

$$\int_{\Omega} \chi E_j \operatorname{div}(\varepsilon^T \nabla \varphi) \, dx = \int_{\Omega} F_j \cdot \nabla(\chi \varphi) \, dx + T_j(\varphi).$$

As in step 2, thanks to Lemma 6 we conclude that $\chi E_j \in W^{1,r}(\Omega; \mathbb{C})$ for $j = 1, 2$.

Let us now turn to the normal component E_3 . Consider the second part of Maxwell’s equations (2), $\operatorname{curl} E = -\mathbf{i}\omega\mu H + J_m$ in the quotient space, where every element of $L^r(\tilde{B}; \mathbb{C}^3)$ is identified with nought, that is, $W^{-1,2}(\tilde{B}; \mathbb{C}^3)/L^r(\tilde{B}; \mathbb{C}^3)$. We find $0 = -\operatorname{curl} E = -\operatorname{curl}(E_3 \mathbf{e}_3) = \mathbf{e}_3 \times \nabla E_3$, since $-\mathbf{i}\omega\mu H + J_m \in L^r(\Omega; \mathbb{C}^3)$ and $E_1, E_2 \in W^{1,r}(\tilde{B}; \mathbb{C})$. In other words,

$$(21) \quad \nabla E_3 = \mathbf{e}_3 (\mathbf{e}_3 \cdot \nabla E_3) \text{ in } W^{-1,2}(\tilde{B}; \mathbb{C}^3)/L^r(\tilde{B}; \mathbb{C}^3).$$

Therefore, taking now the divergence of the first identity in Maxwell’s equations (2), and using the fact that $\operatorname{div} J_e \in L^r(\Omega; \mathbb{C})$ and $E \in L^r(\Omega; \mathbb{C}^3)$ we obtain, in the quotient space $W^{-1,2}(\tilde{B}; \mathbb{C})/L^r(\tilde{B}; \mathbb{C})$,

$$0 = \operatorname{div}(\varepsilon E) = \operatorname{div}(E_3 \varepsilon \mathbf{e}_3) = \nabla E_3 \cdot (\varepsilon \mathbf{e}_3) = (\mathbf{e}_3 \cdot \nabla E_3) \mathbf{e}_3 \cdot (\varepsilon \mathbf{e}_3).$$

Hence, in view of (1) and (21) we obtain $\nabla E_3 \in L^r(\tilde{B}; \mathbb{C}^3)$, and therefore $E \in W^{1,r}(\tilde{B}; \mathbb{C}^3)$.

Step 4. Global regularity. Combining the interior and the boundary regularities, a standard ball covering argument shows $E \in W^{1,r}(\Omega; \mathbb{C}^3)$. The estimate given in (18) follows from Lemma 6. \square

We now turn to the proof of Proposition 8. The interior estimates can be obtained in the exact same way, substituting the very weak formulations for the components of E by the corresponding identities for the components of H . The boundary estimates require different arguments, and we detail this step below.

Proof of Proposition 8. Boundary regularity. First note that it is sufficient to consider the case when $J_m \cdot \nu = 0$ on $\partial\Omega$.

Indeed, as we assumed that $J_m \cdot \nu \in W^{1-\frac{1}{p},p}(\partial\Omega; \mathbb{C})$, there exists $j_m \in W^{1,p}(\Omega; \mathbb{C})$ such that $j_m = J_m \cdot \nu$ in the sense of traces on $\partial\Omega$. Since $\partial\Omega$ is of class $C^{1,1}$, there exists $h \in C^{0,1}(\bar{\Omega}, \mathbb{R}^3)$ such that $h = \nu$ on $\partial\Omega$. Now, notice that $(E', H') = (E, H + i\omega^{-1}\mu^{-1}j_m h)$ are solutions of a Maxwell's system of equations with the same boundary condition, but with the currents being changed to $J'_m = J_m - j_m h$ and $J'_e = J_e + i\omega^{-1}\text{curl}(j_m \mu^{-1} h)$. We have $J'_m \in W^{1,p}(\text{div}, \Omega)$ and $J'_m \cdot \nu = 0$ on $\partial\Omega$, whereas $J'_e \in L^{\tilde{p}}(\Omega; \mathbb{C}^3)$, with $\tilde{p} = \min(p, 3 + \delta)$. Since $i\omega^{-1}\mu^{-1}j_m h \in W^{1,r}(\Omega; \mathbb{C}^3)$ the regularity of H' will imply that of H .

We next observe that, as $E \times \nu = 0$ on $\partial\Omega$, we may write

$$(22) \quad 0 = \text{div}_{\partial\Omega}(E \times \nu) = (\text{curl}E) \cdot \nu = -i\omega\mu H \cdot \nu + J_m \cdot \nu \text{ in } H^{-\frac{1}{2}}(\partial\Omega);$$

see, e.g., [18, equation (3.52)]. In other words, $\mu H \cdot \nu = 0$ in $H^{-\frac{1}{2}}(\partial\Omega)$. Then, by (12), for every $\varphi \in W^{2,2}(\Omega; \mathbb{C})$ and $k = 1, 2, 3$ there holds

$$(23) \quad \int_{\Omega} H_k \text{div}(\mu^T \nabla \bar{\varphi}) \, dx = \int_{\Omega} G_k \cdot \nabla \bar{\varphi} \, dx - \int_{\partial\Omega} (\mathbf{e}_k \times (H \times \nu)) \cdot (\mu^T \nabla \bar{\varphi}) \, d\sigma,$$

where

$$G_k = (\partial_k \mu)H - \mu(\mathbf{e}_k \times (J_e + i\omega \varepsilon E)) + i\omega^{-1} \mathbf{e}_k \text{div} J_m \in L^r(\Omega; \mathbb{C}^3),$$

since $(\partial_k \mu)H \in L^{q(3+\delta)(q+3+\delta)^{-1}}(\Omega; \mathbb{C}^3)$.

Take $x_0 \in \partial\Omega$. As in the proof of Proposition 7, we can assume that $\partial\Omega$ is the plane $x \cdot \mathbf{e}_3 = 0$ in a neighborhood B of x_0 . Again let us focus on the tangential components first. Take $\chi \in \mathcal{D}(B; \mathbb{R})$ such that $\chi = 1$ in a neighborhood \tilde{B} of x_0 and $j = 1, 2$.

We choose a test function satisfying a Neumann-type boundary condition, that is, $\varphi \in W^{2,2}(\Omega; \mathbb{C})$ such that $\mu^T \nabla \bar{\varphi} \cdot \nu = 0$ on $\partial\Omega$. We have

$$\int_{\Omega} \chi H_j \text{div}(\mu^T \nabla \bar{\varphi}) \, dx = \int_{\Omega} H_j \text{div}(\mu^T \nabla(\chi \bar{\varphi})) \, dx + R(\varphi),$$

where $R(\varphi) = - \int_{\Omega} H_j (\text{div}(\mu^T \bar{\varphi} \nabla \chi) + \mu \nabla \chi \cdot \nabla \bar{\varphi}) \, dx$. From identity (23) we obtain

$$(24) \quad \int_{\Omega} \chi H_j \text{div}(\mu^T \nabla \bar{\varphi}) \, dx = \int_{\Omega} G_j \cdot \nabla(\chi \bar{\varphi}) \, dx + R(\varphi) + S(\varphi),$$

where

$$S(\varphi) = - \int_{\partial\Omega} (\mathbf{e}_j \times (H \times \mathbf{e}_3)) \cdot (\mu^T \nabla(\chi \bar{\varphi})) \, d\sigma.$$

As before, the functional $\varphi \mapsto \int_{\Omega} G_j \cdot \nabla(\chi \bar{\varphi}) \, dx + R(\varphi)$ is in $(W^{1,r'}(\Omega; \mathbb{C}))'$. We shall now prove that $S \in (W^{1,r'}(\Omega; \mathbb{C}))'$. Since $\mu^T \nabla \bar{\varphi} \cdot \nu = 0$ on $\partial\Omega$ and $\nu = \mathbf{e}_3$ on B , we have $\chi(\mathbf{e}_j \times (H \times \mathbf{e}_3)) \cdot (\mu^T \nabla \bar{\varphi}) = 0$, thus

$$S(\varphi) = - \int_{\partial\Omega} (\mathbf{e}_j \times (H \times \mathbf{e}_3)) \cdot (\mu^T \nabla \chi) \bar{\varphi} \, d\sigma.$$

By hypothesis we have $H \in W^{1,r}(\text{curl}, \Omega)$, whence $H \times \nu \in W^{-1/r,r}(\partial\Omega; \mathbb{C}^3)$. It follows that $(\mathbf{e}_j \times (H \times \mathbf{e}_3)) \cdot (\mu^T \nabla \chi) \in W^{-1/r,r}(\partial\Omega; \mathbb{C}^3)$. As a result (see [15, Theorem 1.5.1.2]),

$$|S(\varphi)| \leq C \|\varphi\|_{W^{1-\frac{1}{r},r'}(\partial\Omega;\mathbb{C})} \leq C \|\varphi\|_{W^{1,r'}(\Omega;\mathbb{C})}$$

for some $C > 0$ independent of φ ; in other words $S \in (W^{1,r'}(\Omega; \mathbb{C}))'$. We can now apply Lemma 6 to (24) and obtain $\chi H \cdot \mathbf{e}_j \in W^{1,r}(\Omega; \mathbb{C})$. The rest of the proof follows faithfully that of Proposition 7. \square

To conclude this section, we point out that higher regularity results follow naturally under appropriate assumptions.

THEOREM 9. *Suppose that (1) holds and take $N \in \mathbb{N}^*$. Assume additionally that $\partial\Omega$ is of class $C^{N,1}$ and that*

$$\begin{aligned} \varepsilon, \mu &\in W^{N,p}(\Omega; \mathbb{C}^{3 \times 3}), & J_e, J_m &\in W^{N,p}(\text{div}, \Omega), \\ J_m \cdot \nu &\in W^{N-\frac{1}{p},p}(\partial\Omega; \mathbb{C}), & G &\in W^{N,p}(\Omega; \mathbb{C}^3) \end{aligned}$$

for some $p > 3$. If E and H in $H(\text{curl}, \Omega)$ are weak solutions of (2), then $E, H \in W^{N,p}(\Omega; \mathbb{C}^3)$ and there holds

$$\begin{aligned} &\|E\|_{W^{N,p}(\Omega;\mathbb{C}^3)} + \|H\|_{W^{N,p}(\Omega;\mathbb{C}^3)} \\ &\leq C \left(\|E\|_{L^2(\Omega)} + \|H\|_{L^2(\Omega)} + \|G\|_{W^{N,p}(\Omega;\mathbb{C}^3)} \right. \\ &\quad \left. + \|J_e\|_{W^{N,p}(\text{div},\Omega)} + \|J_m\|_{W^{N,p}(\text{div},\Omega)} + \|J_m \cdot \nu\|_{W^{N-\frac{1}{p},p}(\partial\Omega;\mathbb{C})} \right) \end{aligned}$$

for some constant C depending on $\Omega, \Lambda, \omega, \|\varepsilon\|_{W^{N,p}(\Omega;\mathbb{C}^{3 \times 3})}$, and $\|\mu\|_{W^{N,p}(\Omega;\mathbb{C}^{3 \times 3})}$.

Proof. The proof is done by induction. Theorem 3 corresponds to $N = 1$. Assume that for some $N \geq 2$, Theorem 9 holds for $N - 1$.

For simplicity, we shall consider (2) in its strong form, but every step can be made rigorous by passing to the suitable weak formulation.

By using a change of coordinates as in the proof of Proposition 7, we can assume without loss of generality that $\Omega \cap B(0, R) = \{x \cdot \mathbf{e}_3 < 0\} \cap B(0, R)$. Indeed, the assumption $\partial\Omega \in C^{N,1}$ implies that the regularity assumptions on the coefficients and on the source terms and the conditions $E, H \in W^{N,p}$ are insensitive to a $C^{N,1}$ change of coordinates.

For $i = 1, 2$ we have

$$\begin{cases} \text{curl } \partial_i H = \mathbf{i}\omega\varepsilon\partial_i E + J'_e & \text{in } \Omega \cap B(0, R), \\ \text{curl } \partial_i E = -\mathbf{i}\omega\mu\partial_i H + J'_m & \text{in } \Omega \cap B(0, R), \\ \partial_i E \times \mathbf{e}_3 = \partial_i G \times \mathbf{e}_3 & \text{on } \partial\Omega \cap \{x \cdot \mathbf{e}_3 = 0\} \cap \bar{B}(0, R), \end{cases}$$

where $J'_e = J_e + \mathbf{i}\omega(\partial_i \varepsilon)E$ and $J'_m = J_m - \mathbf{i}\omega(\partial_i \mu)H$. By assumption, we have $E, H \in W^{N-1,p}(\Omega; \mathbb{C}^3)$; therefore, $J'_e, J'_m \in W^{N-1,p}(\text{div}, \Omega)$ and $J'_m \cdot \nu \in W^{N-1-\frac{1}{p},p}(\partial\Omega; \mathbb{C})$. Applying Theorem 9 with $N-1$ in lieu of N to the above system shows that $\partial_i E, \partial_i H \in W^{N-1,p}(\Omega; \mathbb{C}^3)$.

An argument similar to the one given in the third step of the proof of Proposition 7 allows us to infer that $\partial_3 E, \partial_3 H \in W^{N-1,p}(\Omega; \mathbb{C}^3)$, whence $E, H \in W^{N,p}(\Omega; \mathbb{C}^3)$. The corresponding norm estimate follows by Theorem 3 and the argument given above. \square

3. Bianisotropic materials. In this section, we investigate the interior regularity of the solutions of the following problem,

$$(25) \quad \begin{cases} \operatorname{curl} H = \mathbf{i}\omega(\varepsilon E + \xi H) + J_e & \text{in } \Omega, \\ \operatorname{curl} E = -\mathbf{i}\omega(\zeta E + \mu H) + J_m & \text{in } \Omega. \end{cases}$$

As far as the authors are aware, this question was previously studied only recently in [12], where the parameters are assumed to be at least Lipschitz continuous. In this more general context, hypothesis (1) is not sufficient to ensure ellipticity. As we will see in Proposition 17, the leading order parameter for the coupled elliptic system is the tensor

$$(26) \quad A = A_{ij}^{\alpha\beta} = \begin{bmatrix} \Re\varepsilon & -\Im\varepsilon & \Re\xi & -\Im\xi \\ \Im\varepsilon & \Re\varepsilon & \Im\xi & \Re\xi \\ \Re\zeta & -\Im\zeta & \Re\mu & -\Im\mu \\ \Im\zeta & \Re\zeta & \Im\mu & \Re\mu \end{bmatrix},$$

where the Latin indices $i, j = 1, \dots, 4$ identify the different 3×3 block submatrices, whereas the Greek letters $\alpha, \beta = 1, 2, 3$ span each of these 3×3 block submatrices. We assume that A is in $L^\infty(\Omega; \mathbb{R})^{12 \times 12}$ and satisfies a strong Legendre condition (as in [8, 13]), that is, there exists $\Lambda > 0$ such that

$$(27) \quad A_{ij}^{\alpha\beta} \eta_\alpha^i \eta_\beta^j \geq \Lambda |\eta|^2, \quad \eta \in \mathbb{R}^{12} \quad \text{and} \quad |A_{ij}^{\alpha\beta}| \leq \Lambda^{-1} \quad \text{a.e. in } \Omega.$$

The following result gives a sufficient condition for (27) to hold true.

LEMMA 10. *Assume that $\varepsilon_0, \mu_0, \kappa, \chi$ are real constants, with $\varepsilon_0 > 0$ and $\mu_0 > 0$. Let*

$$(28) \quad \varepsilon = \varepsilon_0 I_3, \quad \mu = \mu_0 I_3, \quad \xi = (\chi - \mathbf{i}\kappa) I_3, \quad \zeta = (\chi + \mathbf{i}\kappa) I_3,$$

where I_3 is the 3×3 identity matrix, and construct the matrix A as in (26). If

$$(29) \quad \chi^2 + \kappa^2 < \varepsilon_0 \mu_0,$$

then A satisfies (27).

Remark 11. This result shows that a wide class of materials satisfy the strong Legendre condition (27). Considering for simplicity the case of constant and isotropic parameters, the constitutive relations given in (28) describe the so-called chiral materials. It turns out that (29) is satisfied for natural materials [22].

Proof. A direct calculation shows that the smallest eigenvalue of A is

$$(\varepsilon_0 + \mu_0 - (\varepsilon_0^2 - 2\varepsilon_0\mu_0 + \mu_0^2 + 4\chi^2 + 4\kappa^2)^{1/2})/2,$$

which is strictly positive since $\chi^2 + \kappa^2 < \varepsilon_0 \mu_0$. \square

We now give the regularity assumptions on the parameters. In contrast to the previous situation, here the mixing coefficients ξ and ζ fully couple electric and magnetic properties. We are thus led to assume that

$$(30) \quad \varepsilon, \xi, \mu, \zeta \in W^{1,3+\delta}(\Omega; \mathbb{C}^{3 \times 3}) \quad \text{for some } \delta > 0.$$

The theorem below shows that at least as far as interior regularity is concerned, Theorem 3 also applies in this more general setting.

THEOREM 12. *Assume that (27) and (30) hold. Suppose that the current sources J_e and J_m are in $W^{1,p}(\operatorname{div}, \Omega)$ for some $p \geq 2$.*

If E and H in $H(\operatorname{curl}, \Omega)$ are weak solutions of (25), then $E, H \in \overline{W}_{loc}^{1,q}(\Omega; \mathbb{C}^3)$ with $q = \min(p, 3 + \delta)$. Furthermore, for any open set Ω_0 such that $\overline{\Omega_0} \subset \Omega$ there

holds

$$\|E\|_{W^{1,q}(\Omega_0;\mathbb{C}^3)} + \|H\|_{W^{1,q}(\Omega_0;\mathbb{C}^3)} \leq C(\|E\|_{L^2(\Omega)} + \|H\|_{L^2(\Omega)} + \|(J_e, J_m)\|_{W^{1,p}(\operatorname{div},\Omega)^2}),$$

where C is a constant depending on $\Omega, \Omega_0, q, \Lambda, \omega$, and the $W^{1,3+\delta}(\Omega; \mathbb{C}^{3 \times 3})$ norms of ε, μ, ξ , and ζ . In particular, if $p > 3$ then $E, H \in C_{loc}^{0,1-\frac{3}{q}}(\Omega; \mathbb{C}^3)$.

We did not investigate the regularity up to the boundary in this problem for two reasons. From a modeling point of view, the natural (or pertinent) boundary conditions to be considered in this case are not completely clear. There is also a technical reason: the boundary terms are a rather intricate mix of Neumann- and Dirichlet-type terms on both E and H , and the correct space of test functions to consider is not readily apparent (see Proposition 17).

The proof of this result is a variant of the proof of Theorem 3. In this case, the system is written in \mathbb{R}^{12} (instead of a weakly coupled system of 6 complex unknowns) and the proof is detailed in the appendix.

4. Proof of Theorem 4 using Campanato estimates. The purpose of this section is to prove Theorem 4. We shall apply classical Campanato estimates for elliptic equations to (9), namely, the elliptic equations satisfied by E . We first state the properties of Campanato spaces that we shall use, and then proceed to the proof of Theorem 4.

For $\lambda \geq 0$ and $p \geq 1$ we denote the Campanato space by $L^{p,\lambda}(\Omega; \mathbb{C})$ [7], namely, the Banach space of functions $u \in L^p(\Omega; \mathbb{C})$ such that

$$[u]_{p,\lambda;\Omega}^p := \sup_{x \in \Omega, 0 < \rho < \operatorname{diam} \Omega} \rho^{-\lambda} \int_{\Omega(x,\rho)} \left| u(y) - \frac{1}{|\Omega(x,\rho)|} \int_{\Omega(x,\rho)} u(z) dz \right|^p dy < \infty,$$

where $\Omega(x, \rho) = \Omega \cap \{y \in \mathbb{R}^3 : |y - x| < \rho\}$, equipped with the norm

$$\|u\|_{L^{p,\lambda}(\Omega;\mathbb{C})} = \|u\|_{L^p(\Omega;\mathbb{C})} + [u]_{p,\lambda;\Omega}.$$

LEMMA 13. Take $\lambda \geq 0$.

1. Suppose $\lambda > 3$, $L^{2,\lambda}(\Omega; \mathbb{C})$ is isomorphic to $C^{0,\frac{\lambda-3}{2}}(\overline{\Omega}; \mathbb{C})$.
2. Suppose $\lambda < 3$. If $u \in L^2(\Omega; \mathbb{C})$ and $\nabla u \in L^{2,\lambda}(\Omega; \mathbb{C}^3)$ then $u \in L^{2,2+\lambda}(\Omega; \mathbb{C})$, and the embedding is continuous.
3. Suppose $\delta > 0$ and $\lambda \neq 1$. If $f \in L^{3+\delta}(\Omega; \mathbb{C})$ and $u \in L^2(\Omega; \mathbb{C})$ with $\nabla u \in L^{2,\lambda}(\Omega; \mathbb{C}^3)$ then $fu \in L^{2,\lambda'}(\Omega; \mathbb{C})$ with

$$\lambda' = \min(\lambda + 2\delta(3 + \delta)^{-1}, 3(1 + \delta)(3 + \delta)^{-1}),$$

and the embedding is continuous.

Proof. Statements 1 and 2 are classical; see, e.g., [21, Chapter 1]. For 3, note that Hölder’s inequality implies that $f \in L^{2,3(1+\delta)(3+\delta)^{-1}}(\Omega; \mathbb{C})$. When $\lambda < 1$, the result follows from [11, Lemma 4.1]. When $\lambda > 1$, (3) follows from (1) and (2). \square

We now state the regularity result regarding Campanato estimates we will use. It can be found in [21, Theorems 2.19 and 3.16].

PROPOSITION 14. Assume (1) and $\Im \mu = 0$. There exists $\lambda_\mu \in (1, 2]$ depending only on Ω and on Λ given in (1), such that if $F \in L^{2,\lambda}(\Omega; \mathbb{C}^3)$ for some $\lambda \in [0, \lambda_\mu)$, and $u \in W^{1,2}(\Omega; \mathbb{C})$ satisfies

$$\begin{cases} -\operatorname{div}(\mu \nabla u) = \operatorname{div}(F) & \text{in } \Omega, \\ \mu \nabla u \cdot \nu = F \cdot \nu & \text{on } \partial \Omega, \end{cases}$$

then $\nabla u \in L^{2,\lambda}(\Omega; \mathbb{C}^3)$ and

$$(31) \quad \|\nabla u\|_{L^{2,\lambda}(\Omega; \mathbb{C}^3)} \leq C \|F\|_{L^{2,\lambda}(\Omega; \mathbb{C}^3)},$$

where the constant C depends only on Λ, λ , and Ω .

Alternatively, assume (1) and (6). For all $\lambda \in [0, 2]$, if $F \in L^{2,\lambda}(\Omega; \mathbb{C}^3)$, $f \in L^2(\Omega; \mathbb{C})$, and $u \in W^{1,2}(\Omega; \mathbb{C})$ satisfy

$$\begin{cases} -\operatorname{div}(\mu \nabla u) = \operatorname{div}(F) + f & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases}$$

then $\nabla u \in L^{2,\lambda}(\Omega; \mathbb{C}^3)$ and

$$(32) \quad \|\nabla u\|_{L^{2,\lambda}(\Omega; \mathbb{C}^3)} \leq C \left(\|F\|_{L^{2,\lambda}(\Omega; \mathbb{C}^3)} + \|f\|_{L^2(\Omega; \mathbb{C})} \right),$$

where the constant C depends on Λ, Ω , and $\|\mu\|_{W^{1,3+\delta}(\Omega; \mathbb{C}^{3 \times 3})}$ only.

We first study the regularity of H following a variant of an argument given in [24].

PROPOSITION 15. Assume that Ω is simply connected, that (1) holds with $\Im\mu = 0$, and $J_m \in L^{2,\lambda}(\Omega)$ with $1 < \lambda < \lambda_\mu$, where λ_μ is given by Proposition 14. Let E and H in $H(\operatorname{curl}, \Omega)$ be weak solutions of (2) with $G = 0$. Then $H \in L^{2,\lambda}(\Omega; \mathbb{C}^3)$ and

$$(33) \quad \|H\|_{L^{2,\lambda}(\Omega; \mathbb{C}^3)} \leq C (\|E\|_{L^2(\Omega)} + \|J_e\|_{L^2(\Omega)} + \|J_m\|_{L^{2,\lambda}(\Omega; \mathbb{C}^3)}),$$

where the constant C depends only on Λ, λ, ω , and Ω .

Proof. Since $i\omega\varepsilon E + J_e$ is divergence free in Ω , and Ω is $C^{1,1}$ and simply connected, it is well known that there exists $T \in H^1(\Omega)$ such that $i\omega\varepsilon E + J_e = \operatorname{curl}T$, satisfying

$$(34) \quad \|T\|_{H^1(\Omega)} \leq C (\|J_e\|_{L^2(\Omega)} + \|E\|_{L^2(\Omega)}),$$

where C depends on Ω, Λ given in (1), and ω only; see, e.g., [14, Chapter I, Theorem 3.5]. Thanks to Lemma 13, this implies $\mu T \in L^{2,2}(\Omega; \mathbb{C}^3) \subset L^{2,\lambda}(\Omega; \mathbb{C}^3)$, and therefore $\mu T + i\omega^{-1}J_m \in L^{2,\lambda}(\Omega; \mathbb{C}^3)$.

As $H - T$ is curl free in Ω , in view of [14, Chapter I, Theorem 2.9] there exists $h \in H^1(\Omega; \mathbb{C})$ such that $H - T = \nabla h$. The potential h is defined up to a constant by

$$\begin{cases} \operatorname{div}(\mu \nabla h) = \operatorname{div}(-\mu T - i\omega^{-1}J_m) & \text{in } \Omega, \\ \mu \nabla h \cdot \nu = (-\mu T - i\omega^{-1}J_m) \cdot \nu & \text{on } \partial\Omega. \end{cases}$$

Note that the boundary condition follows from that of E and (22). Thanks to estimate (31) in Proposition 14, we have

$$(35) \quad \|\nabla h\|_{L^{2,\lambda}(\Omega; \mathbb{C}^3)} \leq C \|\mu T + i\omega^{-1}J_m\|_{L^{2,\lambda}(\Omega; \mathbb{C}^3)} \leq \tilde{C} (\|T\|_{H^1(\Omega)} + \|J_m\|_{L^{2,\lambda}(\Omega; \mathbb{C}^3)}).$$

The conclusion follows from the identity $H = T + \nabla h$ and the estimates (34) and (35). \square

We now adapt Proposition 7 to be able to use Campanato estimates in the bootstrap argument.

PROPOSITION 16. Assume that Ω is simply connected, that (1) holds with $\Im\mu = 0$, and that (3) holds. Suppose $J_m \in L^{2,\tilde{\lambda}}(\Omega; \mathbb{C}^3)$ and $\operatorname{div}J_e \in L^{2,\tilde{\lambda}}(\Omega; \mathbb{C})$ for some $1 < \tilde{\lambda} < \lambda_\mu$, where λ_μ is given by Proposition 14. Let E and H in $H(\operatorname{curl}, \Omega)$ be weak solutions of (2) with $G = 0$.

If $\nabla E \in L^{2,\lambda_0}(\Omega; \mathbb{C}^{3 \times 3})$ for some $\lambda_0 \in [0, \infty) \setminus \{1\}$ then $\nabla E \in L^{2,\lambda_1}(\Omega; \mathbb{C})^9$, with $\lambda_1 = \min(\tilde{\lambda}, \lambda_0 + 2\delta(3 + \delta)^{-1}, 3(1 + \delta)(3 + \delta)^{-1})$. Moreover there holds

$$(36) \quad \begin{aligned} \|\nabla E\|_{L^{2,\lambda_1}(\Omega; \mathbb{C})^9} \leq C & \left(\|E\|_{L^2(\Omega)} + \|\nabla E\|_{L^{2,\lambda_0}(\Omega; \mathbb{C})^9} + \|J_e\|_{L^2(\Omega)} \right. \\ & \left. + \|J_m\|_{L^{2,\tilde{\lambda}}(\Omega; \mathbb{C}^3)} + \|\operatorname{div} J_e\|_{L^{2,\tilde{\lambda}}(\Omega; \mathbb{C})} \right), \end{aligned}$$

where the constant C depends only on $\Omega, \Lambda, \lambda_1, \omega$, and $\|\varepsilon\|_{W^{1,3+\delta}(\Omega; \mathbb{C}^{3 \times 3})}$.

Proof. In view of Theorem 1 and Proposition 5, for each $k = 1, 2, 3, E_k \in H^1(\Omega; \mathbb{C})$ is a weak solution of

$$(37) \quad -\operatorname{div}(\varepsilon \nabla E_k) = \operatorname{div}(\partial_k \varepsilon E + S_k) \text{ in } \Omega$$

with

$$S_k = -\varepsilon(\mathbf{e}_k \times (J_m - \mathbf{i}\omega\mu H)) - \mathbf{i}\omega^{-1}\mathbf{e}_k \operatorname{div} J_e.$$

Thanks to Proposition 15 we have that $S_k \in L^{2,\tilde{\lambda}}(\Omega; \mathbb{C})$. Furthermore there holds $\partial_k \varepsilon E \in L^{2,\tilde{\lambda}_0}(\Omega; \mathbb{C}^3)$ with $\tilde{\lambda}_0 = \min(\lambda_0 + 2\delta(3 + \delta)^{-1}, 3(1 + \delta)(3 + \delta)^{-1})$ in view of Lemma 13. Thus

$$(38) \quad \partial_k \varepsilon E + S_k \in L^{2,\lambda_1}(\Omega; \mathbb{C}^3).$$

Interior regularity. Given a smooth open subdomain Ω_0 such that $\overline{\Omega_0} \subset \Omega$, introduce a cut-off function $\chi \in \mathcal{D}(\Omega)$ such that $\chi = 1$ in Ω_0 . From (37) we deduce

$$(39) \quad -\operatorname{div}(\varepsilon \nabla(\chi E_k)) = \operatorname{div}(\chi(\partial_k \varepsilon E + S_k)) + f_k \text{ in } \Omega,$$

where

$$f_k = -\nabla \chi \cdot (\partial_k \varepsilon E + S_k) - \varepsilon \nabla E_k \cdot \nabla \chi - \operatorname{div}(\varepsilon E_k \nabla \chi) \in L^2(\Omega; \mathbb{C}).$$

As $\lambda_1 < 2$ and ε satisfies (3), we may apply Proposition 14 (with ε in lieu of μ) to show that $\nabla(\chi E_k)$ is in $L^{2,\lambda_1}(\Omega; \mathbb{C}^3)$, which implies $\nabla E \in L^{2,\lambda_1}(\Omega_0; \mathbb{C}^{3 \times 3})$.

Boundary regularity. By using a change of coordinates as in the proof of Proposition 7, we can assume without loss of generality that $\Omega \cap B(0, R) = \{x \cdot \mathbf{e}_3 < 0\} \cap B(0, R)$. Indeed, the assumption $\partial\Omega \in C^{1,1}$ implies that the regularity assumptions on ε and on the source terms and the condition $\nabla E \in L^{2,\lambda_1}$ are insensitive to a $C^{1,1}$ change of coordinates, as L^∞ is a multiplier space for L^{2,λ_1} .

Let us focus on the tangential components first. Take $\chi \in \mathcal{D}(B(0, R); \mathbb{R})$ such that $\chi = 1$ in a neighborhood \tilde{B} of 0 and $j \in \{1, 2\}$. Identity (37) yields for $j = 1, 2$

$$-\operatorname{div}(\varepsilon \nabla(\chi E_j)) = \operatorname{div}(\chi(\partial_j \varepsilon E + S_j)) + f_j \text{ in } \Omega,$$

where $f_j = -\nabla \chi \cdot (\partial_j \varepsilon E + S_j) - \varepsilon \nabla E_j \cdot \nabla \chi - \operatorname{div}(\varepsilon E_j \nabla \chi) \in L^2(\Omega; \mathbb{C})$. Note that $E \times \nu = 0$ on $\partial\Omega$ implies $\chi E_1 = \chi E_2 = 0$ on $\partial\Omega$. Proposition 14 together with (38) then shows that $\nabla(\chi E_j)$ belongs to $L^{2,\lambda_1}(\Omega; \mathbb{C}^3)$ for $j = 1, 2$. Arguing as in the proof of Proposition 7, we also derive that $\nabla(\chi E_3) \in L^{2,\lambda_1}(\Omega; \mathbb{C}^3)$. Therefore $\nabla(\chi E) \in L^{2,\lambda_1}(\tilde{B}; \mathbb{C}^{3 \times 3})$, and in turn $\nabla E \in L^{2,\lambda_1}(\tilde{B}; \mathbb{C}^{3 \times 3})$.

Global regularity. Combining the interior and the boundary estimates we obtain that ∇E is in $L^{2,\lambda_1}(\Omega; \mathbb{C}^{3 \times 3})$, together with (36). \square

We are now ready to prove the global Hölder regularity result.

Proof of Theorem 4. Considering the system satisfied by $E - G$ and H , we may assume $G = 0$. Choose any $\tilde{\lambda} > 1$ such that $\tilde{\lambda} < \lambda_\mu$ and $\tilde{\lambda} \leq 3\frac{p-2}{p}$. Hölder's inequality shows that $J_m \in L^{2,\tilde{\lambda}}(\Omega; \mathbb{C}^3)$ and $\operatorname{div} J_e \in L^{2,\tilde{\lambda}}(\Omega; \mathbb{C})$. We apply Proposition 16 a finite number of times, starting with $\nabla E \in L^{2,\lambda_n}(\Omega; \mathbb{C}^{3 \times 3})$ for some $\lambda_n < 1$ (in the initial step we take $\lambda_0 = 0$, in view of Theorem 1), and obtain that $\nabla E \in L^{2,\lambda_{n+1}}(\Omega; \mathbb{C}^{3 \times 3})$, with $\lambda_{n+1} = \min(\tilde{\lambda}, (n+1)2\delta(\delta+3)^{-1})$. If $\lambda_{n+1} = 1$, Proposition 16 could not be applied another time (as $\lambda_0 = 1$ is excluded). An easy workaround is to reduce δ to a nearby irrational (just for this step), and proceed. We stop the iterative procedure as soon as $\lambda_{n+1} > 1$ and we infer that $\nabla E \in L^{2,\lambda}(\Omega; \mathbb{C}^{3 \times 3})$ for some $1 < \lambda \leq \tilde{\lambda}$. A final application of Proposition 16 gives $\nabla E \in L^{2,\min(\tilde{\lambda}, 3(1+\delta)(3+\delta)^{-1})}(\Omega; \mathbb{C}^{3 \times 3})$; the result then follows from Lemma 13. \square

Appendix. Proof of Theorem 12. The first step is to derive an appropriate very weak formulation.

PROPOSITION 17. *Under the hypotheses of Theorem 12, let $E, H \in H(\operatorname{curl}, \Omega)$ be a weak solution of (25).*

Then for each $k = 1, 2, 3$, (E_k, H_k) is a very weak solution of the elliptic system

$$\begin{cases} -\operatorname{div}(\varepsilon \nabla E_k + \xi \nabla H_k) = \operatorname{div}((\partial_k \varepsilon)E + (\partial_k \xi)H - \varepsilon(\mathbf{e}_k \times (-\mathbf{i}\omega \zeta E - \mathbf{i}\omega \mu H + J_m))) \\ \quad + \operatorname{div}(-\xi(\mathbf{e}_k \times (\mathbf{i}\omega \varepsilon E + \mathbf{i}\omega \xi H + J_e)) - \mathbf{i}\omega^{-1} \mathbf{e}_k \operatorname{div} J_e) \text{ in } \Omega. \\ -\operatorname{div}(\zeta \nabla E_k + \mu \nabla H_k) = \operatorname{div}((\partial_k \zeta)E + (\partial_k \mu)H - \mu(\mathbf{e}_k \times (\mathbf{i}\omega \varepsilon E + \mathbf{i}\omega \xi H + J_e))) \\ \quad + \operatorname{div}(\zeta(\mathbf{e}_k \times (\mathbf{i}\omega \zeta E + \mathbf{i}\omega \mu H - J_m)) + \mathbf{i}\omega^{-1} \mathbf{e}_k \operatorname{div} J_m) \text{ in } \Omega. \end{cases}$$

More precisely, for any $\varphi \in W^{2,2}(\Omega; \mathbb{C})$ there holds

$$\begin{aligned} & \int_{\Omega} E_k \operatorname{div}(\varepsilon^T \nabla \bar{\varphi}) \, dx + \int_{\Omega} H_k \operatorname{div}(\xi^T \nabla \bar{\varphi}) \, dx \\ &= \int_{\Omega} ((\partial_k \varepsilon)E + (\partial_k \xi)H) \cdot \nabla \bar{\varphi} \, dx \\ (40) \quad & - \int_{\Omega} (\varepsilon(\mathbf{e}_k \times (-\mathbf{i}\omega \zeta E - \mathbf{i}\omega \mu H + J_m)) + \xi(\mathbf{e}_k \times (\mathbf{i}\omega \varepsilon E + \mathbf{i}\omega \xi H + J_e))) \\ & \cdot \nabla \bar{\varphi} \, dx - \int_{\Omega} (\mathbf{i}\omega^{-1} \operatorname{div} J_e \mathbf{e}_k) \cdot \nabla \bar{\varphi} \, dx + \int_{\partial\Omega} (\partial_k \bar{\varphi})(\varepsilon E + \xi H) \cdot \nu \, d\sigma \\ & - \int_{\partial\Omega} (\mathbf{e}_k \times (H \times \nu)) \cdot (\xi^T \nabla \bar{\varphi}) \, d\sigma - \int_{\partial\Omega} (\mathbf{e}_k \times (E \times \nu)) \cdot (\varepsilon^T \nabla \bar{\varphi}) \, d\sigma, \end{aligned}$$

and

$$\begin{aligned} & \int_{\Omega} E_k \operatorname{div}(\zeta^T \nabla \bar{\varphi}) \, dx + \int_{\Omega} H_k \operatorname{div}(\mu^T \nabla \bar{\varphi}) \, dx \\ &= \int_{\Omega} ((\partial_k \zeta)E + (\partial_k \mu)H) \cdot \nabla \bar{\varphi} \, dx \\ (41) \quad & - \int_{\Omega} (\mu(\mathbf{e}_k \times (\mathbf{i}\omega \varepsilon E + \mathbf{i}\omega \xi H + J_e)) - \zeta(\mathbf{e}_k \times (\mathbf{i}\omega \zeta E + \mathbf{i}\omega \mu H - J_m))) \\ & \cdot \nabla \bar{\varphi} \, dx + \int_{\Omega} (\mathbf{i}\omega^{-1} \operatorname{div} J_m \mathbf{e}_k) \cdot \nabla \bar{\varphi} \, dx + \int_{\partial\Omega} (\partial_k \bar{\varphi})(\zeta E + \mu H) \cdot \nu \, d\sigma \\ & - \int_{\partial\Omega} (\mathbf{e}_k \times (E \times \nu)) \cdot (\zeta^T \nabla \bar{\varphi}) \, d\sigma - \int_{\partial\Omega} (\mathbf{e}_k \times (H \times \nu)) \cdot (\mu^T \nabla \bar{\varphi}) \, d\sigma. \end{aligned}$$

Proof. The proof is similar to that of Proposition 5. \square

We only study interior regularity for the problem at hand. The boundary regularity does not follow easily from the method used in section 2. Indeed, mixed boundary terms appear in (40) and (41), and the technique used in Proposition 7 and in Proposition 8, with test functions satisfying either Dirichlet or Neumann boundary conditions, does not apply, as both conditions would be required simultaneously.

The “very weak to weak” Lemma 6 adapted to this mixed system is given below.

LEMMA 18. *Assume (27) and (30) hold, and let A be given by (26).*

Given $r \geq \frac{6}{5}$, $u \in L^2(\Omega; \mathbb{R}^4) \cap L^r(\Omega; \mathbb{R}^4)$, and $F \in W^{1,r'}(\Omega; \mathbb{R}^4)'$, if

$$(42) \quad \int_{\Omega} u^j \partial_{\alpha}(A_{ij}^{\alpha\beta} \partial_{\beta} \varphi^i) dx = \langle F_i, \varphi^i \rangle, \quad \varphi \in W^{2,2}(\Omega; \mathbb{R}^4) \cap W_0^{1,2}(\Omega; \mathbb{R}^4),$$

then $u \in W^{1,r}(\Omega; \mathbb{R}^4)$ and

$$(43) \quad \|\nabla u\|_{L^r(\Omega; \mathbb{R}^{4 \times 3})} \leq C \|F\|_{W^{1,r'}(\Omega; \mathbb{R}^4)'}$$

for some constant $C = C(r, \Omega, \Lambda, \|\varepsilon, \xi, \mu, \zeta\|_{W^{1,3}(\Omega; \mathbb{C}^{3 \times 3})^4})$.

Proof. Let $\psi \in \mathcal{D}(\Omega; \mathbb{R})$ be a test function and take $\alpha^* \in \{1, 2, 3\}$ and $j^* \in \{1, \dots, 4\}$. Since A satisfies the strong Legendre condition (27), the system

$$(44) \quad \begin{cases} \partial_{\alpha}(A_{ij}^{\alpha\beta} \partial_{\beta} \varphi_*^i) = \delta_{jj^*} \partial_{\alpha^*} \psi & \text{in } \Omega, \\ \varphi_* = 0 & \text{on } \partial\Omega, \end{cases}$$

has a unique solution $\varphi_* \in H_0^1(\Omega; \mathbb{R}^4)$ (see, e.g., [13, 8]). Further, since $A_{ij}^{\alpha\beta} \in W^{1,3}(\Omega; \mathbb{R})$, by [6, Theorem 1.7, Remark 1.8] for any $q \in (1, \infty)$

$$(45) \quad \|\varphi_*\|_{W^{1,q}(\Omega; \mathbb{R}^4)} \leq c \|\psi\|_{L^q(\Omega; \mathbb{R}^4)}$$

for some $c = c(q, \Omega, \Lambda, \|\varepsilon, \xi, \mu, \zeta\|_{W^{1,3}(\Omega; \mathbb{C}^{3 \times 3})^4}) > 0$. Hence, the usual difference quotient argument given in [13] shows that $\varphi_* \in W^{2,2}(\Omega; \mathbb{R}^4)$. Therefore, by assumption we have

$$\left| \int_{\Omega} u^{j^*} \partial_{\alpha^*} \psi dx \right| = \left| \int_{\Omega} u^j \partial_{\alpha}(A_{ij}^{\alpha\beta} \partial_{\beta} \varphi_*^i) dx \right| = |\langle F_i, \varphi_*^i \rangle| \leq \|F\|_{W^{1,r'}(\Omega; \mathbb{R}^4)'} \|\varphi_*\|_{W^{1,r'}(\Omega; \mathbb{R}^4)},$$

which in view of (45) gives

$$\left| \int_{\Omega} u^{j^*} \partial_{\alpha^*} \psi dx \right| \leq c \|F\|_{W^{1,r'}(\Omega; \mathbb{R}^4)'} \|\psi\|_{L^{r'}(\Omega; \mathbb{R}^4)},$$

whence the result. \square

The following proposition mirrors Propositions 7 and 8. Theorem 12 then follows by the bootstrap argument used in the proof of Theorem 3.

PROPOSITION 19. *Under the hypotheses of Theorem 12 and given $q \in [2, \infty)$, set $r = \min((3q + q\delta)(q + 3 + \delta)^{-1}, p)$. Let E and H in $H(\text{curl}, \Omega)$ be weak solutions of (25).*

Suppose $E, H \in L^q(\Omega; \mathbb{C}^3)$. Then $E, H \in W_{loc}^{1,r}(\Omega; \mathbb{C}^3)$ and for any open subdomain Ω_0 such that $\overline{\Omega_0} \subset \Omega$ there holds

$$(46) \quad \|(E, H)\|_{W^{1,r}(\Omega_0; \mathbb{C}^3)} \leq C(\|(E, H)\|_{L^q(\Omega; \mathbb{C}^6)} + \|(J_e, J_m)\|_{W^{1,p}(\text{div}, \Omega)^2})$$

for some constant $C = C(r, \Omega, \Omega_0, \Lambda, \omega, \|\varepsilon, \xi, \mu, \zeta\|_{W^{1,\delta+3}(\Omega; \mathbb{C}^{3 \times 3})^4})$.

Proof. From (40) we see that for every compactly supported $\varphi^1, \varphi^2 \in W^{2,2}(\Omega; \mathbb{C})$, and $k = 1, 2, 3$ there holds

$$(47) \quad \begin{cases} \int_{\Omega} E_k \operatorname{div}(\varepsilon^T \nabla \overline{\varphi^1}) + H_k \operatorname{div}(\xi^T \nabla \overline{\varphi^1}) \, dx = \int_{\Omega} F_k \cdot \nabla \overline{\varphi^1} \, dx, \\ \int_{\Omega} E_k \operatorname{div}(\zeta^T \nabla \overline{\varphi^2}) + H_k \operatorname{div}(\mu^T \nabla \overline{\varphi^2}) \, dx = \int_{\Omega} G_k \cdot \nabla \overline{\varphi^2} \, dx \end{cases}$$

with

$$F_k = (\partial_k \varepsilon) E + (\partial_k \xi) H - \varepsilon (\mathbf{e}_k \times (-\mathbf{i}\omega \zeta E - \mathbf{i}\omega \mu H + J_m)) - \xi (\mathbf{e}_k \times (\mathbf{i}\omega \varepsilon E + \mathbf{i}\omega \xi H + J_e)) - \mathbf{i}\omega^{-1} \operatorname{div} J_e \mathbf{e}_k$$

and

$$G_k = (\partial_k \zeta) E + (\partial_k \mu) H - \mu (\mathbf{e}_k \times (\mathbf{i}\omega \varepsilon E + \mathbf{i}\omega \xi H + J_e)) + \zeta (\mathbf{e}_k \times (\mathbf{i}\omega \zeta E + \mathbf{i}\omega \mu H - J_m)) + \mathbf{i}\omega^{-1} \operatorname{div} J_m \mathbf{e}_k.$$

By construction, $F_k, G_k \in L^r(\Omega; \mathbb{C}^3)$.

Given a smooth subdomain Ω_0 , we consider a cut-off function $\chi \in \mathcal{D}(\Omega)$ such that $\chi = 1$ in Ω_0 . A straightforward computation shows

$$\begin{cases} \int_{\Omega} \chi E_k \operatorname{div}(\varepsilon^T \nabla \overline{\varphi^1}) + \chi H_k \operatorname{div}(\xi^T \nabla \overline{\varphi^1}) \, dx = \int_{\Omega} F_k \cdot \nabla(\chi \overline{\varphi^1}) \, dx + T_k(\varphi^1), \\ \int_{\Omega} \chi E_k \operatorname{div}(\zeta^T \nabla \overline{\varphi^2}) + \chi H_k \operatorname{div}(\mu^T \nabla \overline{\varphi^2}) \, dx = \int_{\Omega} G_k \cdot \nabla(\chi \overline{\varphi^2}) \, dx + R_k(\varphi^2), \end{cases}$$

where

$$T_k(\varphi^1) = - \int_{\Omega} E_k (\operatorname{div}(\varepsilon^T \overline{\varphi^1} \nabla \chi) + \varepsilon \nabla \chi \cdot \nabla \overline{\varphi^1}) + H_k (\operatorname{div}(\xi^T \overline{\varphi^1} \nabla \chi) + \xi \nabla \chi \cdot \nabla \overline{\varphi^1}) \, dx$$

and

$$R_k(\varphi^2) = - \int_{\Omega} E_k (\operatorname{div}(\zeta^T \overline{\varphi^2} \nabla \chi) + \zeta \nabla \chi \cdot \nabla \overline{\varphi^2}) + H_k (\operatorname{div}(\mu^T \overline{\varphi^2} \nabla \chi) + \mu \nabla \chi \cdot \nabla \overline{\varphi^2}) \, dx.$$

This last system can be reformulated in the form (42), with A given by (26). We then apply Lemma 18 and obtain $\chi E_k, \chi H_k \in W^{1,r}(\Omega; \mathbb{C})$, namely, $E, H \in W^{1,r}(\Omega_0; \mathbb{C}^3)$. Finally, (46) follows from (43). \square

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