

Development of an aqueous two-phase emulsion using hydrophobized whey proteins and erythritol

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Highlights

- Whey protein isolate (WPI) was hydrophobized by acetylation and heating
- Hydrophobized WPI and alginate were immiscible and formed W/W emulsions
- Erythritol addition to the WPI improved emulsification and emulsion stability
- Erythritol induced interactions between hydrophobized protein particles
- Furthermore, it decreased the air-water surface tension of WPI solution

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23 Abstract

24 Formation of aqueous two-phase (ATP) emulsions relies on the immiscibility of two (bio)polymeric phases. Herein, we report that hydrophobization of whey proteins via a pre-25 acetylation and succeeding acetylation/heating combined process makes solutions of whey 26 protein isolate (WPI) immiscible with alginate solutions. Erythritol was also added at different 27 concentrations (0, 52, 105, and 158 mg/g) into the hydrophobized WPI solution. 28 Subsequently, emulsions at an alginate to WPI weight ratio of 0.1 to 0.9 were prepared. 29 Erythritol supplementation facilitated emulsification and increased emulsion stability, so that 30 at the erythritol concentration of 105 mg/g, the emulsion was stable for a minimum duration 31 of 7 days. The droplet size evolved and reached to $\approx 5 \,\mu m$ during this period. The 32 hydrophobized protein had a mean hydrodynamic diameter of 80 nm, ζ -potential of -39 mV, 33 and intrinsic fluorescence emission peak of 335 nm. Erythritol addition did not influence any 34 35 of the above-mentioned characteristics. However, the hydrophobized WPI solution changed from Newtonian to a more viscous and shear-thinning fluid by adding erythritol at 36 37 concentrations ≥ 105 mg/g, due probably to the induction of interaction among protein particles. A diameter of 150 nm was calculated for the air-dried hydrophobized protein 38 particles using atomic force microscopy images, supporting the assumption that exclusion of 39 erythritol from the protein particles surface induced inter-particle interactions. Erythritol 40 addition at 105 mg/g had a twofold larger influence on the surface tension of hydrophobized 41 WPI compared to water. It decreased the surface tension of hydrophobized WPI to 45 mN/m 42 after droplet ageing for 350 seconds. 43

44 **Keywords:** Emulsion; Whey protein; Water-in-water; Hydrophobicity.

45 **1. Introduction**

46 Aqueous two-phase (ATP) or water-in-water (W/W) emulsions consist of droplets of a
47 macromolecularly crowded aqueous solution, phase separated within a coexisting immiscible

aqueous phase (Dewey, Strulson, Cacace, Bevilacqua, & Keating, 2014). ATP emulsions can
be more biocompatible than oil-containing emulsions, because for instance the ATP
emulsions do not contain synthetic surfactant. The emulsions are increasingly used for diverse
objectives including bioactives delivery and synthesis of hydrogel particles (Song et al.,
2016). Recently, carboxymethyl cellulose droplets dispersed within a gelatin solution were
used for encapsulation of probiotic bacteria (Singh, Medronho, Miguel, & Esquena, 2018).

Thermodynamic incompatibility between two hydrophilic non-oppositely charged polymers 54 which causes immiscibility and segregative micro-phase separation (Esquena, 2016) is a 55 prerequisite to form ATP emulsions. It depends on variables such as pH value, ionic strength 56 (Grinberg & Tolstoguzov, 1997; Moschakis, Chantzos, Biliaderis and Dickinson, 2018) and 57 the difference between the hydrophilicity of the (bio)polymers (Grinberg & Tolstoguzov, 58 1997). The main drawback of ATP emulsions is their extremely low stability; the droplets 59 rapidly coalesce and the emulsion breaks down to macroscopically separated two layers 60 (Esquena, 2016). Stabilization of ATP emulsions is conventionally achieved through 61 emulsion gelation, so that the phase separation kinetics is arrested thanks to a fast gelation 62 rate of the continuous phase. Gelator precursors can be introduced into two immiscible 63 polymeric solutions to cause gelation when the solutions get in contact by mixing (Mytnyk et 64 al., 2017). Alternatively, interfacial accumulation of colloidal particles is used to stabilize 65 water-in-water emulsions without gelling the continuous phase (Nicolai & Murray, 2017). In 66 this approach, particles are added into the mixture of two incompatible polymer components 67 (Poortinga, 2008), partially partitioned into both phases (de Freitas, Nicolai, Chassenieux, and 68 Benyahia, 2016). Due to osmotic repulsion by both polymers, the particles are depleted from 69 the aqueous phases towards the interfacial boundary (Firoozmand, Murray, & Dickinson, 70 2009). Different types of particles including fat, latex, protein, liposomes, mineral platelets, 71 cellulose nanocrystals, and bacteria have been utilized for stabilization of ATP emulsions 72

(Ganley, Ryan, & van Duijneveldt, 2017). Finally, it is possible to enhance stability of ATP
emulsions by increasing the incompatibility between the two phases by varying the
hydrophilicities of the macromolecules and/or the quality of the aqueous solvent (Walstra,
2003). Modification of the chemical structure of proteins can influences their hydrophilicity
(Tyagi & Gupta, 1998) and allow to form ATP emulsions.

Chemical modification of proteins due to the wide array of available functional groups is easy 78 79 to perform and fascinating. It allows creating therapeutic conjugates and generating novel constructs (Spicer & Davis, 2014). Recently, we hydrophobized whey proteins, a family of 80 food-grade and commercially available globular proteins, through a procedure that consisted 81 82 of pre-acetylation and subsequent acetylation/heating combined process (Madadlou, Floury, Dupont, 2018). Herein, we report the applicability of the acetylated (hydrophobized) whey 83 proteins to form alginate-in-protein ATP emulsions. In such conditions, non-hydrophobized 84 whey proteins including native and heat-treated WPI (whey protein isolate) samples were 85 miscible with alginate. Besides, the solvent quality of the continuous phase of the emulsion 86 was modified to improve the emulsification process and increase the stability of the ATP 87 emulsion. 88

Polyols (including sugar alcohols) are known to change the solvent properties of water and 89 90 enhance hydrophobic interactions of proteins (Gekko, 1981; Cioci, 1995). They may also influence water and protein solutions surface tension (Romero & Albis, 2010). These 91 information suggested that sugar alcohols can affect the interfacial tension and phase 92 equilibrium in ATP emulsions. Sugar alcohols are reduced form of sugars with an attached 93 alcohol group in substitution to the aldehyde. Postprandially, they cause only a small change 94 in blood sugar, which is especially beneficial for diabetics. However, ingestion of high 95 amounts of these compounds can lead to bloating and diarrhea (Marcus, 2013). As an 96 exception, erythritol is well (60-90%) and rapidly absorbed in the small intestine, which 97

makes it unlikely to observe the laxative side-effects associated with excessive consumption 98 of other sugar alcohols. Erythritol is excreted intact into urine and does not enter blood 99 circulation. Therefore, its glycemic index and caloric value are 0 and 0.2 kcal/g, respectively, 100 against 100 and 3.9 kcal/g for sucrose. Erythritol is roughly 70% as sweet as sucrose with no 101 after-taste (Grembecka, 2015). In addition to the conventional application as sweetener, sugar 102 alcohols have been studied for their solubility in ionic liquids in biorefinery processes 103 (Paduszyński, Okuniewski, Domańska, 2015), as phase-change materials for waste heat 104 105 management (Nomura, Zhu, Sagara, Okinaka, & Akiyama, 2015) and as starch plasticizer and swelling inhibitory (Sun, Nan, Dai, Ji and Xiong, 2014). 106

107 The objective of the present study was to form ATP emulsions using a designed protein-rich aqueous phase, made incompatible with alginate droplets. This dispersant would be composed 108 of hydrophobized whey protein (produced using a food-grade acetylating agent i.e. acetic 109 anhydride), and erythritol, which is a low-calorie and naturally occurring zero-glycemic index 110 sweetener. Interaction of protein particles with erythritol and the influence of erythritol on 111 solvent properties were assessed by several techniques to understand how protein 112 hydrophobization and erythritol addition synergistically favored ATP emulsion formation and 113 stability. 114

115 **2. Materials and methods**

116 *2.1. Materials*

Whey protein isolate (WPI) powder was kindly gifted by Lactalis ingredients (Lactalis Group,
Bourgbarré, France). It had 90% protein, 5.1% water, 3.0% lactose, and 1.97% ash contents in
weight. Erythritol was purchased from Vita-World GmbH (Taunusstein, Germany). All
chemicals used in the current study were analytical grade.

121 2.2. Protein acetylation

WPI solution (65 mg/mL) was hydrophobized by the procedure described elsewhere 122 (Madadlou et al., 2018) with minor modifications and used for emulsification. Briefly, WPI 123 solution (65 mg/mL) was titrated with acetic anhydride at an anhydride to protein ratio of 124 ≈ 0.18 g/g. This was followed by gradual titration of the solution with 1 M NaOH to keep pH 125 above 8.0 for 30 min. After the last titration, the WPI solution (pH \approx 8.70) was heat-treated at 126 85°C for 20 min, which reduced pH to approximately 7.40. Heat-denatured (without 127 acetylation) and native (not heated nor acetylated) WPI samples at pH 8.70 were also 128 prepared and used in some experiments. The pH of the WPI samples was adjusted by either 1 129 M HCl (for native and heat-denatured samples) or NaOH (for hydrophobized sample) on to 130 8.30 ± 0.1 before emulsification. 131

132 2.3. Phase diagram

Different concentrations (0, 50, 100, 150, and 200 mg/g) of erythritol were added to the WPI 133 solutions (native, heat-denatured and hydrophobized) and dissolved by vortexing. Then, the 134 135 samples were supplemented with sodium alginate (1, 2, 3, 5, 7, 10, and 15 mg/g) and the mixtures were shaken overnight at 500 RPM and 20°C. Subsequently, the mixtures were 136 centrifuged at 17,000 \times g for 30 min and the presence or absence of a fluid-fluid interface 137 138 visible by the naked eye was noted. The phase diagram was plotted on dry basis using the triangular diagram of Extended UNIQUAC thermodynamic model (Thomsen & Rasmussen, 139 1999; Aqueous Solutions Aps, Søborg, Denmark) with Excel interface. The top and bottom 140 phases were separately collected and read at 278 nm (UV-visible spectrophotometer, model 141 UVmc2, SAFAS Monaco) to determine the protein- and alginate-rich phases. 142

143 2.4. Emulsification method

A series of preliminary tests were performed at different concentrations of WPI (35–65 mg/mL) and alginate (20–70 mg/mL), mixing speeds, mixing ratios of the two aqueous solutions, as well as various concentrations of NaCl (0, 50 and 100 mM) in the WPI solution

and several concentrations of erythritol. Accordingly, native, heated and hydrophobized (i.e. 147 acetylated + heated) WPI samples (65 mg/mL) were supplemented with different 148 concentrations (0, 52, 105 and 158 mg/g) of erythritol. Then, sodium alginate solution (30 149 mg/mL) was added as disperse phase (0.1 g) into WPI-erythritol mixed solution (0.9 g) and 150 the mixture was vortexed at 40 Hz (Bioblock Top Mix 11118, Fisher Scientific, Waltham, 151 MA, USA) for 2 min to obtain the ATP emulsion. Alternatively, the protein-polysaccharide 152 mixtures were homogenized at a high shear rate (30,000 rpm, IKA T 10 basic ULTRA-153 TURRAX, IKA[®]-Werke GmbH & Co. KG, Staufen, Germany) but no difference was 154 observed between the droplet size of emulsions prepared by either vortex agitator or high-155 speed homogenizer. Therefore, vortex agitation was used in the remainder of the study. 156

157 2.5. Protein particle diameter and electric charge

WPI samples with added erythritol were diluted 100 folds in distilled water and the
hydrodynamic diameter and ζ-potential of protein particles were measured by dynamic light
scattering (DLS) using a Zetasizer Nano ZS (Malvern Instruments Ltd., Worcestershire, UK).
A laser wavelength of 633 nm at backscattering angle of 173° was applied for size
measurements at 20°C and 38°C. The results are intensity-averaged values unless stated
otherwise.

164 2.6. Intrinsic fluorescence spectroscopy

165 The peak fluorescence emission wavelength (λ_{em}) and intensity of WPI solutions were 166 measured using a cuvette spectrofluorometer (FLX-Xenius, SAFAS Monaco, Monaco). WPI 167 samples were diluted 100 folds with distilled water, then supplemented with different 168 concentrations of erythritol, at erythritol to WPI ratios of 0:1, 3:1, 6:1, 11:1, 13:1, 21:1, 24:1, 169 30:1, and 34:1 and excited at 290 nm. Emission spectra were recorded at 300–400 nm with 170 the entrance and exit slits set at 10 nm. The fluorescence intensity of water as blank was 171 subtracted to correct background fluorescence.

172 2.7. Viscosity measurement

173 Viscosity of WPI samples was measured using a rotational viscometer with coaxial cylinders 174 system (LS 400 rheometer, Lamy Rheology, Champagne-au-Mont-d'Or, France) at 20°C. 175 Samples were sheared over the range of 2–100 s⁻¹ and the obtained data were fitted to either 176 Newtonian (R \geq 0.999) or Power law (R \geq 0.999) models to determine their respective flow 177 behavior.

178 2.8. Atomic force microscopy imaging

Five μ L of each suspension were spread over freshly cleaved mica (1×1 cm²) then put in a 179 dessicator for at least 4 days. Imaging of the dessicated samples was performed using a 180 MFP3D-BIO AFM (Asylum Research, Santa Barbara, CA, USA) operated in AC mode and at 181 room temperature, using AC240TS silicon probes (Olympus, spring constant $k \sim 2 \text{ N.m}^{-1}$, tip 182 radius ~9 nm). The typical scan rate was 0.5-1 Hz (2-20 μ m/s) for 256 \times 256 pixel images. 183 The images were typically plane-fitted at order 0 then flattened at order 1. Sections were 184 drawn across images to measure the apparent basal width and height of individual objects on 185 the images. 186

187 2.9. Surface tension measurement

Surface tension of WPI solutions was measured at 20°C by using a pendant drop tensiometer ('Tracker' from Teclis- Scientific, France). Drops of 7 μ L were formed at the tip of a syringe containing the protein solutions. The drop profile was determined by image analysis, from which the surface tension was derived. Under mechanical equilibrium of capillary and gravity forces, the Laplace equation relates the pressure difference across the interface, the surface tension and the surface curvature.

194 *2.10. Emulsion imaging*

Emulsion samples were imaged at room temperature (20°C) using a phase contrast optical
microscope equipped with an epifluorescence module (Olympus BX51TF, Olympus,

Hamburg, Germany) set at the magnification $\times 100$. The imaging was carried out at nonfluorescent (bright field light microscopy) and fluorescent modes. For fluorescent imaging, an emulsion sample (90 µL) was stained with Fast Green FCF dye (1 w/v%, 10 µL) and imaged. The mean diameter of droplets was calculated by measuring the diameter of 20-40 droplets in each micrograph with Archimed software (version 7.1.1, Microvision Instruments, Evry cedex, France).

203 2.11. Statistical analysis

Samples were fabricated at least three times and the experiments were triplicated. The results were analyzed by One-way ANOVA with SPSS software version 16 (IBM software, NY, USA) using Duncan's test at a significance level of p<0.05.

3. Results on emulsion formation and stability

Native WPI and alginate formed homogenous protein-polysaccharide solutions at any 208 concentration of erythritol (Fig. 1A), which reveals the compatibility of native whey proteins 209 and alginate in our experimental conditions. Moreover, it has been demonstrated that native 210 whey proteins cannot stabilize the interface of two immiscible aqueous solutions (Nguyen, 211 Nicolai, & Benyahia, 2013). Considering that the expected interfacial tension should be 212 extremely low between two immiscible aqueous solutions as opposed to oil-water interfaces 213 214 $(\mu N/m \text{ to be compared to } mN/m)$ (Ganley et al., 2017) and that the particle size of native whey proteins is too small (Table 1), the adsorption energy of the protein on the interface 215 remains low. Hence, native protein particles cannot stabilize ATP emulsions (Esquena, 2016). 216 217 In agreement to our findings, mixing native WPI with co-charged polysaccharides (carrageenan or pectin) did not yield ATP emulsions (Chun et al., 2014). 218

Heat-treatment of whey proteins has been shown to promote phase separation and ATP formation (Thongkaew, Hinrichs, Gibis, & Weiss, 2015), which was attributed to the mitigation of depletion flocculation, as more space is available for polysaccharide chains

between two approaching thermally-induced protein filaments than between a pair of 222 homogenous spheres of native whey proteins (Chun et al., 2014). However, alike native WPI, 223 the mixed solution of heat-treated WPI and alginate at all erythritol concentrations was 224 225 homogenous (Fig. 1A). In the current study, heat treatment did not produce long filamentous structures which are formed by heating at neutral pH (Chun et al., 2014), but yielded 226 monodisperse spherical nano-particles (Table 1, section 4.1). In contrast to native and heat-227 denatured WPIs, hydrophobized (i.e. pre-acetylated and subsequently acetylated/heated) WPI 228 solution was immiscible with alginate and formed ATP emulsion (Fig. 1B). However, the 229 dispersed phase droplets coalesced rapidly and the emulsion phase separated into two distinct 230 layers of protein and polysaccharide after 1 day. When the phase-separated samples were 231 shaken by hand, remixing occurred, which indicates that the segregative phase separation was 232 reversible. This reversibility has also been reported for a mixture of heat-denatured WPI and 233 234 polysaccharides (Chun et al., 2014).

Figure 2 illustrates the ternary phase diagram of hydrophobized WPI, alginate and erythritol. 235 The E-free and E-added WPI and alginate solutions were mixed, shaken and centrifuged. At 236 alginate concentrations between 2 and 5 mg/g WPI solution, the mixtures separated into an 237 upper phase rich in alginate and a lower phase rich in whey protein. However, at the alginate 238 concentration of 7 mg/g WPI solution, a gel-like bottom phase was formed concomitant with 239 the absence of the uppermost alginate phase. The supernatant (above the gel-like bottom 240 phase) had a lower protein concentration than the protein-rich phase of the samples phase 241 separated to two distinct liquid layers (data not reported). As well, the mass of the gel phase 242 increased with increasing alginate concentration (7–15 mg/g WPI dispersion). These indicate 243 that alginate structured to form the gel, entrapping protein. Formation of a bottom phase 244 sediment by centrifugation is advantageous at separation and purification practices using the 245 ATPS technique (Walker & Lyddiatt, 1998). A macroscopically monophasic solution was 246

obtained at the alginate concentration of 1 mg/g WPI dispersion. It is known that phase separation of protein and polysaccharide mixtures occurs only if the concentration of the biopolymers reaches relatively high values (Pacek, Ding, Nienow, & Wedd, 2000). The emulsion produced for microscopic imaging had an alginate content of 3 mg/g erythritol-free and erythritol-added hydrophobized WPI solution.

Erythritol addition into the hydrophobized WPI solution enhanced emulsion formation (Fig. 1 252 C & D). At the erythritol concentration of 52 mg/g, the droplet size was remarkably 253 heterogeneous and in addition to many small droplets ($\approx 1.0-3.0 \mu m$), a few large drops (>10 254 µm) were observed in the microscopic images (Fig. 1C). Nonetheless, at the erythritol 255 concentration of 105 mg/g, a highly stable emulsion (for at least 1 week) with less 256 heterogeneous droplets (Fig. 1D) was produced. In Fig. 1C, the partial coalescence of two 257 large drops in the 1st day of emulsion preparation is shown for the sample prepared at the 258 erythritol concentration of 52 mg/g. Whereas, at 105 mg/g erythritol content, droplets evolved 259 much slower, and the mean size of the droplets only reached 5 µm after 1 week storage at 260 20°C (Fig. 1F). The time evolution is consistent with the usual Ostwald ripening of dilute 261 emulsions (with a size R scaling with time t such as $R \sim t^{1/3}$), which indicates that diffusion 262 between the alginate droplets contributed to the droplet size growth. 263

We identified by fluorescent microscopy imaging (Fig. 1G) that whey proteins formed the continuous phase, while phase inversion and mixing of the aqueous phases did not occur over storage of the emulsion. In contrast to the opaque and milky appearance of oil-water emulsions, the ATP emulsions because of small difference in the refractive index of the two aqueous phases (Singh et al., 2018) were rather transparent. A bi-continuous ATP emulsion was obtained at the highest concentration (i.e. 158 mg/g) of erythritol (Fig. 1E).

4. Complementary results: protein particles, bulk and interfacial properties

At this stage, a variety of experiments were carried out to explain why WPI hydrophobization caused ATP emulsion formation, and how the addition of erythritol to the hydrophobized WPI solution helped to form the emulsion. However, one should keep in mind that erythritol would partition between the WPI-rich and alginate-rich phases. Protein and alginate also partition between the phases, which will result in complex multi-component phases. Therefore, the information provided here mainly address the emulsification stage and further investigations must be performed to describe the behavior of the emulsion over storage.

278 *4.1. Protein particle characteristics and viscosity*

The number-averaged particle diameter of the control native WPI was found to be approximately 2.9 nm. Heating and hydrophobization (i.e. pre-acetylation and subsequent acetylation/heating) processes resulted in formation of whey protein nano-particles of approximately 57 and 80 nm Z-average diameter (which is the intensity-weighted arithmetic average diameter of particles), respectively (Table 1). In DLS, the intensity decay rate Γ of the scattered light is used to obtain the diffusion coefficient D of freely floating particles in a suspension:

$$286 \qquad \Gamma = q^2 D$$

[Eq. 1]

where q is the modulus of scattering vector. When qR becomes substantially larger than 1, 287 relaxation of particles internal structure contributes to Γ . In the current study, qR had a 288 maximum value of 1.2, indicating that the intensity relaxation derived mainly from the 289 particles Brownian motion. However, it is noteworthy that the z-average radius is 290 underestimated at values smaller than q⁻¹., which was 38 nm in this study. There was no 291 difference between the polydispersity indices of heat-denatured and hydrophobized particles. 292 293 Results on particle size, surface hydrophobicity and electric charge, free amino groups content, and structural characteristics of the protein particles have been comprehensively 294 discussed elsewhere (Madadlou et al., 2018). Protein nano-particles made by either heating or 295

the hydrophobization process were slightly smaller at 38°C than 20°C (Table 1; p<0.05). 296 Likewise, intrinsic viscosity and voluminosity data have suggested a slight shrinkage of 297 thermally treated whey proteins upon temperature increase (Eissa, 2013). The DLS method 298 calculates the hydrodynamic diameter of particles and takes account of the hydration layer 299 (Fissan, Ristig, Kaminski, Asbach, & Epple, 2014). Enhancement of the strength of the 300 constitutive hydrophobic interactions and/or partial dehydration of the protein particles could 301 decrease their Z-average diameter at the higher temperature. On the contrary, the mean 302 particle size of native whey proteins increased at 38°C in comparison to the ambient 303 condition. Increasing temperature to 38°C approximately doubled the particle size of native 304 whey proteins (Table 1; p <0.05). We propose that hydrophobic interactions among the 305 partially unfolded protein monomers (in the alkaline conditions) were enhanced at the higher 306 temperature, resulting in dimer and multimer formation. 307

Sugar alcohols may interact with amino acids through hydrophilic-ionic (between -OH 308 309 groups of sugar alcohol and NH_3^+ and COO^- groups of amino acids), hydrophobichydrophobic (between *CH* groups of sugar alcohols and non-polar side chains of amino acids) 310 and hydrophilic-hydrophobic interactions (Ali & Bidhuri, 2013). Nonetheless, erythritol 311 addition did not influence the Z-average diameter, polydispersity index and ζ-potential of 312 whey protein nano-particles at either 20°C or 38°C (Table 1), which suggests that erythritol 313 did not interact electrostatically with WPI particles. As well, the intrinsic fluorescence 314 emission peak (λ_{em}) and intensity of WPI samples were not influenced by erythritol addition 315 at different ratios of erythritol to WPI (Table 2). The shift of λ_{em} from 329 nm to 334 nm due 316 to heat treatment has been ascribed to whey protein denaturation and increased exposure of 317 Trp residues. Also, the bathochromic shift of λ_{max} due to hydrophobization was ascribed to a 318 less compact tertiary conformation of acetylated protein (Madadlou et al., 2018). 319 Fluorescence spectroscopy is a sensitive and rapid method to study molecular interactions 320

involving proteins. Measurement of intrinsic fluorescence quenching of a protein by ligands 321 allows assessment of the accessibility of quenchers to fluorophore groups of the protein, 322 mediated largely by hydrophobic forces (Zhang, Que, Pan, & Guo, 2008). Hydrophobic 323 interaction between a ligand and a protein decreases fluorescence intensity and may cause a 324 blue shift in the emission wavelength (Cui, Fan, Li, & Hu, 2004). The unchanged λ_{em} and 325 intensity (Table 2) indicates that erythritol did not interact hydrophobically with WPI 326 particles. In addition to concluding no interaction between erythritol and WPI particles, one 327 may assume (based on the DLS and intrinsic fluorescence results) that erythritol addition did 328 not influence interaction amongst the protein particles. Nonetheless, it is worth mentioning 329 that samples were diluted before DLS measurements; thus, the results do not reflect the 330 possible effect of erythritol on supramolecular organization of protein particles at a high WPI 331 concentration. Therefore, viscosity measurement was also used to probe the organization of 332 333 protein particles in non-diluted conditions.

As tabulated (Table 1), the viscosities of all WPI (native, heat-treated and hydrophobized) samples without added erythritol were independent from shear rate, indicating that the samples were Newtonian fluids. Identical rheological behavior has been reported for whey protein concentrate (WPC) solutions (Benoit, Nor Afizah, Ruttarattanamongkol, & Rizvi, 2013). Heat treatment and hydrophobization increased the viscosity of WPI solution, due to formation of larger nano-particles compared to native whey proteins (Table 1).

The flow property of WPI solutions was affected by erythritol addition (Table 1). Erythritol addition (at 105 mg/g) caused an approximately 1.3-fold increase of the viscosity of native and heat-treated WPI solutions but the samples kept a Newtonian behavior. Similarly, the hydrophobized WPI solution with the lowest concentration of added erythritol (52 mg/g) had Newtonian flow behavior and exhibited a higher viscosity than the counterpart without erythritol. In contrast, addition of erythritol to hydrophobized WPI solution at concentrations

of ≥ 105 mg/g, changed the solution to a non-Newtonian fluid (Table 1). Although the 346 viscosity of hydrophobized WPI with 105 mg/g erythritol decreased with increasing shear rate 347 (Fig. 3), it always (at any shear rate) had a significantly higher viscosity than the native and 348 heat-treated samples with a comparable concentration of added erythritol. The shear thinning 349 property of the hydrophobized WPI solutions with $\geq 105 \text{ mg/g}$ erythritol was weak (Won & 350 Kim, 2004). Non-ionic low-molecular weight osmolytes such as erythritol alter solvent 351 features and may cause steric crowding of biomacromolecules such as proteins due to 352 osmolytes exclusion from the protein surface (Ferreira, Breydo, Reichardt, Uversky & 353 Zaslavsky, 2016). It is also known that high concentrations of solutes because of excluding 354 water modulate protein-protein binding (Hassan & Steinbach, 2011). We hypothesize that 355 erythritol addition at a sufficiently high concentration (i.e. $\geq 105 \text{ mg/g}$) into WPI solution 356 caused macromolecular crowding of proteins, inducing interaction between hydrophobized 357 358 WPI nano-particles through their acetyl moieties. Direct interaction of solute (i.e. erythritol) with proteins was not necessary to enhance the hydrophobic effect (Eggers & Valentine, 359 360 2001). The interactions between protein particles impaired the movement of water solvent at low shear rates. Likewise, reduction of the micellization concentration of amphiphiles by 361 sugars has been ascribed to the enhancement of hydrophobic interactions (Kabir-ud-Din, 362 Khan, & Navqi, 2012). 363

364 4.2. AFM imaging

Although erythritol did not directly interact with WPI particles, it was hypothesized (from the viscosity measurement results) that at the erythritol concentration of 105 mg/g, interactions between hydrophobized protein particles were enhanced. AFM was applied to visualize protein particles and acquire information about the influence of erythritol on the morphology and association of WPI particles. Where erythritol (E) and native WPI (N) was deposited on mica, the rugosity indicates some material on the mica; therefore, both erythritol and WPI

were adsorbed (Fig. 4, comparing E or N with mica) and no further step was required for the
successful immobilization of the objects.

Air-dried erythritol formed thick crystal-like structures of irregular shape and rugosity of tens 373 of nm order of magnitude (Fig. 4E). Similar irregular surfaces containing areas with pits and 374 asperities have been observed by tapping mode AFM imaging of lactose monohydrate and 375 erythritol (Traini, Young, Jones, Edge, & Price, 2006). Native WPI deposits showed rather 376 low rugosities (~2 nm; Fig. 4N) in agreement with other reports (Ikeda & Morris, 2002; 377 Demanèche, Chapel, Monrozier, Quiquampoix, 2009; Touhami & Dutscher 2008). Rugosity 378 of the WPI layer increased with heat denaturation and hydrophobization (acetylation/heating) 379 to reach 4-5 nm (Fig. 4H and A/H). In the AFM images, the dimensions of heat-denatured and 380 hydrophobized WPIs without erythritol (Fig. 4H and A/H) were much smaller than their 381 corresponding Z-average diameter (Table 1). Likewise, considerably shorter heights (only 11 382 383 nm) were estimated using AFM images for whey protein aggregates formed by heating than what was expected from the results of light scattering studies (Ikeda, 2003). Ikeda & Morris 384 385 (2002) argued that vertical compression of proteins by the scanning probe of the microscope accounts for the height reduction (approximately 40%) of native β -lactoglobulin particles. In 386 addition to the probe compression, particle deformation upon adsorption onto the mica can 387 decrease the height and increase the width of protein particles, especially that there was no 388 covalent binding (Silva, Bahri, Guyomarc'h, Beaucher, & Gaucheron, 2015). Finally, 389 dehydration of samples before AFM imaging probably shrunk the WPI particles. It is worth 390 mentioning that particles of hydrophobized WPI were more distinguishable at AFM images 391 than heat-denatured and native WPI particles (Fig. 4N, H and A/H). This suggests that 392 hydrophobized particles could retain their shape despite compression and flattening, and were 393 comparatively firmer than the other two samples. 394

A greater rugosity is observed for each sample in the presence of erythritol compared to 395 erythritol-free conditions (Fig. 4, compare N with N+E, H with H+E and A/H with A/H+E). 396 We argue that some erythritol scaffolds the WPI particles, helping them to retain their shape 397 upon drying. This hypothesis resembles the vitrification mechanism according which, an 398 amorphous glassy matrix is formed by sugars around proteins during drying, resulting in 399 preservation of the protein structure (Mensink, Frijlink, Maarschalk, & Hinrichs, 2017). Using 400 the visible height and width of individual particles seen on the images, the volume of the 401 corresponding spherical cap was calculated, from which the diameter of a sphere of 402 equivalent volume was deduced (Silva et al., 2015). A diameter value of 10-20 nm was 403 obtained for erythritol-added native (N+E) and heat-denatured (H+E) samples, against 150 404 nm for A/H+E (i.e. erythritol-added hydrophobized WPI). The latter is larger than the 405 corresponding Z-average diameter of the hydrophobized particles (Table 1) and may indicate 406 407 aggregates of particles, supporting our presumption that particles interacted by their acetyl moieties. 408

409 *4.3. Surface tension of WPI solutions*

Data from the surface tension measurements are represented in Figure 5. Pure water 410 (containing NaN₃ at 100 mg/L to match the composition of WPI samples) had a surface 411 tension of approximately 73.1 mN/m. All WPI samples showed an initial rapid reduction in 412 surface tension, followed by a gradual decrease over time. It might require time periods as 413 long as 120 min to reach a constant surface tension value by protein solutions. Proteins 414 diffuse to the surface of freshly formed droplets, and then undergo conformational changes 415 and structural rearrangements (Kitabatake & Doi, 1982) to increase the number of contact 416 points at the air/water interface (Schröder, Berton-Carabin, Venema, & Cornacchia, 2017) 417 during surface aging, which reduces surface tension. The results (Fig. 5) obtained for native 418 419 and heat-denatured WPI samples are typical for proteins (Nylander, Hamraoui, & Paulsson,

1999). Heat-denaturation and hydrophobization decreased the surface tension of WPI 420 solution. The liquid-air interface is indeed a very hydrophobic surface (Chanasattru, Decker, 421 & McClements, 2008); hence, a lower surface tension value indicates a higher degree of 422 protein hydrophobicity. A correlation between surface activity and surface hydrophobicity has 423 already been observed for whey proteins (Schröder et al., 2017) and as expected, 424 hydrophobization (i.e. pre-acetylation and subsequent acetylation/heating combined process) 425 that caused a more significant increase in surface hydrophobicity of whey proteins than only 426 heating (Madadlou et al., 2018a) resulted in a greater reduction of surface tension. The surface 427 tension of hydrophobized WPI sample was approximately 48 mN/m after ageing of droplet 428 for 350 seconds. The results suggest that hydrophobized protein particles could adsorb to the 429 WPI-alginate interface, forming a layer that decreased the alginate droplets coalescence. 430

Erythritol addition to water (105 mg/g) caused a slight reduction of surface tension from about 73 mN/m to 71.5 (Fig. 5), meaning that erythritol has a low surface activity. Similarly, Yamada, Fukusako, Kawanami, Sawada, and Horibe (1997) observed that D-sorbitol (100 mg/g) decreased the surface tension of water from \approx 73 mN/m to 70.1 mN/m at 20°C. Evacuation of a number of erythritol molecules to the air-water interface due to the imperfect fitting of the solute molecules within the tetrahedral structure of water (Brini et al., 2017) explains the observed result.

Erythritol addition decreased the surface tension of native and heat-treated samples to approximately that of hydrophobized WPI solution without added erythritol. As well, for the hydrophobized WPI sample, we found that the higher the erythritol content, the lower the surface tension (Fig. 5), so that, the surface tension values of hydrophobized WPIs with 105 and 158 mg/g erythritol were about 45 and 43.5 mN/m, respectively after ageing of droplet for 350 seconds. Therefore, the surface tension of the hydrophobized WPI solution decreased by a value of 3 mN/m as a consequence of adding erythritol at 105 mg/g concentration, which is

an effect twice larger that what erythritol does on its own at the interface. Thus, the decrease 445 of surface tension, when erythritol is added, cannot be ascribed to a simple co-adsorption of 446 erythritol at the interface, together with whey proteins. As for viscosity, erythritol modify the 447 interaction between particles, providing structures more hydrophobic. When a cosolvent is 448 added to an aqueous protein solution, chemical potentials of the cosolvent and protein are 449 mutually perturbed. Osmolytes such as sugars and polyols show a greater affinity to water; 450 thus, they are preferentially excluded from protein surface. This causes a negative 451 perturbation of the chemical potential of protein (Timasheff, 2002) which increases free 452 energy and renders system thermodynamically more unfavorable. As the driving force of 453 protein adsorption to interface is the chemical potential gradient between the bulk and 454 interface, an increase in the chemical potential of the proteins in solution increases the 455 adsorption gradient, which can led to an increased adsorbed amount of the protein onto air-456 457 water interface (Abbas, Sharma, Patapoff, & Kalonia, 2012).

The dynamic surface tension of the WPI solution with added erythritol at the concentration of 458 158 mg/g was lower than that of the WPI sample with 105 mg/g erythritol during the whole 459 period of droplet aging. Nonetheless, the former failed to form an alginate-in-WPI emulsion 460 with discrete dispersed droplets (Fig. 1). Therefore, lower and lower surface tensions are not 461 the only requirements to make long-living emulsion. We argue that a balance between 462 viscosity and surface tension was essential to produce an ATP emulsion. The significantly 463 high viscosity of the WPI sample containing 158 mg/g erythritol prevented the Rayleigh-464 Plateau instability, which usually leads to discrete droplets. Indeed, surface tension has to be 465 balanced by the viscous effects, which act during the emulsification. 466

We made an effort to measure the interfacial tension between the alginate and hydrophobized WPI phases. However, the experiment failed because the alginate solution injected into the hydrophobized WPI could not provide a typical shape, resulting from the balance between

470 surface tension and gravity (Fig. 6). The shape of rising or pending drops moving is
471 characterized by the Bond number, which measures the balance between these interfacial and
472 gravitational forces:

$$Bo = \frac{\Delta \rho g L^2}{\gamma}$$
[Eq. 2]

474 where $\Delta \rho$, g, L² and γ are density difference between the disperse and surrounding phases 475 (kg/m³), gravitational acceleration (m/s²), characteristic length (m), and interfacial tension 476 (N/m), respectively.

The needle of the pendant drop tensiometer used in the current study had a section of 1 mm. 477 Hence, the drops had a typical length scale L of 1 mm. At this scale, gravity turns out to be 478 479 too strong to be balanced by surface tension, meaning that the interfacial tension of the two aqueous phases has to be in the range of μ N/m, rather than the usual mN/m for oil-water 480 emulsions. As a consequence, no stable drops could be made and the lighter fluid (i.e. 481 alginate) simply raised upward. Nonetheless, the apparatus allowed us to observe that the two 482 fluids were not spontaneously miscible; one can identify an interface between them (Fig. 6). 483 The observed time evolution (Fig. 1F) consistent with the classical Ostwald ripening, is also 484 an indirect signature of the existence of the interfacial tension between WPI and alginate (in 485 the presence of erythritol). During emulsion formation, as the typical length scale of the 486 487 generated drops was µm, the influence of interfacial tension was recovered. Indeed, the relevant quantity to estimate is γ/L , rather than only γ itself. 488

489 The interfacial stresses can also be compared to viscous ones by the Capillary number:

$$Ca = \frac{\mu V}{\gamma}$$
[Eq. 3]

where μ and V are the fluid dynamic viscosity and velocity, respectively. It is observed in Fig.
1E that when the interfacial stresses are too low compared to the viscous component, the

impact of having an interfacial tension vanishes and spherical drops (which are the signature
that surface tension plays a role) could not be created. Instead, in a viscous-dominated regime,
the elongated ligaments were formed. Lastly, we want to point out that the measurements of
the surface tension between WPI and air was still helpful, as it gave us important information
on how the hydrophobicity depended on the experimental conditions (presence of erythritol,
concentration, etc.).

499 **4. Summary and conclusion**

500 Hydrophobization of whey proteins was found effective to cause immiscibility of WPI solution with alginate solution, but the resulting W/W emulsion was destabilized within 24 h. 501 Addition of erythritol strongly helped the emulsification and increased the stability of the 502 503 resulting emulsion. At the first glance, erythritol does not interact with WPI particles. However, some further works are needed to fully understand the influence of erythritol on 504 WPI particles. It was concluded that erythritol addition into WPI solution enhanced 505 emulsification by a further increase of the hydrophobicity of the WPI particles, promoting 506 phase separation and the occurrence of a non-zero (but extremely low) surface tension 507 508 between the two phases. It also improved the emulsion stability by reducing droplets coalescence due mainly to increased viscosity. Nonetheless, a balance between viscosity of 509 the continuous phase (WPI solution) and the interfacial tension was essential to accomplish 510 efficient emulsification because higher viscosities of the WPI phase prevented the 511 miniaturization of alginate phase. The developed ATP emulsion can serve as a delivery 512 vehicle of bioactive compounds and other ingredients to diabetics, obese and elderly people 513 because it does not contain oil and absorbable sweetener. 514

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Table 1. Particle size and ζ -potential of protein particles and the viscosity ((at 20°C and between 2-100 s ⁻¹) of whey	protein isolate (WPI)
samples.		

WPI sample ¹ + erythritol	Particle size; PDI		ζ-potential	Flow behavior	Viscosity (mPas)
(mg/g) content	20°C	38°C	(mV)		
N + 0	2.9 ± 0.3	6.4 ± 1.4	$-26.1^{\circ} \pm 2.6$	Newtonian	$1.47^{h} \pm 0.1$
N + 105	2.9 ± 0.2	6.1 ± 1.8	$-25.9^{\circ} \pm 2.9$	Newtonian	$1.92^{g} \pm 0.1$
H + 0	$62.1^{cd} \pm 1.2; 0.24$	$60.1^{de} \pm 1.41; 0.23$	$-34.8^{b} \pm 1.1$	Newtonian	$2.07^{\rm f} \pm 0.1$
H + 105	$62.7^{\circ} \pm 1.6; 0.24$	$58.5^{e} \pm 1.77; 0.21$	$-33.9^{\rm b} \pm 2.0$	Newtonian	$2.72^{e} \pm 0.1$
A/H + 0	$87.2^{a} \pm 3.1; 0.23$	$82.6^{b} \pm 2.6; 0.22$	$-40.2^{a} \pm 1.9$	Newtonian	$4.90^{b} \pm 0.15$
A/H + 52	$88.4^{a} \pm 2.0; 0.22$	$82.6^{b} \pm 1.7; 0.23$	$-40.4^{a} \pm 2.4$	Newtonian	$5.23^{a} \pm 0.1$
A/H+ 105	88.9 ^a ±1.5; 0.23	$82.9^{b} \pm 2.0; 0.22$	$-40.8^{a} \pm 1.6$	Shear-thinning	See Fig. 3
A/H + 158	$89.6^{a} \pm 1.5; 0.23$	$83.1^{b} \pm 0.8; 0.23$	$-40.2^{a} \pm 1.2$	Shear-thinning	See Fig. 3

A CA

¹ N = Native; H = Heated; A/H = Acetylated/heated (hydrophobized) WPI samples. Means with different superscripts within a column differ significantly (p< 0.05).

Table 2. Intrinsic fluorescence emission peak (λ_{em}) and intensity of whey protein isolate (WPI) samples mixed with erythritol (E) at different ratios of E to WPI between 0:1 and 34:1.

WPI sample ¹ + erythritol (w/w%) content	λ_{em} (nm)	Emission intensity (A.U.)
N + 0	329 ± 0	44.1 ±1.2
N + E	329 ± 0	44.3 ±1.6
H + 0	334 ± 0	13.7 ± 0.3
H + E	334 ± 0	14.4 ± 1.0
A/H + 0	335 ± 0	25.5 ±0.9
A/H + E	335 ± 0	23.6 ±0.8

 1 N = Native; H = Heated; A/H = Acetylated/heated (hydrophobized) WPI samples.

Figure captions

Fig. 1. Light microscopy images of alginate (30 mg/mL) and whey protein isolate (WPI) mixed solutions with added erythritol. All samples contained alginate solution at 10 w/w %.

The image A is an exemplar image showing homogenous solutions of alginate and either native or heat-denatured WPI supplemented with erythritol (0–158 mg/g).

The images B, C, D and E show emulsions of alginate-in-hydrophobized (acetylated and heat-treated) WPI containing 0, 52, 105 and 158 mg/g erythritol, respectively.

The image F plots droplet size of the alginate-in-hydrophobized WPI emulsion with 105 mg/g added erythritol over storage for 1 week and the solid line is a power law fit (t^a), and the fitting exponent is a = 0.35.

The image G shows exemplar epi-fluorescent microscopy images of the emulsion containing 105 mg/g erythritol at day 7.

Fig. 2. Phase diagram of hydrophobized protein (acetylated and heat-treated whey protein isolate), sodium alginate and erythritol after centrifugation. The hatched area confining the circles identifies combinations of the components that yielded immiscible liquid-liquid phases. The cubes indicate the combinations that yielded a gel-like bottom phase and a liquid supernatant phase, and the triangle indicates the combination that yielded a mono-phasic solution. The phase diagram was plotted on dry basis.

Fig. 3. Viscosity vs. shear rate ($\dot{\gamma}$) of hydrophobized whey protein isolate (A/H WPI) solutions supplemented with erythritol at 105 mg/g and 158 mg/g WPI solution. The measurement were carried out at 20°C; lines are power law fits, providing the values of *n* and K (respectively, the flow index and the consistency coefficient of the samples).

Fig. 4. Atomic force microscopy images. Aspect of dessicated deposits of native (N), heated (H) and acetylated/heated (A/H) whey protein samples (65 g/L) spread onto mica (shown as control image), in absence (left) and presence (right) of 105 mg/g erythritol (E). Typical 2D and 3D topographical images as well as a typical 1- μ m cross-section are shown for each type of sample. Mind the scales which can differ, especially for E and A/H+E.

Fig. 5. Surface tension of whey prtoein isolate (WPI) solutions as a function of time. N, H and A/H abbreviate native, heat-denatured and hydrophobized (acetylated/heated) WPI samples, respectively and the values report the concentration of erythritol (mg/g) addedd into WPI samples.

Fi. 6. The image of an alginate drop injected by a needle of the pendant drop tensiometer into the hydrophobized whey protein isolate (WPI) phase.





Figure 1.





Figure 3.



Figure 4.





Figure 6.

