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# IRREDUCIBLE UNIRATIONAL AND UNIRULED COMPONENTS OF MODULI SPACES OF POLARIZED ENRIQUES SURFACES 

CIRO CILIBERTO, THOMAS DEDIEU, CONCETTINA GALATI, AND ANDREAS LEOPOLD KNUTSEN


#### Abstract

We prove that, under suitable conditions, some moduli spaces of polarised Enriques surfaces are irreducible, unirational (resp. uniruled).


## 1. Introduction

Let $\mathcal{E}$ denote the smooth, irreducible 10 -dimensional moduli space parameterizing smooth Enriques surfaces over $\mathbb{C}$, which is known to be rational (cf. [9]), and $\mathcal{E}_{g, \phi}$ (respectively, $\overline{\mathcal{E}}_{g, \phi}$ ) denote the moduli space of polarized (resp., numerically polarized) Enriques surfaces, that is, pairs $(S, H)$ (resp., $(S,[H])$ ) such that $[S] \in \mathcal{E}$ and $H \in \operatorname{Pic}(S)$ (resp., $[H] \in \operatorname{Num}(S)$ ) is ample with $H^{2}=2 g-2 \geqslant 2$ and $\phi=\phi(H)$ where

$$
\begin{equation*}
\phi(H):=\min \left\{E \cdot H \mid E^{2}=0, E>0\right\}, \tag{1}
\end{equation*}
$$

cf., e.g., [6]. Thus, $g$ is the arithmetic genus of all curves in the linear system $|H|$. There is an étale double cover $\rho: \mathcal{E}_{g, \phi} \rightarrow \overline{\mathcal{E}}_{g, \phi}$ mapping $(S, H)$ and $\left(S, H+K_{S}\right)$ to $(S,[H])$ by [6, Prop. 4.1].

It is an interesting open problem to determine the Kodaira dimension of the various irreducible components of $\mathcal{E}_{g, \phi}$ and $\overline{\mathcal{E}}_{g, \phi}$ (cf. [5, §4]), as well as identifying its various irreducible components, as these spaces are reducible in many cases. It is also in general an open problem to determine on which irreducible components of $\overline{\mathcal{E}}_{g, \phi}$ the inverse image via $\rho$ is irreducible or not. It had been conjectured that the moduli spaces of polarized Enriques surfaces are all unirational (or at least, of negative Kodaira dimension), but a recent paper of Gritsenko and Hulek [6] disproves this (cf. [5, §4]). On the other hand, it is known that $\mathcal{E}_{3,2}$ is irreducible and rational (cf. [2]), that $\mathcal{E}_{4,2}$ is irreducible and rational (this is the classical case of Enriques sextics, cf. [5, §3]) and that $\mathcal{E}_{6,3}$ is irreducible and unirational (cf. [11]). Moreover, $\overline{\mathcal{E}}_{g, \phi}$ has negative Kodaira dimension for all $g \leqslant 17$ (cf. [6]).

In this paper we improve the above results, by describing in many cases (for unbounded $g$ and $\phi$ ) the different irreducible components of $\mathcal{E}_{g, \phi}$ and $\overline{\mathcal{E}}_{g, \phi}$ and proving their unirationality or uniruledness. To explain our results, we need to introduce some notions.

Recall that by [8, Lemma 2.12], any effective line bundle $H$ such that with $H^{2} \geqslant 0$ on an Enriques surface may be written as

$$
\begin{equation*}
H \equiv a_{1} E_{1}+\cdots+a_{n} E_{n} \tag{2}
\end{equation*}
$$

(here $\equiv$ denotes numerical equivalence), where:

- all $E_{i}$ are effective, non-zero, isotropic, i.e., $E_{i}^{2}=0$, and primitive, i.e., indivisible in Num $(S)$;
- all $a_{i}$ are positive integers;
- $n \leqslant 10$,
and moreover

$$
\left\{\begin{array}{l}
\text { either } E_{i} \cdot E_{j}=1 \text { for all } i \neq j  \tag{3}\\
\text { or } E_{1} \cdot E_{2}=2 \text { and } E_{i} \cdot E_{j}=1 \text { for all other indices } i \neq j \\
\text { or } E_{1} \cdot E_{2}=E_{1} \cdot E_{3}=2 \text { and } E_{i} \cdot E_{j}=1 \text { for all other indices } i \neq j
\end{array}\right.
$$

up to reordering indices. We call this a simple isotropic decomposition.
We say that two polarized (respectively, numerically polarized) Enriques surfaces $(S, H)$ and $\left(S^{\prime}, H^{\prime}\right)$ in $\mathcal{E}_{g, \phi}$ (resp., $(S,[H])$ and $\left(S,\left[H^{\prime}\right]\right)$ in $\left.\overline{\mathcal{E}}_{g, \phi}\right)$ admit the same simple decomposition type if one can write
(4) $H \sim a_{1} E_{1}+\cdots+a_{n} E_{n}+\varepsilon K_{S}$ and $H^{\prime} \sim a_{1} E_{1}^{\prime}+\cdots+a_{n} E_{n}^{\prime}+\varepsilon K_{S^{\prime}}$, with $\varepsilon=0$ or 1

$$
\left(\text { resp. } H \equiv a_{1} E_{1}+\cdots+a_{n} E_{n} \text { and } H^{\prime} \equiv a_{1} E_{1}^{\prime}+\cdots+a_{n} E_{n}^{\prime}\right)
$$

where $\sim$ denotes linear equivalence, with

- all $E_{i}$ and $E_{i}^{\prime}$ effective, non-zero, primitive, isotropic, such that

$$
E_{i} \cdot E_{j}=E_{i}^{\prime} \cdot E_{j}^{\prime} \text { for all } i \neq j
$$

- all $a_{i}$ are positive integers;
- $n \leqslant 10$;
- (3) is satisfied for both $H$ and $H^{\prime}$, possibly after reordering indices. We call $n$ the length of the decomposition type.

If, possibly after reordering indices, there exists $r \leq n$ such that $a_{1}=\cdots=a_{r}$ and $E_{i} \cdot E_{j}=1$ for $1 \leq i \neq j \leq r$, then we say that $(S, H)$ and $\left(S^{\prime}, H^{\prime}\right)$ admit the same simple $r$-symmetric decomposition type.

We note that $\varepsilon=1$ is only needed in (4) when all $a_{i}$ s are even, otherwise one may substitute any $E_{i}$ having odd coefficient with $E_{i}+K_{S}$.

The various irreducible components of $\overline{\mathcal{E}}_{g, \phi}$ are precisely the loci of pairs admitting the same simple decomposition type, cf. Proposition 3.4. We do not know if the same holds for components of $\mathcal{E}_{g, \phi}$, cf. Question 3.5, although it does in many cases by our results below. One of the advantages of writing polarizations in terms of such simple decompositions rather than in terms of a basis of $\operatorname{Num}(S) \simeq U \oplus E_{8}(-1)$, is that it gives an efficient way to find all irreducible components of $\overline{\mathcal{E}}_{g, \phi}$ for fixed $g$ and $\phi$. Moreover, the value $\phi(H)$ can easily be read off from a simple decomposition, cf. Remark 3.9.

The main results of this paper prove that in many cases the irreducible components of $\mathcal{E}_{g, \phi}$ precisely parameterize pairs $(S, H)$, with $H$ admitting a given simple decomposition type, and moreover they are unirational or uniruled:

Theorem 1.1. The locus of pairs $(S, H) \in \mathcal{E}_{g, \phi}$ admitting the same simple decomposition type of length $n \leqslant 4$ is an irreducible, unirational component of $\mathcal{E}_{g, \phi}$.

The locus of pairs $(S, H) \in \mathcal{E}_{g, \phi}$ admitting the same simple decomposition type of length 5 is an irreducible component of $\mathcal{E}_{g, \phi}$, which is unirational if all $E_{i} \cdot E_{j}=1$ for all $i \neq j$ and uniruled otherwise.

Theorem 1.2. The locus of pairs $(S, H) \in \mathcal{E}_{g, \phi}$ admitting the same simple 7 -symmetric (respectively, 6-symmetric) decomposition type is an irreducible, unirational (resp., uniruled) component of $\mathcal{E}_{g, \phi}$.

The theorems are immediate consequences of Propositions 4.5 and 4.6 proved in $\S 4$. We remark that these theorems apply for unbounded $g$ and $\phi$, in the sense that line bundles admitting the simple decomposition types as in the statements occur for unbounded $g$ and $\phi$. For bounded $g$ and $\phi$ we can deduce the following corollaries, proved in $\S 4$, which improve [6, Cor. 5.6]:

Corollary 1.3. When $\phi \leqslant 4$ the different irreducible components of $\mathcal{E}_{g, \phi}$ are precisely the loci parameterizing pairs $(S, H)$ admitting the same simple decomposition type and they are all unirational.

Corollary 1.4. When $g \leqslant 20$ the different irreducible components of $\mathcal{E}_{g, \phi}$ are precisely the loci parameterizing pairs $(S, H)$ admitting the same simple decomposition type. Moreover, they are all unirational, except possibly for $\mathcal{E}_{16,5}$ and $\mathcal{E}_{17,5}$, which are irreducible and uniruled.

In the cases of the corollaries one may write down all irreducible components of $\mathcal{E}_{g, \phi}$, cf. Lemma 2.5 below and the appendix. For instance, we have

- $\mathcal{E}_{g, 1}$ is irreducible for all $g \geqslant 2$;
- $\mathcal{E}_{g, 2}$ is irreducible for even $g$ and for $g=3$, has two irreducible components for $g \equiv$ $3 \bmod 4(g>3)$ and three irreducible components for $g \equiv 1 \bmod 4$;
- $\mathcal{E}_{g, 3}$ is irreducible for $g \leqslant 8$ and for $g \equiv 2 \bmod 3$ and has two irreducible components for $g \not \equiv 2 \bmod 3$ and $g \geqslant 9$.

We list all irreducible components of $\mathcal{E}_{g, \phi}$ and $\overline{\mathcal{E}}_{g, \phi}$ for $g \leqslant 30$ in the appendix, and there are only a few cases in which we cannot determine irreducibility, unirationality or uniruledness. However, we will not make use of this list in the present paper.

At the other extreme, our results can also be used to describe the irreducible components of $\mathcal{E}_{g, \phi}$ for the highest values of $\phi$ with respect to $g$. Indeed, one has $\phi^{2} \leqslant 2(g-1)$ (cf. [4, Cor. 2.7.1]) and there are no cases with $\phi^{2}<2(g-1)<\phi^{2}+\phi-2$ (cf. [8, Prop. 1.4]). In the bordeline cases, we obtain:

Corollary 1.5. For each even $\phi$, the space $\mathcal{E}_{\frac{\phi^{2}}{2}+1, \phi}$ is irreducible and unirational if $\phi \equiv 2 \bmod 4$ and has two irreducible components, both unirational, if $\phi \equiv 0 \bmod 4$.

For each $\phi \geqslant 1$, the space $\mathcal{E}_{\frac{\phi(\phi+1)}{2}, \phi}$ is irreducible and unirational when $\phi \neq 6$, and consists of three irreducible unirational components when $\phi=6$.

The cases of the latter corollary are of particular interest from a Brill-Noether theoretical point of view, since they are precisely the cases where the gonality of a general curve in the complete linear system $|H|$ is less than both $2 \phi$ and $\left\lfloor\frac{g+3}{2}\right\rfloor$, the first being the lowest degree of the restriction of an elliptic pencil on the surface, the latter being the gonality of a general curve of genus $g$, cf. [8, Cor. 1.5].

The last application of our results concerns the map $\rho: \mathcal{E}_{g, \phi} \rightarrow \overline{\mathcal{E}}_{g, \phi}$ and precisely the question of the irreducibility of the preimage via $\rho$ of a component of $\overline{\mathcal{E}}_{g, \phi}$. We give the following answer to [6, Question 4.2] in the cases described by Theorems 1.1 and 1.2:

Corollary 1.6. Let $\mathcal{C} \subset \mathcal{E}_{g, \phi}$ be an irreducible component parameterizing classes admitting the same simple decomposition type of length $\leqslant 5$ or being 6 -symmetric. Then $\rho^{-1}(\rho(\mathcal{C}))$ is irreducible if and only if $\mathcal{C}$ parameterizes classes that are not 2 -divisible in $\operatorname{Num}(S)$ or are as in Example 4.9.

We stress that our results are completely independent from [6], except for the already mentioned Proposition 3.4, which is however logically independent of the rest of the article.

Our proofs of Theorems 1.1 and 1.2 are based on the fact that a general Enriques surface has a model in $\mathbb{P}^{3}$ as an Enriques sextic, i.e., a sextic surface singular along the six edges of a tetrahedron; such a model corresponds to the datum of an isotropic sequence $\left(E_{1}, E_{2}, E_{3}\right)$ with $E_{i} \cdot E_{j}=1$ for $i \neq j$, the $E_{i}$ s corresponding to three edges of some face of the tetrahedron. The idea is then to exhibit various irreducible and rational (resp. uniruled) families $\mathcal{F}$ of elliptic curves in $\mathbb{P}^{3}$ with prescribed intersection numbers with the edges of some fixed tetrahedron, such that a general Enriques sextic singular along this particular tetrahedron contains a member of $\mathcal{F}$. One thus gets incidence varieties that are irreducible and rational (resp. uniruled), and dominate the corresponding components of the moduli space of numerically polarized Enriques surfaces. Section 3 contains technical results which ensure that one can indeed apply this strategy to the situations of Theorems 1.1 and 1.2.

The paper is organised as follows. In $\S 2$ we collect some general facts we need about linear systems on Enriques surfaces. In particular, Lemma 2.5 contains the classification of all simple decomposition types of line bundles with $\phi \leqslant 5$. Section 3 is essentially devoted to proving the useful technical result Proposition 3.2 about sets of isotropic divisors, which implies that any simple isotropic decomposition can be written in terms of particular sets of isotropic divisors, cf. Corollary 3.3. This extends previously known results on isotropic divisors from [4] and we believe it is of independent interest. In $\S 4$ we prove our main results and corollaries stated in this introduction. We finish with the aforementioned appendix.

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## 2. Generalities on line bundles on Enriques surfaces

Any irreducible curve $C$ on an Enriques surface $S$ satisfies $C^{2} \geqslant-2$, with equality if and only if $C$ is smooth and rational. An Enriques surface containing such a curve is called nodal, otherwise it is called unnodal. On an unnodal Enriques surface, all divisors are nef and all divisors with positive self-intersection are ample. It is well-known that the general Enriques surface is unnodal, cf. references in [3, p. 577].

Recall that a divisor $E$ is said to be isotropic if $E^{2}=0$ and $E \not \equiv 0$. By Riemann-Roch, either $E$ or $-E$ is effective. It is said to be primitive if it is non-divisible in $\operatorname{Num}(S)$. On an unnodal surface, any effective primitive isotropic divisor $E$ is represented by an irreducible curve of arithmetic genus one.

Let $H$ be a line bundle with $H^{2}>0$ and $\phi(H)$ as in (1). By [4, Cor. 2.7.1] one has

$$
\begin{equation*}
\phi(H)^{2} \leqslant H^{2} . \tag{5}
\end{equation*}
$$

We recall from [4, p. 122] that an isotropic $r$-sequence on an Enriques surface $S$ (called exceptional sequence in [3]) is a sequence of isotropic effective divisors $\left\{E_{1}, \ldots, E_{r}\right\}$ such that $E_{i} \cdot E_{j}=1$ for $i \neq j$. It is well-known that any Enriques surface contains such sequences for every $r \leqslant 10$; moreover, by [4, Cor. 2.5.6], we have
Proposition 2.1. Any isotropic $r$-sequence with $r \neq 9$ can be extended to a 10-sequence.

We will also make use of the following result:
Lemma 2.2. (a) Let $\left\{E_{1}, \ldots, E_{10}\right\}$ be an isotropic 10-sequence. Then there exists a divisor $D$ on $S$ such that $D^{2}=10, \phi(D)=3$ and $3 D \sim E_{1}+\cdots+E_{10}$. Furthermore, for any $i \neq j$, we have

$$
\begin{equation*}
D \sim E_{i}+E_{j}+E_{i, j}, \text { with } E_{i, j}^{2}=0, E_{i, j}>0 \text { and } E_{i} \cdot E_{i, j}=E_{j} \cdot E_{i, j}=2, \tag{6}
\end{equation*}
$$

and $E_{k} \cdot E_{i, j}=1$ for $k \neq i, j$.
(b) Any divisor $D$ on $S$ such that $D^{2}=10$ and $\phi(D)=3$ satisfies $3 D \sim E_{1}+\cdots+E_{10}$, for an isotropic 10-sequence $\left\{E_{1}, \ldots, E_{10}\right\}$ consisting precisely of all isotropic divisors computing $\phi(D)$ up to numerical equivalence. Moreover, if $F$ is a divisor satisfying $F^{2}=0$ and $F \cdot D=4$, then $F \equiv E_{i, j}$ for some $i \neq j$, where $E_{i, j}$ is defined by (6).
Proof. (a) The existence of $D$ is [3, Lemma 1.6.2(i)] or [4, Cor. 2.5.5]. Its properties are easily checked and $E_{i, j}:=D-E_{i}-E_{j}$, cf. also [3, Lemma 1.6.2(ii)].
(b) The first statement follows from [4, Cor. 2.5.5] and its proof. For the last statement, note that $F \cdot E_{i}>0$ for $i=1, \ldots, 10$ by [7, Lemma 2.1], whence, after permuting indices if necessary, one must have $F \cdot\left(E_{1}+E_{2}\right)=4$ and $F \cdot E_{i}=1$ for $i=3, \ldots, 10$. Then $F \cdot E_{1,2}=0$ and $E_{3} \cdot F=E_{3} \cdot E_{1,2}=1$, so that $F \equiv E_{1,2}$ by [7, Lemma 2.1] again.

Notation 2.3. When writing a simple isotropic decomposition (2) verifying (3) (up to permutation of indices), we will usually adopt the convention that $E_{i}, E_{j}, E_{i, j}$ are primitive isotropic satisfying $E_{i} \cdot E_{j}=1$ for $i \neq j, E_{i, j} \cdot E_{i}=E_{i, j} \cdot E_{j}=2$ and $E_{i, j} \cdot E_{k}=1$ for $k \neq i, j$. This notation has already been used in Lemma 2.2. (By Corollary 3.3 below, there is no ambiguity in this notation.)

Recall that there are no cases satisfying $\phi(H)^{2}<H^{2}<\phi(H)^{2}+\phi(H)-2$ by [8, Prop. 1.4]. Moreover [8, Prop. 1.4] also classifies the borderline cases as follows:

Proposition 2.4. Let $H$ be an effective line bundle on an Enriques surface satisfying $\phi(H)^{2} \leqslant H^{2} \leqslant \phi(H)^{2}+\phi(H)-2$. Then one of the following occurs:
(i) $H^{2}=\phi(H)^{2}$, in which case $H \equiv \frac{\phi(H)}{2}\left(E_{1}+E_{1,2}\right)$,
(ii) $H^{2}=\phi(H)^{2}+\phi(H)-2$, in which case,

- $H \sim \frac{\phi(H)-1}{2}\left(E_{1}+E_{1,2}\right)+E_{2}$ if $\phi(H)$ is odd, and
- $H \sim \frac{\phi(H)-2}{2} E_{1}+\frac{\phi(H)}{2} E_{1,2}+E_{2}$ or $H \equiv 2\left(E_{1}+E_{2}+E_{1,2}\right)$ if $\phi(H)$ is even.

The following lemma classifies all possible simple decomposition types with $\phi \leqslant 5$. Note that all decomposition types do exist on any Enriques surface, by Lemma 2.2(a) and the existence of isotropic 10 -sequences.

Lemma 2.5. Assume $H$ is an effective line bundle on an Enriques surface $S$ such that $H^{2}=2(g-1)>0$. If $1 \leqslant \phi(H) \leqslant 5$, the line bundle $H$ has one and only one of the following simple isotropic decompositions:
(i) If $\phi(H)=1$, then $H \sim(g-1) E_{1}+E_{2}$.
(ii) If $\phi(H)=2$, then

- $H \sim \frac{g-2}{2} E_{1}+E_{2}+E_{3}$ if $g$ is even,
- $H \sim \frac{g-1}{2} E_{1}+E_{1,2}$ or $H \equiv \frac{g-1}{2} E_{1}+2 E_{2}$ (with $g \geqslant 5$ ), if $g$ is odd.
(iii) If $\phi(H)=3$, then
- $H \sim \frac{g-3}{3} E_{1}+E_{2}+E_{1,2}$ or $H \sim \frac{g-3}{3} E_{1}+2 E_{2}+E_{3}($ with $g \geqslant 9)$ if $g \equiv 0 \bmod 3$,
- $H \sim \frac{g-4}{3} E_{1}+E_{2}+E_{3}+E_{4}$ or $H \sim \frac{g-1}{3} E_{1}+3 E_{2}$ (with $g \geqslant 10$ ) if $g \equiv 1 \bmod 3$,
- $H \sim \frac{g-2}{3} E_{1}+E_{2}+E_{1,3}$ if $g \equiv 2 \bmod 3$.
(iv) If $\phi(H)=4$, then
- $\quad H \sim \frac{g-4}{4} E_{1}+3 E_{2}+E_{3}, g \geqslant 16$, or
$H \sim \frac{g-4}{4} E_{1}+E_{2}+E_{3}+E_{1,4}, g \geqslant 12$,
if $g \equiv 0 \bmod 4$,
- $\quad H \equiv \frac{g-1}{4} E_{1}+4 E_{2}, g \geqslant 17$, or
$H \equiv \frac{g-1}{4} E_{1}+2 E_{1,2}, g \geqslant 9$, or
$H \equiv \frac{g-5}{4} E_{1}+2 E_{2}+2 E_{3}, g \geqslant 13$, or
$H \sim \frac{g-5}{4} E_{1}+2 E_{2}+E_{1,2}, g \geqslant 13$,
if $g \equiv 1 \bmod 4$,
- $\quad H \sim \frac{g-6}{4} E_{1}+2 E_{2}+E_{3}+E_{4}, g \geqslant 14$, or
$H \sim \frac{g-2}{4} E_{1,2}+E_{1}+E_{2}, g \geqslant 10$,
if $g \equiv 2 \bmod 4$,
- $\quad H \sim \frac{g-3}{4} E_{1}+2 E_{2}+E_{1,3}, g \geqslant 15$ or
$H \sim \frac{g-7}{4} E_{1}+E_{2}+E_{3}+E_{4}+E_{5}, g \geqslant 11$,
if $g \equiv 3 \bmod 4$.
(v) If $\phi(H)=5$, then
- $\quad H \sim \frac{g-5}{5} E_{1}+E_{2}+2 E_{1,2}, g \geqslant 15$, or
$H \sim \frac{g-10}{5} E_{1}+2 E_{2}+E_{3}+E_{4}+E_{5}, g \geqslant 20$, or
$H \sim \frac{g-5}{5} E_{1}+4 E_{2}+E_{3}, g \geqslant 25$
if $g \equiv 0 \bmod 5$,
- $\quad H \sim \frac{g-11}{5} E_{1}+E_{2}+E_{3}+E_{4}+E_{5}+E_{6}, g \geqslant 16$, or
$H \sim \frac{g-6}{5} E_{1}+2 E_{2}+E_{3}+E_{1,4}, g \geqslant 21$, or
$H \sim \frac{g-1}{5} E_{1}+5 E_{2}, g \geqslant 26$
if $g \equiv 1 \bmod 5$,
- $\quad H \sim \frac{g-7}{5} E_{1}+E_{2}+E_{3}+E_{4}+E_{1,5}, g \geqslant 17$, or
$H \sim \frac{g-7}{5} E_{1}+3 E_{2}+E_{1,2}, g \geqslant 22$, or
$H \sim \frac{g-7}{5} E_{1}+3 E_{2}+2 E_{3}, g \geqslant 22$
if $g \equiv 2 \bmod 5$,
- $\quad H \sim \frac{g-3}{5} E_{1}+2 E_{1,3}+E_{2}, g \geqslant 18$, or
$H \sim \frac{g-8}{5} E_{1}+2 E_{2}+E_{3}+E_{1,2}, g \geqslant 18$, or
$H \sim \frac{g-8}{5} E_{1}+3 E_{2}+E_{3}+E_{4}, g \geqslant 23$
if $g \equiv 3 \bmod 5$,
- $\quad H \sim \frac{g-9}{5} E_{1}+2 E_{2}+2 E_{3}+E_{4}, g \geqslant 19$, or
$H \sim \frac{g-4}{5} E_{1,2}+E_{1}+E_{2}+E_{3}, g \geqslant 19$, or
$H \sim \frac{g-4}{5} E_{1}+3 E_{2}+E_{1,3}, g \geqslant 24$
if $g \equiv 4 \bmod 5$,
Proof. The proof is tedious but straightforward and similar to [8, pf. of Prop. 1.4 in $\S 2.2]$, and we therefore will leave most of it to the reader. The idea is to pick an effective, isotropic $E$ such that $E \cdot H=\phi(H)$, find a suitable integer $k$ so that $\phi(H-k E)<\phi(H)$ (in which case we use the classification for lower $\phi$ ), or so that $\phi(H-k E)=\phi(H)$ and
$H-k E$ is as in Proposition 2.4(i) or (ii). As a sample, we show how this works in the case $\phi(H)=5$ and $g \equiv 3 \bmod 5$.

We pick an effective, isotropic $E$ such that $E \cdot H=\phi(H)=5$ and set $k:=\frac{g-13}{5}$. Then $(H-k E)^{2}=24$, so that $\phi(H-k E) \leqslant 4$ by (5).

Assume $\phi(H-k E)=4$ and note that $E \cdot(H-k E)=E \cdot H=5$. By the classification in the case $\phi=4$, we have the three possibilities, where we use Notation 2.3:
(a) $H-k E \sim 3 F_{1}+2 F_{1,2}$,
(b) $H-k E \sim 2\left(F_{1}+F_{2}+F_{3}\right)$,
(c) $H-k E \sim 2 F_{1}+2 F_{2}+F_{1,2}$.

Case (b) is impossible, as $5=E \cdot(H-k E)$.
In case (a) we have $F_{1} \cdot(H-k E)=4$ and $F_{1,2} \cdot H=6$, hence $E \not \equiv F_{1}, F_{1,2}$. Thus, $E \cdot F_{1}=E \cdot F_{1,2}=1$. Let $F:=F_{1}+F_{1,2}-E$. Then $F^{2}=0, E \cdot F=2$ and $F_{1} \cdot F=1$, so that $F$ is effective, non-zero and we have

$$
H \sim k E+3 F_{1}+2 F_{1,2} \sim(k+2) E+F_{1}+2 F .
$$

Using Notation 2.3, we set $E_{1}:=E, E_{2}:=F_{1}$ and $E_{1,3}:=F$ and, recalling that $k+2=\frac{g-3}{5}$, we obtain the desired form

$$
\begin{equation*}
H \sim \frac{g-3}{5} E_{1}+E_{2}+2 E_{1,3} . \tag{7}
\end{equation*}
$$

As $5=\phi(H) \leqslant E_{2} \cdot H=\frac{g-3}{5}+2$, we have $g \geqslant 18$.
In case (c) we have $F_{1} \cdot(H-k E)=F_{2} \cdot(H-k E)=4$ and $F_{1,2} \cdot(H-k E)=8$, hence $E \not \equiv F_{1}, F_{2}, F_{1,2}$. Thus, $E \cdot F_{1}=E \cdot F_{2}=E \cdot F_{1,2}=1$. Let $F:=F_{2}+F_{1,2}-E$. Then $F^{2}=0, E \cdot F=F_{1} \cdot F=2$ and $F_{2} \cdot F=1$ and we have

$$
H \sim k E+2 F_{1}+2 F_{2}+F_{1,2} \sim(k+1) E+2 F_{1}+F_{2}+F
$$

Using Notation 2.3, we set $E_{1}:=E, E_{2}:=F_{1}, E_{3}:=F_{2}$ and $E_{1,2}:=F$ and, recalling that $k+1=\frac{g-8}{5}$, we obtain the desired form

$$
\begin{equation*}
H \sim \frac{g-8}{5} E_{1}+2 E_{2}+E_{3}+E_{1,2} \tag{8}
\end{equation*}
$$

As $5=\phi(H) \leqslant E_{2} \cdot H=\frac{g-8}{5}+3$, we have $g \geqslant 18$.
We claim that $H$ cannot simultaneously have a simple isotropic decomposition as in (7) and (8). Indeed, there are two (respectively, three) isotropic, effective classes $F \in \operatorname{Num}(S)$ such that $F \cdot H=\frac{g+7}{5}$ in case (8) if $g>18$ (resp., $g=18$ ), namely $F \equiv E_{2}, E_{3}$ (resp., $F \equiv E_{1}, E_{2}, E_{3}$ ), whereas there is only one (resp., two) such classes in case (7), namely $F \equiv E_{2}$ (resp., $F \equiv E_{1}, E_{2}$ ), as $E_{1,3} \cdot H=\frac{2 g-1}{5}>\frac{g+7}{5}$ and $F \cdot H \geqslant \frac{g-3}{5}+1+2=\frac{g+12}{5}$ for $F \not \equiv E_{1}, E_{2}, E_{1,3}$ by [7, Lemma 2.1].

Assume $\phi(H-k E)=3$. By the classification in the case $\phi=3$, we have the two possibilities:
(d) $H-k E \sim 3 F_{1}+F_{2}+F_{3}+F_{4}$,
(e) $H-k E \sim 4 F_{1}+3 F_{2}$

In case (d) we have $F_{1} \cdot(H-k E)=3$, hence $E \not \equiv F_{1}$. Thus, we must have $E \cdot F_{1}=1$ and, possily after rearranging indices, $E \cdot F_{2}=E \cdot F_{3}=1$ and $E \equiv F_{4}$. Thus, using
again Notation 2.3, we set $E_{1}:=E, E_{2}:=F_{1}, E_{3}:=F_{2}$ and $E_{4}:=F_{3}$ and, recalling that $k+1=\frac{g-8}{5}$, we obtain the desired form

$$
\begin{equation*}
H \sim \frac{g-8}{5} E_{1}+3 E_{2}+E_{3}+E_{4} \tag{9}
\end{equation*}
$$

possibly after substituting $E_{4}$ with $E_{4}+K_{S}$. Since $5=\phi(H) \leqslant E_{2} \cdot H=\frac{g-8}{5}+2$, we obtain $g \geqslant 23$.

Because of the different values of $\phi(H-k E)$, it is again not possible that $H$ can be written both as in (9) and as in (7) or (8).

In case (e) we have $F_{1} \cdot(H-k E)=3$ and $F_{2} \cdot(H-k E)=4$, whence $E \not \equiv F_{1}, F_{2}$. It follows that $E \cdot F_{1}>0$ and $E \cdot F_{2}>0$, so that $5=E \cdot(H-k E) \geqslant 7$, a contradiction.

Assume $\phi(H-k E)=2$. By the classification in the case $\phi=2$, we have the two possibilities:
(f) $H-k E \sim 6 F_{1}+F_{1,2}$,
(g) $H-k E \equiv 6 F_{1}+2 F_{2}$.

In both cases, since $F_{1} \cdot(H-k E)=2$, we have $E \not \equiv F_{1}$, whence the contradiction $5=E \cdot(H-k E) \geqslant 6 E \cdot F_{1} \geqslant 6$.

Assume finally $\phi(H-k E)=1$. By the classification in the case $\phi=1$, we have $H-k E \sim 12 F_{1}+F_{2}$. As $F_{1} \cdot(H-k E)=1$, we have $E \not \equiv F_{1}$, whence the contradiction $5=E \cdot(H-k E) \geqslant 12 E \cdot F_{1} \geqslant 12$.

Remark 2.6. We will later use the observation immediately deduced from parts (i)-(ii) of Lemma 2.5 that for $\phi(H) \leqslant 2$ there are at most three numerical, effective, isotropic classes $E$ such that $E \cdot H \leqslant 2$.

## 3. More on simple, isotropic decompositions

The main aim of this section is to prove that the isotropic divisors occurring in a simple isotropic decomposition can always be extended to an isotropic 10-sequence plus one of the divisors $E_{i, j}$ occurring in Lemma 2.2. This will be needed in the proof of our main results, see the comment right after Propositions 4.5 and 4.6.

Definition 3.1. A set $\left\{E_{1}, \ldots, E_{n}\right\}$ of primitive isotropic divisors on an Enriques surface is called a simple isotropic set if it satisfies (3), possibly after permuting indices.

It is called a maximal simple isotropic set if it is of the form $\left\{E_{1}, \ldots, E_{10}, E_{i, j}\right\}$, where $\left\{E_{1}, \ldots, E_{10}\right\}$ is an isotropic 10-sequence and $E_{i, j}$ is defined up to numerical equivalence as in (6) for some $i \neq j$, that is, $E_{i, j} \equiv \frac{1}{3}\left(E_{1}+\cdots+E_{10}\right)-E_{i}-E_{j}$.

Note that since any simple isotropic set of $n$ elements contains members of an isotropic $(n-1)$-sequence, any simple isotropic set contains at most 11 elements (cf. [4, p. 179]). Also note that by [3, Rem. p. 584] any maximal simple isotropic set form a basis of $\operatorname{Num}(S)$.

We will prove the following result, which can be viewed as a generalization of Proposition 2.1, and which we hope is of independent interest.

Proposition 3.2. Any simple isotropic set can be extended to a maximal simple isotropic set. ${ }^{1}$

[^0]Before giving the proof, we discuss some consequences.
Corollary 3.3. Let $H$ be any effective divisor on an Enriques surface such that $H^{2}>0$. Then there is an isotropic 10 -sequence $\left\{E_{1}, \ldots, E_{10}\right\}$ (depending on $H$ ) such that

$$
\begin{equation*}
H \equiv a_{0} E_{1,2}+a_{1} E_{1}+\cdots+a_{10} E_{10} \tag{10}
\end{equation*}
$$

where $E_{1,2} \equiv \frac{1}{3}\left(E_{1}+\cdots+E_{10}\right)-E_{1}-E_{2}(c f .(6))$ and $a_{0}, a_{1}, \ldots, a_{10}$ are nonnegative integers, at least one being 0 .

More precisely, any simple isotropic decomposition of $H$ occurs in this way.
Proof. By Proposition 3.2, any isotropic divisors occurring in any simple isotropic decomposition of the form (2) can be extended to a maximal simple isotropic set.
Proposition 3.4. Two numerically polarized Enriques surfaces $(S,[H])$ and $\left(S^{\prime},\left[H^{\prime}\right]\right)$ lie in the same irreducible component of $\overline{\mathcal{E}}_{g, \phi}$ if and only if they admit the same simple decomposition type.
Proof. Since the torsion free part of $H^{2}(S, \mathbb{Z})$, which is equal to $\operatorname{Num}(S) \simeq U \oplus E_{8}(-1)$ (see [1, Lemma VIII.15.1]), is constant among all $S \in \mathcal{E}$, the only if part is immediate.

Conversely, it is proved in [6] that the irreducible components of $\overline{\mathcal{E}}_{g, \phi}$ correspond precisely to the different orbits of the action of the orthogonal group on $U \oplus E_{8}(-1)$. Since this group acts transitively on the set of isotropic 10 -sequences by [4, Lemma 2.5.2], and $E_{1,2} \equiv \frac{1}{3}\left(E_{1}+\cdots+E_{10}\right)-E_{1}-E_{2}$, we see that any two numerical polarizations admitting the same simple decomposition type lie in the same irreducible component of $\overline{\mathcal{E}}_{g, \phi}$, as claimed.
Question 3.5. Does Proposition 3.4 also hold for polarized Enriques surfaces? In other words, is it true that $(S, H)$ and $\left(S, H^{\prime}\right)$ lie in the same irreducible component of $\mathcal{E}_{g, \phi}$ if and only if $H$ and $H^{\prime}$ admit the same simple decomposition type? (The "only if" part follows as in the first lines of the proof of 3.4, as $\operatorname{Pic}(S) \simeq U \oplus E_{8}(-1) \oplus \mathbb{Z} / 2 \mathbb{Z}$ is also constant among all $S \in \mathcal{E}$.)

Theorems 1.1 and 1.2 give a positive answer in the case of simple decomposition types of length $\leqslant 5$ or 6 -symmetric.

Proposition 3.2 is a consequence of Lemmas 3.6, 3.7 and 3.8 below, together with Proposition 2.1 and Lemma 2.2.
Lemma 3.6. Let $\left\{E_{1}, \ldots, E_{r}\right\}$ be an isotropic $r$-sequence with $2 \leqslant r \leqslant 9$, and $F$ an isotropic divisor such that $F \cdot E_{1}=F \cdot E_{2}=2$ and $F \cdot E_{i}=1$ for all $i \in\{3, \ldots, r\}$. Then there is an isotropic 10 -sequence $\left\{E_{1}, \ldots, E_{r}, E_{r+1}, \ldots, E_{10}\right\}$ such that $F \cdot E_{i}=1$ for all $i \in\{r+1, \ldots, 10\}$.
Proof. The divisor $D:=E_{1}+E_{2}+F$ satisfies $D^{2}=10$ and $\phi(D)=3=E_{i} \cdot D$ for all $i \in\{1, \ldots, r\}$. Thus, $3 D \sim E_{1}+\cdots+E_{10}$ for an isotropic 10-sequence $\left\{E_{1}, \ldots, E_{10}\right\}$ by Lemma 2.2(b). Since $F \cdot D=4$, we have $F \not \equiv E_{i}$ for any $i$, hence $F \cdot E_{i}>0$ for all $i$ by [7, Lemma 2.1]. As $12=3 F \cdot D=F \cdot(3 D)=4+F \cdot\left(E_{3}+\cdots+E_{10}\right)$, we must have $F \cdot E_{i}=1$ for all $i$.

Lemma 3.7. Let $\left\{E_{1}, \ldots, E_{8}, F\right\}$ be an isotropic 9-sequence. Then, for any extensison of $\left\{E_{1}, \ldots, E_{8}\right\}$ to an isotropic 10-sequence $\left\{E_{1}, \ldots, E_{10}\right\}$, we have either
(i) $F \equiv E_{i}$, for $i=9$ or 10 , or
(ii) $F \cdot E_{9}=F \cdot E_{10}=2$.

Proof. If $F \cdot E_{i}=0$ for $i=9$ or 10, then $F \equiv E_{i}$ by [7, Lemma 2.1] and we are done. Otherwise, as $E_{1}+\cdots+E_{10}$ is 3-divisible by Lemma 2.2, we must have

$$
F \cdot\left(E_{9}+E_{10}\right) \equiv 1 \bmod 3 \text { and } F \cdot E_{i}>0 \text { for } i=9,10 .
$$

We are therefore done if we show that

$$
\begin{equation*}
F \cdot E_{i} \leqslant 2, \text { for } i \in\{9,10\} . \tag{11}
\end{equation*}
$$

To prove this, assume by contradiction that $n:=F \cdot E_{9} \geqslant 3$, say. Set $k=\left\lfloor\frac{n-1}{2}\right\rfloor \geqslant 1$ and $B:=F+E_{9}-k E_{1}$. Then $B^{2} \in\{2,4\}$ and $E_{i} \cdot B=2-k \leqslant 1$ for all $i \in\{2, \ldots, 8\}$, contradicting Remark 2.6. This proves (11), whence the lemma.

Lemma 3.8. Let $F_{1}$ and $F_{2}$ be isotropic divisors such that $F_{1} \cdot F_{2}=2$ and $\left\{E_{1}, \ldots, E_{r}\right\}$ be an isotropic $r$-sequence, with $0 \leqslant r \leqslant 8$, such that $F_{i} \cdot E_{j}=1$ for all $i \in\{1,2\}$, $j \in\{1, \ldots, r\}$.

Then, for $k=1$ or 2 , there is an isotropic 10 -sequence $\left\{F_{k}, E_{1}, \ldots, E_{r}, E_{r+1}, \ldots, E_{9}\right\}$ such that, for $j \neq k, F_{j} \cdot E_{i}=1$ for $i \in\{r+1, \ldots, 8\}$ and $F_{j} \cdot E_{9}=2$.

Proof. Assume first that $r \leqslant 7$. By Proposition 2.1, the set $\mathcal{A}$ of $A \in \operatorname{Pic}(S)$ such that

$$
A^{2}=0, A \cdot F_{1}=A \cdot E_{1}=\cdots=A \cdot E_{r}=1, A \not \equiv E_{1}+E_{2}+E_{3}-F_{2} \text { if } r=3,
$$

is nonempty. Pick $A \in \mathcal{A}$ such that $A \cdot F_{2}$ is minimal.
Claim. $A \cdot F_{2} \leqslant 2$.
Assume, to get a contradiction, that $n:=A \cdot F_{2} \geqslant 3$. Let $k=\left\lfloor\frac{n-1}{3}\right\rfloor$ and set $B:=A+F_{2}-k F_{1}$. Then $2 \leqslant B^{2} \leqslant 6$ and $B$ has a simple isotropic decomposition containing at least two summands. None of these may be $F_{2}$, since $B-F_{2}=A-k F_{1}$ is not effective, unless $k=0$, in which case $B=F_{2}+A$ is not a simple isotropic decomposition.

Since $F_{2} \cdot B=n-2 k$, the intersection of $F_{2}$ with each of the summands in the simple isotropic decomposition of $B$ is smaller than $n$. Since $F_{1} \cdot B=3$, there is at least one of these summands, say $E^{\prime}$, such that $F_{1} \cdot E^{\prime}=1$. If $r=0$, since $F_{2} \cdot E^{\prime}<n$, the curve $E^{\prime}$ contradicts the minimality of $A$ and finishes the proof in this case.

If $r>0$, then, as $E_{i} \cdot B=2-k$ for any $i \in\{1, \ldots, r\}$, we must have $k \leqslant 1$.
Case $k=0$. Then $n=3, B \sim A+F_{2}, B^{2}=6$ and $\phi(B)=E_{i} \cdot B=2$. Thus, by Lemma 2.5, $B$ can be written as a sum of three isotropic divisors, containing all $E_{i}$ for $i \in\{1, \ldots, r\}$. This implies $r \leqslant 3$. Since $F_{i} \cdot B=3$, for $i=1,2$, each summand has intersection one with $F_{i}$, for $i=1,2$. This implies $r=3$. Indeed, if $r<3$, then at least one of the summands of $B$, say $E^{\prime}$, is different from the $E_{i} \mathrm{~s}$, and has $E^{\prime} \cdot E_{i}=1$ for $i=1, \ldots, r$. Hence $E^{\prime} \in \mathcal{A}$ and $E^{\prime} \cdot F_{2}=1$, contradicting the minimality of $A$. Since $r=3$, we have $B \equiv E_{1}+E_{2}+E_{3}$. But then $A \equiv E_{1}+E_{2}+E_{3}-F_{2}$, thus $A \notin \mathcal{A}$, a contradiction.

Case $k=1$. One has $B \sim A+F_{2}-F_{1}$ and $\phi(B)=E_{1} \cdot B=1$. Moreover $B^{2}=2 n-6$, hence $\left(n, B^{2}\right) \in\{(4,2),(5,4),(6,6)\}$.
Subcase $\left(n, B^{2}\right)=(4,2)$. As $E_{i} \cdot B=1$, for $i \in\{2, \ldots, r\}$, by Lemma 2.5(i) we have $r \leqslant 2$ and, if $r=2$, we have $B \equiv E_{1}+E_{2}$. But $3=F_{1} \cdot B=F_{1} \cdot\left(E_{1}+E_{2}\right)=2$, a contradiction. Hence we have $r=1$ and $B \sim E_{1}+E_{2}^{\prime}$ with $E_{2}^{\prime 2}=0$ and $E_{1} \cdot E_{2}^{\prime}=1$.

We have $F_{1} \cdot B=3$, and since $F_{1} \cdot E_{1}=1$, we have $F_{1} \cdot E_{2}^{\prime}=2$. Since $F_{2} \cdot B=2$ and $F_{2} \cdot E_{1}=1$, we have $F_{2} \cdot E_{2}^{\prime}=1$. Set $G:=F_{1}+F_{2}+E_{2}^{\prime}$. Then $G^{2}=10$,
$F_{1} \cdot G=4$ and $\phi(G)=E_{1} \cdot G=E_{2}^{\prime} \cdot G=F_{2} \cdot G=3$. By Lemma 2.2(b), we have $3 G \sim E_{1}+E_{2}^{\prime}+F_{2}+F_{1}^{\prime}+\cdots+F_{7}^{\prime}$ for an isotropic 10-sequence $\left\{E_{1}, E_{2}^{\prime}, F_{2}, F_{1}^{\prime}, \ldots, F_{7}^{\prime}\right\}$. As $F_{1} \cdot(3 G)=12$, and $F_{1} \cdot\left(E_{1}+E_{2}^{\prime}+F_{2}\right)=5$, it follows that $F_{1} \cdot\left(F_{1}^{\prime}+\cdots+F_{7}^{\prime}\right)=7$, whence $F_{1} \cdot F_{i}^{\prime}=1$ for all $i \in\{1, \ldots, 7\}$. Since $F_{2} \cdot F_{i}^{\prime}=1$ for all $i \in\{1, \ldots, 7\}$, we find a contradiction to the minimality of $A$.
Subcase $\left(n, B^{2}\right)=(5,4)$. As $E_{i} \cdot B=1$, for $i \in\{2, \ldots, r\}$, by Lemma 2.5(i) we have $r=1$ and $B \sim 2 E_{1}+E_{2}^{\prime}$ with $E_{2}^{\prime 2}=0$ and $E_{1} \cdot E_{2}^{\prime}=1$. As $F_{1} \cdot B=F_{2} \cdot B=3$, it follows that $F_{1} \cdot E_{2}^{\prime}=F_{2} \cdot E_{2}^{\prime}=1$, contradicting the minimality of $A$.
Subcase $\left(n, B^{2}\right)=(6,6)$. As $E_{1} \cdot B=1$ and $F_{1} \cdot B=3$, we must have $B \equiv 3 E_{1}+F_{1}$. But then we get the contradiction

$$
4=F_{2} \cdot\left(A+F_{2}-F_{1}\right)=F_{2} \cdot B=3 E_{1} \cdot F_{2}+F_{1} \cdot F_{2}=5 .
$$

Therefore, we have proved the claim that $A \cdot F_{2} \leqslant 2$.
Assume now that $A \cdot F_{2}=2$. By Lemma 3.6, the isotropic sequence $\left\{F_{1}, A, E_{1}, \ldots, E_{r}\right\}$ can be extended to an isotropic 10-sequence such that $F_{2} \cdot F_{1}=F_{2} \cdot A$ and $F_{2}$ has intersection one with the remaining divisors in the sequence. Hence, we are done.

Assume next that $A \cdot F_{2}=1$. We then repeat the process starting with the isotropic $(r+1)$-sequence $\left\{E_{1}, \ldots, E_{r}, E_{r+1}:=A\right\}$, unless $r+1=8$. We thus reduce to proving the lemma when $r=8$.

For the rest of the proof we therefore let $r=8$. Then we can by Proposition 2.1 extend $\left\{E_{1}, \ldots, E_{8}\right\}$ to an isotropic 10 -sequence $\left\{E_{1}, \ldots, E_{10}\right\}$. We claim that

$$
\begin{equation*}
\text { there is an } i \in\{1,2\} \text { and a } j \in\{9,10\} \text { such that } F_{i} \equiv E_{j} \text {. } \tag{12}
\end{equation*}
$$

Indeed, if not, by Lemma 3.7 we must have all $F_{i} \cdot E_{j}=2$ for $i \in\{1,2\}, j \in\{9,10\}$. Set $B:=F_{1}+F_{2}+E_{9}+E_{10}-2 E_{1}$. Then $B^{2}=6$ and $E_{j} \cdot B=2$ for all $j \in\{2, \ldots, 8\}$, which is impossible by Remark 2.6. This proves (12).

By (12) we have, say, $F_{1} \equiv E_{10}$. Then $E_{9} \not \equiv F_{2}$, so $F_{2} \cdot E_{9}=2$ by Lemma 3.7. Hence, $\left\{F_{1}, E_{1}, \ldots, E_{8}, E_{9}\right\}$ is the desired isotropic 10-sequence.

We can finally give the:
Proof of Proposition 3.2. Consider the simple isotropic set $\left\{E_{1}, \ldots, E_{r}\right\}$ satisfying (3). If $E_{i} \cdot E_{j}=1$ for all $i \neq j$, and if $r \neq 9$, we apply Proposition 2.1. If instead $r=9$, we apply Lemmas 3.7 and $2.2(\mathrm{~b})$. If $E_{1} \cdot E_{2}=2$ and otherwise $E_{i} \cdot E_{j}=1$ for $i \neq j$, we apply Lemmas 3.8 and $2.2(\mathrm{~b})$. Finally, if $E_{1} \cdot E_{2}=E_{1} \cdot E_{3}=2$ and otherwise $E_{i} \cdot E_{j}=1$ for $i \neq j$, we apply Lemmas 3.6 and 2.2(b).
Remark 3.9. Writing a simple isotropic decomposition of $H$ as in (10) has the advantage that $\phi(H)$ is calculated by one among $E_{1,2}, E_{1}, \ldots, E_{10}$. More precisely, setting $a:=\sum_{i=0}^{10} a_{i}$, one has

$$
\begin{equation*}
\phi(H)=a-\max \left\{a_{1}-a_{0}, a_{2}-a_{0}, a_{3}, \ldots, a_{10}, a_{0}-a_{1}-a_{2}\right\} . \tag{13}
\end{equation*}
$$

Indeed, for any nontrivial isotropic effective $E \not \equiv E_{1,2}, E_{1}, \ldots, E_{10}$, one has $E \cdot H \geqslant a \geqslant$ $a-a_{i}=E_{i} \cdot H$, for any $i \geqslant 3$. Then (13) follows since $E_{i} \cdot H=a+a_{0}-a_{i}$ for $i=1,2$ and $E_{1,2} \cdot H=a+a_{1}+a_{2}-a_{0}$. By symmetry and Lemma 3.7, one can furthermore make sure that

$$
\begin{equation*}
a_{1}>0, a_{1} \geqslant a_{2}, a_{3} \geqslant \cdots \geqslant a_{10} \text { and either } a_{0}>0 \text { or } a_{2} \geqslant a_{3}, \tag{14}
\end{equation*}
$$

in which case

$$
\begin{equation*}
\phi(H)=\min \left\{E_{1} \cdot H, E_{3} \cdot H, E_{1,2} \cdot H\right\}=a-\max \left\{a_{1}-a_{0}, a_{3}, a_{0}-a_{1}-a_{2}\right\} . \tag{15}
\end{equation*}
$$

Remark 3.10. Even imposing the conditions (14) does not make the decomposition type unique, and the properties such as the length or being $r$-symmetric may also vary with the different ways of writing the decompositions. Consider for instance the decomposition type $H \equiv 2 E_{1}+E_{2}+E_{3}+E_{4}+E_{5}+E_{6}+E_{1,7}$ (with $g=30$ and $\phi(H)=7$ ). This has length 7 and is 5 -symmetric, but not 6 -symmetric. By Proposition 3.2 we may extend $\left\{E_{1}, \ldots, E_{6}\right\}$ to an isotropic 10 -sequence so that $E_{1,7}$ is defined as in (6). Let also $E_{7,8}$ be as defined by (6). It follows that $E_{1}+E_{1,7} \sim E_{8}+E_{7,8}$. Thus, we may also write $H \equiv E_{1}+E_{2}+E_{3}+E_{4}+E_{5}+E_{6}+E_{8}+E_{7,8}$, which has length 8 and is 6 -symmetric.

## 4. Irreducibility, unirationality and uniruledness of moduli spaces

We have the natural forgetful map

$$
\begin{equation*}
\mathcal{E}_{g, \phi} \longrightarrow \mathcal{E}, \tag{16}
\end{equation*}
$$

whose differential at a point $(S, H)$ is the linear map

$$
\begin{equation*}
H^{1}\left(S, \mathcal{E}_{H}\right) \longrightarrow H^{1}\left(S, \mathcal{T}_{S}\right) \tag{17}
\end{equation*}
$$

coming from the Atiyah extension of $H$

$$
0 \longrightarrow \mathcal{O}_{S} \longrightarrow \mathcal{E}_{H} \longrightarrow \mathcal{T}_{S} \longrightarrow 0
$$

by [10, Prop. 3.3.12]. Since $h^{1}\left(\mathcal{O}_{S}\right)=h^{2}\left(\mathcal{O}_{S}\right)=0$, the map (17) is an isomorphism, hence $\mathcal{E}_{g, \phi}$ is smooth and the map (16) is an étale cover.

We note that $\mathcal{E}_{g, \phi}$ need not be irreducible. Moreover, as already mentioned in Question 3.5 , all members in an irreducible component of $\mathcal{E}_{g, \phi}$ must admit the same simple decomposition type. Theorems 1.1 and 1.2 prove the converse of this in many cases.

We now extend a construction from [11]. First we recall some basic facts about classical Enriques sextic surfaces in $\mathbb{P}^{3}$ (see [4]).

Fix homogeneous coordinates ( $x_{0}: x_{1}: x_{2}: x_{3}$ ) on $\mathbb{P}^{3}$ and let

$$
T=Z\left(x_{0} x_{1} x_{2} x_{3}\right)
$$

be the coordinate tetrahedron. Consider the linear system $\mathcal{S}$ of surfaces of degree 6 which are singular along the edges of $T$. They are called Enriques sextic surfaces. The surfaces in $\mathcal{S}$ have equations of the form

$$
\begin{equation*}
c_{3}\left(x_{0} x_{1} x_{2}\right)^{2}+c_{2}\left(x_{0} x_{1} x_{3}\right)^{2}+c_{1}\left(x_{0} x_{2} x_{3}\right)^{2}+c_{0}\left(x_{1} x_{2} x_{3}\right)^{2}+Q x_{0} x_{1} x_{2} x_{3}=0, \tag{18}
\end{equation*}
$$ where $Q=\sum_{i \leqslant j} q_{i j} x_{i} x_{j}$. This shows that $\operatorname{dim}(\mathcal{S})=13$ and we may identify $\mathcal{S}$ with the $\mathbb{P}^{13}$ with homogeneous coordinates

$$
q=\left(c_{0}: c_{1}: c_{2}: c_{3}: q_{00}: q_{01}: q_{02}: q_{03}: q_{11}: q_{12}: q_{13}: q_{22}: q_{23}: q_{33}\right)
$$

If $\Sigma \in \mathcal{S}$ is a general surface, its normalization $\varphi: S \rightarrow \Sigma$ is an Enriques surface and $H=\varphi^{*}\left(\mathcal{O}_{\Sigma}(1)\right)$ is an ample divisor class with $H^{2}=6$ and $\phi(H)=2$. More precisely, $H \sim E_{1}+E_{2}+E_{3}$, with the usual Notation 2.3, and the edges of $T$ are the images of the curves $E_{i}$ and $E_{i}^{\prime} \sim E_{i}+K_{S}$, with $i=1,2,3$. (Recall that for a primitive, isotropic $E$, the complete linear system $\left|E+K_{S}\right|$ has a unique element.) We will write $\ell_{i}$ (resp. $\ell_{i}^{\prime}$ ) for the line image of $E_{i}$ (resp. of $E_{i}^{\prime}$ ). This means that we have marked one face of $T$
and chosen an ordering of its three edges. We denote by $v$ the vertex of $T$ not contained in the marked face.

We thus have a natural rational map

$$
p: \mathcal{S} \longrightarrow \mathcal{E}_{4,2},
$$

assigning to a general surface $\Sigma \in \mathcal{S}$ the pair $(S, H)$, where $\varphi: S \rightarrow \Sigma$ is the normalization and $H=\varphi^{*}\left(\mathcal{O}_{\Sigma}(1)\right)$. Composing with the forgetful map $\mathcal{E}_{4,2} \rightarrow \mathcal{E}$, we have a rational map $\mathcal{S} \longrightarrow \mathcal{E}$, which is dominant. Indeed, given a general, whence unnodal, Enriques surface $S$, we can find a 3 -isotropic sequence $\left\{E_{1}, E_{2}, E_{3}\right\}$. If we set $H=E_{1}+E_{2}+E_{3}$, then $(S, H) \in \mathcal{E}_{4,2}$ and the linear system $|H|$ determines a morphism $\varphi_{H}: S \rightarrow \mathbb{P}^{3}$, cf., e.g., [4, Thm. 4.6.3 and 4.7.2], and, up to a change of coordinates, $\Sigma=\varphi_{H}(S)$ is an Enriques sextic surface. Accordingly, the map $p$ is dominant. If $(S, H)$ is a point of $\mathcal{E}_{4,2}$, the fibre $p^{-1}(S, H)$ consists of the orbit of $\Sigma=\varphi_{H}(S)$ via the 3-dimensional group of projective transformations which fix $T$.

Next we denote by $\mathcal{F}_{i}, i=0,1,2$, the family of smooth cubic (resp., quartic, quintic) elliptic curves $F \subset \mathbb{P}^{3}$ such that $v \notin F$ and $F$ meets

- all edges of $T$ exactly once, if $i=0$;
- the edges $\ell_{1}$ and $\ell_{1}^{\prime}$ of $T$ exactly twice, and the remaining edges exactly once, if $i=1$; - the edges $\ell_{3}$ and $\ell_{3}^{\prime}$ of $T$ exactly once, and the remaining edges exactly twice, if $i=2$.

Lemma 4.1. (a) The family $\mathcal{F}_{2}$ is irreducible, 10-dimensional and rational, and each $F \in \mathcal{F}_{2}$ is contained in a 3-dimensional linear system of Enriques sextics.
(b) The family $\mathcal{F}_{1}$ is irreducible, 8-dimensional and rational, and each $F \in \mathcal{F}_{1}$ is contained in a 5-dimensional linear system of Enriques sextics.
(c) The family $\mathcal{F}_{0}$ is irreducible, 6-dimensional, and rational, and each $F \in \mathcal{F}_{0}$ is contained in a 7 -dimensional linear system of Enriques sextics.
Proof. We first prove (b) (resp. (c)). Let $F \in \mathcal{F}_{1}$ (resp. $F \in \mathcal{F}_{0}$ ). The linear system $\mathcal{S}$ cuts out on $F$ a linear system of divisors with base locus (containing) $T \cap F$ and a moving part $\mathfrak{g}$ of degree (at most) 8 (resp., 6). Note that $\mathcal{S}$ contains the 9 -dimensional linear system formed by surfaces of the form $T+Q$, where $Q$ is a general quadric in $\mathbb{P}^{3}$ : looking at equation (18), these are the surfaces obtained by setting $c_{i}=0$, for $i=1, \ldots, 4$. Since quadrics cut out on $F$ a complete linear system, we see that $\mathfrak{g}$ is complete, of dimension 7 (resp. 5). This proves that the linear system of Enriques sextics containing $F$ has dimension 5 (resp., 7).

The family of quartic elliptic curves in $\mathbb{P}^{3}$ is 16 -dimensional. Let $Z$ be a configuration of eight points outside the vertices of $T$ and distributed as two points on each of $\ell_{1}$ and $\ell_{1}^{\prime}$ and one point on each of the remaining edges of $T$. Then there is a unique quartic elliptic curve through $Z$. Indeed, otherwise there would be a net $\mathcal{Q}$ of quadrics through these 8 points. Fix the attention on a face $\Pi$ of $T$ containing four of these points (on three edges). By imposing to the quadrics in $\mathcal{Q}$ to contain two general points of $\Pi$, the plane $\Pi$ splits off the quadrics of $\mathcal{Q}$. Consequently, the remaing four of the eight points should be coplanar, a contradiction. Hence, the set of quartics with the given incidences with the edges of $T$ is irreducible, 8 -dimensional, and birational to $\operatorname{Sym}^{2}\left(\ell_{1}\right) \times \operatorname{Sym}^{2}\left(\ell_{1}^{\prime}\right) \times \ell_{2} \times \ell_{2}^{\prime} \times \ell_{3} \times \ell_{3}^{\prime} \simeq \mathbb{P}^{8}$. This proves $(\mathrm{b})$.

If $F \in \mathcal{F}_{0}$, then $F$ spans a plane $\Pi_{F} \subset \mathbb{P}^{3}$, which intersects the set of edges of $T$ in six points. The set of plane cubics through these six points is a linear system of dimension 3. Thus, $\mathcal{F}_{0}$ is a $\mathbb{P}^{3}$-bundle over $\left|\mathcal{O}_{\mathbb{P}^{3}}(1)\right| \simeq \mathbb{P}^{3}$, and is therefore irreducible, rational and 6 -dimensional. This proves (c).

As for item (a), the fact that $\mathcal{F}_{2}$ is irreducible, 10-dimensional and rational is proved in [11, Prop. 1.1 and $\S 2]$. The rest of the assertion is proved exactly in the same way we did it for cases (b) and (c) above.

We next define $\mathcal{F}_{00}$ to be the family of ordered pairs ( $F, F^{\prime}$ ) of smooth cubic elliptic curves $F, F^{\prime} \subset \mathbb{P}^{3}$ such that $F, F^{\prime} \in \mathcal{F}_{0}$ and $F$ and $F^{\prime}$ intersect exactly in one point not on $T$, with distinct tangent lines.

Lemma 4.2. The family $\mathcal{F}_{00}$ is irreducible, 11-dimensional and rational and each pair $\left(F, F^{\prime}\right) \in \mathcal{F}_{00}$ is contained in a 2-dimensional linear system of Enriques sextics.

Proof. The family $\mathcal{F}_{00}$ can be constructed in the following way: fix a pair of general planes $\Pi$ and $\Pi^{\prime}$ in $\mathbb{P}^{3}$ intersecting along a line $\ell$, and fix a point $p \in \ell$. Consider in both $\Pi$ and $\Pi^{\prime}$ the family of cubic curves passing through $p$ and the six intersection points of $\Pi$ and $\Pi^{\prime}$, respectively, with the edges of $T$; each of these is a two-dimensional linear system. Varying $\Pi, \Pi^{\prime}$ and $p$ and taking the two families of cubic curves, we obtain all elements of $\mathcal{F}_{00}$. This description shows the rationality and the dimension.

Now fix $\left(F, F^{\prime}\right) \in \mathcal{F}_{00}$ and let $\mathcal{S}_{F+F^{\prime}}$ be the linear system of Enriques sextics containing $F \cup F^{\prime}$. First we prove that $\operatorname{dim}\left(\mathcal{S}_{F+F^{\prime}}\right) \geqslant 2$. Indeed, the linear system $\mathcal{S}_{F}$ of Enriques sextics containing $F$ is 7 -dimensional by Lemma 4.1(c). It cuts on $F^{\prime}$ a linear system of divisors with base locus (containing) $T \cap F$ and $p=F \cap F^{\prime}$ and a moving part of degree (at most) 5 , hence of dimension at most 4. Therefore, containing $F^{\prime}$ imposes at most 5 conditions on $\mathcal{S}_{F}$.

Next we prove that $\operatorname{dim}\left(\mathcal{S}_{F+F^{\prime}}\right) \leqslant 2$, which will finish our proof. Consider the pair $F \subset \Pi$ and $F^{\prime} \subset \Pi^{\prime}$ in $\mathcal{F}_{00}$, with the planes they span. Set $\ell=\Pi \cap \Pi^{\prime}$ and $F \cap \ell=\{a, b, p\}$ and $F^{\prime} \cap \ell=\left\{a^{\prime}, b^{\prime}, p\right\}$. Let $\Sigma \in \mathcal{S}_{F+F^{\prime}}$ be general. Then $\ell$ intersects $\Sigma$ in six points, among these are $\left\{a, b, a^{\prime}, b^{\prime}, p\right\}$, call $p^{\prime}$ the sixth point. The surface $\Sigma$ intersects $\Pi$ (resp., $\Pi^{\prime}$ ) in a cubic $G$ off $F$ (resp., $G^{\prime}$ off $F^{\prime}$ ), passing through $a^{\prime}, b^{\prime}$ and $p^{\prime}$ (resp., $a, b$ and $p^{\prime}$ ), in addition to the six intersection points of $\Pi$ (resp., $\Pi^{\prime}$ ) with the edges of $T$. Thus, $G$ and $G^{\prime}$ are uniquely determined. Consequently, $\Sigma \cap\left(\Pi \cup \Pi^{\prime}\right)$ may at most vary with the point $p^{\prime} \in \ell$. Thus the restriction $\mathcal{S}_{\Pi \cup \Pi^{\prime}}$ of $\mathcal{S}_{F+F^{\prime}}$ to $\Pi \cup \Pi^{\prime}$ is at most onedimensional. Consider the restriction map $\mathcal{S}_{F+F^{\prime}} \rightarrow \mathcal{S}_{\Pi \cup \Pi}$, which is linear, rational and surjective by assumption. Its indeterminacy locus is the unique surface $T \cup \Pi \cup \Pi^{\prime}$. Since $\operatorname{dim}\left(\mathcal{S}_{\Pi \cup \Pi^{\prime}}\right) \leqslant 1$, we deduce that $\operatorname{dim}\left(\mathcal{S}_{F+F^{\prime}}\right) \leqslant 2$, as desired.

We next define $\mathcal{F}_{0 i}$, for $i=1,2$, to be the family of ordered pairs of smooth elliptic curves $\left(F, F^{\prime}\right)$ in $\mathbb{P}^{3}$ such that $F \in \mathcal{F}_{0}, F^{\prime} \in \mathcal{F}_{1}$ and $F$ and $F^{\prime}$ intersect exactly in $i$ points not on $T$, with distinct tangent lines.

Lemma 4.3. The family $\mathcal{F}_{0 i}$ is irreducible, uniruled and $(14-i)$-dimensional and each pair $\left(F, F^{\prime}\right) \in \mathcal{F}_{0 i}$ is contained in a linear system $\mathcal{S}_{F+F^{\prime}}$ of Enriques sextics of dimension at least $i-1$. If $\left(F, F^{\prime}\right) \in \mathcal{F}_{0 i}$ is contained in an Enriques sextic $\Sigma$ whose normalization $S$ is an Enriques surface, then $\mathcal{S}_{F+F^{\prime}}$ has dimension exactly $i-1$, unless $F+F^{\prime}$ is contained in only nodal Enriques sextics (that is, Enriques sextics whose normalizations contain smooth rational curves).
Proof. We have a natural dominant map $q: \mathcal{F}_{0 i} \rightarrow \mathcal{F}_{1} \times\left(\mathbb{P}^{3}\right)^{\vee}$ sending the pair $\left(F, F^{\prime}\right)$ to $F^{\prime} \in \mathcal{F}_{1}$ and the plane $\Pi_{F}$ spanned by $F$ in $\left(\mathbb{P}^{3}\right)^{\vee}$.

For $i=1$, the fiber of $q$ over $\left(F^{\prime}, \Pi\right)$ consists of the union of four 2-dimensional linear systems of cubics in $\Pi$ through the six intersection points of $\Pi$ with the edges of $T$ and
one of the four intersection points of $\Pi$ with $F^{\prime}$. This proves the irreduciblity because the monodromy action of the four intersection points is the symmetric group, and shows also the uniruledness. The dimension also follows easily.

For $i=2$, the fiber of $q$ over $\left(F^{\prime}, \Pi\right)$ consists of the union of six 1-dimensional linear systems of cubics in $\Pi$ through the six intersection points of $\Pi$ with the edges of $T$ and two of the four intersection points of $\Pi$ with $F^{\prime}$. As above, this proves irreduciblity, uniruledness and the dimension.

The dimension of the linear system of Enriques sextics $\mathcal{S}_{F^{\prime}}$ containing a fixed $F^{\prime} \in \mathcal{F}_{1}$ is 5 by Lemma 4.1(b). Containing an additional cubic $F \in \mathcal{F}_{0}$ intersecting $F^{\prime}$ in $i$ points, imposes at most $6-i$ conditions, arguing as in the proof of Lemma 4.2. Therefore, the linear system of Enriques sextics $\mathcal{S}_{F+F^{\prime}}$ containing a pair $\left(F, F^{\prime}\right) \in \mathcal{F}_{0 i}$ has dimension at least $5-(6-i)=i-1$.

Let $\Sigma$ be an Enriques sextic containing $F+F^{\prime}$ such that its normalization $\varphi: S \rightarrow \Sigma$ is an unnodal Enriques surface. The linear system $\mathcal{S}$ cuts on $\Sigma$ a linear system whose pull-back on $S$ via $\varphi$ is the sublinear system of $\left|6\left(E_{1}+E_{2}+E_{3}\right)\right|$ with base locus twice the sum of the pullback of the edges of the tetrahedron, which is

$$
2\left(E_{1}+E_{2}+E_{3}+\left(E_{1}+K_{S}\right)+\left(E_{2}+K_{S}\right)+\left(E_{3}+K_{S}\right)\right) \sim 4\left(E_{1}+E_{2}+E_{3}\right)
$$

Hence, the free part is $\left|2\left(E_{1}+E_{2}+E_{3}\right)\right|$. So we have a linear, rational restriction map

$$
\mathcal{S}_{F+F^{\prime} \rightarrow}|B|, \text { with } B:=2\left(E_{1}+E_{2}+E_{3}\right)-\left(F+F^{\prime}\right)
$$

whose indeterminacy locus is just the surface $\Sigma$.
We have $B^{2}=2(i-2)$. If $i=1$ and $S$ is unnodal, then $|B|=\emptyset$, which shows that $\mathcal{S}_{F+F^{\prime}}=\{\Sigma\}$ has dimension 0 , as wanted. If $i=2$, then $B^{2}=0$ and $E_{1} \cdot B=1$, hence $h^{0}(B)=1$ by Riemann-Roch. This implies that $\operatorname{dim}\left(\mathcal{S}_{F+F^{\prime}}\right) \leqslant 1$, proving the assertion.

Consider now the incidence varieties

$$
\mathcal{G}_{i}:=\left\{(F, \Sigma) \in \mathcal{F}_{i} \times \mathcal{S} \mid F \subset \Sigma\right\},
$$

for $i=0,1,2$, and

$$
\mathcal{G}_{00}:=\left\{\left(F, F^{\prime}, \Sigma\right) \in \mathcal{F}_{00} \times \mathcal{S} \mid F+F^{\prime} \subset \Sigma\right\},
$$

which are irreducible, rational and 13-dimensional, by Lemmas 4.1 and 4.2. Similarly, for $i=1,2$, let

$$
\mathcal{G}_{0 i}:=\left\{\left(F, F^{\prime}, \Sigma\right) \in \mathcal{F}_{0 i} \times \mathcal{S} \mid \Sigma \text { is unnodal, } F+F^{\prime} \subset \Sigma\right\},
$$

which are irreducible, uniruled and 13-dimensional, by Lemma 4.3.
Proposition 4.4. If $\mathcal{G}$ is any of the incidence varieties $\mathcal{G}_{i}$, for $i=0,1,2, \mathcal{G}_{00}, \mathcal{G}_{0 i}$, for $i=1,2$, the obvious projection $\pi: \mathcal{G} \rightarrow \mathcal{S}$ is dominant, hence generically finite. Accordingly, if $\xi \in \mathcal{G}$ is a general point, then $\Sigma=\pi(\xi)$ is a general element of $\mathcal{S}$ and its normalization $S$ is a general Enriques surface.
Proof. We prove the assertion for $\mathcal{G}=\mathcal{G}_{00}$, the proof in the other cases being similar.
Let $S$ be a general Enriques surface. There is an isotropic 5 -sequence $\left\{E_{1}, \ldots, E_{5}\right\}$ on $S$. Set $H=E_{1}+E_{2}+E_{3}$. Then $\varphi_{H}: S \rightarrow \Sigma \subset \mathbb{P}^{3}$ maps $S$, up to a projective transformation, to a general surface in $\mathcal{S}$. Moreover $E_{4}, E_{5}$ are mapped to two elliptic cubic curves $F, F^{\prime}$ meeting at a point. This proves the assertion.

We now define various maps from these incidence varieties to some $\mathcal{E}_{g, \phi} \mathrm{~S}$, for various $g$ and $\phi$, which we eventually prove to be dominant, establishing irreducibility and unirationality or uniruledness.

Consider a general element $(F, \Sigma)$ of $\mathcal{G}_{i}$, for $i=0,1,2$. Then the normalization $S$ of $\Sigma$ is an Enriques surface and on $S$ we have the three curves $E_{1}, E_{2}, E_{3}$, plus the strict transform of $F$ which, by abuse of notation, we still denote by $F$. Similar convention we introduce for $\mathcal{G}_{0 i}$, for $i=0,1,2$.

Fix four nonnegative integers $\alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4}$, at least two nonzero. Then, for each $i=0,1,2$, we have a rational map

$$
f_{\alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4}}^{i}: \mathcal{G}_{i} \longrightarrow \mathcal{E}_{g, \phi}
$$

sending the general point $(F, \Sigma) \in \mathcal{G}_{i}$ to $\left(S, \mathcal{O}_{S}\left(\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} F\right)\right.$ ), where $g=p_{a}\left(\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} F\right)$ and $\phi=\phi\left(\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} F\right)$. Similarly, for each $i=0,1,2$, we have a rational map

$$
f_{\alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4}}^{i^{\prime}}: \mathcal{G}_{i} \longrightarrow \mathcal{E}_{g, \phi}
$$

sending the general point $(F, \Sigma) \in \mathcal{G}_{i}$ to $\left(S, \mathcal{O}_{S}\left(\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} F+K_{S}\right)\right)$.
Next, fix five positive integers $\alpha_{1}, \ldots, \alpha_{5}$, at least two nonzero. For each $i=0,1,2$, we have a rational map

$$
f_{\alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4}, \alpha_{5}}^{0 i}: \mathcal{G}_{0 i} \longrightarrow \mathcal{E}_{g, \phi}
$$

sending a general $\left(F, F^{\prime}, \Sigma\right) \in \mathcal{G}_{0 i}$ to $\left(S, \mathcal{O}_{S}\left(\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} F+\alpha_{5} F^{\prime}\right)\right.$ ), where $g=p_{a}\left(\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} F+\alpha_{5} F^{\prime}\right)$ and $\phi:=\phi\left(\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} F+\alpha_{5} F^{\prime}\right)$. Similarly we have a map

$$
f^{0 i^{\prime}{ }_{\alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4}, \alpha_{5}}: \mathcal{G}_{0 i} \longrightarrow \mathcal{E}_{g, \phi} .}
$$

sending a general $\left(F, F^{\prime}, \Sigma\right) \in \mathcal{G}_{0 i}$ to $\left(S, \mathcal{O}_{S}\left(\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} F+\alpha_{5} F^{\prime}+K_{S}\right)\right)$.
Let now $(F, \Sigma) \in \mathcal{G}_{2}$ be general and consider the curves $E_{1}, E_{2}, E_{3}, F$ on $S$. Then $E_{1}+E_{2}+F$ satisfies the conditions of Lemma 2.2(b). Since $E_{i} \cdot\left(E_{1}+E_{2}+F\right)=3$, for $i=1,2,3$, we obtain an isotropic 10 -sequence $\left\{E_{1}, E_{2}, E_{3}, E_{4}, \ldots, E_{10}\right\}$ such that

$$
3\left(E_{1}+E_{2}+F\right) \sim E_{1}+\cdots+E_{10}
$$

Note that each $E_{i}$ for $i \geqslant 4$ is uniquely determined up to numerical equivalence class and permutation of indices; in particular, $E_{4}+\cdots+E_{10} \sim 2 E_{1}+2 E_{2}+3 F-E_{3}$ is a well-defined element of $\operatorname{Pic}(S)$. For any five nonnegative integers $\alpha_{0}, \ldots, \alpha_{4}$ such that at least one among $\alpha_{0}, \ldots, \alpha_{3}$ is zero, we can consider the rational map

$$
h_{\alpha_{0}, \alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4}}: \mathcal{G}_{2} \rightarrow \mathcal{E}_{g, \phi}
$$

sending $(F, \Sigma) \in \mathcal{G}_{2}$ to $\left(S, \mathcal{O}_{S}\left(\alpha_{0} F+\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4}\left(E_{4}+\cdots+E_{10}\right)\right)\right.$ ), where $g:=p_{a}\left(\alpha_{0} F+\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4}\left(E_{4}+\cdots+E_{10}\right)\right)$ and $\phi:=\phi\left(\alpha_{0} F+\alpha_{1} E_{1}+\right.$ $\left.\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4}\left(E_{4}+\cdots+E_{10}\right)\right)$. Similarly we have a map

$$
h_{\alpha_{0}, \alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4}}^{\prime}: \mathcal{G}_{2} \rightarrow \mathcal{E}_{g, \phi}
$$

sending $(F, \Sigma) \in \mathcal{G}_{2}$ to $\left(S, \mathcal{O}_{S}\left(\alpha_{0} F+\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4}\left(E_{4}+\cdots+E_{10}\right)\right)+K_{S}\right)$.
Finally, let $\left(F, F^{\prime}, \Sigma\right) \in \mathcal{G}_{02}$ be a general point and consider $E_{1}, E_{2}, E_{3}, F, F^{\prime}$ curves in $S$. Then $F+F^{\prime}+E_{1}$ satisfies the conditions of Lemma 2.2(b). Since $F \cdot\left(E_{1}+\right.$ $\left.F+F^{\prime}\right)=E_{i} \cdot\left(E_{1}+F+F^{\prime}\right)=3$, for $i=1,2,3$, we obtain an isotropic 10-sequence $\left\{E_{1}, E_{2}, E_{3}, E_{4}:=F, E_{5}, \ldots, E_{10}\right\}$ such that

$$
3\left(E_{1}+F+F^{\prime}\right) \sim E_{1}+\cdots+E_{10}
$$

Note that each $E_{i}$ for $i \geqslant 5$ is uniquely determined up to numerical equivalence class and permutation of indices; in particular, $E_{5}+\cdots+E_{10} \sim 2 E_{1}+2 F+3 F^{\prime}-E_{2}-E_{3}$ is a well-defined element of $\operatorname{Pic}(S)$. For any six nonnegative integers $\alpha_{0}, \ldots, \alpha_{5}$ such that at least one among $\alpha_{0}, \ldots, \alpha_{4}$ is zero, we have a map

$$
h_{\alpha_{0}, \alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4}, \alpha_{5}}^{0}: \mathcal{G}_{02} \longrightarrow \mathcal{E}_{g, \phi}
$$

sending $\left(F, F^{\prime}, \Sigma\right)$ to $\left(S, \mathcal{O}_{S}\left(\alpha_{0} F^{\prime}+\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} F+\alpha_{5}\left(E_{5} \cdots+E_{10}\right)\right)\right.$ ), where $g:=p_{a}\left(\alpha_{0} F^{\prime}+\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} E_{4}+\alpha_{5}\left(E_{5}+\cdots+E_{10}\right)\right)$ and $\phi:=$ $\phi\left(\alpha_{0} F^{\prime}+\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} E_{4}+\alpha_{5}\left(E_{5}+\cdots+E_{10}\right)\right)$. Similarly we have a map

$$
h_{\alpha_{0}, \alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4}, \alpha_{5}}^{0^{\prime}}: \mathcal{G}_{02} \longrightarrow \mathcal{E}_{g, \phi}
$$

sending $\left(F, F^{\prime}, \Sigma\right)$ to $\left(S, \mathcal{O}_{S}\left(\alpha_{0} F^{\prime}+\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} F+\alpha_{5}\left(E_{5}+\cdots+E_{10}\right)\right)+K_{S}\right)$.
Our main results, Theorem 1.1 and Theorem 1.2, are, respectively, immediate consequences of the following two propositions.

Proposition 4.5. Let $i \in\{0,1,2\}$ and $\alpha_{1}, \ldots, \alpha_{4} \in \mathbb{N}$, at least two nonzero. The map $f_{\alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4}}^{i}$ (respectively, $f_{\alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4}}^{\prime}$ ) is dominant onto the locus of pairs $(S, H) \in \mathcal{E}_{g, \phi}$ admitting the same simple decomposition type as $\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} F$ (resp., $\left.\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} F+K_{S}\right)$.

Let $i \in\{0,1,2\}$ and $\alpha_{1}, \ldots, \alpha_{5} \in \mathbb{N}$, at least two nonzero. The map $f_{\alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4}, \alpha_{5}}^{0 i}$ (respectively, $f^{0 i^{\prime}}{ }_{\alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4}, \alpha_{5}}$ ) is dominant onto the locus of pairs $(S, H) \in \mathcal{E}_{g, \phi}$ admitting the same simple decomposition type as $\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} F+\alpha_{5} F^{\prime}$ (resp., $\alpha_{1} E_{1}+$ $\left.\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} F+\alpha_{5} F^{\prime}+K_{S}\right)$.

Proposition 4.6. Let $\alpha_{0}, \ldots, \alpha_{4} \in \mathbb{N}$, with at least one among $\alpha_{0}, \ldots, \alpha_{3}$ nonzero. The map $h_{\alpha_{0}, \alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4}}$ (respectively, $h_{\alpha_{0}, \alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4}}^{\prime}$ ) is dominant onto the locus of pairs $(S, H) \in \mathcal{E}_{g, \phi}$ admitting the same simple decomposition type as $\alpha_{0} F+\alpha_{1} E_{1}+\alpha_{2} E_{2}+$ $\alpha_{3} E_{3}+\alpha_{4}\left(E_{4}+\cdots+E_{10}\right)\left(\right.$ resp., $\left.\alpha_{0} F+\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4}\left(E_{4}+\cdots+E_{10}\right)+K_{S}\right)$.

Let $\alpha_{0}, \ldots, \alpha_{5} \in \mathbb{N}$, with at least one among $\alpha_{0}, \ldots, \alpha_{4}$ nonzero. The map $h_{\alpha_{0}, \alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4}, \alpha_{5}}^{0}$ (respectively, $h^{0}{ }_{\alpha_{0}, \alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4}, \alpha_{5}}$ ) is dominant onto the locus of pairs $(S, H) \in \mathcal{E}_{g, \phi}$ admitting the same simple decomposition type as $\alpha_{0} F^{\prime}+\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} F+\alpha_{5}\left(E_{5}+\right.$ $\cdots+E_{10}$ ) (resp., $\left.\alpha_{0} F^{\prime}+\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} F+\alpha_{5}\left(E_{5}+\cdots+E_{10}\right)+K_{S}\right)$.

The proofs of Propositions 4.5 and 4.6 require the results of Section 3 to make sure we have enough isotropic divisors in the decompositions of $H$ to map $S$ to an Enriques sextic in the appropriate way. For instance, if $H \equiv \alpha_{1} E_{1}+\alpha_{2} E_{12}$, one writes $H \equiv$ $\alpha_{1} E_{1}+\alpha_{2} E_{12}+0 E_{2}+0 E_{3}$ so that $E_{1}+E_{2}+E_{3}$ defines a mapping of $S$ to an Enriques sextic (following Notation 2.3 everywhere).

We use the following definition in the proofs of Propositions 4.5 and 4.6.
Definition 4.7. Given an isotropic 3-sequence $\mathfrak{I}=\left\{E_{1}, E_{2}, E_{3}\right\}$ on the Enriques surface $S$, the set $\widetilde{\mathcal{F}}_{i}(\mathfrak{I})$, for $i=0,1,2$, will denote the set of all primitive, isotropic divisors $F$ on $S$ satisfying

$$
\left(F \cdot E_{1}, F \cdot E_{2}, F \cdot E_{3}\right)= \begin{cases}(1,1,1) & \text { if } F \in \widetilde{\mathcal{F}}_{0}(\mathfrak{I}), \\ (2,1,1) & \text { if } F \in \widetilde{\mathcal{F}}_{1}(\mathfrak{I}), \\ (2,2,1) & \text { if } F \in \widetilde{\mathcal{F}}_{2}(\mathfrak{I})\end{cases}
$$

and the set $\widetilde{\mathcal{F}}_{0 i}(\mathfrak{I})$, for $i=0,1,2$, will denote the set of all pairs $\left(F, F^{\prime}\right)$ of primitive, isotropic divisors $F, F^{\prime}$ on $S$ such that $F \in \widetilde{\mathcal{F}}_{0}(\mathfrak{I})$ and

- $F^{\prime} \in \widetilde{\mathcal{F}}_{0}(\mathfrak{I})$ and $F \cdot F^{\prime}=1$, if $i=0$,
- $F^{\prime} \in \widetilde{\mathcal{F}}_{1}(\mathfrak{I})$ and $F \cdot F^{\prime}=1$, if $i=1$,
- $F^{\prime} \in \widetilde{\mathcal{F}}_{1}(\mathfrak{I})$ and $F \cdot F^{\prime}=2$, if $i=2$.

Proof of Proposition 4.5. Let $(S, H)$ be as in either of the statements of the proposition. In particular, $H$ admits a simple decomposition type of length $n$, with $2 \leqslant n \leqslant 5$. By Proposition 3.2, if $n \leqslant 4$, we may write $H \equiv \alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} F$ with $\mathfrak{I}=\left\{E_{1}, E_{2}, E_{3}\right\}$ an isotropic 3 -sequence and $F \in \widetilde{\mathcal{F}}_{i}(\mathfrak{I})$, possibly allowing some of the $\alpha_{i}$ s to be 0 . If $n=5$, we may write $H \equiv \alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} F+\alpha_{5} F^{\prime}$ with $\left(F, F^{\prime}\right) \in \widetilde{\mathcal{F}}_{0 i}(\mathfrak{I})$. We may assume $(S, H)$ to be general, in particular, $S$ is unnodal. Then by [4, Thm. 4.6.3 and 4.7.2] the complete linear system $\left|E_{1}+E_{2}+E_{3}\right|$ maps $S$ birationally onto an Enriques sextic in $\mathbb{P}^{3}$, with double lines along the edges of the tetrahedron $T$ defined by the images of all $E_{i}$ and $E_{i}^{\prime}:=E_{i}+K_{S}$. Under this map, $F$ (respectively, $\left(F, F^{\prime}\right)$ ) is mapped to an element of $\mathcal{F}_{i}$ (resp., $\mathcal{F}_{0 i}$ ), finishing the proof.

Proof of Proposition 4.6. To prove the surjectivity of $h_{\alpha_{0}, \ldots, \alpha_{4}}$, assume ( $S, H$ ) admits the given simple decomposition type as in the statement. We may assume that $\alpha_{4}>0$, otherwise the result follows from Proposition 4.5. By Corollary 3.3, we may always write $H \sim \alpha_{0} E_{1,2}+\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4}\left(E_{4}+\cdots+E_{10}\right)$, possibly allowing more than one among $\alpha_{0}, \alpha_{1}, \alpha_{2}, \alpha_{3}$ to be zero. Since $E_{1,2} \in \widetilde{\mathcal{F}}_{2}\left(E_{1}, E_{2}, E_{3}\right)$, the result follows as in the proof of Proposition 4.5. The proof of the surjectivity of $h_{\alpha_{0}, \ldots, \alpha_{4}}^{\prime}$ is identical.

To prove the surjectivity of $h_{\alpha_{0}, \ldots, \alpha_{5}}^{0}$, assume $(S, H)$ admits the given simple decomposition type as in the statement. We may again assume that $\alpha_{5}>0$. By Corollary 3.3, we may always write $H \sim \alpha_{0} E_{1,4}+\alpha_{1} E_{1}+\alpha_{2} E_{2}+\alpha_{3} E_{3}+\alpha_{4} E_{4}+\alpha_{5}\left(E_{5}+\cdots+E_{10}\right)$, possibly allowing more than one among $\alpha_{0}, \alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4}$ to be zero. Then $\left(E_{4}, E_{1,4}\right) \in$ $\widetilde{\mathcal{F}}_{02}\left(E_{1}, E_{2}, E_{3}\right)$ and the result follows as in the proof of Proposition 4.5. The proof of the surjectivity of $h^{0}{ }_{\alpha_{0}, \ldots, \alpha_{5}}$ is identical.

Next we prove the:
Lemma 4.8. Let $\mathcal{C}$ be an irreducible component of $\mathcal{E}_{g, \phi}$, such that $(S, H)$ and $\left(S^{\prime}, H^{\prime}\right)$ both lie in $\mathcal{C}$ if and only if they admit the same simple decomposition type as in (4). If some of the $a_{i} s$ are odd, then in (4) one may always assume $\varepsilon=0$, so that both pairs $(S, H)$ and $\left(S, H+K_{S}\right)$ lie in $\mathcal{C}$.

If all the $a_{i} s$ are even, then there is a different irreducible component $\mathcal{C}^{\prime}$ of $\mathcal{E}_{g, \phi}$ such that $(S, H)$ lies in $\mathcal{C}^{\prime}$ if and only if it admits the simple decomposition type $H \sim a_{1} E_{1}+$ $\cdots+a_{n} E_{n}+(1-\varepsilon) K_{S}$.

Proof. The first assertion is trivial. So assume that all the $a_{i}$ s are even. Assume in (4) one has $\varepsilon=0$. This means that $H$ is divisible by 2 in $\operatorname{Pic}(S)$. The pairs $\left(S, H+K_{S}\right)$ do fill up an irreducible component $\mathcal{C}^{\prime}$ of $\mathcal{E}_{g, \phi}$, and we claim that it is different from $\mathcal{C}$. Indeed if $\mathcal{C}=\mathcal{C}^{\prime}$, given a general Enriques surface $S$, we would have a relation of the form $H \sim H^{\prime}+K_{S}$, with $H$ and $H^{\prime}$ divisible by $2 \mathrm{in} \operatorname{Pic}(S)$. This is impossible, since $K_{S}$ is not divisible by 2 in $\operatorname{Pic}(S)$. The proof is similar if $\varepsilon=1$.

We finally give the proofs of the four corollaries in the introduction.

Proof of Corollary 1.3. By Lemma 2.5, all cases with $\phi \leqslant 4$ admit simple decomposition types of length $n \leqslant 4$, except for the decomposition type $\frac{g-7}{4} E_{1}+E_{2}+E_{3}+E_{4}+E_{5}$. The result thus follows from Theorem 1.1.

Proof of Corollary 1.4. Since $g \leqslant 20$, we have $H^{2} \leqslant 38$, whence $\phi \leqslant 6$ by (5), with equality $\phi=6$ possible only for $H^{2}=36$ by Proposition 2.4, in which case the simple decomposition type has length 2 . Thus the result follows from Theorem 1.1 in this case.

We have left to treat the cases where $\phi \leqslant 5$. By Lemma 2.5, all cases with $\phi \leqslant 5$ and $g \leqslant 20$ have decomposition types of length $n \leqslant 5$, except for the type $E_{1}+E_{2}+E_{3}+$ $E_{4}+E_{5}+E_{6}$ for $(g, \phi)=(16,5)$, which is the only type occurring for these values of $g$ and $\phi$. Hence $\mathcal{E}_{16,5}$ is irreducile and uniruled by Theorem 1.2. Again by Lemma 2.5, all remaining cases with $\phi \leqslant 5$ and $g \leqslant 20$ admit simple decomposition types of length $n \leqslant 4$ or of length 5 with all nonzero intersections occurring equal to one, except for the type $2 E_{1}+E_{2}+E_{3}+E_{4}+E_{1,5}$ for $(g, \phi)=(17,5)$, which is the only type occurring for these values of $g$ and $\phi$. Hence $\mathcal{E}_{17,5}$ is irreducile and uniruled and all irreducible components of the remaining $\mathcal{E}_{g, \phi}$ are unirational by Theorem 1.1.

Proof of Corollary 1.5. When $g=\frac{\phi^{2}}{2}+1$, equivalently $H^{2}=\phi^{2}$, then Proposition 2.4, Theorem 1.1 and Lemma 4.8 yield that, when $\frac{\phi}{2}$ is even, i.e., $\phi \equiv 0 \bmod 4$ (respectively, when $\frac{\phi}{2}$ is odd, i.e., $\phi \equiv 2 \bmod 4$ ) then $\mathcal{E}_{\frac{\phi^{2}}{2}+1, \phi}$ has two irreducible, unirational components (resp. only one irreducible, unirational component), corresponding to the simple decomposition types $\frac{\phi}{2}\left(E_{1}+E_{1,2}\right)$ and $\frac{\phi}{2}\left(E_{1}+E_{1,2}\right)+K_{S}\left(\right.$ resp. $\left.\frac{\phi}{2}\left(E_{1}+E_{1,2}\right)\right)$.

When $g=\frac{\phi(\phi+1)}{2}$, Proposition 2.4 yields that there is a unique simple decomposition type, of length 3 , for each $\phi$, except for $\phi=6$, where there are three possible types

$$
2 E_{1}+3 E_{1,2}+E_{2}, \quad 2\left(E_{1}+E_{2}+E_{1,2}\right), \quad 2\left(E_{1}+E_{2}+E_{1,2}\right)+K_{S},
$$

The result follows from Theorem 1.1 and Lemma 4.8.
Before proving Corollary 1.6, we need an example and an auxiliary result.
Example 4.9. Let $S$ be an Enriques surface and $H$ a line bundle with a simple isotropic decomposition type of the form

$$
H \equiv a_{0} E_{1,2}+a_{1} E_{1}+a_{3} E_{3}+\cdots+a_{10} E_{10}
$$

where $a_{1}$ is an even nonnegative integer, and $a_{0}, a_{3}, \ldots, a_{10}$ are odd positive integers. Then $H$ is numerically 2 -divisible, that is, its class in $\operatorname{Num}(S)$ is 2-divisible. Indeed, the claim is equivalent to $B:=E_{1,2}+E_{3}+\cdots+E_{10}$ being numerically 2-divisible. As

$$
\begin{aligned}
B & \equiv 3\left(E_{1,2}+E_{1}+E_{2}\right)+\left(E_{1}+E_{2}+E_{3}+\cdots+E_{10}\right)-2 E_{1,2}-4 E_{1}-4 E_{2} \\
& \equiv 2\left(E_{1}+\cdots+E_{10}\right)-2 E_{1,2}-4 E_{1}-4 E_{2},
\end{aligned}
$$

using Lemma 2.2(a), the claim follows.
Lemma 4.10. A line bundle $H$ on an Enriques surface is numerically 2-divisible if and only if either all coefficients in any simple isotropic decomposition in $\operatorname{Num}(S)$ are even or $H$ is as in Example 4.9.

Proof. The if part is clear. To prove the converse, assume that $H$ is numerically 2 divisible and

$$
H \equiv a_{0} E_{1,2}+a_{1} E_{1}+a_{2} E_{2}+a_{3} E_{3}+\cdots+a_{10} E_{10}
$$

by Corollary 3.3, where the $a_{i} \mathrm{~s}$ are nonnegative integers, at least one being zero. By symmetry, we may consider the three cases $a_{0}=0, a_{2}=0$ and $a_{10}=0$. We let $E_{i, j}$ be defined as in (6).

Assume $a_{0}=0$. Since $\left(E_{i, j}-E_{i}\right) \cdot H=2 a_{i}+a_{j}$, for $i \neq j$, and $H$ is numerically 2-divisible, we must have all $a_{j}$ even, as desired.

Assume $a_{10}=0$. For $i=1,2$, we have $\left(E_{i, 10}-E_{10}\right) \cdot H=a_{i}$, hence $a_{1}$ and $a_{2}$ are even. For $i \geqslant 3$, we have $\left(E_{i}-E_{10}\right) \cdot H=-a_{i}$, hence also $a_{i}$ for $i \geqslant 3$ must be even. Moreover $E_{3} \cdot H=a_{0}+a_{1}+a_{2}+a_{4}+\cdots+a_{9}$, and since $a_{1}, \ldots, a_{9}$ are all even, also $a_{0}$ is even, as desired.

Assume $a_{2}=0$. Since $\left(E_{2}-E_{1}\right) \cdot H=a_{1}$, we have that $a_{1}$ is even. For $i \neq j$ and $i, j \geqslant 3$, we have $\left(E_{j}-E_{i}\right) \cdot H=a_{i}-a_{j}$. Hence $a_{i}+a_{j}$ is even for all $i, j \geqslant 3$. For $j \geqslant 3$, we have $\left(E_{1,2}-E_{2, j}\right) \cdot H=-a_{0}-a_{j}+a_{1}$. Hence $a_{0}+a_{j}$ is even for all $j \geqslant 3$. It follows that all $a_{0}, a_{3}, \ldots, a_{10}$ have the same parity. Thus, either all coefficients are even, or $H$ is as in Example 4.9, as claimed.

Proof of Corollary 1.6. If $[H] \in \operatorname{Num}(S)$ is not 2-divisible or as in Example 4.9, then some simple decomposition types of $H$ and $H+K_{S}$ have not all even coefficients in front of the isotropic, primitive summands. Hence, by substituting one $E_{i}$ with odd coefficient with $E_{i}+K_{S}$, we see that $H$ and $H+K_{S}$ admit the same simple decomposition type, and thus belong to the same irreducible component of $\mathcal{E}_{g, \phi}$, by Theorems 1.1 or 1.2 and Lemma 4.8 , by the assumption on the decomposition types. Hence $\rho^{-1}(\rho(\mathcal{C}))$ is irreducible.

Conversely, assume $[H] \in \operatorname{Num}(S)$ is 2-divisible and not as in Example 4.9 and with a simple decomposition type of length at most 5 . By Corollary 3.3 there is an isotropic 10-sequence $\left\{E_{1}, \ldots, E_{10}\right\}$ so that

$$
H \equiv a_{0} E_{1,2}+a_{1} E_{1}+a_{2} E_{2}+a_{3} E_{3}+a_{4} E_{4}+a_{5} E_{5},
$$

where at least one of $a_{0}, \ldots, a_{5}$ is zero. There may be more such sequences, but by Lemma 4.10, for any such, all coefficients $a_{i}$ are even. Thus, by Lemma 4.8, $H$ and $H+K_{S}$ do not admit the same simple decomposition type and therefore do not lie in the same irreducible component of $\mathcal{E}_{g, \phi}$, whence $\rho^{-1}(\rho(\mathcal{C}))$ consists of two disjoint components.

The argument is the same if $H$ admits a simple decomposition type that is 6symmetric.

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## Appendix: Irreducible components of $\overline{\mathcal{E}}_{g, \phi}$ and $\mathcal{E}_{g, \phi}$ FOR $g \leqslant 30$

Using Proposition 3.4 (and Notation 2.3) we list all irreducible components of the moduli spaces $\overline{\mathcal{E}}_{g, \phi}$ for $g \leqslant 30$, marking them with roman numbers, and describe the properties of $\rho^{-1}$ of these components obtained by Theorems 1.1 and 1.2 and Corollary 1.6. We thus obtain information about all irreducible components of the moduli spaces $\mathcal{E}_{g, \phi}$, with few exceptions. The various decomposition types can be obtained from Lemma 2.5 and Proposition 2.4, and an ad hoc treatment as in the proof of Lemma 2.5 for the cases $\phi=6$ and 7 . The fact that all decomposition types below are truly different can be checked by computing suitable intersections as in the proof of Lemma 2.5, and the fact that they all do exist on any Enriques surface follows from Lemma 2.2(a).

| $g$ | $\phi$ | comp. | dec. type | $\rho^{-1}$ |
| :--- | :--- | :--- | :--- | :--- |
| 2 | 1 | $\overline{\mathcal{E}}_{2,1}$ | $E_{1}+E_{2}$ | irred. unirat. |
| 3 | 1 | $\overline{\mathcal{E}}_{3,1}$ | $2 E_{1}+E_{2}$ | irred. unirat. |
| 3 | 2 | $\overline{\mathcal{E}}_{3,2}$ | $E_{1}+E_{1,2}$ | irred. rational [2] |
| 4 | 1 | $\overline{\mathcal{E}}_{4,1}$ | $3 E_{1}+E_{2}$ | irred. unirat. |
| 4 | 2 | $\overline{\mathcal{E}}_{4,2}$ | $E_{1}+E_{2}+E_{3}$ | irred. rational, $[5, \S 3]$ |
| 5 | 1 | $\overline{\mathcal{E}}_{5,1}$ | $4 E_{1}+E_{2}$ | irred. unirat. |
| 5 | 2 | $\overline{\mathcal{E}}_{5,2}^{(I)}$ | $2 E_{1}+E_{1,2}$ | irred. unirat. |
| 5 | 2 | $\overline{\mathcal{E}}_{5,2}^{(I I)}$ | $2\left(E_{1}+E_{2}\right)$ | two unirat. components |
| 6 | 1 | $\overline{\mathcal{E}}_{6,1}$ | $5 E_{1}+E_{2}$ | irred. unirat. |
| 6 | 2 | $\overline{\mathcal{E}}_{6,2}$ | $2 E_{1}+E_{2}+E_{3}$ | irred. unirat. |
| 6 | 3 | $\overline{\mathcal{E}}_{6,3}$ | $E_{1}+E_{2}+E_{1,2}$ | irred. unirat. $[11]$ |
| 7 | 1 | $\overline{\mathcal{E}}_{7,1}$ | $6 E_{1}+E_{2}$ | irred. unirat. |
| 7 | 2 | $\overline{\mathcal{E}}_{7,2}^{(I)}$ | $3 E_{1}+E_{1,2}$ | irred. unirat. |
| 7 | 2 | $\overline{\mathcal{E}}_{7,2}^{(I I)}$ | $3 E_{1}+2 E_{2}$ | irred. unirat. |
| 7 | 3 | $\overline{\mathcal{E}}_{7,3}$ | $E_{1}+E_{2}+E_{3}+E_{4}$ | irred. unirat. |
| 8 | 1 | $\overline{\mathcal{E}}_{8,1}$ | $7 E_{1}+E_{2}$ | irred. unirat. |
| 8 | 2 | $\overline{\mathcal{E}}_{8,2}$ | $3 E_{1}+E_{2}+E_{3}$ | irred. unirat. |
| 8 | 3 | $\overline{\mathcal{E}}_{8,3}$ | $2 E_{1}+E_{2}+E_{1,3}$ | irred. unirat. |
| 9 | 1 | $\overline{\mathcal{E}}_{9,1}$ | $8 E_{1}+E_{2}$ | irred. unirat. |
| 9 | 2 | $\overline{\mathcal{E}}_{9,2}^{(I I)}$ | $4 E_{1}+E_{1,2}$ | irred. unirat. |
| 9 | 2 | $\overline{\mathcal{E}}_{9,2}^{(I I)}$ | $2\left(2 E_{1}+E_{2}\right)$ | two unirat. components |
| 9 | 3 | $\overline{\mathcal{E}}_{9,3}^{(I)}$ | $2 E_{1}+E_{2}+E_{1,2}$ | irred. unirat. |
| 9 | 3 | $\overline{\mathcal{E}}_{9,3}^{(I I)}$ | $2 E_{1}+2 E_{2}+E_{3}$ | irred. unirat. |
| 9 | 4 | $\overline{\mathcal{E}}_{9,4}$ | $2\left(E_{1}+E_{1,2}\right)$ | two unirat. components |
| 10 | 1 | $\overline{\mathcal{E}}_{10,1}$ | $9 E_{1}+E_{2}$ | irred. unirat. |
| 10 | 2 | $\overline{\mathcal{E}}_{10,2}$ | $4 E_{1}+E_{2}+E_{3}$ | irred. unirat. |
| 10 | 3 | $\overline{\mathcal{E}}_{10,3}^{(I)}$ | $2 E_{1}+E_{2}+E_{3}+E_{4}$ | irred. unirat. |
| 10 | 3 | $\overline{\mathcal{E}}_{10,3}^{(I I)}$ | $3\left(E_{1}+E_{2}\right)$ | irred. unirat. |
| 10 | 4 | $\overline{\mathcal{E}}_{10,4}$ | $2 E_{1,2}+E_{1}+E_{2}$ | irred. unirat. |
| 11 | 1 | $\overline{\mathcal{E}}_{11,1}$ | $10 E_{1}+E_{2}$ | irred. unirat. |
| 11 | 2 | $\overline{\mathcal{E}}_{11,2}^{(I I, 2}$ | $5 E_{1}+E_{1,2}$ | irred. unirat. |
| 11 | 2 | $\overline{\mathcal{E}}_{11,2}^{(I I)}$ | $5 E_{1}+2 E_{2}$ | irred. unirat. |
| 11 | 3 | $\overline{\mathcal{E}}_{11,3}$ | $3 E_{1}+E_{2}+E_{1,3}$ | irred. unirat. |
| 11 | 4 | $\overline{\mathcal{E}}_{11,4}$ | $E_{1}+E_{2}+E_{3}+E_{4}+E_{5}$ | irred. unirat. |
|  |  |  |  |  |


| $g$ | $\phi$ | comp. | dec. type | $\rho^{-1}$ |
| :---: | :---: | :---: | :---: | :---: |
| 12 | 1 | $\overline{\mathcal{E}}_{12,1}$ | $11 E_{1}+E_{2}$ | irred. unirat. |
| 12 | 2 | $\overline{\mathcal{E}}_{12,2}$ | $5 E_{1}+E_{2}+E_{3}$ | irred. unirat. |
| 12 12 | 3 3 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{12,3}^{(I)} \\ & \overline{\mathcal{E}}_{12,3}^{(I I)} \end{aligned}$ | $\begin{aligned} & 3 E_{1}+2 E_{2}+E_{3} \\ & 3 E_{1}+E_{2}+E_{1,2} \\ & \hline \end{aligned}$ | irred. unirat. irred. unirat. |
| 12 | 4 | $\overline{\mathcal{E}}_{12,4}$ | $2 E_{1}+E_{2}+E_{3}+E_{1,4}$ | irred. unirat. |
| 13 | 1 | $\overline{\mathcal{E}}_{13,1}$ | $12 E_{1}+E_{2}$ | irred. unirat. |
| 13 <br> 13 <br> 1 | 2 2 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{13,2}^{(I)} \\ & \overline{\mathcal{E}}_{13,2}^{(I I)} \end{aligned}$ | $\begin{aligned} & 6 E_{1}+E_{1,2} \\ & 2\left(3 E_{1}+E_{2}\right) \end{aligned}$ | irred. unirat. <br> two unirat. components |
| 13 | 3 | $\begin{aligned} & \overline{\mathcal{E}}_{13,3}^{(I)} \\ & \overline{\mathcal{E}}_{13,3}^{(I I I)} \end{aligned}$ | $\begin{aligned} & 3 E_{1}+E_{2}+E_{3}+E_{4} \\ & 4 E_{1}+3 E_{2} \end{aligned}$ | irred. unirat. irred. unirat. |
| 13 13 13 | 4 4 4 | $\begin{aligned} & \overline{\mathcal{E}}_{13,4}^{(I)} \\ & \overline{\mathcal{E}}_{13,4}^{(I I)} \\ & \overline{\mathcal{E}}_{13,4}^{(I I I)} \end{aligned}$ | $\begin{aligned} & 2 E_{1}+2 E_{2}+E_{1,2} \\ & 2\left(E_{1}+E_{2}+E_{3}\right) \\ & 3 E_{1}+2 E_{1,2} \\ & \hline \end{aligned}$ | irred. unirat. two unirat. components irred. unirat. |
| 14 | 1 | $\overline{\mathcal{E}}_{14,1}$ | $13 E_{1}+E_{2}$ | irred. unirat. |
| 14 | 2 | $\overline{\mathcal{E}}_{14,2}$ | $6 E_{1}+E_{2}+E_{3}$ | irred. unirat. |
| 14 | 3 | $\overline{\mathcal{E}}_{14,3}$ | $4 E_{1}+E_{2}+E_{1,3}$ | irred. unirat. |
| 14 14 | 4 4 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{14,4}^{(I)} \\ & \overline{\mathcal{E}}_{14,4}^{(I I)} \end{aligned}$ | $\begin{aligned} & 2 E_{1}+2 E_{2}+E_{3}+E_{4} \\ & 3 E_{1,2}+E_{1}+E_{2} \end{aligned}$ | irred. unirat. irred. unirat. |
| 15 | 1 | $\overline{\mathcal{E}}_{15,1}$ | $14 E_{1}+E_{2}$ | irred. unirat. |
| 15 15 | 2 | $\begin{array}{\|l\|} \hline \hline \overline{\mathcal{E}}_{15,2}^{(I)} \\ \overline{\mathcal{E}}_{15,2}^{(I I)} \\ \hline \hline \end{array}$ | $\begin{aligned} & 7 E_{1}+E_{1,2} \\ & 7 E_{1}+2 E_{2} \\ & \hline \hline \end{aligned}$ | irred. unirat. <br> irred. unirat. |
| 15 15 | 3 3 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{15,3}^{(I)} \\ & \overline{\mathcal{E}}_{15,3}^{(I I)} \end{aligned}$ | $\begin{aligned} & 4 E_{1}+2 E_{2}+E_{3} \\ & 4 E_{1}+E_{2}+E_{1,2} \\ & \hline \end{aligned}$ | irred. unirat. <br> irred. unirat. |
| 15 15 | 4 4 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{15,4}^{(I)} \\ & \overline{\mathcal{E}}_{15,4}^{(I I)} \end{aligned}$ | $\begin{aligned} & 2 E_{1}+E_{2}+E_{3}+E_{4}+E_{5} \\ & 3 E_{1}+2 E_{2}+E_{1,3} \\ & \hline \end{aligned}$ | irred. unirat. <br> irred. unirat. |
| 15 | 5 | $\overline{\mathcal{E}}_{15,5}$ | $2 E_{1}+E_{2}+2 E_{1,2}$ | irred. unirat. |
| 16 | 1 | $\overline{\mathcal{E}}_{16,1}$ | $15 E_{1}+E_{2}$ | irred. unirat. |
| 16 | 2 | $\overline{\mathcal{E}}_{16,2}$ | $7 E_{1}+E_{2}+E_{3}$ | irred. unirat. |
| 16 16 | 3 <br> 3 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{16,3}^{(I)}{ }^{(1)}(1 I) \\ & \mathcal{E}_{16,3}^{(I)} \end{aligned}$ | $\begin{aligned} & 4 E_{1}+E_{2}+E_{3}+E_{4} \\ & 5 E_{1}+3 E_{2} \end{aligned}$ | irred. unirat. irred. unirat. |
| 16 16 | 4 4 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{16,4}^{(I)} \\ & \overline{\mathcal{E}}_{16,4}^{(I I)} \end{aligned}$ | $\begin{aligned} & 3 E_{1}+3 E_{2}+E_{3} \\ & 3 E_{1}+E_{2}+E_{3}+E_{1,4} \end{aligned}$ | irred. unirat. irred. unirat. |
| 16 | 5 | $\overline{\mathcal{E}}_{16,5}$ | $E_{1}+E_{2}+E_{3}+E_{4}+E_{5}+E_{6}$ | irred. uniruled |
| 17 | 1 | $\overline{\mathcal{E}}_{17,1}$ | $16 E_{1}+E_{2}$ | irred. unirat. |
| 17 17 | 2 2 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{17,2}^{(I)} \\ & \overline{\mathcal{E}}_{17,2}^{(I I)} \end{aligned}$ | $\begin{aligned} & 8 E_{1}+E_{1,2} \\ & 2\left(4 E_{1}+E_{2}\right) \end{aligned}$ | irred. unirat. <br> two unirat. components |
| 17 | 3 | $\overline{\mathcal{E}}_{17,3}$ | $5 E_{1}+E_{2}+E_{1,3}$ | irred. unirat. |
| 17 17 17 17 | 4 4 4 4 4 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{17,4}^{(I)} \\ & \overline{\mathcal{E}}_{17,4}^{(I I)} \\ & \overline{\mathcal{E}}_{17,4}^{(I I I)} \\ & \overline{\mathcal{E}}_{17,4}^{(I V)} \\ & \hline \end{aligned}$ | $\begin{aligned} & 3 E_{1}+2 E_{2}+2 E_{3} \\ & 3 E_{1}+2 E_{2}+E_{1,2} \\ & 2\left(2 E_{1}+E_{1,2}\right) \\ & 4\left(E_{1}+E_{2}\right) \\ & \hline \hline \end{aligned}$ | irred. unirat. <br> irred. unirat. <br> two unirat. components <br> two unirat. components |
| 17 | 5 | $\overline{\mathcal{E}}_{17,5}$ | $2 E_{1}+E_{2}+E_{3}+E_{4}+E_{1,5}$ | irred. uniruled |


| $g$ | $\phi$ | comp. | dec. type | $\rho^{-1}$ |
| :---: | :---: | :---: | :---: | :---: |
| 18 | 1 | $\overline{\mathcal{E}}_{18,1}$ | $17 E_{1}+E_{2}$ | irred. unirat. |
| 18 | 2 | $\overline{\mathcal{E}}_{18,2}$ | $8 E_{1}+E_{2}+E_{3}$ | irred. unirat. |
| 18 18 | 3 3 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{18,3}^{(I)} \\ & \overline{\mathcal{E}}_{18,3}^{(I I)} \end{aligned}$ | $\begin{aligned} & 5 E_{1}+2 E_{2}+E_{3} \\ & 5 E_{1}+E_{2}+E_{1,2} \\ & \hline \end{aligned}$ | irred. unirat. irred. unirat. |
| 18 18 | 4 4 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{18,4}^{(I)} \\ & \overline{\mathcal{E}}_{18,4}^{(I I)} \end{aligned}$ | $\begin{aligned} & 3 E_{1}+2 E_{2}+E_{3}+E_{4} \\ & 4 E_{1,2}+E_{1}+E_{2} \end{aligned}$ | irred. unirat. irred. unirat. |
| 18 18 | 5 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{18,5}^{(I)} \\ & \overline{\mathcal{E}}_{18,5}^{(I I I)} \end{aligned}$ | $\begin{aligned} & 3 E_{1}+E_{2}+2 E_{1,3} \\ & 2 E_{1}+2 E_{2}+E_{3}+E_{1,2} \end{aligned}$ | irred. unirat. irred. unirat. |
| 19 | 1 | $\overline{\mathcal{E}}_{19,1}$ | $18 E_{1}+E_{2}$ | irred. unirat. |
| 19 19 | 2 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{19,2}^{(I)} \\ & \overline{\mathcal{E}}_{19,2}^{(I I I)} \end{aligned}$ | $\begin{aligned} & 9 E_{1}+E_{1,2} \\ & 9 E_{1}+2 E_{2} \end{aligned}$ | irred. unirat. irred. unirat. |
| 19 19 | 3 3 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{19,3}^{(I)} \\ & \overline{\mathcal{E}}_{19,3}^{(I I)} \end{aligned}$ | $\begin{aligned} & 5 E_{1}+E_{2}+E_{3}+E_{4} \\ & 3\left(2 E_{1}+E_{2}\right) \end{aligned}$ | irred. unirat. irred. unirat. |
| 19 19 | 4 | $\begin{aligned} & \overline{\mathcal{E}}_{19,4}^{(I)} \\ & \overline{\mathcal{E}}_{19,4}^{(I I)} \end{aligned}$ | $\begin{aligned} & 3 E_{1}+E_{2}+E_{3}+E_{4}+E_{5} \\ & 4 E_{1}+2 E_{2}+E_{1,3} \end{aligned}$ | irred. unirat. irred. unirat. |
| 19 19 | 5 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{19,5}^{(I)} \\ & \overline{\mathcal{E}}_{19,5}^{(I I)} \end{aligned}$ | $\begin{aligned} & 2 E_{1}+2 E_{2}+2 E_{3}+E_{4} \\ & 3 E_{1,2}+E_{1}+E_{2}+E_{3} \\ & \hline \end{aligned}$ | irred. unirat. irred. unirat. |
| 19 | 6 | $\overline{\mathcal{E}}_{19,6}$ | $3\left(E_{1}+E_{1,2}\right)$ | irred. unirat. |
| 20 | 1 | $\overline{\mathcal{E}}_{20,1}$ | $19 E_{1}+E_{2}$ | irred. unirat. |
| 20 | 2 | $\overline{\mathcal{E}}_{20,2}$ | $9 E_{1}+E_{2}+E_{3}$ | irred. unirat. |
| 20 | 3 | $\overline{\mathcal{E}}_{20,3}$ | $6 E_{1}+E_{2}+E_{1,3}$ | irred. unirat. |
| 20 20 | 4 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{20,4}^{(I)} \\ & \overline{\mathcal{E}}_{20,4}^{(I I)} \\ & \hline \end{aligned}$ | $\begin{aligned} & 4 E_{1}+3 E_{2}+E_{3} \\ & 4 E_{1}+E_{2}+E_{3}+E_{1,4} \end{aligned}$ | irred. unirat. irred. unirat. |
| 20 | 5 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{20,5}^{(I)} \\ & \overline{\mathcal{E}}_{20,5}^{(I I)} \end{aligned}$ | $\begin{aligned} & 2 E_{1}+2 E_{2}+E_{3}+E_{4}+E_{5} \\ & 3 E_{1}+E_{2}+2 E_{1,2} \end{aligned}$ | irred. unirat. <br> irred. unirat. |
| 21 | 1 | $\overline{\mathcal{E}}_{21,1}$ | $20 E_{1}+E_{2}$ | irred. unirat. |
| 21 21 | 2 2 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{211,2}^{(I)} \\ & \overline{\mathcal{E}}_{21,2}^{(I I)} \end{aligned}$ | $\begin{aligned} & 10 E_{1}+E_{1,2} \\ & 10 E_{1}+2 E_{2} \\ & \hline \end{aligned}$ | irred. unirat. <br> two unirat. components |
| 21 21 | 3 | $\begin{aligned} & \overline{\mathcal{E}}_{21,3}^{(I)} \\ & \overline{\mathcal{E}}_{21,3}^{(I I)} \end{aligned}$ | $\begin{aligned} & 6 E_{1}+E_{2}+E_{1,2} \\ & 6 E_{1}+2 E_{2}+E_{3} \\ & \hline \end{aligned}$ | irred. unirat. irred. unirat. |
| 21 21 21 21 | 4 4 4 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{21,4}^{(I)} \\ & \overline{\mathcal{E}}_{21,4}^{(I I)} \\ & \overline{\mathcal{E}}_{21,4}^{(I I I)} \\ & \overline{\mathcal{E}}_{21,4}^{(I V)} \end{aligned}$ | $\begin{aligned} & 5 E_{1}+4 E_{2} \\ & 5 E_{1}+2 E_{1,2} \\ & 4 E_{1}+2 E_{2}+2 E_{3} \\ & 4 E_{1}+2 E_{2}+E_{1,2} \end{aligned}$ | irred. unirat. <br> irred. unirat. <br> two unirat. components irred. unirat. |
| 21 | 5 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{21,5}^{(I)} \\ & \overline{\mathcal{E}}_{21,5(1)}^{(I I)} \end{aligned}$ | $\begin{aligned} & 2 E_{1}+E_{2}+E_{3}+E_{4}+E_{5}+E_{6} \\ & 3 E_{1}+2 E_{2}+E_{3}+E_{1,4} \end{aligned}$ | $? ?$ irred. unirat. |
| 21 | 6 | $\overline{\mathcal{E}}_{21,6}$ | $2\left(E_{1}+E_{2}+E_{1,2}\right)$ | two unirat. components |
| 22 | 1 | $\overline{\mathcal{E}}_{22,1}$ | $21 E_{1}+E_{2}$ | irred. unirat. |
| 22 | 2 | $\overline{\mathcal{E}}_{22,2}$ | $10 E_{1}+E_{2}+E_{3}$ | irred. unirat. |
| 22 22 | 3 3 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{2,3}^{(I)} \\ & \overline{\mathcal{E}}_{22,3}^{(I I)} \end{aligned}$ | $\begin{aligned} & 6 E_{1}+E_{2}+E_{3}+E_{4} \\ & 7 E_{1}+3 E_{2} \end{aligned}$ | irred. unirat. irred. unirat. |
| 22 22 | 4 | $\begin{array}{l\|} \hline \overline{\mathcal{E}}_{2,4}^{(I)} \\ \overline{\mathcal{E}}_{22,4}^{(I I)} \end{array}$ | $\begin{aligned} & 4 E_{1}+2 E_{2}+E_{3}+E_{4} \\ & 5 E_{1,2}+E_{1}+E_{2} \\ & \hline \end{aligned}$ | irred. unirat. irred. unirat. |
| 22 22 22 | 5 5 5 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{22,5}^{(I)} \\ & \overline{\mathcal{E}}_{2,5}^{(I I)} \\ & \overline{\mathcal{E}}_{22,5}^{(I I I)} \\ & \hline \end{aligned}$ | $\begin{aligned} & 3 E_{1}+E_{2}+E_{3}+E_{4}+E_{1,5} \\ & 3 E_{1}+3 E_{2}+E_{1,2} \\ & 3 E_{1}+3 E_{2}+2 E_{3} \end{aligned}$ | irred. uniruled irred. unirat. irred. unirat. |
| 22 | 6 | $\overline{\mathcal{E}}_{22,6}$ | $E_{1}+E_{2}+E_{3}+E_{4}+E_{5}+E_{6}+E_{7}$ | irred. unirat. |


| $g$ | $\phi$ | comp. | dec. type | $\rho^{-1}$ |
| :---: | :---: | :---: | :---: | :---: |
| 23 | 1 | $\overline{\mathcal{E}}_{23,1}$ | $22 E_{1}+E_{2}$ | irred. unirat. |
| 23 23 | 2 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{23,2}^{(I)} \\ & \overline{\mathcal{E}}_{23,2}^{(I I)} \end{aligned}$ | $\begin{aligned} & 11 E_{1}+E_{1,2} \\ & 11 E_{1}+2 E_{2} \end{aligned}$ | irred. unirat. irred. unirat. |
| 23 | 3 | $\overline{\mathcal{E}}_{23,3}$ | $7 E_{1}+E_{2}+E_{1,3}$ | irred. unirat. |
| 23 23 | 4 | $\begin{aligned} & \overline{\mathcal{E}}_{23,4}^{(I)} \\ & \overline{\mathcal{E}}_{23,4}^{(I I)} \end{aligned}$ | $\begin{aligned} & 5 E_{1}+2 E_{2}+E_{1,3} \\ & 4 E_{1}+E_{2}+E_{3}+E_{4}+E_{5} \end{aligned}$ | irred. unirat. irred. unirat. |
| 23 23 23 | 5 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{23,5}^{(I)} \\ & \overline{\mathcal{E}}_{23,5}^{(I I)} \\ & \overline{\mathcal{E}}_{23,5}^{(I I I)} \\ & \hline \end{aligned}$ | $\begin{aligned} & 4 E_{1}+E_{2}+2 E_{1,3} \\ & 3 E_{1}+2 E_{2}+E_{3}+E_{1,2} \\ & 3 E_{1}+3 E_{2}+E_{3}+E_{4} \\ & \hline \hline \end{aligned}$ | irred. unirat. irred. unirat. irred. unirat. |
| 23 | 6 | $\overline{\mathcal{E}}_{23,6}$ | $2 E_{1}+E_{2}+E_{3}+E_{4}+E_{5}+E_{1,6}$ | ?? |
| 24 | 1 | $\overline{\mathcal{E}}_{24,1}$ | $23 E_{1}+E_{2}$ | irred. unirat. |
| 24 | 2 | $\overline{\mathcal{E}}_{24,2}$ | $11 E_{1}+E_{2}+E_{3}$ | irred. unirat. |
| 24 24 | 3 3 | $\begin{aligned} & \overline{\mathcal{E}}_{24,3}^{(I)} \\ & \overline{\mathcal{E}}_{24,3}^{(I I)} \end{aligned}$ | $\begin{aligned} & 7 E_{1}+E_{2}+E_{1,2} \\ & 7 E_{1}+2 E_{2}+E_{3} \end{aligned}$ | irred. unirat. irred. unirat. |
| 24 24 | 4 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{24,4}^{(I)} \\ & \overline{\mathcal{E}}_{24,4}^{(I I)} \end{aligned}$ | $\begin{aligned} & 5 E_{1}+3 E_{2}+E_{3} \\ & 5 E_{1}+E_{2}+E_{3}+E_{1,4} \\ & \hline \end{aligned}$ | irred. unirat. irred. unirat. |
| 24 24 24 | 5 5 5 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{24,5}^{(I)} \\ & \overline{\mathcal{E}}_{24,5}^{(I I)} \\ & \overline{\mathcal{E}}_{24,5}^{(I I I)} \\ & \hline \end{aligned}$ | $\begin{aligned} & 3 E_{1}+2 E_{2}+2 E_{3}+E_{4} \\ & 4 E_{1,2}+E_{1}+E_{2}+E_{3} \\ & 4 E_{1}+3 E_{2}+E_{1,3} \\ & \hline \end{aligned}$ | irred. uniruled irred. unirat. irred. unirat. |
| 24 24 | 6 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{24,6}^{(I)} \\ & \overline{\mathcal{E}}_{24,6}^{(I I)} \end{aligned}$ | $\begin{aligned} & 3 E_{1}+E_{2}+E_{3}+2 E_{1,4} \\ & 2 E_{1}+2 E_{2}+E_{3}+E_{4}+E_{1,2} \end{aligned}$ | irred. unirat. <br> irred. uniruled |
| 25 | 1 | $\overline{\mathcal{E}}_{25,1}$ | $24 E_{1}+E_{2}$ | irred. unirat. |
| 25 25 | 2 2 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{25,2}^{(I)} \\ & \overline{\mathcal{E}}_{25,2}^{(I I)} \end{aligned}$ | $\begin{aligned} & 12 E_{1}+E_{1,2} \\ & 2\left(6 E_{1}+E_{2}\right) \\ & \hline \end{aligned}$ | irred. unirat. <br> two unirat. components |
| 25 25 | 3 3 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{25,3}^{(I)} \\ & \overline{\mathcal{E}}_{25,3}^{(I I)} \end{aligned}$ | $\begin{aligned} & 7 E_{1}+E_{2}+E_{3}+E_{4} \\ & 8 E_{1}+3 E_{2} \end{aligned}$ | irred. unirat. irred. unirat. |
| 25 25 25 25 | 4 4 4 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{25,4}^{(I)} \\ & \overline{\mathcal{E}}_{25,4}^{(I I)} \\ & \overline{\mathcal{E}}_{25,4}^{(I I I)} \\ & \overline{\mathcal{E}}_{25,4}^{(I V)} \end{aligned}$ | $\begin{aligned} & 2\left(3 E_{1}+2 E_{2}\right) \\ & 2\left(3 E_{1}+E_{1,2}\right) \\ & 5 E_{1}+2 E_{2}+2 E_{3} \\ & 5 E_{1}+2 E_{2}+E_{1,2} \end{aligned}$ | two unirat. components two unirat. components irred. unirat. irred. unirat. |
| 25 25 25 | 5 5 5 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{25,5}^{(I I)} \\ & \overline{\mathcal{E}}_{2,5,5}^{(I I)} \\ & \overline{\mathcal{E}}_{25,5}^{(I I I)} \end{aligned}$ | $\begin{aligned} & 4 E_{1}+E_{2}+2 E_{1,2} \\ & 3 E_{1}+2 E_{2}+E_{3}+E_{4}+E_{5} \\ & 4 E_{1}+4 E_{2}+E_{3} \end{aligned}$ | irred. unirat. irred. unirat. irred. unirat. |
| 25 <br> 25 <br> 25 | 6 6 6 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{25,6}^{(I)} \\ & \overline{\mathcal{E}}_{25,6}^{(I I)} \\ & \overline{\mathcal{E}}_{25,6}^{(I I I)} \\ & \hline \end{aligned}$ | $\begin{aligned} & 4 E_{1}+3 E_{1,2} \\ & 2\left(E_{1}+E_{2}+E_{3}+E_{4}\right) \\ & 3 E_{1,2}+E_{1}+E_{2}+E_{3}+E_{4} \end{aligned}$ | irred. unirat. two unirat. components irred. uniruled |


| $g$ | $\phi$ | comp. | dec. type | $\rho^{-1}$ |
| :---: | :---: | :---: | :---: | :---: |
| 26 | 1 | $\overline{\mathcal{E}}_{26,1}$ | $25 E_{1}+E_{2}$ | irred. unirat. |
| 26 | 2 | $\overline{\mathcal{E}}_{26,2}$ | $12 E_{1}+E_{2}+E_{3}$ | irred. unirat. |
| 26 | 3 | $\overline{\mathcal{E}}_{26,3}$ | $8 E_{1}+E_{2}+E_{1,3}$ | irred. unirat. |
| 26 26 | 4 4 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{26,4}^{(I)} \\ & \overline{\mathcal{E}}_{26,4}^{(I I)} \end{aligned}$ | $\begin{aligned} & 5 E_{1}+2 E_{2}+E_{3}+E_{4} \\ & 6 E_{1,2}+E_{1}+E_{2} \\ & \hline \end{aligned}$ | irred. unirat. irred. unirat. |
| 26 26 26 | 5 <br> 5 <br> 5 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{26,5}^{(I)} \\ & \overline{\mathcal{E}}_{26,5}^{(I I)} \\ & \overline{\mathcal{E}}_{26,5}^{(I I I)} \\ & \hline \end{aligned}$ | $\begin{aligned} & 3 E_{1}+E_{2}+E_{3}+E_{4}+E_{5}+E_{6} \\ & 4 E_{1}+2 E_{2}+E_{3}+E_{1,4} \\ & 5\left(E_{1}+E_{2}\right) \end{aligned}$ | ?? <br> irred. unirat. <br> irred. unirat. |
| 26 | 6 | $\begin{aligned} & \overline{\mathcal{E}}_{26,6}^{(I)} \\ & \overline{\mathcal{E}}_{26,6}^{(I I)} \end{aligned}$ | $\begin{aligned} & 3 E_{1}+E_{2}+E_{3}+2 E_{1,2} \\ & 2 E_{1}+2 E_{2}+2 E_{3}+E_{4}+E_{5} \end{aligned}$ | irred. unirat. irred. unirat. |
| 27 | 1 | $\overline{\mathcal{E}}_{27,1}$ | $26 E_{1}+E_{2}$ | irred. unirat. |
| 27 27 | 2 2 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{27,2}^{(I)} \\ & \overline{\mathcal{E}}_{27,2}^{(I I)} \end{aligned}$ | $\begin{aligned} & 13 E_{1}+E_{1,2} \\ & 13 E_{1}+2 E_{2} \end{aligned}$ | irred. unirat. irred. unirat. |
| 27 27 | 3 3 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{27,3}^{(I)} \\ & \overline{\mathcal{E}}_{27,3}^{(I I)} \end{aligned}$ | $\begin{aligned} & 8 E_{1}+E_{2}+E_{1,2} \\ & 8 E_{1}+2 E_{2}+E_{3} \end{aligned}$ | irred. unirat. irred. unirat. |
| 27 27 | 4 4 | $\begin{aligned} & \overline{\mathcal{E}}_{27,4}^{(I)} \\ & \overline{\mathcal{E}}_{27,4}^{(I I)} \end{aligned}$ | $\begin{aligned} & 6 E_{1}+2 E_{2}+E_{1,3} \\ & 5 E_{1}+E_{2}+E_{3}+E_{4}+E_{5} \end{aligned}$ | irred. unirat. irred. unirat. |
| 27 <br> 27 <br> 27 | 5 <br> 5 <br> 5 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{27,5}^{(I)} \\ & \overline{\mathcal{E}}_{27,5}^{(I I)} \\ & \overline{\mathcal{E}}_{27,5}^{(I I I)} \\ & \hline \end{aligned}$ | $\begin{aligned} & 4 E_{1}+E_{2}+E_{3}+E_{4}+E_{1,5} \\ & 4 E_{1}+3 E_{2}+E_{1,2} \\ & 4 E_{1}+3 E_{2}+2 E_{3} \end{aligned}$ | irred. uniruled irred. unirat. irred. unirat. |
| 27 27 27 | 6 <br> 6 <br> 6 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{27,6}^{(I)} \\ & \overline{\mathcal{E}}_{27,6}^{(I I)} \\ & \overline{\mathcal{E}}_{27,6}^{(I I I)} \\ & \hline \end{aligned}$ | $\begin{aligned} & 3 E_{1}+2 E_{2}+2 E_{1,2} \\ & 3 E_{1}+2 E_{2}+2 E_{3}+E_{1,4} \\ & 2 E_{1}+2 E_{2}+E_{3}+E_{4}+E_{5}+E_{6} \end{aligned}$ | irred. unirat. irred. unirat. ?? |
| 28 | 1 | $\overline{\mathcal{E}}_{28,1}$ | $27 E_{1}+E_{2}$ | irred. unirat. |
| 28 | 2 | $\overline{\mathcal{E}}_{28,2}$ | $13 E_{1}+E_{2}+E_{3}$ | irred. unirat. |
| 28 28 | 3 3 | $\begin{aligned} & \hline \overline{\mathcal{E}}_{28,3}^{(I)} \\ & \overline{\mathcal{E}}_{28,3}^{(I I)} \end{aligned}$ | $\begin{aligned} & 8 E_{1}+E_{2}+E_{3}+E_{4} \\ & 3\left(3 E_{1}+E_{2}\right) \end{aligned}$ | irred. unirat. irred. unirat. |
| 28 28 | 4 4 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{28,4}^{(I)} \\ & \overline{\mathcal{E}}_{28,4}^{(I I)} \end{aligned}$ | $\begin{aligned} & 6 E_{1}+3 E_{2}+E_{3} \\ & 6 E_{1}+E_{2}+E_{3}+E_{1,4} \end{aligned}$ | irred. unirat. irred. unirat. |
| 28 28 28 | 5 <br> 5 <br> 5 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{28,5}^{(I)} \\ & \overline{\mathcal{E}}_{28,5}^{(I I)} \\ & \overline{\mathcal{E}}_{28,5}^{(I I I)} \\ & \hline \end{aligned}$ | $\begin{aligned} & 5 E_{1}+E_{2}+2 E_{1,3} \\ & 4 E_{1}+2 E_{2}+E_{3}+E_{1,2} \\ & 4 E_{1}+3 E_{2}+E_{3}+E_{4} \end{aligned}$ | irred. unirat. irred. unirat. irred. unirat. |
| 28 28 28 | 6 <br> 6 <br> 6 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{28,6}^{(I I} \\ & \overline{\mathcal{E}}_{28,6}^{(I I)} \\ & \overline{\mathcal{E}}_{28,6}^{(I I I)} \end{aligned}$ | $\begin{aligned} & 2 E_{1}+E_{2}+E_{3}+E_{4}+E_{5}+E_{6}+E_{7} \\ & 3\left(E_{1}+E_{2}+E_{3}\right) \\ & 3 E_{1}+2 E_{2}+E_{3}+E_{4}+E_{1,5} \end{aligned}$ | irred. uniruled irred. unirat. irred. uniruled |
| 28 | 7 | $\overline{\mathcal{E}}_{28,7}$ | $3 E_{1}+E_{2}+3 E_{1,2}$ | irred. unirat. |


| $g$ | $\phi$ | comp. | dec. type | $\rho^{-1}$ |
| :---: | :---: | :---: | :---: | :---: |
| 29 | 1 | $\overline{\mathcal{E}}_{29,1}$ | $28 E_{1}+E_{2}$ | irred. unirat. |
| 29 29 | 2 2 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{29,2}^{(I)} \\ & \overline{\mathcal{E}}_{29,2}^{(I I)} \end{aligned}$ | $\begin{aligned} & 14 E_{1}+E_{1,2} \\ & 2\left(7 E_{1}+E_{2}\right) \end{aligned}$ | irred. unirat. <br> two unirat. components |
| 29 | 3 | $\overline{\mathcal{E}}_{29,3}$ | $9 E_{1}+E_{2}+E_{1,3}$ | irred. unirat. |
| 29 29 29 29 | 4 <br> 4 <br> 4 <br> 4 <br> 4 | $\begin{aligned} & \overline{\mathcal{E}}_{29,4}^{(I I)} \\ & \overline{\mathcal{E}}_{29,4}^{(I I I)} \\ & \overline{\mathcal{E}}_{29,4}^{(I I I)} \\ & \overline{\mathcal{E}}_{29,4}^{(I V)} \end{aligned}$ | $\begin{aligned} & 7 E_{1}+4 E_{2} \\ & 7 E_{1}+2 E_{1,2} \\ & 2\left(3 E_{1}+E_{2}+E_{3}\right) \\ & 6 E_{1}+2 E_{2}+E_{1,2} \\ & \hline \end{aligned}$ | irred. unirat. <br> irred. unirat. <br> two unirat. components <br> two unirat. components |
| 29 29 29 | 5 5 5 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{29,5}^{(I I} \\ & \overline{\mathcal{E}}_{2,5}^{(I I)} \\ & \overline{\mathcal{E}}_{29,5}^{(I I I)} \\ & \hline \end{aligned}$ | $\begin{aligned} & 4 E_{1}+2 E_{2}+2 E_{3}+E_{4} \\ & 5 E_{1,2}+E_{1}+E_{2}+E_{3} \\ & 5 E_{1}+3 E_{2}+E_{1,3} \\ & \hline \hline \end{aligned}$ | irred. unirat. irred. unirat. irred. unirat. |
| 29 29 29 | 6 <br> 6 <br> 6 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{29,6}^{(I)} \\ & \overline{\mathcal{E}}_{29,6}^{(I I)} \\ & \overline{\mathcal{E}}_{29,6}^{(I I I)} \\ & \hline \end{aligned}$ | $\begin{aligned} & 3 E_{1}+E_{2}+E_{3}+E_{4}+E_{5}+E_{1,6} \\ & 2\left(2 E_{1}+E_{2}+E_{1,3}\right) \\ & 3 E_{1}+3 E_{2}+E_{3}+E_{1,2} \end{aligned}$ | ?? <br> two unirat. components irred. unirat. |
| 30 | 1 | $\overline{\mathcal{E}}_{30,1}$ | $29 E_{1}+E_{2}$ | irred. unirat. |
| 30 | 2 | $\overline{\mathcal{E}}_{30,2}$ | $14 E_{1}+E_{2}+E_{3}$ | irred. unirat. |
| 30 30 | 3 <br> 3 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{30,3}^{(I)} \\ & \overline{\mathcal{E}}_{30,3}^{(I I)} \end{aligned}$ | $\begin{aligned} & 9 E_{1}+2 E_{2}+E_{3} \\ & 9 E_{1}+E_{2}+E_{1,2} \end{aligned}$ | irred. unirat. irred. unirat. |
| 30 30 | 4 <br> 4 | $\begin{aligned} & \overline{\mathcal{E}}_{30,4}^{(I)} \\ & \overline{\mathcal{E}}_{30,4}^{(I I)} \end{aligned}$ | $\begin{aligned} & 6 E_{1}+2 E_{2}+E_{3}+E_{4} \\ & 7 E_{1,2}+E_{1}+E_{2} \\ & \hline \end{aligned}$ | irred. unirat. irred. unirat. |
| 30 30 30 | 5 5 5 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{30,5}^{(I)} \\ & \overline{\mathcal{E}}_{30,5}^{(I I)} \\ & \overline{\mathcal{E}}_{30,5}^{(I I I)} \\ & \hline \end{aligned}$ | $\begin{aligned} & \hline \hline 5 E_{1}+E_{2}+2 E_{1,2} \\ & 4 E_{1}+2 E_{2}+E_{3}+E_{4}+E_{5} \\ & 5 E_{1}+4 E_{2}+E_{3} \end{aligned}$ | irred. unirat. irred. unirat. irred. unirat. |
| 30 30 30 30 | 6 <br> 6 <br> 6 <br> 6 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{30,6}^{(I)} \\ & \overline{\mathcal{E}}^{(I I), 6} \\ & \overline{\mathcal{E}}_{30,6}^{(I I I)} \\ & \overline{\mathcal{E}}_{30,6}^{(I V)} \end{aligned}$ | $\begin{aligned} & 4 E_{1}+E_{2}+E_{3}+2 E_{1,4} \\ & 3 E_{1}+2 E_{2}+E_{3}+E_{4}+E_{1,2} \\ & 3 E_{1}+3 E_{2}+2 E_{3}+E_{4} \\ & 4 E_{1,2}+E_{1}+E_{2}+2 E_{3} \end{aligned}$ | irred. unirat. irred. unirat. irred. unirat. irred. unirat. |
| 30 30 | 7 7 | $\begin{aligned} & \hline \hline \overline{\mathcal{E}}_{30,7}^{(I)} \\ & \overline{\mathcal{E}}_{30,7}^{(I I)} \end{aligned}$ | $\begin{aligned} & 2 E_{1}+E_{2}+E_{3}+E_{4}+E_{5}+E_{6}+E_{1,7} \\ & 2 E_{1}+4 E_{2}+E_{3}+E_{4}+E_{5} \end{aligned}$ | irred. unirat. (cf. Rem. 3.10) irred. unirat. |

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[^0]:    ${ }^{1}$ This includes the case of simple isotropic sets of 11 elements, which means that such are automatically maximal.

