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Meta-modeling methods for estimating ammonia volatilization from nitrogen fertilizer and manure applications

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20 Abstract

Accurate estimations of ammonia (NH₃) emissions due to nitrogen (N) fertilization are 21 required to identify efficient mitigation techniques and improve agricultural practices. Process-based 22 23 models such as Volt'Air can be used for this purpose because they incorporate the effects of several key factors influencing NH₃ volatilization at fine spatio-temporal resolutions. However, these 24 models require a large number of input variables and their implementation on a large scale requires 25 long computation times that may restrict their use by public environmental agencies. In this study, 26 we assess the capabilities of various types of meta-models to emulate the complex process-based 27 28 Volt'Air for estimating NH₃ emission rates from N fertilizer and manure applications. Meta-models were developed for three types of fertilizer (N solution, cattle farmyard manure, and pig slurry) for 29 four major agricultural French regions (Bretagne, Champagne-Ardenne, Ile-de-France, and Rhône-30 31 Alpes) and at the national (France) scale. The meta-models were developed from 106,092 NH₃ emissions simulated by Volt'Air in France. Their performances were evaluated by cross-validation, 32 33 and the meta-models providing the best approximation of the original model were selected. The results showed that random forest and ordinary linear regression models were more accurate than 34 35 generalized additive models, partial least squares regressions, and least absolute shrinkage and 36 selection operator regressions. Better approximations of Volt'Air simulations were obtained for cattle farmyard manure (3% < relative root mean square error of prediction (RRMSEP) < 8%) than 37 for pig slurry (17% < RRMSEP < 19%) and N solution (21% < RRMSEP < 40%). The selected 38 39 meta-models included between 6 and 15 input variables related to weather conditions, soil properties and cultural practices. Because of their simplicity and their short computation time, our meta-models 40 41 offer a promising alternative to process-based models for NH₃ emission inventories at both regional and national scales. Our approach could be implemented to emulate other process-based models in 42 other countries. 43

44 Keywords:

45 Meta-modeling, Volt'Air, ammonia emission, fertilization, process-based model.

46

47 **1. INTRODUCTION**

In France, 98% of ammonia (NH₃) is emitted by the agricultural sector of which 35% is due 48 to the application of nitrogen (N) fertilization and 65% to manure management including manure 49 spreading (CITEPA, 2017a). A better estimation of the quantities of NH₃ emitted is necessary to 50 51 define effective strategies for reducing emissions at national and regional levels, and mitigating the potential negative impact of NH₃ on human health (Moldanová et al., 2011) and ecosystems 52 53 (Bobbink et al., 1998; IPCC, 2006). Accurate NH₃ volatilization estimations are also required for improved fertilization management and better adjustment of N fertilization to soil and climate 54 characteristics (Dupas et al., 2015; Parnaudeau et al., 2012). 55

Currently, the French national inventories of NH₃ emissions use the default Tier 2 emission 56 factors (EF) proposed by the EMEP Guidebook (CITEPA, 2017; EMEP/EEA, 2016a, b). Tier 2 EF 57 do not take into account national specificities such as agricultural practices that may differ between 58 countries. EF values were estimated from an international literature review of experimental 59 60 measurements of volatilization collected in different conditions (EMEP/EEA, 2016a, b) and with various measurement methods (Sintermann et al., 2012). Process-based models represent an 61 62 interesting alternative by describing the effect of different factors on NH₃ volatilization. They can be 63 used to calculate EF for different regions with different pedo-climatic conditions and various types 64 of fertilizer, as shown by Ramanantenasoa et al. (2018). In France, Volt'Air was developed to predict NH₃ volatilization after N fertilizer and manure applications. This model incorporates the 65 66 effect of the main agronomic, soil and meteorological factors influencing NH₃ volatilization in agricultural fields (EMEP/EEA, 2016a, b; Garcia et al., 2012; Génermont and Cellier, 1997; 67

Huijsmans et al., 2014; Le Cadre, 2004; Smith et al., 2009; Sommer et al., 2003). However, Volt'Air
includes a rather large number of input variables and its implementation on a large scale requires
long computation times that may restrict its use by public environmental agencies.

71 In this study, we emulate the Volt'Air process-based model using simple statistical metamodels. A meta-model is a model of a model. It may be seen as a simplified version of the original 72 73 model. A meta-model may be run as a substitute for the original complex model when that model 74 cannot be conveniently used, such as when the number of inputs in the original model is high, when 75 these inputs cannot be easily measured, or when the computation time of the original model is too high for some applications. Meta-models are currently used in various research areas, for example, 76 77 in hydrology (Razavi et al., 2012), ecology (Conti and O'Hagan, 2010) and agronomy (Makowski et al., 2015; Britz and Leip, 2009). However, not many publications describe meta-models for 78 estimating emissions of gas produced by agricultural practices, such as nitrous oxide (N_2O) 79 80 emissions (Giltrap and Ausseil, 2016; Villa-Vialaneix et al., 2012) and CH₄ emissions (Katayanagi et al., 2016). Regarding NH₃ emissions, this study is the first attempt to develop meta-models 81 82 emulating an NH₃ volatilization process-based model as complex as Volt'Air.

Here we present an approach to producing meta-models used as surrogates of the Volt'Air 83 84 process-based model for estimating N emission rates after mineral fertilizer and manure applications in France. We develop and compare a large number of meta-models based on different statistical 85 86 methods and on different sets of input variables for three types of fertilizer (N solution, pig slurry and cattle farmyard manure (FYM)) with average agronomic properties, at regional and national 87 scales. All developed meta-models are fitted to a set of NH₃ emissions simulated by the Volt'Air 88 89 process-based model. The meta-models are evaluated by cross-validation for their ability to approximate the emissions simulated by Volt'Air, and the results are used to select parsimonious 90 91 meta-models providing a good approximation of Volt'Air.

92 **2. MATERIALS AND METHODS**

93 **2.1.** The Volt'Air model

Volt'Air is a process-based model predicting NH₃ emissions after N fertilization and manure 94 applications on bare soils. It explicitly describes the physical and chemical equilibria between the 95 various types of ammoniacal N (N as NH4⁺ and NH3) using Henry's law for air/water equilibrium, 96 the acid-base equilibrium for ionic dissociation and the Freundlich adsorption equation for soil 97 minerals and organic matter. This model takes into account the effect of agricultural, soil and 98 99 meteorological factors on NH₃ volatilization (Garcia et al., 2011; Garcia et al., 2012; Génermont and Cellier, 1997; Voylokov et al., 2014; Le Cadre, 2004). It simulates NH₃ emissions following 100 applications of organic manures, such as typical slurries and farmyard manure, and mineral 101 102 fertilizers, such as ammonium nitrate and urea in granular form as well as N solutions. Volt'Air runs at an hourly time step and at field scale for a period of several weeks, i.e., covering the duration of 103 the volatilization event after fertilizer application. A more detailed description of the model may be 104 found in Génermont and Cellier (1997) and Garcia et al. (2012). 105

Here we consider a single model output: the N emission rate over 30 days after fertilizer application, i.e., the cumulative N emission over 30 days after fertilizer application divided by N fertilizer dose. In most cases, volatilization simulated using Volt'Air is complete 30 days after the date of fertilizer application, even for mineral fertilizers showing longer volatilization periods compared to organic manure (Theobald et al., 2014). Volt'Air can be run for real or virtual fields, with an average computation time of 32 s per simulation using a Dell precision T7910 workstation with two Intel Xeon 2.4 GHz processors (20 cores) and 128 GB RAM.

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2.2. Simulated datasets used to develop the meta-models

The dataset used to develop the meta-models includes 106,092 NH₃ emission simulations
performed within the CADASTRE_NH₃ framework using the Volt'Air model (Ramanantenasoa et

al., 2018). These simulations encompass three types of fertilizer, five geographical areas, andweather conditions corresponding to three contrasted crop years.

Simulation units of CADASTRE_NH₃ are the Small Agricultural Areas (SAR): a SAR is considered to be geographical entity with homogeneous characteristics of agricultural activities, weather and soil conditions (http://agreste.agriculture.gouv.fr). SAR sizes range from 1,096 to 440,650 ha, and the number of SAR per region varies from 6 to 74. Within our dataset, each SAR is characterized each year by the area of the cultivated crop species, the weather conditions, and soil types. Fertilization management is characterized at the regional scale.

124 Areas of cultivated crop species were derived from the European Land Parcel Identification System for France (Martin et al., 2014). Data on weather conditions were provided by the French 125 126 national meteorological service "Météo-France". Data on the spatial distribution of soils were 127 derived from the European Soil DataBase (ESDB) (Panagos et al., 2012) and the soil properties were derived from the Harmonized World Soil Database (FAO et al., 2012). Data on cultural 128 129 practices were derived from the survey conducted in 2005-06 by the Service de la Statistique et de la 130 Prospective of the French Ministry of Agriculture, for 10 main arable crops (soft wheat, durum wheat, barley, grain maize, forage maize, oilseed rape, sunflower, peas, sugar beet and potato) and 131 two types of grasslands (grass leys and intensive permanent grasslands) in 21 French regions (Corse 132 region was excluded) (AGRESTE, 2006), following the methodology of Mignolet et al. (2007). 133 Fertilization was defined as a succession of fertilizations on a given crop species. Each fertilizer 134 135 application was characterized by the date of application, type of fertilizer (3 mineral fertilizers: ammonium nitrate, N solution, urea; 5 organic manure: cattle farm yard manure (FYM), cattle 136 slurry, sheep FYM, pig slurry, and vinasse), and dose applied. For the simulations with Volt'Air, 137 138 fertilizers were assumed to be applied on the surface without abatement techniques, at a single time, 8:00 am UTC. 139

Each simulation covered the cumulative NH₃ emissions over 30 days after a specific fertilizer application on a specific SAR*crop*fertilization*year combination. A more detailed description of the dataset and of the simulation procedure may be found in Ramanantenasoa et al. (2018). Part of the dataset including the simulations of Volt'Air was made freely available in the related data paper (Génermont et al., 2018).

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Meta-models were developed separately for each type of fertilizer. The three selected fertilizers (N solution, cattle FYM, and pig slurry) were among the most applied fertilizers in France in 2005-06; N solution accounted for 38% of N applications from simple mineral N fertilizers (UNIFA, 2015); cattle FYM and pig slurry accounted for 82% and 7% of N applications from organic fertilizers, respectively (AGRESTE, 2006, 2010).

151 Meta-models were developed separately for the national and the regional scales. Four French regions characterized by contrasting agricultural, climatic and soil conditions were selected; the 152 North-Western Bretagne region is characterized by a high use of organic manure due to the 153 154 dominance of livestock farming, an oceanic temperate climate, and acidic soils; the North-Eastern Champagne-Ardenne region is a major arable crop farming area, encountering a semi-continental 155 temperate climate, with a dominance of alkaline soils; Ile-de-France, located in the North Center of 156 France, is an arable crop farming area, with soil and weather conditions in-between these two former 157 regions; the South-Eastern Rhône-Alpes region is a more agriculturally diverse region, with 158 159 southern semi-continental climatic conditions.

In order to capture the possible effect of weather variables between years, weather conditions of two contrasting crop years were added: 2007-08 and 2010-11. Crop year 2005-06 was characterized by a dry spring and a hot summer (AGRESTE, 2010); 2007-08 enjoyed favorable conditions for crop growth, i.e., a humid spring with temperatures close to the seasonal average and a hot summer with rainfall close to the seasonal average (JRC, 2008); 2010-11 was characterized by

a very dry, hot spring and a rainy summer (AGRESTE, 2014). The same sites and cultural practices
were analyzed for the three years; only the weather conditions were changed.

167 The sizes of national and regional datasets per fertilizer type and per geographical area and 168 the numbers of SAR per geographical area are given in Table 1. Note that no simulation was 169 available for pig slurry in Champagne-Ardenne, Ile-de-France and Rhône-Alpes. This type of 170 fertilizer is barely used in these three regions.

Table 1: Numbers of simulations of the Volt'Air model in the national and regional datasets andnumbers of SARs per geographical area

Type of fertilizer		France	Bretagne	Champagne- Ardenne	Ile-de- France	Rhône- Alpes
N solution	Number of simulations	70,299	2,388	3,852	5,286	6,951
	Share of total (%)	100	3	5	8	10
Cattle FYM	Number of simulations	13,725	147	804	558	1,452
	Share of total (%)	100	1	6	4	11
Pig slurry	Number of simulations	405	225	0	0	0
<i>c i</i>	Share of total (%)	100	56	0	0	0
Total number of simulations		84,429	2,760	4,656	5,844	8,403
Total number	of SARs	706	25	34	36	76

173 **2.3.** Inputs of the meta-models

A total of 16 original input variables were chosen from all the Volt'Air input variables for 174 175 meta-modeling (Table 2) because they were expected to have an impact on NH₃ volatilization and showed a wide range of variation in the data sources. Some of these 16 inputs were not taken into 176 account in a few fertilizer * region combinations considered. Thus, Dose of application was not used 177 178 for cattle FYM and pig slurry because its range of variation for these two types of fertilizer was too narrow. Bulk density was not used for Bretagne, for the same reason. Note that variables describing 179 fertilizer and manure properties were not considered here because specific meta-models were 180 developed for each fertilizer separately. 181

182 The variables describing geographical location, soil and cultural practices were not time-183 dependent. In contrast, all variables describing weather conditions were defined for 23 different 184 time-periods:

- 10 different daily periods; 1st (i.e., the day of fertilizer application), 2nd, 3rd, 4th, 5th, 6th, 7th,
 8th, 9th and 10th days following fertilizer application.
- 187 10 different cumulative periods; 2, 3, 4, 5, 6, 7, 8, 9, 20 and 30 days following fertilizer
 188 application.
- three ten-day periods; 1st, 2nd and 3rd, from the 1st to the 10th day, from the 11th to the 20th
 day, from the 21th to the 30th day respectively following fertilizer application,.

For each period, the values for *Mean air temperature*, *Relative air humidity*, *Incident solar radiation*, and *Wind speed* were averaged over the period considered. The values for *Minimum air temperature* and *Maximum air temperature* were set at the minimum and maximum air temperature over the period. The values for *Cumulative rainfall* were set at the sum of rainfall over the timeperiods considered. A separate meta-model was constructed for each time-period.

196 Two additional non-weather related variables were considered to account for regional effects 197 on volatilization; the categorical variable *Region* and the continuous variable *Longitude*. Note that 198 the continuous variablen *Latitude* is a Volt'Air variable. With these three variables, we were able to 199 produce a unique meta-model per fertilizer type at the France scale while accounting for regional 200 specificities.

201 Table 2: List of input variables of the meta-models

Category	Variable	Unit
	- Latitude	0
Location of site	- Longitude	0
	- Region ^(a)	Categorical variable
N fertilization	- Date of N application	- Number of days from
		origin 01/01/2005
management	- Dose of application ^(b)	- kg N.ha ⁻¹

	- pH	
	- Bulk density	- kg.m ⁻³
C - 11	- Silt content	- g.kg ⁻¹ of dry soil
Soil properties	- Clay content	- g.kg ⁻¹ of dry soil
	- Organic carbon content	- g.kg ⁻¹ of dry soil
	- Initial water content	 g.kg⁻¹ of dry soil
	- Minimum air temperature	- K
	- Mean air temperature	- K
	- Maximum air temperature	- K
Weather conditions	- Specific air humidity	- kg.kg ⁻¹
	- Incident solar radiation	- W.m ⁻²
	- Wind speed	- m.s ⁻¹
	- Cumulative rainfall	- mm.s ⁻¹ / 3600

202 ^(a) The *Region* variable was added for the development of meta-models at the national scale only.

^(b) *Dose of application* was not used for cattle FYM and pig slurry meta-models.

204 ^(c) *Bulk density* was not used for Bretagne.

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Boxplots of the 17 quantitative explanatory variables chosen are presented in Figure S2 in the supplementary material.

208

Additional input variables were defined from the original variables listed in Table 2 for 209 potential inclusion in the meta-models; the quadratic terms of several variables (see Tables S1 and 210 S2 in the supplementary material) and interaction terms between pairs of variables (i.e., 211 multiplication between two variables). Interactions were chosen with respect to formalisms chosen 212 to describe the processes of Volt'Air. Interaction between Cumulative rainfall and Air temperatures 213 (minimum, mean, maximum) (i.e., three pairs), interaction between Cumulative rainfall and Wind 214 215 speed, and interaction between Cumulative rainfall and Soil texture (Silt, Clay) were chosen because infiltration depends on both the quantity of water and the state of the soil surface, which in turn 216 depends on evaporation potential, driven by both temperature and wind-speed, and on soil texture 217 (Garcia et al., 2012). The interaction between Cumulative rainfall and Air temperatures reflects the 218 effect of these factors on physicochemical equilibria, through dilution by rainfall and the high 219

dependence of the equilibrium constants on temperature. For N solution and pig slurry, interactions
between *Soil pH* and *Air temperatures* (minimum, mean, maximum) were also considered. As those
products are incorporated to the first soil layer, soil pH together with N solution pH or pig slurry pH
directly drive physicochemical equilibria, which are also highly dependent on temperature.

224

2.4. Types of meta-model

For a given type of fertilizer, meta-models were fitted to each regional dataset of simulated 225 226 NH₃ emissions and then to the whole dataset at the national scale. Five different types of metamodel were developed with each dataset. The first three types of model (ordinary linear regression, 227 228 Least Absolute Shrinkage and Selection Operator, and Partial Least Squares Regression) assumed a linear relationship between N emission rate over 30 days (or its transformed-value) and input 229 variables. The last two types of model (Generalized additive model, Random Forest) dealt with 230 nonlinear relationships between volatilization rates and input variables. The inputs of generalized 231 additive model and random forest were selected using a regression method (ordinary linear 232 233 regression, Least Absolute Shrinkage and Selection Operator, or Partial Least Squares Regression, see section 2.5 for details on the selection procedure). Below, we briefly present the main 234 characteristics of each type of model. Details on the meta-model selection procedure are given in 235 236 Section 0. The equations of the meta-models are described in Table 3 together with the R functions used for their implementation. The R functions mentioned in Table 3 are freely available from 237 https://cran.r-project.org. They can be easily used to develop meta-models from the dataset 238 described in 2.2. The present study was performed with the 3.2.3 version of R. 239

Ordinary linear regression (LM, Linear Model) was used to relate emission rates (noted y in Table 3) to quantitative and categorical input variables (noted $X_1, ..., X_p$ in Table 3) through a linear equation. Here the flexibility of linear models was increased through the inclusion of quadratic terms and interactions between inputs. Several variants of ordinary linear regression models were developed. In some variants, model inputs were selected using a stepwise or a forward selection
procedure based on the Akaike Information Criterion (AIC) (Figure 1). All linear models were fitted
using Ordinary Least Squares (OLS) with the R function lm().

247 Least Absolute Shrinkage and Selection Operator (LASSO) is a well-known regularization technique that can be applied to reduce variance of the parameter estimators in linear regression 248 models (Tibshirani, 1996). LASSO is based on the same model equation as LM, i.e., a linear 249 equation relating the emissions rates to the input variables. However, instead of using OLS for 250 251 obtaining the parameter estimates, LASSO is subjected to constraints on parameters defined through a penalty term based on the absolute values of the parameters. With this approach, some parameters 252 253 become equal to zero by tuning the penalty term for minimizing prediction errors, leading to the selection of a reduced subset of input variables. LASSO was implemented here using the R function 254 cv.glmnet() of the package glmnet. 255

256 Partial Least Squares Regression (PLSR) can improve the accuracy of linear regression 257 models when the input variables are strongly correlated (Abdi, 2010). PLSR may be seen as an improved version of principal component regression (PCR). In PCR, principal components are 258 259 expressed as linear combinations of original variables, such that the variability in the data may be 260 described by a small number of these new variables. In PLSR, the original input variables are also replaced by linear combinations of inputs, but these combinations are selected to describe most of 261 262 the input data variability while showing a strong correlation to the response (Table 3). PLSR was 263 implemented here using the R function plsr() of the pls package.

Generalized Additive Models (GAM) assume that the response variable Y (emission rate) can be expressed as a linear combination of several unknown smooth functions of the inputs (Wood, 2017). The equation used by GAM is more general than the linear equations used by LM, LASSO, and PLSR and is expressed as $\mu + s(X_1) + \cdots + s(X_P)$, where s(.) are several smoothing functions

(splines) of the inputs. Here, the volatilization rate is expressed as a linear combination of the smoothing functions. We used smoothing splines as smoothing functions because these types of function are very flexible. This model does not assume that the volatilization rates are linearly related to the inputs and can describe complex response curves. As the model is expressed as a sum of independent functions, the effect of each input on the output can be easily interpreted. GAMs were fitted here using the R function gam() of the mgcv package.

Random Forest (RF) is a machine learning technique that builds an ensemble of 274 275 classification (or regression) trees (Breiman and Cutler, 2003). RF creates a set of binary decision rules based on the input variables. The first step of the method is the random selection, with 276 replacement, of a sample of data (called the "in-bag") of equal size to the total number of data. The 277 remaining part of the data is referred to as "out-of-bag" (OOB) data. The second step involves the 278 279 random selection of explanatory variables. The third step involves the building of a tree based on the 280 in-bag data and a subset of variables selected. The tree is built by recursively partitioning the initial data into smaller groups, called nodes, through binary splits based on a single input variable. 281 282 Examples of regression trees are given in Figure S3 in the supplementary material. The whole process is repeated several thousand times, to generate many trees. At each iteration, the OOB data 283 are used to evaluate the accuracy of the fitted trees. The output of RF is calculated by averaging the 284 outputs of the individual trees (Table 3). Here RF models were fitted with the R function 285 286 randomForest() of the randomForest package and the R function ranger() of the ranger package. 287 Ranger is a fast implementation of random forests (Breiman 2001) and was used here to fit the RF meta-model for N solution in France to reduce computation time. 288

Table 3 : Description of the statistical and machine learning methods used to develop the meta-models of Volt'Air. The methods are based on different types of models whose parameters are estimated using an optimization algorithm implemented with R functions of specific R packages. Each meta-model computes NH3 volatilization rates y (or log/box-cox transformed volatilization rates) from a series of inputs X1, X2..., Xp.

Acronym	Method	Equation	Optimization algorithm	R package	R function used for parameter estimation
LM	Ordinary linear regression model with stepwise selection	$y = \mu + \alpha_1 X_1 + \alpha_2 X_2 + \dots + \alpha_p X_p$ where X_1, X_2, \dots, X_p are p input variables, and μ , α_1 , \dots , and α_p are parameters estimated from the dataset of volatilization rates.	Least squares. Input variables are selected using a stepwise procedure based on the AIC criterion.	stats	lm(Volat_rate ~ X1+X2++Xp)
LASSO	Least Absolute Shrinkage and Selection Operator	$y = \mu + \alpha_1 X_1 + \alpha_2 X_2 + \dots + \alpha_p X_p$ where X_1, X_2, \dots, X_p are p input variables, and μ, α_1, \dots , and α_p are parameters estimated from the dataset of volatilization rates.	Penalized least squares. A penalty term is used to select input variables. The optimal value of the penalty term is chosen by cross validation in order to minimize prediction errors.	glmnet	cv.glmnet(X, Volat_rate) where X is a matrix including the values of the inputs $X_1, X_2,, X_p$.
PLSR	Partial Least Squares Regression	Y=UQ' X=TP' where X is an $n \ge p$ matrix including the values of the p inputs for the n volatilization rate data. Y is a vector including the n volatilization rate data, T and U are projections of X and of Y, respectively. P	Partial least squares. The number of inputs (components) are selected by cross- validation.	pls	plsr(Volat_rate ~ X, validation="CV") where X is a matrix including the values of the inputs $X_1, X_2,, X_p$.

		and Q are orthogonal loading matrices. The decomposition of X and Y are made so as to maximize the covariance between U and T.			
GAM	Generalized additive model	$y = \mu + s(X_1) + s(X_2) +$ + $s(X_p)$ where the functions $s(.)$ are smooth functions of the input variables (regression splines). Each smooth function defines the response of y to a given input.	Penalized maximum likelihood. Penalty terms are used to specify the smooth functions.	mgcv	$gam(Volat_rate \sim s(X1) + s(X2) + + s(Xp))$
RF	Random Forest	$y = \frac{1}{Q} \sum_{q=1}^{Q} h(Xs_q)$ with $h(Xs_1) \dots h(Xs_Q)$ a collection of outputs of Q decision trees. Each tree consists in a series of partitions based on a subset of inputs Xs_q . Each subset of inputs includes variables chosen at random among X_l , X_2, \dots, X_p .	Mean squared error calculated using "out- of-bag" data (i.e., data not used for fitting the regression trees).	randomForest	randomForest(Volat_rate ~ X1+X2 ++Xp)

295 **2.5.** Selection of meta-models

For each type of fertilizer and each geographical area, 602 meta-models were developed and 296 297 assessed according to the four-step selection procedure presented in Figure 1 and detailed below. 298 Each model was defined by a specific statistical method (among LM, LASSO, PLSR, RF, GAM), the type of response variable (untransformed or log/box-cox-transformed emission rate), the time-299 period used to define the weather inputs, the inclusion or not of quadratic terms and interactions, and 300 the input selection method (none, stepwise, forward). The box-cox transformation has the form wi = 301 yi λ , if $\lambda \neq 0$ and wi = log (yi), if $\lambda = 0$ with wi the transformed variable, yi the initial response 302 303 variable, and λ the parameter for the transformation (estimated from the data).

In Step 1, three types of linear model were fitted and compared by cross-validation: ordinary linear models (LM), LASSO, and PLSR. Two sets of inputs were considered successively: the inputs listed in Table 2, and an expanded set of inputs including quadratic terms and interactions. With LM, input variables were selected using either a stepwise or a forward selection procedure based on the AIC criterion (Akaike, 1974). The total number of models tested in Step 1 was 598.

In Step 2, the two best linear meta-models were selected using cross-validation. Cross-309 310 validation is a standard method for evaluating the accuracy of the model predictions (Efron, 1983). It allows one to estimate how the model is expected to perform when used to make predictions on 311 data independent from those used for estimating the model parameters. The general principle is to 312 split the dataset into K groups. The first group is removed from the dataset. The remaining part of 313 314 the dataset is used to fit the model. The fitted model is then used to predict the discarded group of data. The whole procedure is repeated over all groups, and the accuracy of the prediction is 315 316 evaluated by computing the root mean square error of prediction (RMSEP) over the K groups. Here, two procedures were used successively to define the groups of data, leading to two different types of 317 cross validation. The first type of cross-validation was implemented SAR by SAR in order to 318

319 estimate the root mean square error of prediction of each meta-model (RMSEP_SBS). RMSEP_SBS 320 measures the ability of each meta-model to approximate the emission rates simulated by Volt'Air in a new SAR, i.e., a SAR not originally included in the dataset used to develop the meta-model 321 322 considered. The second type of cross-validation consisted of dividing the dataset by years. This is also referred to as year-by-year cross-validation and the associated RMSEP is denoted 323 RMSEP_YBY. This value of RMSEP measures the ability of each meta-model to approximate the 324 325 emission rates simulated by Volt'Air for a new year, not originally included in the dataset used to develop the meta-model. 326

In Step 3, two RF and two GAM meta-models were developed. They included the input variables of the two best linear models selected in Step 2, i.e., the linear models characterized by the lowest values of RMSEP_SBS and RMSEP_YBY (Linear MM_SAR and MM_YEAR). These models were thus developed from the input variables selected in Step 2. The output and input variables were used in their original form, i.e., without transformation or interaction.

In Step 4, cross-validations (SAR-by-SAR and year-by-year) were carried out again to select the two best meta-models from the two best linear models, RF, and GAM. The two meta-models selected at this step were denoted Final MM_SAR and MM_YEAR.

The whole procedure was applied for each fertilizer type, for each region and for France. 335 When two or more meta-models had similar RMSEPs (to two decimal places), we favored the meta-336 model including the smallest number of inputs (inputs related to the geographical location of the 337 site, i.e., latitude, longitude and region, were not counted because they are always available at zero 338 339 cost). When two meta-models had similar RMSEPs and the same number of inputs, we chose the meta-model with weather condition variables calculated over the longest time-period because these 340 341 variables were smoother than variables calculated over shorter time-periods, and were thus less sensitive to extreme, short-term meteorological events. 342

	LM	LM1LM2LM2stepwisesteptionselectionselectionselection								wise		LM1 forward selection				LM2 forward selection				LASS	501	LASSO2		PLSR1		1 PLSR2		Method			
P 1	none	log	box-cox	none	log	box-cox		none	log	box-cox	none	log	box-cox		none	log	box-cox	none	log	box-cox		none	log	box-cox	none	5005	TIULE	log	box-cox	none	Output transformation
STEP	23	23	23	23	23	23		23	23	23	23	23	23	2	3	23	23	23	23	23		23	23	23	23	2	3	23	23	23	Number of tested time-periods ⁽¹⁾
STEP 2																															
3	Tur			RF	1 .	- 4 - 1 :			T		R		-14-	1			T		GA			4 . 1	_			-	JAN		4	1	Method
STEP													he	Inputs																	
(1) STEP 4																															

343 For each type of linear model, 23 variants were developed; each variant corresponds to a specific time-period.

Figure 1: Meta-modeling selection procedure. This four-step approach is applied for each type of fertilizer for each region and at the national scale. The 344 selected models are those minimizing the root mean square error of prediction (RMSEP) computed by cross-validation. Two types of cross-validation are 345 346 implemented, i.e., by removing each small agricultural region (SAR) in turn, or each year in turn. The two cross-validations lead to two different RMSEPs (RMSEP_SBS and RMSEP_YBY, respectively). Two sets of inputs were considered: Set 1with the original variables, and Set 2 including in addition 347 quadratic terms and interactions. LM1-LM2: ordinary linear models (with/without quadratic and interaction terms). LASSO1-LASSO2: LASSO regression 348 models (with/without quadratic and interaction terms). PLSR1-PLSR2: PLSR regression models (with/without quadratic and interaction terms). RF: random 349 350 forest. GAM: generalized additive models.

2.6. Comparison of meta-models at the regional scale

After the selection described in Figure 1, two types of meta-model were available for estimating emission rates in a given region: the regional meta-models derived from the set of simulated NH₃ emissions obtained for that region specifically, and the France meta-models derived from the whole set of simulated emissions at the national level (i.e., over the 21 French regions).

356 The meta-models selected at the national level can be used to estimate emission rates in a specific region because they include the categorical input *Region* and/or the quantitative inputs *Latitude* 357 and Longitude accounting for a possible regional effect. So we compared regional and France meta-358 models for their ability to estimate emission rates for each selected type of fertilizer and each region. To 359 do this, the best France meta-models were run on site*crop*fertilization*year of the regional datasets, 360 and the resulting RMSEP values were compared with those calculated with the best regional meta-361 362 models. For each selected region and fertilizer type, the RMSEP of the regional and France meta-models were compared and the model producing the lowest value was finally selected. 363

364

365 3. RESULTS

366 3.1. Comparison of Volt'Air and meta-model outputs

Over all meta-models (before selection), values of RMSEP range from 0.002 to 0.729 kg N.kg⁻¹ N and values of RRMSEP range from 3% to 755% of the mean emission rates. For N solution, values of RMSEP range from 0.033 to 0.729 kg N.kg⁻¹ N and values of RRMSEP range from 24 to 755 % of the mean emission rates. For cattle FYM and pig slurry, values of RMSEP range from 0.002 to 0.074 kg N.kg⁻¹ N and 0.045 to 0.598 kg N.kg⁻¹ N, respectively, and values of RRMSEP range from 3 to 105 % and 17 to 260 % of the mean emission rates, respectively. For a given fertilizer type, the RMSEP of the best meta-models fitted at the regional and national scales are close, and the regional meta-models do not systematically outperform the national metamodels (Figure 2). For N solution, the France meta-model produces a smaller RMSEP, thus providing a more accurate approximation of Volt'Air than regional meta-models in all considered regions except in Bretagne for the meta-model selected using a year-by-year cross-validation. For pig slurry in Bretagne, the France meta-model performs better than the regional meta-model. For cattle FYM, regional and France meta-models show very similar performances.

In the following, only the meta-models showing the lowest RMSEP are considered. Regional emission rates are thus further estimated using either France or regional meta-models, depending on their RMSEP values. Similar results are observed for SAR-by-SAR and year-by-year cross-validation methods.

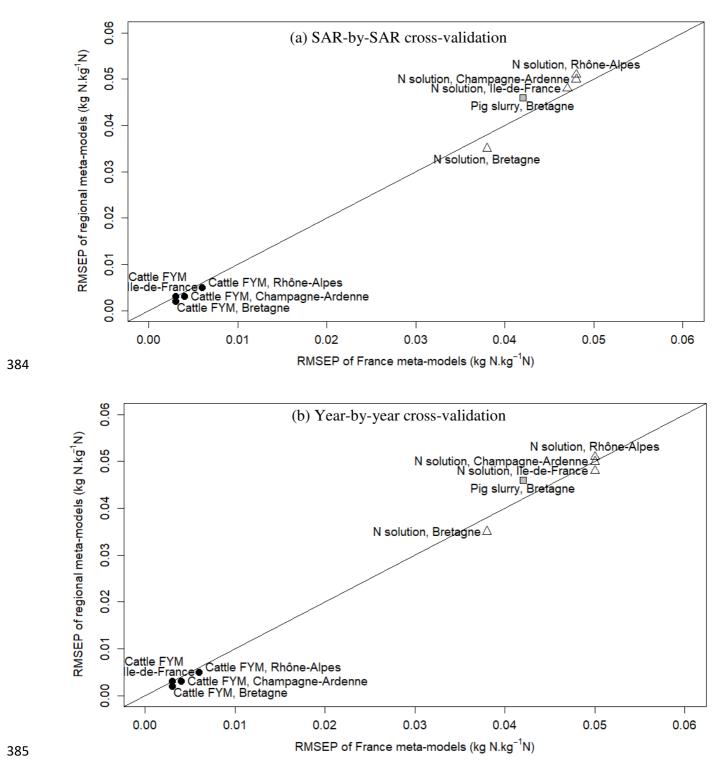


Figure 2: Comparison of RMSEP by (a) SAR-by-SAR cross-validation and (b) year-by-year crossvalidation, obtained for each region and each type of fertilizer with meta-models fitted at the regional
and France scales.

The RMSEP values from the best meta-models are shown in Figure 3, as absolute values and as relative values compared to the mean emission rates (relative root mean square error of prediction

(RRMSEP) as %). For cattle FYM, the RMSEP values were very low (<0.005 kg N.kg⁻¹ N) and the 391 RRMSEP did not exceed 8% of the mean emission rates. Values of RMSEP were higher for pig slurry 392 and the corresponding RRSMEP ranged from 17 to 19% for this type of fertilizer. For N solution, the 393 394 RMSEP values were of the same order of magnitude as those obtained for pig slurry; they never exceeded 0.06 kg N.kg⁻¹. But as the mean emission rates were low for N solution, the corresponding 395 396 RRMSEP values were systematically higher than 21% (Figure 3). These results show that the metamodels provided a better approximation of Volt'Air for cattle FYM than for pig slurry and N solution. 397 This result is confirmed in Figure 4, where the relationships between the meta-model and the Volt'Air 398 outputs are stronger for cattle FYM (RRMSEP<8%) than for the other two types of fertilizer 399 (RRMSEP>17%). 400

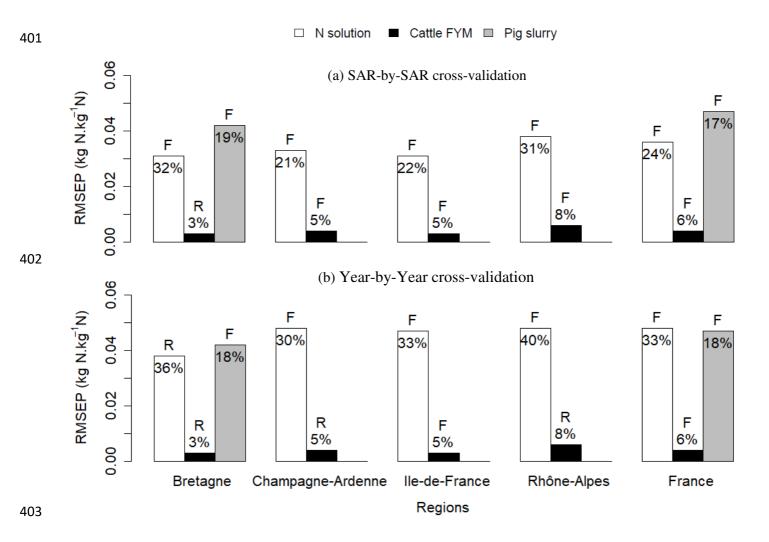


Figure 3: Root Mean Square Error of Prediction (RMSEP) of the best meta-models selected per type of
fertilizer per region. The letters R and F refer to the regional and France meta-model, respectively, for
each fertilizer type. The percentages indicate the relative root mean square error of prediction
(RRMSEP) of each meta-model (i.e., RMSEP/mean of emission rates).

The performances of the meta-models did not differ much among regions. No single region showed systematically lower RMSEP or RRMSEP. The RMSEP tended to be higher when calculated year by year than SAR by SAR (Figure 3ab). This result was expected, because a larger number of data were removed at each iteration of the cross-validation when implemented year by year. The differences were large for N solution, small for pig slurry, and non-detectable for cattle FYM.

The distributions of the emission rates obtained with the selected meta-models were very similar to those obtained with Volt'Air, both at regional and national scales. This is illustrated in Figure 5 for the meta-models selected by year-by-year cross-validation; results were similar with the meta-models selected by SAR-by-SAR cross-validation and are therefore not shown. In Figure 5, each box-plot presents the minima, 1st quartiles, medians, 3rd quartiles, and maxima calculated over the entire considered site*crop*fertilization*year, using the selected meta-models and Volt'Air. Differences between the meta-models and Volt'Air were very small, especially for the minima, 1st quartiles, medians, and 3rd quartiles. These results indicate that the emission rate distributions produced by the meta-models and by the process-based model shared similar characteristics.

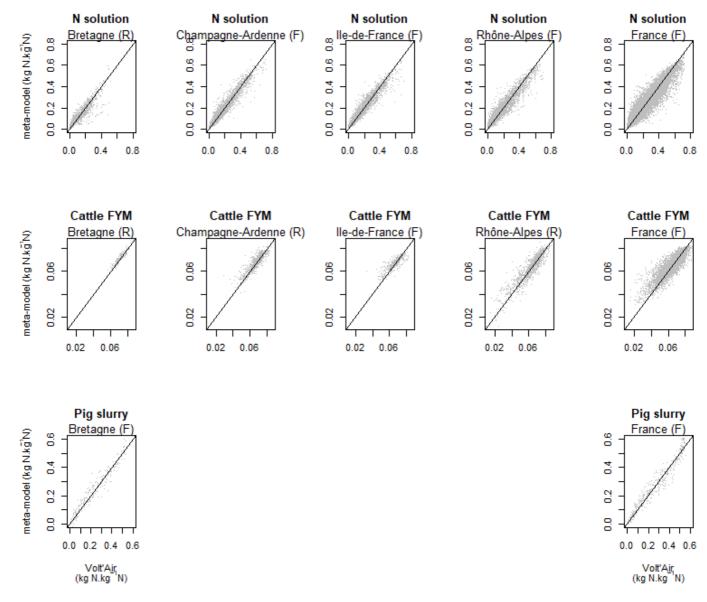


Figure 4: Scatter plot of meta-models selected by year-by-year cross-validation versus Volt'Air
simulated emission rates (in kg N.kg⁻¹ N). The letters R and F stand for regional and France metamodel, respectively, for each fertilizer type.

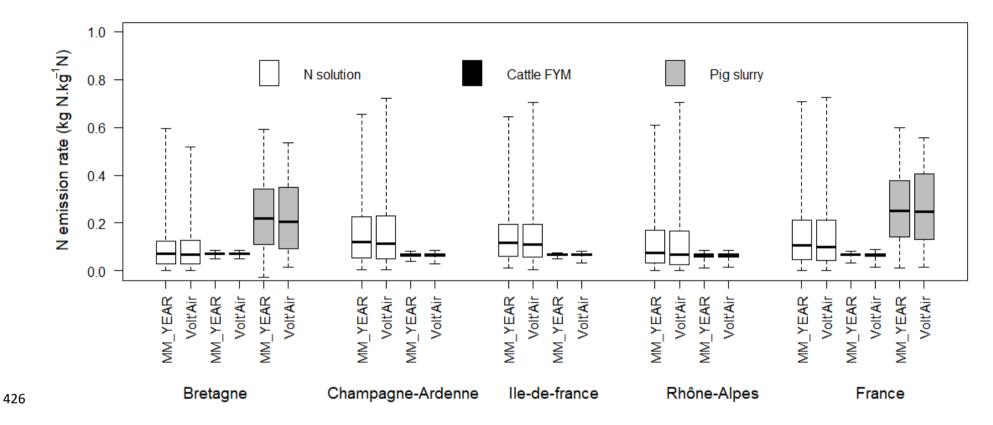


Figure 5: Distributions of the nitrogen emission rate calculated with the meta-models selected by year-by-year cross-validation (MM_YEAR) and
with the process-based model Volt'Air (in kg.kg⁻¹ N). Each box-plot describes the minimum, 1st quartile, median, 3rd quartile and maximum values
of the emission rates over the considered site*crop*fertilization*year.

430 **3.2.** Characteristics of selected meta-models

431 Over the five considered geographical areas (four regions plus France) and the three types of 432 fertilizer, four different meta-models were selected by SAR-by-SAR cross-validation, versus seven by year-by-year cross-validation (Table S1 in the supplementary material). This difference is due to 433 434 the number of meta-models selected for N solution and cattle FYM. For N solution, a single metamodel (the France meta-model) was indeed selected using SAR-by-SAR cross-validation for four 435 436 different regions (Table S1) while the same meta-model was selected using year-by-year crossvalidation for three different regions (Table S2). For cattle FYM, a single meta-model (the France 437 meta-model) was selected using SAR-by-SAR cross-validation for three different regions (Table S1) 438 while the same meta-model was only selected using year-by-year cross-validation for one region, Ile-439 440 de-France (Table S2).

Half of the meta-models selected by SAR-by-SAR cross-validation were Random Forest 441 models; while the other half were ordinary linear regression models (Table S1). The two linear 442 443 regression models selected by SAR-by-SAR cross-validation included quadratic and interaction 444 terms and one of them (Meta-model 4) was fitted using a box-cox transformation of the output. The interaction Wind speed * Cumulative rainfall and the quadratic terms Latitude², Longitude², Date of 445 N application², Mean air temperature² and Cumulative rainfall² were frequently selected (see Tables 446 S1 and S2 in the supplementary material2). The quadratic terms of "Dose of fertilizer application", 447 "Soil organic carbon content" and "Maximum air temperature" were never selected, nor was the 448 449 interaction "Mean air temperature * Cumulative rainfall". Among the considered statistical methods, GAM, PLSR, and LASSO were never selected whatever the type of cross-validation used. 450

In the four meta-models selected by SAR-by-SAR cross-validation, a number of weather input variables (e.g., *Mean air temperature, Wind speed, Cumulative rainfall* and *Incident solar radiation*) and *Initial soil water content* were systematically selected. The *Soil organic carbon* 454 content was selected in one meta-model only, as was the Region variable, which was an input 455 candidate for three meta-models. The Dose of fertilizer application was never selected but it was an input candidate for one meta-model only (Table S1). All other variables were selected in at least half 456 457 of the meta-models. The number of selected inputs ranged from 6 to 15 (if we exclude the quadratic and interaction terms), depending on the meta-model considered. These numbers should be 458 459 compared to the total number of candidate inputs, comprised between 15 and 18 (Table 2). Meta-460 models 1 and 3 were the most parsimonious; they included 10 selected inputs from the 18 input 461 candidates and 6 selected inputs from the 17 input candidates, respectively, i.e., about half or one 462 third of the number of candidate inputs (Table S1). Conversely, the meta-models 2 and 4 included a large share of the input candidates (12/15 and 15/18, respectively). The time-period used to calculate 463 464 the weather inputs ranged from six to seven successive days for N solution and from one to three successive days for cattle FYM; for pig slurry, it was five successive days (Tables S1 and S2). 465

466 The seven meta-models selected by year-by-year cross-validation shared certain similarities with the four meta-models selected by SAR-by-SAR cross-validation. The inputs of these meta-467 468 models (Table S2) were often the same as those reported in Table S1. Initial soil water content, Mean air temperature, Incident solar radiation, Wind speed and Cumulative rainfall were frequently 469 selected, while the Dose of fertilizer application, Soil organic carbon content, Region, Latitude, 470 471 Longitude and Relative air humidity, were less frequently selected. Soil properties (Bulk density, Silt content, Clay content and Organic carbon content) were less frequently selected in N solution meta-472 models. The total number of selected inputs also ranged from 6 to 15 (if we exclude the quadratic 473 474 and interaction terms), depending on the meta-model considered. These meta-models exhibited several differences compared to those selected by SAR-by-SAR cross-validation. In particular, most 475 476 of the meta-models selected by year-by-year cross-validation were ordinary linear regression models (Table S2); RF was used by only two meta-models. All the five selected ordinary linear regression 477 models included quadratic and interaction terms (Table S2); two out of them included a box-cox 478

transformation of the output while the other three linear meta-models included untransformed output.
In the five selected linear regression meta-models, the interactions *Minimum air temperature* * *Cumulative rainfall, Maximum air temperature* * *Cumulative rainfall*, and the quadratic terms *Wind speed*², *Soil bulk density*², *Mean air temperature*², *Incident solar radiation*² and *Cumulative rainfall*²
were frequently selected (see Tables S1 and S2 in the supplementary material2).

484

485 The R objects of the meta-models based on linear regression and Random Forest are available486 on request from the authors.

487

488 **4. DISCUSSION**

Our meta-model assessments show contrasting results. For cattle FYM and pig slurry, on the 489 one hand, meta-models provide a good approximation of Volt'Air, with an RRMSEP always lower 490 491 than 8% and 19% of the mean emission rates, respectively. For N solution, meta-models provide a good approximation of Volt'Air for Champagne-Ardenne, Ile-de-France and France when they were 492 assessed by the SAR-by-SAR cross-validation: RRMSEP ranged from 21% to 24% of the mean 493 494 emission rates. The meta-models are less accurate for N solution in other situations, specifically in Bretagne and Rhône-Alpes with SAR-by-SAR cross-validation, and in all the geographical areas 495 496 with year-by-year cross-validation; RRMSEP ranged from 31% to 40% of the mean emission rates in these situations. Despite these contrasting results, our meta-models provide a good approximation of 497 the distributions of emission rates at the regional scales for all fertilizer types, including N solution 498 499 (Figure 5). They give realistic ranges of emission rates and could thus be used for regional NH₃ 500 emission inventories.

The relatively poor performances of the N solution meta-models may be due to the small number of soil variables selected (see below). Other variables may have a strong influence on volatilization, at least for some of the considered years. Our meta-models may not fully account for the soil characteristics influencing soil transfer of heat, water and ammoniacal-N species. The better performance obtained for N solution by year-by-year cross validation may be due to a higher interannual variability of volatilization for this type of fertilizer, or to the fact that more data are needed to develop an accurate meta-model for this fertilizer.

508 Almost all the selected meta-models incorporate some nonlinear effects. The regression models include quadratic terms and interactions, and Random Forest models deal with nonlinear 509 510 responses through their splitting rules. The presence of nonlinear terms in the selected meta-models emphasizes the need to take nonlinear responses and interactions into account. The results of our 511 selection are consistent with those reported in previous meta-modeling studies. Regression meta-512 513 models including linear, interaction, and quadratic terms and transformation of the output were indeed commonly used for meta-modeling (Britz and Leip, 2009; Giltrap and Ausseil, 2016). RF 514 515 meta-models were also already used by Villa-Vialaneix et al. (2012) and were found to be an 516 accurate meta-modeling method for emulating models of nitrous oxide (N2O) emission and N leaching. 517

Four weather variables (Wind speed, Air temperature, Cumulative rainfall, Incident solar 518 519 radiation) were always selected in the meta-models regardless of the type of fertilizer and geographical area. This is consistent with the Volt'Air sensitivity analyses performed by Theobald et 520 521 al. (2005, 2014) which showed that air temperature and wind speed are the most influential climatic 522 factors in the Volt'Air model. This result is due to the fact that Henry's Law and the acid-base equilibrium constants are both expressed as exponential functions of temperature, increasing 523 temperatures leading to increased volatilization (Sommer et al., 2003). Furthermore, wind speed 524 525 promotes the dispersion of NH₃ in the gas phase and thus volatilization. Volt'Air is also sensitive to rainfall (Génermont and Cellier, 1997); rainfall events after fertilizer application decrease NH₃
emissions by promoting the infiltration of slurry and soil solution containing ammoniacal N (SanzCobena et al., 2011; Sanz-Cobena et al., 2008; van der Weerden and Jarvis, 1997).

The time-periods selected to calculate the effect of the weather variables correspond to the 529 period of high volatilization for each type of fertilizer. The time-period selected for cattle FYM is the 530 shortest (less than three successive days versus five days or more for other fertilizers); there are two 531 532 reasons for this. First, cattle FYM has high dry matter content and is known not to infiltrate into the 533 soil: this was conceptualized in Volt'Air by adding a cattle FYM layer on the soil surface (Garcia et al., 2012; Voylokov et al., 2014) whereas pig slurry and N solution are directly added to the first soil 534 535 layer. Second, cattle FYM has a higher pH (8.00) than pig slurry (7.50) and N solution (5.77), and Volt'Air is highly sensitive to pH; the higher the pH, the higher the volatilization (Génermont and 536 Cellier, 1997; Le Cadre, 2004; Theobald et al., 2005). This information on the time-period during 537 538 which weather factors drive NH₃ volatilization is useful for further recommendations to adapt the experimental design to capture the dynamics of NH₃ volatilization, as the time window for applying 539 540 abatement techniques depends on fertilizer type.

Soil properties were less frequently selected than weather variables in all meta-models, 541 542 especially for N solution (Tables S1 and S2). These variables had smaller variation ranges than the meteorological variables, which probably led to their elimination during automatic variable selection. 543 544 Moreover, several soil properties are strongly correlated with Soil pH and Initial soil water content (see Figure S1 in the supplementary material1), which were always selected in our meta-models 545 (except for cattle FYM, as explained below). As stated above, Volt'Air is highly sensitive to Soil pH. 546 547 Similarly, Initial soil water content is among the most influential parameters in the Volt'Air model (Theobald et al., 2005). It influences the availability of volatile N on the soil surface by conditioning 548 the infiltration of the fertilizer's liquid fraction. The fact that cattle FYM stays on the soil surface and 549

does not infiltrate also explains why soil properties (especially *Soil pH*) are less frequently selected
for FYM meta-models.

The variable *Region* at the France level was selected only for N solution meta-models. For cattle FYM and pig slurry, this variable was not selected in the France meta-models regardless of cross-validation type but regional meta-models performed better than France meta-model. For these two types of fertilizer, the meta-models account for regional characteristics through their soil and weather inputs, *Latitude* and *Longitude*, and the *Dates of fertilizer application*.

557 The first advantage of using meta-models instead of Volt'Air is their extremely short computation time. For example, the meta-model for N solution in France required less than 1s to 558 559 make 70,299 simulations whereas Volt'Air required approximatively 1.5 days for the same number 560 of simulations with a parallel implementation on a Dell precision T7910 workstation with two Intel Xeon 2.4 GHz processors (20 cores) and 128 GB RAM. Meta-models can thus easily be 561 implemented at large scales or in other applications requiring large amounts of data. The time 562 563 required to build the meta-models and to select the best meta-models according to the selection 564 method in Figure 1 was approximately three days with the R software on a desktop computer (Processor 2.7 GHz, 8Go RAM). SAR-by-SAR cross-validation was the most computationally 565 566 expensive step, especially for calculations at the France scale where the number of SARs was 706 while it ranged from 25 to 76 for the four selected regions. RF and GAM required more computation 567 568 time than the other techniques, especially when the dataset was large, as with N solution in France. Ordinary linear regression had the lowest computational cost. 569

The second advantage of using the meta-models is that they need fewer inputs than the total number required for running Volt'Air. In our case study, Volt'Air required 16 types of input variable, and five of them (i.e., the weather variables) needed to be provided at an hourly time-step for one month (Section 2.1) leading to at most a total of 3,611 input variables. The use of Volt'Air is thus 574 relatively costly in terms of data acquisition. The total number of selected inputs in the meta-models 575 ranged from 6 to 15 (if we exclude the quadratic and interaction terms). In the meta-models, weather 576 inputs need to be supplied over at most seven days.

The meta-models produced here combine qualities of both the process-based model Volt'Air 577 and the empirical models developed and used for calculating NH₃ volatilization in the field 578 (Génermont, 2014). Our meta-models include a small number of input variables and have a very 579 short computation time. But they do not suffer from the same drawbacks as purely empirical models 580 581 based on a limited number of experimental datasets. The domain of validity of our meta-models is related to the domain of validity of Volt'Air and the extent of the dataset used to build the meta-582 583 models. Thus we can expect a larger domain of validity than for the empirical models which generally focus on specific conditions, for example, that of Menzi (Menzi et al., 1998), which was 584 constructed for cattle slurry applied to grassland with a splash plate, a total ammoniacal nitrogen 585 (TAN) content of 0.7 5 g. kg⁻¹, a mean temperature ranging from 0 to 25°C, mean relative humidity 586 of air ranging from 0.5 to 0.9 % and no rain. The model constructed by Misselbrook et al. (2004) and 587 588 adjusted by Chadwick et al. (2005) does not account for the effect of wind speed on NH₃ 589 volatilization from mineral fertilizers.

590 Our meta-models offer a good alternative to the default emission factors proposed by the 591 EMEP guidebook for the official French NH₃ emission inventories (CITEPA, 2017; EMEP/EEA, 592 2016), because of their relative simplicity compared to process-based models. The meta-models 593 could also be connected to crop models (e.g., CERES-EGC, Gabrielle et al., 2006; STICS, Brisson et 594 al., 2003; SYST'N, Dupas et al., 2015; Parnaudeau et al., 2012) to improve decision support tools 595 used for fertilization management.

596 The meta-modelling approach presented here is generic. It can be implemented again with 597 new sets of simulations provided by an upgraded version of Volt'Air, in new geographical areas, for

- new management techniques (i.e., abatement techniques), or for new types of fertilizer. Our approach
- can thus be used to produce a variety of meta-models suitable for a diversity of situations andapplications.

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