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Serafino Teseo, Francesco Delloro

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3

4 Abstract

5 Colonies of several ant species within the subfamily Dorylinae alternate 6 stereotypical discrete phases of foraging and reproduction. Such phasic cycles are 7 thought to be adaptive because they minimize the amount of foraging and the related 8 costs, and at the same time enhance the colony-level ability to rely on patchily 9 distributed food sources. In order to investigate these hypotheses, we use here a 10 simple computational approach to study the population dynamics of two species of 11 virtual ant colonies that differ quantitatively in their foraging investment. One species, 12 which we refer to as "phasic", forages only half of the time, mirroring the phasic 13 activity of some army ants; the other "non-phasic" species forages instead all the 14 time. We show that, when foraging costs are relatively high, populations of phasic 15 colonies grow on average faster than non-phasic populations, outcompeting them in 16 mixed populations. Interestingly, such tendency becomes more consistent as food 17 becomes more difficult to find but locally abundant. According to our results, reducing 18 the foraging investment, for example by adopting a phasic lifestyle, can result in a 19 reproductive advantage, but only in specific conditions. We thus suggest phasic 20 colony cycles to have emerged together with the doryline specialization in feeding on 21 the brood of other eusocial insects, a resource that is hard to obtain but highly 22 abundant if available.

23

25 Introduction

26 Within several taxa belonging to the ant subfamily Dorylinae (sensu Brady et al., 27 2014), species are considered "phasic" or "non-phasic" according to their lifestyle 28 (Schneirla, 1971; Gotwald, 1995; Kronauer, 2009). In phasic species, broods develop 29 synchronously in distinct cohorts of the same age, and colonies undergo virtually 30 discrete phases of foraging and reproduction based on the presence or absence of 31 food-demanding larvae (Schneirla, 1971; Gotwald, 1995; Ravary and Jaisson, 2002; 32 Kronauer, 2009; Teseo et al., 2013). In non-phasic species, larvae are not 33 synchronized in their development, and foraging and reproduction are not strictly 34 coordinated with reproductive cycles. Within army ants, non-phasic taxa include the 35 genera Dorylus and Labidus, whereas phasic taxa include some species within the 36 Old World genus Aenictus and the New World genera Eciton and Neyvamyrmex 37 (Kronauer, 2009). Outside army ants, phasic groups include some species in the 38 genera Sphinctomyrmex (Buschinger et al., 1989), Leptanilloides (Brandão et al., 39 1999; Donoso et al., 2006), Cerapachys (Wilson, 1958a; Ravary and Jaisson, 2002), 40 and to some extent Simopelta within the Ponerinae subfamily (Gotwald and Brown, 41 1967), and Leptanilla japonica within Leptanillinae (Masuko, 1990). Found in loosely 42 related groups, phasic colony cycles have recently been suggested to have evolved 43 repeatedly and early in army ant evolution, and to have been secondarily lost in 44 genera such as *Dorylus* and *Labidus* (Kronauer, 2009).

45 Whereas the phasic cycles of *Eciton* and *Neyvamyrmex* have been extensively 46 studied in the field throughout the 20th century (Hagan, 1954a, 1954b, 1954c, 47 Schneirla, 1934, 1945, 1944a, 1944b; Topoff et al., 1980; Topoff, 1984), the "clonal 48 raider ant" Cerapachys biroi is the only species in which the mechanistic aspects of 49 phasic colony cycles have been thoroughly studied in highly controlled laboratory 50 experiments (Ravary and Jaisson, 2002; Ravary et al., 2006; Teseo et al., 2013; 51 Ulrich et al., 2015). In this parthenogenetic queenless species, the presence of larvae 52 inhibits the ovarian activation in workers and stimulates foraging behavior. This 53 results in developmentally synchronized cohorts of larvae and phasic foraging activity 54 limited to when larvae are present.

Although the molecular, individual and colony-level mechanisms underlying the alternation of phases are now beginning to be understood (Ravary and Jaisson, 2002; Ravary et al., 2006; Teseo et al., 2013; Oxley et al., 2014; Ulrich et al., 2015), the adaptive significance of the phasic lifestyle is still to some extent unknown. At 59 present, a single study (Kronauer, 2009) has formulated explicit hypotheses about 60 the adaptive value of the phasic lifestyle, suggesting that it likely provides several 61 main benefits. First, in some army ant species, colonies migrate throughout the 62 foraging phases. Migrations probably maximize the foraging success and help 63 avoiding resource depletion at a local scale (Wilson, 1958b; Franks and Fletcher, 64 1983; Gotwald, 1995). Second, phasic cycles minimize the time invested in foraging, 65 which in turn minimizes the costs involved in foraging activity and emigrations. Third, 66 stationary reproductive phases are sometimes necessary because, in raid-67 conducting genera such as *Eciton* and *Neyvamyrmex* (but not in *C. biroi*), 68 physogastric egg-laying queens are not mobile or cannot be transported by workers. 69 Finally, doryline ants are in fact specialized predators of the brood of other social 70 insect colonies (Brady et al., 2014; Borowiec, 2016), a food source that is difficult to 71 find but may be overabundant when found (Kronauer, 2009). Developmentally 72 synchronized cohorts of larvae should, in principle, consume more efficiently the 73 large quantities of rapidly decaying prey that become unpredictably available during 74 foraging phases compared to non-synchronized broods including eggs and pupae.

75 In this study, we use simple computer simulations to explore some of the 76 hypotheses regarding the adaptive value of phasic cycles in army ants, with the goal 77 of understanding whether these may have appeared as an adaptation to specific 78 ecological conditions. In nature, colonies of phasic ant species only forage in the 79 presence of developing larvae, a period corresponding approximately to half the 80 duration of a complete reproductive cycle in *E. burchelli* or *C. biroi* (Schneirla, 1971; 81 Ravary and Jaisson, 2002). In such species, however, colonies need to reach a 82 certain threshold size in order to successfully split, via fission, into two viable 83 daughter colonies. Accordingly, the main assumption of our model is that, everything 84 else being equal, phasic colonies invest in foraging only half of their time. Therefore, 85 due to the reduced investment in foraging, growth and reproduction in phasic 86 colonies are restricted to around only half of their potential. From an evolutionary 87 perspective, in a hypothetical ancestral population in which different ant colonies 88 make quantitatively different foraging investments, colonies with a low foraging 89 investment should grow and reproduce relatively slower and eventually become 90 extinct; on the other hand, colonies with a high foraging investment should grow and 91 reproduce faster, invading the population. Our model aims to understand the 92 evolution of phasic cycles by investigating the conditions in which reducing rather than maximizing the time invested in foraging may result in a selective advantage for virtual ant colonies. In particular, we ask whether and how the cost of foraging, the probability to find food items and the size of the food items affect the population dynamics of low- and high-foraging virtual ant colonies, which we respectively refer to as "phasic" and "non-phasic". These two colony types, or species, behave exactly the same way, with the only exception that the foraging investment of phasic colonies is only half of that of non-phasic colonies.

100 In our simulations, we first examine the growth of monospecific populations of 101 phasic or non-phasic colonies. Then, we explore the outcome of competition for food 102 in mixed populations consisting of phasic and non-phasic colonies. We show that 103 phasic colonies reproduce more than, and outcompete, non-phasic colonies when 104 the cost of foraging is relatively high. Interestingly, this tendency becomes more 105 consistent as food becomes more patchily distributed. Our results suggest that the 106 more locally abundant and rare food sources are, the better phasic colonies 107 outperform non-phasic colonies. Minimizing the foraging investment, for example by 108 adopting a phasic lifestyle, could thus result in a reproductive advantage in specific 109 conditions.

110

111 **The models**

112 Logistic density-dependent growth of phasic or non-phasic colonies

Our simulations are based on a variation of the continuous density-dependent growth model in single-species populations. In our model, growth depends on the food income and the cost of foraging. The growth of a colony can thus be expressed by the differential equation:

117

$$\frac{dn}{dt}(t) = f(p-c) n(t) \left(\frac{1-n(t)}{N}\right)$$

118

119 where n(t) is the number of individuals in the colony, p is the food income for the 120 colony, c is the cost of foraging, f is a factor that describes the foraging investment 121 and takes different values for phasic (f=1/2) and non-phasic (f=1) populations, and N122 is the maximal size that a colony can reach, expressed as its number of individuals. 123 When p=c, the population growth equals zero and the system is in an unstable state of equilibrium. With p<c, the colony experiences negative growth and goes through
 extinction, whereas with p>c it grows until the maximal size is reached.

126 In our first set of simulations, we examine the growth curve of populations 127 constituted of either only phasic or only non-phasic colonies, in discretized time. In 128 each simulation, the total population is composed by a variable number of colonies 129 and is represented by a vector \bar{n} , which we refer to as the population vector. Each 130 element n_i within \bar{n} represents the number of individuals within the i-th colony. The 131 length of \bar{n} represents the number of colonies in the population, and varies with time 132 depending on colony death and reproduction. At each time iteration (identified by the 133 subscript t), the discrete variation of the size of each colony is computed according to 134 the following equation:

135

$$\Delta n_{i,t} = f\left(p_{i,t} - c\right) n_{i,t} \left(\frac{1 - l_t}{L}\right)$$

136

137 where $n_{i,t}$ is the number of individuals in the i-th colony at the t-th time iteration, f is 138 the "foraging" factor varying for phasic (f=1/2) and non-phasic (f=1) populations, $p_{i,t}$ is 139 the food income, c is the foraging cost, l_t is the number of colonies at time t, and L is 140 the carrying capacity for the population, expressed as the maximal number of 141 colonies that are able to survive in the environment. The idea behind our simulations 142 is that virtual ant colonies explore the environment in search of food, and may 143 encounter food items of various sizes and at different probabilities. The time unit of 144 the simulation corresponds to one colony cycle, a period in which each colony may 145 find a food item and, depending on its size, possibly reproduce or die. In our 146 algorithm, colonies find on average the same quantity of food, but food distribution is 147 parametrically controlled to allow testing and comparing differential scenarios. To 148 keep the average food income constant among scenarios, the size of the available 149 food items and the probability to encounter them are inversely proportional. For 150 example, in a given scenario, food items are small and easy to find, whereas in 151 another one they are large and difficult to find. We thus implement the food income 152 $p_{i,t}$ as a stochastic variable depending on the random number x, which is uniformly 153 distributed in the interval [0,1], in the following way:

$$\begin{cases} p = w & if \ x \le s/w \\ p = 0 & elsewhere \end{cases}$$

155

156 where s represents the average food income per colony, w is the parameter used 157 to tune food distribution in the different scenarios (it regulates the probability of 158 finding food without changing the average food income over time per colony), and 159 s/w is the probability of finding food. The model is based on the iteration of an 160 algorithm modelling a virtual ant colony cycle, which is taken as the time unit (Figure 161 1a). The algorithm begins with the generation of a random number x. If x is smaller 162 than s/w, the colony finds and consumes a quantity w of food, which results in a size 163 increase; if x is larger than s/w, the colony does not encounter food and does not 164 increase in size. In addition, as foraging is costly, colonies lose individuals at each 165 time iteration. This limits the growth of the colonies that encounter food items, and 166 results in negative growth for colonies that do not encounter food items. Changing 167 the value of w modifies the probability at which each colony receives food and the 168 size of the food items, but does not affect the average quantity of food received. For 169 example, with s fixed at 0.4, if w=1 colonies receive food items of size 1 with a 170 probability 0.4; on the other hand, if w=2, colonies receive food items of size 2 with a 171 probability 0.2, and if w=0.5, they receive food items of size 0.5 with a probability 0.8 172 (Figure 1b). Implementing different values of w allows testing and comparing the 173 performances of phasic and non-phasic colonies in various scenarios.

174 Colonies of phasic army ant species generally reproduce via fission by splitting in 175 two equally sized daughter colonies when they reach a certain threshold size. In 176 order to reproduce such dynamics, the algorithm checks the size of each colony at 177 the end of each time iteration. If a colony reaches the maximal size, which we refer to 178 as m, it splits into two colonies of size m/2. If during the simulations the size of a 179 given colony falls below the value m/10, the colony is considered not viable anymore, 180 and is eliminated from the population vector. At the beginning of the simulations, the 181 population always consists of a single colony of size m/2.

In the first set of simulations, we studied the dynamics of monospecific populations of phasic or non-phasic species, without inter-specific interactions. For each species, for each of 4 values of w (0.7; 1; 2; 4), we explored values of c uniformly distributed in the interval [0, 0.7]. We focused exclusively on the cases in which $p \ge c$ because populations always go through extinction when p < c. The duration of each simulation 187 was set to 500 time units to allow populations to reach, in most cases, an almost 188 stable state. Each simulation was repeated 1000 times. The maximal colony size (m) 189 was fixed to 1000 individuals, and the carrying capacity L to 300 colonies. These 190 values were changed to check their effect on the simulation outcome (data not 191 shown), but as long as they were kept sufficiently high to assure a good statistical 192 representation, they did not appear to affect the results.

193

194 **Competition between phasic and non-phasic colonies**

195 Simulating the density-dependent growth of phasic or non-phasic colonies 196 provides insight into the population dynamics of monospecific populations in various 197 ecological conditions; however, it does not allow predicting the outcome of 198 competition for food in populations made up of colonies of both species. In our 199 second set of simulations, we implement a resource competition scenario within a 200 mixed population of phasic and non-phasic colonies. In this case there are two 201 population vectors, one for phasic colonies and the other for non-phasic ones, but the 202 carrying capacity is computed on the total population, i.e. the sum of phasic and non-203 phasic colonies. Consistently with our monospecific model, at each time iteration 204 (identified by the subscript t) the discrete size variation of each colony is computed 205 according to the following equations, for phasic and non-phasic populations 206 respectively:

$$\Delta n 1_{i,t} = 1/2 (p_{i,t} - c) n 1_{1i,t} \left(\frac{1 - l_t}{L}\right)$$
$$\Delta n 2_{i,t} = (p_{i,t} - c) n 2_{i,t} \left(\frac{1 - l_t}{L}\right)$$

208

where *n*1 is the population vector of phasic colonies, *n*2 is the population vector of non-phasic colonies, l_t is the total number of colonies (phasic and non-phasic) at time t, and *L* is the carrying capacity expressed as the maximal number of colonies. Concerning reproduction, the same rules of our monospecific model apply here.

In this set of simulations, we studied the same values of *c*, *w* and s considered in the monospecific simulations. For each value of these parameters, we repeated the simulation 1000 times; each simulation consisted of 500 time iterations; we fixed *m*, the maximal colony size, to 1000, and the carrying capacity *L* to 300. Concerning the

choice of such values, the same considerations made for the previous model apply
here. All the simulations were carried out in a Python environment ({van Rossum},
1995) with the module Numpy (Walt et al., 2011). Our scripts are provided in the
supplementary electronic material.

221

222 Results

223 Logistic density-dependent growth of phasic and non-phasic colonies

224 Our results show that, as expected, both phasic and non-phasic colonies perform 225 best when the cost of foraging is low. When the cost of foraging (c) exceeds a certain 226 value that depends on w (the number that regulates the probability of finding food 227 without changing the average quantity of food received over time by each colony), 228 the population size at the end of the simulations decreases, and the probability of 229 extinction increases (Figures 2a, b). When the cost of foraging and the probability to 230 find food (p) approach the same value, i.e. close to the line described by the 231 equivalence p=c (Figures 2a, b), the probability to go through extinction increases for 232 both phasic and non-phasic populations. In general, at each value of c, the final 233 population size increases with p, and the probability of extinction decreases (Figures 234 2a, b). The range of c and p values at which populations go through extinction 235 becomes wider with increasing values of w. In particular, the extinction zone is wider 236 for non-phasic colonies (Figure 2a) compared to phasic ones (Figure 2b), meaning 237 that, for the same values of c, non-phasic colonies need more food than phasic 238 colonies in order to avoid extinction.

For phasic colonies, for each value of *c* and at increasing values of *p*, the probability of extinction decreases and reaches zero (Figures 2b); on the other hand, for non-phasic colonies, the extinction probability decreases more slowly and never reaches zero, indicating that non-phasic colonies always face a risk of extinction (Figures 2a). Overall, higher extinction rates of non-phasic colonies lower their final population size across simulations.

245

246 **Competition between phasic and non-phasic colonies**

At relatively low values of *w*, i.e. when food items are small but found frequently, non-phasic colonies outcompete phasic colonies and invade the population in most conditions (Figures 3a). On the other hand, phasic colonies tend to invade the population at values close to the line described by the equivalence p=c, in relatively more difficult conditions (Figures 3b).

252 As c increases, both populations need more food to avoid extinction, and with food 253 becoming more difficult to find but locally abundant (i.e. at increasing values of w), 254 survival becomes increasingly difficult for both types of colonies (Figure 3a). With 255 w=4, for example, the carrying capacity is reached exclusively at relatively low 256 foraging costs. However, similar to what happens in monospecific populations, 257 phasic colonies go through extinction more slowly compared to non-phasic colonies, 258 in that their prevalence increases at increasing values of w (Figure 3b). Even though 259 for high values of w the total final population size is much lower than for low w 260 values, phasic colonies outcompete non-phasic colonies in most conditions (Figures 261 3a, b).

262

263 **Discussion**

264 In this study, we have explored the population dynamics of two species of virtual 265 ant colonies that differed quantitatively in their foraging investment. Our goal was to 266 understand whether and when, under the assumptions of our model, colonies with a 267 reduced foraging investment (or "phasic") may have a better reproductive success 268 compared to colonies that maximize their foraging effort (or "non-phasic"). We first 269 studied monospecific populations of phasic or non-phasic colonies, and then mixed 270 populations in which the two species competed for resources. When food was found 271 frequently and the cost of foraging was set to relatively low values, monospecific 272 populations of non-phasic colonies grew faster than phasic populations. On the other 273 hand, due to their lower foraging investment, phasic colonies lost fewer individuals 274 per iteration compared to non-phasic colonies, which resulted to be advantageous in 275 challenging conditions. In fact, with the foraging cost set at relatively high values, 276 phasic colonies survived through long series of iterations in which they could not 277 access any food. This made them less likely to go through extinction, and also 278 allowed them to reach larger population sizes compared to non-phasic colonies. 279 Finally, and most interestingly, phasic populations performed increasingly better than 280 non-phasic populations with food becoming rarer and locally abundant (higher values 281 of w). Consistent to what we observed in monospecific populations, the dynamics of 282 mixed populations depended as well on the distribution of the food items. When food 283 was available only in small quantities but found easily, non-phasic colonies invaded the population in most conditions, and reached the carrying capacity of the environment. On the other hand, even though they did not reach the carrying capacity, phasic colonies tended to invade the population as food became rarer and more locally abundant, because they were less likely to go through extinction compared to non-phasic colonies.

According to our results, reducing rather than maximizing foraging activity can, in specific conditions, provide a significant reproductive advantage to ant colonies. Relying exclusively on costly, rare but locally abundant food is thus sustainable if the colony-level foraging investment is parsimonious; instead of foraging and raising brood continuously, phasic ants have evolved a system in which foraging, to which colony growth, reproduction and fitness are proportional, is reduced to phases virtually as short as the development of a single larva.

296 Most phasic ants, like many Dorylinae, are predators specialized in feeding on the 297 brood of other social insects (Brady et al., 2014; Borowiec, 2016). Typically this is 298 costly because prey colonies defend their nests and are in principle difficult to feed 299 on. However, social insect colonies also generally house large amounts of brood, 300 representing consistent food quantities for successful predator colonies. Our results 301 thus suggest the possibility that the phasic lifestyle may have emerged together with 302 the specialization in feeding on the brood of social insect colonies. Ecological 303 pressures, such as inter-colony competition, might have pushed generalist non-304 phasic hunting ancestors to specialize in feeding on social insect brood. This might 305 have selected for colonies that restricted their foraging investment, eventually 306 resulting in the evolution of phasic cycles. In army ants, such a transition towards 307 phasic activity may have occurred concurrently with the transition from individual to 308 cooperative hunting (Wilson, 1958b; Hölldobler and Wilson, 1990; Kronauer, 2009), 309 possibly through the re-activation of the gene networks responsible, in solitary-living 310 Hymenoptera, for the alternation of foraging and reproductive phases (Amdam et al., 311 2006; Oxley et al., 2014).

The evolution of phasic activity cycles in ants needs to be further investigated a theoretical perspective. Our population dynamics approach, for example, could be integrated with a recently proposed mathematical model suggesting phasic colony cycles to be adaptive in species where the investment required to feed a single larva is high, but decreases as the number of larvae increases (Garnier and Kronauer, 2016). Previous research in theoretical ecology has made use of cellular automata to 318 simulate the behavior of *Eciton burchelli* colonies (Britton et al., 1996), and analyze 319 the effects on habitat quality and extension on population survival (Partridge et al., 320 1996; Britton et al., 1999). Using such cellular automata to model an interspecific 321 competition scenario may help explore more specific questions about the adaptive 322 value of phasic cycles. Such an approach would allow, for example, explicitly 323 implementing the spatial and chronological dynamics of virtual ant colonies with 324 differential degrees of phasic behavior, and testing whether and how phasic cycles 325 may have a role in preventing colonies from depleting food resources at a local scale 326 (Wilson, 1958b; Franks and Fletcher, 1983; Gotwald, 1995).

327 At present, empirically studying the adaptive value of phasic colony cycles 328 appears to be challenging. A possible approach would likely consist in comparing 329 phasic and non-phasic populations of the same or of closely related species. In 330 particular, one could challenge such phasic and non-phasic colonies by feeding them 331 either frequently with low amounts of food, or rarely with large amounts of food. 332 Measuring the subsequent fitness of such experimental colonies would likely help 333 understand whether phasic cycles are better suited for relying on rare but locally 334 abundant food sources. At present, however, such an experiment would be hard to 335 set up, mostly because phasic ant species are still poorly understood, cryptic and 336 difficult to manipulate, especially in laboratory conditions. The only phasic ant that 337 can be consistently studied in laboratory experiments is C. biroi. Using gene-338 silencing techniques on this species, an interesting approach would be "switching off" 339 the mechanisms inhibiting worker ovarian activity in the presence of larvae, which 340 would possibly result in producing non-phasic colonies. One could then test non-341 phasic and wild type colonies with differential feeding regimes.

342 The biology of doryline ants is still relatively poorly known. This is mainly because 343 many taxa within the group are rare or difficult to encounter because of their 344 hypogeaic lifestyle. In addition, a poor knowledge of the taxonomy of doryline ants, 345 and a classification that until recently did not accurately take into account their 346 evolutionary relationships have been an obstacle to comparative studies within the 347 subfamily (Borowiec, 2016). A deeper understanding of the systematics and the 348 behavior of Dorylinae is needed to gain new insights into the evolution of phasic 349 colony cycles.

350

353 **References**

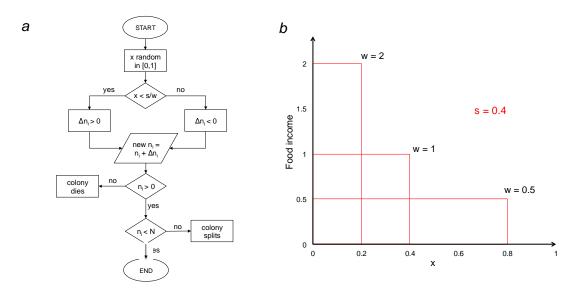
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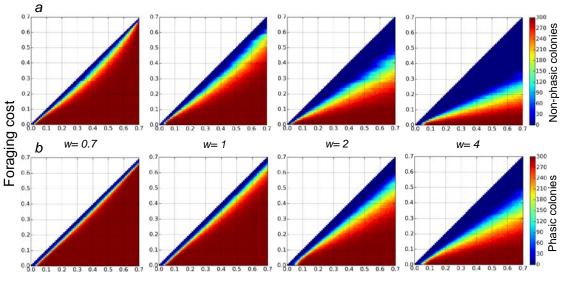
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478 **Figures**



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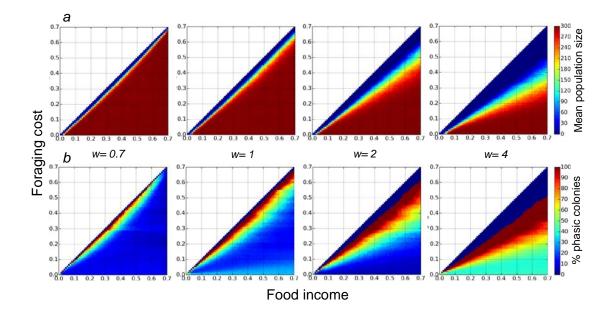
Figure 1. The simulation algorithm. a) At each time iteration, for each colony, 480 our algorithm extracts a random number x. If x is smaller than s/w, the colony 481 482 consumes a quantity w of food; if the value of the extracted x is larger than s/w, the 483 colony does not consume any food, and does not grow in size. If a colony reaches 484 the maximal size, it splits in two daughter colonies, whereas if its size falls below a 485 given minimal value (one tenth of the maximal size), it dies. b) With s fixed at 0.4, if 486 w=1 colonies receive food items of size 1 with a probability 0.4; if w=2, colonies 487 receive food items of size 2 with a probability 0.2; if w=0.5, colonies receive food 488 items of size 0.5 with a probability 0.8. The area underlying each of the red graphs is 489 constant across cases.



Food income

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Figure 2. Monospecific populations of phasic and non-phasic colonies. *a*) The number of non-phasic colonies as a function of food income (*p* in the text) and foraging cost (*c* in the text), for four different values of *w*, in monospecific populations. *b*) Number of phasic colonies in monospecific populations. The color scale represents the mean number of colonies at the end of the simulations. As specified in the text, the probability of finding food decreases at increasing values of *w*, whereas the food item size increases.



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Figure 3. Mixed populations of phasic and non-phasic colonies. *a)* Mean size of mixed populations at the end of the simulations, as a function of food income (p in the text) and foraging cost (c in the text), for different values of w. As *w* increases, populations increasingly fail to reach the carrying capacity (300 colonies). *b*) The proportion of phasic colonies within mixed populations. The number of both phasic and non-phasic colonies decreases with the increase of w, whereas the prevalence of phasic colonies increases.

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508 Serafino Teseo

509 Unité de Biologie Fonctionnelle et Adaptative (BFA) UMR8251 - CNRS - Université Diderot, Sorbonne Paris Cité

Francesco Delloro

MINES ParisTech, PSL Research University, MAT- Centre des matériaux, CNRS UMR 7633