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Article

Analysing Cooking Behaviour in Home Settings: Towards Health Monitoring

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- [‡] This work is an extended version of a work in progress presented in [1]. The work significantly differs from the original work.

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- Abstract: Wellbeing is often affected by health-related conditions. One type of such conditions are
- ² nutrition-related health conditions, which can significantly decrease the quality of life. We envision a
- 3 system that monitors the kitchen activities of patients and that based on the detected eating behaviour
- 4 could provide clinicians with indicators for improving a patient's health. To be successful, such
- 5 system has to reason about the person's actions and goals. To address this problem, we introduce a
- 6 symbolic behaviour recognition approach, called Computational Causal Behaviour Models (CCBM).
- CCBM combines symbolic representation of person's behaviour with probabilistic inference to reason
- about one's actions, the type of meal being prepared, and its potential health impact. To evaluate the
- approach, we use a cooking dataset of unscripted kitchen activities, which contains data from various
- sensors in a real kitchen. The results show that the approach is able to reason about the person's
- cooking actions. It is also able to recognise the goal in terms of type of prepared meal and whether it
- is healthy. Furthermore, we compare CCBM to state of the art approaches such as Hidden Markov
- ¹³ Models (HMM) and decision trees (DT). The results show that our approach performs comparable to
- the HMM and DT when used for activity recognition. It outperforms the HMM for goal recognition
- of the type of meal with median accuracy of 1 compared to median accuracy of 0.12 when applying
- the HMM. Our approach also outperforms the HMM for recognising whether a meal is healthy with
- a median accuracy of 1 compared to median accuracy of 0.5 with the HMM.

20 1. Introduction and Motivation

One aspect of having a healthy lifespan is the type and way in which we consume food [2].

²² Following unhealthy diet can cause nutrition-related diseases, which in turn can reduce the quality of

- ²³ life. This is especially observed prolonged physical conditions, such as diabetes and obesity, or mental
- health conditions such as eating disorders and depression. Such conditions influence one's desire to
- ²⁵ prepare and consume healthy meals, or in some cases, the patient's ability to prepare food, e.g. those
- ²⁶ suffering from dementia disorders whose abilities are affected by the disease's progression [3]. Such
- ²⁷ conditions are also associated with high hospitalisation and treatment costs. Different works have

Keywords: activity recognition; plan recognition; goal recognition; behaviour monitoring; symbolic
 models; probabilistic models, sensor-based reasoning

²⁸ attempted to solve this problem by providing automated home monitoring of the patient. Potentially,

²⁹ this can also improve the well-being of the patient by replacing hospitalisation with monitoring and

³⁰ treatment in home settings [4,5].

A system, able to address the above problem, has to recognise the one's actions, goals and causes of 31 the observed behaviours [6]. To that end, different works propose the application of knowledge-based 32 models often realised in the form of ontologies [7–9]. In difference to data-driven methods, which 33 need large amounts of training data and are able to learn only cases, similar to those in the data, 34 knowledge-based approaches can reason beyond the observations due to their underlying symbolic structure. This symbolic representation defines all possible behaviours and the associated effects 36 on the environment. In that manner, they can reason about the one's actions, goals, and current 37 situation [3]. While rule-base approaches provide additional unobserved information, they have two 38 main disadvantages when modelling problems in unscripted settings: (a) behaviour complexity and 39 variability results in large models that, depending on the size, could be computationally infeasible, 40 and (b) noise typical for physical sensors results in the inability of symbolic models reason about the 41 observed behaviour. 42

In attempt to cope with these challenges, there are works that propose the combination of symbolic 43 structure and probabilistic inference, such as [10–12]. This type of approaches are known, among 44 other, as Computational State Space Models (CSSMs) [13]. These approaches have a hybrid structure 45 consisting of symbolic representation of the possible behaviours and probabilistic semantics that allow coping with behaviour variability and sensor noise [10,12,13]. Currently, CSSMs have shown 47 promising results in scripted scenarios but have not been applied in real world settings. In other words, 48 the model simplified problems that do not extensively address complications cause by behaviour 49 complexity and variability observed in real settings. Another core challenge is the recognition of one's 50 high-level behaviour from low level sensor observations [14]. 51 In a previous work, we shortly presented our concept and we showed first preliminary empirical 52

results from CSSMs applied to an unscripted scenario [1]. In this work we extend our previous workby providing

⁵⁵ 1. detailed formal description of the proposed methodology;

⁵⁶ 2. detailed analysis on the influence of different sensors on the CSSM's performance;

57 3. extension of the model to allow the recognition of single pooled and multiple goals;

⁵⁸ 4. detailed comparison between state of the art methods and our proposed approach.

The work is structured as follows. Section 2 discusses the state of the art in symbolic models for activity and goal recognition. Section 3 presents the idea behind CSSMs and we discuss a concrete implementation of CSSMs, called Computational Causal Behaviour Models, that we use in this work. Section 4 describes the experimental setup and the model development while Section 5 presents the results. The work concludes with a discussion and outline of future work in Sections 6 and 7 respectively.

65 2. Related Work

To be able to reason about one's cooking and eating behaviour and its implications on their 66 health, a system has to be able to infer information about the person's behaviour from observation by 67 means of sensors. To this end, current work distinguishes between Activity Recognition (AR), goal 68 recognition (GR) and Plan Recognition (PR) [14]. Activity recognition is known as the task of inferring the user's current action from noisy and ambiguous sensor data, while GR deals with recognising the 70 goal the person is pursuing. Plan recognition, in contrast, aims to infer the action sequence leading 71 to a goal under question by using (partial) action observations. Plan recognition can be considered 72 as the combination of activity and goal recognition. In other words, PR recognises both the person's 73 sequence of actions and goals they follow. The term "behaviour recognition", on the other hand, refers to the overall process of activity, goal, 75

⁷⁶ and plan recognition [15]. In the framework of behaviour recognition we refer to "actions" as the



Figure 1. Graphical representation of three different types of classifier. *X* represents a hidden state and *Y* an observation that is used to conclude information about *X*. (a) discriminative classifier, (b) generative classifier without temporal knowledge, (c) generative classifier with temporal knowledge (figure adapted from [16]).

primitive actions constituting a plan. A plan is then a sequence of actions leading to a certain goal¹.
In difference to typical AR terminology, where actions can build up complex parallel or interleaving activities, here we consider plan to be complex activity built up of sequentially executed actions. Based
on this definition, we introduce the general model of sensor based behaviour recognition which has
the objective to label temporal segments by use of observation data.

From the inference point of view, the aim of behaviour recognition is to estimate a hidden variable 82 X from an observable variable Y. Figure 1 (a) provides a graphical illustration of this task. Here, the 83 hidden variable X represents the activity of the human protagonist. With respect to the probabilistic 84 structure, two fundamentally different approaches to behaviour recognition exist [17]: discriminative 85 and generative classifiers. While discriminative classifiers model the conditional probability P(X | Y), 86 generative classifiers model the joint probability P(X, Y). In other words, discriminative classifiers 87 map the observation data to activity labels directly [17], while generative classifiers allow to exploit 88 the causal link between the system's state X and the observation Y by factoring the joint probability 89 into P(X, Y) = P(Y | X)P(X). A graphical representation of generative models is provided in Figure 1 90 (b). The representation allows to include prior knowledge about the dynamics of the underlying 91 process. Furthermore, experiences can often be used to establish the sensor model $P(Y \mid X)$ [18, p.5]. 92 [19] provide a detailed overview of methods for activity recognition. Typical approaches include 93 decision trees [20], support vector machines [21,22], or random forests [23,24]. 94 By including further knowledge about the temporal structure of the activities, a transition model 95 $P(X_t | X_{t-1})$ can be introduced to provide temporally smoothed estimates of the activities. This is 96 illustrated in Figure 1 (c). Generally, temporal generative models (e.g. Hidden Markov Models (HMM)) 97 do not raise any restrictions to the possible sequences of activities, which allows the estimation of 98 sequences that are impossible from the viewpoint of causality. Then, to reason about the causally valid 99 action sequences, we need to use plan recognition (PR) approaches. The literature provides different 100 overviews of plan recognition [14,25–28]. 101

From the modelling viewpoint, two different approaches exist for restricting the set of possible sequences. The first approach is to enumerate all possible sequences (plans) in a plan library [29]. However, the plan library has to be created manually, which is a tedious task due to the high number

Note that there is some variation in the interpretation of the term "activity recognition" across different works. For example, the authors in [9] refer to activity recognition as the process of recognising coarse-grained complex activities (e.g. "prepare breakfast", "take a shower", etc.) They however do not recognise the sequence of fine-grained actions needed to complete these activities. In our work, we consider the recognition of these fine-grained actions as "activity recognition", the recognition of the correct sequence of fine-grained actions as "plan recognition", and the recognition of the overall complex activity as "goal recognition".



Table 1. Existing CSSMs applied to activity and goal recognition problems.

of action sequences [30]. As pointed in [12], "library-based models are inherently unable to solve
the problem of library completeness caused by the inability of a designer to model all possible
execution sequences leading to the goal". This is especially true in real world problems where the
valid behaviour² variability can result in models with millions of states and execution sequences.

A second approach to introducing restrictions to the set of causally valid action sequences is to employ a structured state representation of *X* and generate only action sequences that are causally valid with respect to this state. This technique is also known as inverse planning [31], as it employs ideas from the domain of automated planning to infer the action sequence of a human protagonist. This technique is, for instance, used by [32] and [11].

To cope with behaviour variability, some works introduce the use of computational state space 114 models (CSSM). CSSMs allow modelling complex behaviour with a lot of variability without the need 115 of large training datasets or manual definition of all plans, [10,11]. CSSMs describe the behaviour in 116 terms of precondition-effect rules and a structured state representation to synthesise possible action 117 sequences. The manually implemented model is very small as it requires only a few rules to generate 118 different sequences of possible actions. This is done through the causal relations defined in the rules. 119 Note that this is an alternative to manually defining all possible action sequences, or using large 120 training datasets to learn them. In addition, some CSSMs combine their symbolic structure with 121 probabilistic inference engines allowing them to reason even in the presence of ambiguous or noisy 122 observations [12,13]. 123

Table 1 lists different works on CSSMs. For example, most of them make simplifying assumptions 124 of the environment in order to perform plan recognition. Most do not make use of action durations. 125 In real world, however, actions have durations and this makes the inference problem more complex. 126 Many works assume perfect observations, which is not the case in real world problems where the 127 sensors are typically noisy and there are missing observations. Also, most of the works assume that 128 there is only one goal being followed. In real world scenarios it is possible that the goals change over 129 time. Presently, CSSMs have been applied to problems in scripted scenarios. Such settings limit the 130 ability to investigate behaviour complexity and variability that is usually observed in everyday life. 131 There is still no solid empirical evidence that CSSMs can cope with the behaviour variability observed 132 in real world everyday activities³. Additionally, presently CSSMs have been used for recognising 133

² We have to note that by "valid" we mean any causally correct sequence of actions. This does not necessarily mean that the behaviour is also rational from a human point of view. "Causally correct" here indicates that the sequence of actions is physically possible (e.g. a person has to be at a given location to execute the action at this location, or an object has to be present for the person to interact with this object, etc.).

³ Note that CSSMs have been applied to the problem of reconstructing the daily activities in home settings [37]. This analysis, however, is very coarse-grained and does not address the problem of reasoning about the fine-grained activities and goals within a certain task, e.g. while preparing a meal.



Figure 2. Elements of a Computational Causal Behaviour Model.

the goal only in scenarios with simulated data [10,11]. In other words, it is unclear whether these
approaches are able to reason about the user behaviour when the user is not following a predefined
script or agenda.

In a previous work, we showed preliminary results of a CSSM approach called Computational Causal Behaviour Models (CCBM), which reasons about one's activities in real cooking scenarios [1,38]. In this work, we extend our previous work by providing detailed information on the approach and the developed model. We show that our model is able to perform goal recognition based on both multiple and single "pooled" goals. We also present a detailed empirical analysis on the model performance, the effect of the sensors on the performance, and we compare the proposed approach with state-of-the-art Hidden Markov Model for PR. Finally, we provide a discussion on the effect of adding the person's position extracted from video data on the model performance.

3. Computational Causal Behaviour Models

The previous section introduced the concept of behaviour recognition and illustrated that CSSMs provide a convenient approach by bridging the gap between activity recognition and plan recognition. This section further extends these concepts and gives an introduction to Computational Causal Behaviour Models, a framework for sensor based behaviour recognition based on the principle of CSSMs. The description is based on the dissertation of F. Krüger [16]. Figure 2 describes the CCBM elements.

CCBM provides a convenient way to describe sequences of human actions by means of computable 152 functions rather by providing plan libraries to provide all possible sequences. From the viewpoint 153 of probabilistic models, as introduced in Section 2, CCBM allows to specify the temporal knowledge 154 of human behaviour — the system model $P(X_t | X_{t-1})$. To this end, CCBM employs a causal model, 155 which uses precondition and effect rules in order to describe the system dynamics. Beside the system 156 model, inference from noisy observations requires an observation model, which basically describes 157 the relation between the sensor observation Y and the system's state X. CCBM uses a probabilistic 158 semantic to cope with uncertainties resulting from noisy sensor observations and the non-deterministic 159 human behaviour. In the following these concepts are describes in more detail. 160

161 3.1. Causal Model

As described earlier, CSSMs rely on the idea of plan synthesis. A model based description [39] is employed to describe the state space and possible actions. A state is described by predicates, where each represents a property of the environment such as the current position of the person, or whether the person is hungry or not. Actions are described by means of preconditions and effects with respect Version January 30, 2019 submitted to Sensors

```
(:action move
    :parameters (?from ?to - location)
    :duration (normal 30 5)
    :precondition (and
                   ?from)
             (is-at
             (not (is-at ?to))
             (not (= ?from ?to))
             (not (not-allowed-to-move ?from ?to))
    )
    :effect
            (and
             (is-at ?to)
             (not (is-at ?from))
    )
    :observation (setActivity (activity-id move))
)
```

Figure 3. Example rule for the execution of the action "move".

```
(:types
location food tool - object
tool ingredient - takeable
meal ingredient - food
drink - meal
prep-meal - meal
)
(:objects
study kitchen - location
tools - tool
ingredients - ingredient
coffee tea juice water - drink
pasta salad chicken toast snack - prep-meal
other - prev-meal
)
```

Figure 4. Example definition of types and their concrete objects for the cooking problem.

to a structured state. While the preconditions restrict the application of actions to appropriate states, 166 the effects describe how the state is changed after executing an action. In case of CCBM, the causal 167 model employs a PDDL-like⁴ notation to describe the action rules, the initial and goal states, and the 168 concrete objects in the environment. Figure 3 shows an example of a PDDL-like rule for the action 169 "move". The rule represents an action template that can be grounded with different parameters. For 170 example, the action template "move" can be grounded with two parameters of type "location"⁵. The 171 template then incorporates its rules in terms of preconditions and effects. The preconditions describe 172 the constraints on the world in order for an action to become executable, while the effects describe how 173 the execution of this action changes the world. They are defined in terms of predicates that describe 174 properties of the world. Apart from the preconditions and effects, an action template has a duration (in 175 this case a normal distribution with a mean of 30 and a standard deviation of 5), and an ":observation" 176 clause that maps the high level action to the observation model. Apart form the action templates, the 177 objects in the environment and their types are expressed in a PDDL-like notation. Figure 4 shows 178 the definition of the types and objects for the cooking problem. For example, the objects "study" and 179 "kitchen" are from type "location" and the type "location" has a parent type "object". This notation 180 builds up a hierarchy with the concrete objects at the bottom and the most abstracted class at the top. 181 A graphical representation for this structure can be seen in Figure 7. 182

The action templates together with the objects generate a symbolic model that consists of actions A, 183 states \mathbb{S} , ground predicates \mathbb{P} , and plans \mathbb{B} . The set \mathbb{A} of grounded actions is generated from the action 184 templates by grounding a template with all possible concrete objects. The set \mathbb{P} of grounded predicates 185 is generated by grounding all predicates with the set of all possible objects. A state $s \in S$ is defined as a 186 combination of all ground predicates and describes one particular state of the world. For a state $s \in S$, 187 each state describes one possible combination of the actual values of the ground predicates. Imagine 188 we have a model, which has 3 predicates and each of these predicates can have the value true or false. 189 One possible state will have the following representation $s = (pr_1 := true, pr_2 := true, pr_3 := false)$. 190

⁴ Planning Domain Definition Language [40].

⁵ E.g. "(move ?from ?to)" could yield the concrete action "(move kitchen study)".



Figure 5. DBN structure of a CCBM model. Adapted from [13].

Then S represents the set of all such predicate combinations, i.e. all states that are reachable by applying 191 any sequence of action on the initial state. We can reach from one state s to another s' by applying an 192 action $a \in A$ in s. We then say that s' is reachable from s by a. The initial state s_0 , is the state of the 193 world at the beginning of the problem. Apart from the initial state, another important subset of states 194 is the one containing the goal states $\mathbb{G} \subseteq \mathbb{S}$. \mathbb{G} is basically the set of states containing all the predicates 195 that have to be true in order to reach the goal the person is following. $\mathbb{B} = \{p_1, \ldots, p_n\}$ is the set of all 196 possible execution sequences (or plans) starting in the initial state and reaching a goal state. For a plan 197 $p_i = (a_1, \ldots, a_m)$ that leads to a goal $g \subseteq \mathbb{G}$ and an initial state $s_0 \in \mathbb{S}$, we say that p_i achieves \mathbb{G} , if for 198 a finite sequence of actions a_i and a state $s_l \in \mathbb{G}$, $s_l = a_m(\cdots a_2(a_1(s_0))\cdots)$. B corresponds to a plan 199 library in approaches that manually define the possible plans [29]. A plan library will then contain 200 all possible valid plans (either manually built in library-based approaches or generated from rules in 201 approaches such as CCBM). 202

203 3.2. Probabilistic Semantics

As introduced in Section 2, a generative probabilistic model with temporal knowledge is modelled by two random variables X and Y at different time steps, which represent the belief about the system's state and the sensor observation. In order to reason not only about the state, but also about the action being executed by the person, the state X is further structured. As can be seen in Figure 5, the system state X is structured into the following random variables S, A, G, D, and U, each representing a different aspect of the state. Similarly the observation Y is structured into Z, W, and V, each representing different types of sensory observation.

From the illustration it can be seen, that the state *X* at time *t* depends on the state at time t - 1. The transition model describes this dependency through the probability $P(X_t|X_{t-1})$ of observing that we are in a state *X* given that the previous state was X_{t-1} . We call this model a **system model**. Since the state *X* is a five-tuple (*A*, *D*, *G*, *S*, *U*), this can be rewritten as:

$$p(X_t | X_{t-1}) = p(A_t, D_t, G_t, S_t, U_t | A_{t-1}, D_{t-1}, G_{t-1}, S_{t-1}, U_{t-1}, V_t, V_{t-1})$$
(1)

Here, the random variable *A* represents the action (from the set \mathbb{A}) that is currently executed by the human protagonist while trying to achieve the goal *G* (from the set \mathbb{G}). The current state of the environment is represented by *S* (from the set \mathbb{S}). The variables *U* and *D* represent the starting time of the current action and signal whether the action should be terminated in the next time step. Finally, the

variables *V*, *W*, and *Z* reflect observations of the current time, environment, and action, respectively.

By exploiting the dependencies from the graphical model, this transition model can be simplified into five sub-models, each describing the dependency of one element of the state.

$p(S_t \mid A_t, D_t, S_{t-1})$	action execution model	(2)
$p(A_t D_t, G_t, A_{t-1}, S_{t-1})$	► action selection model	(3)
$p(U_t \mid D_t, U_{t-1}, V_t)$	► action start time model	(4)
$p(D_t A_{t-1}, U_{t-1}, V_t, V_{t-1})$	► action duration model	(5)
$p(G_t \mid X_{t-1})$	► goal selection model	(6)

The **action execution model** describes the application of the actions to a state and the resulting state. This sub-model basically implements the effects of the action description. For this purpose, the resulting state is determined based on the current state, the action to be executed, and whether the current action is finished or not. More formally,

$$p(s_t | a_t, d_t, s_{t-1}) = \begin{cases} 1, & \text{if } d_t = false \land s_t = s_{t-1}; \\ 1, & \text{if } d_t = true \land s_t = a_t(s_{t-1}); \\ 0, & \text{otherwise.} \end{cases}$$
(7)

Depending on the value of d_t , either the system's state remains untouched, or the new state s_t is set to the results of applying the selected action a_t to the current state s_{t-1} . While the action execution model generally allows the usage of non-deterministic effects (i.e. the outcome of an action is determined probabilistically), CCBM's deterministic effects are considered sufficient in order to model the human protagonist's knowledge about the environment.

Both, the **action start time** and the **action duration model** implement the fact that actions executed by human protagonists consume time. While the first model basically "stores" the starting time of the current action, the second model determines whether the action that is currently executed should be terminated or not. From the probabilistic viewpoint, the action start time model is rather simple. The value of u_t is set to the current time t if a new action is selected, otherwise the value is copied from the previous time step. More formally,

$$p(u_t | d_t, u_{t-1}, v_t) = \begin{cases} 1, & \text{if } (d_t = false \land u_t = u_{t-1}) \lor (d_t = true \land u_t = v_t); \\ 0, & \text{if } (d_t = false \land u_t \neq u_{t-1}) \lor (d_t = true \land u_t \neq v_t). \end{cases}$$
(8)

The action duration model employs the start time of an action to determine the termination by use of an action specific duration function (provided by the CDF *F*):

$$p(d_t \mid a_{t-1}, u_{t-1}, v_t, v_{t-1}) = \frac{F(v_t \mid a_{t-1}, u_{t-1}) - F(v_{t-1} \mid a_{t-1}, u_{t-1})}{1 - F(v_t \mid a_{t-1}, u_{t-1})}$$
(9)

Objective of the **goal selection model** is to provide a mechanism to model the rational behaviour of the human protagonist. While different approaches in the literature allow changes of the goal (see for instance [31] or [41]), CCBM is based on the assumption that once a goal is selected it is not changed. Similar as for the deterministic action execution, this assumption is based on the complete and static knowledge of the human protagonist. This means that the goal is chosen at time t=0 and copied from the previous time step afterwards.

$$p(g_t | g_{t-1}, s_{t-1}, a_{t-1}, d_{t-1}, u_{t-1}) = \begin{cases} 1, & \text{if } g_t = g_{t-1} \\ 0, & \text{otherwise} \end{cases}$$
(10)

- To reflect the human protagonist's freedom to choose an action, the **action selection model** provides a probability distribution over possible actions. This includes two aspects:
- 223 1. goal-directed actions are preferred, but
- 224 2. deviations from the "optimal" action sequence are possible.

Whenever the previous action has been terminated, a new action has to be selected.

$$p(a_t | d_t, g_t, a_{t-1}, s_{t-1}) = \begin{cases} \gamma(a_t | g_t, a_{t-1}, s_{t-1}), & \text{if } d_t = true \\ 0, & \text{if } d_t = false \land a_t \neq a_{t-1} \\ 1, & \text{if } d_t = false \land a_t = a_{t-1} \end{cases}$$
(11)

This is done by the action selection function γ , which is implemented based on log-linear models. This allows to include different factors into the action selection mechanism.

$$\tilde{\gamma}(a_t \mid g_t, a_{t-1}, s_{t-1}) = \exp\left(\sum_{k \in K} \lambda_k f_k(a_t, g_t, a_{t-1}, s_{t-1})\right)$$
(12)

$$\gamma(a_t \mid g_t, a_{t-1}, s_{t-1}) = \frac{1}{Z} \tilde{\gamma}(a_t \mid g_t, a_{t-1}, s_{t-1})$$
(13)

$$Z = \sum_{a \in A} \tilde{\gamma}(a_t \,|\, g_t, a_{t-1}, s_{t-1}) \tag{14}$$

Here f_k is the feature used for the action selection with $k = \{1, 2\}$. f_1 is based on the goal distance [42], while f_1 uses a landmarks-based approximation of the goal distance [43]. The distance in f_1 is determined by an exhaustive process, which could be infeasible in very large state spaces. For that reason f_2 uses approximation based on the predicates that have to be satisfied to reach the goal. The weight λ_k allows the adjust the influence of the particular heuristic to the action selection model.

230 3.3. Observation Model

The connection between the system model and the observations is provided through the **observation model**. It gives the probability $p(Y_t = y_t | X_t = x)$ of observing an observation y given a state x. As illustrated in Figure 5 the random variable Y is structured into Z, W, and V, representing sensors observation of actions (e.g. movement of a person), the environment (e.g. whether a cupboard is open or closed) and the current time. While the observation model itself is not part of the causal model, but has to be provided separately, the :observation clause in the action template is used to map the specific functions from the observation model to the high level system model (see Figure 3). The :observation provides the observation model with information about the current state X, such as the action currently executed or the environment state. Objective of the observation model is to provide the probability of the sensor observation given the action $a \in A$ and state $s \in S$.

$$P(Y_t \mid X_t) = P(Z_t \mid A_t)P(W_t \mid S_t)$$
(15)

While there are no restrictions to way, in which this probability is computed, here we use an observation
model that incorporates a decision tree. More information about the implementation of the system
and observation models can be found in [44].

234 4. Experimental Setup and Model Development

235 4.1. Data Collection

To investigate whether CSSMs are applicable to real word scenarios, we used a sensor dataset recorded in real settings. The dataset consists of 15 runs of food preparation and eating activities. The dataset was collected in a real house rented by the SPHERE project (a Sensor Platform for HEalthcare



Figure 6. The SPHERE house at the University of Bristol and the kitchen setup.

in a Residential Environment) [45]. SPHERE is an interdisciplinary research project aiming to assist medical professionals in caring for their patients by providing a monitoring solution in home settings 240 [45]. The SPHERE House is a normal 2-bedroom terrace in Bristol (UK) into which has been installed 241 the full SPHERE system for testing, development and data collection. The SPHERE system consists of a 242 house wide sensor network which gathers a range of environmental data (such as *temperature*, *humidity*, 243 *luminosity, motion*), usage levels (*water* and *electricity*), and *RGB-D* features from depth cameras in the 244 downstairs rooms [46]. The system also has support for a wearable sensor, used for location, heart-rate 245 and an on-board accelerometer, although this was not used for this dataset due to hygiene concerns. 246 For this work, binary cupboard door state monitoring was also added in the house kitchen to provide 247 additional domain relevant data [47]. A head-mounted camera was used during the data collection to 248 provide a point-of-view for each participant allowing for easier annotation of the observations. Due to 249 extensive consultation with members of the public and medical professionals, the SPHERE system 250 strikes a balance between protecting the privacy of the occupants and providing useful clinical data. 251 Figure 6 shows the SPHERE house and the kitchen setup. 252

Each participant was given ingredients that they had requested and asked to perform two or three 253 cooking activities of their choice without any set recipe or other restrictions. They were encouraged 254 to act naturally during the recordings, which lead to a range of behaviours, for example leaving the 255 kitchen for various reasons, preparing drinks as desired and using personal electrical devices when 256 not actively cooking. This led to various meals, both in terms of preparation time and complexity, 257 and a range of exhibited behaviours. Table 2 shows the different runs, the meals and drinks that were 258 prepared and whether they have been classified as healthy for the purposes of this work. It also shows 259 the length of the task in terms of time steps after the data was preprocessed (see Section 4.2). The 260 resulting dataset can be downloaded from [48]. Note that this dataset does not contain the RGB-D data 261 from the depth cameras as this is not stored by the system for privacy reasons. Bounding boxes of 262 humans in the scene, generated by the system from the RGB-D in real time, are included and used in 263 this work to evaluate whether the information from the cameras improves model performance (see 264 Section 5.2). 265

Table 2. Types of meal and length of execution sequence in a dataset. "Number of Actions" gives the discrete actions required to describe the sequence (i.e. it gives the number of actions executed during the task). "Time" gives the duration of the recording in time steps. Time steps are calculating by using a sliding window over the data, which is originally in milliseconds (see Section 4.2). "Meal" gives the eventual result of the food preparation.

Dataset	# Actions	Time	Meal
D1	153	6502	pasta (healthy), coffee (unhealthy), tea (healthy)
D2	13	602	pasta (healthy)
D3	18	259	salad (healthy)
D4	112	3348	chicken (healthy)
D5	45	549	toast (unhealthy), coffee (unhealthy)
D6	8	48	juice (healthy)
D7	56	805	toast (unhealthy)
D8	21	1105	potato (healthy)
D9	29	700	rice (healthy)
D10	61	613	toast (unhealthy), water (healthy), tea (healthy)
D11	85	4398	cookies (unhealthy)
D12	199	3084	ready meal (unhealthy), pasta (healthy)
D13	21	865	pasta (healthy)
D14	40	1754	salad (healthy)
D15	72	1247	pasta (healthy)

266 4.2. Data Processing

The dataset was recorded in JSON format. After converting it into column per sensor format, the 267 procedure generated multiple rows with the same timestamp (in milliseconds). To remove redundant 268 data, we combined rows with the same timestamp given that there was only one unique value for 269 a sensor type. Furthermore, the action class was added for each row in the data. As the conversion 270 generates some undefined values (due to the different frequencies of data collection for each type 271 of sensor), time steps with undefined values for a particular sensor were replaced with the nearest 272 previous value for that sensor. Apart from collecting the sensors' values at a certain sampling rate, the 273 value was also recorded when a change in the sensor's state was detected. This ensures that replacing 274 undefined values with the previous one, will not result in transferring incorrect values when the 275 sensor changes its state. To reduce the impact of ambiguous observations on the model performance, 276 we applied a sliding window for all sensors with overlapping of 50%. We used a window size of 5. 277 We summarised the values in a window by taking the maximum for each sensor. A larger window 278 resulted in removing certain actions from the dataset. For that reason we chose the window size of 5 279 steps. This still produced actions with equivalent observations but reduced their number. The length 280 of the resulting execution sequences in time steps can be seen in Table 2. 281

282 4.3. Data Annotation

In order to obtain the annotation, an action schema and ontology were developed and used for 283 the annotation and the CCBM model design considerations. The ontology contains all elements in 284 the environment that are relevant for the annotation and the model, while the action schema gives 285 the restrictions on which actions can be applied to which elements in the environment. Note that 286 the proposed action schema is more fine-grained than other existing works on AR in home settings 287 288 (e.g. see [37,49]). This could be partially explained with the fact that sensors are unable to capture fine-grained activities leading to the development of action schema on the sensors' granularity level 289 [50]. In this work, we follow the assumption that we need an action schema on the granularity level 290 of the application domain, so we are not guided by the sensors' granularity. In other words, we can 291 produce annotation that is not captured by the sensors. To produce the annotation, we followed the 292 process proposed in [51,52]. 293

294 4.3.1. Ontology

The ontology represents the objects, actions, and their relations that are relevant for the problem. The actions in the ontology refer to the meal they are contributing to (e.g. "get ingredient pasta" means "get the ingredient needed for preparing pasta"). The action schema used in the model are shown in

Table 3. The actions schema for the ontology.

Table 3. They provide the rules for applying actions on elements in the environment. For example,

1) (move $< location > < location >$)	5) (<i>eat</i> $<$ <i>meal</i> $>$)
2) $(get < item > < meal >)$	6) (drink $<$ meal $>$)
3) (<i>put</i> < <i>item</i> > < <i>meal</i> >)	7) (clean)
4) (prepare $< meal >$)	8) (unknown)

298

prepare can be applied only on element of type meal. In Table 3, location and item represent sets of

³⁰⁰ objects, necessary for achieving the task, while *meal* refers to the goal of preparing a certain type of

meal. The concrete locations, items and meals can be seen in Table 4. Here the *get*, *put* and *prepare*

actions do not take into account the location where the meal is being prepared but rather the type

³⁰³ of meal as a goal. Beside the goal oriented actions, the ontology also has an *unknown* action, which describes actions that do not contribute to reaching the goal. Figure 7 shows the objects' hierarchy for



Figure 7. The relevant elements in the environment represented as hierarchy. Rectangles show objects; ellipses describe the object types; arrows indicate the hierarchy or "is-a" relation (the arrow points to the father class). Figure adapted from [38].

304

the action schema. The rectangles show the object used in the experiment, while the ellipses depict theobject types. The types are the same as those in Table 4.

307 4.3.2. Annotation

Based on the ontology, 15 datasets were annotated using the video logs from the head mounted camera and the ELAN annotation tool [53]. The process proposed in [51] was used, ensuring that the resulting annotation is syntactically and semantically correct. Figure 5 shows an example of the annotation, where the time indicates the start of the action in milliseconds. The annotation has then been mapped to the processed sensor data, where the time is no longer in milliseconds but in time steps.

The length of the annotation sequences after synchronising with the sensor data (with and without timing) can be seen in Table 2. The types of meals are also listed there. The annotation was later used to simulate data for the experiments reported in [38]. It was also used as a ground truth in this work during the model evaluation as well as for training the observation model for the CCBM.

Table 4. Object sets in the ontology.

Meal	chicken, coffee, cookies, juice, pasta, potato, readymeal, rice, salad, snack, tea, toast, water, other
Item	ingredients, tools
Location	kitchen, study

Time	Label
1	(unknown)
3401	(move study kitchen)
7601	(unknown)
10401	(prepare coffee)
31101	(unknown)
34901	(clean)
47301	(unknown)
52001	(get tools pasta)
68001	(get ingredients pasta)
86301	(prepare pasta)
202751	(get tools pasta)
221851	(get ingredients pasta)
228001	(prepare pasta)

Table 5. Excerpt of the annotation for run D1. Time here is given in milliseconds.

318 4.4. Model Development

To develop the model, we follow the process proposed in [12]. It consists of developing the causal model and the observation model, then defining the different heuristics for action selection and finally identifying appropriate action durations.

322 4.4.1. CCBM Models

Causal model: We first developed 15 specialised models, which were fitted for each of the 323 15 execution sequences (we call these models $CCBM_s$). More specifically, for all 15 models the 324 same precondition-effect rules were used, but the initial and goal states differed so that they could 325 accommodate the specific for each meal situation. We built the specialised models only for comparison 326 purpose, as we wanted to evaluate whether our general model performs comparable to specialised 327 models, which are typically manually developed in approaches relying on plan libraries. We also 328 developed a general model, able to reason about all sequences in the dataset and allows performing GR 329 (we call this model $CCBM_g$). The model uses the action schema presented in Figure 3 and can label the 330 observed actions as one of the classes *clean*, *drink*, *eat*, *get*, *move*, *prepare*, *put*, *unknown*. The model size

Table 6. Parameters for the different models.		
Parameters	$CCBM_g$	$CCBM_s$
A 1	0	0

	eesing	0001113
Action classes	8	8
Ground actions	92	10 - 28
States	450 144	40 - 1288
Valid plans	21 889 393	162 – 15 689

331

in terms of action classes, ground actions, states, and plans for the different model implementations
can be seen in Table 6. Here, "action classes" shows the number of action types in the model, "ground
actions" gives the number of unique action combinations based on the action schema in Table 3,
"states" gives the number of S-states the model has, and "valid plans" provides the number of all
valid execution sequences leading from the initial to one of the goal states. The general model has a
larger state space and a larger set of plans. This allows coping with behaviour variability, however, it
potentially reduces the model performance in contrast to the over-fitted specialised model.

Goals in the model: To test whether the approach is able to reason about the observed behaviour, we modelled the different type of behaviour as different goals. As we are interested not only in the type of meal the person is preparing, but also what influence it has on the person's health, we integrated two types of goals:

type of meal being prepared. Here we have 13 goals, which represent the different meals and
 drinks the person can prepare (see Table 4);

healthy / unhealthy meal / drink (4 goals). Here we made the assumption that coffee, toast, and
 ready meals are unhealthy, while tea and freshly prepared meals are healthy (see Table 2). This
 assumption was made based on brainstorming with domain experts.

Note, that although the goals could also be interpreted as actions or states, in difference to AR approaches, in GR we are able to predict them before they actually happen.

Duration model: The action durations were calculated from the annotation. Each action class

received probability that was empirically calculated from the data. The probability models the duration of staying in the one state. Figure 8 shows selected examples of the frequency of action durations for a



Figure 8. Frequency of the durations of some actions in the dataset.

³⁵² 353 given action.

Observation model: The observation model P(y|x) has been obtained by a learning-based approach as follows. We trained a decision tree (DT) based on the action classes. The output of the DT is for each time step, a distribution of the action class, given the current observation. This output has been used as a high-level observation sequence that is used to update the state prediction of the CCBM, by weighting each state by the probability of the corresponding action class, as indicated by the DT. The DT was applied to the following sensors:

- *fridge electricity consumption:* for this sensor we expect to see more electricity consumption when
 the door of the fridge is open, which will indicate an ingredient being taken from the fridge;
- *kitchen cupboard sensors (top left, top right, sink):* show whether a cupboard door is open, which
 could indicate that an ingredient or a tool has been taken from the cupboard;
- *kitchen drawer sensors (middle, bottom):* as with the cupboard sensors, provide information whether a drawer has been opened;
- *temperature sensor:* measures the room temperature and increasing temperature can potentially
 indicate the oven or stoves being used;
- *humidity sensor:* measures the room humidity and increased humidity can indicate cooking
 (especially when boiling water for the pasta);
- *movement sensor:* provides information whether a person is moving in the room. This is useful
 especially for the eating actions, when the person leaves the kitchen and eats in the study;
- *water consumption (hot and cold):* shows the water consumption in the kitchen. This is useful especially in the cleaning phase.
- *kettle electricity consumption:* as with the fridge, we expect to see more electricity consumption
 when the kettle is on and somebody is boiling water.
- *depth cameras:* the position of the person was estimated through the depth cameras. We expected
 that adding the position will increase the model performance.
- We trained two types of observation models with DT:

1. OM_0 : We used all data to train the OM and the same data to test the model (*o* denotes "optimistic"). This is an over-fitted model and we assume it should provide the best performance for the given system model and sensor data. Although this model is not realistic, it gives us information about the capabilities of the approach under optimal conditions.

- **2.** OM_p : We used the first run for training and the rest to test the model (*p* denotes "pessimistic").
- We chose the first run as it is the only one containing all actions. This observation model gives us
- information about the performance of the system model in the case of very fuzzy observations.
- 4.4.2. Hidden Markov Model
- In order to compare the CCBM approach to the state of the art, we also built a hidden Markov
- ³⁸⁸ model (HMM) both for activity and goal recognition. The number of hidden states in the HMM equals the number of action classes. Figure 9 shows a graphical representation of the modelled HMM.



Figure 9. The HMM used for activity recognition. Each state represents an action class. Thicker lines indicate higher transition probabilities.

389

The HMM has a transition matrix as well as prior probabilities for each state. We empirically estimated the transition matrix from the annotation of the training data by counting the number of state transitions. The state prior probabilities are based on the number of times a state appears in the training data. Two different transition models have been examined:

- estimating the transition model from the data of all runs (general HMM, we call this model HMM_g);
- estimating the transition model separately for each run (specialised HMM, we call this model HMM_s).
- For the HMMs we used the same two observation models as with the CCBM model.
- 399 Goal Recognition

The HMM has been used for goal recognition as proposed in [16]. This means, an HMM has been built for each possible goal. Each HMM has been trained using all runs where this goal occurs. These HMMs have been combined by introducing a common start state. The transition probabilities from the start state to the states of the sub-models are the normalised priors of the sub-model states. Note that the sub-models can have different number of states, when not all action classes occur in a run. This type of HMM is known as joint HMM [54]. This way, each state in the joint HMM is a tuple (action class, goal) and the probability of a goal is computed by marginalising over all states with the same goal.

408 4.5. Evaluation Procedure

To select the best combination of sensors for behaviour recognition and to evaluate the models' performance, the following experiments were conducted:

1. feature selection of the best combination of sensors. This experiment was performed to select the

sensors that at most contribute to the model performance. The selected combination was laterused when comparing the CCBM and HMM models;

- a14 2. activity recognition of the action classes with DT, HMM, and CCBM models;
- goal recognition of the types of meals and drinks as well as preparation of healthy / unhealthy
 meal / drink.
- 417 4.5.1. Feature selection

We used the HMM for activity recognition to find the best feature combination, where by "feature" we mean the type of sensor. We did two types of evaluation: we first performed activity recognition with all feature combinations without the features from the depth cameras ($2^{12} = 4096$ combinations). This feature selection was performed with OM_p as the observation model and DT as classifier. OM_p has been chosen because it gives the most realistic assessment of the performance on new data (OM_o is over-fitted and selecting features with this observation model would result in an over-fitted feature combination, i.e. in too many features).

This procedure results in accuracies for all feature combinations. We computed the accuracy using

$$Accuracy = \frac{\sum_C \lambda_C}{N}.$$
 (16)

Here *C* represents the action class. *N* represents all instances that are classified. λ is the number of correctly recognised instances in *C*.

As we wanted to decide which features to use for the model evaluation, for each feature f we calculated how much it contributes to the model performance.

1. We start by comparing the mean performance for the different feature combinations;

430 2. we decide that f may produce noise when the performance of the models which contain f is

below the accuracy of the rest of the models;

432 3. for the model comparison, choose the feature combination with the highest accuracy.

We performed similar procedure for all features combinations including the features from the depth cameras ($(2^{15} = 32,768 \text{ combinations})$). We performed this second evaluation separately as the first run (D1) does not contain the features from the depth cameras but it is the only dataset that contains all action classes. For that reason we performed a leave-one-out cross validation. D1 was thus removed from the evaluation. For each feature combination, we performed 14 training runs: training with all of the runs except *i*, and evaluating the performance (accuracy) on run *i*. Then, the accuracy was calculated as the mean accuracy of the 14 runs.

440 4.5.2. Activity recognition

We use factorial design for both activity and goal recognition. For the activity recognition, three types of factors were examined:

1. **algorithm:** this factor considers the different approaches to be compared (DT, HMM, CCBM). The

decision tree was our baseline and it gives information about the performance when applying only the observation model. In that sense, we expected the HMM and CCBM models to perform better that the DT;

447 2. **observation model:** (optimistic / pessimistic);

3. **system model:** (general / specific). In the case of DT we did not have different system models.

The different dimensions we considered resulted in 10 models.

450 4.5.3. Goal recognition

Each dataset was annotated with one or several goals. Two kinds of goal annotations exist:

• Meal goals: pasta, coffee, tea, salad, chicken, toast, juice, potato, rice, water, cookies, ready meal

• Healthy/unhealthy goals: healthy drink, unhealthy drink, healthy meal, unhealthy meal

⁴⁵⁴ The goals for a given run can be seen in Table 2.

455 CCBMs (and HMMs) normally cannot recognise multiple goals. Instead, they recognise one goal
456 for each time step. Ideally, they converge after some time, only recognising a single goal after this
457 time. To perform multi-goal recognition (as required by this scenario), we examined two strategies for
458 deriving multiple goals.

Multiple goals strategy: we collected all goals that have been recognised at least once by the algorithm, and compared them with the set of true goals. The performance of each dataset was estimated by Formula 17.

$$performance(i) = \frac{|est_i \cap truth_i|}{|est_i|}$$
(17)

Here est_i is the set of recognised goals for dataset i, and $truth_i$ is the set of true goals for dataset i. The overall performance is the mean of each datasets' performance.

"Pooled" goals strategy: each distinct set of goals was subsumed as a goal set. We performed
 goal recognition with this goal set. The goal of a run is said to be recognised correctly if the goal
 estimation of this run converged to the correct goal.

464 Furthermore, we used different types of goal priors:

uniform priors (uninformed): in this case all priors (i.e. x_0) have the same probability.

⁴⁶⁶ 2. **informed priors:** here, the correct goal has two times the likelihood than the rest of the goals.

When applying factorial design to the goal recognition, the activity recognition factors do not apply, as each sub-HMM has been trained by using the data of all runs where the corresponding goal occurs. For that reason, for the goal recognition, we examined the following factors:

470 1. algorithm (HMM / CCBM);

- 471 2. goal target (Meal / Healthy);
- 472 3. type of multigoal recognition (multiple goals / single, "pooled" goals);
- 473 4. **prior** (informed / uninformed).

This resulted in 16 models.

475 5. Results

476 5.1. Feature Selection without the Depth Camera Features

4096 combinations of sensors were evaluated and from them the best combination was selected 477 for the further experimentation. Using the procedure described in the previous section, the mean 478 accuracy of the model with and without a given feature was calculated. Figure 10 (left) shows the 479 results. It can be seen that the humidity sensor reduces the performance of the model the most. The 480 fridge electricity consumption, movement sensor, and temperature sensor also slightly reduce the mean performance. Interestingly enough, the fridge electricity consumption and movement sensors 482 are both in the set of best performing feature combination (see Table 7). This indicates that taking 483 the mean accuracy is probably not the best evaluation metric as some of the sensor combinations can 484 reduce the usefulness of a sensor that otherwise brings relevant information to the model. 485

Table 7 shows the 10 worst and the 10 best combinations. The best performing set of features is *fridge, cupboard top right, movement sensor, hot water consumption, cold water consumption* with accuracy of 0.4332. This combination was later used in the remainder of the experiments.

489 5.2. Feature Selection with Locational Data from Depth Cameras

During the experiment, the position of the person estimated through depth cameras was also recorded. One hypothesis we had, was that adding the position will increase the model performance.



Figure 10. Mean accuracy with and without a given feature. Left: the accuracy for all feature combinations without the camera features and using the first run (D1) for training and the rest for testing. Right: the accuracy of all feature combinations including the camera features and using leave-one-out cross validation.

This hypothesis was not confirmed by the empirical results we obtained after adding the position to
the existing sensor data. Below we discuss the procedure for the data processing and the empirical
results.

In order to address privacy concerns about RGB-D images being stored within the SPHERE system, there was a requirement for all features to be extracted at run time [46]. In order to obtain the positional and tracking information of any scene elements of interest, the SPHERE system makes use of a Kernelised Correlation Filter (KCF), augmented with Depth Scaling (DS-KCF) [55]. This is an extremely lightweight object tracker which is capable of handling the complicated environments encountered by SPHERE, while running at around 180 frames per second.

⁵⁰¹ By using DS-KCF to obtain a 2D bounding box for an individual in frame along with the depth ⁵⁰² information from the image, a 3D bounding box could be established in order to get the 3D position ⁵⁰³ for each person within shot. This information was stored as Cartesian coordinates, and during data ⁵⁰⁴ processing was handled in the same way as the rest of the sensor data (see Section 4.2). Three new ⁵⁰⁵ columns were added for the x, y, and z position of the person extracted from the video data. To evaluate ⁵⁰⁶ whether the video data provides any additional information that increases the model performance, ⁵⁰⁷ we performed the feature selection process for all features including the depth camera features as ⁵⁰⁸ presented in Section 4.5.1.

The 10 best and worst features are shown in Table 8. It can be seen that the video data does not appear in the 10 best feature combinations. For this evaluation, the best feature combination is 510 kettle electricity consumption, cupboard top left, drawer bottom, temperature, and movement. This feature 511 combination does not include any camera features. When visually inspecting the video data compared 512 to the actions from the ground truth, it became apparent that the camera data does not allow any 513 conclusions about the currently performed activity. This could be interpreted in two ways. The 514 performed activities are not related to the position of the person in the kitchen (e.g. the action "prepare" 515 can take place at different locations within the kitchen). The second interpretation is that the position 516 extracted from the camera is noisy and thus does not provide useful information for the model. 517

Figure 10 (right) shows the mean accuracy of all feature combinations with and without each feature. This shows how much each feature contributes to the overall performance. It shows that the camera features reduce the performance. Interestingly enough, in contrast to the results from Figure 10 (left), here the movement sensor and the fridge electricity consumption improve the performance. These two sensors were also used in the set of sensors selected for our experiments.

523 5.3. Activity Recognition

Figure 11 shows the results for activity recognition. It depicts the distribution of the results for a given model over all runs. The accuracy is quite similar for all algorithms. There is a slight

10 worst combinations		
features	accuracy	
fridge, drawer middle, drawer bottom, humidity, movement	0.2688	
fridge, drawer middle, drawer bottom, humidity, movement, water cold	0.2691	
fridge, drawer bottom, humidity, movement, water cold	0.2692	
fridge, drawer bottom, humidity, movement	0.2692	
fridge, cupboard top left, humidity, movement	0.2694	
fridge, cupboard top left, drawer middle, humidity, movement	0.2694	
fridge, humidity, movement, water cold	0.2695	
fridge, drawer middle, humidity, movement, water cold	0.2695	
fridge, cupboard sink, humidity, movement, water cold	0.2695	
fridge, draw middle, humidity, movement	0.2695	

Table 7. Accuracies for the 10 worst and 10 best sensor combinations without the camera features.

10 best combinations			
features	accuracy		
drawer bottom, cupboard sink, water hot, water cold	0.4307		
drawer middle, drawer bottom, water hot, water cold	0.4308		
cupboard top left, drawer middle, drawer bottom, water hot, w	water cold 0.4308		
drawer middle, drawer bottom, cupboard top right, water hot	, water cold 0.4308		
fridge, drawer bottom, movement, water hot, water cold	0.4325		
fridge, movement, water hot, water cold	0.4330		
fridge, cupboard top left, movement, water hot, water cold	0.4330		
fridge, draw middle, movement, water hot, water cold	0.4330		
fridge, cupboard sink, movement, water hot, water cold	0.4330		
fridge, cupboard top right, movement, water hot, water cold	0.4332		

- ⁵²⁶ improvement in the recognition when using $CCBM_g$ with OM_o compared to the HMM and DT models.
- In combination with OM_p , however, there is no difference in the performance of $CCBM_g$, HMM_g ,
- HMM_s, and DT. Applying CCBM_s, there is improvement in the performance both when combined
- with OM_o and OM_p . This improvement, however, is not significant compared to the rest of the models (0.038N = 15^6). The results



Figure 11. Activity Recognition results. *OM-o* refers to the optimistic observation model, *OM-p* to the pessimistic observation model, *dt* is decision tree, *hmmg* is the general HMM, *hmms* is the specialised HMM, *CCBM.s* is the specialised CCBM, *CCBM.g* is the general CCBM.

- show that the CCBM models do not significantly improve the performance for activity recognition and
- that the observation model has the largest effect on the accuracy. This is to be expected with regard

to CCBM_g as the model is very general (with 21 million valid plans), which allows coping with the

⁵³⁴ behaviour variability but in the same time provides multiple explanations for the observed behaviour

⁶ p value of 0.038 was observed between HMM_g and $CCBM_s$. Although it is slightly under the threshold value of 0.05, we consider that it is a borderline result, and not a significant difference between the two models.

10 worst combinations	
features	accuracy
fridge, cupboard top left, drawer bottom, cupboard top right, humidity, xCoord	0.2199
fridge, cupboard top left, drawer bottom, cupboard sink, humidity, xCoord	0.2199
fridge, cupboard top left, drawer middle, cupboard sink, humidity, movement,	0.2194
xCoord	
fridge, cupboard top left, drawer middle, humidity, movement, xCoord	0.2189
fridge, cupboard top left, cupboard sink, humidity, movement, xCoord	0.2170
fridge, cupboard top left, drawer middle, cupboard top right, cupboard sink,	0.2167
humidity, xCoord	
fridge, cupboard top left, drawer middle, cupboard top right, humidity, xCoord	0.2162
fridge, cupboard top left, drawer middle, cupboard sink, humidity, xCoord	0.2162
fridge, cupboard top left, drawer middle, humidity, xCoord	0.2158
fridge, cupboard top left, cupboard top right, cupboard sink, humidity, xCoord	0.2149
10 best combinations	
features	accuracy
kettle, cupboard top left, drawer bottom, temperature, movement	0.4911
kettle, cupboard top left, drawer bottom, cupboard top right, temperature,	0.4911
movement	
kettle, cupboard top left, drawer bottom, cupboard sink, temperature,	0.4911
movement	
kettle, cupboard top left, drawer bottom, cupboard top right, cupboard sink,	0.4911
temperature, movement	
kettle, cupboard top left, cupboard sink, temperature, movement	0.4902
kettle, cupboard top left, cupboard top right, cupboard sink, temperature,	0.4901
movement	

novenent	
kettle, cupboard top left, drawer middle, drawer bottom, cupboard sink,	0.4901
temperature, movement	
kettle, cupboard top left, drawer middle, drawer bottom, cupboard top right,	0.4901
cupboard sink, temperature, movement	
kettle, drawer bottom, cupboard sink, temperature, movement	0.4892
kettle, drawer bottom, cupboard top right, cupboard sink, temperature,	0.4892
movement	

when the observations are ambiguous. Surprisingly, *CCBM*_s also did not show significantly better 535

performance. This can be partially explained with the fact that the ambiguous observations do not 536 provide one-to-one mapping with the actions (i.e. multiple actions can have the same observation).

537

5.4. Goal Recognition 538

Next, we compare the performance of the informed CCBM model (we call it $CCBM_i$) and the 539 uninformed CCBM mode ($CCBM_u$), with the informed HMM (HMM_i) and the uninformed HMM 540 $(HMM_u).$ 541

5.4.1. Multigoal Model 542

The results for goal recognition with multiple goals for the type of meal being prepared is 543 depicted in Figure 12. It can be seen that $CCBM_i$ always performs significantly better than the rest 544 of the models with a median accuracy of 1. We used Wilcoxon signed rank test to evaluate whether 545 there is significant difference between the models' performance. The results showed that $CCBM_i$ 546 combined with both OM_o and OM_p had a p value of under 0.0006 (V=120, Wilcoxon signed rank 547 test with N = 15), indicating that using CCBM model and informed priors indeed improves the goal 548 recognition. In difference to CCBM_i, HMM_i performed comparable to CCBM_u and HMM_u and there 549 was no significant improvement when using informed priors. This stands to show that CCBM models 550 are able to provide significantly better goal recognition than the state of the art HMM. 551



Figure 12. Multigoal recognition results, meal goals. *OM-o* refers to the optimistic observation model, *OM-p* to the pessimistic observation model, *HMM.u* is the HMM with uninformed a priori goal probabilities, *HMM.i* is the HMM with informed a priori goal probabilities, *CCBM.u* is the CCBM with uninformed a priori goal probabilities, *CCBM.i* is the CCBM with informed a priori goal probabilities.

Figure 13 shows the results for recognising whether the meal or drink being prepared is healthy. Once again $CCBM_i$, when combined with OM_p , performs significantly better than the rest of the



Figure 13. Multigoal recognition results, healthy/unhealthy goals. *OM-o* refers to the optimistic observation model, *OM-p* to the pessimistic observation model, *HMM.u* is the HMM with uninformed a priori goal probabilities, *HMM.i* is the HMM with informed a priori goal probabilities, *CCBM.u* is the CCBM with uninformed a priori goal probabilities, *CCBM.u* is the CCBM with informed a priori goal probabilities.

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models (p value < 0.01; V = 120, 45, 105 for HMM_u , HMM_i and $CCBM_u$, respectively, using Wilcoxon 554 signed rank test with N = 15). Interestingly, $CCBM_i$ combined with OM_p leads to significantly better 555 accuracy than when combined with OM_o . This is the opposite of what we would expect, as the 556 pessimistic observation model has an inferior activity recognition performance. This can be interpreted 557 as a result of the very ambiguous observations, which allow the CCBM model to rely on its symbolic 558 structure and the assigned priors in order to recognise the goal. Although the priors play an important 559 goal, it can be seen that $CCBM_u$ combined with OM_p also performed better than when combined 560 with OM_o . It also performed significantly better than HMM_u (p value of 0.005, V=55) and better 561 than HMM_i , which stands to show that in case of ambiguous observations, CCBM models are able to 562 provide additional information that improves the goal recognition performance. 563

564 5.4.2. "Pooled" Goals

The results for the single goal recognition are depicted in Figure 14. It shows the number of goals recognised by the model (15 being the highest number achievable). In contrast to the multi-goal recognition, now both HMM models have a better performance than $CCBM_u$ (when combined with OM_o). In combination with OM_p , $CCBM_u$ performed slightly better than HMM_u but it was unable to outperform HMM_i . However, $CCBM_i$ still yields the best results. In combination with OM_o it



Figure 14. Single goal recognition results, meal goals. *OM-o* refers to the optimistic observation model, *OM-p* to the pessimistic observation model, *HMM.u* is the HMM with uninformed a priori goal probabilities, *HMM.i* is the HMM with informed a priori goal probabilities, *CCBM.u* is the CCBM with uninformed a priori goal probabilities, *CCBM.i* is the CCBM with informed a priori goal probabilities.

was able to recognise 11 out of the 15 goals. Still, the results show that when using "pooled-goals"
the performance of the CCBM model strongly depends on the observation model and the assigned
priors. This differs from the results obtained when using the multiple goals strategy, where the models

⁵⁷² priors. This differs from the results obtained when using t ⁵⁷³ combined with OM_v showed better performance.

574 6. Discussion

The results showed that the CCBM modelling approach is able to reason about the actions and goals of the protagonist in real world cooking scenario based on noisy and ambiguous sensors. This stands to show that CSSMs are applicable to real world behaviour recognition problems in cases where the protagonist is acting in a goal-oriented manner. On the one hand, the combination of symbolic rule-based structure and probabilistic reasoning makes the approach attractive in situations where purely rule-based systems will have problems with the sensors' ambiguity.

On the other hand, in difference to discriminative probabilistic approaches, the symbolic structure of CCBM allows integrating contextual information and causal dependencies that provide a mechanism for reasoning about the person's goals and reasons behind the observed behaviour. This was also observed in the empirical results for goal recognition, which showed that the model is able to reason about the protagonist's goals even in the case of very ambiguous observations (when using OM_p).

In difference to goal recognition, however, the results showed that the model is seriously influenced by the observation model when performing activity recognition. This could be explained with the fact that the model is very general and has a high degree of freedom in selecting the next action. Combined with ambiguous sensors, the model is able to provide various explanations to the observations, which reduces the model performance. This problem can be addressed by either providing better action selection heuristics, or by tailoring the model in order to reduce the degree of freedom. In that respect, reinforcement learning methods could potentially be applied to learn more typical execution sequences.

Another interesting result is that the positional data from the depth cameras do not improve the model performance. This could have two reasons: either there is a problem with the data, or the model does not make use of the location information. The latter assumption is reinforced when visually examining the location data (see Figure 15). In the example, it can be seen that there is no obvious relation between the annotation and the location. This problem can be addressed by introducing additional locational information into the CCBM model (e.g. specific actions can be executed at a certain location in the kitchen).

Finally, the manual modelling of symbolic models is a time consuming and error prone process [56,57]. Additionally, it is often the case that the system designers are not the domain experts. That is especially true in medical applications, such as health monitoring. One potential solution to this problem is to automatically generate the CCBM models from textual sources provided by the domain experts [58,59].



Figure 15. Example of the relationship between camera data and performed activity. The x-axis position extracted from the depth camera is given with black circles, while the annotated actions are given with red solid line.

7. Conclusion and Future Work

In this work we investigated the practical application of CSSMs to the problem of AR and 607 GR in a real world setting. To evaluate the approach, we used a sensor dataset containing the 608 preparation of various meals and drinks. The results showed that the approach performs AR with 609 accuracy comparable to that of state of the art approaches such as DT and HMM. In the case of goal 610 recognition, the approach was able to infer the prepared meal and its "healthy" status even when the 611 AR performance was poor. As a conclusion, the approach showed the potential to infer one's activities 612 and goals that could hint at medical conditions or the progression of such even in unscripted scenarios 613 and home settings. 614

In the future, we plan to explore several different directions. We intend to build a more fine-grained model that contains additional contextual details such as the concrete objects that are manipulated and the locational information. This will provide additional information about the person's situation beside the goal being followed. It could also potentially improve the model performance when combined with the locational data from the depth cameras.

The model we built in this work had a very large state space (> 400,000 states). A more fine-grained model will result in an even larger state space. To address this problem, we intend to investigate a lifted inference approach, which provides a mechanism for efficient reasoning in cases of observation equivalent states [60].

In this work, we analysed the behaviour of a single person preparing a meal. There is already evidence that CSSMs are also able to reason about multiple users in scripted experiments [33] and so we intend to investigate CSSMs for this purpose in future work.

We also intend to investigate combining deep learning methods with our approach in order to increase the model performance.

Finally, we plan to record a new dataset that contains the cooking activities of people with health-related conditions. We will use this dataset to validate the ability of our model to reason about the nutrition-related risks and conditions of patients in home settings.

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640 Abbreviations

⁶⁴¹ The following abbreviations are used in this manuscript:

643	AR	Activity Recognition
	CCBM	Computational Causal Behaviour Model
	CSSM	Computational State Space Model
	DS-KCF	Depth Scaling Kernelised Correlation Filter
	DT	Decision Tree
	ELAN	Multimedia Annotator
	GR	Goal Recognition
	HMM	Hidden Markov Model
	JSON	JavaScript Object Notation
	KCF	Kernelised Correlation Filter
	OM	Observation Model
	PDDL	Planning Domain Definition Language
	PR	Plan Recognition
	SPHERE	Sensor Platform for HEalthcare in a Residential Environment

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