

# Toward science-oriented validations of coastal altimetry: Application to the Ligurian Sea

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## Abstract:

This study is a preliminary contribution to the European Space Agency's efforts aimed at establishing reference in situ networks specifically targeted to validate coastal altimetry. For this purpose, we processed and cross-compared conjointly improved altimetry data and in situ measurements acquired over the Ligurian Sea – a coastal region of the Mediterranean characterised by complex, fine-scale and rapidly evolving oceanic features. We made use of several kinds of multi-sensor in situ observations located along SARAL and Jason-2 tracks. The main objectives of the study were to assess improved coastal oriented validation strategies, including the usage of a new in situ platform (Moving Vessel Profiler), while better understanding potential differences owing to physical content inconsistency and instrumental or data processing limitations. The results show remarkable agreements over spatial scales of few tens of kilometres, paving the way for the deployment of future in situ networks and the definition of science-oriented diagnostics targeted to assess the capability of present and future high-resolution altimetric missions in resolving small-scale physical features.

## Keywords:

Coastal altimetry; Mesoscale; Validation and verification; Northern current

1	Toward science-oriented validations of coastal altimetry: Application to the
2	Ligurian Sea
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- 32
- 33 1 Introduction

34 Even if coastal zones cover only 8% of the entire ocean surface of the earth (Stanev et 35 al., 2002), they play a crucial role for global ocean circulation, as it provides 36 dynamical boundary layers for physical, chemical and biological processes. Coastal 37 regions are characterised by complex ocean dynamics; they are often dominated by 38 small and rapidly evolving mesoscale/sub-mesoscale structures (hereafter "(sub-39 )mesoscale"), which play a major role in the transport of heat, salt and 40 biogeochemical tracers (McGillicuddy et al., 1998; Levy and Martin, 2013; 41 Mahadevan, 2016) and significantly influence water-mass mixing and exchanges 42 between the continental shelf and the open ocean (Huthnance, 1995; Muller-Karger et 43 al., 2005). Despite their importance, the high spatial/temporal variability and 44 complexity associated with (sub-)mesoscale dynamics make them difficult to study 45 with sparse in situ observations (Nencioli et al., 2013), requiring the development of 46 modelling at kilometric (Thomas et al., 2008) and sub-kilometric horizontal space 47 grids (Gula et al., 2014; Shcherbina et al., 2013) as well as the use of high-resolution 48 satellite observations (Lehahn et al., 2007; Fu and Ferrarri, 2008; Bouffard et al., 49 2014; Hu et al., 2011). The issue of characterising (sub-)mesoscale processes is 50 particularly critical over the coastal domain, where the local Rossby radius is smaller 51 than in the open-ocean (Hallberg, 2013).

52 Over the last three decades, progress in satellite altimetry has contributed to 53 revolutionising physical oceanography, enabling the global characterization of open-54 ocean large scale and mesoscale processes (Pascual et al., 2010, 2013; Fu et al., 55 2001). Satellite altimeters are particularly well adapted for observing open-ocean 56 structures (Fu et al., 2010) and represent an invaluable source of data, providing 57 repetitive views of phenomena unachievable by other means (Fu and Chelton, 2001). 58 One of the main challenges for the next decade is the characterisation of fine-scale 59 processes in the coastal domain (Cipollini et al., 2009). Achieving this goal requires 60 not only the innovation of space-born instruments and processing techniques but also 61 establishing ad-hoc validation approaches, allowing better assessment of potential 62 improvements regarding the precision and accuracy of retrieved geophysical 63 parameters. Increasingly more multi-disciplinary in situ data acquired by marine 64 observatories and oceanographic campaigns are now being made available (Palacz et 65 al., 2017) and can be used to derive quality indicators for coastal altimetry based on 66 the assessment of along-track sea surface gradients complementary to the assessment 67 of sea surface time series from tide gauges (Bonnefond et al., 2018). Here, we 68 propose exploiting such in situ data resources to quantify the potential benefit of 69 innovative coastal altimetry processing techniques for the characterization of small-70 scale ocean geostrophic currents.

Several previous studies have evaluated the capabilities of conventional pulse-limited Ku-Band altimetry to observe mesoscale dynamics in semi-enclosed seas, such as the Mediterranean Sea (Vignudelli et al., 2005; Bouffard et al., 2008a, 2008b, 2011; Birol and Delebecque, 2014; Birol and Niño, 2015; Jebri et al., 2017). Despite significant advances, new coastal-oriented processing techniques require continuous optimisation and refinement by being systematically cross-compared with collocated 77 multi-platform measurements. Over the last decade, several cruise campaigns using 78 ship and glider measurements along with altimeter tracks have been carried out in the 79 Western Mediterranean Sea (Ruiz et al., 2012). For example, in Ruiz et al.'s (2009) 80 study, glider- and ship-based measurements were performed under an ENVISAT 81 track in order to provide a mesoscale description of the Balearic Front. Coastal and 82 mesoscale dynamics analysis using conventional altimetry and gliders have also been 83 described by Bouffard et al. (2010, 2012) and Aulicino et al. (2018) over the Balearic 84 Sea and the Algerian Basin respectively. More recently, significant improvements 85 have been obtained as a result of the advent of new technologies, such as Ka-band 86 measurements from SARAL (Verron et al., 2015; Troupin et al. 2015; Pascual et al., 87 2015) and SAR altimetry measurements from the CryoSat-2 and Sentinel-3 missions 88 (Bonnefond et al., 2018; Pascual et al., 2017; Morrow et al., 2017; Dinardo et al., 89 2017; Heslop et al., 2017; Bouffard et al., 2017; Calafat et al., 2016).

90 The goal of the present paper is to go one step further in the fine cross-analysis of 91 coastal altimetry data and *in situ* measurements by determining the potential source of 92 disagreements due to measurement errors and data processing limitations with respect 93 to expected differences arising from physical content inhomogeneity and in situ 94 reference level issues. Here, we utilise both Moving Vessel Profiler (MVP) and 95 Acoustic Doppler Current Profiler (ADCP) acquired during the OSCAHR (Observing 96 Submesoscale Coupling at High Resolution, Doglioli, 2015) Campaign along 97 SARAL and Jason-2 altimetric tracks. We focus our analysis on the impact 98 assessment of coastal-oriented altimetry processing strategies specifically developed 99 and tested with experimental high frequency PEACHI products (Valladeau et al., 100 2015). The obtained improvements are discussed with respect to regional 1Hz 101 AVISO Sea Level Anomaly products (http://aviso.altimetry.fr/). Two kinds of Mean

102 Dynamic Topographies (MTDs) have been also used and analysed in order to 103 reconstruct Absolute Dynamic Topography (ADT).

After describing the study area and the characteristics of the *in situ* and space-based datasets used, the derived absolute geostrophic currents are compared to both ADCP and MVP measurements, which, in our knowledge, are used conjointly for the first time to evaluate coastal altimetry strategies. The paper then focuses on the quantitative and qualitative analysis of depicted ocean features, providing a critical discussion on the limitations and uncertainty associated with each observing system.

110

### 111 2 Study Areas

112 Although the issues addressed in this paper are of global relevance, its primary focus 113 is on the North-Western Mediterranean (NWMed) Sea with particular emphasis on 114 the Ligurian Sea area. Figure 1 shows the bathymetry of the selected area along with 115 altimetry tracks (black lines), *in situ* measurements and the general circulation 116 patterns.

117 The regional dynamics of the area is dominated by a cyclonic gyre circulation, the 118 northern part of which is known as the "Liguro-Provencal Current" (Millot, 1991, 119 1999) or the "Northern Current" (NC) (Millot and Taupier Letage, 2005). This slope 120 current arises from the merging of the eastern and western Corsica currents in the 121 Ligurian Basin. It then flows to the southwest along the continental slope until it 122 reaches the Balearic Sea. The NC exhibits a permanent surface density front between 123 the lighter coastal waters and the denser waters found offshore, generating a sea 124 surface high gradient in the across-shore direction.

Several numerical studies (Barrier et al., 2016; Zakardjan and Prieur, 1998) and
observations along the path of the NC, such as hydrographic measurements from R/V

cruises and HF radar (Forget et al., 2006; Marmain et al., 2014) describe this current as a complex dynamical feature marked by a large spectrum of spatial and temporal scales of variability. The NC is relatively narrow (~30–75 km) and its mean position lies within 60 km off the coast (Petrenko, 2003). The core of the NC is stronger and located about 10–40 km away from the coast in winter, while it is less defined and further offshore in late summer and early fall (Birol et al., 2010; Piterbarg et al., 2014; Declerck et al., 2016).

134 Glider transects across the NC have been performed in the Ligurian Sea, such as in 135 Cyr et al. (2017) and during the MOOSE project (Quentin et al., 2013). Two different 136 parts constitute the main cyclonic circulation over this area: a small recirculation 137 centred on the grey arrow in Figure 1 and a second one in the southwest separated by 138 a local minimum in current intensity (Marrec et al., 2018). The ADT signature 139 associated with this fine-scale circulation can be potentially observed using satellite 140 altimetry measurements (Bouffard, 2010). However, monitoring coastal dynamics 141 from space is still challenging because of the numerous instrumental and geophysical 142 limitations in addition to the fact that the altimeter footprints may encounter the 143 coastline, corrupting the raw along-track remote-sensed signal (Anzenhofer et al., 144 1999; Strub, 2001).



146	Figure 1 OSCAHR cruise transects (in letters) showing MVP vertical salinity profiles (coloured
147	rectangles), geo-located with altimetric tracks #9 from Jason-2 and tracks #429 and #674 from
148	SARAL. The main flow of the NC is shown with black arrows, the recirculation with a grey arrow
149	and the Ligurian Sea area is highlighted by the red box.

# 151 **3** Data and Methods

## 152 **3.1** *In situ* observations

The *in situ* observations used in our study were acquired during the OSCAHR campaign (Doglioli, 2015), whose scientific objectives included the characterisation of a sub-mesoscale dynamical structure and study of its influence on the distribution of biogenic elements and the structure and dynamics of the first trophic levels (Marrec et al., 2018). The cruise strategy utilises an adaptive approach based on the

158 near real-time analysis of both satellite data and numerical modelling in order to 159 identify the dynamical features of interest and track their evolution. Apart from its 160 main scientific objectives regarding (sub-)mesoscale physical/biochemistry coupling 161 the OSCAHR campaign also aims to validate new remote-sensing retrieval techniques (altimetry, ocean colour, planktonic assemblage reconstruction) and high-162 163 resolution numerical modelling. In particular, we performed *in situ* measurements 164 along three altimetric tracks shown in Figure 1 in the present study. The satellite and 165 vessel passages are summarised in Table 1.

166 The R/V Téthys II is equipped with a hull-mounted ADCP RDI Ocean Sentinel 75 167 kHz; however, the methodology considered in this paper includes the usage of novel 168 platforms of observation for sampling the ocean sub-surface layer at a high spatial 169 and temporal frequency. In particular, we use a MVP200 equipped with a MSFFF I 170 (Multi-Sensor Free Fall Fish type I). A total of 448 casts were performed along a 366-171 km route (55 hours of effective work). During the free fall, for each cast, 172 measurements of temperature, salinity and pressure were performed with a temporal 173 resolution of 8–10 min, corresponding to a spatial horizontal resolution of  $\sim 1$  km. 174 The CTD (Conductivity Temperature and Depth) sensor mounted in the MVP towed 175 fish has the following precisions:  $\pm 0.005$  [°C] for temperature and  $\pm 0.01$  [mS cm-1] 176 for conductivity. The density is estimated using the TEOS-10 (Thermodynamic 177 Equation of Seawater) equation of state of seawater. Thereafter, temperature, salinity 178 and density fields were used to estimate the Dynamic Height (DH) with respect to an 179 arbitrary, no-motion reference level. This reference level is generally chosen to be at 180 the maximum depth reached by the probe. Following Pond et al., (1986):

181

182 
$$DH = \int \delta(T, S, p) dp$$
(1)

184 where:

185 
$$\delta(T, S, p) = \rho(T, S, p)^{-1} - \rho(0, 35, p)^{-1}$$
(2)

186

187  $\delta$  is the specific volume anomaly, i.e., the difference in volume between a unit mass 188 of water at temperature T, salinity S and pressure p and a unit mass at the temperature 189  $T = 0^{\circ}C$ , salinity S = 35.0 and pressure p.

190 In a local Cartesian coordinate with *x* axis pointing East, *y* axis pointing North, the 191 geostrophic approximation is then applied to compute the MVP-derived current:

192 
$$\mathbf{V} = \frac{\mathbf{f}}{\mathbf{g}} \cdot \frac{\mathbf{d}\mathbf{h}}{\mathbf{d}\mathbf{x}} \tag{3}$$

193 where f is the Coriolis parameter, g is gravity  $(9.8 \text{ m s}^{-2})$  and h is the DH.

194 MVP-derived relative DH and geostrophic current (with respect to reference depth) 195 were compared with the geo-located SARAL and Jason-2 altimetry-derived absolute 196 ADT and currents (with respect to the geoid). As compared to ocean gliders, using 197 MVP has three main advantages: i) the probe performs free fall vertical profiles; ii) 198 the sampling rate is higher and iii) the planned route (e.g., the altimetric track) is 199 better followed, being the exact probe wed by the vessel. On the other hand, the main 200 limit is a lower maximum operating depth. Subsequently, to understand the impacts 201 of the reference depth and ageostrophic motions in the altimetry versus MVP 202 comparisons, ADCP measurements were also considered in this study. The ADCP 203 configuration used during the whole cruise included 60 cells, 8 m depth bins, an 204 ensemble average of 1' and bottom tracking when possible (although most of the 205 cruise took place out of reach of the bottom). The depth range extends from 18.5 m to 206 562.5 m with a vertically averaged error of 3.6 cm/s.

Trace	Satellite	Beginning	MVP	Ending	MVP	Time
	Passage	waypoint	Start	Waypoint	end	Diff.
	31/10/15		30/10/15		30/10/15	-21 h
Jason-2 #9	16:56	D	15:30	A	23:30	
SARAL	30/10/15		31/10/15	D	01/11/15	+35 h
#429	05:02	А	01:30	В	06:30	
SARAL	07/11/15	G	03/11/15	T	04/11/15	-89 h
#674	18:02	G	23:00	1	03:00	
SARAL	07/11/15		04/11/15	G	05/11/15	-63 h
#674	18:02	H	23:30	G	06:00	

## 210 **3.2** Coastal altimetry

211 In this paper, we used regional Mediterranean 1 Hz Jason-2 and SARAL Sea Level 212 Anomaly (SLA), defined as the difference between the observed sea surface height 213 and the mean sea level available and distributed by AVISO (User Handbook 214 Ssalto/DUACS, 2016) as well as the experimental high frequency PEACHI (Prototype for Expertise on SARAL for Coastal, Hydrology and Ice) products 215 216 (Valladeau et al., 2015.). Some specific retracking algorithms, corrections, editing 217 procedures and MDT have been applied to these products and have been described below. 218

219 3.2.1 Retracking

220 One of the largest sources of errors in coastal altimetry is the inaccurate processing of 221 the return waveforms. A significant number of ocean return waveforms near the coast 222 indeed do not conform to the standard Brown model (Brown, 1997)), as they are

affected by the noisier radar echoes from the land and/or calmer water contamination(Vignudelli et al., 2011). Several studies addressed this issue in the coastal domain.

225 For example, Brooks et al. (1998) analysed the TOPEX waveforms obtained when 226 the altimeter transited from water to land and from land to water. They showed that waveform retracking can be used to extend the altimeter-derived sea surface 227 228 topography several kilometres shoreward. Deng et al. (2002) investigated the 229 behaviour of ERS-2 and POSEIDON altimeter waveform data in the Australian 230 coastal region and mapped a boundary, located approximately 22 km from the coast 231 of Australia, within which the altimeter range may be poorly estimated. Deng and 232 Featherstone (2006) developed a retracking system that includes least-squares fitting 233 of a five-parameter model and a threshold method applied to altimeter waveforms 234 around Australia. In addition to the previously mentioned studies, Gomez-Enri et al. 235 (2009) developed an experimental mixed Brown-specular retracker for the specular 236 peak embedded within a Brown-type ocean waveform. More recently, new coastal 237 retracking strategies have been developed and successfully applied, such as the ALES 238 (Adaptive Leading Edge Subwaveform) (Passaro et al., 2014) and ALES+ (Passaro et 239 al., 2018), potentially applicable to all pulse-limited altimetry missions over both 240 open and coastal ocean with the same accuracy.

In this study, three types of retracking algorithms have been applied to PEACHIproducts. Their main characteristics are described below:

i. Red3 PISTACH (Prototype Innovant de Système de Traitement pour les
Applications Côtières et l'Hydrologie) (Coastal and Hydrology Altimetry
product handbook, 2010): This algorithm selects an analysis window
centred on the main leading edge of the waveform and retracking
parameters in this reduced window [-10; +20 samples] with a Maximum

248 Likelihood Estimator solving for 3 parameters (range, amplitude and249 Sigma composite).

- ii. MLE4 (Maximum Likelihood Estimator) (Amarouche et al., 2004): This
  algorithm is an evolution of the Red3 retracker but is optimised for
  coastal applications with the estimator that solves for 4 parameters,
  adding the slope of the waveform trailing edge with respect to the Red3.
- 254 iii. Adaptive (Poisson et al., 2018): This approach is based on a physical 255 retracking model accounting for the mean square slope of the surface. It 256 grants the model the capability to adapt from a diffuse waveform to a pure specular waveform. The model accounts for instrumental 257 258 characteristics (point target response, Antenna Gain Pattern, etc.) and a 259 numerical solution can be used. The fitting criterion used is a pure least 260 square only if the waveform classification performed before the fitting 261 identifies a peaky waveform.

For AVISO products, the performance of MLE3 retracking (Amarouche et al., 2004) has been assessed. This retracking is similar to that for MLE4 but fits three unknown parameters (amplitude, arrival time and rise time) while the fourth, the trailing edge decay, is held fixed.

266

#### 267 **3.2.2 Geophysical Corrections**

In addition to waveform retracking, other sources of uncertainties arise from the application of geophysical corrections to the altimetric sea surface height (SSH), defined as the height measured with respect to an arbitrary reference level, called the reference ellipsoid, which is not always optimised for the coastal domain. The main

corrections used in this paper are listed in Table 2 and described in the followingsections.

274 One of the most critical corrections is the wet tropospheric path delay, showing a 275 standard error of +/- 3 cm in the coastal domain (Smith et al., 2008). Approaching the 276 coast, wet tropospheric signals have a smaller space-temporal scale of variability, 277 which are poorly resolved by numerical models or are less precisely estimated by on-278 board radiometer, the radiometric footprint being potentially corrupted by land 279 contaminations. Until recently, most altimeter data in a 10-30 km coastal band of the 280 NWMed were flagged as invalid and discarded from standard regional products 281 (Bouffard, 2007; Bouffard et al., 2008a, 2008b). The regional AVISO products used 282 in this study contain an enhanced wet tropospheric correction near the coast (more details in Brown, 2010). The same approach has been applied to Jason-2 PEACHI 283 284 products (Valladeau et al., 2015). For SARAL PEACHI products, the method 285 described in Valladeau et al. (2015) has been applied, whereby the last valid 286 brightness temperature (BT) is extrapolated and considered in place of the 287 contaminated BT as input to the computation of the WTC – an approach that is less 288 accurate than the Brown (2010) method but much simpler to configure and 289 implement.

Although several algorithms for improving the wet path delay near the coast have been developed recently (Cipollini et al., 2017; Desportes et al., 2010; Fernandes et al., 2015; Fernandes and Lázaro, 2016), the detailed evaluation of related improvements do not lie within the scope of the present paper, which mainly focuses on the impact assessment of applying coastal-oriented retracking, editing and highresolution MDT. Both AVISO and PEACHI products use the Dry Tropospheric correction computed from 6-hour span outputs from the ECMWF (European Centre for Medium-Range Weather Forecasts) model. The dry tropospheric correction has a maximum standard error of  $\pm -1-2$  cm in the coastal domain (Smith et al., 2008).

For AVISO, the GOT4.8 (Ray, 2013) ocean tide solutions were applied, while the FES2012 was used for PEACHI (Carrere et al., 2012), despite the tide signals (and associated error) in the considered region being particularly low (Alberola et al., 1995).

304 With regard to the SSB, a 2D wind speed model as a function of backscatter and 305 Significant Wave Height (SWH) was developed in the framework of the PEACHI 306 project (Valladeau et al., 2015), while an empirical solution fitted on six months of 307 SARAL GDR C data (from NOAA Laboratory for Satellite Altimetry) was used in 308 AVISO products (User Handbook Ssalto/DUACS, 2016). Alternative sea state bias 309 (SSB) solutions are available in literature (Tran et al., 2010; Pires et al., 2016), aimed 310 at developing a finer modelling of this effect, but have not been assessed in this 311 study. The accuracy of SSB is about 2 cm (Smith et al., 2008).

312 In addition to the previously mentioned correction, the Global Ionospheric Map 313 (GIM) from JPL (Jet Propulsion Laboratory) has been considered for the ionosphere 314 correction for both AVISO and PEACHI products. Although the most accurate way 315 to model the ionospheric effect is by using dual-frequency measurements (like in 316 Imel, 1994), this approach is not applicable to SARAL, as it is a monoband radar 317 altimeter. Thus, the JPL GIM model has been adopted in this study, as it is applicable 318 to both Jason-2 and SARAL. The ionospheric correction has an error budget of +/- 3 319 cm on derived SSH (Callahan, 1984). Both PEACHI and AVISO products are 320 provided including a so-called Dynamic Atmospheric Correction (DAC) (Carrère and 321 Lyard, 2003).

- 322
- 323

# **3.2.3** Coastal Editing Strategy

324 As the PEACHI experimental products have a high rate sampling of 40Hz for 325 SARAL and 20Hz for Jason-2, specific editing and filtering procedures have been 326 developed, following methods described in Bouffard et al. (2010). Figure 2 depicts an 327 example of this procedure applied on AltiKa track #429 in deriving the edited (red 328 curve) and filtered (green curve) heights from the raw data (blue curve).

329 First, a ten-step recurrent 2\*std editing algorithm was developed and applied to 330 corrected high-rate along-track PEACHI SLA in order to remove residual spikes (see 331 red curve on Figure 2). For each iteration, first, the difference between the altimetry 332 raw and smoothed data was computed and then spikes higher than two standard 333 deviations are flagged, removed and replaced by linearly interpolated values. The 334 next iteration begins from this result by performing the spikes filtering and smoothing 335 again. This recurrent procedure is repeated ten times in order to obtain the final edited 336 and filtered SLA. At the end of these editing steps, 4% of spikes were eliminated 337 from SARAL track #429 and 12% from SARAL track #674, while 7% spikes were 338 filtered out from Jason-2 track #9. Thereafter, the edited high rate altimetric SLA was 339 low-pass filtered (using a moving average filter) with a window of 2.5 km for 340 SARAL (see green curve on Figure 2) and 8.5 km for Jason-2 in order to reduce 341 residual noise, as in Bouffard et al. (2010). The MVP derived currents and ADCP 342 currents were also smoothed from the raw signal with the same filter. Figure 2 also 343 shows (in black) the 1 Hz AVISO product, highlighting the differences with respect 344 to high-rate PEACHI products in terms of SLA-observed features.



345

Gigure 2 PEACH1 high rate altimetry SLA editing and filtering for SARAL track #429. The black his corresponds to the AVISO 1 Hz track.

349 **3.2.4 Mean Dynamic Topography (MDT)** 

350 The AVISO and PEACHI absolute geostrophic currents were then calculated from 351 the ADT, using the Powell and Leben (2004) filtering with a cut-off distance of 15 352 km, roughly corresponding to the local Rossby radius of deformation over the study 353 area (Grilli and Pinardi, 1998). Two Mean Dynamic Topography (MDT) have been 354 considered, spatially interpolated and added to the altimetric SLA in order to obtain 355 the along-track ADT: the Rio "old" MDT (after RIO07), resolution 1/8° (Rio et al., 356 2007; see Figure 3a) and the Rio "new" MDT (RIO14) with resolution 1/16° (Rio et 357 al., 2014; see Figure 3b). 358 A linear interpolation was applied to both RIO07 and RIO14 gridded MDTs to obtain

an along-track MDT to be added to the altimetry SLA measurements.

The RIO14 benefits from the improvements enabled by the use of extended data sets and refined processing techniques. The updated data set spans the 1993–2012 period and consists of drifter velocities, altimetry data, hydrological profiles and model data. The methodology is similar to the previous RIO07, which did not use any hydrological profiles. As compared to the RIO07, the RIO14 therefore features shorter-scale structures owing to the use of more *in situ* and satellite-based observations.



#### **Table 2 Altimetry data characteristics**

SLA	MDT	Retracker	Sampling	SLA Coastal Editing	Spatial Filtering	Corrections
AVISO Jason-2	RIO14 RIO07	MLF3	1 Hz	No	No	Wet tropo (Brown, 2010) Dry tropo (ECMWF Model) Ionospheric (GIM from JPL) Tides (GOT4 8 (Bay, 2013)
AVISO SARAL	RIO14 RIO07		1112			SSB (User Handbook Ssalto/DUACS, 2016) DAC (Carrère and Lyard, 2003)
PEACHI Jason-2	RIO14 RIO07		20 Hz	10-step recurrent algorithm (Bouffard et al., 2010)	8.5 Km	Wet tropo (Brown, 2010 for Jason-2 and Valladeau et al., 2015 for SARAL) Dry tropo (ECMWF Model)
PEACHI SARAL	RIO14 RIO07	Red3 MLE4 Adaptive 40 Hz		10-step recurrent algorithm (Bouffard et al., 2010)	2.5 Km	Ionospheric (GIM from JPL) Tides (FES2012 (Carrere et al., 2012)) SSB (Valladeau et al., 2015) DAC (Carrère and Lyard, 2003)

371

### 372 4 **Results and discussions**

# 373 4.1 Impact of *in situ* MVP reference depth and sinopticity issue

As reported in section 3.1, temperature, salinity and density fields retrieved by MVP can be used to estimate DH with respect to an arbitrary reference depth, which is supposed to be a no-motion level. As the reference depth issue does not affect altimetry, a sensitivity study has been performed to highlight effects on the computation of MVP-derived surface geostrophic current when compared to altimetry (shown in Figure 4). The latter shows the different MVP-derived 380 geostrophic currents computed by varying the reference depth, compared with 381 PEACHI geostrophic currents using the MLE4 retracker. Each of the four transects 382 has a different maximum depth for which valid measurements are available: 293 m 383 for transect A – B, 330 m for transect D – A, 321 m for transect G – I and 278 m for 384 transect H – G. Figure 5 reports the mean and standard deviation of the differences 385 between PEACHI and MVP at different reference depths.

386 Examining the comparison statistics reported in Figure 5, it can be seen that the 387 differences are reduced between altimetry and MVP datasets for transect D – A and H 388 - G when considering a deeper reference level. For transect H - G, the difference 389 between the two sensors is reduced from 0.052 m/s for a reference depth of 90 m to 390 0.028 m/s for a reference depth of 278 m. The same conclusion is obtained for 391 transect D – A and transect A – B with a mean reduction from 0.092 m/s to 0.041 m/s 392 and from 0.210 m/s to 0.178 m/s, respectively. This is potentially caused by the deep 393 flow of the NC along the Ligurian continental slope. The vertical temperature profile 394 shows the NC signature with a stronger temperature up to a depth of 90 m for 395 latitudes higher than 43.35° (see Figure 10 and associated discussions).

396 The results obtained for the MVP transects out of the NC are different. For transect G - I, the mean reduction of altimetry and MVP current difference is observed up to a 397 398 140-m depth, whereas the differences go up for a higher depth (as for surface layers). 399 This pattern could be potentially linked to the sub-surface currents associated with a 400 strong and rapidly evolving mesoscale feature intercepted by this transect but not 401 seen by transect H - G. Transects G - I and H - G are almost collocated but have a 402 time separation of about one day (see Table 2) during which dynamical conditions 403 may have changed with fine-scale surface features having moved in between the two 404 MVP passes. This is confirmed by examining the vertical temperature profiles on 405 which changes are clearly observed along the water column (see Figure 10 and 406 associated discussions). These high-frequency variations cannot be observed by 407 instantaneous altimetry measurements, while they affect the MVP measurements all 408 along the data sampling, emphasising potential impacts of synoptic issues in 409 altimetric versus *in situ* comparisons.

410 According to the above results (also confirmed by using Red3 or Adaptive retrackers 411 in the sensitivity study) and despite the spotted sinopticity issue, the agreement 412 between altimetry and MVP is generally satisfying when considering the deepest 413 reference level (different for each of the four considered transect) as well as 20-30 414 km offshore (the grey square on Figure 4). On one hand, the deepest reference level 415 has been kept for the remaining analyses and is the one adopted in the results 416 presented in section 4.3 On the other hand, two alternative retrackers (Red3, 417 Adaptive) have been used and evaluated to highlight the potential improvement in the 20-30 coastal bands. 418



Figure 4 Reference depth sensitivity study for transects A – B, D – A, G – I and H – G. Different colours are
associated with different reference depths (in meters) considered in the vertical integration of MVP data
while the PEACHI altimetry data (MLE4 retracker) are shown in black. The magenta line represents the
MVP derived currents at the maximum operating depth. The shaded grey areas in transects A – B and D –
A correspond to 20 km from the coast.



### 430 **4.2** Impact of physical content and reference depth

In order to assess the potential impact of the reference depth on the MVP retrieved current, geographical plots of the differences of the surface across-track current ADCP-MVP (coloured dots) have been computed (Figure 6), superposed to the crosstrack component of the deep ADCP current, measured at 270 m depth. Comparison between surface across track MVP and ADCP derived currents are shown in Figure 7 for each of the cruise transects.





439 MVP. The vectors are related to the cross-track component of the ADCP current at 270 m depth.



441

Figure 7 Comparison between MVP (magenta) and ADCP currents (m/s) vs. Latitude (raw data in thin blue, filtered and smoothed data in dashed thick blue) for each transect.

The principal differences between the two *in situ* observing systems highlighted in Figure 7 should be mainly related to the fact that ADCP measures the total absolute current and contains both geostrophic and ageostrophic motion, while the MVP 446 measurements are referenced to an unknown no-motion level and – as altimetry –
447 capture the single geostrophic component.

Figure 6 shows that if a strong deep current is present at 270 m, the no-motion 448 hypothesis is not verified, which consequently creates inconsistencies when 449 450 comparing DH-derived MVP currents with the ones from ADCP (and hence with 451 altimetry). This is evident from transect F - G, E - F and F - E, where the differences between the two observing systems are particularly high (>  $0.16 \text{ ms}^{-1}$ ). This is also 452 453 valid when examining transect A - B, where a strong deep current is visible in Figure 454 6, generating a difference of 0.10 ms<sup>-1</sup> between surface MVP and ADCP currents. On the other hand, transects G - H and H - G show small differences and high 455 456 correlation (> 0.95), although the ADCP measurements show finer-scale dynamics, 457 perhaps due to ageostrophic variations that occurred during the time of route H - G 458 and not during the same geographical transect G - H. During the period from October 459 30 to November 2, 2015, a strong north-easterly wind event with velocity up to 70 km  $h^{-1}$  was recorded over the whole area (Marrec et al., 2018), reinforcing high-460 461 frequency and small-scale features

In addition, looking at *in situ* comparison statistics (Table 3), anticorrelated value was found for transect F - G as well as significant differences for transects E - F, F - Eand F - G. Moreover, ADCP observations on Figure 7 show small-scale dynamics, not depicted by altimetry and MVP (for example along the transect D - A), but attesting the presence of high-frequency ageostrophic likely variations.

467

468

4	-7	0	Table 3 MVP vs ADCP Comparison statistics. For correlation results the level of significance is 95%

Transect	Mean(ADCP- MVP) [m]	Std(ADCP- MVP) [m]	Mean(M VP) [m]	Std(MVP) [m]	Mean(ADCP) [m]	Std(ADCP) [m]	Corr(ADCP, MVP)
C - D	0.03	0.04	0.08	0.04	0.11	0.08	0.94
D - A	0.06	0.03	0.10	0.05	0.16	0.06	0.81
A - B	0.10	0.08	0.27	0.14	0.23	0.24	0.93
B - C	0.13	0.13	0.03	0.10	0.00	0.13	0.44
E - F	0.18	0.05	0.34	0.11	0.18	0.11	0.89
F - G	0.18	0.07	0.01	0.12	0.00	0.10	-0.59
G - H	0.03	0.02	0.14	0.12	0.14	0.14	0.99
I - F	0.12	0.06	0.12	0.14	0.07	0.10	0.51
F - E	0.20	0.09	0.19	0.08	0.38	0.08	0.44
L-H	0.08	0.08	-0.06	0.02	-0.01	0.12	0.72
H - G	0.05	0.04	0.08	0.16	-0.02	0.12	0.95

472 After geostrophy, one of the major contributions to the ocean surface currents is the 473 Ekman response of the ocean to high-frequency wind stress. Various studies have 474 focused on understanding and modelling these currents in a more effective and comprehensive manner (Ralph and Niiler, 1999; Lagerloef et al., 1999; Elipot and 475 Gille, 2009; Rio et al., 2003, 2011, 2012). The potential impacts of ageostrophy 476 signatures from Ekman have been tentatively assessed in this study using 477 GlobCurrent version 2 Ekman current at 0.25° resolution, 3-hourly, available from 478 479 2002 to 2014 (accessed from http://www.globcurrent.org/). However, due to the 480 coarse resolution of the GlobCurrent products and a lack of coverage over the coastal 481 domain, it was not possible to assess precise Ekman impacts on the study area. A 482 product with finer resolution should be processed in future GlobCurrent versions but 483 was not available at the time of this study.

484 The following section reports the comparison between satellite altimetry and MVP485 with particular emphasis on the impacts of altimetry processing.

486

#### 488 **4.3** Impact of altimetry processing

SARAL tracks #429 and #674 together with Jason-2 track #9 SLA, ADT and derived currents were compared to MVP geo-located measurements (Figure 8). Tables 4 and 5 report the mean statistical scores per mission. In total, combinations of 12 comparisons per track have been performed between satellite altimetry measurements (considering different products, MDT and corrections) and *in situ* MVP/ADCP transects. The analysis has been performed up to 14.5 km from the coast for SARAL track #429, 37 km for SARAL track #674 and up to 13 km for Jason-2 track #9.

496 Examining the comparison plots between Jason-2 track #9 and MVP transect A-D 497 (Figure 8a), it can be seen that the two bumps visible in currents at Latitude 43.2° and 498 43.4° are related to the interception of the NC, which intersects the altimetric track 499 twice due to its orientation with respect to the main flow. The NC signature is also 500 evident when looking at SARAL track #429 from which the main current peak of 501 0.55 m/s at Latitude 43.25° is captured by MVP and ADCP measurements (Figure 502 8b). Along the SARAL track #674 and *in situ* transects G – I and H – G, a dipole-like 503 mesoscale structure is observed in each altimetric dataset (see section 4.4; Figures 8c 504 and 8d).

505 Despite good general agreement between altimetry and MVP, some important 506 discrepancies were also observed. For track #429, the mean difference with respect to 507 the MVP and ADCP current are 0.08 m/s and 0.20 m/s, respectively. These 508 significant differences might potentially be due to land contamination affecting 509 altimetry measurements approaching the coast and not processed with optimal 510 retracking and/or local corrections. MVP and ADCP show some disagreements along 511 the transect A – D, whereas ADCP – not affected by reference level issue – and

altimetry (track #9) show quite a similar pattern with an increasing velocity whenapproaching the coastline.

When compared to ADCP and MVP-derived current, the benefit of adding MDT to the altimetric SLA is obviously confirmed for all considered tracks and missions; this is particularly evident for SARAL tracks, for which an improvement of 30% in correlation was observed. When compared to the RIO07 MDT, the use of the RIO14 further improves the comparison scores in terms of correlation between altimetry data and MVP, with a mean increase of 10% for both AVISO and PEACHI products (Tables 4 and 5).

Using the PEACHI products, the mean and standard deviation differences between MVP and altimetry are still reduced: the overall mean difference goes from 0.04 m/s to 0.02 m/s for SARAL and from 0.35 m/s to 0.26 m/s for Jason-2 for 1 Hz AVISO and PEACHI products respectively. Comparison statistics (Table 4) show that for PEACHI products, the adaptive retracker provides better performances in coastal regions (correlation > 0.9) for both 40 Hz SARAL and 20 Hz Jason-2 sensors when compared to standard 1 Hz regional AVISO.

With regard to previous studies (Birol et al., 2015; Verron et al., 2015; Troupin et al., 2015), considering AltiKa sensor on-board, SARAL provides better statistical agreement with *in situ* than the Ku-band mission, such as Jason-2 and, particularly in this study, using the combination with RIO14 and adaptive retracking, confirming

that the Ka band is more reliable in resolving fine-scale coastal dynamics.



Figure 8 PEACHI Altimetry (grey for SLA+RIO07 and red for adaptive retracking, cyan for Red3 retracking and green for MLE4 retracking for SLA+RIO14) vs AVISO altimetry (light grey for SLA+RIO07 and grey for SLA+RIO14) vs *in situ* (magenta for MVP, blue for ADCP). The different markers used for both AVISO and PEACHI altimetry are related to elevations and currents computed with a different MDT (crosses for RIO07

- 538 and diamonds for RIO14). First column reports track geolocation, second column reports ADTs (m) vs Latitude 539 and third column reports satellite across track current (ms-1) derived from ADT computed only adding RIO14 540 to SLA vs Latitude together with in situ derived current vs Latitude. The distance to the coast is also reported in 541 kilometres.
- 542 543

#### Table 4 PEACHI ADT and V vs MVP average comparison statistics (correlation) per retracking method

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(the level of significance is 95%)

Satellite	MDT	Parameter	Adaptive	MLE4	RED3	Total Average per MDT
	DI014	ADT	0.93	0.90	0.78	0.87
	KIO14	V	0.75	0.67	0.79	0.74
SADAL	RIQ07	ADT	0.58	0.55	0.30	0.48
SARAL	RIOU7	V	0.76	0.51	0.71	0.66
	Total Average	ADT	0.75	0.72	0.54	0.67
	per Retracking	V	0.76	0.59	0.75	0.70
		ADT	0.92	0.91	0.77	0.87
	RIO14	V	0.71	0.74	0.73	0.73
lacon 2	RIO07	ADT	0.83	0.81	0.71	0.79
Jason-2		V	0.70	0.74	0.72	0.72
	Total Average	ADT	0.88	0.86	0.74	0.83
	per Retracking	V	0.71	0.74	0.73	0.72

545

546 547 Table 5 AVISO ADT and V vs MVP average comparison statistics (correlation) per retracking method (the

548

#### level of significance is 95%)

Satellite	MDT	Parameter	MLE3
	DIO14	ADT	0.86
	KIO14	V	0.82
CADAL	PLO07	ADT	0.60
JARAL	RICO7	V	0.76
	Total Average	ADT	0.73
	per Retracking	V	0.79
		ADT	0.87
	RI014	V	0.71
lacan 2	RIO07	ADT	0.70
JdSUII-2		V	0.68
	Total Average	ADT	0.79
	per Retracking	V	0.70

551 From a general point of view, the comparison between altimetry and MVP over the 552 study area is improved when considering experimental PEACHI high-rate altimetry 553 data rather than the Mediterranean regional AVISO products. The NC signatures and small-scale oceanic features are, however, well captured by both datasets. Despite 554 555 this encouraging result and as testified by cross-comparisons with collocated ADCP 556 transects, the MVP measurements cannot be considered as a perfect reference dataset 557 for validating coastal altimetry. This is due to its sensitivity to the reference level 558 with regard to determining the DH along with the highlighted sinopticity issues 559 induced by high frequency and partially ageostrophic small-scale dynamics occurring 560 during data acquisition.

561

562

## 2 4.4 Observed circulation patterns

As shown on Figure 9, the combined use of surface altimetry and sub-surface *in situ* measurements (MVP and ADCP) allows the observation of small scale and coastal hydrodynamic features that are consistent with frontal structures depicted by PODAAC Sea Surface Temperature data (SST, L4 data, JPL OurOcean Project, 2010).

In Figure 9, looking at transect A – B, the NC main path, with high current velocity (>  $0.3 \text{ ms}^{-1}$ ) is intercepted by altimetry around Latitude  $43.25^{\circ}$  and  $6.8^{\circ}$  Longitude. The associated surface frontal structure is also clearly captured by ADCP and MVPderived current as well as from remote-sensing SST image. There, the vertical structure is characterised by the temperature profile of MVP, with higher temperature >  $18 \, ^{\circ}$ C (Figure 10) caused by the NC main flow and depicted up to its maximum depth (80 m). 575 More East and offshore, an eddy-like structure is instead depicted at the surface by 576 altimetry, ADCP and MVP-derived currents along the transect G - I. Along the same 577 transect, satellite SST also shows a zone of low temperature with values below 17 °C. 578 This zone is surrounded by warmer boundary waters characterised by SST higher 579 than 17 °C, suggesting ascendant vertical pumping of cold water in the central part of 580 this small-scale dynamical feature.

581 Both in situ and satellite-derived surface current directions indicate that this 582 mesoscale feature is linked to a cyclonic recirculation of the NC in the Ligurian sub-583 basin. Marrec et al. (2018) reported on this event, also attributing the important role 584 of Ekman pumping, induced by a strong wind event just before the OSCAHR cruise, 585 which has an impact on ocean biogeochemistry. Besides the strong wind event 586 occurring during the first day of the cruise, the region has experienced several wind 587 events two weeks before the cruise (Marrec et al., 2018), inducing a strong decline in SST. The low temperature patch described by satellite SST and MVP profile supports 588 589 the Ekman pumping hypothesis. This process was also important in fertilizing the 590 upper layer with nutrient-rich upwelled waters.

591 Figure 10 depicts the salinity and temperature vertical sections of MVP transects A – 592 B, G - I, H - G and D - A. In transect G - I, the sea surface salinity (SSS) was lower 593 (< 38.20) in the cold core than in the warm boundaries (> 38.20) and salinity at 300 m 594 in depth was higher than 38.50. A subsurface layer of low-salinity waters (< 38.10) is 595 present with a 40 to 80 m thickness. This subsurface layer was observed up to the 596 surface in the centre of the cold core (between Latitude 43.7° and Latitude 43.8°), 597 whereas in warm boundaries, saltier (> 35.20) surface waters overlaid it. Low-salinity 598 waters (< 38.10) at the surface of the cold patch support the vertical Ekman pumping 599 hypothesis, as suggested from multi-sensor surface observations reported in Figure 9.







Figure 9 Surface circulation pattern derived from *in situ* (magenta for MVP and blue for ADCP) and PEACHI altimetry data (black). SST data is also shown with a Spatial Resolution of 0.062° (Latitude) x 0.062° (Longitude) and temporal resolution of 1 day. The SST maps is an average over the OSCAHR cruise time period October 29, 2015 to November 06, 2015). White arrows depict the surface oceanographic features reported also in Figure 10 vertical profiles.

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- 615



#### 620 5 Conclusions

The monitoring of small-scale processes in the coastal domain using satellite-based observations represents a key challenge in oceanography. In this paper, we discussed the issue of validating and optimising coastal altimetry data in areas characterised by fine-scale dynamics.

625 It has been discussed that regional 1 Hz altimetric data from AVISO are not always 626 reliable close to the coast, and new experimental post processed and edited high rate 627 PEACHI altimetric data have been considered to better resolve regional fine-scale 628 features. Comparison statistics show that experimental high-rate PEACHI products 629 using the adaptive retracker provide better performances in coastal regions for both 630 40 Hz SARAL and 20 Hz Jason-2 missions. Moreover, the use of the new RIO14 631 MDT instead of the RIO07 one increases the correlation scores with respect to in situ 632 measurements.

633 The use of MVP platform has been assessed, as it was never used for validating 634 coastal altimetry, highlighting the main challenges, advantages and limitations with respect to gliders. Further, regarding the MVP dataset, the importance of the 635 636 reference level and sinopticity issues were discussed, and a sensitivity study was performed to highlight the effect on the derived geostrophic currents. It has been 637 638 shown that, as for glider, measurements from the MVP are very promising for 639 validating coastal altimetry techniques but cannot be considered as a perfect reference 640 dataset by themselves. In this respect, the combined use of collocated MVP, altimetry 641 and ADCP appears to be relevant, not only for validation purpose but also to better 642 characterise the surface and sub-surface regional dynamics of the study area, 643 including the North Current flow and the induced cyclonic recirculation in the eastern 644 side of the Ligurian Sea. New benchmarking will also be performed, where the most

recent developments in geophysical corrections and new retracking methods incoastal domains will be taken into consideration.

647 From a more general point of view, this study illustrated the complexity of setting up 648 adequate in situ validation networks for coastal altimetry in areas dominated by 649 geostrophic and ageostrophic fine-scale oceanographic processes. It confirmed the 650 key importance of developing *ad-hoc* validation approaches using multiple types of collocated instruments, which allow diagnosing and understanding the physical 651 652 content and mechanisms of the observed oceanic features. Recent (Sentinel-3) and 653 future high-resolution topographic missions, such as Jason-CS, Sentinel-6 and 654 SWOT, will continue to provide improved ocean parameters that can significantly 655 help in understanding climate changes, coastal and (sub-)mesoscale dynamics. Their 656 full exploitation and integration into re-analysis and forecasting system will however 657 depend on our capability to continuously develop science-oriented diagnostics and 658 uncertainty estimation. This objective can only be achieved through the deployment 659 and maintenance of long-term, independent, fully characterised and traceable multi-660 sensor in situ observations (so-called "Fiducial Reference Measurements", FRM), 661 collocated along altimetry tracks.

662

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# 1013 List of Figure Captions

Figure 11 OSCAHR cruise transects (in letters) showing MVP vertical salinity profiles (coloured rectangles), geo-located with altimetric tracks #9 from Jason-2 and tracks #429 and #674 from SARAL. The main flow of the NC is shown with black arrows, the recirculation with the grey arrow and the Ligurian Sea area is highlighted by the red box.

- 1019 Figure 12 PEACHI high rate altimetry SLA editing and filtering for SARAL track1020 #429. The black line corresponds to the AVISO 1 Hz track.
- 1021 Figure 13 RIO07 (a) and RIO14 (b) MDT overlapped by the derived mean current.
- 1022 Figure 14 Reference depth sensitivity study for transects A B, D A, G I and H –
- 1023 G. Different colours are associated with a different reference depths (in meters)
- 1024 considered in the vertical integration of MVP data, while PEACHI altimetry data are
- 1025 shown in black. The magenta line represents the MVP derived currents at the
- 1026 maximum operating depth. The shaded grey areas in transects A B and D A
- 1027 correspond to 20 km from the coast.
- 1028
- Figure 5 Mean (blue) and standard deviation (yellow) of the current differencesbetween PEACHI and MVP.
- Figure 6 Geographical plot of the differences (coloured dots) of the surface across
  track current ADCP-MVP. The vectors are related to the cross-track component of
  the ADCP current at 270 m depth.
- Figure 7 Comparison between MVP (magenta) and ADCP currents (raw data in thinblue, filtered and smoothed data in dashed thick blue) for each transect.
- Figure 15 PEACHI Altimetry (grey for SLA+RIO07 and red for adaptive retracking, 1036 1037 cyan for Red3 retracking and green for MLE4 retracking for SLA+RIO14) vs AVISO 1038 altimetry (light grey for SLA+RIO07 and grey for SLA+RIO14) vs in situ (magenta 1039 for MVP, blue for ADCP). Different markers for both AVISO and PEACHI altimetry 1040 are related to elevations and currents computed with a different MDT (crosses for 1041 RIO07 and diamonds for RIO14). First column reports track geolocation, second 1042 column reports ADT (m) vs Latitude and third column reports satellite across track 1043 current (ms-1) derived from ADT computed adding RIO14 to SLA vs Latitude together with in situ derived current vs Latitude. The distance to the coast is also 1044 1045 reported in Kilometres.
- 1046
- Figure 16 Surface circulation pattern derived from *in situ* (magenta for MVP and blue
  for ADCP) and PEACHI altimetry data (black). SST data is also shown with a Spatial
- 1049 Resolution of 0.062° (Latitude) x 0.062° (Longitude) and temporal resolution of 1
- 1050 day. The SST maps is an average over the OSCAHR cruise time period (29th October
- 1051 2015 to 6<sup>th</sup> November 2015). White arrows depict the surface oceanographic features
- 1052 reported also in Figure 10 vertical profiles.
- 1053
- 1054 Figure 17 MVP Salinity and temperature vertical profiles. The oceanographic
- 1055 features are reported with white arrows.