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### **Axial plane dissimilarities of two identical Lenke‑type 6C scoliosis cases visualized and analyzed by vertebral vectors**

**Tamás S. Illés1,2,7 · Máté Burkus3 · Szabolcs Somoskeőy4 · Fabien Lauer5 · Francois Lavaste6 · Jean F. Dubousset7**

#### **Abstract**

**Purpose** The global appearance of scoliosis in the horizontal plane is not really known. Therefore, the aims of this study were to analyze scoliosis in the horizontal plane using vertebral vectors in two patients classifed with the same Lenke group, and to highlight the importance of the information obtained from these vertebral vector-based top-view images in clinical practice. **Methods** Two identical cases of scoliosis were selected, based on preoperative full-body standing anteroposterior and lateral radiographs obtained by the EOS™ 2D/3D system. Three-dimensional (3D) surface reconstructions of the spinal curves were performed by using sterEOS™ 3D software before and after surgery. In both patients, we also determined the vertebral vectors and horizontal plane coordinates for analyzing the curves mathematically before and after surgery.

**Results** Despite the identical appearance of spinal curves in the frontal and sagittal planes, the horizontal views seemed to be signifcantly diferent. The vertebral vectors in the horizontal plane provided diferent types of parameters regarding scoliosis and the impact of surgical treatment: reducing lateral deviations, achieving harmony of the curves in the sagittal plane, and reducing rotations in the horizontal plane.

**Conclusions** Vertebral vectors allow the evolution of scoliosis curve projections in the horizontal plane before and after surgical treatment, along with representation of the entire spine. The top view in the horizontal plane is essential to completely evaluate the scoliosis curves, because, despite the similar representations in the frontal and sagittal planes, the occurrence of scoliosis in the horizontal plane can be completely diferent.

**Keywords** Scoliosis · Vertebral vector · Horizontal plane · Top view · EOS™ 2D/3D

#### **Introduction**

Scoliosis is not a simple lateral deviation, but rather a complex three-dimensional (3D) deformity of the spine. Besides the clearly visible frontal and sagittal curves, deformations (vertebral rotation and torsion) in the horizontal plane are also present. As a result of this complex mechanism, the spine and entire trunk deviate from its normal, mid-sagittal plane of symmetry. The diagnosis and classifcation of scoliosis are almost exclusively based on frontal and lateral (LAT) radiographs, as rotational changes in the horizontal plane are ignored. Deviation of the entire trunk from its normal mid-sagittal plane of symmetry is also overlooked [\[1](#page-10-0)].

Several attempts have been made to visualize and to describe the real 3D appearance of spinal deformity [[2,](#page-10-1) [3](#page-10-2)], with particular attention to axial plane lesions [[4,](#page-10-3) [5\]](#page-10-4), but the application of modalities has only slightly improved the limitations of the 3D display [\[6](#page-10-5)–[8\]](#page-10-6). A recently proposed method is the concept of the plane of maximum curvature (PMC) and its visualization through DaVinci representation. The PMC is constructed by using the centroids of the two end vertebrae and the apical vertebra of a curve. The PMC is analogous to the term "plan d'élection", which was introduced by Stagnara et al. [[9\]](#page-10-7) to evaluate the signifcant scoliotic curves radiologically. By defnition, the PMC is an abstract, continually changing, extraspinal auxiliary plane and as stated by Stokes, "not a true 3D visualization, but more of a 'quasi-3D rendering' and as such, it is difficult to understand, laborious to reproduce, and explain its clinical significance of daily practice [[10](#page-10-8)].

The most accurate assessment of alterations in the axial plane seems to be achieved by computed tomography (CT). However, its usefulness is limited in the routine diagnosis of scoliosis, because only a short spinal segment can be examined; moreover, it is accompanied by signifcant radiation exposure. The other problem is the efect of the supine position during imaging, which may lead to signifcant alterations of the curves in the coronal, sagittal, and, consequently, horizontal planes [\[11\]](#page-10-9).

In the absence of overhead view visualization, the efforts of 3D classifcations have not been successful either. The most frequently used Lenke classifcation has been introduced as "a prelude to 3D classifcation". It was proposed to be comprehensive and all-inclusive for all curve types, objective, and easy to use, aiding in standardized treatment with direct applicability in routine clinical practice. As a new feature, it emphasizes the consideration of sagittal spinal alignment but disregard changes in the horizontal plane, namely the vertebral rotation and translation of the spine [\[12\]](#page-10-10).

The concept of vertebral vectors has been introduced by our workgroup to visualize and evaluate spinal deformities in a truly 3D manner. A vertebral vector is, by its defnition, a replacement for a real vertebra while preserving crucial information for its size, position, orientation, and rotation in 3D projection. The starting points of the vertebral vectors are in the middle of an interpedicular segment, and they run parallel to the upper endplate, ending in the level where this parallel line intersects the segment joining the two anterior points of the vertebral endplates, defning the posteroanterior axis of the vertebra. It is possible to defne the coordinates of these two points, thereby depicting the posteroanterior vertebral axis (vertebral vector) in an individually calibrated coordinate system, also described by us [\[13](#page-10-11)]. This method, which represents the full spine, provides simple, well-established mathematical ways to evaluate the curvatures in the frontal, sagittal, and horizontal planes.

The aims of this study were to analyze scoliosis in the horizontal plane in two patients classifed with the same Lenke group, and to emphasize the importance of the awareness of the appearance of scoliosis in the horizontal plane before considering its classifcation. To our knowledge, the analysis of two patients with scoliosis classifed into the same Lenke group and displayed by vertebral vectors in the overhead view has not been made yet.

#### **Materials and methods**

Two patients with scoliosis classifed, according to the Lenke criteria, into the same group with the same lumbar and sagittal modifers by three independent, experienced scoliosis experts [[8](#page-10-6)] were chosen for the analysis of their preoperative and postoperative appearance in the horizontal plane. These two cases were selected from 814 retrospectively analyzed full-body standing anteroposterior (AP) and LAT radiographs obtained by the EOS™ 2D/3D system (EOS Imaging, Paris, France). This X-ray machine is capable of capturing full-body standing orthogonal AP and LAT radiographs simultaneously, allowing an accurate and realistic 3D reconstruction of the skeletal system, including the spine [[14\]](#page-10-12). Digital images were stored in the institutional Picture Archiving and Communication System network (Aspyra AccessNet v6.2, Aspyra Inc., Westlake Village, CA, USA).

Surface 3D reconstructions of vertebrae of the entire spine were performed using sterEOS™ 3D workstation software version 1.3.4.3740 (EOS Imaging) after performing the full 3D procedure using AP and LAT EOS™ 2D images. The completed sterEOS™ 3D reconstructions were used for vertebral vector generation.

To facilitate the understanding of the 3D images, simplify visualization of the entire spine, especially in the horizontal plane, and create the possibility of mathematical characterization, we introduced the concept of vertebral vectors [\[13](#page-10-11)]. The vector is a mathematical entity characterized by its initial and terminal points by its length and spatial direction, commonly represented by a directed line segment.

The initial point of the vertebral vector is the middle point of the interpedicular line connecting the two pedicular centroids. By defnition, the vertebral vector is parallel with the upper endplate of the vertebra. Therefore, the terminal point is situated on the ventral surface of the vertebral body when

the anterior wall is dissected by the vector at the level of the pedicular centroids. The vertebral vector length is proportional to the size of the vertebral body, and it determines the posteroanterior axis of the vertebra (Fig. [1a](#page-3-0)–c).

An individually calibrated coordinate system was created to determine the vertebral vector coordinates. The *x*-axis was the straight line connecting the two centers of the acetabulum. The *y*-axis was perpendicular to the *x*-axis, and it passed through the midpoint of the interacetabular length, whose axis is located in the median sagittal plane of the body. The *z*-axis passes through the same point and perpendicular to both the previous axes.

An individually characteristic scale was created by dividing the interacetabular distances by 200 units, and this was used as the base unit on each axis of the coordinate system (Fig. [1](#page-3-0)d).

The vertebral vector generation software of MATLAB (MathWorks Inc., Natick, MA, USA) was used to directly acquire 3D coordinate data of vertebral vector-specifc elements stored in sterEOS™ 3D models, as well as to visualize generated vertebral vectors in the three planes. Vertebral vector-associated parameters–coordinates *x*, *y*, and *z* for the left and right pedicular centroids, initial point A, and terminal point B of the vertebral vectors—were recorded.



<span id="page-3-0"></span>**Fig. 1** Determination of the vertebral vector and individual coordinate system. Vertebral vector views in the coronal plane: the initial point A (black dot) of the vector is the midpoint of the interpedicular line (orange) connecting the two pedicular centroids (**a**). Sagittal plane: the vertebral vector is parallel to the upper endplate of the vertebra (**b**). The terminal point of the vector is determined by the intersection of this line and the ventral surface of the vertebral body. Horizontal plane: the vertebral vector defnes the posteroanterior axis of the vertebral body (**c**). Vertebral vector in the coordinate system (**d**). The *x*-axis in this coordinate system is the interacetabular axis connecting the two acetabular centers. The *y*-axis is the sagittal median

axis of the body, which is perpendicular to the *x*-axis in the midpoint of the interacetabular distance. The *z*-axis is perpendicular to the *x*-axis and *y*-axis in the midpoint of the interacetabular distance (not visible in this view). The scale of the coordinate system is individualized, because, regardless of the real length of the interacetabular distance, it has always been divided into 200 units. Thus, the scale unit is 50% of the interacetabular distance/100, and this base unit is used for each axis of the coordinate system. In this coordinate system, it is possible to determine each vector point in all three planes using basic vector algebra

In this study, we focused only on the vertebral vector projection in the horizontal plane. Thus, we only used the *x* and *y* parameters of the start and end points  $(A_x, A_y, B_x, \text{ and } B_y)$  of the vertebral vectors.

These coordinates are used directly to determine lateral translation  $A_x$  and  $B_x$  from the *y*-axis. The vector angle  $\alpha$  relative to the *y*-axis was calculated using the trigonometry tangent function (Fig. [1d](#page-3-0)):

$$
\text{tg } a = \frac{(B_x - A_x)}{(B_y - A_y)}.
$$

After measuring the absolute value of the interacetabular distance in mm using the sterEOS™ 3D software, the following formulas were used to determine the real distances (*d*) in mm of the starting and endpoints of the vertebral vectors from the *x*-axis (d*x*) and *y*-axis (d*y*):

#### **Results**

After analyzing the preoperative frontal and sagittal radiographs, both cases of scoliosis were classifed as Lenke group 6 by the three examiners. The lumbar modifer was determined in both cases as C, because the apical vertebrae fully lie off the center sacral vertical lines. Sagittal thoracic modifers were classifed minus (−) (hypokyphosis), because the thoracic kyphosis was less than 10° in both cases. Therefore, both curves were classifed in the same Lenke class, 6/C-, without knowing the horizontal appearance.

The 3D reconstruction of the entire spine of the frst and second cases of scoliosis is demonstrated in Figs. [2a](#page-4-0)–d and [3](#page-5-0)a–d, respectively, to show the similarity of the two scoliotic curves in the frontal and sagittal planes. Both patients were surgically treated based on the Cotrel–Dubousset philosophy and the axial rod rotation maneuver of the prebent rod.



After visualizing the preoperative 3D top-view images (Fig. [4](#page-7-0)a, b), differences between the two curves were



<span id="page-4-0"></span>**Fig. 2** First case of scoliosis classifed as Lenke 6/C. 48° right structural thoracic and 55° left main lumbar curves (**a**). The thoracic kyphosis between the T4 and T12 vertebrae is 5°, whereas the lumbar lordosis measured between the L1 and L5 vertebrae is 32° (**b**). Post-

operative frontal curves are 8° in the thoracic area and 4° in the lumbar area (**c**). Both sagittal curves increase postoperatively (thoracic kyphosis 33°; lumbar lordosis 46°) (**d**)



<span id="page-5-0"></span>**Fig. 3** Second case of scoliosis classifed as Lenke 6/C. 53° right thoracic and 61° left lumbar main curves (**a**). The thoracic kyphosis between the T4 and T12 vertebrae is 6°; the lumbar lordosis between

immediately visible. The diferences are even more pronounced after vertebral vector visualization (Fig. [4](#page-7-0)c, d). The postoperative 3D and vertebral vector top-view images for the frst patient are shown in Fig. [4](#page-7-0)e–g, and those for the second patient are shown in Fig. [4](#page-7-0)f–h. The diferent coordinates have diferent meanings. The *x*-coordinates represent the relative distance of the respective points from *y*-axis, whereas the *y* coordinates show the distance of the same points from the *x*-axis. The preoperative projected values of the start and end points of the vertebral vectors, their real distance from the *x*-axis and *y*-axis in mm, and the calculated projected axial rotations of the vertebrae of the frst case of scoliosis are shown in Table [1.](#page-8-0) The same preoperative data regarding the second case of scoliosis are shown in Table [2,](#page-8-1) whereas the postoperative data are presented in Tables [3](#page-9-0) and [4](#page-9-1) for the frst and second cases of scoliosis, respectively.

In the frst case before surgery, the vertebral vectors were arranged close to the *x*-axis (Fig. [4](#page-7-0)a–h). The T1 vertebra was displaced (T1A<sub>x</sub>=38.5) 29.7 mm to the left (Table [1:](#page-8-0) column 3, line 2) from the middle-sagittal axis of the body. The most lateralized vertebra to the left was the L2 (44.3 mm)

the L1 and L5 vertebrae is 47° (**b**). The postoperative thoracic curve is  $9^\circ$  and the lumbar curve is  $6^\circ$  (c). The postoperative kyphosis increased to 27°, while the lordosis decreased to 42° (**d**)

(Table [1:](#page-8-0) columns 2–3, line 15), whereas the L5 vertebra was only lateralized 13.7 mm (Table [1:](#page-8-0) column 3, line 18). The most axially rotated vertebra to the right was T8 (Table [1](#page-8-0): column 10, line 9), which was situated just in the middlesagittal axis (*y*-axis) of the body (Table [1](#page-8-0): column 2, line 9). The vertebra most rotated to the left was the L1 with 21.9° of projected axial rotation (Table [1](#page-8-0): column 10, line 14). The direction of projected axial rotation was changed the frst time between T3 (Table [1](#page-8-0): column 10, line 4) and Th4 (Table [1](#page-8-0): column 10, line 5), and the second time between T11 (Table [1](#page-8-0): column 10, line 12) and T12 (Table [1](#page-8-0): column 10, line 13).

However, in the second case before surgery, the vertebral vectors retained their position relative to the *x*-axis. The most lateralized vertebra to the right was the T7 vertebra (Table [3](#page-9-0): columns 2–3, line 8) and that to the left was the L2 vertebra (Table [2:](#page-8-1) columns 2 and 3, line 15), which is located four times farther (46.1 mm) from the *y*-axis than the T7 vertebra  $(-13.6 \text{ mm})$ . The T1 (Table [2:](#page-8-1) column 3, line 2) and L5 (Table [2](#page-8-1): column 3, line 18) vertebral vectors were situated at the same distance from the *y*-axis. The



<span id="page-7-0"></span>**Fig. 4** Three-dimensional (3D) and vertebral vector top-view visu-◂alization of both cases. Preoperative 3D top-view image of the frst case of scoliosis (**a**). Preoperative 3D top-view image of the second case of scoliosis (**b**). Diferences between the two curves are immediately visible. The diferences are even more pronounced after vertebral vector visualization of the frst (**c**) and second (**d**) cases of scoliosis. Postoperative 3D top-view image of the frst case of scoliosis (**e**). Postoperative 3D top-view image of the second case of scoliosis (**f**). In the top view, only the trends of the corrections can be determined. Postoperative top-view image of the frst case of scoliosis with the vertebral vector (**g**). Postoperative top-view image of the second case of scoliosis with the vertebral vector (**h**). After visualizing the whole spine with vertebral vectors, the postoperative rearrangement of vertebrae became clearly visible and analyzable

most axially rotated vertebra to the right was T7 (Table [2](#page-8-1): column 10, line 8). The vertebra most rotated to the left was L[2](#page-8-1) with 25.7° of projected axial rotation (Table 2: column 10, line 15). The direction of projected axial rotation was changed only between T7 and T8, but it returned to the same direction as before between T8 and T9 (Table [2](#page-8-1): column 10, lines 8–10).

After surgical correction, the top-view images became similar in both cases of scoliosis. The lateral displacements disappeared, and vertebrae aligned next to the *y*-axis, as can be seen from the vector coordinates comparing the preoperative and postoperative values (Tables [1,](#page-8-0) [2,](#page-8-1) [3,](#page-9-0) [4:](#page-9-1) columns  $1-4$ ).

In the frst case, the *y*-coordinates of each thoracic vertebra increased considerably (Table [3:](#page-9-0) columns 6 and 8) compared to the preoperative value, which is the sign that the vertebrae had moved away from the *x*-axis (Table [3:](#page-9-0) columns 7 and 9). In the second case, the *y*-coordinates in thoracic vertebrae decreased (Table [4:](#page-9-1) columns 6 and 8) and the vertebrae approached the *x*-axis (Table [4](#page-9-1): columns 7 and 9). In the lumbar area, the vertebral vector distances from the *x*-axis were not substantially changed (Table [4](#page-9-1): columns 7 and 9). The projected axial rotations of each vertebra diminished (Tables [3](#page-9-0) and [4:](#page-9-1) column 10) during surgery compared to the preoperative values (Tables [1](#page-8-0) and [2:](#page-8-1) column 10).

#### **Discussion**

There is a great demand for visualizing the spinal curves in all three planes in patients with scoliosis, a 3D spinal deformity. The most accurate 3D visualization of the spine is based on the new EOS™ 2D/3D radiographic images, allowing simultaneous full-body capturing of the upright perpendicular coronal and lateral radiographic images in a spatially calibrated way. The high-quality EOS™ images allow very accurate 3D surface reconstruction using sterEOS™ 3D software [[15,](#page-10-13) [16](#page-10-14)].

The 3D reconstruction software associated with EOS™ defnes the coordinates in global anatomical landmarks from diferent points of vertebrae and deduces the coordinates of these vertebral marks. Using certain points of the aforementioned landmarks, we proposed a mathematical algorithm to defne the posteroanterior vertebral axis, i.e., the vertebral vector. The vector is a mathematical entity characterized by its initial and terminal points by its length and spatial direction, commonly represented by a directed line segment. It is possible to visualize this vertebral vector (posteroanterior vertebral axis) projection on each of the planes and to determine the value of the projected vector angles relative to the anatomical axes in the planes on which the vectors are projected [\[13](#page-10-11)]. This method of representation also allows the evolution of vertebral vector projections in the axial plane before and after surgical treatment, along with representation of the entire spine.

The axial plane top-view image is essential to complete observation of the scoliosis curves, because, despite the similar representations in the frontal and sagittal planes, the occurrence of scoliosis in the horizontal plane can be entirely diferent, as it has been clearly shown in two patients demonstrated herein. The axial plane analysis together with evaluations of usual coronal and sagittal curves may reveal inherent structural diferences that are not apparent in two planar radiographic assessments. Atmaca et al. reached the same conclusion after analyzing the axial plane changes of Lenke 1 curves using the Drerup method [[17\]](#page-10-15).

Thong et al. also found a diference in axial plane appearance [\[18](#page-10-16)] after evaluating the 3D sub-groups of all Lenke types of thoracic and lumbar scoliotic curves, although the methodology used (the PMC display) is only a quasi-3D spatial representation [\[10](#page-10-8)].

As the Lenke classifcation is already a lumbar and sagittal modifer, it seems necessary to also adjust the horizontal modifer.

In the frst case, the whole spine was decompensated, because the T1 vertebra was displaced more laterally than the L5 vertebra. It was also clearly visible that the vertebrae were arranged near the *x*-axis. This is the sign of the sagittal curves' disappearance, resulting in a fat back. In the frst case, the spine was not only decompensated, but it became flat too.

In contrast, the second patient did not have a decompensated spine, because the T1 and L5 vertebrae were situated at the same distance from the *y*-axis; hence, they were located above each other on the same plane. The sagittal curves did not decrease, because the vertebrae distances from the *x*-axis were constantly changing according to the necessity of the thoracic kyphosis and lumbar lordosis. The projected rotation of lumbar apical vertebrae was nearly the same: 22° for the frst patient and 25° for the second patient.

After surgical correction, the top-view images became similar. The postoperative top-view image shows new realignment of the vertebrae in both patients. The vertebrae <span id="page-8-0"></span>**Table 1** Preoperative vector coordinates of the frst case of scoliosis with the real distances calculated from the respective axis and the axial rotation of each vertebral vector



<span id="page-8-1"></span>**Table 2** Preoperative vector coordinates of the second case of scoliosis with the real distances calculated from the respective axis and the axial rotation of each vertebral vector



regrouped close to the body axis. To obtain this new realignment of the spine, it seems to be more important to minimalize the lateral ejection of the spine and to move the vertebrae as close as possible to the body axis (in the top view from the *y*-axis) during the correction. To reduce the distance between the vertebrae and sagittal median axis of the body, the principal maneuver during the surgery has to be vertebral translation in the horizontal plane. This ascertainment is consistent with the observation of Seoud et al. in that the scoliosis reduction by spine translation provides good correction for the curves and for the rib humps [\[19](#page-10-17)]. In our opinion, reducing vertebral rotation is of secondary importance [[20\]](#page-10-18).

To translate the spine in the horizontal plane, it is not necessary to use screws in every segment, mainly in the thoracic area. Surgeons can obtain good translation also by performing instrumentation with hooks of strategic vertebrae, according to the Cotrel-Dubousset philosophy.

The use of only posteroanterior vertebral vectors in the horizontal plane provides different types of parameters regarding the impact of surgical treatment: reducing lateral deviations, achieving harmony of the curves in the sagittal

<span id="page-9-0"></span>**Table 3** Postoperative vector coordinates of the frst case of scoliosis with the real distances calculated from the respective axis and the axial rotation of each vertebral vector



<span id="page-9-1"></span>**Table 4** Postoperative vector coordinates of the second case of scoliosis with the real distances calculated from the respective axis and the axial rotation of each vertebral vector



plane, and reducing rotations in the horizontal plane. We can obtain information from all three planes of the spine in one image [\[21](#page-10-19)].

This view uniquely allows a good assessment of the 3D situation of the spine and provides useful information in daily clinical practice before and after surgical treatment; furthermore, it facilitates the understanding of the 3D nature of scoliosis. Implementation of this approach is simple. These results are sufficient for visual analysis, exhibiting a signifcant amount of clinical information in the three anatomical planes. This visualization approach represents a reasonable compromise between mathematical purity and practical use.

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#### **Compliance with ethical standards**

**Conflict of interest** The authors declare that they have no confict of interest.

**Ethical standards** All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

**Informal consent** For this type of study, formal consent is not required.

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