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Transport in ITO Nanocrystals with Short- to Long-Wave Infrared Absorption for Heavy Metal-Free Infrared Photodetection

Junling Qu¹, Clément Livache^{1,2}, Bertille Martinez^{1,2}, Charlie Gréboval¹, Audrey Chu¹, Elisa Meriggio¹, Julien Ramade¹, Hervé Cruguel¹, Xiang Zhen Xu², Anna Proust³, Florence Volatron³, Grégory Cabailh¹, Nicolas Goubet^{1,2,4}, Emmanuel Lhuillier^{1*}

¹ Sorbonne Université, CNRS, Institut des NanoSciences de Paris, INSP, F-75005 Paris, France

²Laboratoire de Physique et d'Etude des Matériaux, ESPCI-Paris, PSL Research University, Sorbonne Université UPMC Univ Paris 06, CNRS, 10 rue Vauquelin 75005 Paris, France.

³ Sorbonne Université, CNRS, Institut Parisien de Chimie Moléculaire, IPCM, F-75005 Paris, France.

⁴ Sorbonne Université, CNRS, Laboratoire de la Molécule aux Nano-objets ; Réactivité, Interactions et Spectroscopies, MONARIS, F-75005 Paris, France

Abstract: Nanocrystals are often described as interesting materials for the design of low-cost optoelectronic devices especially in the infrared range. However the driving materials reaching infrared absorption are generally heavy metal-containing (Pb and Hg) with a high toxicity. An alternative strategy to achieve infrared transition is the use of doped semiconductors presenting intraband or plasmonic transition in the short, mid and long-wave infrared. This strategy may offer more flexibility regarding the range of possible candidate materials. In particular, significant progress have been achieved for the synthesis of doped oxides and for the control of their doping magnitude. Among them, tin doped indium oxide (ITO) is the one providing the broadest spectral tunability. Here we test the potential of such ITO nanoparticles for photoconduction in the infrared. We demonstrate that In_2O_3 nanoparticles present an intraband absorption in the mid infrared range which is transformed into a plasmonic feature as doping is introduced. We have determined the cross section associated with the plasmonic transition to be in the $1\text{-}3 \times 10^{-13} \text{ cm}^2$ range. We have observed that the nanocrystals can be made conductive and photoconductive due to a ligand exchange using a short carboxylic acid, leading to a dark conduction with n-type character. We bring evidence that the observed photoresponse in the infrared is the result of a bolometric effect.

Keywords : Oxide nanocrystals, transport, photoconduction, plasmon, intraband absorption, infrared, heavy metal free, photodetection.

*E-mail: el@insp.upmc.fr

INTRODUCTION

Over the recent years, huge progress^{1,2,3,4} have been made in the field of infrared (IR) optoelectronics using colloidal quantum dots as a low-cost alternative to historical semiconductor technologies (InGaAs, InSb, HgCdTe...). In the visible range, InP^{5,6} has appeared as viable alternative strategy to Cd-based nanocrystals for light emission. However, the infrared range is still driven by toxic compounds and more particularly lead^{7,8} and mercury chalcogenides^{9,10}.

Since materials above arise a toxicological concern for mass-market applications, it is of utmost importance to screen alternative materials with a reduced toxicity and an optical absorption in the mid infrared. However, in practice narrow band gap is often found with heavy atoms which are more likely to be toxic. In this sense, doped semiconductors presenting intraband transition in the wavelength range of interest need to be considered. Mercury chalcogenides Self-doped nanocrystals¹¹ present a tunable intraband absorption^{12,13,14} in the 3 to 60 μm range¹⁵. Ag₂Se^{16,17,18}, which is heavy metal-free, presents a very similar absorption spectrum to HgSe, but its performance for photodetection remains far weaker than its Hg-containing counterpart¹⁹.

Degenerately doped oxides^{20,21,22} such as Ga²³, Al²⁴ and In²⁵ doped ZnO, indium tin oxide (ITO)^{26,27,28,29,30}, tungsten oxide³¹ and cadmium oxide have seen prompt advances in terms of synthetic maturity over the recent years. Compared with the doping of II-VI and IV-VI materials which remains difficult and mostly induced through surface effects, the doping of oxides seems more straightforward and tunable. Like bulk semiconductors, the introduction of heteroatoms leads to the generation of free carriers, resulting in plasmonic mid infrared absorption and carrier conduction.^{32,33} The optical absorption of such doped oxides has been extensively discussed, in particular to understand the correlation between the plasmonic absorption linewidth³⁴ and dopant position.^{35,36,37,38} On the application side, such doped oxides have been driven by their use in the field of smart windows,³⁹ sensing⁴⁰ and catalysis.⁴¹ However, their photoconductive property remains nearly unexplored. In a semiconductor, the photocurrent is proportional to two key parameters which are material absorption and photocarrier lifetime. For interband and intraband transitions, the absorption cross section per nanoparticle is quite weak, typically in the 10^{-15} cm^2 range.^{42,43} This implies that for an intraband transition, from a lightly doped semiconductor (1 carrier per dot), only one electron is active every 10^3 - 10^4 atoms. On the other extreme, metal with 1 active carrier per atom can reach high cross section⁴⁴ (10^{-12} cm^2 per particle). The two types of transition also differ by the fact that intraband absorption is quantum confined (*ie* size dependent) while plasmonic absorption is a bulk like property. In a degenerately doped semiconductor, the situation is typically intermediate, but the cross section is higher than that of marginally doped materials. While the absorption of doped nanocrystals is expected to be large and easy to tune spectrally thanks to doping, the drawback of plasmonic absorption comes from its shorter lifetime compared with exciton which may limit the benefit of a higher absorption. Thus, the exact potential of oxide nanocrystals for IR photoconduction remains to be determined. In addition, several open questions have to be tackled such as the exact nature of the transition in the low doping regime and the potential for IR photoconduction. In addition, the electrical nature (photoconduction vs bolometric) of the response under IR illumination needs to be revealed.

In this paper, we use ITO nanocrystals as IR absorbing material because of the ease to tune its absorption spectrum thanks to doping. We then analyze the optical feature of these nanoparticles and determine their absorption cross section. We notice that the behavior of the undoped sample strongly differs from the one of the doped samples and discuss the origin of this difference. In a second step, we conduct transport measurement in a transistor configuration as a probe of the material carrier density. Finally, we have tested the potential of ITO nanocrystals as photoconductive material and compare the obtained performances with state-of-the-art IR colloidal quantum dot-containing heavy metal.

DISCUSSION

We start by synthesizing a series of ITO nanocrystals with various levels of doping from 0 (In₂O₃) to 15% of Sn content using a previously reported method.^{32,36} A scheme of the colloiddally synthesized ITO nanoparticle is shown in Figure 1a. By replacing In³⁺ with Sn⁴⁺, free electrons are generated, so the level of doping can be tuned by changing the atomic

ratio of In and Sn in the precursor. As can be seen in Figure 1b, the solution of the nanoparticles shows a color switching from colorless for undoped material to blue for doped material. The nanoparticles are of similar size (≈ 12 nm) with a near sphere shape for all doping levels, as revealed by transmission electron microscopy (TEM), see Figure 1c and S1. The X-ray diffraction, see figure S2, confirms the bixbyite structure of the In_2O_3 lattice. These nanoparticles present an absorption peak in the infrared as well as a band edge in the UV range, Figure 1d and S4. The inclusion of Sn, in the In_2O_3 host matrix, is confirmed by energy dispersive X-ray spectroscopy, see Figure S5 and table S1. It appears that the actual Sn content is between 50 to 70 % of the introduced amount. Both transitions blueshift with the increase of Sn content. The band-edge transition shift is consistent with the bleach of the lowest energy state as the conduction band state get filled⁴⁵, which is the basic principle for smart window⁴⁶, see Figure 3a. The infrared peak shifts from $9.3 \mu\text{m}$ (≈ 148 meV) for the undoped material to $2 \mu\text{m}$ (≈ 614 meV) for the 10% doped particles, see Table 1. We observe that above 10% the transition barely blueshifts, but strongly broadens due to an increase of electron-electron scattering³⁸, ionized impurities scattering, alloy induced scattering and possibly due to inhomogeneous origin such as a reduced size control. Such broadening can be balanced by the introduction of Cr as co dopant agent⁴⁷. In the following, we will focus more specifically on undoped (In_2O_3), 1.7% Sn and 10% Sn doped ITO nanoparticles.

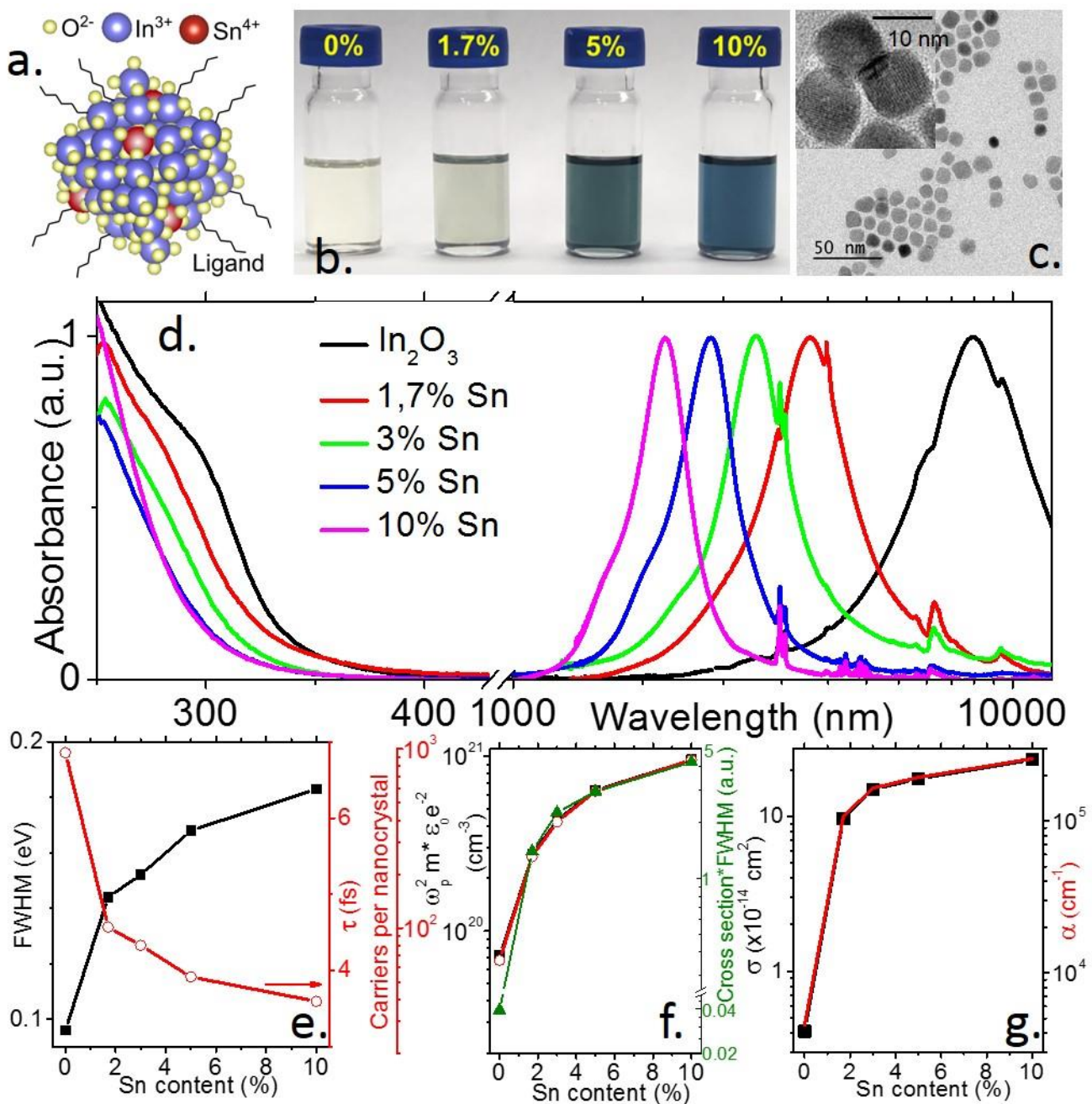


Figure 1 a. Scheme of a colloidal ITO nanoparticle with an ITO core surrounded by organic ligands. b. Image of ITO nanoparticles dispersed in CHCl_3 with various levels of Sn content. c. Transmission electron microscopy image of the

1.7% Sn ITO nanocrystals. In the inset is the corresponding high-resolution TEM image. d. Infrared and UV-visible spectra of ITO nanocrystals with various levels of doping. e. Full width at half maximum (FWHM) of the infrared absorption and the estimated average electron scattering time τ (\hbar/FWHM) as a function of the Sn content. f. Estimated carrier density from the plasmon peak energy per unit of volume and per nanoparticle as a function of Sn content. The product of the cross section by the infrared peak FWHM is also provided. g. Absorption cross section per nanoparticle and film absorption coefficient as a function of the Sn content.

The infrared peak has been assigned to plasmonic absorption^{20,21}, which allows us to determine the carrier density. From the Drude model⁴⁸, one can relate the localized surface plasmonic resonance frequency (ω_{LSP}) to the bulk plasma frequency (ω_p) via $\omega_{peak} = \sqrt{\frac{\omega_p^2}{\epsilon_\infty + 2\epsilon_M} - \gamma^2}$ where $\epsilon_\infty = 4$ is the high frequency dielectric constant of the ITO nanoparticles, $\epsilon_M \approx 2$ the dielectric constant of the environment and γ the plasmon damping constant³⁵. The latter is estimated as the linewidth of the plasmon transition, *i.e.* the full width at half maximum (FWHM) of the transition. The average scattering time τ can be estimated from $\gamma = \hbar/\tau$, with \hbar the reduced Planck constant. The value of τ has been estimated to be $\approx 5 \pm 1$ fs, see Figure 1e. The plasma frequency directly relates to the carrier density (n) through the relation $\omega_p^2 = \frac{ne^2}{\epsilon_0 m^*}$ with e the proton charge, ϵ_0 the vacuum dielectric constant and m^* the ITO conduction band effective mass, here taken equal to $0.4m_0$ ^{49, 35} with m_0 the electron rest mass.

Table 1 Band edge energy, energy of the infrared absorption peak and absorption cross section associated with the infrared transition for three Sn content of ITO nanoparticles.

Sn content	Band edge energy (eV)	Infrared peak energy (meV)	Infrared cross section ($\times 10^{-15}$ cm ²) at the peak energy
0 %	3.8	148 (8.3 μ m)	4
1.7 %	4	315 (3.95 μ m)	90
10 %	4.3	614 (2 μ m)	220

We estimate the carrier density to be in the 10^{20} - 10^{21} cm⁻³ range, which corresponds to 250 free charges per 1.7% doped ITO nanoparticle and to almost ≈ 1000 free charges in the case of the 10% doped ITO nanoparticle, see Figure 1f. In the case of the undoped material, the carrier density is estimated to be ≈ 80 electrons per nanocrystal, however the validity of the Drude model is questionable in this case. In particular, we can notice that the product of the cross section by the IR peak linewidth follows the trend of estimated carrier density in the case of the doped sample, but is at least one order of magnitude lower for the undoped material, see Figure 1f. Since the product of the cross section by the linewidth is an actual measurement of the effective carrier density, we can already anticipate that the Drude model largely overestimate the carrier density in the case of In₂O₃.

We also have determined the absorption cross-section of the nanoparticles as a function of doping, see Figure 1g, Table 1 and Methods. Doped nanoparticles have a cross section, at the infrared peak energy, in the 1 - 3×10^{-13} cm² range⁵⁰. This corresponds to absorption coefficient in the 1×10^5 to 3×10^5 cm⁻¹. While the cross section increases quasi linearly with doping for doped nanoparticles, the undoped nanoparticles present a much weaker absorption with a cross section of only 4×10^{-15} cm².

Transport measurements are then used to probe the carrier density. To ensure a strong interparticle coupling in the film, a ligand exchange step is required. While thiols have been extensively used for Cd, Hg or Pb based nanocrystals, the hard base nature of In³⁺ leads to a poor affinity for thiol. As a result, we choose a hard acid⁵¹, according to Pearson's theory, such as acetic acid to replace the initial oleic acid ligands²⁶. The transport properties of the ITO nanocrystals under dark condition are probed using an electrolyte field effect transistor configuration, see a scheme of the setup in Figure 2a. Electrolyte gating, here made by dissolving LiClO₄ in a polyethylene glycol matrix, ensures a large gate

capacitance which is critical to modulate the carrier density of degenerately doped material. In addition, it allows air operation and gating of thick films⁵².

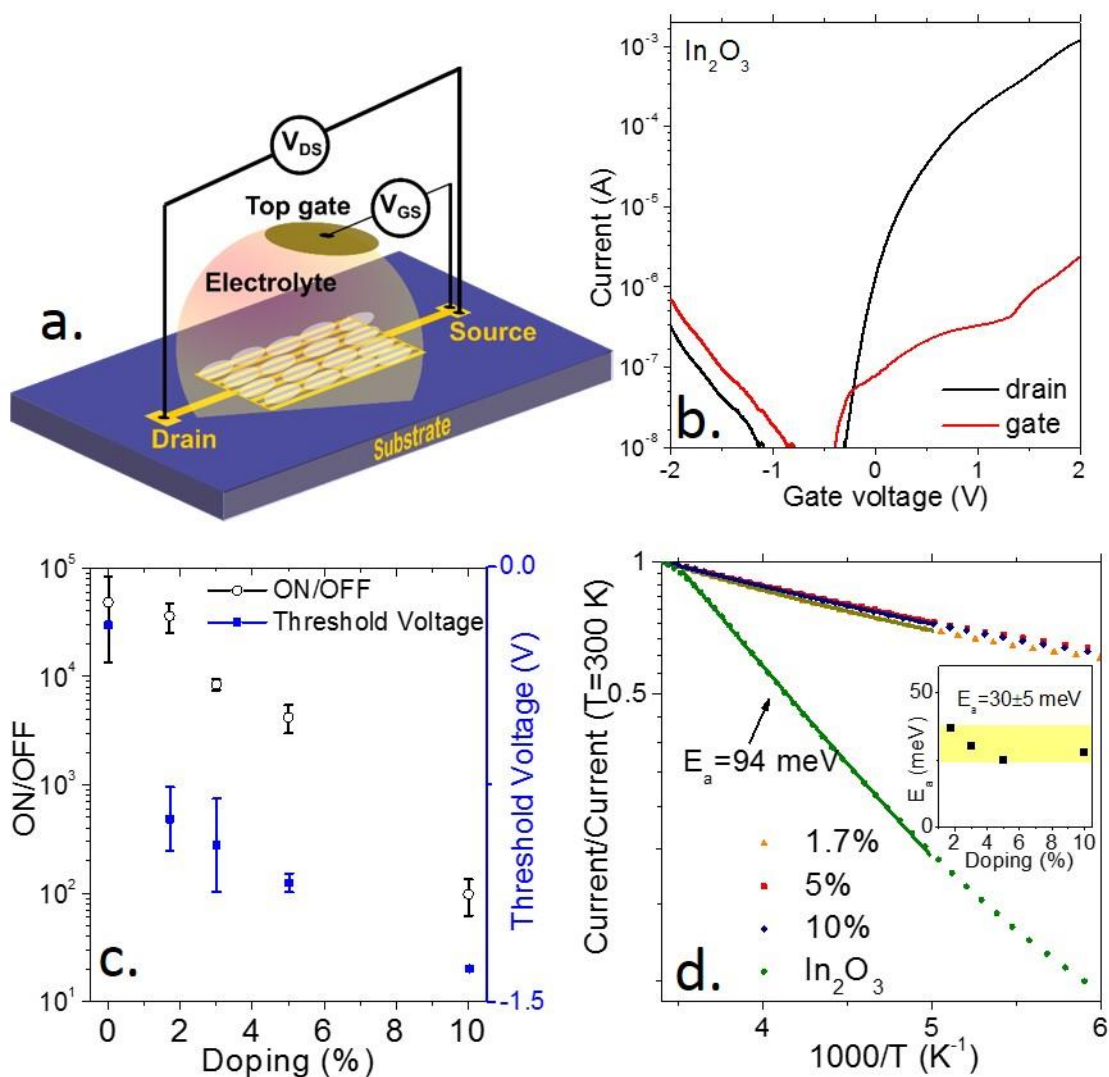


Figure 2a. Scheme of an electrolyte gated transistor with a thin film of ITO nanocrystals as canal. b. Transfer curve (drain and gate current as a function of the applied gate voltage) for a transistor whose canal is made of In_2O_3 nanocrystals. c. On/off ratio and threshold voltage as a function of the Sn content for a transistor made of ITO nanocrystals thin film. d. Current as a function of temperature for ITO nanocrystal thin films with various Sn contents. The inset provides the fitted activation energy for the doped samples assuming an Arrhenius fit of the high temperature part of the I-T curve.

Thin films of ITO and undoped In_2O_3 nanoparticles, where transport properties are probed in a field effect transistor configuration⁵², only present n-type conduction (*i.e.* rise of conduction under positive gate bias), see Figure 2b and Figure S9. No evidence for hole transport has been observed, which is consistent with previous reported hall effect⁵³ and thermoelectric measurements²². We nevertheless observe a clear reduction of the current modulation (on/off ratio) as well as a shift of the threshold voltage toward more negative potentials as the Sn content increases, see Figure 2c. Both are consistent with the increase of doping leading to a Fermi level deeper within the conduction band. Around room temperature, the transport is thermally activated, see Figure 2d. An activation energy of almost 100 meV in the case of In_2O_3 is extracted from the Arrhenius fit of the I-T curve, while for doped samples the value is 30 ± 5 meV, independent of the doping level, see the inset of Figure 2d.

Hopping transport^{33, 54, 55} is typically characterized by different behaviors between semiconductor and metal. In semiconductor material, hopping is generally described using a Mott model, which indicates that the transfer of carriers from one particle to another is limited by the availability of empty arrival state. Thus, the activation energy reflects the density of states of the nanoparticle^{56,57}. If the states are sparse, fairly large value of activation energy can

be obtained, as is the case for undoped In_2O_3 nanoparticles. On the other hand, for metal and strongly doped nanoparticles, the density of states is no longer limiting and only the coulombic charging energy (E_C) has to be overcome⁵⁸. The latter value can be evaluated using $E_C = \frac{e^2}{2C}$,⁵⁸ with C the self-capacitance of the nanoparticle. C can be estimated from $C = 2\pi\epsilon_0\epsilon_r d$, with ϵ_0 the vacuum permittivity, ϵ_r the material dielectric constant (4) and d the nanoparticle diameter (12 nm), which leads to a E_C of 29 meV for ITO nanoparticles. In this sense, the characteristic energy of the hopping transport in the array of degenerately doped semiconductors is the same one as for metallic nanoparticles.⁵⁸

While dark conduction in ITO nanoparticle film has already been explored,^{32, 33, 59} their photoconductive properties remain mostly unexplored. We choose to study their photoconductive properties by exciting them at the band edge (UV irradiation) or by directly exciting their plasmonic feature in the near-IR for 10% doped and in the mid-IR for the 1.7% doped nanoparticles. In practice, we use four light sources to resonantly excite each of these transitions, see the simplified band diagram in Figure 3a. The three levels of doping actually corresponds to atmospheric transparency windows where long distance imaging is possible. The 10% doped material overlaps with the short wave infrared (SWIR: 1-3 μm), the 1.7% doped material is in the mid wave infrared (MWIR: 3-5 μm range) and the undoped material is in the long wave infrared range (LWIR: 8-12 μm).

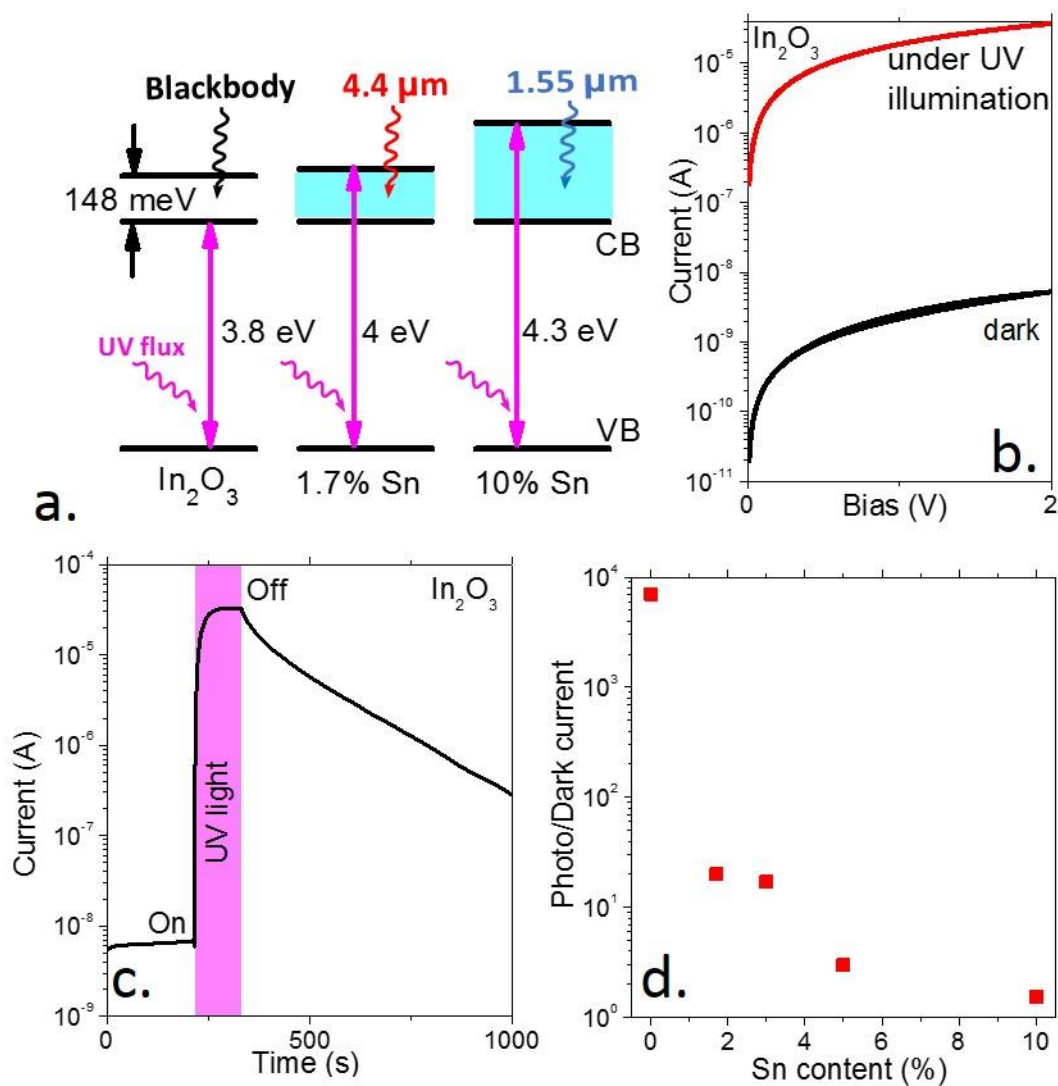


Figure 3a. Band diagram of undoped, 1.7% Sn and 10% Sn doped ITO nanocrystals. For all materials, the bottom of the conduction band and the top of the valence band are treated as identical to the undoped material. The energy of the first empty state of the conduction band is determined using a Tauc plot, see figure S3. b. I-V curve of In_2O_3 nanoparticles under dark condition and under UV illumination. c. Current as a function of time while a thin film In_2O_3

nanoparticles is exposed to a pulse of UV light. d. Current modulation (ratio of the photocurrent over the dark current) as function of the Sn content of an ITO thin film exposed to a short illumination of UV light.

Under UV excitation, a large current modulation is observed, see Figure 3 and S10. A striking feature relative to the excitation of the band edge is the slow response of the photocurrent, see Figure 3c. The decay time can be as long as 1h, which is the signature of the involvement of deep traps in the photoconductive process⁶⁰. Similar memory effect has already been observed for ZnO^{61,62} nanoparticles and are now used as a strategy to activate the conduction of electron transport layer⁶³ in a solar cell device. The magnitude of the photoresponse tends to be reduced while the Sn content is increased. Again, the undoped nanoparticles present a significantly larger modulation than all doped nanoparticles, see Figure 3d, due to a much lower dark current. This observation combined with the weaker cross section of this material confirms that the free carrier density in the undoped material is low and certainly much weaker than the one determined using a Drude model. This suggests that the infrared peak observed for In₂O₃ nanoparticles has an intraband character, rather than plasmonic. In the case of ITO, the transition between these two regimes occurs for a carrier density below 100 electrons /particle ($\approx 10^{20} \text{cm}^{-3}$). Once the doping is introduced, this transition acquires a more collective character as it has been proposed in the case of self-doped HgS quantum dots⁶⁴ and doped ZnO nanocrystals⁶⁵. The transition is well modeled using a quantum plasmon model, which converge toward the electron transition, in the low carrier density limit.⁶⁵

To test the plasmonic character of this transition, we have tried, as proposed by the Milliron's group²⁹ to change the solvent to tune the dielectric constant of the medium. We speculate that plasmonic transition will be more sensitive to such shift than intraband absorption. However this experiment has been unsuccessful for In₂O₃ due to the strong absorption of solvent, even chlorinated ones, around 1000cm^{-1} , see figure S6. We thus choose to use another approach to support this claim, we graft polyoxometalates (POM) on the surface of the nanoparticles. It was recently demonstrated by Martinez *et al*⁶⁶ that POM can be used as agents to tune the doping magnitude of HgSe nanocrystals. The POMs used contain tungsten atoms under a strongly oxidized (+VI) form, which can behave as electron attractors. Once POMs are grafted on the surface of degenerately n-doped nanoparticles, they can strip electrons from the nanoparticles, which tends to reduce the oxidation state of the tungsten from the POM as evidenced by photoemission in ref 66. For doped forms of ITO, the grafting of the POMs has a severe effect on the linewidth of the plasmonic transition, see Figure 4b and S8. This is at first a counter intuitive result since a reduction of the electron density may have been expected to lead to a redshift of the plasmonic transition. This scenario can be explained that the POMs rather disturb the radial distribution of carrier, as depicted in Figure 4a. Initially ITO behaves as a Jellium³⁸, meaning that positive charges resulted from Sn dopants are fixed while free electrons are homogeneously spread all over the nanoparticle. After the POM grafting, the nanoparticle surface is electron deficient, which leaves positive impurities unscreened on the surface. This tends to enhance the electron impurity scattering and leads to the observed increase of the spectrum linewidth.³⁸

On the other hand, for In₂O₃, the infrared peak is neither shifted nor broaden, see Figure 4c. In other words, the IR spectrum of the In₂O₃ nanoparticles with grafted POMs is just the sum of the absorption of the two materials. This reflects the fact that this transition is determined by the density of states of the semiconductor, rather than the electron gas distribution. This further supports the intraband character of the transition in In₂O₃.

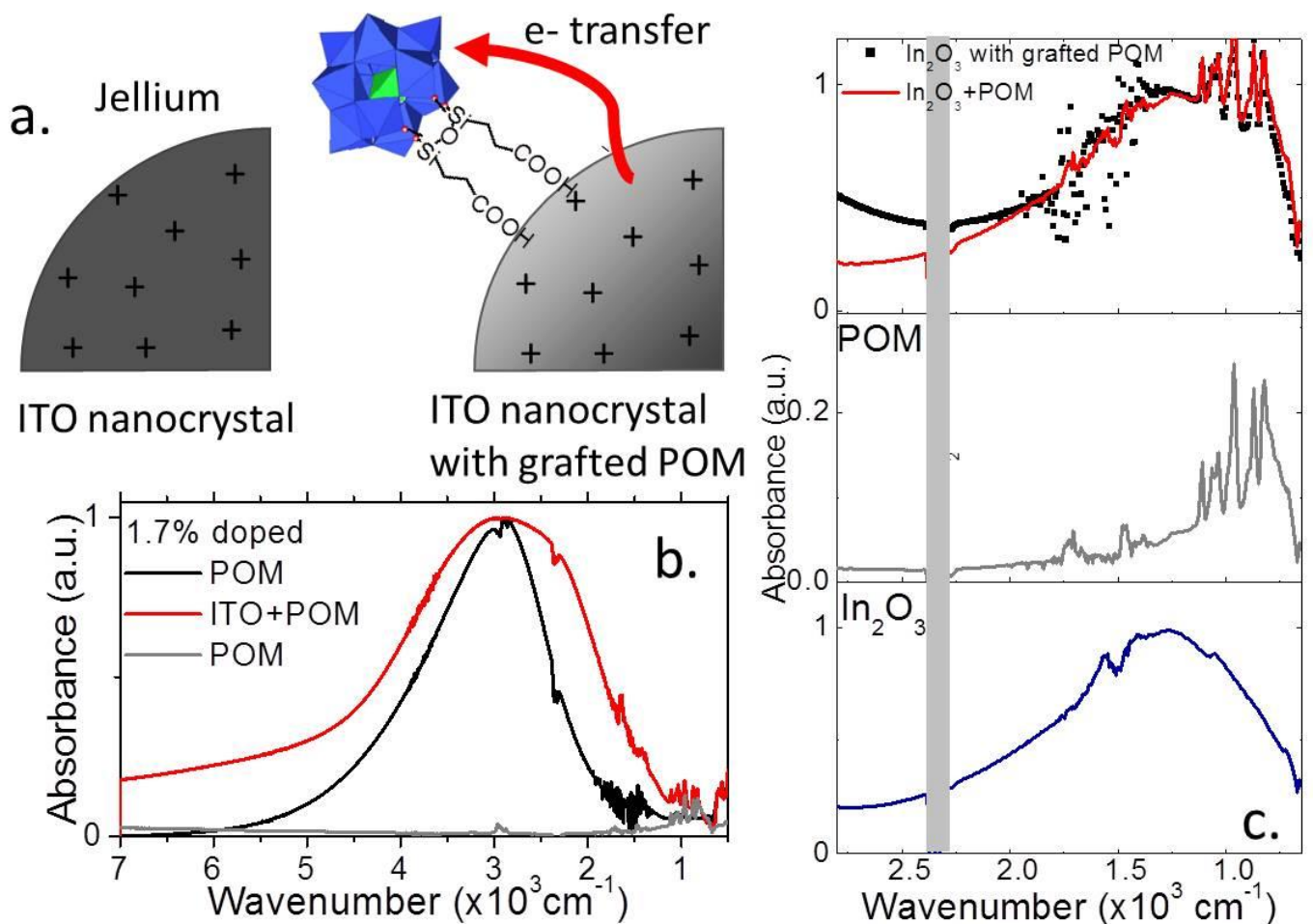


Figure 4 a. Scheme of a non-functionalized nanoparticle where positive charges coming from Sn dopants are randomly dispersed in the nanocrystal, leading to a homogeneous distribution for the electron gas (in grey). In presence of electron attracting groups at the nanoparticle surface (here polyoxometalates), surface is electronically depleted and leave some of the positive charges unscreened. b. Infrared spectra of 1.7% doped ITO with and without POM grafted on the surface. c. Infrared spectrum of the In_2O_3 (bottom part), pristine POM (central part) and from the In_2O_3 nanoparticles grafted with POM (top part, scatter). The latter signal is well fitted (red curve) by an addition of the signal from the independent POM and In_2O_3 nanoparticles.

There are several significant consequences to this observation beyond the obvious facts that undoped nanoparticles have lower conductivity and weaker absorption. Because the transition in In_2O_3 is determined by the semiconductor density of states, the energy of this transition will be only marginally tunable through doping. Reducing the doping and even the residual material non-stoichiometry will not allow to redshift the transition of ITO toward wavelengths longer than $9 \mu\text{m}$. This may be a limitation for detection application in the low energy part of the 8-12 μm atmospheric transparency window. Secondly, if tuning of the intraband transition of In_2O_3 needs to be investigated, alternative paths such as change of confinement or material alloying need to be considered.

In the last part of the paper, we aim to discuss the potential of ITO nanocrystals as photoconductive material in the infrared range. Three ranges of detection have been considered : long-wave infrared for In_2O_3 nanoparticles, mid-wave infrared for 1.7% doped ITO and short-wave infrared for 10% doped ITO, see Figure 3a. Samples are cooled down to reduce their thermally activated carrier density, see Figure 5a, d and g. The responsivity achieved by the film of In_2O_3 nanocrystals reaches $40 \mu\text{A/W}$ in the long wavelength range, $4 \mu\text{A/W}$ in the mid infrared (1.7% doped ITO at $4.4 \mu\text{m}$) and $14 \mu\text{A/W}$ at $1.55 \mu\text{m}$ for the 10 % Sn ITO. These performances are weak compared with the one reported for HgTe nanocrystals with interband transition at the same wavelength, in which case responsivity in the 1 to 100 mA/W

is currently achieved^{2,4}. This is, on the other hand, similar to other Hg-free material such as Ag₂Se¹⁹ nanocrystals, which present intraband absorption around 5 μm and for which responsivity reaches 8 μA/W.

The In₂O₃ nanoparticles present a dramatically slow photoresponse with turn-on and turn-off time close to 10 min, Figure 5b. All doped nanoparticles have a similar time response, with a turn-on time above 10 s and a turn-off time of ≈1 min typically, see Figure 5e and h. Even if their dynamics are shorter than the photoconductive dynamics at the band edge, they remain extremely slow compared with other IR active colloidal materials in the same range of wavelengths. For example, with HgTe quantum dots, time response shorter than the μs are commonly reported.^{67,68} This long-time response suggests that the current modulation results from a bolometric effect. In other words, the change of carrier density induced by the light (*i.e.* the photocurrent) is negligible compared to the increase of thermally activated carrier density (*i.e.* the light-induced change of the dark current).

This result may have been anticipated from the evaluation of the cross section (σ) and photocarrier lifetime (τ). Indeed we can estimate the photogenerated change of carrier density under illumination to be⁶⁹ $\Delta n = e\sigma\tau\Phi/E_{ph}$ with e the proton charge, Φ the power flux per unit area and E_{ph} the photon energy. In the case of the 1.7% doped ITO nanoparticle ($\sigma \approx 10^{-13} \text{ cm}^2$; $\tau \approx 5 \text{ fs}$), with the illumination condition used in Figure 5e ($E_{ph} = 0.28 \text{ eV}$), the light induced change of carrier density is $\approx 5 \times 10^{-9}$ electron/particle, which has to be compared with the steady doping of 200 electron /particle. As the photocurrent and dark current is respectively proportional to these two quantities, the ratio of photo to dark current is thus expected to be very small. Experimentally, the light to dark modulation is nevertheless higher (in the 10^{-3} to 10 range) than this ratio, see Figure 5c, f and i, which confirms that the bolometric origin is prevailing.

We can conclude that the benefit of a stronger absorption brought by the plasmonic absorption is strongly balanced by the short lifetime of the photocarrier. Thus, to take full benefit of the larger plasmonic absorption, a fast extraction of the hot electrons will have to be implemented. A possible strategy to reach this goal, will be to reduce the device size down to the nm scale, which will be valuable to apply large electric field and reduce the carrier transit time.⁷⁰ Regarding the operating temperature of such films, we measured that the photocurrent remains a marginal modulation compared with the dark current, see Figure 5c, f and i. Only the undoped material achieve BLIP (background limited performances, defined here as the temperature when dark current becomes smaller than the photocurrent) operation at $T < 50 \text{ K}$, see Figure 5c. This is the result of the large doping, required to achieve plasmonic absorption, but which comes at the price of a large dark current.

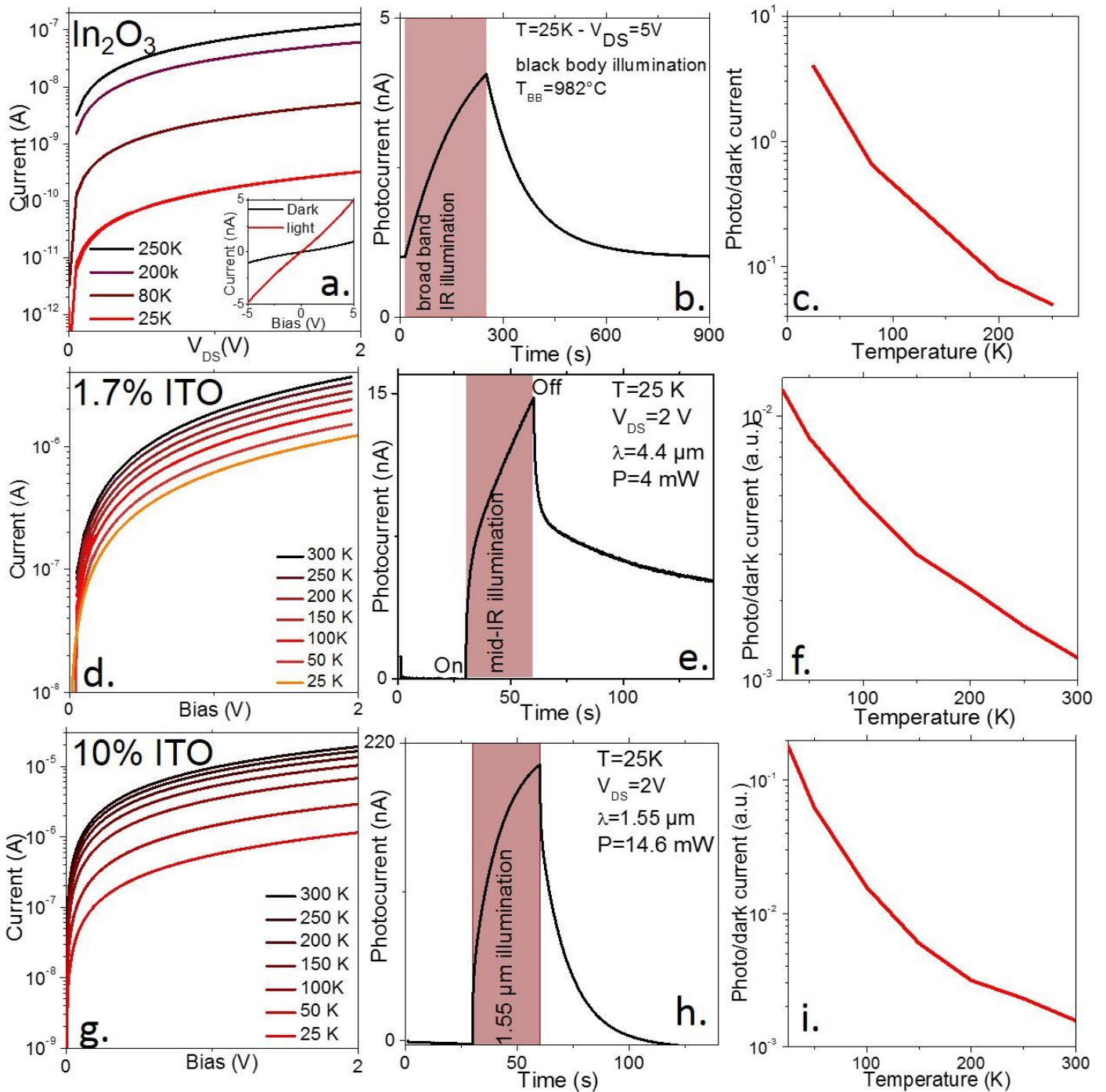


Figure 5 I-V curves for a thin film of (a) In_2O_3 nanoparticles, (d) 1.7% doped ITO nanoparticles and (g) 10% doped ITO nanoparticles at various temperatures ranging from room temperature down to 25 K. The inset in (a) is the I-V curves at 25 K under dark condition and under illumination by a blackbody source ($T_{\text{BB}}=982^\circ\text{C}$). Current as a function of time of a thin film of (b) In_2O_3 nanoparticles, (e) 1.7% doped ITO nanoparticles and (h) 10% doped ITO nanoparticles while exposed to (b) a pulse illumination by a blackbody source, (e) a short illumination of a quantum cascade laser operating at $4.4\ \mu\text{m}$ and (h) a short illumination resulting from $1.55\ \mu\text{m}$ laser diode. Ratio of the photocurrent over the dark current as a function of the temperature for a thin film of (c) In_2O_3 nanoparticles exposed to the excitation from a blackbody source, (f) 1.7% doped ITO nanoparticles exposed to the excitation from a $4.4\ \mu\text{m}$ QCL and (i) 10% doped ITO nanoparticles exposed to the excitation from a $1.55\ \mu\text{m}$ laser diode.

CONCLUSION

To summarize, we have tested the potential of ITO nanocrystals for heavy metal free infrared photoconduction. We have determined that the undoped In_2O_3 nanoparticles present an intraband absorption at $8\text{-}9\ \mu\text{m}$ which transforms into a plasmonic transition as the Sn doping is introduced. The intraband character of this transition will make the tuning of the absorption toward longer wavelength more challenging. Doped nanoparticles of ITO present a cross

section of a few 10^{-13} cm² per nanoparticle, corresponding to an absorption coefficient above 10^5 cm⁻¹. The material presents n-type conduction with a weak temperature dependence. Photoconduction in the infrared range can be obtained at low temperature and results from a bolometric effect. The responsivity achieved by thin films of ITO nanoparticle are in the few to few tens of $\mu\text{A}/\text{W}^{-1}$ range. This makes the obtained photosignal weak compared with the dark current, as a result, ITO nanoparticle are not yet competitive with other materials such as PbS and HgTe. Future development will have to integrate a strategy to take advantage of the short living hot electrons.

METHODS

List of chemicals

Indium(III) acetate (Sigma-Aldrich, 99.99 %), tin(IV) acetate (Alfa Aesar), oleic acid (Sigma-Aldrich), oleyl alcohol (Alfa Aesar, tech. 80-85%), ethanol absolute anhydrous (Carlo Erba, 99.9%), Chloroform (Carlo Erba), n-hexane (Carlo Erba), n-octane (SDS, 99%), acetic acid (Sigma-Aldrich, $\geq 99\%$), N,N-dimethylformamide (DMF, Sigma Aldrich), triethyloxonium tetrafluoroborate ($\text{Et}_3\text{O}^+ \text{BF}_4^-$, Sigma Aldrich), lithium perchlorate (LiClO_4 , Sigma-Aldrich, 98%), polyethylene glycol (PEG, Mw=6 kg/mol) were used as received.

Synthesis of indium oxide and Sn-doped indium oxide nanocrystals: In this study, the nanocrystals were synthesized with a two-step slow-injection method established by Jansons *et al.*³⁶ with minor modifications. In one three-neck flask, a desired composition of indium acetate and tin (IV) acetate (5 mmol in total) was mixed with oleic acid (10 mL). The mixture was heated at 150 °C under Ar until all the powders are fully dissolved (typically 1 hour). The obtained metal oleate solution (0.5M) was light yellow in color. In another flask, 13 mL of oleyl alcohol was heated to 305 °C under Ar. Then 1 mL of the as-prepared metal oleate solution was injected to the oleyl alcohol bath using a syringe-pump at a rate of 0.2 mg/mL. After the injection, the reaction was baked at 305 °C under Ar for 20 min before cooled down with air flux. The obtained nanocrystals were precipitated with ethanol and redispersed in chloroform for 3 times and finally dispersed in chloroform for storage. The nominal doping of Sn in nanocrystal was determined by the Sn/(In+Sn) ratio in the mixed precursor. In this manner, we synthesized a series of nanocrystals with 0% (In_2O_3), 1.7%, 3%, 5% and 10% of Sn doping.

POM-COOH (TBA)₃[PW₁₁O₃₉{O(SiC₂H₄COOH)₂}] synthesis⁷¹: $\text{K}_7[\text{PW}_{11}\text{O}_{39}]$ (0.64 g, 0.2 mmol) was dissolved in a water/acetonitrile mixture (30 mL, 1:2). A 1 M HCl aqueous solution was added drop by drop until an apparent pH equals to 3. The solution was cooled in an ice bath and the $\text{Si}(\text{OH})_3(\text{CH}_2)_2\text{COONa}$ (0.476 mL, 0.8 mmol) was inserted. The 1 M HCl solution was added drop by drop again to reach $\text{pH}_{\text{app}}=2$. After an overnight reaction, TBABr (0.26 g, 0.8 mmol) was added and the solution concentrated with a rotary evaporator to make precipitate the product. The oily compound obtained was dissolved in the minimum of acetonitrile then precipitated again with an excess of ether. A sticky solid was recovered by centrifugation and washed thoroughly with ether to obtain a white powder (0.6 g, 82%). The dried compound was found to be partially deprotonated (cf. IR and EA analysis) with the exact general formula $(\text{TBA})_{3,4}[\text{PW}_{11}\text{O}_{39}\{\text{O}(\text{SiC}_2\text{H}_4\text{COOH}_{0,8})_2\}]$.

¹H NMR (400 MHz, CD₃CN) : δ (ppm) 3.14 (m, 24H), 2.53 (m, 4H), 1.65 (m, 24H), 1.41 (sex, ³J(H,H)=7.5 Hz, 24H), 1.05 (m, 4H), 1.01 (t, ³J(H,H)=7.5 Hz, 36H), 0.90 (m, 4H) ;

³¹P NMR (121 MHz, CD₃CN) δ (ppm) -12.28 ;

IR (KBr pellet) : δ =2963 (s), 2935 (m), 2874 (w), 1710 (s), 1623 (w), 1483 (s), 1471 (s), 1420 (w), 1381 (m), 1112 (vs), 1064 (vs), 1052 (s), 1036 (s), 964 (vs), 870 (vs), 824 (vs)

MS (ESI-), m/z (%) : calcd for $W_{11}PSi_2O_{44}C_6H_{10}$: 965.41 [M]³⁻ ; found : 965.42 (100) ; calcd for $W_{11}PSi_2O_{44}C_{22}H_{46}N$: 1569.26 [M+TBA]²⁻ ; found : 1569.27 (50)

Elemental Analysis calcd (%) for $C_{60.4}H_{132}N_{3.4}O_{44}Si_2PW_{11}$: C 19.50, H 3.55, N 1.28; found : C 19.51, H 3.50, N 1.24

POM grafting on ITO nanoparticles: We used a two-step ligand exchange method with the removal of organic ligands followed by the functionalization with POM. Firstly 1 mL of NCs in hexane (10 mg/mL) was mixed with 1 mL of triethyloxonium tetrafluoroborate in DMF (20 mg/mL) vigorously with vortex and sonication. After 5 min, the NCs were transferred from nonpolar phase (hexane) to the polar one (DMF) on the bottom, due to the stripping of their organic ligands. Then, the bare NCs in DMF were precipitated with toluene and redissolved in 1 mL of POM in DMF (20 mg/mL) for functionalization. After complete mixing, the POM-capped NCs were precipitated with toluene to remove the POM excess and were redispersed in DMF.

Ligand exchange for transport measurements: To improve electron transport, the long organic ligands of nanocrystals were replaced by short ones using an on-film ligand exchange method. In more details, we first drop-casted the nanocrystal solution onto a substrate. Once the substrate was dried, it was dipped into a solution of acetic acid in ethanol (0.5 wt%) for 60 s then in pure ethanol for 30 s. The substrate was then annealed at 250 °C for 15 min to finish one round of ligand exchange. The procedure was repeated once before transport measurements.

Material characterization: Absorption spectra are acquired using a Jasco V730 spectrometer for the UV visible part, while a Thermo Fischer IS50 Fourier transform infrared spectrometer in ATR configuration is used in the IR range. For the determination of the absorption cross section, a film of nanoparticles has been deposited onto a double side polished Si wafer. Its thickness is determined using a Dektak 150 profilometer. The absorption coefficient is given by $\frac{A \cdot \ln 10}{t \cdot f}$ with A the absorption, t the film thickness, and f the film volume fraction taken equal to 0.64 which correspond to a randomly close pack film. The cross section per particle is simply obtained by multiplying the absorption coefficient by the nanoparticle volume, assuming a spherical shape. For transmission electron microscopy, we used a JEOL 2010. The grids were prepared by a drop cast of dilute solution of nanocrystals dispersed in hexane and degassed overnight under secondary vacuum. X-ray diffraction pattern is obtained by drop casting a solution of nanocrystals on a Si wafer. The diffractometer is a Philips X'Pert, based on the emission of the Cu K α line operated at 40 kV and 40 mA current.

Si/SiO₂ substrate for electrodes: The surface of a Si/SiO₂ wafer (400 nm oxide layer) is cleaned by sonication in acetone. The wafer is rinsed with isopropanol and finally cleaned using an O₂ plasma. AZ 5214E resist is spin-coated and baked at 110°C for 90 s. The substrate is exposed under UV through a pattern mask for 2 s. The film is further baked at 125°C for 2 min to invert the resist. Then a 40 s flood exposure is performed. The resist is developed using a bath of AZ 326 for 32 s, before being rinsed in pure water. We then deposit a 5 nm chromium layer and 80 nm gold layer using a thermal evaporator. The lift-off is performed by dipping the film in acetone for 1 h. The electrodes are finally rinsed using isopropanol and dried by an air flow. The electrodes are 2.5 mm long and spaced by 20 μ m. These electrodes are used for DC measurements (IV curves and transistor measurements).

Electrolyte gating: For electrolyte gating, we first mix in a glove box 0.5 g of LiClO₄ with 2.3 g of PEG (M_w = 6 kg.mol⁻¹). The vial is heated at 170°C on a hot plate for 2 h until the solution gets clear. To use the electrolyte, the solution is warmed around 100°C and brushed on the top of the ITO nanoparticle film.

Electrical measurements

DC transport: The sample is connected to a Keithley 2634b which applied bias and measured current. For measurement under illumination three sources has been used: a UV flash light at 365 nm, a 1.55 μ m laser diode and a quantum cascade laser operating at 4.4 μ m. For measurement as a function of temperature, the sample is mounted on the cold finger of a cryostat and the sample is biased using a Keithley 2634b. The current is acquired while the temperature of the sample is cooled down.

Transistor measurements: The sample is connected to a Keithley 2634b which sets the drain bias ($V_{DS} = 20$ mV for doped ITO, $V_{DS} = 200$ mV for In_2O_3), controls the gate bias (V_{GS}) between -2 and +2 V with a step of 1 mV and measures the associated currents I_{DS} and I_{GS} . All measurement are conducted in room condition (temperature and pressure).

Supporting Informations

The Supporting Information is available free of charge on the ACS Publications website at DOI: Size determination of nanoparticles, X-ray diffractogram, Tauc plot, process and effect of POM grafting, field effect transistor measurements and photoconduction under UV illumination

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TOC graphic

