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1 **Comment on 'The Gulf Stream Convergence Zone in the time-mean winds'**

2 Riwal Plougonven\*

3 *Laboratoire de Météorologie Dynamique/IPSL, Ecole polytechnique, Université Paris Saclay,*  
4 *France*

5 Alexis Foussard

6 *Laboratoire de Météorologie Dynamique/IPSL, Ecole Normale Supérieure, PSL Research*  
7 *University, France*

8 Guillaume Lapeyre

9 *Laboratoire de Météorologie Dynamique/IPSL, Ecole Normale Supérieure, PSL Research*  
10 *University, France*

11 \*Corresponding author address: LMD/IPSL, Ecole Polytechnique, Palaiseau, France.

12 E-mail: riwal.plougonven@polytechnique.org

## ABSTRACT

13 In a recent study, O'Neill and co-authors have analysed the divergence of  
14 surface winds above the northwest Atlantic. In the time-mean, a band of con-  
15 vergence is found, overlying the Southern flank of the Gulf Stream. To quan-  
16 tify the impact of storms, they have averaged divergence conditionally on the  
17 absence of rain, or have averaged divergence excluding extreme values. In  
18 the resulting averages, divergence is found to be positive nearly everywhere,  
19 hence the band of convergence is no longer present as convergence. O'Neill  
20 and coauthors claim that this absence of convergence in these averages al-  
21 lows to draw conclusions about the mechanisms underlying the atmospheric  
22 response to the Gulf Stream. We show that this absence of negative values re-  
23 sults from the correlation between rain and divergence: averaging divergence  
24 conditionally on the absence of rain automatically implies a positive shift. In  
25 consequence, we argue that these statistics do not allow conclusions on the  
26 underlying mechanisms, but have the merit of highlighting the essential role  
27 of storms in shaping the divergence field in instantaneous fields.

## 1. Introduction

O'Neill et al. (2017) have recently presented a detailed analysis on the relation between surface divergence and the underlying Sea Surface Temperature (SST) anomalies, drawing from a ten-year record of satellite measurements and from a one-year simulation with a regional model. Their focus was on the relation between the time-mean surface divergence and the fluctuations associated to passing storms. Indeed, the time-mean divergence of surface winds (or of surface stress on the ocean) has been abundantly studied in the past decade, showing a conspicuous relation to SST (Small et al. (2008); Bryan et al. (2010) and references therein). In particular, Minobe et al. (2008) convincingly showed that there is convergence on the warm flank of the Gulf Stream and divergence on the cold flank. Yet, this time-mean divergence is of order  $10^{-5} \text{ s}^{-1}$ , i.e. one order of magnitude weaker than the maximum instantaneous values found in the divergence field (of order  $10^{-4} \text{ s}^{-1}$ ). These extreme values of surface divergence are often negative values (i.e. convergence) tied to surface fronts and the associated resulting convection (e.g. Figure 4 of O'Neill et al. (2017)).

O'Neill et al. (2017) (hereafter ON17) have used different approaches and filters to isolate the contribution of storms to the time-mean signature in divergence. Their systematic analysis provides a novel and valuable outlook on an important aspect of the effect of SST on atmospheric dynamics. Indeed, different mechanisms have been proposed to explain the relation between SST and the overlying winds. On the one hand, the vertical-momentum mixing mechanism relies on the vertical stability of atmospheric boundary layer over SST anomalies (Businger and Shaw 1984; Hayes et al. 1989; Chelton et al. 2004). On the other hand, a pressure adjustment mechanism relies on the hypothesis that the boundary layer is in an Ekman-like balance (Lindzen and Nigam 1987; Feliks et al. 2004; Minobe et al. 2008; Lambaerts et al. 2013).

51 However the related studies have often focused on the time-mean fields and the interplay of  
52 different mechanisms in instantaneous complex flow fields remains unclear.

53 This problem falls in a broader category of problems common in geophysical fluid dynamics,  
54 in which a weak time-averaged signal is dwarfed in any instantaneous flow field by temporary  
55 fluctuations. As other examples, one may think of the Hadley circulation, mean currents in the  
56 ocean which are often dominated by the mesoscale eddy field, or the Brewer-Dobson circulation  
57 (Butchart 2014), for which the ascending motion in the Tropics can only be indirectly inferred,  
58 because the associated vertical velocities are dwarfed by the signatures of equatorial waves in any  
59 snapshot of the flow field.

60 We wish to build on the analysis of ON17 and to point out an aspect of the method used in  
61 their paper that needs to be emphasized. Indeed, part of the conclusions put forward by ON17  
62 relies on the computation of conditional averages of different fields. However, part of the inter-  
63 pretation of these statistics is not justified. Specifically, they claim that, because of the absence  
64 of convergence in 'rain-free' conditions (occurring between 80 and 90% of the time, see figure 2  
65 of ON17), an 'Ekman-Balanced mass adjustment' mechanism (EBMA) cannot be at work. The  
66 underlying premise is that this mechanism should be 'persistent', and therefore be present even  
67 when averaging over a subset of times, especially a large subset.

68 The present comment aims merely to point out that conditional averages and other similar fil-  
69 ters that are considered by ON17 introduce a bias, because the variable used for the condition  
70 is strongly correlated to the variable that is averaged. In the present case, it is not the sign of  
71 the averaged divergence that is meaningful, but rather its spatial variations. With that in mind,  
72 there is no longer a straightforward transition from ON17's results to an interpretation in terms  
73 of mechanisms. Nonetheless, we acknowledge that the study of ON17 has the merit of unveiling

74 the possible role of synoptic storms in shaping the different mechanisms at work in instantaneous  
75 winds.

76 In section 2, a toy model is proposed to illustrate the difficulty in diagnosing the behavior of the  
77 marine atmospheric boundary layer (MABL) and storms in instantaneous or time-mean winds. An  
78 idealized simulation of storm tracks carried out with the WRF model is then investigated in section  
79 3, both to further illustrate and confirm the statements of section 2, but also to explore how the  
80 time-mean divergence may result from a combination of mechanisms. Implications and directions  
81 for further research are discussed in section 4.

## 82 **2. A toy model for illustrating conditional averages**

83 In order to clarify the interpretation of the observations and model simulations carried out by  
84 ON17, we propose to consider a very simplified model.

### 85 *a. On the sign of the average divergence*

86 Many of the conclusions of ON17 come from the fact that the conspicuous band of *convergence*  
87 on the Southern flank of the Gulf Stream vanishes when divergence is averaged for rain-free con-  
88 ditions only (their figure 1b), or when other filters retaining rain events are used (figure 5b and  
89 8b). It is the disappearance of the negative values (in green with their colorbar) which they em-  
90 phasize. ON17 deduce '*that the existence of the Gulf Stream Convergence Zone in the time-mean*  
91 *winds owes its existence to extreme storm convergences, since removing a relatively small number*  
92 *of data points associated with storms removes the time-mean convergence.*' (ON17, end of sec-  
93 tion 3f, p2397). This line of reasoning bears a fundamental flaw as the conclusions of ON17 are  
94 mainly based on the *sign* of the rain-free time-mean convergence. In fact, it can be shown that any  
95 conditional average (here, rain-free conditions) will systematically introduce a positive or negative

96 (here positive) bias in the variable that is averaged (here divergence) if this variable is statistically  
97 correlated with the chosen condition. The positive bias arises because rain and surface divergence  
98 are not dynamically independent. Hence the sign of the conditionally averaged divergence is not  
99 necessarily meaningful.

#### 100 *b. Toy model*

101 A toy model is proposed below with the purpose of illustrating how a conditional average can  
102 shift the values of divergence towards positive or negative values, suggesting a different interpre-  
103 tation of ON17's figures. In the present case, our toy model is constructed such that a stationary,  
104 weak convergence coexists with random fluctuations that dominate the signal at any time but do  
105 not impact the long-term average. This toy model mimics two physical properties of the fields that  
106 are considered:

- 107 1. Rain and surface divergence are not independent variables: convective rain events are associ-  
108 ated with mesoscale motions which include strong convergence roughly beneath the precipi-  
109 tating cell.
- 110 2. In the boundary layer, over a sufficiently long time and over a wide enough region, there is  
111 no net export or import of air. In other terms, strong convergence must be compensated by  
112 divergence in other locations.

113 The toy model describes the divergence spatial field, assuming that it consists of a permanent  
114 feature and random fluctuations that resemble convective events (rain associated to strong conver-  
115 gence values). To simplify we consider only one-dimensional signals, noted  $d(y,t)$ , where  $y$  is a  
116 spatial dimension (e.g. transverse to a front of Sea Surface Temperature) and  $t$  is time. We assume  
117 that the divergence field is the sum of a permanent component,  $d_p(y)$ , and fluctuations  $d_s(y, t)$

118 composed on several individual “storms” at each time, centered at random locations  $y_c(t)$ , but all  
 119 with the same spatial shape (see Appendix),

$$d(y,t) = d_p(y) + d_s(y, t) . \quad (1)$$

120 Note that, no assumption on the physical origin of the permanent signal is required in the following  
 121 development as we only want to stress out difficulties in interpreting conditional averages.

122 We also consider that, at any particular time,  $d_p$  and  $d_s$  integrate to zero over the domain of  
 123 interest and that storms  $d_s$  occur at random locations with uniform probability so that they cancel  
 124 out in the long run. In this case, the time-averaged divergence yields  $d_p(y)$ :

$$\bar{d}(y) = \frac{1}{T} \int_0^T d(y,t') dt' \rightarrow d_p(y) . \quad (2)$$

125 Using simple sinusoidal functions, an implementation has been carried out, details are given in the  
 126 Appendix. For simplicity, at each timestep, 5 “storm” centers are defined at random (uniformly  
 127 distributed) locations in the domain (of length  $2 \times D = 5000$  km). Each storm consists in a region of  
 128 convergence of maximal magnitude  $a = 1.0 \times 10^{-4} \text{ s}^{-1}$  and of width  $2 \times l = 100$  km, compensated  
 129 by weaker divergence of maximal magnitude  $1.0 \times 10^{-5} \text{ s}^{-1}$  and over a width  $L = 500$  km on both  
 130 sides. The stationary signal has a smaller magnitude, of  $0.5 \times 10^{-5} \text{ s}^{-1}$ . Figure 1 illustrates the  
 131 stationary signal (panel a) and a typical instantaneous divergence field (panel b). It confirms that  
 132 the stationary signal is dwarfed at any time by the intermittent signal from the fluctuations with  
 133 much larger amplitude.

134 In ON17, the conditional average is taken over rain, which is related in some proportion to  
 135 divergence. To represent this we produce an intermediate field  $r(y,t) = -d_s(y,t) + \eta$ , where  $\eta$  is a  
 136 random Gaussian noise (to make the field  $r(y,t)$  more similar to rain, one could set all its negative  
 137 values to zero). The conditional average is then taken using the condition  $r > 0$  (‘rain only’) or  
 138  $r \leq 0$  (‘rain free’). Figure 2a illustrates the resulting averages obtained for different numbers of

139 timesteps used. In the overall average, the stationary signal  $d_p(y)$  is recovered (note that a signal  
140 different from  $d_p$  is observed near the boundaries of the domain due to a finite domain effect).  
141 In the rain-free average, the same signal is recovered but shifted to positive values. The shift is  
142 sufficient that all values (even in the region of convergence for  $d_p(y)$ ) become positive. In other  
143 words, this shift, or positive bias, is larger than the amplitude of  $d_p(y)$ . The 'rain-only' signal is  
144 shifted to strongly negative values; again the spatial structure is unaltered but it is hidden in the  
145 noise unless a long time average is taken.

146 The conclusion from this figure is that the conditional average (in the setting of this toy model)  
147 shifts the 'rain-free' average towards positive values, but without altering its spatial structure.  
148 Moreover, as the rain-free average excludes the intense values (tied to storms), it is less noisy than  
149 the the overall average. The rain-only average including mainly extreme events is by construction  
150 very noisy.

### 151 *c. The positive bias*

152 We now take advantage of the simplicity of this toy model to quantify, in this case, the amplitude  
153 of the positive bias. This can be calculated simply in the case when there is no noise, i.e. we  
154 average conditionally on the sign of  $d_s(y,t)$  and we consider only one storm by timestep. The  
155 storm locations being uniformly distributed and the spatial shape of  $d_s(y,t)$  being fixed, the 'rain  
156 frequency'  $\chi = p(\text{rain} > 0)$  is uniform across the domain and is given by the ratio of the width  
157 of the convergent region ( $d_s < 0$ ) over the width of the domain,  $2 \times D$ , such that  $\chi = l/D$ . The  
158 form given to the convergence is such that its average value computed over the convergence zone  
159 is  $-2a/\pi$ . Hence the rain-only average is

$$\overline{d}^{RO}(y) = d_p(y) - \frac{2a}{\pi}. \quad (3)$$

160 As all times are partitioned into rain-free and rain-only, one necessarily verifies  $\bar{d} = \bar{d}^{RF} (1 - \chi) +$   
 161  $\bar{d}^{R0} \chi$  and the rain-free average can be calculated as

$$\bar{d}^{RF}(y) = d_p(y) + \frac{2a}{\pi} \frac{l}{D-l}. \quad (4)$$

162 The above gives an estimate of the systematic biases introduced by the conditional averaging in  
 163 absence of noise, i.e. when  $r(y,t) = -d_s(y,t)$ . When a random noise is present, rain and divergence  
 164 have a less simple relation but are correlated. As the noise increases, the biases decrease in absolute  
 165 value from their values obtained above, and the asymmetry between rain-free and rain-only means  
 166 decreases, as illustrated from figure 2b. Nonetheless, because the signature in convergence of the  
 167 rain events is much larger than that of the stationary signal,  $a \gg \max(d_p(y))$ , and despite the fact  
 168 that they occupy a small portion of space ( $l/(D-l) \sim l/D \ll 1$ ), it is likely that the positive bias  
 169 is sufficient to shift the whole signal of  $\bar{d}^{RF}$  to positive values.

170 The point that the above toy model illustrates is that the absence of convergence in the rain-  
 171 free conditional average ( $\bar{d}^{RF}(y) < 0$ ) does not rule out the presence of a stationary signal in the  
 172 divergence field. It merely reflects that divergence and rain are strongly correlated, as illustrated  
 173 by ON17 (see their figure 4c). We return to this issue below and in section 4.

### 174 3. Idealized atmospheric simulation

175 In order to bridge the gap between the maps displayed by ON17 and the one-dimensional illus-  
 176 trations from our toy model, we here take advantage of a simulation carried out for investigating  
 177 the atmospheric response to mesoscale Sea Surface Temperature (SST) anomalies. This simulation  
 178 will be described in a manuscript currently in preparation. It consists of an idealized set-up of a  
 179 midlatitude storm-track using the Weather Research and Forecast (WRF) Model (Skamarock et al.  
 180 2008), in a zonally periodic channel and using a gray radiation scheme (Frierson et al. 2006). The

181 domain is 9216 km in both horizontal directions, and extends up to about 20 km (50 hPa) in height.  
182 The horizontal resolution ( $dx = 18$  km) allows a good description of atmospheric storms, leading to  
183 a reasonable storm track. Boundary layer processes are represented by the YSU scheme, convec-  
184 tion by the Kain and Fritsch scheme, and microphysics by the Kessler scheme. The fixed zonally  
185 symmetric SST distribution in the simulation presented here consists of a large-scale meridional  
186 gradient with maximal amplitude of 4 K / 100 km. The simulation has been carried out for 4 years  
187 and the first 90 days were discarded. Data were recorded every 12h.

188 *a. Conditional averages of surface divergence*

189 Figure 3 shows the rain frequency and the mean rain rate over the whole domain, clearly in-  
190 dicating a preferred location for rain which is south and away from the SST front. This may be  
191 compared to Figure 2 of ON17, the comparison suggesting that our simulation has a realistic mean  
192 rain rate but overestimates the maximum rain frequency and the meridional contrast in rain fre-  
193 quency over the SST front. This does not matter for the present purpose, which is again to illustrate  
194 the systematic bias introduced by the conditional averages and by other similar filters.

195 Figure 4 shows the time-average and conditional averages of the surface divergence, as in Figure  
196 1 of ON17. The mean surface divergence (panel a) shows a pattern with convergence South of the  
197 SST front, and divergence over the SST front and to the North of it, analogous to that displayed  
198 over the Gulf Stream by ON17. Mean values (extremes of about  $\pm 0.4 \times 10^{-5} \text{ s}^{-1}$ ) are quite  
199 comparable with the values found from observations. For the conditional averages, as expected,  
200 the rain-free divergence is shifted to positive values in all locations (panel b), whereas the rain-only  
201 divergence is shifted to only negative values (panel c).

202 Now, one advantage of this idealized setting is the zonal symmetry of the underlying SST, allow-  
203 ing to average easily in the along-front direction. This averaging leads to the same presentation as

204 for the toy model of section 2. Figure 5 shows the zonally averaged time-mean surface divergence,  
205 along with the rain-free and rain-only conditional averages. In addition, the underlying Laplacian  
206 of SST is also displayed as an indication of area where surface convergence is expected in the  
207 EBMA theory. Again, it is clearly seen that the conditional average displaces the rain-free average  
208 to positive values, the rain-only average to negative values. Both conditional averages retain some  
209 of the spatial structure present in the all-weather average, but there are also notable differences.  
210 For example, in the rain-free average the central couplet occurs on shorter spatial scales than in the  
211 all-weather average. The meaning and interpretation of these differences is not the purpose of the  
212 present comment, and would anyhow be tied to specificities of these idealized simulations. The  
213 important message is that the conditional average of divergence, conditioned on a variable with  
214 which divergence is correlated, leads to a bias which makes the convergent values disappear from  
215 the rain-free average. The disappearance of these convergent values does not allow the interpreta-  
216 tion made by ON17, i.e. that a stationary (or permanent or persistent) feature be absent from the  
217 divergence field.

218 The same simulation can be used to illustrate another analysis made by ON17, bearing on the  
219 statistics of divergence. The skewness of the divergence distribution was emphasized as a crucial  
220 parameter (e.g. section 6 of ON17). As a complement to the conditional averages, ON17 examined  
221 the average of divergence when extreme values (away from the mean by more than twice the  
222 standard deviation) are excluded, or when only extreme values are retained (ON17, figure 5). This  
223 was not explored in the toy model because the distribution of divergent values in there was not tied  
224 to a physical description of the processes. In the numerical simulation with a mesoscale model  
225 it becomes meaningful to explore this distribution. Figure 6 shows maps of the mean divergence  
226 overall and filtered divergence excluding extreme values or retaining only those. The format for  
227 the first four panels is the same as that of figure 5 of ON17. As shown by panel d, the  $2 \times \sigma$  filter

228 removes a comparable amount of data (4 to 5%) in the area of maximum convergence. Again,  
229 the maps are very similar to the rain-free and rain-only means. In particular, the mean divergence  
230 excluding extreme values (Fig. 6b) is positive essentially everywhere, as the rain-free mean (Fig.  
231 4b). Yet, as we saw previously it is not the sign of the mean divergence that is meaningful, but  
232 the spatial variations: in both cases the rain-free divergence did retain conspicuously part of the  
233 spatial variations present in the overall time-mean. In the last two panels of figure 6 (bottom  
234 row), the averaged divergences excluding or retaining extreme values are presented, but removing  
235 their domain average. It then becomes apparent that the former includes spatial variations very  
236 similar to those of the mean divergence, but slightly weaker. In contrast, the mean including only  
237 extreme events consists only of a strong band of convergence, wider than that of the overall mean  
238 divergence, and without the positive counterpart to the North. These different spatial structures  
239 and relative amplitudes can be better appreciated from the zonally averaged description of these  
240 means in figure 7, rather than in maps where the choice of colors guides the eye and interpretation.  
241 It would be very informative in ON17 if their figures 1 and 5 were complemented with similar  
242 figures: for example, instead of presenting only the rain-free mean divergence, if a panel was  
243 included to show the rain-free mean divergence minus the spatial average over the area shown.  
244 Alternatively, the rain-free divergence could be shown with contours overlaid to the overall mean  
245 divergence, so one could see if the spatial variations and features coincide (but the comparison of  
246 the amplitudes would remain difficult).

#### 247 *b. Statistics of divergence values*

248 Finally, we use the simulation to explore the overall distribution of the values taken by the di-  
249 vergence, similar to ON17 in their figure 6. The distribution of divergent values in our simulation  
250 is shown in figure 8a, showing good qualitative agreement with the distribution displayed from

251 observations by ON17. In particular, we also find that large positive values of divergence are more  
 252 frequent in rain-only conditions than in rain-free conditions, implying that there is not systemat-  
 253 ically convergence below rain. But we emphasize that the large positive values are *one order of*  
 254 *magnitude less likely than negative values*. Now it was stressed several times above that divergence  
 255 and rain are not dynamically independent, and that they are statistically correlated. The simulation  
 256 allows to document the joint Probability Distribution Function of divergence and rain, shown in  
 257 figure 8b. The mean divergence, for a given value of rain, is negative and increasingly negative  
 258 as the rain value increases, as shown by the blue line. This gives another *a posteriori* justification  
 259 of the set-up of the toy model, where the intermediate rain field has been built by adding random  
 260 noise to the divergence. This also allows to revisit how the sign of the rain-only mean divergence  
 261 is determined. If we write  $p(e)de$  the probability that the divergence takes a value between  $e$  and  
 262  $e + de$ , the overall mean divergence can be written:

$$\bar{d} = \int_{-\infty}^{\infty} e p(e) de, \quad (5)$$

263 The rain-only mean divergence (calculating using only values of rain above a threshold  $\varepsilon$ ) is  
 264 then written

$$\bar{d}^{RO} = \frac{\int_{-\infty}^{\infty} e p(e|\text{rain} > \varepsilon) de}{\int_{-\infty}^{\infty} p(e|\text{rain} > \varepsilon) de}. \quad (6)$$

265 In the integrand of the numerator in equation (6), one may decompose the conditional probability  
 266 on rain being larger than the threshold  $\varepsilon$ , and write it as the sum of the conditional probabilities  
 267 knowing that rain is within interval  $[r, r + dr]$ :

$$e p(e|\text{rain} > \varepsilon) = \int_{\varepsilon}^{+\infty} e p(e|r \leq \text{rain} < r + dr) q(r) dr. \quad (7)$$

268 with  $q(r)$  the probability density function for the rain rate. This yields

$$\begin{aligned}
\bar{d}^{RO} &= \frac{\int_{-\infty}^{\infty} e \int_{\varepsilon}^{+\infty} e p(e|r \leq \text{rain} < r + dr) q(r) dr de}{\int_{-\infty}^{\infty} p(e|\text{rain} > \varepsilon) de} \\
&= \frac{\int_{\varepsilon}^{+\infty} q(r) \int_{-\infty}^{+\infty} e p(e|r \leq \text{rain} < r + dr) de dr}{P(\text{rain} > \varepsilon)} \\
&= \frac{\int_{\varepsilon}^{+\infty} q(r) \bar{\Gamma}(r) dr}{P(\text{rain} > \varepsilon)} \tag{8}
\end{aligned}$$

269 with  $\bar{\Gamma}(r) = \int_{-\infty}^{+\infty} e p(e|r \leq \text{rain} < r + dr) de$ . Up to a normalizing factor,  $\bar{\Gamma}(r)$  is the average di-  
270 vergence knowing the rain rate. This is calculated in our simulations and shown in figure 8b as  
271 the thick blue line. Consistent with the physical expectation that surface convergence and precip-  
272 itation are highly correlated, the average divergence knowing the rain rate is always negative for  
273 values of rain larger than about 1 mm/day, and increasingly negative with increasing precipitation.  
274 This clearly demonstrates that the correlation of convergence and precipitation leads to  $\bar{d}^{RO}$  being  
275 negative. In consequence  $\bar{d}^{RF}$  will systematically have a positive shift relative to  $\bar{d}$ . Note that,  
276 because strong convergence corresponds to rain-only regions (see Fig. 8a), an analysis based on  
277 the  $2\sigma$  filter would lead to the same conclusion. The reason is that the condition still is strongly  
278 correlated to the divergence itself.

#### 279 4. Discussion and perspectives

280 ON17 conclude from their analysis *'that the existence of the GSCZ in the time-mean winds owes*  
281 *its existence to extreme storm convergences, since removing a relatively small number of data*  
282 *points associated with storms removes the time-mean convergence'* (section 3f, p2397). In the  
283 conclusion again they state that *'strong convergences associated with storms explains the existence*  
284 *of the GSCZ in the time-mean winds'* (section 6, p2409). They explain that the skewness of the  
285 surface divergence distribution, due to the strong convergence signatures of mid-latitude cyclones,  
286 *'is sufficient to change the sign of the time mean and the interpretation of the SST influence on*

287 *divergence. Removing fewer than 4% of the strongest divergence events, or removing fewer than*  
288 *20% of values in raining conditions, effectively eliminates the GSCZ from the time-mean surface*  
289 *winds'* (section 6, p2409). The underlying premise is that, if the convergence band vanishes when  
290 only a small portion of values are removed, this feature cannot be '*a persistent feature anchored*  
291 *to the Gulf Stream'* (section 4d, p2404).

292 We disagree with this premise, but this does not invalidate the entire analysis of ON17 and their  
293 conclusions. Our disagreement stems from the too strong emphasis on the sign of the rain-free  
294 divergence. Our study has put in evidence the bias in this sign because of a dynamical link between  
295 surface divergence and precipitation that statistically correlates the two fields. As a consequence,  
296 the conditional average shifts the rain-free divergence towards positive values and the rain-only  
297 divergence towards strongly negative values. The correlation between precipitation and surface  
298 divergence is especially true for the most intense values as can be seen in their figures 4b and  
299 4c. The joint PDF of convergence and precipitation, as shown in figure 8b for our simulations,  
300 illustrates clearly this correlation. It would be very interesting to estimate this joint PDF from  
301 observations. Yet, as far as the color bars in their Figure 1, 5, and 13 allow to judge, much of the  
302 spatial variations between the rain-free and all weather divergence coincide. Rather than showing  
303 the absolute values of the rain-free and rain-only divergence, showing anomalies (relative either to  
304 the mean over the domain, or to the field smoothed on large scales) would be less misleading. In  
305 the case of the toy-model, the same spatial structure came out in the three averages, but the rain-  
306 only average is noisier. In the idealized simulations, the spatial structures of the rain-free average  
307 has strong resemblance to those of the overall average, whereas those of the rain-only average  
308 display some differences.

309 In the comparisons of their different figures, ON17 emphasize absolute values and discard the  
310 similarity that is often found between the spatial variations. For example, the claim of ON17 that

311 the rain-free divergence in their figure 13b '*bears no resemblance*' (p2401) with the SST Laplacian  
312 (figure 13h) is at the very least misleading. The spatial variations of both fields, as far as eye can  
313 tell, seem very correlated. The colors differ because the rain-free divergence is shifted everywhere  
314 to positive values because of the conditional average. Similarly, in the interpretation of their figure  
315 11, the strong similarity at spatial scales less than 1000 km (panels 11a and 11b) is perhaps more  
316 significant than the difference in the worth emphasizing than the difference (again a positive shift)  
317 in the spatially lowpass-filtered fields (panels 11c and 11d).

318 it is worth emphasizing that on spatial scales less than 1000 km (panels 11a and 11b), there is a  
319 strong similarity between the time-mean divergence (colors) and the SST Laplacian (contours).

320 Now, to make progress we suggest to make the line of reasoning of ON17 more explicit, and to  
321 formulate two different hypotheses:

- 322 1. **H1**. The divergence at any time results from two signals: a stationary signal (related to  
323 EBMA), and random fluctuations from storms whose positions vary in time. The signal due  
324 to these fluctuations should diminish when averaging over longer times.
- 325 2. **H2**. The divergence at any time only results from storms. The spatial variations of these  
326 storms are such that in the time-average they produce the signature that is observed.

327 Set in the above terms, ON17 claims that the absence of convergence (negative values) in the  
328 rain-free average divergence rules out hypothesis **H1**. The toy model of section 2 merely served  
329 to illustrate that this conclusion is not justified: it is *possible* to have a rain-free divergence every-  
330 where positive and yet to have a stationary signal which is responsible for all of the time-averaged  
331 signal. In other words, the absence of *convergence* in the rain-free divergence (or after filtering out  
332 extreme values) does not rule out **H1**, i.e. the existence of a permanent signal in the divergence.

333 Now, in our toy model, the shift is uniform in space as the storms were uniformly distributed  
334 in space. In contrast to this, in our idealized simulation (see section 3) and in the observations  
335 (see panel c of figure 1 of ON17) the shift is not uniform. Introducing spatial variations in the  
336 probability of occurrence of the storms in our toy model (see Appendix for description of the  
337 modifications of the toy model), one observes that storms still leave a residual signal that is related  
338 to the stationary divergence term (Fig. 9). Of course, this is on top on another signal due to the  
339 localization in space of storms in relation with **H2**.

340 Spelling out explicitly the two hypotheses provides two extreme pictures, and reality is likely,  
341 as often, in between. The links between the conditional averages analyzed by ON17 and the  
342 underlying mechanisms of the atmospheric response to the SST anomalies are not so simple, as  
343 illustrated by the present comment. Now, the detailed and extensive analysis carried out by ON17  
344 does emphasize several important points: the instantaneous fluctuations in the divergence field  
345 overwhelms the time-mean, and understanding this response requires to consider how the SST  
346 influences storms, in particular in setting their preferred location. We believe that detailed investi-  
347 gations of the instantaneous signature of different mechanisms through which the SST influences  
348 the marine atmospheric boundary layer, as sketched in section 5 of ON17, are necessary to properly  
349 evaluate the relevance of these different mechanisms. These issues are complex as they depend on  
350 the variables and approach considered to quantify one or other mechanism, as will be discussed  
351 based on the simulations used in section 3 (Foussard et al, manuscript in preparation).

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354 Intensif).

## 355 APPENDIX

## Implementation of the toy model

The toy model we constructed only depends on the divergence fields  $d_p$  and  $d_s$ . We here describe the choices used to implement it. The permanent divergence signal was chosen as

$$\begin{aligned} d_p(y) &= A \sin\left(\frac{\pi y}{2L}\right), \quad \text{for } -2L < y < 2L, \\ &= 0, \quad \text{for } |y| > 2L, \end{aligned} \quad (\text{A1})$$

The divergence field is constructed as a sum of  $d_p$  and of 5 'storms', each centered at a random (uniformly distributed) location within the domain  $[-D, D]$ . Each event, relative to its central location, has the following spatial structure:

$$\begin{aligned} g(y) &= \frac{al}{L} \sin\left(\frac{(y+l)\pi}{L}\right), \quad \text{for } -(L+l) < y < -l, \\ &= -a \cos\left(\frac{y\pi}{2l}\right), \quad \text{for } -l < y < l, \\ &= \frac{al}{L} \sin\left(\frac{(y-l)\pi}{L}\right), \quad \text{for } l < y < L+l, \\ &= 0, \quad \text{for } |y| > L+l, \end{aligned} \quad (\text{A2})$$

where  $-a$  describes the peak intensity of the convergence ( $a > 0$ ), where  $l$  describes the width of the convergent region, and  $L$  describes the width of the surrounding regions where compensating divergence occurs. This definition is consistent with our idea that the net divergence would be zero (i.e.  $\int g(y)dy = 0$ ). Then  $d_s$  takes the form of

$$d_s(y, t) = \sum_{i=1}^5 g(y - y_c^i(t)) \quad (\text{A3})$$

where  $y_c^i(t)$  is the location of one of the storm centers at time  $t$ .

In order to obtain the 'rain' field  $r(y, t) = -d_s + \eta$ , a random noise  $\eta$  is added. This noise has normal distribution with zero mean and a standard deviation of  $\sigma_{noise}$ .

369 The values chosen for the parameters in order to generate the figures were:  $A = 0.5 \times 10^{-5} \text{ s}^{-1}$ ,  
 370  $a = 1 \times 10^{-4} \text{ s}^{-1}$ ,  $l = 50 \text{ km}$ ,  $L = 500 \text{ km}$ , and  $D = 2500 \text{ km}$ . The number of points in the  $y$  direction  
 371 is  $n_y = 200$ . Different values for the parameters have been explored. As the noise is increased, the  
 372 positive bias of the rain-free mean divergence decreases. Nonetheless, as long as the noise is not  
 373 much larger than  $a$ , the positive bias is robust and significant (i.e. sufficient for the rain-free mean  
 374 to be positive nearly everywhere).

375 The model was also modified to show that the same results can be obtain when storms are located  
 376 on the convergence zone. To this end we introduce a parameter  $0 < C < 1$ . For each event, we take  
 377 two random numbers,  $r$  uniformly distributed in  $[0, 1[$  and  $s$  with a Gaussian distribution (centered  
 378 at 0, and with variance 1). The storm position  $y_c$  is then defined as

$$\begin{aligned}
 y_p &= (1-s)L \quad \text{if } r < C, \\
 &= \left(2\frac{r-C}{1-C} - 1\right)D \quad \text{if } r \geq C
 \end{aligned} \tag{A4}$$

379 Figure 9 was produced with this scheme, still using 5 storms by time step, but without noise  
 380 ( $\sigma_{noise} = 0$ ) and with 10000 timesteps. Parameter  $C$  was set to  $C = 0.4$ . The other parameters were  
 381 the same as before.

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410 **LIST OF FIGURES**

411 **Fig. 1.** (a) The stationary divergence  $d_p(y)$  (in  $s^{-1}$ ). (b) Snapshot of the fluctuating component  
412  $d_s(y,t)$  in  $s^{-1}$  (blue line) and of the resulting full divergence signal  $d(y,t)$  (red, dashed line).  
413 Note the different vertical scale relative to (a). . . . . 22

414 **Fig. 2.** (a) Time-mean divergence of the toy model, averaged over 10000 timesteps (thick lines),  
415 over 1000 timesteps (dashed lines) and 100 timesteps (dotted lines). The green lines cor-  
416 respond to total averages, whereas the blue lines correspond to *rain-only* and red lines  
417 correspond to *rain-free* conditional averages. (b) Same as (a), but with a noise level of  
418  $\sigma = 5 \times 10^{-5} s^{-1}$  instead of  $1 \times 10^{-5} s^{-1}$ . In both panels, the black dashed curve is  $d_p$ .  
419 Parameters used for the toy model are given in the Appendix. . . . . 23

420 **Fig. 3.** Mean rain frequency and mean rain rate (in mm/day) over the 4 years. Contours show the  
421 SST field in K. All calculations have been made considering rain rates over 12h superior to  
422 3 mm/day. . . . . 24

423 **Fig. 4.** Surface divergence, in colors and in  $10^{-5} s^{-1}$ , considering : (a) unconditional mean, (b)  
424 rain-free conditional mean (c) rain-only conditional mean. Contours show the SST field in  
425  $^{\circ}C$ . . . . . 25

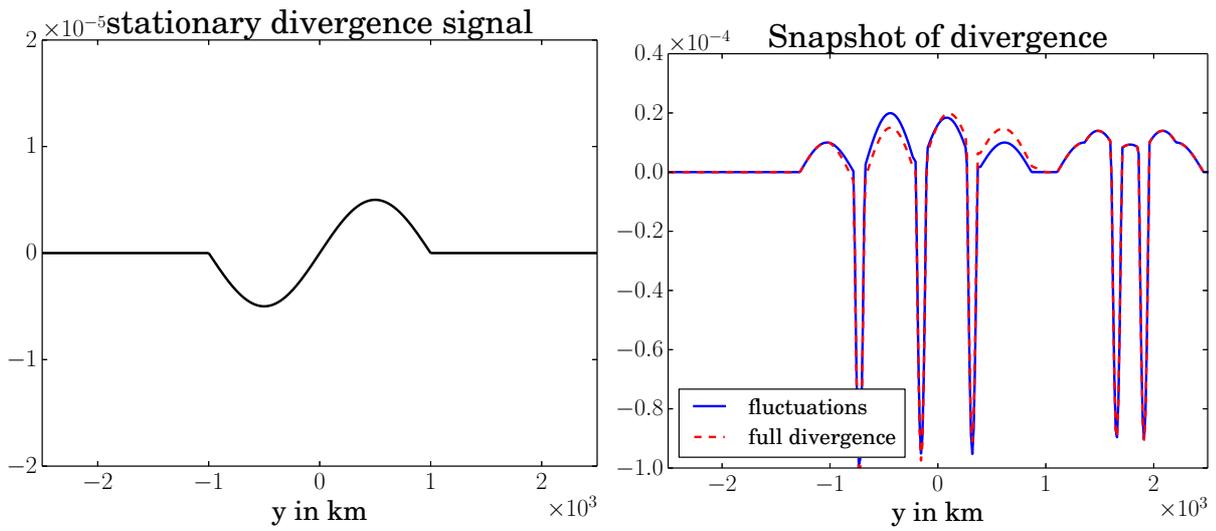
426 **Fig. 5.** Zonally averaged unconditional and conditional mean of surface divergence in  $10^{-5} s^{-1}$ .  
427 Same quantities as for panels (a), (b) and (c) of Fig. 4, considering the zonal mean of the  
428 signals. The light blue line is the Laplacian of SST in  $10^{-10} K m^{-2}$ . . . . . 26

429 **Fig. 6.** Mean Surface divergence, in shadings and in  $10^{-5} s^{-1}$ . (a) for the whole time series, (b)  
430 with values smaller than  $2 \times \sigma$ , (c) with only values larger than  $2 \times \sigma$ . (d) percentage of  
431 points with deviation from the mean bigger than the  $2 \times \sigma$  threshold. (e-f): Same as (b-c)  
432 after subtracting the domain-averaged signal. Contours show the SST field in K. . . . . 27

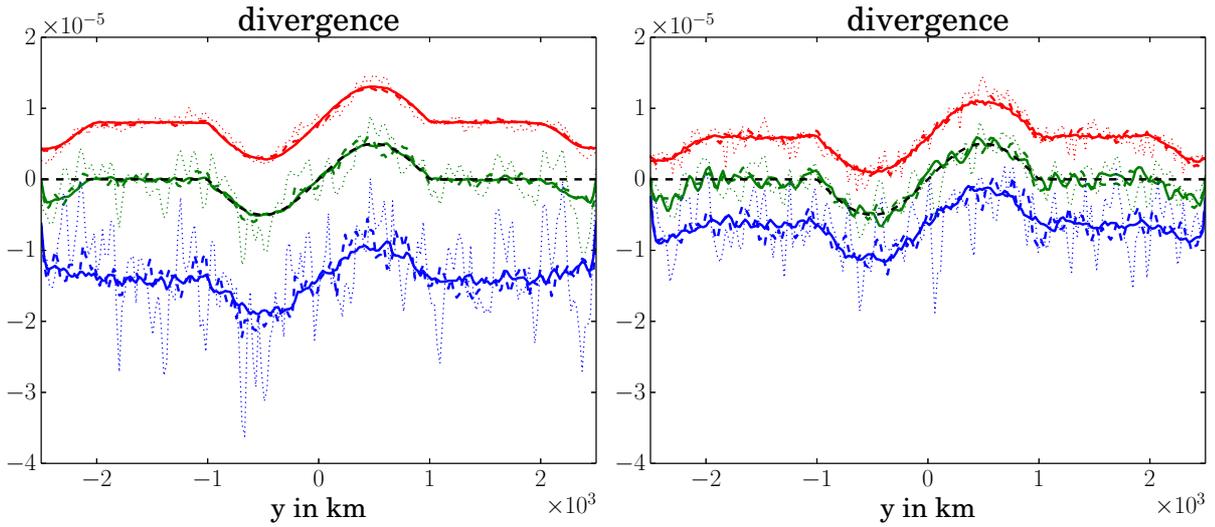
433 **Fig. 7.** Unfiltered and filtered surface divergence in  $10^{-5} s^{-1}$ . Same quantities as for panels (a), (b)  
434 and (c) of Fig. 6, considering the zonal mean of the signals. . . . . 28

435 **Fig. 8.** (a) Probability density function of the surface divergence (red curve), calculated from all  
436 time outputs and for points within a band of latitudes ( $3600 km \leq y \leq 5600 km$ ). Blue  
437 and green dashed lines show respective contributions of the rainy and rain-free points to the  
438 unconditional PDF. (b) Joint probability density function of the rain rate (vertical axis, in  
439 mm/day) and the surface divergence (horizontal axis, in  $10^{-5} s^{-1}$ ). Color scale is logarith-  
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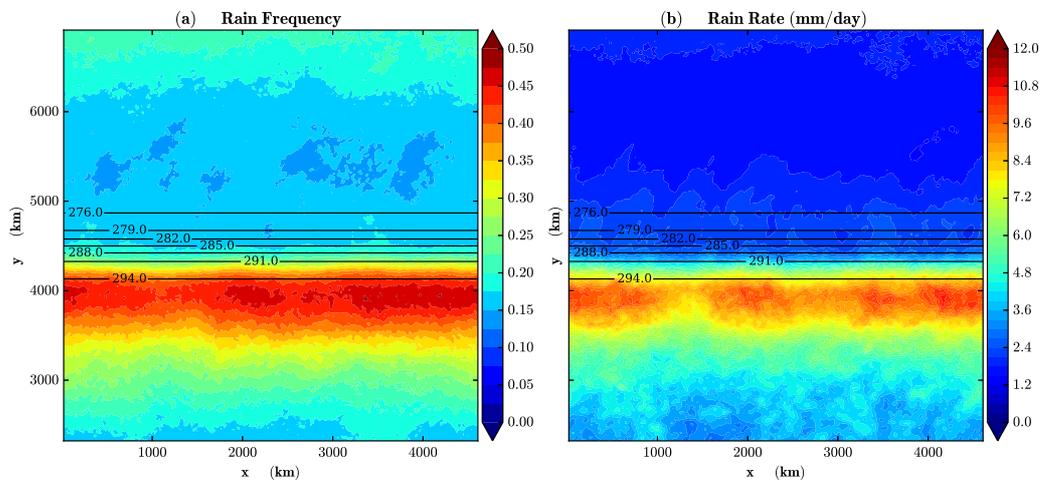
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443 toy model, averaged over 10000 timesteps. The green line correspond to total averages,  
444 whereas the blue line correspond to  $\bar{d}_{RO}/2$ , the red line to  $\bar{d}^{RF}$  and the dashed black line to  
445  $d_p$ . The blue dotted curve is  $\bar{d}_{RO}$  minus its spatial average value. It overlaps almost exactly  
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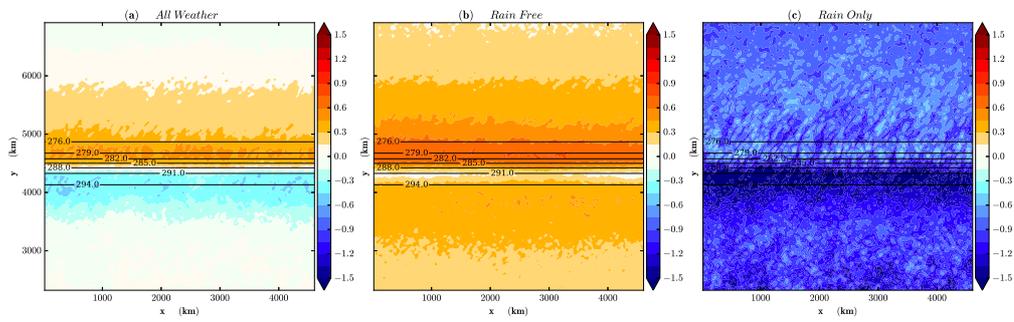
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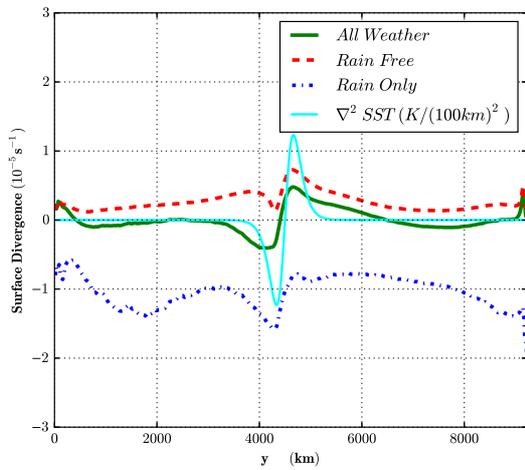
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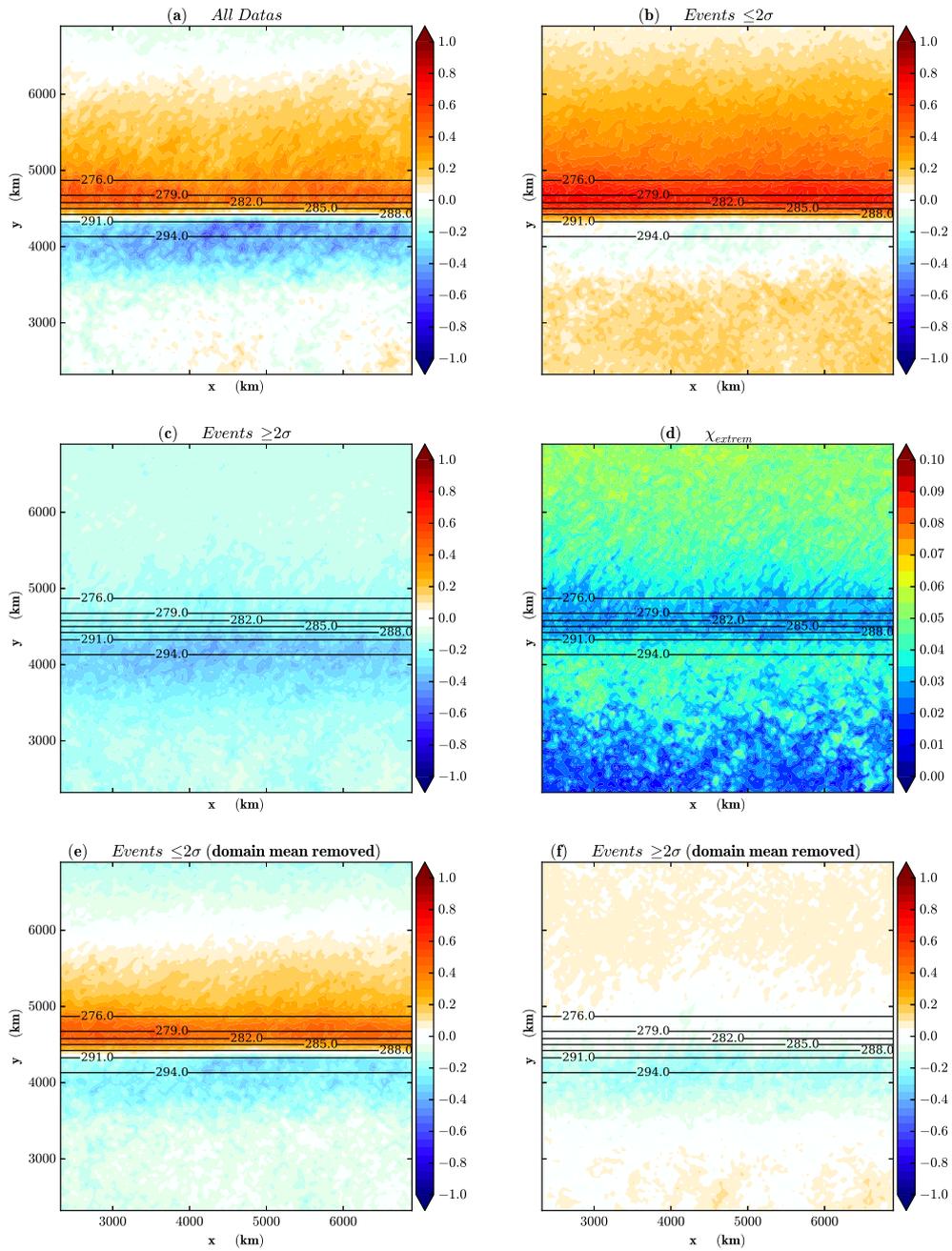
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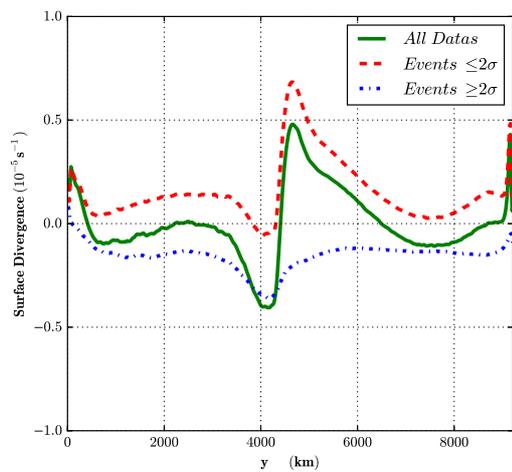
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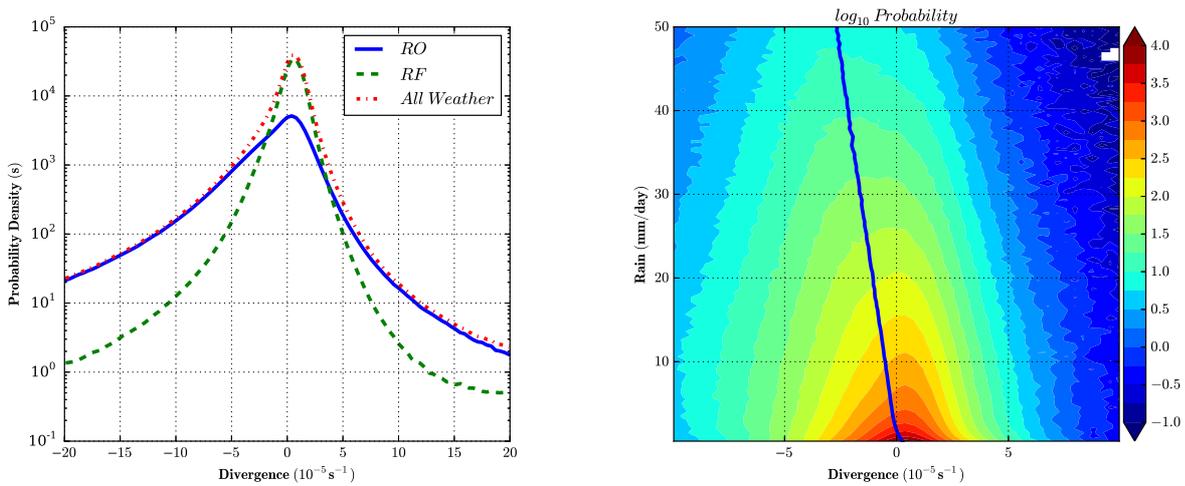
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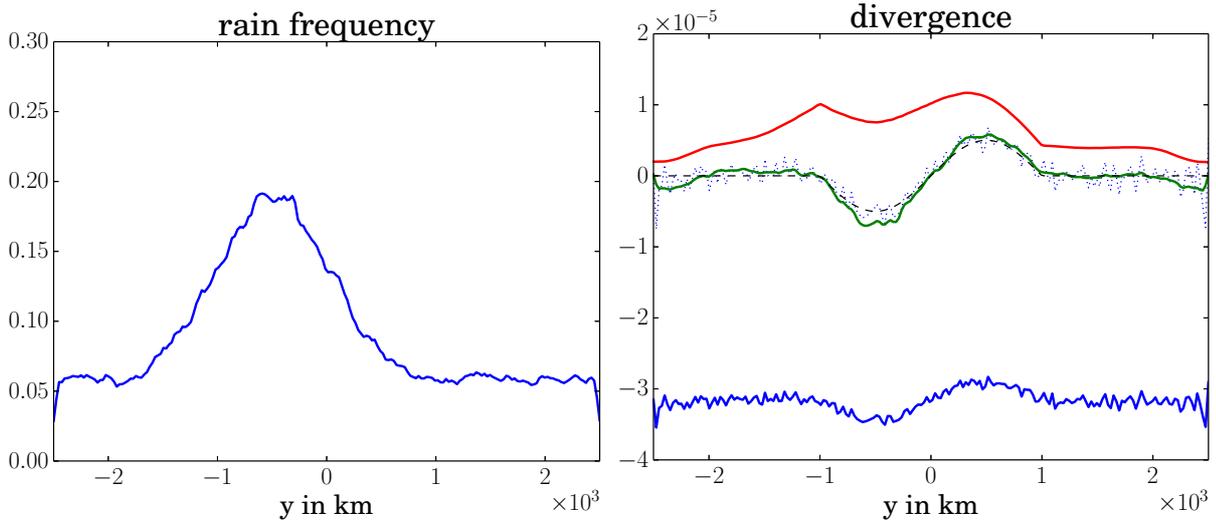
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