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► **To cite this version:**

Jun Deng, Nicholas Cummins, Maximilian Schmitt, Kun Qian, Fabien Ringeval, et al.. Speech-based Diagnosis of Autism Spectrum Condition by Generative Adversarial Network Representations. 7th International Digital Health Conference, Jul 2017, Londres, United Kingdom. pp.53-57, 10.1145/3079452.3079492 . hal-02080880

**HAL Id: hal-02080880**

**<https://hal.science/hal-02080880>**

Submitted on 9 Apr 2019

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# Speech-based Diagnosis of Autism Spectrum Condition by Generative Adversarial Network Representations

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## ABSTRACT

Machine learning paradigms based on child vocalisations show great promise as an objective marker of developmental disorders such as Autism. In conventional detection systems, hand-crafted acoustic features are usually fed into a discriminative classifier (e. g., Support Vector Machines); however it is well known that the accuracy and robustness of such a system is limited by the size of the associated training data. This paper explores, for the first time, the use of feature representations learnt using a deep Generative Adversarial Network (GAN) for classifying children's speech affected by developmental disorders. A comparative evaluation of our proposed system with different acoustic feature sets is performed on the Child Pathological and Emotional Speech database. Key experimental results presented demonstrate that GAN based methods exhibit competitive performance with the conventional paradigms in terms of the unweighted average recall metric.

## CCS CONCEPTS

• **Applied computing** → **Life and medical sciences; Health informatics**; • **Computing methodologies** → *Neural networks*;

## KEYWORDS

Autism Spectrum Condition; automatic diagnosis; generative adversarial networks; representation learning

## ACM Reference format:

Jun Deng, Nicholas Cummins, Maximilian Schmitt, Kun Qian, Fabien Ringeval, and Björn Schuller. 2017. Speech-based Diagnosis of Autism Spectrum Condition by Generative Adversarial Network Representations. In *Proceedings of DH '17, London, United Kingdom, July 2–5, 2017*, 5 pages. DOI: <http://dx.doi.org/10.1145/3079452.3079492>

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DH '17, London, United Kingdom

© 2017 ACM. 978-1-4503-5249-9/17/07...\$15.00.

DOI: <http://dx.doi.org/10.1145/3079452.3079492>

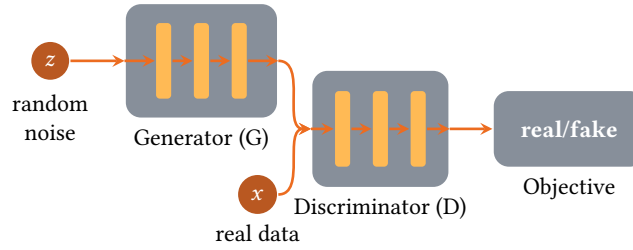
## 1 INTRODUCTION

In recent years, there has been a notable increase in research focused on identifying biological and behavioural markers to aid the early detection of *Autism Spectrum Conditions* (ASC). ASC is a group of conditions characterised by social, language and communications impairments as well as, repetitive stereotyped behaviours [1]. Early diagnosis is important for increased positive outcomes from therapy, as well as for reducing parental stress [6, 15].

Autism is known to manifest in different ways in the speech of children and adults [4, 15, 19]. Commonly reported linguistic peculiarities include echolalia, out of context phrasing, as well as pronoun and role reversal [5, 15, 21]. However, language skills in autism show several varying subtypes within the spectrum [13, 14]. Thus, linguistic based markers may not be reliable for the automatic diagnosis of ASC. Since abnormal prosody has also been reported as a core marker of ASC [12], paralinguistic cues appear, on the other hand, better suited for the automatic detection. Supra-segmental acoustic features relating to articulation, loudness, pitch, and rhythm have indeed shown promising results for children's speech [4, 19, 21, 25]. These acoustic features have also been successfully used in speech-based interaction systems for improving social skills of children suffering from ASC [18, 20].

Investigations have been undertaken with machine learning paradigms relying on acoustic and prosodic feature sets to automatically detect autism [24, 28, 32]. Whereas results show that high levels of accuracy can be achieved for a task like discriminating typically developing children from children with ASC, performance obtained by such systems have been evaluated on rather small datasets, and may lead to potential confounds [3]. The small size of currently available ASC related datasets represents a major obstacle in the development of robust models which are sufficiently reliable for clinical practice [31].

Whilst the collection of more data is the straightforward solution for this issue of data scarcity, the high costs associated with obtaining clinical data, from a population that further includes children, limits the practicality of this approach. Another option is the augmentation of the training data by artificially generated samples [31]. The potential of this approach has already been shown for speech-based emotion detection systems [17, 30].



**Figure 1: Diagram of a Generative Adversarial Networks (GANs).** A GAN basically involves a generator and a discriminator competing against each other in a zero-sum game framework. The generator takes random noise as input and tries to generate data in the hope of fooling the discriminator. Simultaneously, the discriminator tries to classify samples as either coming from the training data or the generated samples.

Inspired by the recent success in representation learning associated with advances in deep learning [7, 8, 16], we propose to learn feature representations by leveraging deep *Generative Adversarial Networks* (GANs) for automatic diagnosis of ASC in children’s voices. The deep GANs, a recently proposed unsupervised learning algorithm [11, 23, 26], are used as a means of learning intrinsic representations from unlabelled complex speech data. The resulting GAN representations are input to a traditional classifier in an attempt to facilitate the learning process.

Whilst predominantly used in image processing, the use of GAN’s to learn invariant feature representations has started to be explored in speech processing tasks such as speech enhancement [22] and, automatic speech recognition (ASR) in noisy conditions [33]. To the best of the author’s knowledge this is the first time it has been explored in computational paralinguistics.

The rest of this paper is laid out as follows; Section 2 introduces the GAN based classification framework; Section 3 sets out the key experimental setting and present the results; a succinct conclusion and future work plans are given in Section 4.

## 2 METHODOLOGY

### 2.1 Generative Adversarial Networks

Generative adversarial networks (GANs) have recently attracted considerable attention in the field of deep learning [11, 23, 26]. A GAN, as illustrated in Figure 1, consists of two competing networks in a zero-sum game framework. A generator network performs a data generating process, which takes random noise sampled from a pre-defined distribution (e. g., a uniform distribution or a unit Gaussian distribution) and maps them to a given true training data distribution. A second discriminator network receives samples from the generator and the training data, and then is forced to predict samples as either coming from the training data or the generated samples. The two networks play a MinMax or zero-sum game, where the discriminator is learning to differentiate between the two sources as accurately as possible. The generator is simultaneously learning to fool the discriminator by producing realistic samples. By the end of the ‘game’, the generator is able to perfectly synthesise the training data, and the discriminator is unable to find a difference between ‘fake’ samples synthesised by the generator and real samples from the dataset.

Mathematically, in order to learn the generator’s distribution  $p_g$  over data  $\mathbf{x}$ , we note  $p_z(z)$  as a prior on input noise variables,  $G(z; \theta_g)$  a mapping to the data space, and  $G$  a differentiable function represented by a deep neural network with parameters  $\theta_g$ . Similarly, we note  $D(\mathbf{x}; \theta_d)$  as a second deep neural network with parameters  $\theta_d$ .  $D(\mathbf{x})$  indicates the probability of  $\mathbf{x}$  belonging to the data rather than  $p_g$ . Therefore, a loss function  $V(G, D)$  of the two-player MinMax game [11] is defined as:

$$\min_G \max_D V(D, G) = \mathbb{E}_{\mathbf{x} \sim p_{\text{data}}(\mathbf{x})} [\log D(\mathbf{x})] + \mathbb{E}_{z \sim p_z(z)} [\log(1 - D(G(z)))], \quad (1)$$

where  $\mathbb{E}$  denotes the expected value. As shown in [11], for a fixed generator  $G$ , the optimal discriminator  $D$  is:

$$D_G^*(\mathbf{x}) = \frac{p_{\text{data}}(\mathbf{x})}{p_{\text{data}}(\mathbf{x}) + p_g(\mathbf{x})}. \quad (2)$$

It is noteworthy that this *minimax* rule has a global optimum for  $p_g = p_{\text{data}}$ , i. e., the generative model perfectly replicating the data distribution [11].

### 2.2 Generative Adversarial Networks based Automatic Diagnosis System

Drawing inspiration from the extensive success of deep representation learning for classification tasks with small data [2, 7, 8, 16], our proposed automatic diagnosis system, uses features learnt from unlabelled data generated by a GAN, instead of directly using hand-crafted acoustic features. The resulting features are used as an input to a supervised classifier (in this work, Support Vector Machines (SVM)), that performs classification modelling on the generated data as well as making predictions on the (real) test utterances.

As explained in the previous section (see Section 2.1), there are two different types of neural networks in a typical GAN. To learn meaningful representations, only GAN discriminators, which take *acoustic features* as input, can act as a non-linear feature extractor in our system: the output of an intermediate layer can be treated as a representation of the original input data. As a result, the input data are mapped to a feature space through a non-linear feature mapping, which is learnt by exploring non-linear structures of the

**Table 1: COMPARE acoustic feature set: 65 low-level descriptors (LLD).**

|   |              |
|---|--------------|
| <b>4 energy related LLD</b>                             | <b>Group</b> |
| RMS energy, zero-crossing rate                          | Prosodic     |
| Sum of auditory spectrum (loudness)                     | Prosodic     |
| Sum of RASTA-filtered auditory spectrum                 | Prosodic     |
| <b>55 spectral LLD</b>                                  | <b>Group</b> |
| MFCC 1–14   | Cepstral     |
| Psychoacoustic sharpness, harmonicity                   | Spectral     |
| RASTA-filt. aud. spect. bds. 1–26 (0–8 kHz)             | Spectral     |
| Spectral energy 250–650 Hz, 1 k–4 kHz                   | Spectral     |
| Spectral flux, centroid, entropy, slope                 | Spectral     |
| Spectral Roll-Off Pt. 0.25, 0.5, 0.75, 0.9              | Spectral     |
| Spectral variance, skewness, kurtosis                   | Spectral     |
| <b>6 voicing related LLD</b>                            | <b>Group</b> |
| $F_0$ (SHS and Viterbi smoothing)                       | Prosodic     |
| Prob. of voicing  | Voice qual.  |
| log. HNR, jitter (local and $\delta$ ), shimmer (local) | Voice qual.  |

data. In sum, our proposed system consists of three main modules: acoustic features extraction, a GAN, and a linear SVM.

**2.2.1 Acoustic Features.** The aim of acoustic feature extraction is to provide compact and discriminant representations of the speech signal on the basis of expert knowledge. For transparency and reproducibility, we exploited the OPENSMILE feature extraction toolkit [10] to extract two widely used audio feature sets in the field of computational paralinguistic; the *extended Geneva Minimalistic Acoustic Parameter Set* (eGEMAPS) and the large-scale *Interspeech 2013 Computational Paralinguistics Challenge* feature set COMPARE [10]. Both sets have been successfully utilised in the field of affective computing [8], and recently investigated for the automatic diagnosis of ASC in children’s voices [25, 28].

eGEMAPS is a *knowledge driven* data set that exploits the first two statistical moments (*mean and coefficient of variation*) to capture the distribution of *low-level descriptors* (LLDs) describing spectral, cepstral, prosodic and voice quality information, creating an 88 dimensional acoustical representation of an utterance. It was specifically designed by a small group of experts to be a basic standard acoustic parameter set for voice analysis tasks including paralinguistic and clinical speech analysis. For full details the reader is referred to [9]. COMPARE, on the other hand, is a large-scale brute forced acoustic feature set which contains 6 373 features representing prosodic, spectral, cepstral and voice quality LLDs. A detailed list of all LLDs for COMPARE is given in Table 1. For full details on COMPARE the reader is referred to [10].

**2.2.2 Generative Adversarial Network.** In our GAN model, the generator consists of a deep feed-forward neural network with one input layer of 100 neural units, three hidden layers of 256 hidden units, and one output layer. As already mentioned in Section 2.1, a uniform distribution is selected to provide random noise samples as input to the generator. Note that the number of neural units in the output layer is dependent on the selected acoustic feature sets. Similarly, the discriminator is a deep feed-forward neural

network with four hidden layers of 256 hidden units which lead into a sigmoid activation function which outputs the probabilities of whether the input utterance is real or artificial. The binary cross entropy is chosen as the objective function of the discriminator. The *tanh* activation function is adopted for the output layer of the generator. For the remaining layers of these models, *LeakyReLU* activations [34] and batch normalisation are employed to stabilise the training. Finally, we train an SVM with a linear kernel using the representations from a hidden layer of the discriminator.

## 3 EXPERIMENTS

### 3.1 ASC Corpus

We exploited the *Child Pathological & Emotional Speech Database* (CPESD) [24, 25] to conduct the empirical evaluations of our diagnosis system. This dataset includes spontaneous speech recordings inducing three emotion categories of valence (positive, neutral, and negative) from 34 monolingual children. All participants were recruited in two university departments of child and adolescent psychiatry located in Paris, France. All children were equipped with communicative verbal skills, and diagnosed with one of the following conditions: *autism disorders* (AD; 11 children), *pervasive developmental disorders not otherwise specified* (PDD-NOS; 10 children), or *specific language impairment* (SLI; 13 children), according to DSM-IV criteria [1]. All patients were matched for age, sex, academic grades, and lexical abilities. For the control group, 68 *typically developing* (TD) children from elementary schools were recruited. Participants were also matched for age and sex (two TD for one patient). Their teacher was asked to fill in a questionnaire to exclude children with learning disorders. In total, almost 12 hours of audio were recorded: 7 h 38 min for TD children, 1 h 35 min for children with AD, 1 h 12 min for children with PDD-NOS, and 1 h 56 min for children with SLI. Those recordings were then segmented into utterances, providing in total 6 380 segmented utterances from 102 children. The corpus was further divided into partitions for training (3 692 utterances, approximately 60 % of data), validation (1 281 utterances, approximately 20 % of data) and test (1 407 utterances, approximately 20 % of data). To ensure speaker identity is not a confounding factor, this partitioning was done in a completely child independent fashion i. e., all utterance from any given child are contained completely within one partition. All parameters of the models were optimised on the validation set, whereas the test partition is solely used for the purpose of performance evaluation on *unseen* children.

### 3.2 Key Experimental Settings

For the GAN models, the training data was scaled to the range of the *tanh* activation function  $[-1, 1]$ . The models were trained with *stochastic gradient descent* (SGD) with a mini-batch size of 128. In order to gain insights into the optimal representation, we investigate the outputs from each hidden layer of the discriminator network.

Note that the present study focusses on the recognition of diagnosis condition – as provided by clinicians – from speech recordings of AD, PDD-NOS, SLI, and TD children, which leads to a 4-way imbalanced classification task. Hence, performance is evaluated by *unweighted average recall* (UAR), which is suitable for imbalanced

**Table 2: Results in terms of UAR (%) for the 4-way speech-based diagnosis ASC task on CPESD with the eGEMAPS acoustic feature set.  $l_o$  indicates the index of the selected hidden layer used to compute the GAN representation. Maximum test UAR is highlighted in bold. Significant results ( $p$ -value < 0.05, one-sided z-test) are marked with an asterisk.**

| Method                | Validation | Test          |
|-----------------------|------------|---------------|
| Linear SVM            | 41.06      | 39.91         |
| SVM (RBF)             | 40.83      | 39.09         |
| MLP                   | 40.77      | 40.97         |
| GAN ( $l_o = 1$ )+SVM | 39.33      | 43.13*        |
| GAN ( $l_o = 2$ )+SVM | 40.92      | 42.76         |
| GAN ( $l_o = 3$ )+SVM | 40.59      | <b>44.06*</b> |
| GAN ( $l_o = 4$ )+SVM | 39.35      | 43.29*        |

**Table 3: Confusion matrix of the best system with eGEMAPS on the CPESD test set. Abbreviations: TD typically developing; NOS pervasive developmental disorders not-otherwise specified; SLI specific language impairment; AD autism disorders.**

|             |     | Predicted Labels |     |     |    |
|-------------|-----|------------------|-----|-----|----|
|             |     | TD               | NOS | SLI | AD |
| True Labels | TD  | 849              | 28  | 25  | 26 |
|             | NOS | 27               | 19  | 12  | 31 |
|             | SLI | 70               | 37  | 114 | 20 |
|             | AD  | 36               | 9   | 80  | 24 |

classes. In addition, significance tests are conducted by computing a *one-sided z-test* in order to compare two different diagnosis systems.

For comparison purposes, three representative methods including a linear SVM, an SVM with the Radial Basis Function (RBF) kernel, and a Multi-Layer Perceptron (MLP) with four hidden layers, which are fed with the eGEMAPS and COMPARE feature set, respectively, serve as baseline systems. In these approaches, all features are standardised w. r. t. the mean and the standard deviation of each feature derived from the training set. Note that the complexity parameter of the SVM was optimised w. r. t. the highest UAR on the validation set.

### 3.3 Results

For eGEMAPS, we first observe that all systems achieved promising performances far above the chance level UAR of 25.00% (cf. Table 2). We also observed that the outputs from each of the hidden layers of our proposed system achieved notable increases in performance on the test data. The outputs of the third hidden layer achieved the best test UAR of 44.06%, which is a relative increase of 10.40% over the Linear SVM baseline; the confusion matrix for this system is

**Table 4: Results in terms of UAR (%) for the 4-way speech-based diagnosis ASC task on CPESD with COMPARE.  $l_o$  indicates the index of the selected hidden layer used to compute the GAN representation. Maximum test UAR is highlighted in bold. Significant results ( $p$ -value < 0.05, one-sided z-test) are marked with an asterisk.**

| Method                | Validation | Test          |
|-----------------------|------------|---------------|
| Linear SVM            | 64.92      | 42.83         |
| SVM (RBF)             | 50.07      | 40.40         |
| MLP                   | 41.67      | 37.61         |
| GAN ( $l_o = 1$ )+SVM | 51.09      | <b>46.93*</b> |
| GAN ( $l_o = 2$ )+SVM | 50.64      | 44.27         |
| GAN ( $l_o = 3$ )+SVM | 51.38      | 45.29         |
| GAN ( $l_o = 4$ )+SVM | 47.06      | 43.83         |

**Table 5: Confusion matrix of the best system with the COMPARE feature on the CPESD test set. Abbreviations: TD typically developing; NOS pervasive developmental disorders not-otherwise specified; SLI specific language impairment; AD autism disorders.**

|             |     | Predicted Labels |     |     |    |
|-------------|-----|------------------|-----|-----|----|
|             |     | TD               | NOS | SLI | AD |
| True Labels | TD  | 830              | 54  | 25  | 19 |
|             | NOS | 46               | 24  | 5   | 14 |
|             | SLI | 54               | 20  | 146 | 21 |
|             | AD  | 47               | 9   | 77  | 16 |

presented in Table 3. Further, except for the second layer, our proposed system with the GAN representations and SVM significantly outperforms the Linear SVM baseline system.

Similarly when using COMPARE features (cf. Table 4), we observed a test set performance increase for the GAN representations when compared to the baseline systems. Furthermore all GAN COMPARE representations achieve stronger performances than their corresponding eGEMAPS representations. Although, it is worth noting that the outputs computed from the bottom hidden layer give the best COMPARE test UAR of 46.93%, which is different from the eGEMAPS case where the top layers were observed to be more suitable. The confusion matrix obtained by the strongest COMPARE system is presented in Table 5.

## 4 CONCLUSIONS AND OUTLOOK

Whilst research into using biological markers such as speech to aid the diagnosis of autism spectrum conditions is steadily increasing, the relative small size of the associated corpora is an important limiting factor in the creation of robust models with clinical utility. In this regards, this paper explored whether a deep *Generative Adversarial Network* (GAN) could serve to generate additional data representations that are suitable for recognising children with ASC

from TD. Results reported on the CPESD dataset indicate that a system trained with our generated features could achieve comparable accuracies with a similar system trained using state-of-art-feature representations. This result is, to our knowledge, the first of its kind, not just in speech-based autism detection, but in Computational Paralinguistics in general, and shows great promise for many tasks where data scarcity is an issue in general.

Future work will include testing our GAN based system in range of other health-based tasks such as depression detection. We will also test the GAN system capability for generating other commonly used behavioural signals such as video descriptors or physiological features such as electrocardiogram and electrodermal activity representations. Furthermore, we will compare and combine GAN-based feature learning with other unsupervised representation learning techniques, such as *bag-of-words* [27, 29].

## 5 COMPETING INTERESTS

The authors have declared that no competing interests exist.

## 6 ACKNOWLEDGEMENTS

This work was supported by the European Unions's Seventh Framework and Horizon 2020 Programmes under grant agreements No. 338164 (ERC StG iHEARu) and No. 688835 (RIA DE-ENIGMA).



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