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Article

# Depth from motion algorithm and hardware architecture for smart cameras

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**Abstract:** Applications such as autonomous navigation, robot vision, autonomous flying, etc., require depth map information of the scene. Depth can be estimated by using a single moving camera (depth from motion). However, traditional depth from motion algorithms have low processing speed and high hardware requirements that limits the embedded capabilities. In this work, we propose a hardware architecture for depth from motion that consists of a flow/depth transformation and a new optical flow algorithm. Our optical flow formulation consists in an extension of the stereo matching problem. A pixel-parallel/window-parallel approach where a correlation function based in the Sum of Absolute Differences computes the optical flow is proposed. Further, in order to improve the Sum of Absolute Differences performance, the curl of the intensity gradient as preprocessing step is proposed. Experimental results demonstrated that it is possible to reach higher accuracy (90% of accuracy) compared with previous FPGA-based optical flow algorithms. For the depth estimation, our algorithm delivers dense maps with motion and depth information on all the image pixels, with a processing speed up to 128 times faster than previous works and making it possible to achieve high performance in the context of embedded applications.

**Keywords:** Depth estimation; monocular systems; optical flow; smart cameras; FPGA

## 1. Introduction

Smart cameras are machine vision systems which, in addition to image capture circuitry, are capable of extracting application-specific information from the captured images. For example, for video surveillance, image processing algorithms implemented inside the camera fabric could detect and track pedestrians [1], but for a robotic application, computer vision algorithms could estimate the system egomotion [2]. In recent years, advances in embedded vision systems such as progress in microprocessor power and FPGA technology allowed the creation of compact smart cameras with increased performance for real world applications [3–6]. As result, in current embedded applications, image processing algorithms inside the smart cameras fabric deliver an efficient on-board solution for: motion detection [7], object detection/tracking [8,9], inspection and surveillance [10], human behavior recognition [11], etc. Another algorithm that could be highly used by smart cameras are computer vision algorithms since they are the basis of several applications (automatic inspection, controlling processes, detecting events, modeling objects or environments, navigation and so on). Unfortunately, mathematical formulation of computer vision algorithms is not compliant with the hardware technologies (FPGA/CUDA) often used in smart cameras. In this work, we are interested in depth estimation from monocular sequences in the context of a smart camera because depth is the basis to obtain useful scene abstractions, for example: 3D reconstructions of the world and the camera egomotion.

### 34 1.1. *Depth estimation from monocular sequences*

35 In several applications, like autonomous navigation [12], robot vision and surveillance [1],  
36 autonomous flying [13], etc., there is a need for determining the depth map of the scene. Depth  
37 can be estimated by using stereo cameras [14], by changing focal length [15], or by employing a single  
38 moving camera [16]. In this work we are interested in depth estimation from monocular sequences  
39 by using a single moving camera (depth from motion). This choice is motivated because monocular  
40 systems have higher efficiency compared with other approaches, simpler and more accurate than  
41 defocus techniques and, cheaper/smaller compared with stereo-based techniques. In monocular  
42 systems, depth information can be estimated based on two or multiple frames of a video sequence. For  
43 two frames, image information may not provide sufficient information for accurate depth estimation.  
44 The use of multiple frames improves the accuracy, reduces the influence of noise and allows the  
45 extraction of additional information which cannot be recovered from just two frames, but the system  
46 complexity and computational cost is increased. In this work, we use information from two consecutive  
47 frames of the monocular sequence since our algorithm is focused for smart cameras and in this context  
48 hardware resources are limited.

### 49 1.2. *Motivation and scope*

50 In the last decade several works have demonstrated that depth information is highly useful for  
51 embedded robotic applications [1,12,13]. Unfortunately, depth information estimation is a relatively  
52 complex task. In recent years, the most popular solution is the use of active vision to estimate depth  
53 information from the scene [17–21], i.e., LIDAR sensors or RGBD cameras that can deliver accurate  
54 depth maps in real time, however they increase the systems size and cost. In this work, we propose  
55 a new algorithm and an FPGA hardware architecture for depth estimation. First, a new optical  
56 flow algorithm estimates the motion (flow) at each point in the input image. Then, a flow/depth  
57 transformation computes the depth in the scene. For the optical flow algorithm: an extension of the  
58 stereo matching problem is proposed. A pixel-parallel/window-parallel approach where a Sum of  
59 Absolute Differences computes the optical flow is implemented. Further, in order to improve the Sum  
60 of Absolute Differences performance, we propose the curl of the intensity gradient as preprocessing  
61 step. For the depth estimation proposes: we introduce a flow/depth transformation inspired in the  
62 epipolar geometry.

## 63 2. **Related work**

64 In previous works, depth estimation is often estimated by using a single moving camera. This  
65 approach is called depth from motion and consists in computing the depth from the pixel velocities  
66 inside the scene (optical flow). i.e., optical flow is the basis for depth from motion.

### 67 2.1. *FPGA architectures for optical flow*

68 In [22], a hardware implementation of a high complexity algorithm to estimate the optical  
69 flow from image sequences in real time is presented. In order to fulfil with the architectural  
70 limitations, the original gradient-based optical flow was modified (using a smoothness constraint for  
71 decreasing iterations). The developed architecture can estimate the optical flow in real time and can be  
72 constructed with FPGA or ASIC devices. However, due to the mathematical limitations of the CPU  
73 formulation (complex/iterative operations), speed processing is low, compared with other FPGA-based  
74 architectures for real-time image processing [23,24]. In [25], a pipelined optical-flow processing system  
75 that works as a virtual motion sensor is described. The proposed approach consists of several spatial  
76 and temporal filters (Gaussian and gradient spatial filters and IIR temporal filter) implemented in  
77 cascade. The proposed algorithm was implemented in an FPGA device, enabling the easy change  
78 of the configuration parameters to adapt the sensor to different speeds, light conditions and other  
79 environmental factors. This makes possible the implementation of an FPGA-based smart camera for

80 optical flow. In general, the proposed architecture reaches a reasonable hardware resources usage  
 81 but accuracy and processing speed is low (lower than 7 fps for  $640 \times 480$  image resolution). In [26], a  
 82 tensor-based optical flow algorithm is presented. This algorithm was developed and implemented  
 83 using FPGA technology. Experimental results demonstrated high accuracy compared with previously  
 84 FPGA-based algorithms for optical flow. In addition, the proposed design can process  $640 \times 480$  images  
 85 at 64 fps with a relatively low resource requirement, making it easier to fit into small embedded  
 86 systems. In [27], a highly parallel architecture for motion estimation is presented. The developed  
 87 FPGA-architecture implements the Lucas and Kanade algorithm [28] with the multi-scale extension for  
 88 the computation of large motion estimations in an FPGA. Although the proposed architecture reaches  
 89 a low hardware requirement with a high processing speed, the use of huge external memory capacity  
 90 is needed. Further, in order to fulfil with the hardware limitations, the accuracy is low (near 11% more  
 91 error compared with the original CPU version of the Lukas and Kanade algorithm). Finally, in [29],  
 92 an FPGA-based platform with the capability of calculating real-time optical flow at 127 frames per  
 93 second for a  $376 \times 240$  pixel resolution is presented. Radial undistortion, image rectification, disparity  
 94 estimation and optical flow calculation tasks are performed on a single FPGA without the need of  
 95 external memory. So, the platform is perfectly suited for mobile robots or embedded applications.  
 96 Unfortunately, accuracy is low (qualitatively lower accuracy than CPU based approaches).

## 97 2.2. Optical flow methods based in learning techniques

98 There are some recent works that addresses the optical flow problem via learning techniques [30].  
 99 In 2015 [31] proposed the use of convolutional neuronal networks (CNNs) as an alternative framework  
 100 to solve the optical flow estimation problem. Two different architectures were proposed and compared:  
 101 a generic architecture and another one including a layer that correlates feature vectors at different  
 102 image locations. Experimental results demonstrated a competitive accuracy at frame rates of 5 to  
 103 10 fps. On the other hand, in 2017 [32] developed a stacked architecture that includes warping of  
 104 the search image with intermediate optical flow. Further, in order to achieve high accuracy on small  
 105 displacements, authors introduced a sub-network specializing on small motions. Experimental results  
 106 demonstrated that it is possible to reach more than 95% of accuracy, decreasing the estimation error by  
 107 more than 50% compared with previous works.

## 108 3. The proposed algorithm

109 In Fig. 1 an overview of our algorithm is shown. First, given an imager as sensor, two consecutive  
 110 frames ( $f_t(x, y)$ ,  $f_{t+1}(x, y)$ ) are stored in local memory. Then, an optical flow algorithm computes 2D  
 111 pixel displacements between  $f_t(x, y)$  and  $f_{t+1}(x, y)$ . A dynamic template based on the optical flow  
 112 previously computed ( $\Delta_{x,t-1}(x, y)$ ,  $\Delta_{y,t-1}(x, y)$ ) computes the search region size for the current optical  
 113 flow. Then, let the optical flow for the current frame be ( $\Delta_x(x, y)$ ,  $\Delta_y(x, y)$ ), the final step is depth  
 114 estimation for all the pixels in the reference image  $D(x, y)$ . In the following subsections, details about  
 115 the proposed algorithm are presented.

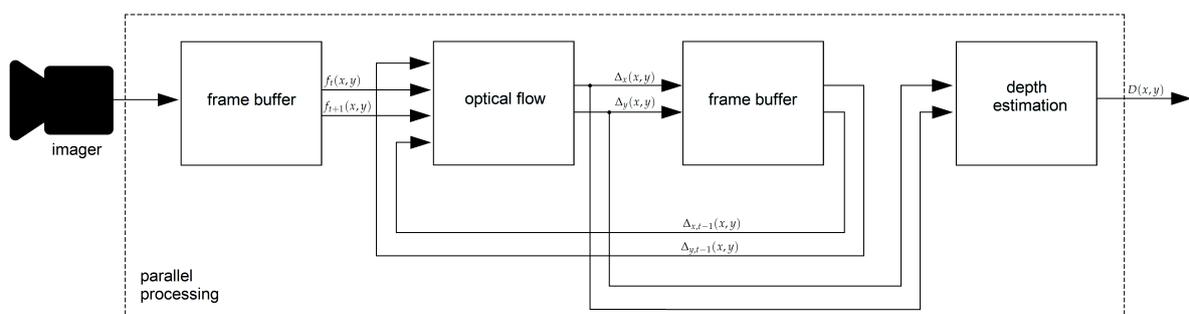


Figure 1. Block diagram of the proposed algorithm

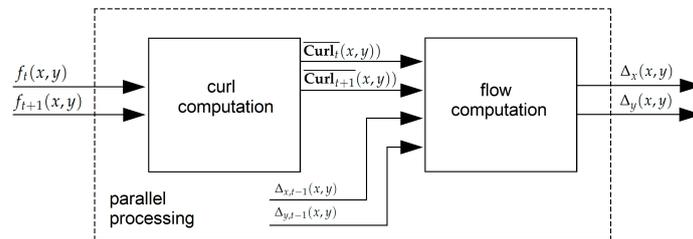
### 116 3.1. Frame buffer

117 The first step in our mathematical formulation is image storage, considering that in most cases the  
 118 imager provides data as a stream, some storage is required in order to have two consecutive frames  
 119 available at the same time  $t$ . More information/details about the storage architecture are presented  
 120 in **Section 4.1**. For mathematical formulation, we consider the first frame (frame at  $t$  time) as  $f_t(x, y)$   
 121 while the second frame (frame at  $t + 1$  time) is  $f_{t+1}(x, y)$ .

### 122 3.2. Optical flow

123 In previous works, iterative algorithms, such as the Lucas Kanade [28] or the Horn–Schunck [33]  
 124 algorithms have been used on order to compute optical flow across video sequences, then, given dense  
 125 optical flow, geometric methods allow to compute the depth in the scene. However, these algorithms  
 126 [28,33] have iterative operations that limit the performance for smart camera implementations. In  
 127 order to avoid the iterative and convergence part of the traditional formulation we replace that with a  
 128 correlation metric implemented inside a pixel-parallel/window-parallel formulation. In **Fig. 2** an  
 129 overview of our optical flow algorithm is shown. Let  $(f_t(x, y), f_{t+1}(x, y))$  be two consecutive frames  
 130 from a video **sequence**, curl of the intensity gradient  $\frac{df(x,y)}{dx}$  are computed, see **Eq. 1**, where  $\nabla$  is  
 131 the **Del** operator. Let curl be a vector operator that describes the infinitesimal rotation, then, at  
 132 every pixel the curl of that pixel is represented by a vector where attributes (length and direction)  
 133 characterize the rotation at that point. In our case, we use only the norm of  $\overline{\text{Curl}}(x, y)$ , as shown in  
 134 **Eq. 2** and, as illustrated in **Fig. 3**. This operation increases the robustness under image degradations  
 135 (color/texture repetition, illumination changes, noise), therefore, simple similarity metrics [34] deliver  
 136 accurate pixel tracking, simpler than previous tracking algorithms [28,33]. Given the curl images for  
 137 two consecutive frames  $(\overline{\text{Curl}}_t(x, y), \overline{\text{Curl}}_{t+1}(x, y))$ , dense optical flow  $(\Delta_x(x, y), \Delta_y(x, y))$ , illustrated  
 138 in **Fig. 4** in the reference image is computed as shown in **Fig. 5**. This process assumes that pixel  
 139 displacements between frames is such as it exists an overlap on two successive "search regions". A  
 140 search region is defined as a patch around a pixel to track. Considering that between  $f_t$  and  $f_{t+1}$ , the  
 141 image degradation is low, any similarity-based metric have to provide good accuracy. In our case, this  
 142 similarity is calculated by a SAD (Sum of Absolute Difference). This process is defined in **Eq. 3**; where  
 143  $r$  is the patch size (see **Fig. 5**).  $(\overline{\text{Curl}}_t(x, y), \overline{\text{Curl}}_{t+1}(x, y))$  are curl images on two consecutive frames.  
 144  $x, y$  are the spatial coordinates of pixels in  $f_t$  and,  $a, b$  are the spatial coordinates within a search region  
 145 constructed in  $f_{t+1}$  (see **Eq. 4** and **5**); where  $\Delta'_{x(t-1)}, \Delta'_{y(t-1)}$  are a dynamic search template, computed  
 146 as shown in **Section 3.3**.  $k$  is the search size and  $s$  is a sampling value defined by the user. Finally,  
 147 optical flow **at the current time**  $(\Delta_x(x, y), \Delta_y(x, y))$  is computed by **Eq. 6**.

148



**Figure 2.** The optical flow step: first, curl images  $(\overline{\text{Curl}}_t(x, y), \overline{\text{Curl}}_{t+1}(x, y))$  are computed. Then, given the curl images for two consecutive frames, pixels displacements  $\Delta_x(x, y), \Delta_y(x, y)$  (optical flow for all pixels in the reference image) are computed using a dynamic template based on the optical flow previously computed  $(\Delta_{x,t-1}(x, y), \Delta_{y,t-1}(x, y))$ .

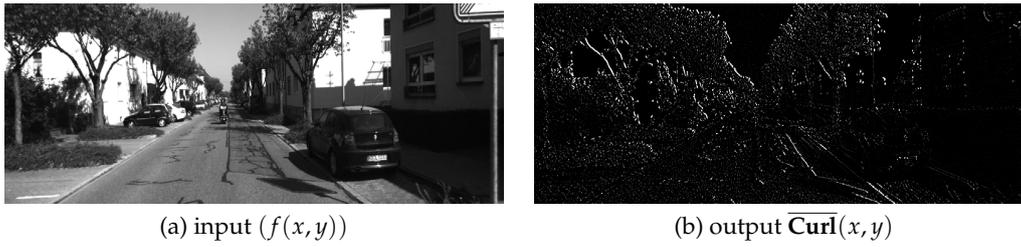


Figure 3. Curl computation example. Input image taken from the KITTI benchmark dataset [35]

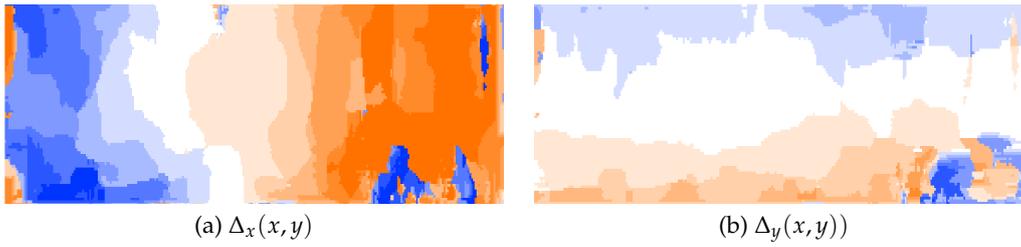


Figure 4. Optical flow example. Image codification as proposed in the Tsukuba benchmark dataset [36]

$$\mathbf{Curl}(x, y) = \nabla \times \frac{df(x, y)}{dx} = \frac{\partial}{\partial y} \frac{\partial f(x, y)}{\partial x} - \frac{\partial}{\partial x} \frac{\partial f(x, y)}{\partial y} \quad (1)$$

$$\overline{\mathbf{Curl}}(x, y) = \left| \frac{\partial}{\partial y} \frac{\partial f(x, y)}{\partial x} - \frac{\partial}{\partial x} \frac{\partial f(x, y)}{\partial y} \right| \quad (2)$$

149

where

$$\frac{\partial f(x, y)}{\partial x} = G_x(x, y) = f(x + 1, y) - f(x - 1, y)$$

$$\frac{\partial f(x, y)}{\partial y} = G_y(x, y) = f(x, y + 1) - f(x, y - 1)$$

$$\frac{\partial}{\partial y} \frac{\partial f(x, y)}{\partial x} = G_x(x, y + 1) - G_x(x, y - 1)$$

$$\frac{\partial}{\partial x} \frac{\partial f(x, y)}{\partial y} = G_y(x + 1, y) - G_y(x - 1, y)$$

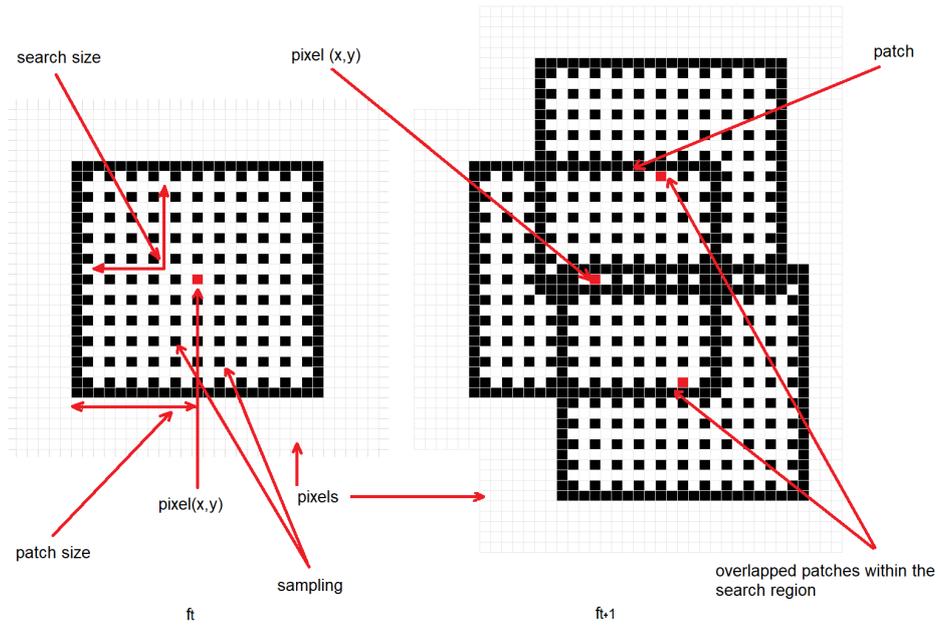
150

$$\text{SAD}(a, b) = \sum_{u=-r}^{u=r} \sum_{v=-r}^{v=r} |\overline{\mathbf{Curl}}_t(x + u, y + v)| - |\overline{\mathbf{Curl}}_{t+1}(x + u + a, y + v + b)| \quad (3)$$

$$a = \Delta'_{x(t-1)}(x, y) - k : s : \Delta'_{x(t-1)}(x, y) + k \quad (4)$$

$$b = \Delta'_{y(t-1)}(x, y) - k : s : \Delta'_{y(t-1)}(x, y) + k \quad (5)$$

$$[\Delta_x(x, y), \Delta_y(x, y)] = \mathbf{arg\ min}_{(a, b)} \text{SAD}(a, b) \quad (6)$$



**Figure 5.** The proposed optical flow algorithm formulation: patch size = 10, search size = 10, sampling value = 2. For each pixel in the reference image  $f_t$ ,  $n$  overlapped regions are constructed in  $f_{t+1}$ ,  $n$  region center that minimizes or maximizes any similarity metric is the tracked position (flow) of the pixel  $(x, y)$  at  $f_{t+1}$ .

### 151 3.3. Search template

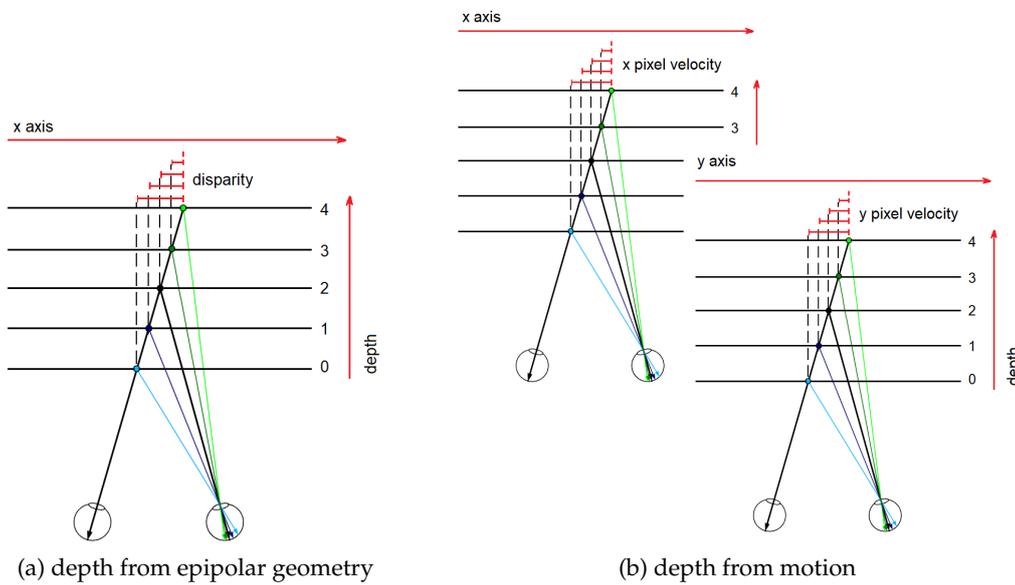
152 In optical flow, the search window size defines the maximum allowed motion to be detected in the  
 153 sequence, see Fig. 4. In general, let  $p$  be a pixel in the reference image ( $f_t$ ), whose 2D spatial location is  
 154 defined as  $(x_t, y_t)$ , the same pixel in the tracked image ( $f_{t+1}$ ) has to satisfy  $x_{t+1} \in x_t - k : 1 : x_t + k$ ,  
 155  $y_{t+1} \in y_t - k : 1 : y_t + k$ , where  $k$  is the search size for the tracking step. In practice, large search region  
 156 sizes increase the tracking performance since feature tracking could be carried out in both slow and  
 157 fast camera movements. However, large search sizes decrease the accuracy, i.e., if the search region size  
 158 is equal to 1, then,  $x_{t+1} \in x_t - 1 : 1 : x_t + 1$ ,  $y_{t+1} \in y_t - 1 : 1 : y_t + 1$  so, there are 9 possible candidates  
 159 for the tracking step and the mistake possibility is equal to 8, this considering that camera movement  
 160 is slow and therefore pixel displacements between images are close to zero. In other scenario, if the  
 161 search region size is equal to 10, then,  $x_{t+1} \in x_t - 10 : 1 : x_t + 10$ ,  $y_{t+1} \in y_t - 10 : 1 : y_t + 10$  so, there  
 162 are 100 possible candidates for the tracking step and the mistake possibility is equal to 99. In our  
 163 work, we propose to use the feedback of the previous optical flow step as a dynamic search size for  
 164 the current step so, if camera movement in  $t - 1$  is slow, small search sizes closer to the pixels being  
 165 tracked  $(x_t, y_t)$  are used. On the other hand, given fast camera movements small search sizes far  
 166 to the pixels being tracked are used. This makes the tracking step compute accurate results without  
 167 outliers, furthermore, the use of small search sizes decreases the computational resources usage. For  
 168 practical purposes we use a search region size equal to 10 since it provides a good tradeoff between  
 169 robustness/accuracy and computational resources. So, let  $\Delta_{x,t-1}(x, y)$ ,  $\Delta_{y,t-1}(x, y)$  be the optical flow  
 170 at time  $t - 1$ , the search template for the current time is computed as shown in Eq. 7 - 8, where  $k$  is the  
 171 template size.

$$\Delta'_x(x + u, y + v) = \sum_{u=-k, v=-k}^{u=k, v=k} (\text{mean} \sum_{u=-k, v=-k}^{u=k, v=k} \Delta_{x,t-1}(x, y)) \quad (7)$$

$$\Delta'_y(x+u, y+v) = \sum_{u=-k, v=-k}^{u=k, v=k} (\text{mean} \sum_{u=-k, v=-k}^{u=k, v=k} \Delta_{y, t-1}(x, y)) \quad (8)$$

### 172 3.4. Depth estimation

173 In previous works it was demonstrated that monocular image sequences provide only partial  
 174 information about the scene due to the computation of relative depth, unknown scale factor, etc. [37].  
 175 In order to recover the depth in the scene it is necessary to have assumptions about the scene and its  
 176 2-D images. In this work we assume that environment within the scene is rigid, then, given the optical  
 177 flow of the scene (which represents pixel velocity across time), we suppose that depth in the scene is  
 178 proportional to the pixel velocity. i.e., far objects have to be associated with a low velocity value while  
 179 closer objects are associated with high velocity values. This could be considered as an extension of the  
 180 epipolar geometry in which disparities values are proportional with the depth in the scene, as shown  
 181 in Fig. 6.



**Figure 6.** (a) Epipolar geometry: depth in the scene is proportional to the disparity value, i.e., far objects have low disparity values while closer objects are associated with high disparity values. To compute the disparity map (disparities for all pixels in the image) a stereo pair (two images with epipolar geometry) are needed. (b) Single moving camera: in this work we suppose that depth in the scene is proportional to the pixel velocity across the time. To compute the pixel velocity, optical flow across two consecutive frames has to be computed.

182 So, let  $\Delta_x(x, y)$ ,  $\Delta_y(x, y)$  be the optical flow (pixel velocity) at  $t$  time, depth in the scene  $\mathbf{depth}(x, y)$   
 183 is computed as proposed in Eq. 9, where  $\mathbf{depth}(x, y)$  is the norm of the optical flow. In Fig. 7 an  
 184 example of depth map computed by the proposed approach is shown.

$$\mathbf{depth}(x, y) = \|\Delta_x(x, y), \Delta_y(x, y)\| = \sqrt{\Delta_x(x, y)^2 + \Delta_y(x, y)^2} \quad (9)$$

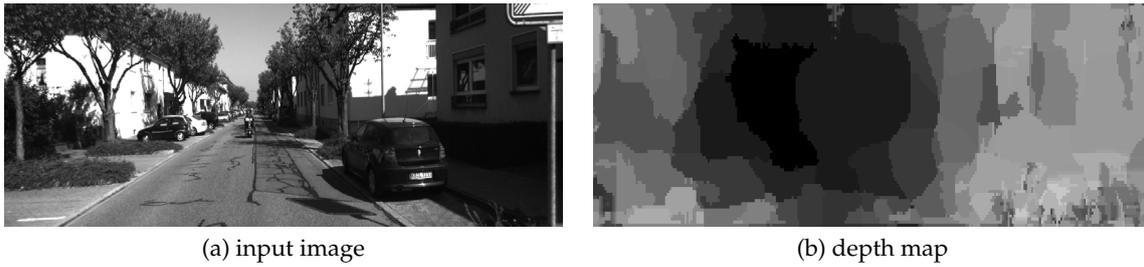


Figure 7. Depth estimation using the proposed algorithm

#### 185 4. The FPGA architecture

186 In Fig. 8, an overview of the FPGA architecture for the proposed algorithm is shown. The  
 187 architecture is centered on an FPGA implementation where all recursive/parallelizable operations  
 188 are accelerated in the FPGA fabric. First, the "frame buffer" unit reads the pixel stream (pix [7:0])  
 189 delivered by the imager. In this block, frames captured by the imager are feed to/from an external  
 190 DRAM memory and delivers pixel streams for two consecutive frames in parallel (pix1 [7:0], pix2 [7:0]).  
 191 "Circular buffers" implemented inside the "Optical flow" unit are used to hold local sections of the  
 192 frames that are being processed and allow for local parallel access that facilitates parallel processing.  
 193 Finally, optical flow streams (pix3 [7:0], pix4 [7:0]) are used to computed the depth in the scene (pix7  
 194 [7:0]). In order to hold optical flow previously computed (which are used for the dynamic search  
 195 template computation) a second "frame buffer" is used. In the following subsections details about the  
 196 algorithm parallelization are shown.

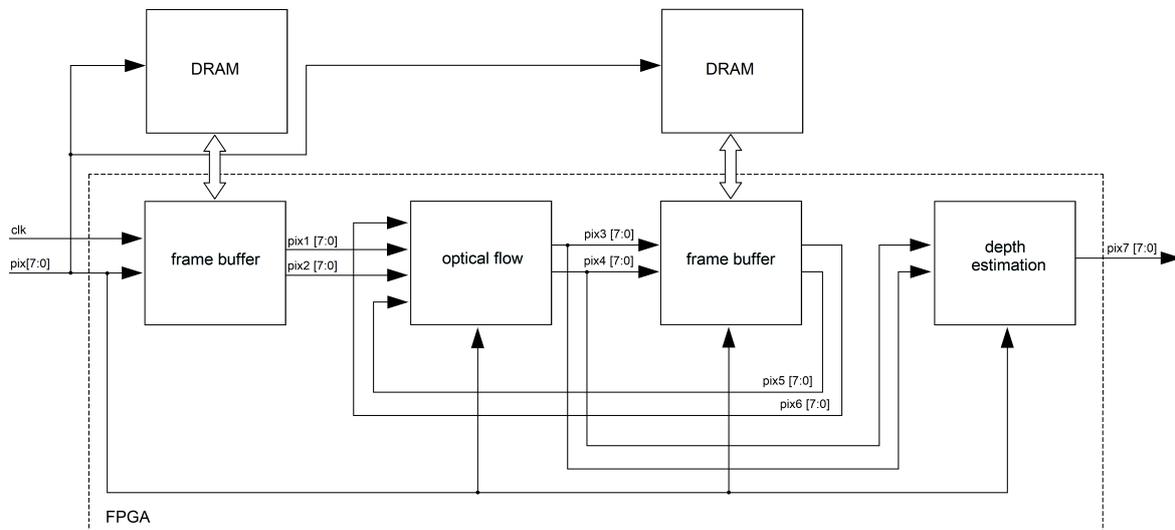


Figure 8. FPGA architecture for the proposed algorithm

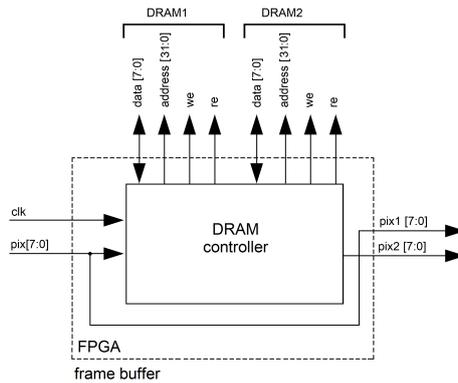
##### 197 4.1. Frame buffer

198 Images from the image sensor are stored in an external DRAM that holds an entire frame from the  
 199 sequence, and later the DRAM data is read by the FPGA to cache pixel flow of the stored frame into  
 200 circular buffers. In order to deliver two consecutive frames in parallel two DRAM chips in switching  
 201 mode are used. i.e.:

- 202 1.  $t_1$ : DRAM 1 in write mode (storing frame 1), DRAM 2 in read mode (invalid values), frame 1 at  
 203 output 1, invalid values at output 2.
- 204 2.  $t_2$ : DRAM 1 in read mode (reading frame 1), DRAM 2 in write mode (storing frame 1), frame 1 at  
 205 output 2, frame 1 at output 2.

206 3.  $t_3$ : DRAM 1 in **write** mode (storing frame 3), DRAM 2 in read mode (reading frame 2), frame 3 at  
 207 output 2, frame 2 at output 2 and so on.

208 In **Fig. 9**, an overview of the "frame buffer" unit is shown. Current pixel stream (pix [7:0]) is  
 209 mapped at output 1 (pix1 [7:0]) while output 2 (pix2 [7:0]) delivers pixel flow for a previous frame.  
 210 For the external DRAM control, data [7:0] is mapped with the read/write pixel stream, address [31:0]  
 211 manages the physical location inside the memory and the "we" and "re" signals enable the write/read  
 212 process respectively, as shown in **Fig. 9**.



**Figure 9.** FPGA architecture for the "frame buffer" unit. Two external memories configured in switching mode makes possible to store the current frame (time  $t$ ) into a DRAM configured in write mode while another DRAM (in read mode) deliver pixel flow for a previous frame (frame at time  $t - 1$ ).

#### 213 4.2. Optical flow

214 For the "Optical flow" unit, we consider that flow estimation problem can be a generalization  
 215 of the dense matching problem. i.e., stereo matching algorithms track (searching on the horizontal  
 216 axis around the search image), all pixels in the reference image. Optical flow aims to track all pixels  
 217 between two consecutive frames from a video sequence (searching around spatial coordinates of the  
 218 pixels in the search image). Then, it is possible to extend previous stereo matching FPGA architectures  
 219 to fulfil with our application domain. In this work, we extended the FPGA architecture presented in  
 220 [24], since it has low hardware requirements and high parallelism level. In **Fig. 10**, the developed  
 221 architecture is shown. First, the "curl" units deliver curl images in parallel, see **Eq. 2**. More details  
 222 about the FPGA architecture of this unit are shown in **Section 4.2.2**. The "circular buffer" units are  
 223 responsible for data transfers in segments of the image (usually several rows of pixels). So, the core of  
 224 the FPGA architecture are the circular buffers attached to the local processors that can hold temporarily  
 225 as cache, for image sections from two frames, and that can deliver parallel data to the processors. More  
 226 details about the FPGA architecture of this unit are shown in **Section 4.2.1**. Then, given optical flow  
 227 previously computed, 121 search regions are constructed in parallel, see **Fig. 5** and **Eq. 4 - 5**. For our  
 228 implementation, the search region size is equal to 10, therefore, the center of the search regions are all  
 229 the sampled pixels within the reference region. Given the reference region in  $f_t(x, y)$  and 121 search  
 230 regions in  $f_{t+1}(x, y)$ , search regions are compared with the reference region (**Eq. 3**) in parallel. For  
 231 that, a pixel-parallel/window-parallel scheme is implemented. Finally, in the "flow estimation" unit a  
 232 multiplexer tree can determine the  $a, b$  indices that minimize **Eq. 3**, and therefore, the optical flow for  
 233 all pixels in the reference image, using **Eq. 6**.

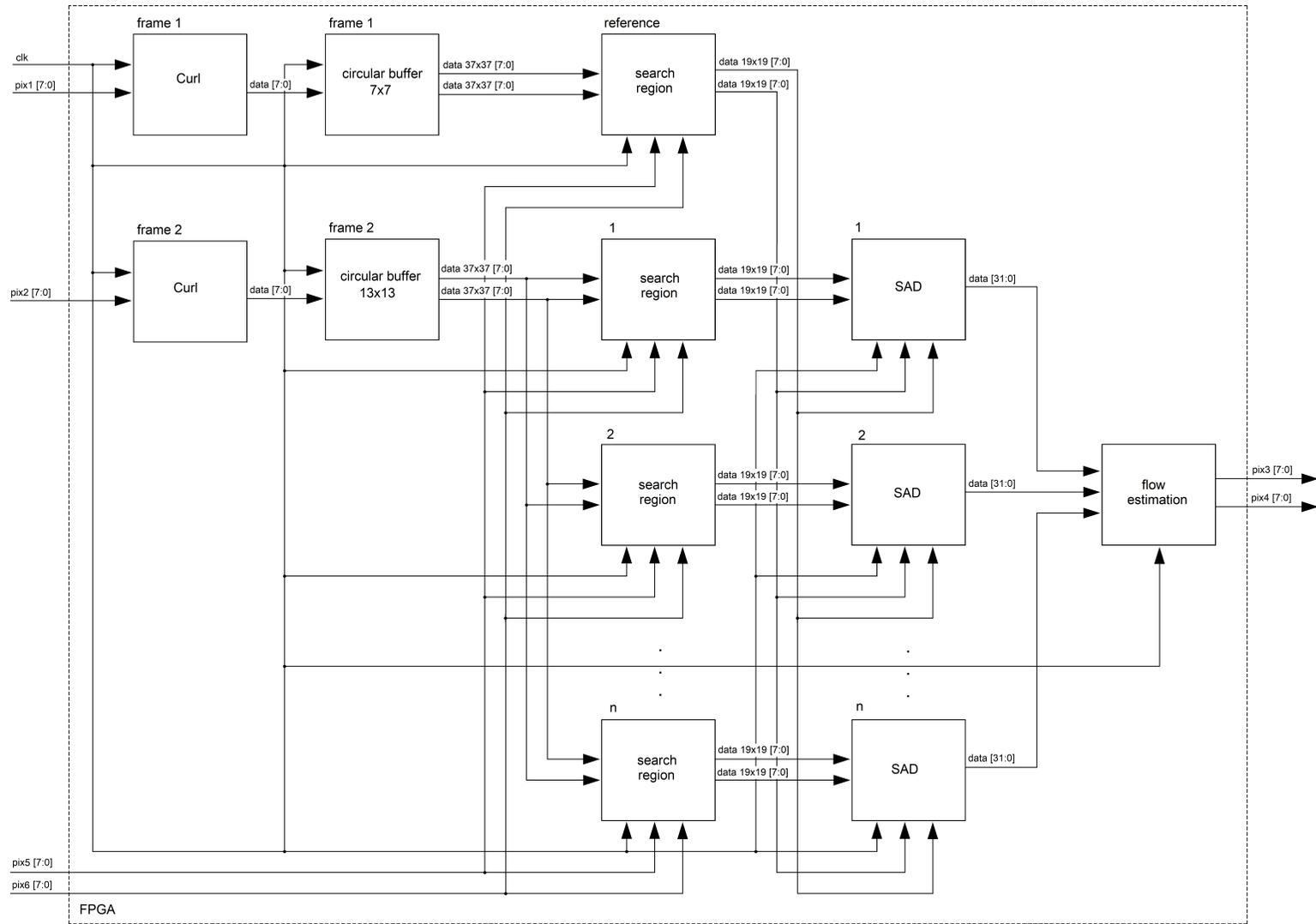
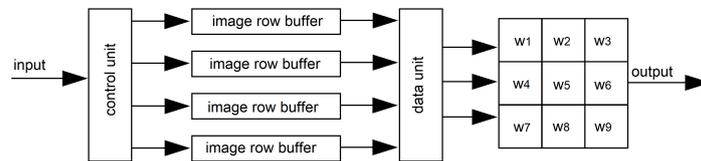


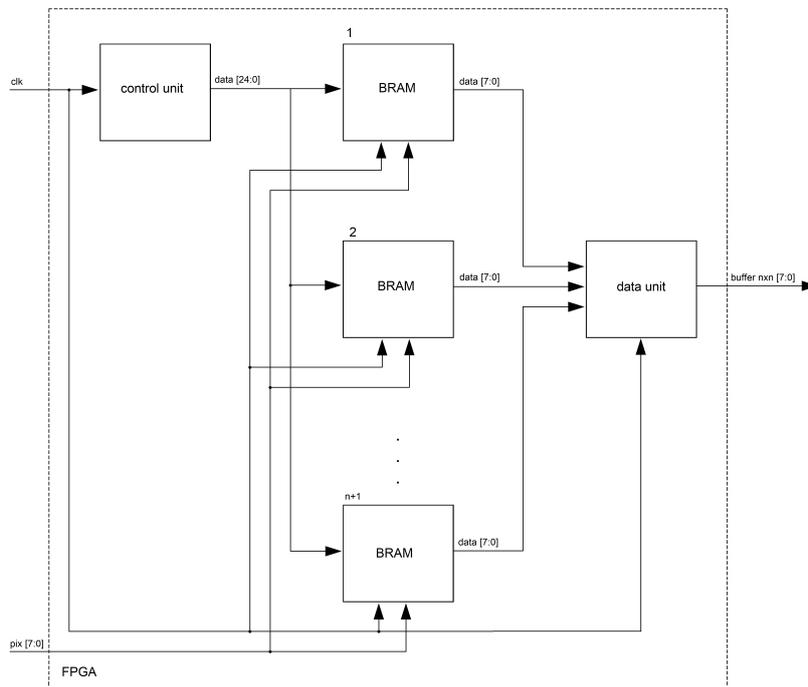
Figure 10. FPGA architecture for the optical flow estimation

### 234 4.2.1. Circular buffer

235 In [23] we proposed a circular buffer schema in which input data from the previous  $n$  rows of an  
 236 image can be stored using memory buffers (block RAMs/BRAMs) until the moment when a  $n \times n$   
 237 neighborhood is scanned along subsequent rows. In this work, we follow a similar approach to achieve  
 238 high data reuse and high level of parallelism. Then, our algorithm is processed in modules where all  
 239 image patches can be read in parallel. First, a shift mechanism "control" unit manages the read/write  
 240 addresses of  $n + 1$  BRAMs, in this formulation  $n$  BRAMs are in read mode and one BRAM is in write  
 241 mode in each clock cycle. Then, data inside the read mode BRAMs can be accessed in parallel and  
 242 each pixel within a  $n \times n$  region is delivered in parallel a  $n \times n$  buffer, as shown in Fig. 11, where  
 243 the "control" unit delivers control data (address and read/write enable) for the BRAM modules, one  
 244 entire row is stored in each BRAM. Finally the "data" unit delivers  $n \times n$  pixels in parallel. In our  
 245 implementation, there is 1 circular buffer of  $13 \times 13$  pixels/bytes, 1 circular buffer of  $17 \times 17$  and 2  
 246 circular buffers of  $3 \times 3$ . For more details see [23].



(a) General formulation of a  $3 \times 3$  circular buffer



(b) FPGA architecture for the circular buffers

**Figure 11.** The circular buffers architecture. For a  $n \times n$  patch, a shift mechanism "control" unit manages the read/write addresses of  $n + 1$  BRAMs. In this formulation  $n$  BRAMs are in read mode and one BRAM is in write mode in each clock cycle. Then, the  $n \times n$  buffer delivers logic registers with all pixels within the patch in parallel.

#### 247 4.2.2. Curl estimation

248 In Fig. 12, the curl architecture is shown. First, one "circular buffer" holds 3 rows of the frame  
 249 being processed and allows for local parallel access of a  $3 \times 3$  patch that facilitates parallel processing.  
 250 Then, image gradients ( $\frac{\partial f(x,y)}{\partial x}$ ,  $\frac{\partial f(x,y)}{\partial y}$ ) are computed. Another "circular buffer" holds 3 rows of the  
 251 gradient image previously computed and delivers a  $3 \times 3$  patch for the next step. Second derivatives  
 252 ( $\frac{\partial}{\partial y} \frac{\partial f(x,y)}{\partial x}$ ,  $\frac{\partial}{\partial x} \frac{\partial f(x,y)}{\partial y}$ ) are computed inside the "derivative" unit. Finally, the curl of the input image is  
 253 computed by the "curl" unit.

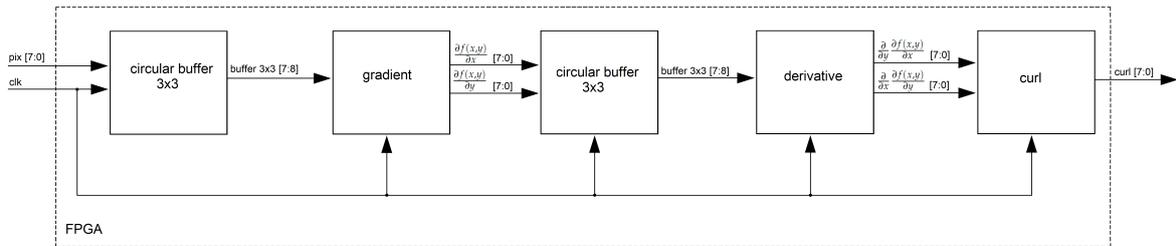


Figure 12. FPGA architecture for the "curl" unit

#### 254 4.3. Depth estimation

255 In Fig. 13, the depth estimation architecture is shown. Let "pix1 [7:0]", "pix2 [7:0]" be the pixel  
 256 stream for the optical flow at current frame (Eq. 6); first, the "multiplier" unit computes the square value  
 257 of the input data. Then, the "adder" unit carries out the addition process for both components ( $\Delta_x^2, \Delta_y^2$ ).  
 258 Finally, the "sqrt" unit computes the depth in the scene, using Eq. 9. In order to achieve high efficiency  
 259 in the square root computation, we adapted the architecture developed by Yamin Li and Wanming  
 260 Chu [38]. This architecture uses a shift register mechanism and compares the more significant/less  
 261 significant bits to achieving the root square operation without using embedded multipliers.

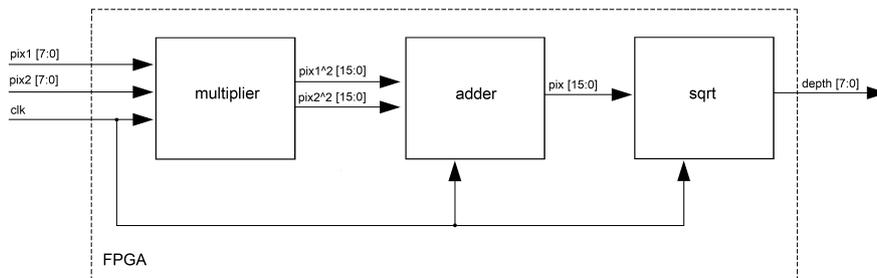


Figure 13. FPGA architecture for the "depth estimation" unit

### 262 5. Result and discussion

263 The developed FPGA architecture was implemented in an FPGA Cyclone IV EP4CGX150CF23C8  
 264 of Altera. All modules were designed via Quartus II Web Edition version 10.1SP1 and, all modules  
 265 were validated via post-synthesis simulations performed in ModelSim Altera. For all tests, we consider  
 266  $k = 3$ ,  $s = 2$  (Eq. 4 and 5) since these values provided a relatively "good" performance for real world  
 267 scenarios. In practice, we recommend these values as reference. Higher  $k = 3$ ,  $s = 2$  values could  
 268 provide higher accuracy, however, processing speed and hardware requirements can be increased. On  
 269 the other hand, lower  $k = 3$ ,  $s = 2$  values should provide higher performance in terms of hardware  
 270 requirements/processing speed but accuracy could decrease. The full hardware resource consumption  
 271 of the architecture is shown in Table 1. Our algorithm formulation allows for a compact system  
 272 design; it requires 66% of the total logic elements of the FPGA Cyclone IV EP4CGX150CF23C8. For

273 memory bits, our architecture uses 74% of the total resources, this represents 26 block RAMs consumed  
 274 mainly in the circular buffers. These hardware utilization enables to target a relatively small FPGA  
 275 device and therefore could be possible a small FPGA-based smart camera, suitable for real-time  
 276 embedded applications. In the following subsections comparisons with previous work are presented.  
 277 For optical flow, comparisons with previous FPGA-based optical flow algorithms are presented. **For**  
 278 **depth estimation, we presented a detailed discussion about the performance and limitations of the**  
 279 **proposed algorithm compared with the current state of the art.**

### 280 5.1. Performance for the optical flow algorithm

**Table 1.** Hardware resource consumption for the developed FPGA architecture.

Resource	Consumption/image resolution		
	640×480	320×240	256×256
Total logic elements	69,879 (59%)	37,059 (31%)	21,659 (18%)
Total pins	16 (3%)	16 (3%)	16 (3%)
Total Memory Bits	618,392 (15%)	163,122 (4%)	85,607 (2%)
Embedded multiplier elements	0 (0%)	0 (0%)	0 (0%)
Total PLLs	1 (25%)	1 (25%)	1 (25%)

281 In comparison with previous work, in **Table 2** we present hardware resource utilization between  
 282 our FPGA architecture and previous FPGA-based optical flow algorithms. There are several works  
 283 [22,25–27] whose FPGA implementations aims to parallelize all recursive operations in the original  
 284 mathematical formulation. Unfortunately, most popular formulations such as those based in KTL  
 285 [28] or Horn-Schunck [33], have iterative operations that are hard to parallelize. As result, most  
 286 previous works have relatively high hardware occupancy/implementations compared with a full  
 287 parallelizable design approach. Compared with previous works, our FPGA architecture outperform  
 288 most previous works, for similar image resolution, less logic elements and memory bits than [25,29],  
 289 and less logic elements and memory bits than [27]. [27] decreases the memory usage by a multiscale  
 290 coding which makes possible to store only half of the original image, however, this reduction involves  
 291 pixel interpolation for some cases and this increases the logic elements usage. For [22], the authors  
 292 introduced an iterative-parallel approach; this makes possible to achieve low hardware requirements  
 293 but processing speed is low. Finally, for [26], a filtering-based approach makes possible to achieve low  
 294 hardware requirements with relatively high accuracy and high processing speed but the algorithmic  
 295 formulation requires to store several entire frames, requiring large external memory (near 250 MB for  
 296 store 3 entire frames), this increase the system size and cost.

**Table 2.** Hardware resource consumption comparisons

Method	Logic elements	Memory bits	Image resolution
Martín <i>et al.</i> [22] (2005)	11,520	147,456	256×256
Díaz <i>et al.</i> [25] (2006)	513,216	685,670	320×240
Wei <i>et al.</i> [26] (2007)	10,288	256 MB (DDR)	640×480
Barranco <i>et al.</i> [27] (2012)	82,526	573,440	640×480
Honegger <i>et al.</i> [29] (2012)	49,655	1,111,000	376×240
Our work*	69,879	624,244	640×480
Our work*	37,059	163,122	320×240
Our work*	21,659	85,607	256×256

\*Operating frequency = 50 MHz

297 In **Table 3**, speed processing for different image resolutions is shown. We synthesized different  
 298 versions of our FPGA architecture (**Fig. 8**), and we adapted the circular buffers in order to work with  
 299 all tested image resolutions. Then, we carried out post-synthesis simulation in ModelSim Altera. In  
 300 all cases, our FPGA architecture reached real-time processing. When compared with previous work  
 301 (**Table 4**), our algorithm provided the highest speed processing, it outperforms several previous work  
 302 [22,25–27,29], and for HD images, our algorithm reaches real-time processing: more than 60 fps for  
 303 1280×1024 image resolution.

**Table 3.** Processing speed for different image resolutions

Resolution	Frames/s	Pixels/s
1280×1024	68	90,129,200
640×480	297	91,238,400
320×240	1,209	92,880,000
256×256	1,417	92,876,430

\*Operating frequency = 50 MHz

**Table 4.** Processing speed comparisons

Method	Resolution	Frames/s	Pixels/s
Martín <i>et al.</i> [22]	256×256	60	3,932,160
Díaz <i>et al.</i> [25]	320×240	30	2,304,000
Wei <i>et al.</i> [26]	640×480	64	19,550,800
Barranco <i>et al.</i> [27]	640×480	31	9,523,200
Honegger <i>et al.</i> [29]	376×240	127	11,460,480
Our work	640×480	297	91,238,400

304 In **Fig. 14**, qualitative results for this work compared with previous work are shown. In a **first**  
 305 **experiment** we used the “Garden” dataset since previous work [22,25,26] used this dataset as reference.  
 306 When compared with previous work (**Fig. 14**), our algorithm provides high performance under  
 307 real world scenarios, it outperforms several previous work [22,25,26], quantitatively closer to the  
 308 ground truth (error near to 9%) compared with other FPGA-based approaches. In a **second experiment**  
 309 quantitative and qualitative results for the KITTI dataset [39], are shown. In all cases our algorithm  
 310 provides high performance, it reaches an error near to 10% with several test sequences, as shown in  
 311 **Fig. 15**. In both experiments we compute the error by comparing the ground truth  $\Omega_x(x, y)$ ,  $\Omega_y(x, y)$   
 312 (provided with the dataset) with the computed optical flow  $\Delta_x(x, y)$ ,  $\Delta_y(x, y)$ . First, we compute the  
 313 local error (the error magnitude at each point of the input image) as defined in **Eq. 10**; where  $i, j$  is the  
 314 input image resolution. Then, a global error ( $\Xi$ ) can be computed as shown in **Eq. 11**; where  $i, j$  is the  
 315 input image resolution.  $\zeta(x, y)$  is the local error at each pixel in the reference image and the global  
 316 error ( $\Xi$ ) is the percentage of pixels in the reference image in which local error is higher to zero.

$$\zeta(x, y) = \sum_{x=1}^{x=i} \sum_{y=1}^{y=j} \sqrt{\Omega_x(x, y)^2 + \Omega_y(x, y)^2} - \sqrt{\Delta_x(x, y)^2 + \Delta_y(x, y)^2} \quad (10)$$

$$\Xi = \frac{100\%}{i \cdot j} \cdot \sum_{x=1}^{x=i} \sum_{y=1}^{y=j} \begin{cases} 1 & \text{if } \zeta(x, y) \geq 0 \\ 0 & \text{otherwise} \end{cases} \quad (11)$$

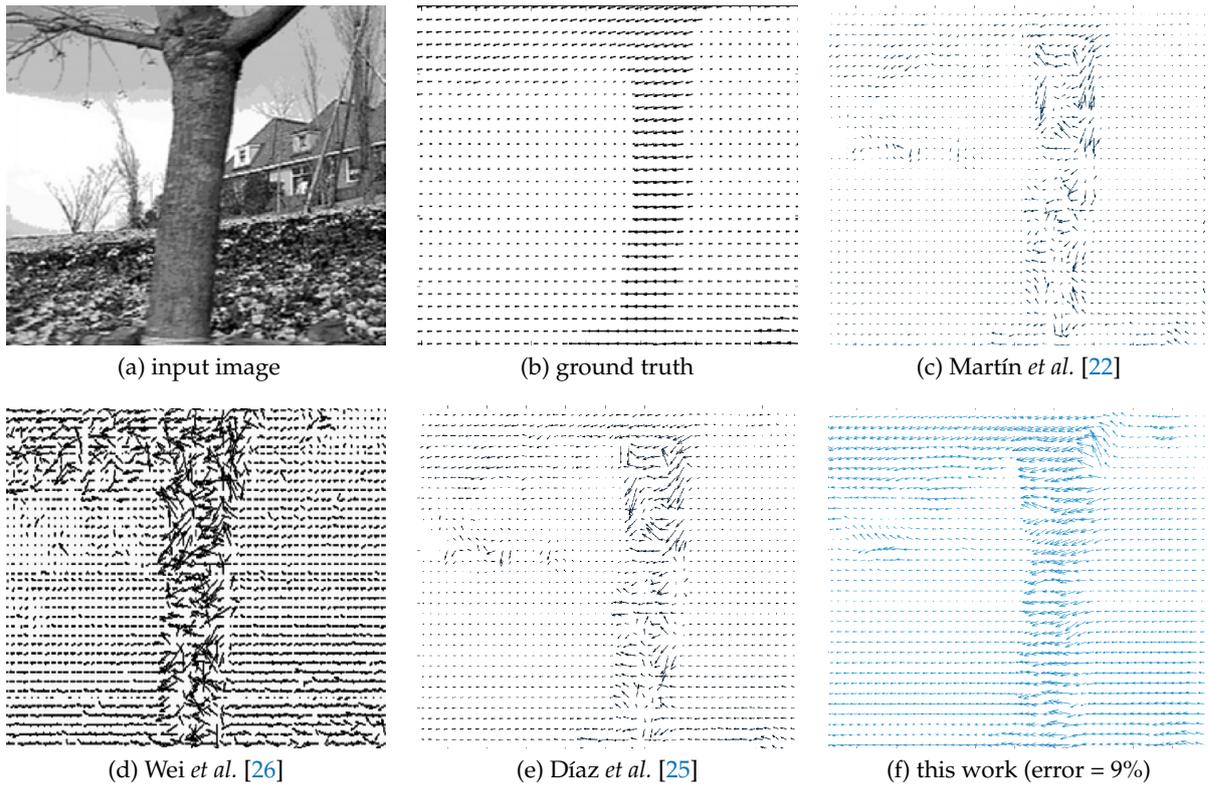


Figure 14. Accuracy performance for different FPGA-based optical flow algorithms.

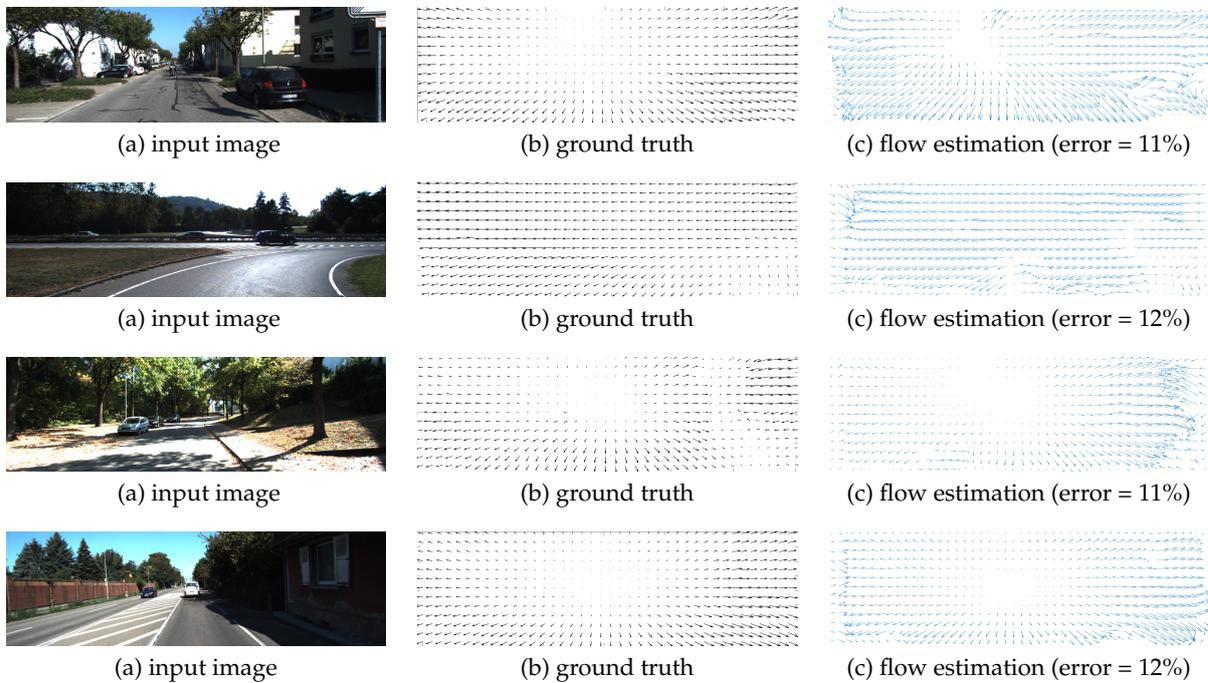


Figure 15. Optical flow: quantitative/qualitative results for the KITTI dataset

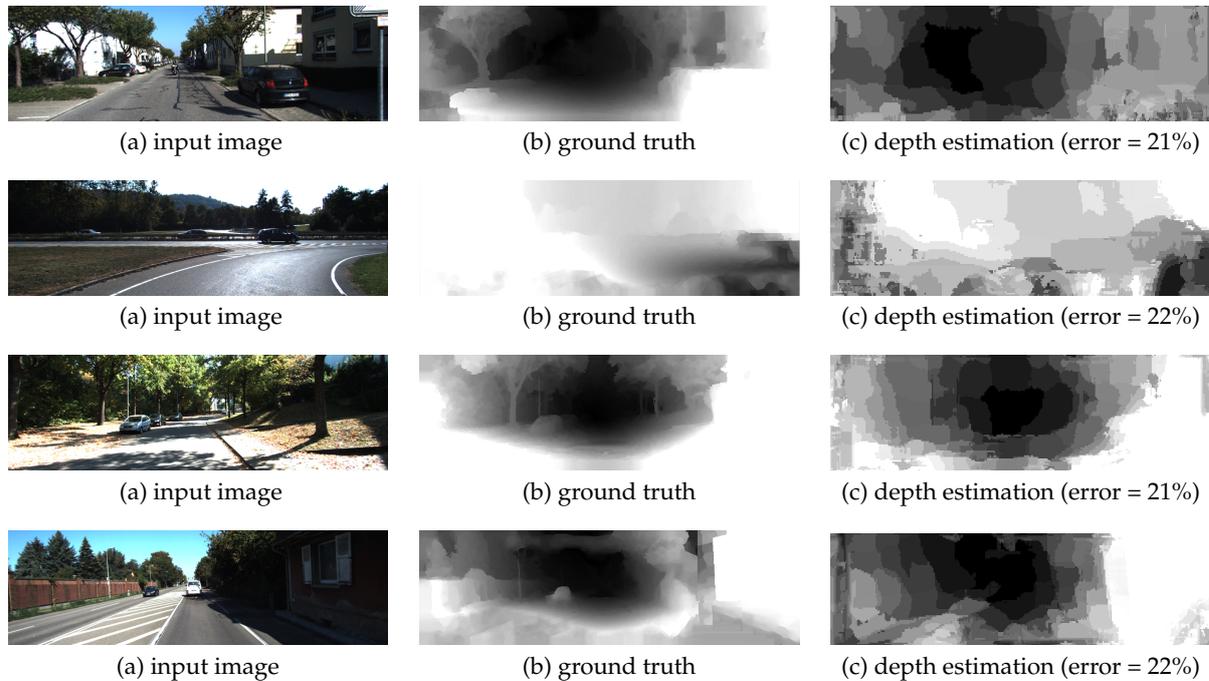
## 318 5.2. Performance for the depth estimation step

319 In Fig. 15, quantitative and qualitative results for the KITTI dataset [39], are shown. In all cases  
 320 our algorithm provides rough depth maps compared with stereo-based or deep learning approaches  
 321 [40,41] but with real-time processing and with the capability to be implemented in embedded hardware,  
 322 suitable for smart cameras. To our knowledge, previous FPGA-based approaches are limited; there are  
 323 several GPU-based approaches but in these cases most of the effort was for accuracy improvements and  
 324 real-time processing or embedded capabilities were not considered so, in several cases, details about  
 325 the hardware requirements or the processing speed are not provided [42–44]. In Table 5 quantitative  
 326 comparisons between our algorithm and the current state of the art are presented. For previous  
 327 works, the RMS error, hardware specifications and processing speed were obtained from the published  
 328 manuscripts while for our algorithm we computed the RMS error as indicated by the KITTI dataset,  
 329 [45]. For accuracy comparisons, most previous works [42–44,46–48] outperform our algorithm (near  
 330 15% more accurate than ours); however, our algorithm outperform all of them in terms of processing  
 331 speed (a processing speed up to 128 times faster than previous works) and with embedded capabilities  
 332 (making it possible to develop a smart camera/sensor suitable for embedded applications).

**Table 5.** Depth estimation process in the literature: performance and limitations for the KITTI dataset.

Method	Error (RMS)	Speed	Image resolution	Approach	
Zhou <i>et al.</i> [42](2017)	6.8%	-	128×416	DfM-based*	-
Yang <i>et al.</i> [46](2017)	6.5%	5 fps	128×416	CNN-based*	GTX 1080 (GPU)
Mahjourian <i>et al.</i> [47](2018)	6.2%	100 fps	128×416	DfM-based*	Titan X (GPU)
Yang <i>et al.</i> [43](2018)	6.2%	-	830×254	DfM-based*	Titan X (GPU)
Godard <i>et al.</i> [44](2018)	5.6%	-	192×640	CNN-based*	-
Zou <i>et al.</i> [48](2018)	5.6%	1.25 fps	576×160	DfM-based*	Tesla K80 (GPU)
Our work	21.5%	192 fps	1241×376	DfM-based	Cyclone IV (FPGA)

\*DfM: Depth from Motion, CNN: Convolutional Neural Network

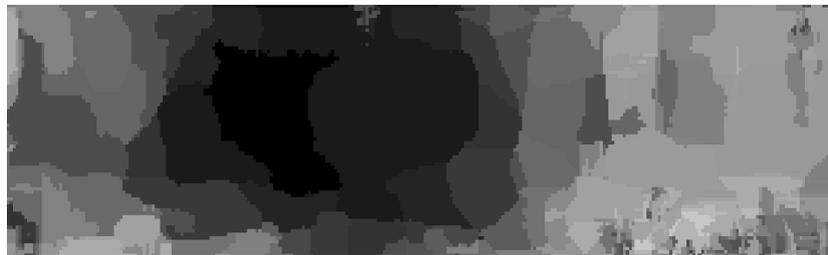


**Figure 16.** Depth estimation: quantitative/qualitative results for the KITTI dataset

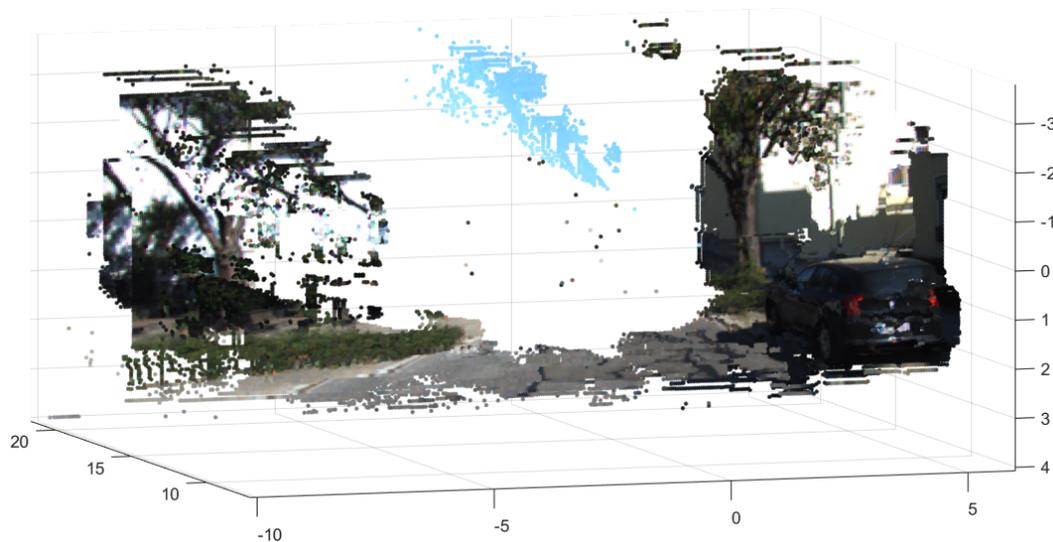
333 Finally, in Fig. 17 an example of 3D reconstruction using our approach is shown. Our depth maps  
334 allow for a real-time dense 3D reconstruction. Previous works like the ORB-SLAM [49] or LSD-SLAM  
335 [50] compute motion and depth in 2 to 7% of all image pixels, while ours compute 80% of the image  
336 pixels. Then, our algorithm improves by around 15 times the current state of the art, making possible  
337 real-time dense 3D reconstructions and with the capability to be implemented inside FPGA devices,  
338 suitable for smart cameras.  
339



(a) Input image



(b) Depth map



(c) 3D reconstruction

**Figure 17.** The KITTI dataset: Sequence 00; 3D reconstruction by the proposed approach. Our algorithm provides rough depth maps (lower accuracy compared with previous algorithms) but with real-time processing and with the capability to be implemented in embedded hardware; as result, real-time dense 3D reconstructions can be obtained and, these can be exploited by several real world applications such as, augmented reality, robot vision and surveillance, autonomous flying, etc.

## 340 6. Conclusions

341 Depth from Motion is the problem of depth estimation using information from a single moving  
 342 camera. Although several Depth from Motion algorithms were developed, previous works have low  
 343 processing speed and high hardware requirements that limits the embedded capabilities. In order to  
 344 solve these limitations in this work we have proposed a new depth estimation algorithm whose FPGA  
 345 implementation deliver high efficiency in terms of algorithmic parallelization. Unlike previous works,  
 346 depth information is estimated in real time inside a compact FPGA device, making our mathematical  
 347 formulation suitable for smart embedded applications.

348 Compared with the current state of the art, previous algorithms outperform our algorithm in  
 349 terms of accuracy but our algorithm outperforms all previous approaches in terms of processing speed  
 350 and hardware requirements; these characteristics makes our approach a promising solutions for the  
 351 current embedded systems. We believed that several real world applications such as augmented reality,  
 352 robot vision and surveillance, autonomous flying, etc., can take advantages by applying our algorithm  
 353 since it delivers real-time depth maps that can be exploited to create dense 3D reconstructions or other  
 354 abstractions useful for the scene understanding.

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365

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479 **Sample Availability:** Samples of the compounds ..... are available from the authors.

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