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# Thermal effects on the mechanical behaviour of the soil-structure interface

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#### 8 Abstract

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- The mechanical behaviour of the soil-structure interface plays a major role in the shear characteristics and bearing capacity of foundations. In thermo-active structures, due to non-isothermal conditions, the interface behaviour becomes more complex. The objective 11 of this study is to investigate the effects of temperature variations on the mechanical 12 behaviour of soils and soil-structure interface. Constant normal load (CNL) and constant 13 normal stiffness (CNS) tests were performed on soil and soil-structure interface in a direct shear device at temperatures of 5, 22 and 60 °C. Fontainebleau sand and kaolin clay were used as proxies for sandy and clayey soils. The sandy soil was prepared in a dense state, and the clayey soil was prepared in a normally consolidated state. The results showed that the applied thermal variations have a negligible effect on the shear strength of the sand and sand-structure interface under CNL and CNS conditions, and the soil and soilstructure interface behaviour could be considered thermally independent. In clay samples the temperature increase, increased the cohesion and consequently the shear strength, due to thermal contraction during heating. The temperature rise had less impact on the shear strength in the case of the clay-structure interface than in the clay samples. The adhesion of the clay-structure interface, is less than the cohesion of the clay samples.
- <sup>25</sup> Keywords: Shear strength, Constant normal stiffness (CNS), Soil-structure interface,
- <sup>26</sup> Temperature, Thermo-active structures.

#### Résumé

Le comportement mécanique de l'interface sol-structure est d'une grande importance en

raison du rôle de l'interface dans la résistance due au frottement et la capacité portante des structures. Dans les structures thermo-actives du fait de la variation de la température, le comportement de l'interface devient plus complexe. L'objectif de ce travail est d'étudier l'effet des variations de température sur le comportement mécanique de l'interface sol-structure. Des essais avec des conditions de charge normale constante (CNL) et de rigidité normale constante (CNS) ont été réalisées dans une boîte de cisaillement direct à différentes températures, 5 °, 22 ° et 60 ° C sur des éprouvettes sol-sol et sol-structure. Le sable de Fontainebleau et le kaolin ont été utilisés comme materiaux de référence pour les sols sableux et argileux. Les résultats ont montré que les variations thermiques appliquées ont un effet négligeable sur la résistance au cisaillement des interfaces sable-sable et sable-structure dans les conditions CNL et CNS et que le comportement du sable peut être considéré comme étant indépendent de la température. Dans l'argile étudiée, l'augmentation de la température augmente la résistance au cisaillement en raison de la contraction thermique pendant le chauffage, ce qui augmente la cohésion du sol. L'augmentation de température a eu moins d'impact sur la résistance au cisaillement dans le cas de l'interface argile-structure que dans les échantillons argile. L'adhésion de l'interface argile-structure est inférieure la cohésion de les échantillons d'argile.

Mots clés: Resistance au cisaillement, rigidité normale constante, interface sol-structure,

température, geostructures thermo-actives.

## ${}_{\circ}$ Introduction

The bearing capacity of foundations is highly dependent on the mechanical behaviour of
the soil-structure interface. Therefore, the soil-structure interactions at the interface are
of primary importance in foundation designs. Due to the recent developments of clean
energy, traditional geostructures such as piles and diaphragm walls have been converted
to energy geostructures by installing heat exchanger tubes inside the concrete element
(Brandl 2006). In energy geostructures the mechanical loads applied to the structure

on one hand, and the effect of heat exchange between structure and surrounding soil
on the other hand, modify the behaviour of the soil-structure interface. These thermal
variations and mechanical loads affect the bearing capacity and frictional resistance of
these thermo-active structures. Therefore, the effects of temperature on the soil-structure
interface mechanical parameters should be investigated. In this section, a state of the art
about the behaviour of the soil-structure interface and its influencing parameters under
isothermal conditions are presented. Then, existing studies on the effects of temperature
on the mechanical behaviour of soils and soil-structure interfaces are discussed.

Grain size, grain crushability, grain roundness, soil density, initial stress state, structure roughness and shearing rate based on interface tests were addressed as the parameters influencing the soil-structure interface mechanical behaviour (Potyondy 1961; Desai et al. 1985; Boulon and Foray 1986; Uesugi and Kishida 1986; Poulos and Al-Douri 1992; Jardine et al. 1993; Lehane et al. 1993; Fakharian and Evgin 1997; Mortara 2001; Pra-Ai 2013).

An important concept to aid in understanding the interface behaviour is the constant normal stiffness (CNS) conditions, which explains the real shear behaviour of embedded foundations; as discussed in the following. The physical concept of constant normal stiffness (CNS) conditions at the soil-structure interface, was introduced by Wernick 1978 (Fig. 1). Depending on the volumetric response of the soil at the interface during shearing dilative or contractive), the surrounding soil stiffness constrains the volumetric response of the interface and acts as a virtual spring with a given stiffness (Eq. 1).

$$\Delta \sigma = -K.\Delta U \tag{1}$$

Where  $\Delta\sigma(kPa)$  is the normal stress difference, K(kPa/mm) is the stiffness of the adjacent soil (stiffness of the spring) and  $\Delta U(mm)$  is the normal displacement difference of the interface.

The tendency of the interface to dilate is counteracted by the elastic reaction of the adjacent soil (Hoteit 1990; Tabucanon et al. 1995; Fioravante et al. 1999). Porcino et al. 2003 performed constant normal load (CNL) and constant normal stiffness (CNS) tests

on sand-steel interface and showed that the effect of the normal stiffness (K) on the mobilized shear resistance of the interfaces in CNS tests depends on the volumetric response exhibited by the interfaces in the CNL tests. They showed that in dilative regimes, the increase in the current normal stress  $(\sigma_n)$  when sheared in the CNS tests causes an increase in the current shear stress  $(\tau)$ . On the other hand, in the contractive regimes (smooth interface or loose soil), a decrease in the normal and shear stresses is observed. They also concluded that the increase or decrease in the mobilized shear resistance during the CNS tests are a consequence of the current normal stress evolution, and these changes in mobilized shear resistance are not an effect of the mobilized sand-structure friction angle modification, which remains unchanged.

Another important factor that influences the soil-structure interactions is the structure surface roughness (Kishida and Uesugi 1987; Porcino et al. 2003; Hu and Pu 2003). Normalized roughness  $(R_n)$ , as reported by Uesugi and Kishida 1986, was defined by measuring  $R_{max}$  (vertical distance between the highest peak and lowest valley) along a profile length L equal to the mean grain size  $D_{50}$  and then normalized by  $D_{50}$ :

Previous investigations (Uesugi and Kishida 1986; Uesugi et al. 1989; Hu and Pu

$$R_n = \frac{R_{max}(L = D_{50})}{D_{50}} \tag{2}$$

2003) indicate a range for the smooth and rough surfaces. The critical roughness ( $R_{crit}$  = 0.1 - 0.13) was chosen as a range that  $(R_n > R_{crit})$  is a rough surface and  $(R_n < R_{crit})$ 100 is considered as a smooth one. 101 The interface behaviour under non-isothermal conditions is a coupling between the 102 above-mentioned parameters and temperature variations. In the following section, the 103 thermal effects on the mechanical parameters of soils and the soil-structure interface are 104 discussed. Different studies have been performed on the effects of temperature on the mechanical parameters of soils (Campanella and Mitchell 1968, Hueckel and Baldi 1990; 106 Kuntiwattanakul et al. 1995; Burghignoli et al. 2000; Cui et al. 2000; Delage et al. 2000; 107 Cekerevac and Laloui 2004; Abuel-Naga et al. 2006; Boukelia et al. 2017; Eslami et al. 108 2017; Jarad et al. 2017), and these studies indicated that the thermo-mechanical be-

haviour of soils is highly dependent on the stress and thermal history of the material. However, only a few studies have been performed on the soil-structure interactions under 111 non-isothermal conditions (Di Donna et al. 2015; Yavari et al. 2016). Di Donna et al. 2015 112 performed interface direct shear tests on quartz sand and illite clay at different tempera-113 tures (22, 50 and 60  $^{\circ}C$ ). These tests showed that the sand-concrete interface behaviour 114 was not directly affected by temperature changes, but the clay-concrete interface showed higher shear strength at higher temperatures. The residual interface friction angle of the 116 clay-concrete decreased slightly at high temperatures, but the adhesion (cohesion between 117 soil and structure) increased with increasing temperature. The authors suggested that 118 this result is related to the thermal consolidation of the clay, which results in an increase of the contact surface between the clay and concrete. Yavari et al. 2016 conducted soil-120 structure interface direct shear tests on Fontainebleau sand and kaolin clay samples at 121 5, 20 and 40 °C. The shear strength of the clay samples was higher than that of the clay-concrete interface, and the effects of temperature (in the range of 5-40  $^{o}C$ ) on the 123 shear strength and friction angle were negligible in the sand, clay and clay-concrete in-124 terface. They pre-consolidated all the samples to 100 kPa of vertical stress and heated 125 to 40 °C prior to the application of the initial conditions. Therefore, they found that the 126 effect of temperature on the clayconcrete interface, which was mainly related to thermal 127 consolidation, was negligible. 128

According to the literature, the effects of temperature on the friction angle and adhesion of the soil-structure interface, are poorly understood under both CNL and CNS
conditions. In this study, a temperature-controlled direct shear device was used to perform interface tests on Fontainebleau sand and kaolin clay on a rough surface under CNL
and CNS conditions, to better understand the following:

- The effects of temperature on the shear strength (friction angle, cohesion and adhesion)

  of soil and soil-structure interface under CNL and CNS conditions.
- The effect of surrounding soil stiffness on the soil-structure interface mechanical behaviour at different temperatures.
- The soil and soil-structure interface volumetric changes during heating (from 22 to 60

 $^{\circ}C$ ) and cooling (from 22 to 5  $^{\circ}C$ ) under constant isotropic stress.

## 140 Material properties, device and experimental programme

In this section first, the materials used in this study are presented. Then, the details of the temperature-controlled direct shear device, CNL and CNS tests with the device, and calibration are discussed. Finally, the experimental programme is presented.

#### 144 Material properties

The grain size distributions and physical properties of Fontainebleau sand (siliceous) and kaolin clay used, in this study are presented in Fig. 2, Table 1 and Table 2.

To perform soil-structure interface direct shear tests, a stainless steel plate (80 x 60 x 147 10 mm) with the desired roughness was designed and used as the structure. This steel 148 plate is used to, avoid abrasion of the surface due to test repetition. The roughness of the steel plate was measured with a laser profilemeter (Fig. 3a). Four profiles with lengths 150 of 32 mm (Fig. 3b) parallel to the shear direction were measured. The heights of these 151 four profiles were obtained with the laser are presented in Fig. 4a. To determine the roughness of the interface, each profile was divided into the  $D_{50}$  of Fontainebleau sand 153 (0.23 mm) and at each  $D_{50}$ , the  $R_{max}$  was measured. The values of  $R_{max}$  were divided 154 by  $D_{50}$  to obtain the normalized roughness  $(R_n)$ . For Fontainebleau sand, the normalized 155 roughness  $R_n$  is presented in Fig. 4b. Most of the normalized values are between 0.02 156 and 0.3. The largest value of normalized roughness  $R_n$  (0.32) was determined; therefore, 157 the stainless steel plate is considered as a rough and very rough surface for Fontainebleau 158 sand and kaolin clay.

### 160 Temperature-controlled direct shear device

Fig. 5 shows the temperature-controlled direct shear device. The shear box (60 x 60 x 35 mm) was placed inside a container filled with water to reach saturated conditions (Fig. 5). The heating system consisted of a heater that controlled the fluid temperature

circulating in the lower part of the container. Therefore, the water temperature in the container reached the same temperature as the circulating fluid. Three thermocouples, one in the lower half of the shear box, another on the upper half of the shear box and the last in the container, controlled the applied temperature. In this direct shear device, normal stress  $\sigma_n$  (kPa), shear displacement W (mm), circulating fluid temperature T (°C) and stiffness value K (kPa/mm) were applied, and normal displacement U (mm), shear stress  $\tau$  (kPa), and sample temperature T (°C) were measured (Fig. 5).

#### 171 Constant normal load application

To perform CNL tests, the normal load was applied with a loading frame and kept constant during the tests. To start the shear, a shear displacement rate (mm/min) was applied to the lower half of the shear box and the shear stress was measured. The different parts of the device were connected to a data logger and a commanding system, which enabled the operator to apply different thermo-mechanical paths. Calibrations were performed to account for any temperature effects on different parts of the device.

#### Constant normal stiffness application

Under CNS conditions, two general behaviours are observed in soils: dilative (dense or 179 overconsolidated soils) and contractive (loose or normally consolidated soils). In the first 180 case, with starting the shear the soil at the interface starts to contract slightly ( $\Delta U > 0$ ) 181 at the beginning of the test, and the amount of normal stress decreases (due to the 182 stiffness of the surrounding soil (virtual springs)) (Eq. 1). After this slight compression, the soil starts to dilate ( $\Delta U < 0$ ), and this dilation acts on the surrounding soil. Due 184 to the compression of the surrounding soil, the amount of the normal stress increases 185  $(\Delta \sigma > 0)$ . This normal stress rise, consequently increases the shear strength of the soil 186 at the interface. Conversely, in the second case (loose or normally consolidated soils), the 187 soil at the interface contracts ( $\Delta U > 0$ ), and the normal stress decreases ( $\Delta \sigma < 0$ ) until 188 the shear ceases. 189

To apply CNS condition to the temperature-controlled direct shear device, the follow-

- ing procedure was implemented in the command software:
- The total desired shear displacement, W(8 mm) was divided into 100 segments (W/100 = 0.08 mm).
- 2. In order to reach the desired W(8 mm) value:  $(W/100) \times i$  i = [1, 2, 3, ..., 100] where i is the number of segments.
- 3. At the end of each segment, the device measures the vertical displacement difference between the beginning of the segment and the end of the segment  $(\Delta U = \Delta U_{i_2} \Delta U_{i_1})$ .
- 4. Then, according to Eq. 1, this difference  $(\Delta U(mm))$  is multiplied by the value of
- stiffness (K (kPa/mm)), and the consequent normal stress ( $\Delta \sigma_n$ ) that should be applied
- 200 is calculated.
- 5. This process is repeated for all segments i(100) until the total shear displacement is reached.

#### Normal stiffness verification

To calibrate the device for the stiffness application, the variations of normal stress ( $\Delta\sigma$ )
with normal displacement ( $\Delta U$ ) are presented in Fig. 6. The slope of these curves
represents the stiffness value. For tested values of stiffness, a satisfactory correlation is
obtained (1-2% precision).

#### 208 Experimental programme

- The experimental programme consisted of soil and soil-structure direct shear tests at different temperatures (Table 3). Soil tests were performed as reference cases for comparison with soil-structure tests to better clarify the role of interface.
- The sand programme consisted of a series of constant normal load (CNL) tests at different temperatures to investigate the effects of temperature on the mechanical characteristics. In sand-structure tests, CNL and CNS tests were performed at 22 and 60 °C. For CNS tests, different stiffness values (K = 500, 1000, and 5000 kPa/mm) were chosen, that were used in previous studies (Boulon and Foray 1986; Mortara 2001; Pra-Ai 2013). Increasing the stiffness value restrains the volumetric response of the interface until a

certain case of constant normal stiffness which is called the constant volume condition 218 (CV). These values were chosen to cover the entire range of constant normal stiffness 219 conditions, from very small ranges close to CNL and up to very high values close to CV. 220 To perform the CNS tests, two scenarios were considered. First, shear tests with different 221 stiffness values (K = 500, 1000, and 5000 kPa/mm) and constant effective normal stress 222  $(\sigma'_{n0} = 100 \text{ kPa})$  were performed at 22 and 60 °C. The aim of this part was to determine the effect of different stiffness values at 22 and 60  $^{o}C$  on sand-structure interface. The sec-224 ond scenario, included interface shear tests at three different effective normal stress values 225  $(\sigma'_{n0} = 100, 200 \text{ and } 300 \text{ kPa})$  with a constant stiffness value (K = 1000 kPa/mm). This scenario was performed to determine the friction angle of the interface and also compare 227 the CNS and CNL tests. 228

#### 229 Sand programme

To prepare the sand samples for the shear tests, the Fontainebleau sand with a target dry density of  $1.67 \ Mg/m^3$  was poured into the shear box and compacted using a tamper. This dry density corresponded to 90% of the relative density  $(D_r)$ , and the sample was considered to be a dense sand (Table 1). Then, the normal stress was applied to the sand sample (path 0-1 in Fig. 7). After applying the normal stress, to shear the samples in CNL condition at  $22\ ^oC$ , a shear rate of 0.1 mm/min was applied (path 1-2). For the CNL tests at  $60\ ^oC$ , the heating phase (path 1-5, Fig. 7) was applied with a rate of 10  $^oC/hr$ , and the shearing phase (path 5-2') started.

For the sand-structure tests, the same procedure was performed, except the interface was placed at the lower half of the shear box. For the sand-structure CNS tests, due to the dense state of the soil, path 1-3 at 22 °C and path 5-3′ at 60 °C were observed (Fig. 7).

#### Clay programme

To perform the clay and clay-structure shear tests, kaolin clay was prepared with a water content of 63%, which was slightly higher than its liquid limit (LL = 57%) and the

sample was left for 24 hours for homogenization. Subsequently, the clay was poured into the shear box and special attention was paid to avoid any air trap. To perform the CNL tests at 22 °C,, the normal stress was applied slowly and incrementally during the consolidation phase, and each load increment lasted 2 hours, to ensure full consolidation 248 at each step (Mortezaie and Vucetic 2013). Two values of initial effective normal stresses  $(\sigma'_{n0} = 100, 300 \text{ kPa})$  were chosen for the clay programme. Based on the consolidation tests performed on this kaolin clay, the target void ratios after consolidation for  $\sigma'_n = 100$  and 251 300 kPa were e = 1 and 0.85, respectively. After the consolidation phase for the CNL tests 252 at 22 °C, a displacement rate of 0.006 mm/min that was calculated from the settlement curve and  $t_{50}$  (time required for the specimen to achieve 50 percent consolidation under the maximum normal stress) of the kaolin, was applied (ASTM 1998). This slow rate ensured 255 drained conditions inside the shear box during shearing. The initial heating or cooling phase started at ambient temperature (22 °C). After the consolidation phase, heating or cooling was applied to the samples at a rate of 5  ${}^{o}C/hr$ . This slow rate avoids a pore 258 pressure increase during the heating phase and was verified by Cekerevac and Laloui 2004 259 and Di Donna et al. 2015. During the heating or cooling phase in the shear box, thermal vertical deformation of the soil and the soil-structure interface was measured. After these heating or cooling phases, the samples were sheared. For the CNL tests of the clay and 262 clay-structure interface, paths 1-2 and 5-2' were applied, as seen in Fig. 7, but for the CNS clay-structure interface tests, paths 1-4 and 5-4' were observed due to the normally consolidated state of the kaolin samples.

## Experimental results for sand

In the following sections, first the CNL sand shear test results, and then, the CNL and CNS sand-structure interface tests are discussed.

#### 269 Sand

Fig. 8a presents the results of the sand CNL tests at 22 and 60 °C, which will be used as 270 a reference for the sand-structure tests. The dense sand samples show a peak shear stress 271 at a small shear displacement, and then, with a decrease, reach a critical state at both 22 272 and 60 °C. In Fig. 8b, the volumetric behaviour of the sand is presented. The amount 273 of contraction ( $\Delta U > 0$ ) is around 0.06 mm; then, at 1.2 mm of shear displacement, the 274 dilation ( $\Delta U < 0$ ) phase starts and continues until U = -0.4 mm, and finally, a constant 275 value corresponding to the critical state of the soil is reached. Fig. 8c shows the stress 276 ratio  $(\eta = \tau/\sigma'_n)$  variations with shear displacement, and the peak shear strengths are 277 reached at similar shear displacements (W = 1.5 - 1.6 mm) for different normal stresses. Fig. 8d shows the Mohr-Coulomb plane for the sand samples. The peak friction angle for 279 the tests is  $41.6^{\circ}$  at 22 and 60  $^{\circ}C$ , while the residual friction angle is  $34^{\circ}$ . The same peak 280 and residual friction angles at both temperatures show the negligible effect of thermal 281 variations on the shearing behaviour of the studied sand.

## 283 Sand-structure

#### 284 Constant normal load (CNL)

Fig. 9a shows the CNL results of the sand-structure tests with different initial effective 285 normal stresses ( $\sigma'_{n0} = 100, 200, 300$  kPa) at 22 and 60 °C. The shear stress-shear dis-286 placement curves reach peaks at approximately 1 mm of shear displacement, and then, 287 a sharp decrease of  $\tau$  is observed. The peak and residual values of the shear stress at different temperatures are almost the same. The contraction (0.01 mm) and dilation (-0.2 289 mm) amounts in the volumetric response are approximately half that of the sand case 290 due to the thickness of the soil sample in the sand-structure tests (Fig. 9b). In terms of the temperature effects on the volumetric response, at both 22 and 60 °C, the volumetric 292 responses follow the same trend. The stress ratio curves for different temperatures vary 293 between 0.8-1 (Fig. 9c). The Mohr-Coulomb plane of the sand-structure tests under the CNL condition is presented in Fig. 9d. The peak friction angle of the sand-structure

interface is  $40.4^{\circ}$  and the residual friction angle is  $32.7^{\circ}$ .

#### Constant normal stiffness

Fig. 10 shows the sand-structure interface CNS results for the first case ( $\sigma'_{n0} = cte$  and 298 K = 500, 1000, 5000 kPa/mm). With increasing stiffness, the maximum shear strength for CNS tests was increased due to the increase in normal stress (Fig. 10a). The CNS peak 300 shear stress obtained for larger shear displacements and the post-peak softening behaviour 301 was less evident than in the CNL sand-structure tests. In Fig. 10b, at the beginning of shearing, the interface contracted slightly and then started to dilate. For K=500kPa/mm, the soil in the interface dilated approximately -0.2 mm, and by increasing the 304 stiffness to 5000 kPa/mm, the dilation was reduced to -0.08 mm (Fig. 10b). Increasing the 305 stiffness restrained the volumetric response of the soil at the interface. These restrained dilations, increased the normal stress (Fig. 10c). The normal stress increased from the 307 initial value (100 kPa) to 180 kPa for K = 500 and 1000 kPa/mm. For K = 5000 kPa/mm 308 the normal stress increased to 510 kPa. Therefore, the normal stress variation during shear depended on the volumetric response, and these normal stress increases, consequently 310 increase the shear stress acting on the interface. Fig. 10d shows the Mohr-Coulomb 311 plane of the sand-structure interface. All tests showed, with shear increase, the effective 312 normal stress decreased slightly at the beginning, then followed by an increase until the end of shear. The comparison of curves at 22 and 60 °C shows that the temperature 314 has a negligible effect on the shearing behaviour of Fontainebleau sand-structure interface 315 under CNS conditions. 316

The second scenario ( $\sigma'_{n0} = 100, 200, 300 \text{ kPa}$  and K = cte) results are reported in Fig. 11 and are compared with the results of the CNL case (K = 0 kPa/mm). The peak shear stress for CNS tests were obtained for larger shear displacements (1.3 mm), compare to CNL tests (0.8 mm). The increase in peak shear stress for  $\sigma'_{n0} = 100, 200$ , and 300 kPa in the CNS tests were 20, 60 and 80 kPa respectively (Fig. 11a), compared to the values on the CNL tests. The volumetric responses in the CNS tests are more restrained than in CNL tests (Fig. 11b). In Fig. 11c, the normal stress variation during the CNS tests is

presented. The normal stress showed a slight decrease until 0.5 mm of W(mm) and then increased. This increase continued until 1.4 mm of W(mm), which corresponds to the peak shear stress; after this peak was reached, the normal stress remained unchanged. The 326 peak and residual friction angles of the interface in Fig. 11d are  $\delta_p' = 37^o$  and  $\delta_{res}' = 28^o$ 327 which are smaller than the friction angles obtained in the sand tests (Fig. 8d). This point 328 confirms that the shear occurred exactly in the interface zone, and not in the soil mass. Pra-Ai 2013 conducted interface direct shear tests on dense Fontainebleau sand samples 330 on a rough steel plate under isothermal conditions, and they found 38° and 29° for the 331 peak and residual friction angles of the interface respectively. In the CNS sand-structure 332 interface tests, the peak and residual friction angle of the interface  $(40.7^{\circ})$  and  $32.4^{\circ}$  are so close to those in the CNL sand-structure tests ( $40.4^{\circ}$  and  $32.7^{\circ}$ ). The friction angle of 334 the sand-structure interface is not affected by the CNS condition, which was also observed by Porcino et al. 2003.

#### Sand vs. sand-structure interface

In this section, the CNL test results for the sand and sand-structure interface are compared 338 to better understand the interface behaviour. The shear stress and volumetric response 339 in the CNL sand and sand-structure tests for  $\sigma'_{n0}$ =100 and 300 kPa at T=22 °C are compared in Fig. 12. In the sand-structure tests, the post-peak softening behaviour is more pronounced than in the sand tests (Fig. 8). The peak shear stress is higher 342 (7-15%) in the sand samples than in the sand-structure tests, which confirms the shear 343 failure occurs in the interface zone. The peak shear stresses of the sand-structure tests are obtained at smaller shear displacements (0.7-1 mm) than in the sand (1.6-1.8 mm) case, which could be due to the rearrangement of grains in the interface zone (Hoteit 1990, Tabucanon et al. 1995; Porcino et al. 2003). ). The dilation phase began at smaller shear displacements in the sand-structure interface tests (0.7 mm) than in the sand samples (1.2 mm), and the amount of dilation (0.2 mm) was almost half that of the sand samples (0.4 mm).

## Experimental results for clay

This experimental section is divided into the clay and clay-structure results. For the clay tests, the CNL test results and for clay-structure interface tests, the CNL and CNS test results are discussed.

#### 55 Clay

In this part first, thermal vertical strain of clay samples is presented. Second, the shear 356 curves and volumetric responses for  $\sigma'_{n0}$ =100 and 300 kPa at 5, 22 and 60 °C are presented. 357 To verify the repeatability of the results, the test with  $\sigma'_{n0}$ =300 kPa at 60 °C was repeated. 358 After consolidation and reaching the desired void ratio, a heating or cooling phase at a 359 rate of 5  ${}^{o}C/hr$ , was applied to the clay samples. This heating and cooling caused a thermal vertical deformation under constant effective normal stresses of 100 and 300 kPa 361 (Fig. 13). The heating phase started from 22 to 60 °C and cooling phase was from 22 to 362 5 °C. The thermal vertical strain was higher for heating cases (0.6-0.64%) than cooling 363 cases (0.18 - 0.2%). Therefore, the slope of heating curves was less than the cooling curves, and heating caused more contraction than cooling. 365

After heating or cooling, normally consolidated kaolin clay samples were sheared at two different effective normal stresses ( $\sigma'_{n0}$ =100, 300 kPa) at 5, 22 and 60  $^{o}C$  (Fig. 14). In Fig. 14a and c, the shear stress-shear displacement curves for 100 kPa and 300 kPa at 5, 22 368 and 60 °C are presented. As observed for both effective normal stresses, the shear stress 369 increased with increasing temperature, until the peak values, then it decreased towards 370 the critical state. The residual shear stresses at 5, 22 and 60  $^{o}C$  for  $\sigma_{n0}^{'}=100$  kPa became 371 convergent after a shear displacement of 5 mm. For  $\sigma'_{n0}$ =300 kPa at 5 and 22 °C the 372 shear stress increased in the same manner, but after a shear displacement of W=3.5 mm, 373 the stress values diverged. 374

In the volumetric response, the samples that were exposed to higher temperatures showed less contraction during shear (Fig. 14b and Fig. 14d. For example in 100 kPa of effective normal stress, the test at 5  $^{o}C$  showed a contraction approximately 0.89 mm, but

for the tests at 22 and 60  $^{o}C$ , this amount decreased to approximately 0.68 mm and 0.38 mm respectively. For 300 kPa, the same trend was observed for the volumetric response (Fig. 14d).

The Mohr-Coulomb plane for the clay tests at different temperatures is presented in Fig. 15. The internal friction angle of the clay soil obtained at different temperatures, shows a slight increase with temperature increase (14.4° to 15.3°) which can be considered negligible, but the main difference was the cohesion increase from 11 to 17 kPa and then to 23 kPa for tests at 5, 22 and 60  $^{o}C$ , which could be due to thermal hardening during the heating phase.

#### 387 Clay-structure

In the following sections, the CNL and CNS results for the clay-structure are presented.

#### 89 Constant normal load (CNL)

Fig. 16 shows the thermal vertical strain for  $\sigma'_{n0}$ =300 kPa during the heating phase and after consolidation. The thermal vertical strain caused by the temperature increase from 22 to 60  $^{o}C$  was approximately 0.85%, and for a temperature decrease from 22 to 5  $^{o}C$  in the cooling case, the thermal vertical strain was approximately 0.2% for the clay-structure interface tests.

The thermal volume deformation depends on the volume of the sample (Campanella and Mitchell 1968 and Baldi et al. 1988), and the thermal vertical strain is higher in the clay-structure interface than in the clay samples due to the volume of the clay specimen in the clay-structure tests.

In Fig. 17 the results of the clay-structure interface CNL tests are presented. Fig. 17a shows the shear stress versus shear displacement for  $\sigma'_{n0}$ =100 kPa. The peak shear strength curve was slightly higher at 60 °C than at 5 and 22 °C ( $\Delta \tau = 8$  kPa), but at the critical state, all curves at different temperatures were superimposed. For  $\sigma'_{n0}$ =300 kPa (Fig. 17c), the  $\Delta \tau = 10$  kPa of difference at the peak was evident for 60 °C compared to 5 and 22 °C. In the critical state, the same behaviour as  $\sigma'_{n0}$ =100 kPa was observed.

In Fig. 17b and d the volumetric behaviours of the clay-structure interface are presented for  $\sigma'_{n0}$ =100 and 300 kPa. For  $\sigma'_{n0}$ =100 kPa (Fig. 17b), the amount of contraction was 0.4 mm for the test at 5 °C, while it was 0.28 mm and 0.12 mm for the tests at 22 and 60 °C, respectively. For  $\sigma'_{n0}$ =300 kPa (Fig. 17d), the same behaviour was observed, and the contraction at 5 °C was higher than those obtained at 22 and 60 °C.

Fig. 18 shows the Mohr-Coulomb plane for the clay-structure interface CNL tests at different temperatures. The peak friction angle for all studied temperatures was 14°. The main difference between the Mohr-Coulomb envelopes for different temperatures was the adhesion. The increase in temperature, increased the peak adhesion (cohesion between soil and structure) from 12.5 kPa to 18 kPa while the residual adhesion remained constant (16.5 kPa).

#### 416 Constant normal stiffness (CNS)

To investigate the shear characteristics of the clay-structure interface, constant normal stiffness (CNS) conditions were applied. The results for K=1000 kPa/mm that is intermediate value between K=500 (CNL) and 5000 kPa/mm (CV) are presented.

The shear stress versus shear displacement for two initial normal stresses ( $\sigma'_{n0}$ =100 and 300 kPa) at 22 and 60 °C are presented in Fig. 19a. At  $\sigma'_{n0}$ =100 kPa, the shear stress increased with increasing shear displacement until reaching a value of 1 mm ( $\tau$  = 33 kPa) then, with a slight decrease, the shear stress continued towards the critical state ( $\tau$  = 28 kPa). The curves for both 22 and 60 °C followed the same trend. Tests at  $\sigma'_{n0}$ =300 kPa showed a very clear peak and then decreased towards a constant value. As mentioned for 100 kPa, under  $\sigma'_{n0}$ =300 kPa, the shear stresses at 22 and 60 °C are similar.

For both initial normal stresses, kaolin contracted until the end of the shear (Fig. 19b). For  $\sigma'_{n0}$ =100 kPa at 22 °C, the amount of normal displacement in the critical state was around 0.035 mm. This value was approximately 0.02 mm for tests at 60 °C, and the heated samples showed less contraction. For  $\sigma'_{n0}$ =300 kPa at 22 and 60 °C, the amount of normal displacement in the critical state was 0.9 and 0.6 mm, respectively.

In Fig. 19c the variation of normal stress during CNS tests of clay-structure interface is

presented. For both  $\sigma'_{n0}$ =100 and 300 kPa the normal stresses decreased during shearing process. For samples exposed to higher temperatures the amount of reduction was less than samples at 22 °C. For tests at  $\sigma'_{n0}$ =100 kPa at 22 and 60 °C the normal stress decrease was about 42 and 30 kPa respectively.

In Fig. 19d, the normal stress vs. shear stress planes for the clay-structure interface CNS and CNL tests are presented. For  $\sigma'_{n0}$ =100 and 300 kPa in the CNS tests, the shear stress increased with decreasing normal stress, and the shear reached a peak value and then decreased. The heated samples showed less decrease in the normal stress. For example, for  $\sigma'_{n0}$ =300 kPa, the peak shear strength for heated samples was slightly higher than 22 °C, and the normal stress decrease in the heated sample was also less than that at 22 °C. The peak friction angle and adhesion of the CNS tests were 14° and 13 kPa respectively.

#### Clay vs. clay-structure interface

In Fig. 20 the CNL tests of clay and clay-structure for  $\sigma'_{n0}$ =100 kPa are compared. The peak shear stress in the clay-structure tests is obtained with smaller shear displacements (1.2-1.6 mm), while for the clay, the peak shear stress is around (2.8-3.2 mm), and the softening behaviour after the peak is more pronounced for the clay-structure interface. The peak shear stress difference of 6 kPa for clay vs clay-structure at 22 °C and 7.5 kPa at 60 °C for  $\sigma'_{n0}$ =100 kPa confirms that the shear occurs in the interface zone and not in the soil mass.

In terms of volumetric behaviour, the clay tests are completely different with claystructure tests (Fig. 20b). In clay tests the contraction increases until the end of test but
in the clay-structure tests the contraction increases more rapidly compare to the clay tests,
and then remains constant. Tsubakihara and Kishida 1993 have performed direct shear
tests on Kawasaki clay and mild steel. They found that, the reason for the volumetric
behaviour difference between clay and clay-structure tests, can be the sliding shear mode
that occurs in the interface.

## 460 Discussion

In the following section, the discussion about the effects of temperature on sand and clay interface shear strength is presented.

## Effect of temperature on sand

Fig. 21 shows the effect of temperature on cohesion, adhesion and friction angle of differ-464 ent soils that have been studied for interface direct shear tests at different temperature 465 variations in the literature, which is compared to results obtained in this study. As can be seen, the effect of temperature on the peak and residual friction angle of sand and 467 sand-structure interface are negligible (Fig. 21b). The similar shear curves, volumetric 468 behaviour and Mohr-Coulomb plane at different temperatures (Fig. 8) for sand samples also confirm that, the effect of temperature on the shear strength of sand is negligible. 470 These observations are in line with existing studies (Di Donna et al. 2015; Yavari et al. 471 2016). For sand-structure interface tests with different stiffness and temperatures, it was 472 observed that, the interface behaviour in CNS condition does not change at different temperatures. For sandy soil used in this study, in the context of energy foundations, heating 474 a sand-structure interface in this range of temperatures does not change the mechanical 475 properties of the interface, and in the design calculations no further precautions should be considered for thermal effects. 477

#### Effect of temperature on clay

In clay tests, heating under drained conditions led to a contraction of the samples, and consequently the shear strength increased due to thermal hardening (Fig. 14). Several authors observed this thermally induced contraction and shear strength increase during drained heating of normally consolidated clays (Campanella and Mitchell 1968; Hueckel and Baldi 1990; Kuntiwattanakul et al. 1995; Chiu 1996; Cekerevac and Laloui 2004; Abuel-Naga et al. 2006). Baldi et al. 1988 have explained, the reason of this thermoplastic strain may be in the micro-structural changes as the tendency of clay flakes to

group together which increases the mineral-to-mineral contact and generates irreversible strain.

Fig. 20 shows that the peak and residual shear strength in the clay-structure tests,
were always less than those obtained during clay tests. This showed that the shearing
occurred at the interface zone. Moreover, the peak shear stresses of the clay-structure
tests are close to the residual shear stress values of clay tests at all tested temperatures.
This may be due to the sliding, or partially sliding, shear mode along the interface.
Indeed, Lemos and Vaughan 2000 showed that, in clays with high clay content in which
residual soil shear is in the sliding mode, peak interface shear strength normally is close
to the soil residual strength and is independent of roughness (Fig. 20). Therefore, in the
clay-structure interface tests performed in this study, the sliding or partially sliding shear
mode at interface occurred for all tested temperatures.

The peak cohesion of clay samples increases from 17 to 23 kPa while the peak adhesion of clay-structure increases from 12 to 18 kPa with temperature increase from 22 to 60 °C while the residual adhesion remained stable (Fig. 21a). Therefore, it can be concluded that cohesion is more affected by temperature modification. This reflects the interaction between the structure and the clay. For kaolin clay, heating the interface tend to slightly increase the shear strength of the interface. Therefore, in terms of structural safety of energy geostructures, temperature increase in normally consolidated kaolin clay can be considered as a positive aspect.

In isothermal conditions, Potyondy 1961 have performed direct shear tests on different soils and different structural materials like steel, concrete and wood. He found that the friction angle and adhesion of a smooth steel interface is less than a smooth concrete interface. Therefore, it can be concluded that the nature of the structural material plays a major role in the interface behaviour and further works should be carried out to investigate the nature the interface behaviour on different construction materials.

## 512 Conclusions

Constant normal load (CNL) and constant normal stiffness (CNS) interface tests were 513 conducted on soil and soil-structure samples at different temperatures (5,22 and 60  $^{o}C$ ). 514 The results showed that the mechanical properties of sand are independent of temperature 515 (22 and 60 °C) for both sand and sand-structure tests. Different stiffness values were applied under CNS conditions at different temperatures, and it was observed that such as 517 the CNL tests, the temperature does not change the interface behaviour under the CNS 518 condition. Additionally the same interface friction angle was obtained in both CNL and 519 CNS tests for sand-structure interface tests. In kaolin clay, temperature does not affect the friction angle and the main effect is the 521 increase of the cohesion or adhesion. For clay tests, due to thermal contraction of kaolin during heating, the soil becomes denser and shows a higher shear strength. It was found that temperature increases the cohesion of clay samples. In clay-structure contact, due 524 to difference in the nature of materials (clay vs. metal) the adhesion is not as much 525 as clay case, therefore the shear strength increase with temperature increase, is not as much as clay case. In CNS tests on clay-structure interface, the soil exposed to higher temperatures, showed less contraction during shearing, and consequently less normal stress 528 decrease due to the denser state of the heated clay-structure samples prior to shearing. Therefore, in the interface the soil becomes denser with heating and the shear strength increases slightly. 531

Further work will be carried out to investigate the effects of thermo-mechanical cycling
on the mechanical behaviour of soil-structure interface.

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## List of symbols

- CNL Constant normal load
- CNS Constant normal stiffness
- K(kPa/mm) Imposed normal stiffness
- $\tau(kPa)$  Shear stress
- $\sigma'_n(kPa)$  Effective normal stress
- $\bullet U(mm)$  Normal displacement
- W(mm) Shear displacement
- $R_{max}(mm)$  Maximum surface roughness
- $R_n(-)$  Normalized surface roughness
- $\delta_n'(\circ)$  Peak friction angle of interface
- $\delta'_{res}(^{o})$  Residual friction angle of interface
- $\phi_p'(o)$  Peak friction angle of soil
- $\phi'_{res}(^{o})$  Residual friction angle of soil
- $C_{p}^{'}(^{o})$  Peak cohesion of soil
- $C'_{i,p}(^{o})$  Peak adhesion of soil-structure
- $D_{50}$  (mm) mean diameter of soil particles
- $\rho_s$   $(g/cm^3)$  grain density of soil particles
- $\gamma_{dmax} (kN/m^3)$  maximum dry density
- $\gamma_{dmin} (kN/m^3)$  minimum dry density
- $e_{max}$  maximum void ratio
- $e_{min}$  minimum void ratio
- $C_u = D_{60}/D_{10}$  coefficient of uniformity
- k (m/s) hydraulic conductivity

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Table 1: Fontainebleau sand physical properties (Pra-Ai 2013)

$D_{50}$	$ ho_s$	$\gamma_{dmax}$	$\gamma_{dmin}$	$e_{max}$	$e_{min}$	$C_u =$
(mm)	$(g/cm^3)$	$(kN/m^3)$	$(kN/m^3)$			$D_{60}/D_{10}$
0.23	2.65	17.2	14.2	0.866	0.545	1.72

Table 2: Kaolin clay physical and thermal properties (Yavari et al. 2016)

<i>LL</i> (%)	PL (%)	$I_p$ (%)	$\rho_s$	λ	C	k (m/s)
			$(Mg/m^3)$	(W/mK)	$(J/m^3K)$	
57	33	24	2.60	1.5	3.3	$10^{-8}$

 ${\it Table 3: Experimental \ programme \ of \ soil \ and \ soil-structure \ interface \ tests}$ 

	$\sigma_n(kPa)$	K(kPa/mm)	$T^o(C)$	Type of test
Sand	100, 200, 300	0	22°	CNL
Sand	100, 200, 300	0	60°	CNL
Sand-structure	100, 200, 300	0	$22^o$	CNL
Sand-structure	100, 200, 300	0	$60^{o}$	CNL
Sand-structure	100	500, 1000, 5000	$22^o$	CNS
Sand-structure	100	500, 1000, 5000	$60^{o}$	CNS
Sand-structure	100, 200, 300	1000	$22^o$	CNS
Clay	100, 300	0	50	CNL
Clay	100, 300	0	$22^o$	CNL
Clay	100, 300	0	60°	CNL
Clay-structure	100, 300	0	$5^o$	CNL
Clay-structure	100, 300	0	$22^o$	CNL
Clay-structure	100, 300	0	60°	CNL
Clay-structure	100, 300	1000	$22^o$	CNS
Clay-structure	100, 300	1000	60°	CNS

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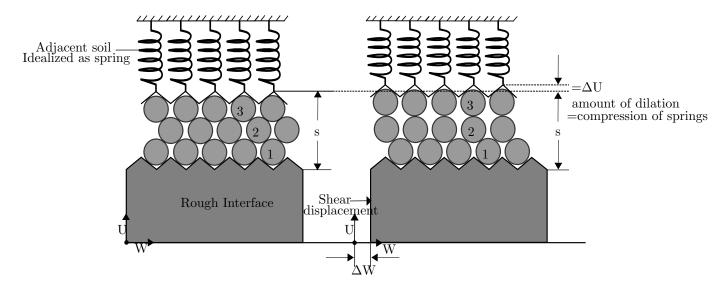
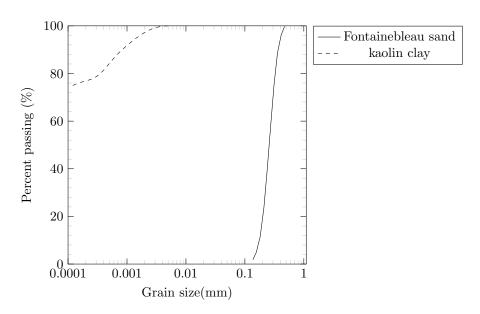


Figure 1: CNS concept of the soil-structure interface (after Wernick 1978).



 $Figure\ 2:\ Grain\ size\ distribution\ of\ Fontaine bleau\ sand\ and\ kaolin\ clay.$ 

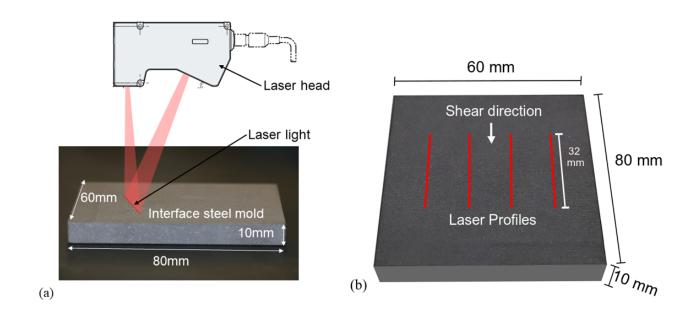


Figure 3: (a) Steel mould dimensions and, laser setup (b) direction and dimensions of laser profiles.

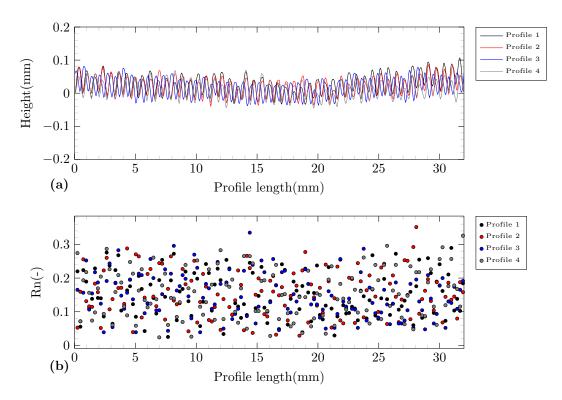
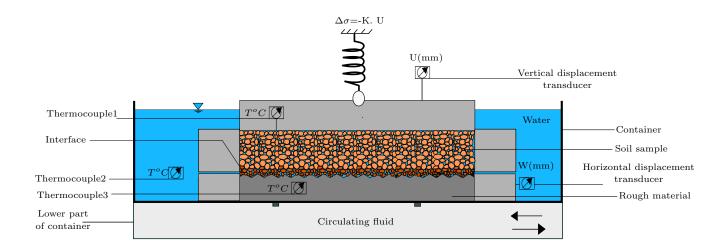


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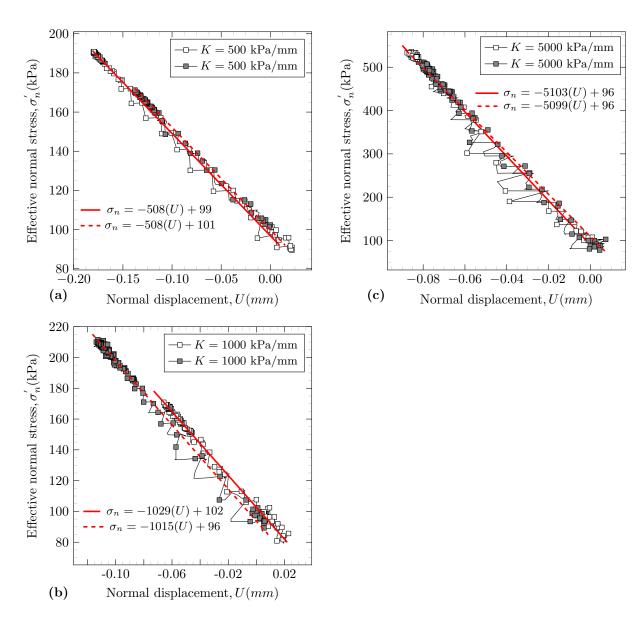
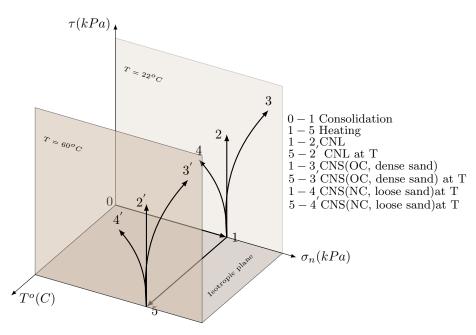


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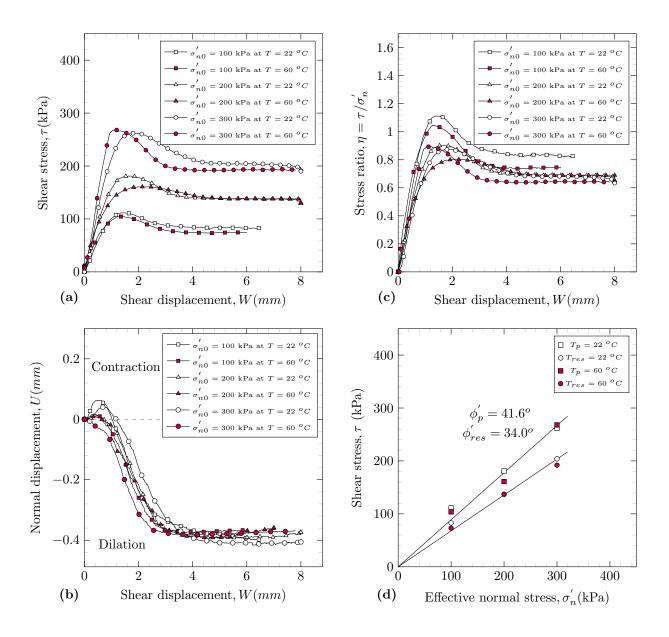


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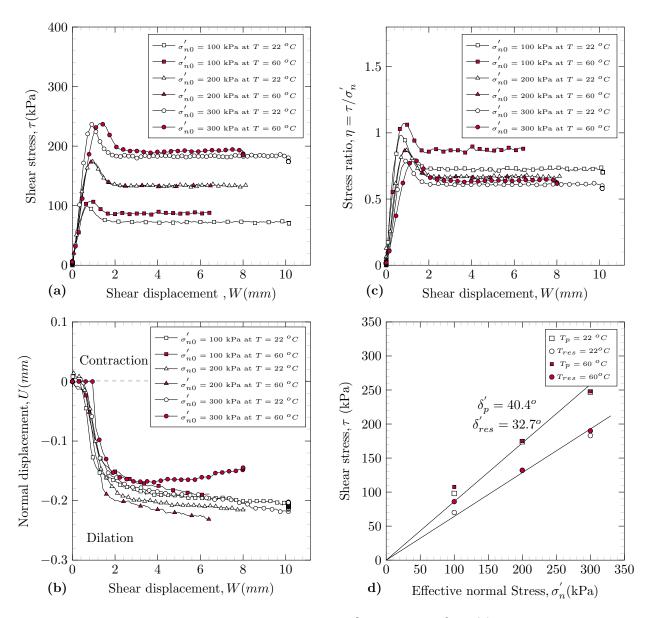


Figure 9: CNL results for sand-structure inerface at  $T=22^{\circ}C$  and  $T=60^{\circ}C$ . (a) Shear stress vs. shear displacement; (b) normal displacement vs. shear displacement; (c) stress ratio vs. shear displacement; (d) shear stress vs. effective normal stress

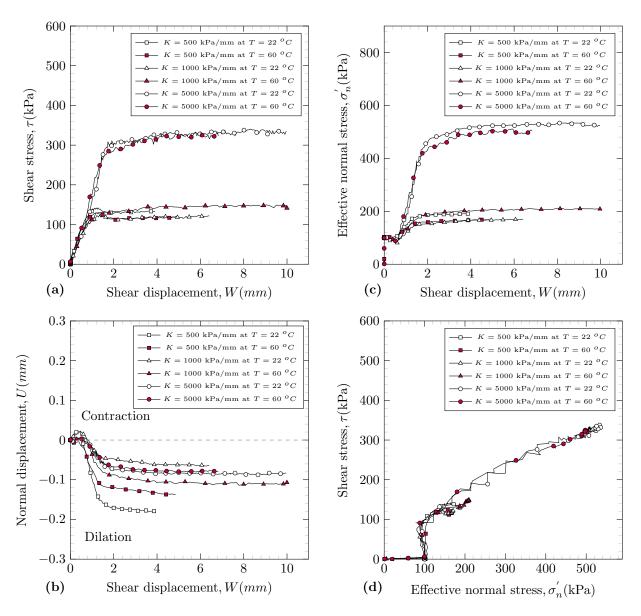


Figure 10: CNS results for sand-structure interface at  $T=22^{\circ}C$  and  $T=60^{\circ}C$  for same initial normal stress (100 kPa). (a) Shear stress vs. shear displacement; (b) normal displacement vs. shear displacement; (c) normal stress vs. shear displacement; (d) shear stress vs. effective normal stress

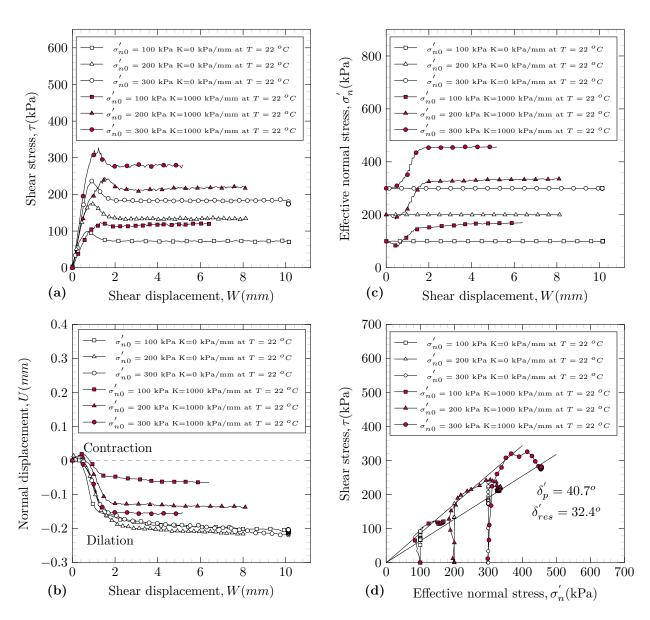


Figure 11: Comparison of CNS and CNL (K=0 kPa/mm) results for sand-structure interface at  $T=22^{\circ}C$  for different initial normal stresses (100,200,300 kPa) and K=1000 kPa/mm. (a) Shear stress vs. shear displacement; (b) normal displacement vs. shear displacement; (c) effective normal stress vs. shear displacement; (d) shear stress vs. effective normal stress

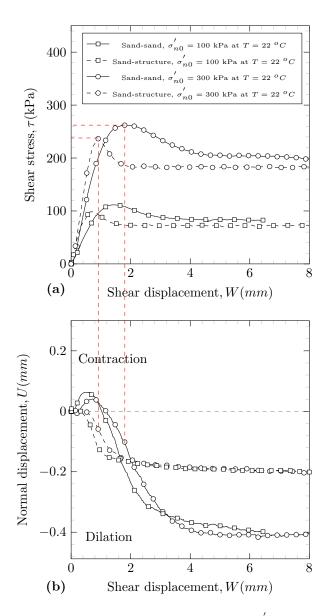


Figure 12: Comparison of CNL results for sand and sand-structure with  $\sigma_{n0}^{'}=100$  and 300 kPa at T=22  $^{o}C$ .

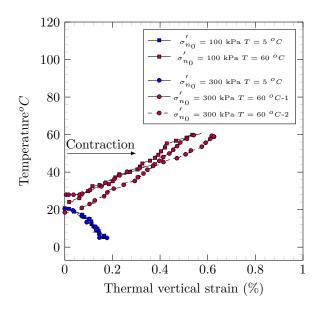


Figure 13: Thermal vertical strain of clay samples during heating and cooling phase. Heating or cooling rate:  $5\,^{\circ}C/hr$ 

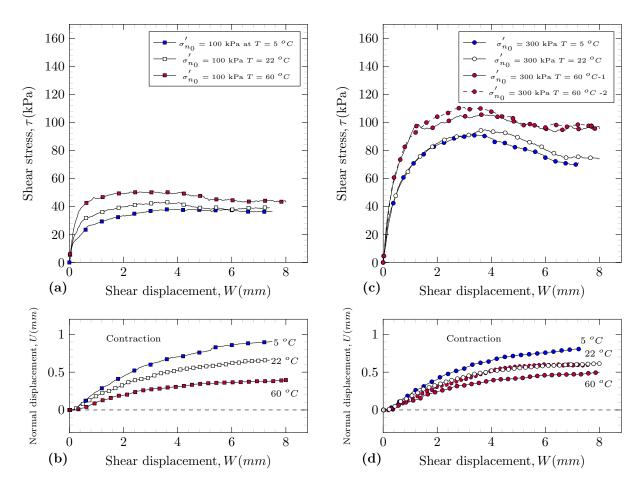


Figure 14: CNL results for clay samples at T=22 °C, T=60 °C and T=5 °C. (a) Shear stress vs. shear displacement ( $\sigma_{n0}^{'}=100$  kPa); (b) normal displacement vs. shear displacement ( $\sigma_{n0}^{'}=100$  kPa); (c) shear stress vs. shear displacement ( $\sigma_{n0}^{'}=300$  kPa); (d) normal displacement vs. shear displacement ( $\sigma_{n0}^{'}=300$  kPa)

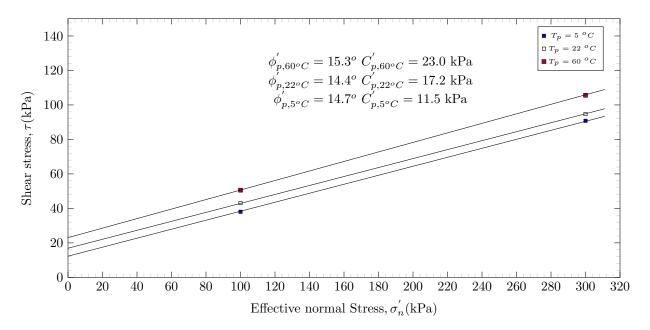


Figure 15: Shear stress vs. effective normal stress of CNL clay tests at  $T=5~^{\circ}C$ ,  $T=22~^{\circ}C$  and  $T=60~^{\circ}C$ 

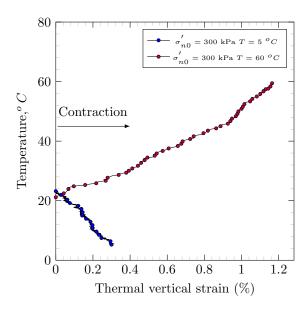


Figure 16: Thermal vertical strain of clay-structure interface during heating and cooling phase. Heating or cooling rate:  $5~^{\circ}C/hr$ 

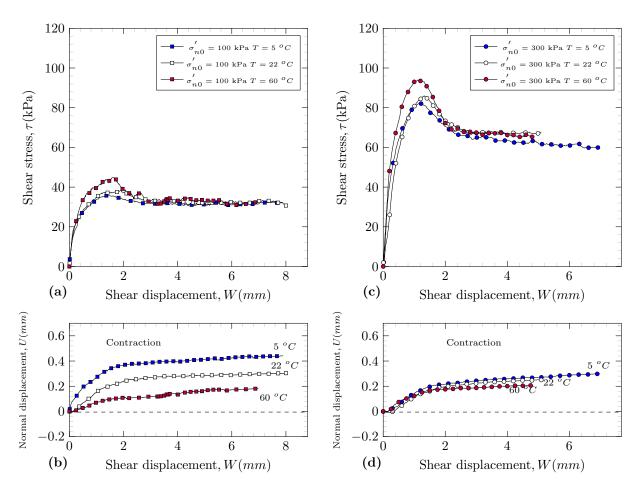


Figure 17: CNL results for clay-structure interface at T=5 °C, T=22 °C and T=60 °C.(a) Shear stress vs. shear displacement ( $\sigma_{n0}^{'}=100$  kPa); (b) normal displacement vs. shear displacement ( $\sigma_{n0}^{'}=100$  kPa); (c) shear stress vs. shear displacement ( $\sigma_{n0}^{'}=300$  kPa); (d) normal displacement vs. shear displacement ( $\sigma_{n0}^{'}=300$  kPa)

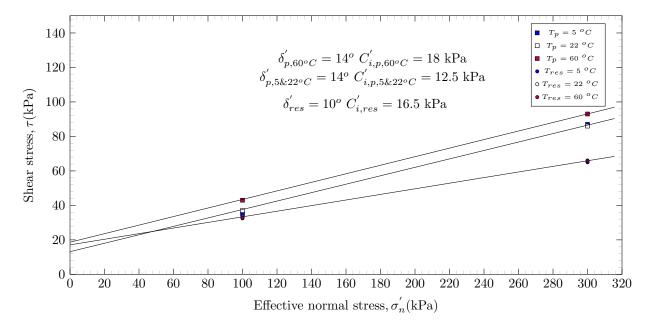


Figure 18: Shear stress vs. effective normal stress of CNL tests of clay-structure interface at T=5 °C, T=22 °C and T=60 °C.

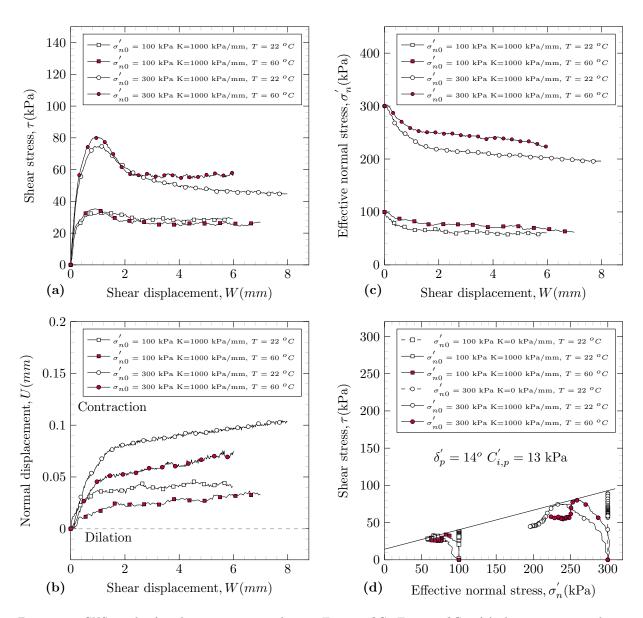


Figure 19: CNS results for clay-structure interface at  $T=22~^{\circ}C$ ,  $T=60~^{\circ}C$ . (a) shear stress vs. shear displacement; (b) Normal displacement vs. shear displacement; (c) Normal stress vs. shear displacement; (d) shear stress vs. effective normal stress

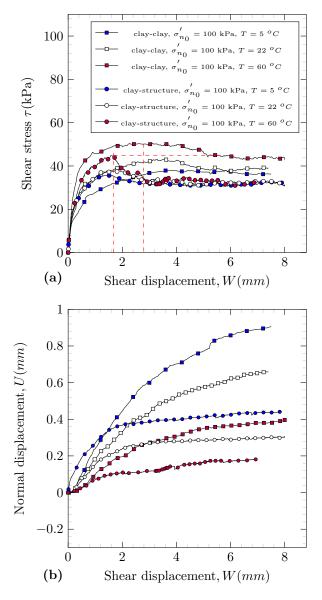


Figure 20: Comparison of CNL results for clay and clay-structure interface with  $\sigma_{n0}^{'}=100$  kPa at T=5 °C, T=22 °C and T=60 °C .

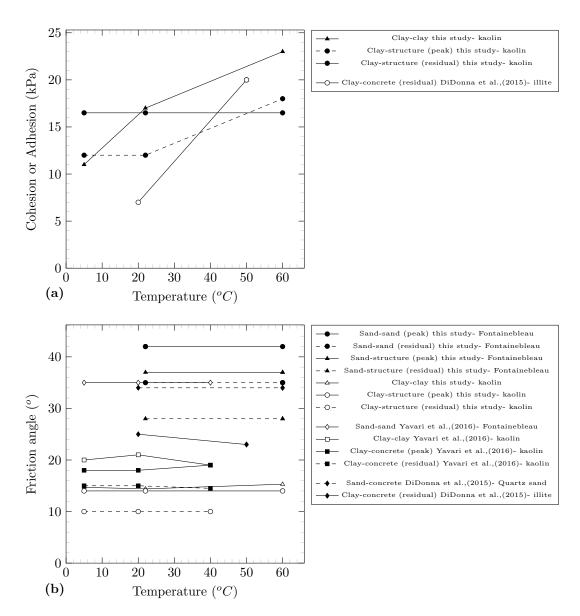


Figure 21: Effect of temperature on (a) cohesion and (b) friction angle.