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1	Improved histidinylated IPEI polyplexes for skeletal muscle cells transfection
2	Jean-Pierre Gomez <sup>1#</sup> , Guillaume Tresset <sup>2</sup> , Chantal Pichon <sup>1</sup> and Patrick Midoux <sup>1*</sup>
3	<sup>1</sup> Centre de Biophysique Moléculaire, CNRS UPR4301, Inserm and University of Orléans, F-
4	45071, Orléans cedex 02, France.
5	<sup>2</sup> Laboratoire de Physique des Solides, CNRS, Univ. Paris-Sud, Université Paris-Saclay,
6	91405 Orsay Cedex, France
7	*to whom correspondence should be addressed: Patrick.midoux@cnrs.fr
8	# current address : University of Orléans, COST, BP 6749, F-45067 Orléans Cedex 2
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11	myoblasts
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#### **ABSTRACT**

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Linear Polyethylenimine (IPEI) is an efficient cationic polymer for transfecting cells, both in vitro and in vivo, but poses concerns regarding cytotoxicity. Histidinylated IPEI (His-IPEI) exhibits also high transfection efficiency but lower cytotoxicity than IPEI. For the first time, we tested polyfection efficiency of polyplexes comprising both lPEI and His-lPEI. A series of pDNA polyplexes was prepared with mixtures of lPEI and His-lPEI and the amount of each polymer within His-IPEI/IPEI polyplexes was determined by flow cytometry. We show that His-lPEI/lPEI polyplexes exhibit properties similar to lPEI polyplexes in terms of size, morphology, assembly with pDNA, and polyplex stability while His-lPEI/IPEI polyplexes exhibit properties similar to His-lPEI polyplexes in terms of buffering capacity. Compared to polyplexes consisting only of IPEI or His-IPEI, the transfection profile reveals that His-1PEI/IPEI polyplexes containing 30% to 57% 1PEI strongly increase polyfection efficiency of NIH3T3 fibroblasts and murine, as well as human skeletal muscle cell lines without cytotoxicity. Importantly, improved transfection of human dystrophin deficient skeletal muscle cell lines was obtained. These results indicate that His-lPEI/lPEI polyplexes are an improved non-viral vector for efficient transfection of dystrophin deficient skeletal muscle cell lines that should be tested on animals.

#### 1. Introduction

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Gene therapy is an innovative therapeutic approach for treatment of genetic diseases, whether or not they are inheritable (Thorne et al., 2017). Among the different strategies available for gene delivery, recombinant viruses are powerful vectors that have significant clinical success in various pathologies, such as genetic immune severe combined immunodeficiency (X-SCID), Hemophilia or blinding retinal diseases, such as Leber congenital amaurosis (Dalkara and Sahel, 2014; Nienhuis et al., 2017). However several obstacles still limit their use, including immune responses against recombinant viruses, limited length for the transgene (which is particularly true for AAV, adeno-associated virus), and the expensive cost of their large-scale industrial production for human application. Chemical vectors, such as cationic polymers and cationic lipids complexed with plasmid DNA (pDNA) encoding the therapeutic gene, are a safer approach with higher pDNA loading capacity, lower immunogenicity and cheaper production, although still less efficient (Donkuru et al., 2010; Jafari et al., 2012; Junquera and Aicart, 2016; Rezaee et al., 2016; Tros de Ilarduya et al., 2010). Over the last 30 years, cationic polymers have chemically evolved but no molecular structure has emerged as a new leader (Lachelt and Wagner, 2015). Nowadays, linear polyethylenimine (IPEI) of 22 kDa is the "gold standard" cationic polymer for transferring pDNA owing to its unique high property to absorb protons in an acidic medium (Pandey and Sawant, 2016). This buffering capacity, due to the numerous secondary amino groups present on the polymer backbone, mediates a proton sponge effect in endosomes allowing escape of pDNA into the cytosol, thus limiting its lysosomal degradation and enhancing the transfection efficiency (Boussif et al., 1995; Neuberg and Kichler, 2014). Although IPEI is generally less toxic and exhibits higher transfection efficiency than its branched (bPEI) counterpart, there is still a certain amount of cytotoxicity (Castan et al., 2018; Demeneix and Behr, 2005; Gomez et al., 2013; Lungwitz et al., 2005; Lv et al., 2006). Covalent or non-covalent attachments of various molecules on IPEI

enhance its biocompatibility or allow targeting of specific cell populations. In this respect, poly(ethylene glycol) (PEG), some hydrophobic molecules, pluronic polymers, poly(N-(2hydroxypropyl)methacrylamide) derived copolymers, RGD peptides, folic acid, transferrin, antibodies, saccharides, cyclodextrins, and chitosan/polylactic acid nanoparticles have been tested (Hobel and Aigner, 2013; Kichler, 2004; Kircheis et al., 2001; Lai et al., 2014; Pandey and Sawant, 2016). The cytotoxicity was reduced and the transfection efficiency enhanced by the formation of polyplexes with two polymers, such as  $\gamma$ -polyglutamic acid ( $\gamma$ -PGA) with bPEI (Kurosaki et al., 2009), bPEI with anionic glycopolymers (Ahmed and Narain, 2013), polyethylenimine-poly(l-lysine)-poly(l-glutamic acid) (PELG) with hyaluronic acid (He et al., 2013; Tian et al., 2014; Wang et al., 2011), polyaspartamide with poly(L-lysine) (Sanjoh et al., 2010), poly (b-amino ester) (PbAE) with carboxymethyl poly (L-histidine) (CM-PLH) (Gu et al., 2014; Gu et al., 2012), bPEI with poly(methacryloyl sulfadimethoxine) (PSD)block-PEG (PSD-b-PEG) (Sethuraman et al., 2006), PEI with chitosan (Jiang et al., 2008; Zhao et al., 2008), and b-PEI with poly(2-(dimethylamino) ethylmethacrylate (PDMAEMA) (Lo et al., 2015). Moreover, mixtures of three different polymers, such as poly-l-lysine (PLL) or Dendrigraft poly-L-lysine (DGL) with poly-l-histidine (PLH) and γ-polyglutamic acid (γ-PGA) were used to formulate pDNA (Kodama, 2016; Kodama et al., 2015). Multilayered polyplexes formed by covering (i) DNA/protamine condensate with DNA and finally with PEI (Ou et al., 2012), (ii) pDNA/PEI or pDNA/poly (N-(8-aminooctyl)-acrylamide) (P8Am) with poly (acrylic acid) (PAA) and then with bPEI or P8Am (Ke and Young, 2010), enhanced cell uptake and endosomal escape. In this work, we examined whether the transfection efficiency of histidinylated IPEI (His-IPEI) polyplexes would increase in the presence of another efficient cationic polymer, such as IPEI, the closest cationic polymer. His-IPEI exhibits high transfection efficiency, low cytotoxicity and significant trans-endothelial passage capacity both in normal or inflammatory

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- conditions (Bertrand et al., 2011; Billiet et al., 2012; Gomez et al., 2017; Gomez et al., 2013).
- 83 The combination of these powerful cationic polymers has not yet been reported for the
- preparation of DNA polyplexes. Remarkably, we found that the combination of His-lPEI and
- 85 IPEI gave more efficient polyplexes to transfect fibroblasts and skeletal muscle cells lines
- than those consisting only of lPEI or His-lPEI.

#### 2. Materials and Methods

- 88 All reagents were purchased from Sigma (St. Quentin Fallavier, France) unless otherwise
- 89 stated.
- 90 2.1. Plasmid
- p3NFCMVLuc3NF (5556 bp) is a plasmid DNA (pDNA) encoding the firefly luciferase gene
- 92 under the control of the human cytomegalovirus (CMV) promoter, with two optimized and
- 93 extended NF kappaB DNA binding sequences (3NF) promoting pDNA nuclear import
- 94 (Breuzard et al., 2008; Goncalves et al., 2009). Supercoiled plasmid DNA was isolated from
- 95 Escherichia coli DH5α<sup>TM</sup> supercompetent bacteria (Invitrogen, Cergy Pontoise, France) by
- alkaline lysis and purification was performed by using QIAGEN EndoFree Plasmid Mega Kit
- 97 (Qiagen, Courtaboeuf, France).
- 98 2.2. Cells and cell culture
- 99 Cell lines were cultivated at 37°C in a 5% CO<sub>2</sub>-humidified atmosphere. The mouse lung
- epithelial cell line (MLE-12 cells; ATCC CRL-2110; Rockville MD, USA) was grown in
- HITES medium comprising Dulbecco's Modified Eagle's Medium DMEM/F12 supplemented
- with 5 µg/ml insulin, 10 µg/ml transferrin, 30 nM sodium selenite, 10 nM hydrocortisone, 10
- 103 nM β-oestradiol, 10 mM HEPES, 2 mM L-Glutamine, 2 % non-heat inactivated fetal bovine
- serum (FBS) and antibiotics (100 units/ml penicillin and 100 µg/ml streptomycin). The mouse
- embryo fibroblast cell line (NIH3T3 cells, ATCC CRL-1658) was cultured in DMEM 1 g/l
- glucose supplemented with 10 % heat-inactivated FBS, 100 units/mL penicillin and 100

units/ml streptomycin. The mouse myoblast - C2C12 (CRL1772; ATCC), H2K 2B4 (Muses et al., 2011) and H2K mdx cell lines (RRID:CVCL\_8120) (Morgan et al., 1994) were cultured in DMEM 4.5 g/l glucose (PAA) supplemented with 10 % heat-inactivated FBS, 1 % L-Glutamax, 100 units/mL penicillin and 100 units/ml streptomycin. The normal human bronchial epithelial cell line (16HBE14o-) (Cozens et al., 1994) (generous gift from Dieter Gruenert, San Francisco, CA, U.S.A.) was cultured in MEM with Earle's Salts supplemented with Penicillin (40 Units/ml) and Streptomycin (40 µg/ml), 2mM L-Glutamine and 10% heatinactivated FBS. Tissue culture plastic wares were coated for 20-30 min at 37°C with minimum essential medium (MEM) with Earle's Salts containing fibronectin (0.01 mg/ml), collagen (0.03 mg/ml) and bovine serum albumin (BSA) (0.1 mg/ml). The culture medium was changed every 2 days. The human embryo kidney 293T7 cell line (kindly given by Dr L. Huang) (Brisson et al., 1999) was cultured in DMEM containing 10% FBS, 2 mM Lglutamine, 1 mM sodium pyruvate, 100 units/mL penicillin, 100 units/ml streptomycin, and 400 μg/ml Geneticin. The human epithelial ovarian carcinoma cell line (HeLa; CCL2, ATCC) was cultured in MEM supplemented with 10% heat-inactivated FBS, 2mM L-glutamine, 1% of a 100X non-essential amino acid solution, 100 units/mL penicillin and 100 units/ml streptomycin. The human normal myoblasts (HSkM) and myoblasts from Duchenne muscular dystrophic patients (SKM DMD), kindly provided by Dr. V. Mouly (Institut de Myologie, Paris, France) (Mamchaoui et al., 2011), were cultured in Skeletal muscle cell basal medium (C-23160, Promocell GmbH, Heildelberg, DE) supplemented with 50 µg/ml bovine fetuin, 10 µg/ml human insulin, 10 ng/ml hEGF (human epidermal growth factor), 1 ng/ml hbFGF (basic human Fibroblast growth factor), 0.4 µg/ml dexamethasone, 5 % heat-inactivated FBS (Promocell), 100 units/mL penicillin and 100 units/ml streptomycin. All cell lines were tested free of mycoplasma by using Mycoalert<sup>TM</sup> PLUS Mycoplasma Detection kit (Lonza, Basel, Switzerland).

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- 132 *2.3. Polymers*
- Linear polyethylenimine (lPEI; Mw of 22 kDa) and His-lPEI (lPEI modified with 16%
- histidine residues *per* polymer molecule; Mw of 34.5 kDa) were prepared as described by
- (Bertrand et al., 2011). IPEI was labelled with fluorescein. Briefly, 10 mg IPEI dissolved in 1
- ml PBS was reacted at room temperature overnight with 1 mg FITC (Invitrogen) dissolved in
- 137 0.5 ml DMSO. FITC-lPEI was purified by dialysis (MW 10kDa) against water and then
- freeze-dried.
- 139 2.4. Polyplexes
- Polyplexes made with IPEI, His-IPEI or their mixtures were prepared at DNA/polymer weight
- ratio (μg/μg) of 1:6. lPEI, His-lPEI or (lPEI + His-lPEI) mixture (30 μg in 30 μl of 10 mM
- HEPES buffer, pH 7.4) was added to pDNA (5 μg in 93 μl of 10 mM HEPES buffer, pH 7.4)
- and mixed by up-down pipetting. After 4 s vortex mixing, the solution was kept for 30 min at
- 144 20°C before use. For transfection, the volume was adjusted to 1 ml with the cell-specific
- culture medium.
- 146 2.5. Flow cytometry analysis of fluorescent polyplexes
- 147 The polymer composition of lPEI/His-lPEI polyplexes was determined by flow cytometry
- analysis by using BD LSR FORTESSA X20 flow cytometer (Becton Dickinson). Polyplexes
- 149 made with FITC-PEI/His-lPEI mixtures or FITC-lPEI/IPEI mixture were prepared as
- described above and their mean fluorescence intensity (MFI) was measured at  $530 \pm 30$  nm
- upon excitation at 488 nm.
- 152 2.6. Size and Zeta potential measurements
- Polyplexes made with 14 µg DNA were prepared in 1.4 ml of 10 mM HEPES buffer, pH 7.4.
- The size was measured at 25°C by quasi-elastic laser light scattering (QELS) with SZ-100
- Analyser (Horiba Scientific, les Ulis, France), calibrated with 204 nm latex nanosphere size
- standards (Duke Scientific Corps Palo Alto, CA). The ζ potential was measured at 25°C by

electrophoretic mobility with SZ-100 Analyser, after calibration with DTS 1050 standard

158 (Malvern).

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159 2.7. SYBR Green experiments and Dextran Sulphate competition assays

160 SYBR Green nucleic acid gel stain (Invitrogen, Thermo Fisher Scientific) was added to

pDNA (2 µg) in HEPES buffer (10 mM, pH 7.4) at 1:10000 dilution to obtain a pDNA/SYBR

Green labelled solution. Intercalation-caused fluorescence intensity was quantified using a

Victor I spectrophotometer (PerkinElmer, Courtaboeuf, France) at 520 nm emission and 488

nm excitation and expressed as a percentage of fluorescence in the absence of any polymer. In

Dextran Sulphate (DS) competition assays, pDNA was first labelled with SYBR Green before

polyplex formation, as described above. Dissociation of DNA complexes was then induced by

adding DS (MW of 500 kDa). The fluorescence intensity was measured with a Victor I

spectrophotometer and expressed as the ratio between polyplex fluorescence in the presence

and absence of DS.

170 2.8. *CryoTEM* 

Polyplexes were prepared at a final DNA concentration of 0.15 mg/mL in 10 mM HEPES, pH

7.4. A Quantifoil R2/2 carbon grid (Jena, Germany) was ionized by glow discharge and 3 µL

of polyplexes was deposited onto it. The grid was then abruptly immersed in liquid ethane *via* 

a FEI Vitrobot cryoplunger and stored in liquid nitrogen until use. The frozen samples were

introduced into a high resolution JEOL-2010 transmission electron microscope equipped with

a 200-kV field emission gun via a Gatan 626 cryoholder. They were imaged at a

magnification of 50,000x using a minimal dose system enabling film exposure while

preserving the samples from beam damage. The images were collected with a Gatan Ultrascan

4K CCD camera with a defocus of 1 µm.

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- 182 2.9. Transfection
- 183 Two days before transfection, cells were seeded in 24 well culture plates at a density of  $1 \times 10^5$
- to  $1.5 \times 10^5$  cells/cm<sup>2</sup> depending on the cell lines used. At the time of transfection, the culture
- medium was discarded and the cells were incubated with 1 ml of polyplexes (5 µg/ml pDNA).
- After 4 h, the medium was removed and cells were cultured in their standard culture medium.
- 187 The transfection efficiency was quantified after 48 h post-transfection by measuring the
- luciferase activity in cell lysates as follows. The culture medium was removed and cells were
- lysed at room temperature during 10 min in lysis buffer (1 % Triton X-100, 25 mM Tris-
- 190 phosphate, 1 mM DTT, 1 mM EDTA, 15 % glycerol, 1 mM MgCl<sub>2</sub>, pH 7.8). Luciferase
- 191 substrate (Beetle luciferin, Promega, Madison, USA) was added to the lysate supernatant in
- the presence of 1 mM ATP. The luciferase activity was measured using a luminometer
- 193 (Lumat LB 9507, Berthold, Thoiry, France) and expressed as RLU per mg of protein after
- 194 protein normalization using the BCA colorimetric assay and bovine serum albumin.
- 195 *2.10. Cytotoxicity*
- The cytotoxicity of polyplexes was measured after 48 h post-transfection by using the MTT
- 197 assay (Mosmann, 1983). 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide
- 198 (MTT; 100 µl of 5 mg/mL solution in PBS) was added *per* culture well. After 4 h incubation
- at 37°C, MTT converted in formazan was solubilized with acidic isopropanol. The absorbance
- at 570 nm was measured with Victor I spectrophotometer and cytotoxicity expressed as a
- percentage of absorbance relative to control cells (cells without any treatments).
- 202 *2.11. Statistics*
- 203 The results were expressed as the mean  $\pm$  standard deviation. The mean of each group was
- 204 compared by the non-parametric Mann-Whitney statistical test. p < 0.05 was considered as
- statistically significant.

#### 3. Results

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208 3.1. Physicochemical characterization of lPEI / His-lPEI polyplexes

A series of polyplexes was prepared with pDNA and mixtures of lPEI and His-lPEI. The 209 210 pDNA/polymer weight ratio was kept constant and equal to 1/6 (N/P of 4.88), a value which had demonstrated strong DNA condensation and high transfection efficiency in several cell 211 lines (Bertrand et al., 2011; Goncalves et al., 2016). His-lPEI/IPEI polyplexes were prepared 212 by varying the percentage of His-lPEI and lPEI from 0% lPEI (100% His-lPEI) to 100% lPEI 213 214 (0% His-lPEI) at N/P ratios ranged from 4.88 to 9.18. First, polyplex formation was characterized by agarose gel electrophoresis shift assay. Independent of the nature of the 215 polymer mixtures, no pDNA migrated, indicating its total complexation with the polymers 216 and polymer mixtures (Fig. 1). The electrophoresis band in the well was more fluorescent 217 with His-lPEI than with lPEI, revealing better pDNA condensation with the latter polymer 218 219 than the former. The fluorescence intensity of wells corresponding to His-lPEI polyplexes containing IPEI was weak in line with an enhanced pDNA condensation (Fig. 1). To further 220 221 characterize the polyplexes, their size was measured by DLS (Fig. 2). His-IPEI formed 222 polyplexes of 90 ± 10 nm. The size of polyplexes with lPEI/His-lPEI mixture decreased as 1PEI quantity increased in the mixture, from  $83 \pm 2.3$  nm at N/P of 5.31 to  $65.8 \pm 2$  nm at N/P 223 224 of 8.75, a size value close to that of IPEI polyplexes (65  $\pm$  1.4 nm). The  $\xi$  potential did not differ significantly between the various polymer mixtures and was in the range of 25 mV to 225 226 38 mV, similar to the polyplexes consisting only of IPEI (34.2  $\pm$  8 mV) or His-IPEI (33.2  $\pm$ 0.6 mV) (Fig. 3). 227

- 228 3.2. Interaction strength between pDNA and His-lPEI, lPEI or His-lPEI/lPEI
- As observed in agarose gel electrophoresis, pDNA condensation varied with the polymer mixture composition. Dye exclusion assay was performed to obtain more information. pDNA was stained with SYBR Green and then the fluorescence intensity was measured after

addition of IPEI or His-IPEI or their mixtures. The fluorescence intensity of IPEI/His-IPEI polyplexes decreased as the IPEI quantity increased, from 87 % at N/P of 5.31 to 95 % at N/P of 8.75, confirming an increased in pDNA condensation (Fig. 4). In contrats, fluorescence decrease in the presence of IPEI reached 98 % whereas it was only of 76 % in the presence of His-IPEI in line with agarose gel electrophoresis. These values corresponded to maximal and minimal pDNA condensation.

3.3. Polymer composition within polyplexes

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At pH 7.4, IPEI and His-IPEI exhibit 102 and 85 cationic charges per polymer molecule, respectively (Bertrand et al., 2011). Therefore, IPEI interacts more strongly with pDNA than His-lPEI at the same concentration. Consequently, the percentages of lPEI and His-lPEI complexed with pDNA within the same polyplex cannot be the same as in the original polymer mixture. In order to determine the amount of lPEI and His-lPEI that are effectively associated with pDNA within polyplexes, the composition in polyplexes was assessed by flow cytometry analysis of polyplexes using FITC-lPEI (Fig. 5). First, the mean fluorescence intensity (MFI) of polyplexes made with FITC-lPEI/lPEI was measured as a function of the amount of FITC-lPEI and non-fluorescent lPEI. Figure 5A & 5B showed that MFI decreased linearly when the amount of non-fluorescent IPEI increased in the polymer mixture corresponding to a FITC-lPEI dilution effect by lPEI. This meant that interaction with pDNA of FITC-IPEI and non-fluorescent IPEI was identical. In contrast, the MFI of FITC-IPEI/His-IPEI polyplexes did not decrease linearly when the amount of non-fluorescent His-IPEI increased (Fig. 5B). This indicated that the interaction with pDNA of FITC-lPEI and nonfluorescent His-IPEI was different. As expected, IPEI interacts stronger than His-IPEI in line with its higher amount of cationic charges. The real amount of IPEI and His-IPEI within lPEI/His-lPEI polyplexes was determined from the FITC-lPEI/lPEI polyplex curve (Table 1). The results indicated that polyplexes contained 30% and 73% IPEI when the mixture

comprised 15% and 51% IPEI, respectively. Above 70% IPEI in the mixture, the polyplexes

258 contained only lPEI.

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259 3.4. Buffering capacity

260 Endosome destabilization by IPEI and His-IPEI is a common property of these two polymers.

Thus, the buffering capacities of the HislPEI/IPEI mixture (molar ratio of 72/28; %/%)

estimated by measuring the change in pH of the polymer solution upon titration with 0.1N

HCl solution was compared to that of IPEI and His-IPEI and (Fig. 6). The buffering capacity

is proportional to the reciprocal slope of the titration plot over a pH range. The titration curve

of IPEI over the pH range of 4 to 8 presents one phase with a reciprocal slope of 47.6 ml. In

contrast, there are two phases in the titration curve of His-lPEI and the mixture. The buffering

capacity of His-IPEI was 36.7 ml in the pH range of 4 to 6.3, which was slightly lower than

that of IPEI. In contrast, it was higher (135 ml) than that of IPEI in the pH range of 6 to 7.4

related to the pH range of endosomes. The buffering capacity of the mixture in the pH range

of 4 to 6.5 (29.5 ml) was slightly lower than that of His-lPEI in the same pH range whereas it

was closer to that of His-lPEI in the pH range of endosomes (109 ml). Compared to His-lPEI,

the reduced buffering capacity of the mixture in the two pH ranges was due to the presence of

273 lPEI.

274 3.5. Polyplex stability

275 The stability of the polyplexes was tested by measuring their fluorescence intensity in the

presence of DS, a polyanion of high molecular weight (500 kDa) (Fig. 7). The addition of 2

µM DS drastically increased the intensity of SYBR Green fluorescence from a ratio of 1 to 4

for IPEI polyplexes and His-IPEI/IPEI polyplexes containing 30% and 57% IPEI, indicating

that decondensation and/or release of pDNA occurred. In contrast, the fluorescence intensity

of His-IPEI polyplexes did not change whatever the DS concentration, indicating a greater

stability of these polyplexes compared to those consisting only of IPEI.

3.6. Polyplex morphology

- The supramolecular structures of His-lPEI/lPEI polyplexes made with 72% of His-lPEI and
- 284 28% lPEI were investigated by cryo-transmission electron microscopy (cryo-TEM). His-
- 285 IPEI/IPEI polyplexes micrographs showed the characteristic toroidal wrapping of DNA with a
- clear short-range organization (Fig. 8a & 8b). This organization was similar to that of
- polyplexes consisting only of IPEI (Fig. 8c) but contrast with that of polyplexes consisting of
- only His-IPEI that did not show any DNA organization, as previously reported (Fig. 8d)
- 289 (Maury et al., 2014).
- 290 3.7. Transfection efficiency of lPEI / His-lPEI polyplexes
- The transfection efficiency of lPEI/His-lPEI polyplexes was assessed in various cell lines with
- pDNA encoding the luciferase reporter gene. In NIH 3T3 cells, the luciferase expression upon
- transfection with His-lPEI polyplexes was 3.9 x 10<sup>5</sup> RLU per mg of protein (Fig. 9). The
- luciferase activity increased progressively upon transfection with lPEI/His-lPEI polyplexes
- 295 containing an increasing amount of IPEI and reached a value 200-fold higher than that
- obtained with His-lPEI polyplexes. When polyplexes contained 27% His-lPEI and 73% lPEI,
- 297 the luciferase activity reached a maximum of 8.5 x 10<sup>7</sup> RLU/mg of protein, a value close to
- that obtained with lPEI polyplexes  $(4.5 \times 10^7 \text{ RLU/mg of protein})$ .
- 299 The transfection efficiency profile of H2K mdx cells was different (Fig. 10). In the first place,
- His-lPEI polyplexes were more efficient than lPEI polyplexes  $(3.7 \times 10^6 \text{ versus } 1.1 \times 10^5 \text{ m})$
- 301 RLU/mg protein). On the other hand, the efficientcy of His-lPEI/lPEI polyplexes containing
- 30% lPEI and 70% His-lPEI was ~12-fold higher compared to His-lPEI polyplexes. The
- addition of a higher proportion of lPEI did not offer any additional benefit, since the
- transfection efficiency gradually decreased to reach a value (3.5 x 10<sup>5</sup> RLU/mg protein) close
- to that of IPEI polyplexes, in line with the fact that above 70% IPEI, polyplexes contained
- only IPEI. When assessed by MTT assay, IPEI polyplexes displayed high cytotoxicity (77.5  $\pm$

1 %) on H2K mdx cells in contrast to His-lPEI polyplexes (20  $\pm$  2 %) (Fig. 11). The cytotoxicity of His-lPEI/lPEI polyplexes appeared when His-lPEI/lPEI polyplexes contained 73% IPEI and increased progressively to reach a cytotoxicity close to that of polyplexes consisting of only IPEI. The IC50% of cytotoxicity of IPEI was 30, 6.5, 20 and 6.5 µg/ml on HeLa, HEK293T7, C2C12 and  $\Sigma$ CFTE cells, respectively whereas it was >> 100 µg/ml for His-lPEI (Bertrand et al., 2011). Accordingly, in His-lPEI/lPEI polyplexes made with polymer mixtures containing less than 70% IPEI, a large amount of IPEI was associated with pDNA, thus contributing to a reduction in cytotoxicity. Above 70% IPEI, however, the polyplex solution contained mostly free IPEI, which was responsible for the cytotoxicity. In summary, His-IPEI/IPEI polyplexes containing less than 70% IPEI presented a benefit in terms of transfection efficiency and viability of H2K mdx cells. Next, the transfection efficiency of His-lPEI/lPEI polyplexes was evaluated on various murine and human cell lines (Tables 2 and 3). There was no benefitial effect in the mouse lung epithelial MLE-12 cells (Table 2). Conversely, His-IPEI/IPEI polyplexes were more effective than His-IPEI and IPEI polyplexes in mouse muscular cells. Transfection increased 6.5- to 6.7-fold in normal myoblasts (C2C12 cells) and immortal satellite cell-derived from myofibres (H2K 2B4 cells) with His-lPEI/lPEI polyplexes containing 30 to 57 % lPEI and 11.6-fold in dystrophic cells (H2K mdx cells). A similar transfection profile was observed in human cell lines (Table 3). There was no benefit for transfection from His-IPEI/IPEI polyplexes in non-muscular cells, such as the epithelial ovarian carcinoma cells (HeLa cells) and the human embryo kidney cells (293T7 cells). A weak benefit (1.24-fold) was observed in human bronchial epithelial cells (16HBE14o- cells) in the presence of 30% IPEI. However, His-IPEI/IPEI polyplexes containing 30 % IPEI enhanced ~3-fold the transfection of normal (SKMH cells) and dystrophic (SKM DMD cells) myoblasts.

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#### 4. Discussion

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In the present study, the transfection efficiency of polyplexes consisting of both His-lPEI and 1PEI demonstrated superiority in NIH3T3 cells and skeletal muscle cells in comparison to polyplexes consisting only of IPEI or His-IPEI. The presence of IPEI within His-IPEI polyplexes increased pDNA condensation in line with its higher amount of cationic charges providing stronger interaction with pDNA rather than His-lPEI. This higher condensation was observed by agarose gel electrophoresis showing lower dye inclusion in pDNA and by dynamic light scattering showing hydrodynamic size reduction of His-lPEI polyplexes in the presence of IPEI. The  $\zeta$  potential of His-IPEI/IPEI polyplexes was not modified and was in the range of 24.8 mV to 38.4 mV, close to that of polyplexes consisting only of His-lPEI or lPEI. The presence of lPEI within His-lPEI polyplexes decreased polyplex stability suggesting that the supramolecular assembly was different from that of His-lPEI polyplexes. DNA compaction varies with the type of cationic polymers, the number of cationic charges per polymer molecule, and the nature of the chemical group bearing the cationic charges, leading to structurally different supramolecular assemblies with pDNA. High-resolution cryo-TEM images previously showed that histidinylation of lPEI had a crucial influence on polyplex morphology. His-lPEI polyplexes exhibited a spherical shape and amorphous character indicating that the binding energy of partners was weaker than in the ordered globular structures of IPEI polyplexes (Maury et al., 2014). The same results were obtained by Cryo-TEM analyses in the present study. Here, Cryo-TEM of polyplexes consisting of 72% His-1PEI and 28% 1PEI showed that their well-ordered structure was similar to that of 1PEI polyplexes. DNA condensation by cationic polymers is reversible in the presence of high molecular weight polyanions (Sun et al., 2011a; Sun et al., 2011b). When tested, DS of high molecular weight allowed dissociation of IPEI polyplexes and His-IPEI/IPEI polyplexes but not His-lPEI polyplexes. While DNA compaction or packaging is a relatively slow process,

DNA decondensation is a rapid process occurring in a few seconds upon its initialization (Widom and Baldwin, 1980). Therefore, decondensation of the well-ordered structure required lower amounts of polyanion than in unordered structures. Compared to polyplexes consisting only of His-lPEI, the presence of lPEI within His-lPEI polyplexes decreased their stability in the presence of DS, suggesting that lPEI could control the assembly of polyplexes containing both polymers. This confirms the Cryo-TEM images, which show organizational similarity between His-lPEI/IPEI/DNA complexes and lPEI/DNA complexes. Here, the polyplexes were formed by mixing pDNA with the mixture of two polymers at different percentages. Interaction of pDNA with lPEI is greater compared to His-lPEI due to its higher number of cationic charges. Flow cytometry allowed the determination of the amount of each polymer really associated with the polyplexes. This determination was essential and confirmed that competition occurred between the two polymers. The preparation of His-IPEI/IPEI polyplexes was different to that of multi-layered polyplexes, where the polyplexes were formed with a first polymer before addition of the second polymer interacting with the polyplex surface. Here, the interactions of His-IPEI and IPEI with the nucleic acid occur at the same time and form an ordered assembly probably without polymer multilayers. The impact of His-IPEI/IPEI polyplexes on transfection was assessed in various murine and human cell lines. Transfection was performed in NIH3T3 mouse fibroblasts because a superior transgene expression (~10-fold) was reported in this cell line transfected with polyplexes made with a PDMAEMA/PEI mixture at a ratio 1:9 compared to polyplexes containing PDMAEMA or PEI (Lo et al., 2015). IPEI/His-lPEI polyplexes revealed also better transfection efficiency (up to 200-fold) than polyplexes consisting only of IPEI or His-IPEI in NIH3T3 mouse fibroblasts. The transfection was one order of magnitude higher than with PDMAEMA/PEI polyplexes. Transfections of bronchial epithelial cells and skeletal muscle cells were evaluated because these cell lines are relevant in the Cystic Fibrosis and Duchenne

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Muscular Dystrophy gene therapy field. His-IPEI/IPEI polyplexes improved the transfection of murine or human skeletal muscle cell lines by 4.3- to 11.5- fold in C2C12 and H2K mdx cell lines and by 3-fold in SKM and SKM DMD cell lines. These results are interesting since proliferating myoblasts are usually difficult to transfect with non-viral systems, such as calcium phosphate precipitation, cationic lipid reagents and many cationic polymers. Transfection efficiency typically varies between 10 and 30% (Jackson et al., 2013). Improvements of the transgene expression in C2C12 cells have been reported with polyplexes made with hyperbranched poly(ester amine)s (PEAs) (Wang et al., 2012) and with PEI and the amphipathic peptide KALA (Min et al., 2006). We did not observe significant improvement in the other cell lines including bronchial epithelial cells. At this stage, it is unclear why His-IPEI/IPEI polyplexes transfected fibroblasts and skeletal muscle cells more efficiently than the other cell lines. The fact that fibroblasts are convertible into cells of the skeletal muscle lineage (Bar-Nur et al., 2018) and C2C12 or NIH/3T3 cells can differentiate into chondrogenic and osteogenic lineages in vitro (Li et al., 2005) could suggest common cell behavior.

#### 5. Conclusion

The combination of the His-IPEI and I-PEI polymers for DNA polyplexes preparation has not previously been reported. Remarkably, we found that some IPEI/His-IPEI combinations lead to performant and non-cytotoxic polyplexes for fibroblast and skeletal muscle cell transfection. His-IPEI/IPEI polyplexes exhibited properties similar to IPEI polyplexes in terms of size, morphology, assembly with pDNA and stability, while His-IPEI/IPEI polyplexes exhibited properties similar to His-IPEI polyplexes in terms of buffering capacity. At this stage, it is unclear why His-IPEI/IPEI polyplexes transfected fibroblasts and skeletal muscle cells more efficiently than the other cell lines. Deeper investigations are required to determine

which specific properties of His-IPEI/IPEI polyplexes contribute to the improved effect observed with these cells. The evaluation of other cationic polymers could determine whether this effect is restricted to the His-IPEI/PEI couple. IPEI/His-IPEI polyplexes that showed particular efficacy in dystrophic skeletal muscle cells should be tested to transfer the dystrophin gene in mdx animals.

#### **Conflict of interest statement**

The authors declare that they have no conflict of interest.

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# LEGENDS OF FIGURES

600	Figure 1: Agarose gel electrophoresis shift assay of pDNA complexed with His-lPEI,
601	IPEI alone or IPEI/HisIPEI at different molar ratios. N/P stands for the pDNA/polymer
602	charge (negative to positive) ratios assuming that at pH 7.4, lPEI and His-lPEI exhibited 102
603	and 85 cationic charges per polymer molecule, respectively (Bertrand et al., 2011). pDNA
604	free or complexed with the polymers was analyzed on a 0.6% agarose gel. Gel running buffer
605	was TAE (40 mM Tris-acetate, 1 mM EDTA) and pDNA gel was stained with ethidium
606	bromide (EtBr) at a concentration of 0.5 µg/ml.
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608	Figure 2: Size of pDNA complexed with His-IPEI, IPEI or IPEI/HisIPEI at different
609	molar ratios. Dashed line is the fit curve between size measurements values and lPEI/His-
610	lPEI molar ratio. (▲) is N/P values as a function of lPEI/HislPEI molar ratio. Values are
611	means $\pm$ SD.
612	
613	Figure 3: $\zeta$ potential of pDNA complexed with His-lPEI, lPEI or lPEI/HislPEI at
614	different molar ratios. (▲) is N/P values as a function of lPEI/HislPEI molar ratio. Values
615	are means $\pm$ SD.
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617	Figure 4: Dye exclusion assay. The fluorescence of 2 µg pDNA in the presence of SYBR
618	Green in HEPES buffer was measured in the presence of various quantities of His-lPEI, lPEI
619	or IPEI/HislPEI. Fluorescence intensity is expressed as the percent of fluorescence in the
620	absence of any polymer. (▲) is N/P values as a function of lPEI/HislPEI molar ratio. Values
621	are means $\pm$ SD.

Figure 5: Polymers composition of polyplexes. A series of FITC-IPEI/IPEI polyplexes and FITC-IPEI/His-IPEI polyplexes was prepared as a function of the polymers molar ratio in the mixture. The mean fluorescence intensity (MFI) of polyplexes was measured by flow cytometry (488 nm excitation; 520 nm emission). (A) Fluorescence histograms of FITC-IPEI/IPEI polyplexes containing (red) 70%, (yellow) 50%, (blue) 30% and (green) 0% non-fluorescent IPEI. (B) Variation of the MFI of (●) FITC-IPEI/IPEI and (▲) FITC-IPEI/His-IPEI polyplexes expressed as MFI/MFI<sub>100</sub> where MFI<sub>100</sub> corresponds to polyplexes containing 100% of FITC-IPEI. Dashed line corresponds to the linear regression fit of MFI of FITC-IPEI/IPEI polyplexes.

Figure 6: Titration of polymer solutions with 0.1 N HCl. Solutions of 3 mg/ml (□) lPEI, (•) His-lPEI or (▲) the HislPEI/lPEI mixture (molar ratio of 72/28; %/%) were adjusted to pH = 12 with 0.1 N NaOH, then 20 ml aliquots of 0.1 N HCl were progressively added and the pH measured.

Figure 7: Polyplexes stability. pDNA in the presence of SYBR Green (1:10000) in 10 mM HEPES buffer, pH 7.4 was complexed at DNA/polymer molar ratio of 1/6 either with ( $\Box$ ) lPEI, ( $\bullet$ ) His-lPEI or lPEI/His-lPEI mixture containing ( $\Delta$ ) 30%or ( $\triangle$ ) 57% lPEI. Then, the fluorescence intensity was measured in the absence and in the presence of various amounts of Dextran Sulphate (DS) of 500 kDa molecular weight. The fluorescence intensity was expressed as the ratio between fluorescence of polyplexes in the presence to that in the absence of DS. Values are means  $\pm$  SD.

**Figure 8: Cryo-TEM micrographs** of (a and b) His-lPEI/lPEI, (c) lPEI and (d) His-lPEI polyplexes. Scale bar is 50 nm.

Figure 9: Transfection efficiency of NIH3T3 cells. The cells were transfected with lPEI-, HislPEI- or lPEI/HislPEI polyplexes containing pDNA encoding the luciferase gene (5 µg/ml). The luciferase activity in cell lysates was measured 48 h post-transfection and expressed as relative light units (RLU) per mg of protein. (A) is N/P values as a function of lPEI/His-lPEI molar ratio. Values are means ± SD. (\*: p<0.05 versus lPEI; ◊ : p<0.05 versus His-lPEI). Figure 10: Transfection efficiency of H2K mdx cells. The cells were transfected with IPEI-, His-IPEI- or IPEI/HisIPEI polyplexes containing pDNA encoding the luciferase gene (5 µg/ml). The luciferase activity in cell lysates was measured 48 h post-transfection and expressed as relative light units (RLU) per mg of protein. (A) is N/P values as a function of lPEI/His-lPEI molar ratio. Values are means ± SD. (\*: p<0.05 *versus* lPEI). Figure 11: Cytotoxicity in H2K mdx cells. The cells were transfected with IPEI-, HislPEI-or IPEI/His-IPEI polyplexes as in Fig. 10. The cytotoxicity was measured 48 h posttransfection using MTT assay and the percentage of cytotoxicity is expressed relatively to non-transfected cells. (▲) is N/P values as a function of lPEI/His-lPEI molar ratio. Values are means  $\pm$  SD. (\*\*: p<0.01 *versus* lPEI;  $\Diamond \Diamond$  : p<0.01 *versus* His-lPEI). 

Table 1: Molar quantity of His-IPEI and IPEI associated to polyplexes (%)

in the m	nixture	within polyplexes			
His-lPEI	IPEI	His-IPEI	IPEI		
100	0	100	0		
85	15	70 ± 4	30 ± 2		
72	28	43 ± 2	57 ± 3		
59	41	33 ± 2	67 ± 4		
49	51	27 ± 2	73 ± 3		
39	61	15 ± 1	85 ± 5		
30	70	3 ± 0.5	97 ± 3		
22	78	0	100		
14	86	0	100		
7	93	0	100		
0	100	0	100		

Table 2: His-IPEI/PEI polyplexes improvement on transfection of mouse cell lines

Pol	lyplexes		Cell lines					
His-IPEI	IPEI		RLU Hi	RLU His-IPEI/PEI polyplexes /RLU His-IPEI polyplexes				
(%)	(%)	N/P	MLE-12	NiH3T3	C2C12	H2K 2B4	H2K mdx	
100	0	4.88	1	1	1	1	1	
70	30	5.31	0.6	5.5	6.5	6.7	11.5	
43	57	5.74	0.1	51	7.0	6.8	7.6	
33	67	6.32	0.4	130	4.3	6.4	4.2	
27	73	6.6	0.2	212	3.1	8.5	1.9	
15	85	7.08	0.15	208	3.2	5.1	0.8	
3	97	7.45	0.1	249	4	4.4	0.5	
0	100	9.18	0.01	114	3.1	1.0	0.03	

Data shown corresponds to representative experiment performed in triplicate and reproduced at least three times. RLU is the relative light unit per mg of protein

Table 3: His-IPEI/PEI polyplexes improvement on transfection of human cell lines

Po	lyplexes		Cell lines				
His-IPEI	IPEI		RLU His-IPEI/PEI polyplexes /RLU His-IPEI polyplexes				
(%)	(%)	N/P	16HBE14o-	293T7	HeLa	SKMH	SKM DMD
100	0	4.88	1	1	1	1	1
70	30	5.31	1.2	0.9	0.6	3.2	2.9
43	57	5.74	0.70	0.6	0.15	0.7	0.1
33	67	6.32	0.5	0.8	0.25	0.15	0.02
27	73	6.6	0.5	0.5	0.40	0.1	0.1
15	85	7.08	0.6	0.3	0.46	0.2	0.04
3	97	7.45	0.5	0.2	0.71	0.1	0.01
0	100	9.18	0.2	0.1	0.42	0.001	-

Data shown corresponds to representative experiment performed in triplicate and reproduced at least three times. RLU is the relative light unit per mg of protein

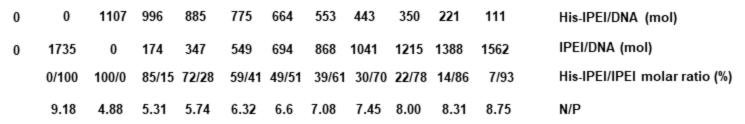




Fig 1

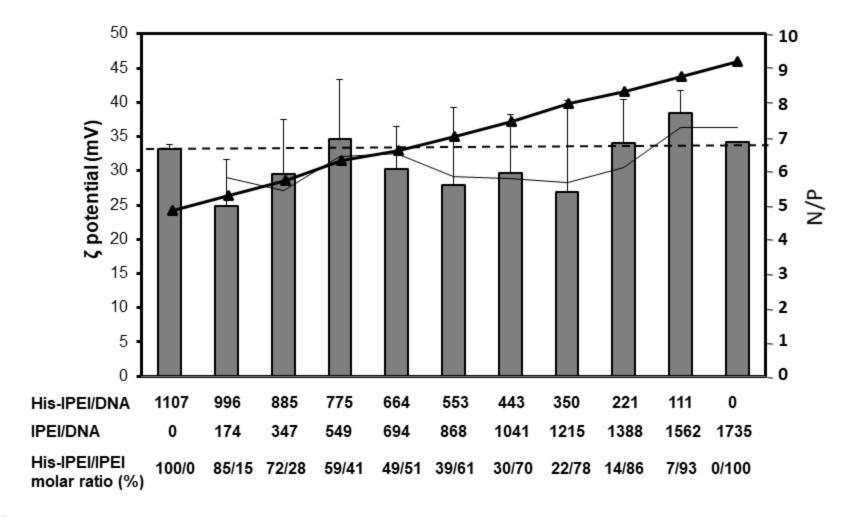


Fig 3

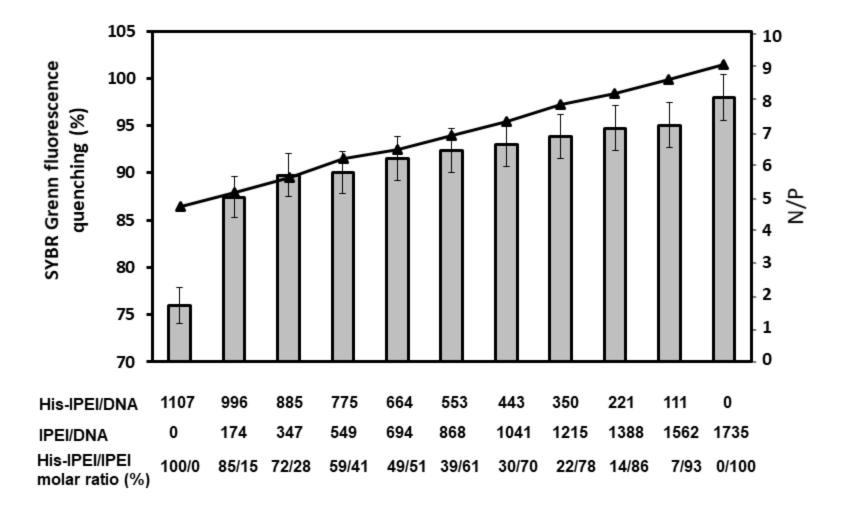


Fig 4

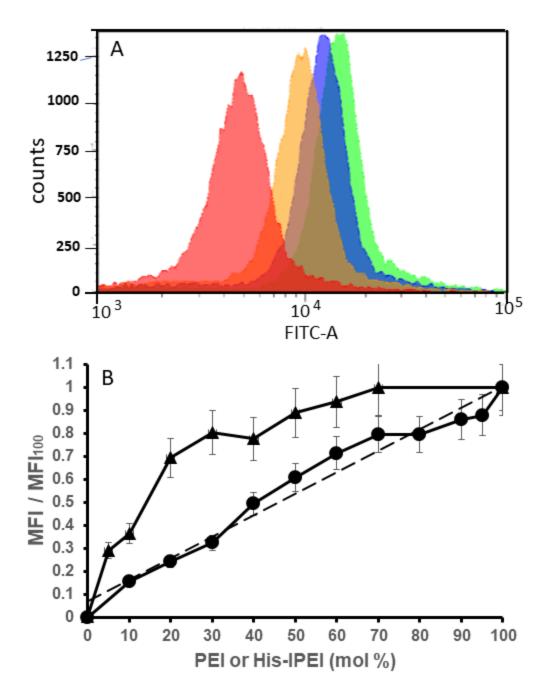


Fig 5

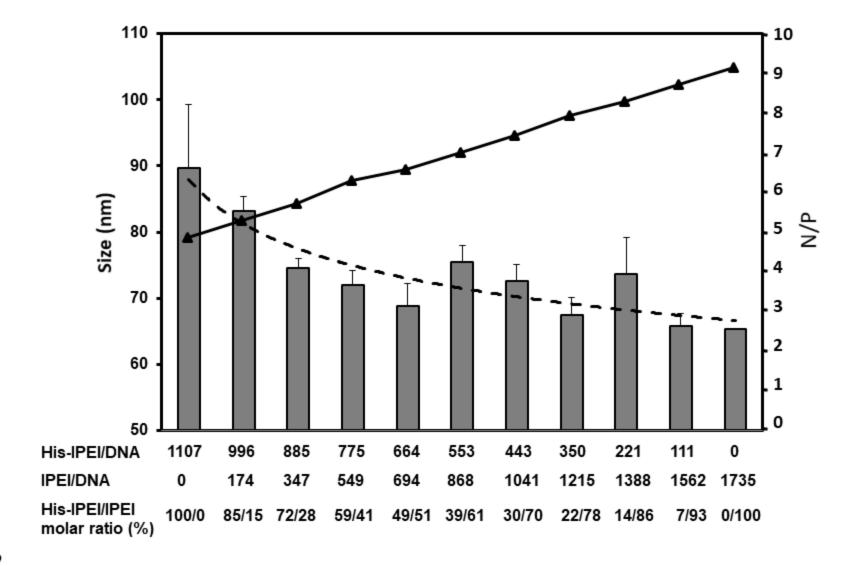


Fig 2

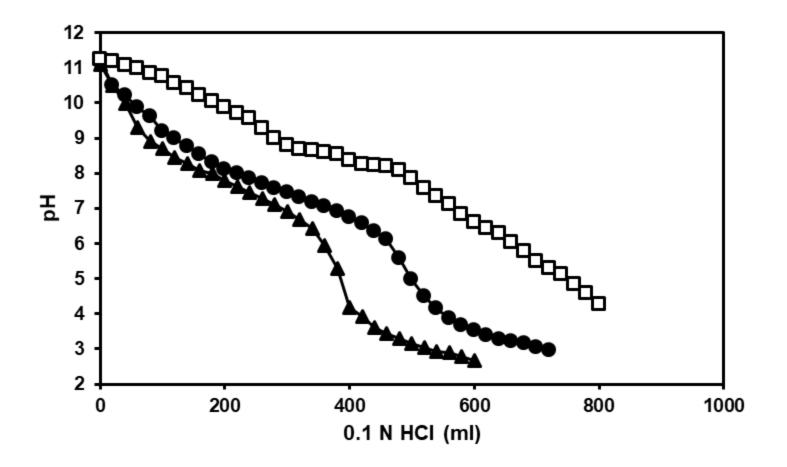


Fig 6

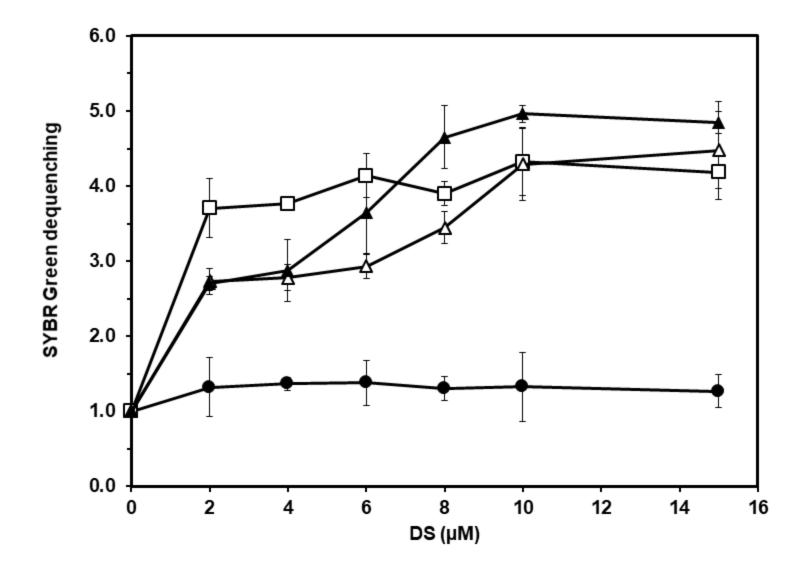
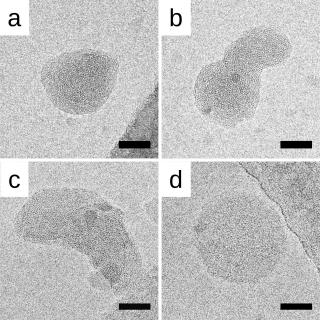


Fig 7



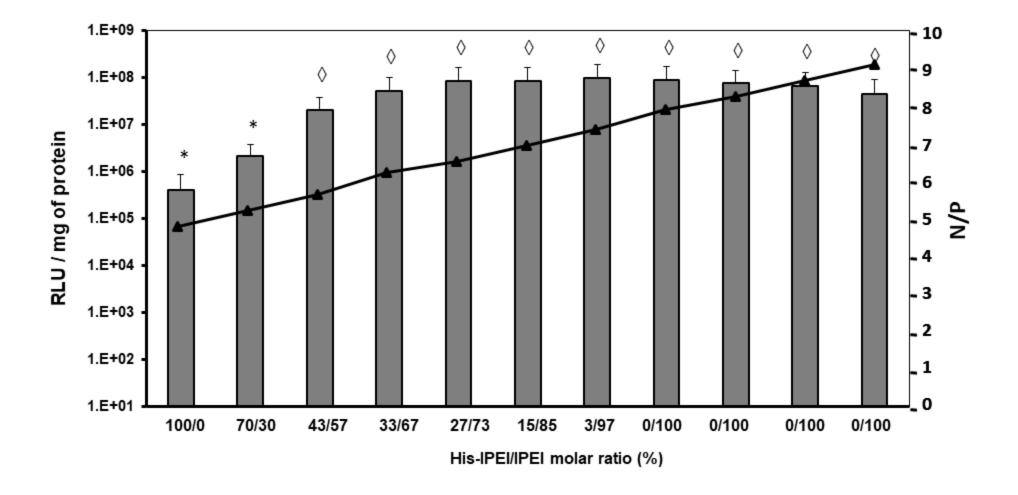


Fig 9

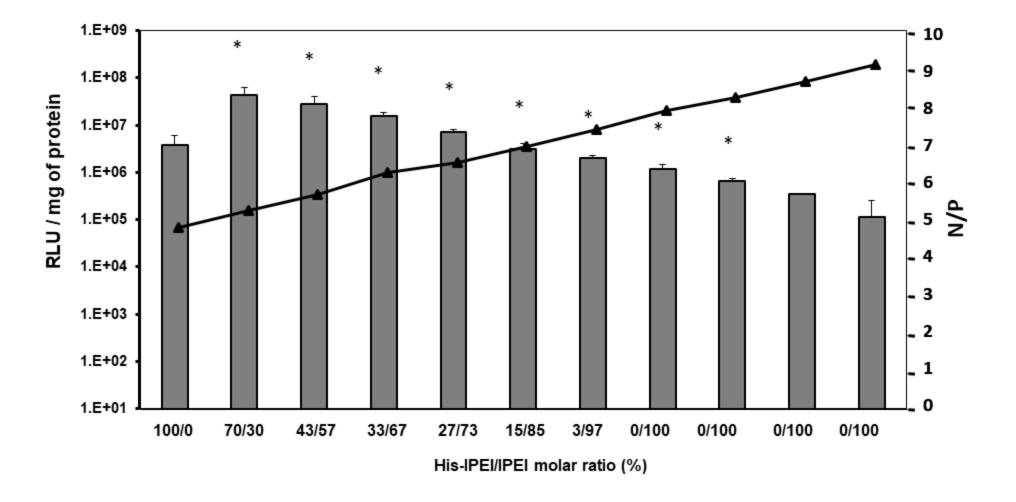


Fig 10

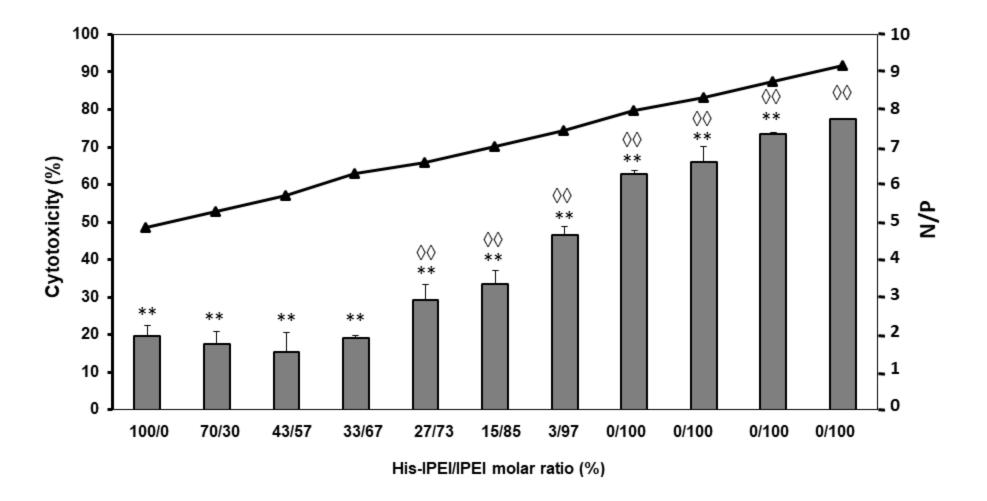
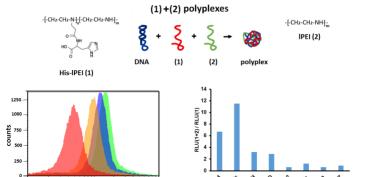


Fig 11



Polyplex composition as function of the (1)/(2) ratio

104 FITC-A

Improved skeletal muscle cell transfection