# Controllability of a Class of Infinite Dimensional Systems With Age Structure. 

Debayan Maity, Marius Tucsnak, Enrique Zuazua

## To cite this version:

Debayan Maity, Marius Tucsnak, Enrique Zuazua. Controllability of a Class of Infinite Dimensional Systems With Age Structure.. Control and Cybernetics, In press. hal-01964612v2

HAL Id: hal-01964612
https://hal.science/hal-01964612v2
Submitted on 4 Sep 2019

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire HAL, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# Controllability of a Class of Infinite Dimensional Systems with Age Structure. 

Debayan Maity ${ }^{\dagger 1,2}$, Marius Tucsnak ${ }^{* 3}$, and Enrique Zuazua ${ }^{\dagger 1,2}$<br>${ }^{1}$ Departamento de Matemáticas, Universidad Autónoma de Madrid, 28049 Madrid, Spain<br>${ }^{2}$ Chair of Computational Mathematics, Deusto Foundation, 48007 Bilbao, Basque Country, Spain<br>${ }^{3}$ Institut de Mathématiques, Université de Bordeaux, Bordeaux INP, CNRS, F-33400 Talence, France<br>debayan.maity@uam.es, marius.tucsnak@u-bordeaux.fr, enrique.zuazua@deusto.es

September 4, 2019

Dedicated to Günter Leugering for his 65th birthday with friendship, gratitude and admiration.


#### Abstract

Given a linear dynamical system, we investigate the linear infinite dimensional system obtained by grafting an age structure. Such systems appear essentially in population dynamics with age structure when phenomena like spatial diffusion or transport are also taken into consideration. We first show that the new system preserves some of the wellposedness properties of the initial one. Our main result asserts that if the initial system is null controllable in a time small enough than the structured system is also null controllable in a time depending on the various involved parameters.


Key words. Infinite dimensional linear system, age structure, admissible control operator, null controllability, population dynamics.
AMS subject classifications. 93B03, 93B05, 92D25

## 1 Introduction

Infinite dimensional dynamical systems coupling age structuring with diffusion or transport phenomena appear naturally in population dynamics, medicine or epidemiology (see, for instance, Brikci et al. (2008), Webb (1985, 2008), Magal and Ruan (2018)). A by now classical example is the Lotka-Mckendrick system with spatial diffusion (Gurtin (1973)). For the convenience of the reader, we describe below the type of systems to be considered using a simplified example. To this aim, let $X$ (the state space) and $U$ (the input space) be finite dimensional inner product spaces. Our departure point is the linear time invariant control system described by

$$
\begin{equation*}
\dot{p}(t)=A p(t)+B u(t), \tag{1.1}
\end{equation*}
$$

[^0]where $A: X \rightarrow X$ and $B: U \rightarrow X$ are linear operators. The system (1.1) is supposed to describe the evolution of a certain population density (particles, individuals,,...) and it is possibly obtained by approximating a partial differential system. Adding an age structure to the system described by (1.1) means that we assume that $p$ depends not only on $t$, but also on the age parameter $a$ which lies in some bounded interval $\left[0, a_{\dagger}\right]$. Moreover, we assume that individuals can die (with a certain probability) before the limit age $a_{+}$or be born at a certain fertility rate. In this situation, the original system (1.1) becomes
\[

$$
\begin{gather*}
\dot{p}(t, a)+\frac{\partial p}{\partial a}(t, a)=A p(t, a)-\mu(a) p(t, a)+\chi(a) B u(t, a)  \tag{1.2}\\
p(t, 0)=\int_{0}^{a_{\dagger}} \beta(a) p(t, a) \mathrm{d} a \tag{1.3}
\end{gather*}
$$
\]

where $\mu$ and $\beta$ are the mortality and fertility rates, respectively and $\chi$ is the characteristic function of some subinterval of $\left[0, a_{\dagger}\right]$.

For $X=U=\mathbb{C}, A=0$ and $B=1$ in the original system (1.1), the corresponding age structure system (1.2) becomes the classical Lotka-McKendrick system which has been first studied, from the controllability view point, in Barbu et al. (2001). This problem was recently revisited by Hegoburu et al. (2018), Maity (2018) and by Hegoburu and Aniţa (2019). One of the consequences of our main results improves the above mentioned ones, in the sense that for every $n, m \in \mathbb{N}, X=\mathbb{C}^{n}, U=\mathbb{C}^{m}$, such that the original system (1.1) is controllable then, under appropriate assumptions on $\mu, \beta$ and $\chi$, the same property holds for the corresponding age structured system (1.2) (see Subsection 4.1 below).

The main focus in this work is on the more complicated situation where $X$ and $U$ are possibly infinite dimensional spaces, with the operators $A$ and $B$ possibly unbounded. We think, in particular, to the case when $X=L^{2}(\Omega)$, where $\Omega \subset \mathbb{R}^{n}$ is an open bounded set, $A$ is an advection-diffusion operator and $B$ describes a boundary or internal control. From the controllability view point, particular cases of such systems have been studied in several papers. The first ones are probably Ainseba and Aniţa (2001, 2004) (see also Ainseba (2002), Hegoburu and Tucsnak (2018) and Maity et al. (2019)).

The main results in this article assert that in the infinite dimensional case (namely when (1.1) is a PDE system with distributed or boundary control), the wellposedness and null controllability of the system described by (1.1) are inherited by the corresponding age structured system (1.2). One of the advantages of this approach is that it allows obtaining in a unified manner a variety of results existing in the literature, such as those corresponding to an operator $A$ describing diffusion (possibly with singular coefficients) or transport phenomena, with an operator $B$ corresponding to a distributed control. Moreover, we obtain controllability results, which seem new, in the case of an unbounded control operator $B$ is (corresponding to boundary control problems).

To give a precise description of our results, we introduce some notation. Let $A: \mathcal{D}(A) \rightarrow X$ be be the generator of the $C^{0}$ semigroup $\mathbb{S}$ on the Hilbert space $X$ and let $U$ be another Hilbert space. Both $X$ and $U$ will be identified with their duals. Let $B$ be a (possibly unbounded) linear operator from $U$ to $X$, which is supposed admissible control operator for $\mathbb{S}$ (see Section 2 for the precise definition of this concept). In the examples we have in mind, the above spaces and operators describe the dynamics of a system without age structure. In particular, $X$ is the state space and $U$ is the control space. The corresponding age structured system is obtained by first extending these spaces to

$$
\begin{align*}
& \mathcal{X}=L^{2}\left(0, a_{\dagger} ; X\right)  \tag{1.4}\\
& \mathcal{U}=L^{2}\left(0, a_{\dagger} ; U\right) \tag{1.5}
\end{align*}
$$

where $a_{\dagger}>0$ denotes the maximal age individuals can attain. Let $p(t) \in \mathcal{X}$ be the distribution density of the individuals with respect to age $a \geqslant 0$ and at some time $t \geqslant 0$. Then the abstract version of the Lotka-McKendrick system to be considered in this paper writes:

$$
\begin{cases}\frac{\partial p}{\partial t}+\frac{\partial p}{\partial a}-A p+\mu(a) p=\mathbb{1}_{\left(a_{1}, a_{2}\right)} B u, & t \geqslant 0, a \in\left(0, a_{\dagger}\right)  \tag{1.6}\\ p(t, 0)=\int_{0}^{a_{\uparrow}} \beta(s) p(t, s) \mathrm{d} s, & t \geqslant 0 \\ p(0, a)=p_{0} & \end{cases}
$$

where $\mathbb{1}$ is the characteristic function of the interval $\left(a_{1}, a_{2}\right)$ with $0 \leqslant a_{1}<a_{2} \leqslant a_{\dagger}$ and $p_{0}$ is the initial population density. In the above system, the positive function $\mu:\left[0, a_{\dagger}\right] \rightarrow \mathbb{R}_{+}$denotes the natural mortality rate of individuals of age $a$. We denote by $\beta:\left[0, a_{\dagger}\right] \rightarrow \mathbb{R}_{+}$the positive function describing the fertility rate at age $a$. We assume that the fertility rate $\beta$ and the mortality rate $\mu$ satisfy the conditions
(H1) $\beta \in L^{\infty}\left(0, a_{\dagger}\right), \beta \geqslant 0$ for almost every $a \in\left(0, a_{\dagger}\right)$.
(H2) $\mu \in L^{\infty}\left[0, a^{*}\right]$ for every $a^{*} \in\left(0, a_{\dagger}\right), \mu \geqslant 0$ for almost every $a \in\left(0, a_{\dagger}\right)$.
(H3) $\int_{0}^{a_{\dagger}} \mu(a) \mathrm{d} a=+\infty$.
For more details about the modelling of such system and the biological significance of the hypotheses, we refer to Webb (1985).

Before we state our main result, let us introduce the notion of null controllability of the pair $(A, B)$.
Definition 1.1. We say that a pair $(A, B)$ is null-controllable in time $\tau$, if for every $z_{0} \in X$ there exists a control $u \in L^{2}(0, \tau, U)$ such that, the solution of the system

$$
\dot{z}(t)=A z(t)+B u(t) \quad t \in[0, \tau], \quad z(0)=z_{0}
$$

satisfies $z(\tau)=0$.
The main result of this paper is:
Theorem 1.2. Assume that $\beta$ and $\mu$ satisfy the conditions (H1)-(H3) above. Moreover, suppose that the fertility rate $\beta$ is such that

$$
\begin{equation*}
\beta(a)=0 \text { for all } a \in\left(0, a_{b}\right), \tag{1.7}
\end{equation*}
$$

for some $a_{b} \in\left(0, a_{\dagger}\right)$ and that $a_{1}<a_{b}$. Let us assume that the pair $(A, B)$ is null controllable in any time $\tau>\tau_{0}$, with

$$
\begin{equation*}
0 \leqslant \tau_{0}<\bar{\tau}, \quad \bar{\tau}=\min \left\{a_{2}-a_{1}, a_{b}-a_{1}\right\} . \tag{1.8}
\end{equation*}
$$

Then for every $\tau>a_{1}+a_{\dagger}-a_{2}+2 \tau_{0}$ and for every $p_{0} \in \mathcal{X}$ there exists a control $v \in L^{2}(0, \tau ; \mathcal{U})$ such that the solution $p$ of (1.6) satisfies

$$
\begin{equation*}
p(\tau, a)=0 \text { for all } a \in\left(0, a_{\dagger}\right) \tag{1.9}
\end{equation*}
$$

This result can be seen as a generalization of those obtained in Ainseba and Aniţa (2001, 2004); Ainseba (2002); Hegoburu and Tucsnak (2018); Maity et al. (2019) in the case when $A$ is an elliptic operator with Neumann or Dirichlet homogeneous boundary conditions or in Ainseba et al. (2013), Boutaayamou and Echarroudi (2017) or Fragnelli (2018) when $A$ is a degenerate elliptic operator. As shown in Section 4 our approach applies, besides the above mentioned examples, to operators $A$ such that the systems without age structure describes fractional diffusion, transport phenomena or even Schrödinger type dynamics, with internal or boundary control.

The proof of the above theorem relies on final state observability of it's adjoint system. This consists of combining characteristics method with final state observability of the pair $\left(A^{*}, B^{*}\right)$, with no reference to the methodology employed to prove this observability result for the system without age structure. This idea was already used in Maity et al. (2019) where $A$ was second order elliptic differential operator and $B$ was interior control operator.

The remaining part of this work is organized as follows: In section 2, we study the wellposedness of the system (1.6) and we determine it's adjoint. Section 3 is devoted to the proof of Theorem 1. In section 4, we give several applications of our main theorem. In Section 5 we study controllability of the system (1.6) with regular controls.

## 2 Wellposedness of the system (1.6)

In this section, we rewrite the (1.6) as an abstract control system. Next, we study the wellposedness of this system and we determine the adjoint of the corresponding semigroup generator.

Let us remind that if $A$ generates a $C^{0}$-semigroup $\mathbb{S}$ on $X$ then there exist $M \geqslant 1$ and $\omega$ such that

$$
\begin{equation*}
\left\|\mathbb{S}_{t}\right\| \leqslant M e^{\omega t}, \text { for all } t \geqslant 0 \tag{2.1}
\end{equation*}
$$

We denote by $A^{*}$ the adjoint of $A$. Then $A^{*}$ generates a $C^{0}$-semigroup $\mathbb{S}^{*}=\left(\mathbb{S}_{t}^{*}\right)_{t \geqslant 0}$ on $X$. Moreover,

$$
\begin{equation*}
\left\|\mathbb{S}_{t}^{*}\right\| \leqslant M e^{\omega t}, \text { for all } t \geqslant 0 \tag{2.2}
\end{equation*}
$$

We define $X_{1}^{d}=\mathcal{D}\left(A^{*}\right)$ equipped with the graph norm. Let $X_{-1}$ be the dual of $X_{1}^{d}$ with respect to the pivot space $X$. In particular,

$$
X_{1}^{d} \subset X \subset X_{-1}
$$

with continuous and dense embeddings. It is known (see, for instance, (Tucsnak and Weiss, 2009, Section 2.10)) that $\mathbb{S}$ extends to a $C^{0}$ semigroup on $X_{-1}$, whose generator, which is an extension of $A$, has the domain $X$.

Let $B \in \mathcal{L}\left(U, X_{-1}\right)$ and $\tau>0$. We define $\Phi_{\tau}^{A} \in \mathcal{L}\left(L^{2}(0, \infty ; U), X_{-1}\right)$ by

$$
\begin{equation*}
\Phi_{\tau}^{A} u=\int_{0}^{\tau} \mathbb{S}_{\tau-s} B u(s) \mathrm{d} s \tag{2.3}
\end{equation*}
$$

We introduce admissible control operators:
Definition 2.1. (Tucsnak and Weiss, 2009, Definition 4.2.1) The operator $B \in \mathcal{L}\left(U, X_{-1}\right)$ is called an admissible control operator for $\mathbb{S}$ if for some $\tau>0, \operatorname{Ran} \Phi_{\tau}^{A} \subset X$.

The above admissibility condition can also be reformulated in terms of the adjoint of the operators (see (Tucsnak and Weiss, 2009, Proposition 4.4.1)). The operator $B \in \mathcal{L}\left(U, X_{-1}\right)$ is an admissible control operator for $\mathbb{S}$, if and only if, for all $\tau>0$, there exists a constant $C_{\tau}>0$ such that

$$
\begin{equation*}
\int_{0}^{\tau}\left\|B^{*} \mathbb{S}_{t}^{*} z\right\|_{U}^{2} \mathrm{~d} t \leqslant C_{\tau}\|z\|_{X}^{2}, \quad \forall z \in \mathcal{D}\left(A^{*}\right) \tag{2.4}
\end{equation*}
$$

Reminding that the input space $\mathcal{X}$ and the control space $\mathcal{U}$ for the corresponding age structured system are defined in (1.4) and (1.5), respectively, we introduce the operator $\mathcal{A}: \mathcal{D}(\mathcal{A}) \rightarrow \mathcal{X}$ defined by

$$
\begin{align*}
& \mathcal{D}(\mathcal{A})=\left\{\varphi \in C\left(\left[0, a_{\dagger}\right] ; X\right) \mid \varphi(0)=\int_{0}^{a_{\dagger}} \beta(a) \varphi(a) \mathrm{d} a,-\frac{\partial \varphi}{\partial a}+A \varphi-\mu \varphi \in \mathcal{X}\right\} \\
& \mathcal{A} \varphi=-\frac{\partial \varphi}{\partial a}+A \varphi-\mu \varphi \tag{2.5}
\end{align*}
$$

Let us set

$$
\begin{equation*}
\mathcal{X}_{-1}=L^{2}\left(0, a_{\dagger} ; X_{-1}\right) \tag{2.6}
\end{equation*}
$$

and we introduce the control operator $\mathcal{B} \in \mathcal{L}\left(\mathcal{U}, \mathcal{X}_{-1}\right)$ defined by

$$
\begin{equation*}
\mathcal{B} u=\mathbb{1}_{\left(a_{1}, a_{2}\right)} B u \quad(u \in \mathcal{U}) \tag{2.7}
\end{equation*}
$$

With the above notation, we rewrite the system (1.6) as

$$
\begin{equation*}
\dot{p}=\mathcal{A} p+\mathcal{B} u, \quad p(0)=p_{0} \tag{2.8}
\end{equation*}
$$

We now show that $\mathcal{A}$ generates a $C^{0}$-semigroup on $\mathcal{X}$ under the assumption that $A$ generates a $C^{0}$ semigroup on $X$. More precisely:

Theorem 2.2. Assume $A$ generates a $C^{0}$ semigroup on $X$. Then $\mathcal{A}$ defined in (2.5) generates a $C^{0}$ semigroup on $\mathcal{X}$.

The proof of this theorem is divided into several parts. We are going to follow the approach of Webb (2008); Walker (2013). Integrating along the characteristic lines, the solution of (2.8) with $u=0$, at least formally, can be written as

$$
p(t, a)= \begin{cases}\frac{\pi(a)}{\pi(a-t)} \mathbb{S}_{t} p_{0}(a-t), & t<a  \tag{2.9}\\ \pi(a) \mathbb{S}_{a} b_{p_{0}}(t-a) & t \geqslant a\end{cases}
$$

where $\pi(a)=e^{-\int_{0}^{a} \mu(s) \mathrm{d} s}$ is the probability of survival of an individual from age 0 to $a$ and $b_{\varphi}(t)$ is the unique continuous solution of the following linear Volterra integral equation in $X$ :

$$
\begin{equation*}
b_{\varphi}(t)=\int_{0}^{t} \beta(a) \pi(a) \mathbb{S}_{a} b_{\varphi}(t-a)+\mathbb{S}_{t} \int_{0}^{a_{\dagger}-t} \beta(a+t) \frac{\pi(a+t)}{\pi(a)} \varphi(a) \mathrm{d} a \tag{2.10}
\end{equation*}
$$

where the last integral is 0 if $t \geqslant a_{\dagger}$. This motivates us to define a semigroup $\mathbb{T}$ on $\mathcal{X}$ as follows:

$$
\mathbb{T}_{t} \varphi= \begin{cases}\frac{\pi(a)}{\pi(a-t)} \mathbb{S}_{t} \varphi(a-t), & t<a  \tag{2.11}\\ \pi(a) \mathbb{S}_{a} b_{\varphi}(t-a) & t \geqslant a\end{cases}
$$

Note that

$$
\begin{equation*}
b_{\varphi}(t)=\int_{0}^{a_{\dagger}} \beta(a) \mathbb{T}_{t} \varphi(a) \mathrm{d} a \tag{2.12}
\end{equation*}
$$

The following result can be obtained along the lines of (Webb, 2008, Theorem 4) (see also (Walker, 2013, Theorem 2.2)) :

Proposition 2.3. The family of operators $\mathbb{T}$ defined in (2.22) is a $C^{0}$-semigroup on $\mathcal{X}$.
Let $\mathbb{A}$ denote the generator of the semigroup $\mathbb{T}$. Therefore to prove Theorem 2.2 we only need to show $\mathbb{A}=\mathcal{A}$, where $\mathcal{A}$ is defined in (2.5). To this aim, we first prove the following result :

Lemma 2.4. Let $\mathcal{A}$ be the unbounded operator defined in (2.5). Then $\lambda I-\mathcal{A}$ is onto for $\lambda$ large enough.
Proof. Given $\lambda>0, f \in \mathcal{X}$ and $\psi \in X$, we consider the following problem

$$
\begin{equation*}
\lambda \varphi+\frac{\partial \varphi}{\partial a}-A \varphi+\mu \varphi=f, \quad \varphi(0)=\psi \tag{2.13}
\end{equation*}
$$

Since $A$ generates a $C^{0}$-semigroup on $X$, the above problem admits a unique solution $\varphi \in C\left(\left[0, a_{\dagger}\right] ; X\right)$ and given by

$$
\begin{equation*}
\varphi(a)=e^{-\lambda a} \pi(a) \mathbb{S}_{a} \psi+\int_{0}^{a} e^{-\lambda(a-s)} \pi(a-s) \mathbb{S}_{a-s} f(s) \mathrm{d} s \tag{2.14}
\end{equation*}
$$

From the above formula, we obtain

$$
\begin{align*}
& \varphi(0)-\int_{0}^{a_{\dagger}} \beta(a) \varphi(a) \mathrm{d} a \\
& =\psi-\int_{0}^{a_{\dagger}} e^{-\lambda a} \pi(a) \beta(a) \mathbb{S}_{a} \psi \mathrm{~d} a-\int_{0}^{a_{\dagger}} \beta(a) \int_{0}^{a} e^{-\lambda(a-s)} \pi(a) \mathbb{S}_{a-s} f(s) \mathrm{d} s \mathrm{~d} a \tag{2.15}
\end{align*}
$$

Now consider the operator $\mathcal{F}(\lambda) \in \mathcal{L}(X)$ defined by

$$
\begin{equation*}
\mathcal{F}(\lambda) \psi=\int_{0}^{a_{\dagger}} e^{-\lambda a} \pi(a) \beta(a) \mathbb{S}_{a} \psi \mathrm{~d} a . \tag{2.16}
\end{equation*}
$$

Using (2.1), we have

$$
\|\mathcal{F}(\lambda) \psi\|_{X} \leqslant M\|\beta\|_{L^{\infty}\left(0, a_{\dagger}\right)} \frac{1}{\lambda-\omega}\|\psi\|_{X}
$$

Thus $\lim _{\lambda \rightarrow \infty}\|\mathcal{F}(\lambda)\|_{\mathcal{L}(X)}=0$, and we clearly have that $I-\mathcal{F}(\lambda)$ is invertible for large $\lambda$. Let us take

$$
\psi=(I-\mathcal{F}(\lambda))^{-1} \int_{0}^{a_{\dagger}} \beta(a) \int_{0}^{a} e^{-\lambda(a-s)} \pi(a-s) \mathbb{S}_{a-s} f(s) \mathrm{d} s \mathrm{~d} a
$$

Then using (2.15) it is easy to see that, $\varphi$ defined by (2.14) with above choice of $\psi$ satisfies the following system

$$
\lambda \varphi+\frac{\partial \varphi}{\partial a}-A \varphi=f, \quad \varphi(0)=\int_{0}^{a_{\dagger}} \beta(a) \varphi(a) d a
$$

Thus $\lambda I-\mathcal{A}$ is onto. Moreover, the unique solution of the above system is given by

$$
\begin{align*}
\varphi(a)=e^{-\lambda a} \pi(a) \mathbb{S}_{a}(I-\mathcal{F}(\lambda))^{-1}\left(\int_{0}^{a_{\dagger}} \beta(a) \int_{0}^{a} e^{-\lambda(a-s)} \pi(a-s)\right. & \left.\mathbb{S}_{a-s} f(s) \mathrm{d} s \mathrm{~d} a\right) \\
& +\int_{0}^{a} e^{-\lambda(a-s)} \pi(a-s) \mathbb{S}_{a-s} f(s) \mathrm{d} s \tag{2.17}
\end{align*}
$$

Now we show that the generator of the semigroup $\mathbb{T}$ coincides with $\mathcal{A}$.
Proposition 2.5. Let $\widetilde{\mathcal{A}}$ be the generator of the semigroup $\mathbb{T}$ and let $\mathcal{A}$ be defined in (2.5). Then $\widetilde{\mathcal{A}}=\mathcal{A}$. Proof. Let $\varphi \in \mathcal{D}(\mathbb{A})$. Let $\lambda>0$ sufficiently large and we set $f:=\lambda \varphi-\widetilde{\mathcal{A}} \varphi$. Then using (2.22), we have

$$
\begin{align*}
\varphi(a) & =\int_{0}^{\infty} e^{-\lambda t} \mathbb{T}_{t} f(a) \mathrm{d} t=\int_{0}^{a} e^{-\lambda t} \frac{\pi(a)}{\pi(a-t)} \mathbb{S}_{t} f(a-t) \mathrm{d} t+\int_{a}^{\infty} e^{-\lambda t} \pi(a) \mathbb{S}_{a} b_{f}(t-a) \mathrm{d} t \\
& =\int_{0}^{a} e^{-\lambda(a-s)} \pi(a-s) \mathbb{S}_{a-s} f(s) \mathrm{d} s+e^{-\lambda a} \pi(a) \mathbb{S}_{a} \int_{0}^{\infty} e^{-\lambda t} b_{f}(t) \mathrm{d} t \tag{2.18}
\end{align*}
$$

Now using (2.12) and (2.22), we get

$$
\begin{aligned}
& \int_{0}^{\infty} e^{-\lambda t} b_{f}(t) \mathrm{d} t=\int_{0}^{a_{\dagger}} \beta(a) \int_{0}^{\infty} e^{-\lambda t} \mathbb{T}_{t} f(a) \mathrm{d} t \mathrm{~d} a \\
& =\int_{0}^{a_{\dagger}} \beta(a) \int_{0}^{a} e^{-\lambda t} \frac{\pi(a)}{\pi(a-t)} \mathbb{S}_{t} f(a-t) \mathrm{d} t \mathrm{~d} a+\int_{0}^{a_{\dagger}} \beta(a) \int_{a}^{\infty} e^{-\lambda t} \pi(a) \mathbb{S}_{a} b_{f}(t-a) \mathrm{d} t \mathrm{~d} a \\
& =\int_{0}^{a_{\dagger}} \beta(a) \int_{0}^{a} e^{-\lambda(a-s)} \pi(a-s) \mathbb{S}_{a-s} f(s) \mathrm{d} s \mathrm{~d} a+\int_{0}^{a_{\dagger}} e^{-\lambda a} \beta(a) \pi(a) \mathbb{S}_{a} \int_{0}^{\infty} e^{-\lambda t} b_{f}(t) \mathrm{d} t \mathrm{~d} a .
\end{aligned}
$$

Therefore,

$$
\int_{0}^{\infty} e^{-\lambda t} b_{f}(t) \mathrm{d} t=(I-\mathcal{F}(\lambda))^{-1} \int_{0}^{a_{\dagger}} \beta(a) \int_{0}^{a} e^{-\lambda(a-s)} \pi(a-s) \mathbb{S}_{a-s} f(s) \mathrm{d} s \mathrm{~d} a
$$

where $\mathcal{F}(\lambda)$ is defined in (2.16). Using the above relation in (2.18) and comparing this expression with (2.17) it is easy to see that $\varphi \in \mathcal{D}(\mathcal{A})$. We have thus proved that $\mathcal{D}(\widetilde{\mathcal{A}}) \subset \mathcal{D}(\mathcal{A})$ and

$$
\begin{equation*}
\widetilde{\mathcal{A}} \varphi=-\frac{\partial \varphi}{\partial a}+A \varphi-\mu \varphi=\mathcal{A} \varphi \quad(\varphi \in \mathcal{D}(\widetilde{\mathcal{A}})) \tag{2.19}
\end{equation*}
$$

Conversely, let us assume that $\varphi \in \mathcal{D}(\mathcal{A})$. For $\lambda$ sufficiently large, we define $f:=\lambda \varphi+\frac{\partial \varphi}{\partial a}-A \varphi+\mu \varphi$. Then $f \in \mathcal{X}$. Set $\psi=(\lambda I-\mathbb{A})^{-1} f \in \mathcal{D}(\widetilde{\mathcal{A}})$. Therefore using (2.19) we have that

$$
\lambda(\varphi-\psi)+\frac{\partial}{\partial a}(\varphi-\psi)-A(\varphi-\psi)+\mu(\varphi-\psi)=0
$$

Thus

$$
\varphi-\psi=e^{-\lambda a} \pi(a) \mathbb{S}_{a}(\varphi-\psi)(0)
$$

Using the definition of $\mathcal{F}(\lambda)$ in (2.16), it is easy to see that the above relation is equivalent to

$$
(I-\mathcal{F}(\lambda))(\varphi-\psi)(0)=0
$$

Thus for $\lambda$ sufficiently large $\varphi(0)=\psi(0)$ and therefore $\varphi=\psi \in \mathcal{D}(\widetilde{\mathcal{A}})$. This completes the proof of the proposition.

Proof of Theorem 2.2. The proof of this theorem follows from Proposition 2.3 and Proposition 2.5.
Remark 2.6. An alternative proof of Theorem 2.2 can be obtained by combining the results in (Magal and Ruan, 2018, Section 3.8) with a perturbation result of Desch-Schappcher type (see, for instance, (Tucsnak and Weiss, 2009, Section 5.4)).

Next we show that $\mathcal{B}$ defined in (2.7) is an admissible control operator:
Lemma 2.7. Let us assume that $B \in \mathcal{L}\left(U, X_{-1}\right)$ is an admissible control operator for $\mathbb{S}$. Then the operator $\mathcal{B} \in \mathcal{L}\left(\mathcal{U}, \mathcal{X}_{-1}\right)$ defined in (2.7) is an admissible control operator for the semigroup $\mathbb{T}$ generated by $\mathcal{A}$.

Proof. The proof follows easily from definition 2.1 and the fact that $B$ is an admissible control operator.
Using Proposition 2.2 and Lemma 2.7, we have the following wellposedness result of the system (2.8) (see for instance (Tucsnak and Weiss, 2009, Proposition 4.2.5)) :

Theorem 2.8. For every $p_{0} \in \mathcal{X}$ and for every $u \in L^{2}\left(0, a_{\dagger} ; \mathcal{U}\right)$ the system (2.8) admits a unique solution

$$
p \in C\left(\left[0, a_{\dagger}\right] ; \mathcal{X}\right)
$$

With the above notation our main result in Theorem 1.2 can be restated as: If the pair $(A, B)$ is null controllable in time $\tau_{0}$, then the pair $(\mathcal{A}, \mathcal{B})$ is null controllable in time $\tau>a_{1}+a_{\dagger}-a_{2}+2 \tau_{0}$. To prove this assertion, we are going to use the fact that null controllability of the pair $(\mathcal{A}, \mathcal{B})$ at time $\tau$ is equivalent to final state observability in time $\tau$ of the pair $\left(\mathcal{A}^{*}, \mathcal{B}^{*}\right)$. In the following theorem we determine the adjoint of $\mathcal{A}$ and $\mathcal{B}$. To this aim, we first consider an auxiliary operator $\mathcal{A}_{0}$ defined by

$$
\begin{equation*}
\mathcal{D}\left(\mathcal{A}_{0}\right)=\left\{\psi \in \mathcal{X} \mid q\left(t, a_{\dagger}\right)=0, \quad \frac{\partial \psi}{\partial a}-\mu \psi+A^{*} \psi \in \mathcal{X}\right\}, \quad \mathcal{A}_{0} \psi=\frac{\partial \psi}{\partial a}-\mu \psi+A^{*} \psi \tag{2.20}
\end{equation*}
$$

We have the following proposition:
Proposition 2.9. The operator $\mathcal{A}_{0}$ is the infinitesimal generator of a $C^{0}$-semigroup $\mathbb{T}^{0}$ on $\mathcal{X}$. Moreover,

$$
\begin{equation*}
\left\|\mathbb{T}_{t}^{0}\right\| \leqslant M e^{\omega t} \tag{2.21}
\end{equation*}
$$

where $M$ and $\omega$ are defined in (2.2).

Proof. The proof this proposition is similar to that of Theorem 2.2 . We briefly sketch the idea. Integrating along the characteristic lines we define the semigroup $\mathbb{T}^{0}$ on $\mathcal{X}$ as follows:

$$
\mathbb{T}_{t}^{0} \varphi= \begin{cases}\frac{\pi(a)}{\pi(a+t)} \mathbb{S}_{t}^{*} \varphi(a+t), & t<a_{\dagger}-a  \tag{2.22}\\ 0 & t \geqslant a_{\dagger}-a\end{cases}
$$

As $\mathbb{S}_{t}^{*}$ is a $C^{0}$-semigroup, it follows that $\mathbb{T}_{t}$ is also a $C^{0}$-semigroup (see Proposition 2.3). Moreover, proceeding as Proposition 2.5 we can show that the domain of the semigroup $\mathbb{T}_{t}^{0}$ is $\mathcal{A}_{0}$. The estimate (2.21) is easy to obtain from the expression of the semigroup $\mathbb{T}_{t}^{0}$.

The result below gives the adjoint operators of $\mathcal{A}$ and $\mathcal{B}$. We skip it's proof since it is fully similar to the one given for (Maity et al., 2019, Proposition 2.3).

Proposition 2.10. The adjoint of $\mathcal{A}$ in $\mathcal{X}$ is defined by

$$
\mathcal{D}\left(\mathcal{A}^{*}\right)=\mathcal{D}\left(\mathcal{A}_{0}\right), \quad \mathcal{A}^{*} \psi=\frac{\partial \psi}{\partial a}-\mu \psi+A^{*} \psi+\beta(a) \psi(0) .
$$

Moreover, $\mathcal{B}^{*} \in \mathcal{L}\left(L^{2}\left(0, a_{\dagger} ; \mathcal{D}\left(A^{*}\right)\right) ; \mathcal{U}\right)$ defined by

$$
\mathcal{B}^{*} \psi=\mathbb{1}_{\left(a_{1}, a_{2}\right)} B^{*} \psi
$$

where $B^{*} \in \mathcal{L}\left(\mathcal{D}\left(A^{*}\right), U\right)$ is the adjoint of the operator $B$.
We end this subsection with the following result, which will be required later on.
Lemma 2.11. Assume the hypothesis of Lemma 2.7. Then there exists a constant $C_{\tau}>0$ such that the solution $\varphi$ to the system

$$
\begin{equation*}
\dot{\varphi}=\mathcal{A}_{0} \varphi+f(t) \quad t \in[0, \tau], \quad \varphi(0)=0 \tag{2.23}
\end{equation*}
$$

satisfies

$$
\begin{equation*}
\int_{0}^{\tau}\left\|\mathcal{B}^{*} \varphi\right\|_{\mathcal{U}}^{2} \leqslant C_{\tau}\|f\|_{L^{2}(0, \tau ; \mathcal{X})} \tag{2.24}
\end{equation*}
$$

for every $f \in L^{2}(0, \tau ; \mathcal{X})$.
Proof. We first note that $\mathcal{B} \in \mathcal{L}\left(\mathcal{U}, \mathcal{X}_{-1}\right)$ defined in (2.7) is also an admissible control operator for the semigroup $\mathbb{T}^{0}$ generated by $\mathcal{A}_{0}$. The result follows from (Curtain and Weiss, 1989, Theorem 5.1 and Remark 5.4).

## 3 An Observability Inequality.

As mentioned above, the null-controllability of a $\operatorname{pair}(\mathcal{A}, B)$ is equivalent to the final state observability of the pair $\left(\mathcal{A}^{*}, \mathcal{B}^{*}\right)$, see (Tucsnak and Weiss, 2009, Theorem 11.2.1). Recall that that final-state observability of $\left(\mathcal{A}^{*}, \mathcal{B}^{*}\right)$ is defined as

Definition 3.1. (Tucsnak and Weiss, 2009, Definition 6.1.1) The pair $\left(\mathcal{A}^{*}, \mathcal{B}^{*}\right)$ is final state observable in time $\tau$ if there exists a $k_{\tau}>0$ such that

$$
\left\|\mathbb{T}_{\tau}^{*} q_{0}\right\|_{\mathcal{X}}^{2} \leqslant k_{\tau}^{2} \int_{0}^{\tau}\left\|\mathcal{B}^{*} \mathbb{T}_{\tau}^{*} q_{0}\right\|_{\mathcal{U}}^{2}, \quad\left(q_{0} \in \mathcal{D}\left(\mathcal{A}^{*}\right)\right)
$$

For $\mathcal{A}$ defined in (2.5) and $q_{0} \in \mathcal{X}$ we set

$$
q(t)=\mathbb{T}_{t}^{*} q_{0} \quad(t \geqslant 0)
$$

where $\mathbb{T}$ is the semigroup generated by $\mathcal{A}$. According to Proposition $2.10, q$ satisfies, for $t \geqslant 0, a \in\left(0, a_{\dagger}\right)$ :

$$
\left\{\begin{array}{l}
\frac{\partial q}{\partial t}-\frac{\partial q}{\partial a}-A^{*} q-\beta(a) q(t, 0)+\mu(a) q=0  \tag{3.1}\\
q\left(t, a_{\dagger}\right)=0 \\
q(0, a)=q_{0}(a)
\end{array}\right.
$$

In view of (Tucsnak and Weiss, 2009, Theorem 11.2.1), the statement in Theorem 1.2 is equivalent to the following theorem:
Theorem 3.2. Assume that $\beta$ and $\mu$ satisfy the conditions (H1)-(H3). Moreover, suppose that the fertility rate $\beta$ is such that

$$
\begin{equation*}
\beta(a)=0 \text { for all } a \in\left(0, a_{b}\right), \tag{3.2}
\end{equation*}
$$

for some $a_{b} \in\left(0, a_{\dagger}\right)$ and that $a_{1}<a_{b}$. Let us assume that the pair $\left(A^{*}, B^{*}\right)$ is final state observable in time $\tau>\tau_{0}$, with

$$
\begin{equation*}
0 \leqslant \tau_{0}<\bar{\tau}, \quad \bar{\tau}=\min \left\{a_{2}-a_{1}, a_{b}-a_{1}\right\} . \tag{3.3}
\end{equation*}
$$

Then the pair $\left(\mathcal{A}^{*}, \mathcal{B}^{*}\right)$ is final-state observable for every $\tau>a_{1}+a_{\dagger}-a_{2}+2 \tau_{0}$. In other words, for every $\tau>a_{1}+a_{\dagger}-a_{2}+\tau_{0}$ there exists $k_{\tau}>0$ such that the solution $q$ of (3.1) satisfies

$$
\begin{equation*}
\|q(\tau)\|_{\mathcal{X}}^{2} \leqslant k_{\tau}^{2} \int_{0}^{\tau}\left\|\mathcal{B}^{*} q(t)\right\|_{\mathcal{U}}^{2} \mathrm{~d} t, \quad\left(q_{0} \in \mathcal{D}\left(\mathcal{A}^{*}\right)\right) \tag{3.4}
\end{equation*}
$$

Remark 3.3. Using the expression of $\mathcal{B}^{*}$ it is easy to see that the inequality (3.4) reads as

$$
\begin{equation*}
\int_{0}^{a_{\dagger}}\|q(\tau, a)\|_{X}^{2} \mathrm{~d} a \leqslant \kappa_{\tau}^{2} \int_{0}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} q(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t \tag{3.5}
\end{equation*}
$$

for any $q_{0} \in \mathcal{D}\left(\mathcal{A}^{*}\right)$.
The main idea of the proof is to use final state observability of the pair $\left(A^{*}, B^{*}\right)$ along the characteristic lines. We first have the following proposition, which is an easy consequence of the final state observability of the pair $\left(A^{*}, B^{*}\right)$.
Proposition 3.4. Let us assume that the pair $\left(A^{*}, B^{*}\right)$ is final state observable in any time $T>T_{0}$ with $T_{0} \geqslant 0$. Let $\mathcal{C}(T)$ be the observability cost with $\mathcal{C}(T) \rightarrow \infty$ as $T \rightarrow T_{0}$. Let $T_{1}, T_{2}$ and $T_{3}$ are three real numbers such that

$$
0 \leqslant T_{1}<T_{2} \leqslant T_{3} \quad \text { with } \quad T_{2}-T_{1}>T_{0}
$$

Then for every $w_{0} \in \mathcal{D}\left(A^{*}\right)$, the solution $w$ of the problem

$$
\begin{equation*}
\frac{d w}{d t}=A^{*} w \quad t \in\left[T_{1}, T_{3}\right], \quad w\left(T_{1}\right)=w_{0} \tag{3.6}
\end{equation*}
$$

satisfies the estimate

$$
\begin{equation*}
\left\|w\left(T_{3}\right)\right\|_{X}^{2} \leqslant M e^{\omega\left(T_{3}-T_{2}\right)} \mathcal{C}\left(T_{2}-T_{1}\right) \int_{T_{1}}^{T_{2}}\left\|B^{*} w(s)\right\|_{U}^{2} \mathrm{~d} s \tag{3.7}
\end{equation*}
$$

where $M$ and $\omega$ are defined in (2.2).
Proof. By semigroup property (2.2), it is easy to see that

$$
\left\|w\left(T_{3}\right)\right\|_{X}^{2} \leqslant M e^{\omega\left(T_{3}-T_{2}\right)}\left\|w\left(T_{2}\right)\right\|_{X}^{2}
$$

Now applying the final state observability of $\left(A^{*}, B^{*}\right)$ on the time interval $\left[T_{1}, T_{2}\right]$ we obtain

$$
\left\|w\left(T_{2}\right)\right\|_{X}^{2} \leqslant \mathcal{C}\left(T_{2}-T_{1}\right)\left\|B^{*} w(s)\right\|_{U}^{2} \mathrm{~d} s
$$

Combining the above two estimates we conclude the proof of the proposition.

The following three propositions are crucial in proving Theorem 3.2.
Proposition 3.5. Let us assume the hypothesis of Theorem 3.2. Let

$$
\tau>\tau_{0}+a_{1}
$$

Then for every $q_{0} \in \mathcal{D}\left(\mathcal{A}^{*}\right)$, the solution $q$ of the system (3.1), verifies

$$
\begin{equation*}
\int_{0}^{a_{1}}\|q(\tau, a)\|_{X}^{2} \mathrm{~d} a \leqslant M C_{\mu} e^{\omega a_{1}} \max \left\{\mathcal{C}\left(\tau-a_{1}\right), \mathcal{C}\left(a_{2}-a_{1}\right)\right\} \int_{0}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} q(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t \tag{3.8}
\end{equation*}
$$

where $C_{\mu}=\mathrm{e}^{2\|\mu\|_{L^{1}\left[0, a_{0}\right]} \text {. }}$
Proof. Let us recall that $\bar{\tau}$ is defined by $\bar{\tau}=\min \left\{a_{2}-a_{1}, a_{b}-a_{1}\right\}$. Thus without loss of generality we can assume that $a_{2} \leqslant a_{b}$. Since $\beta(a)=0$ for all $a \in\left(0, a_{2}\right), q$ satisfies

$$
\begin{equation*}
\frac{\partial q}{\partial t}-\frac{\partial q}{\partial a}-A^{*} q+\mu(a) q=0, \quad t \geqslant 0, a \in\left(0, a_{2}\right) \tag{3.9}
\end{equation*}
$$

We set

$$
\begin{equation*}
\widetilde{q}(t, a)=q(t, a) \mathrm{e}^{-\int_{0}^{a} \mu(r) \mathrm{d} r} \tag{3.10}
\end{equation*}
$$

Then $\widetilde{q}$ satisfies

$$
\begin{equation*}
\frac{\partial \widetilde{q}}{\partial t}-\frac{\partial \widetilde{q}}{\partial a}-A^{*} \widetilde{q}=0, \quad t \geqslant 0, a \in\left(0, a_{2}\right) \tag{3.11}
\end{equation*}
$$

Without loss of generality, let us assume that

$$
\begin{equation*}
\tau<a_{2}, \quad \tau>a_{2}-a_{1} \tag{3.12}
\end{equation*}
$$

We set $b_{0}=a_{2}-\tau$ and we split the interval $\left(0, a_{1}\right)$ as follows

$$
\begin{equation*}
\left(0, a_{1}\right)=\left(0, b_{0}\right) \cup\left(b_{0}, a_{1}\right) \tag{3.13}
\end{equation*}
$$

Let us remark that, the choices in (3.12) are made to cover all possible scenarios. Indeed, if $\tau<a_{2}-a_{1}$ we can choose $b_{0}=a_{1}$ or if $\tau>a_{2}$ we choose $b_{0}=0$. We are going to use Proposition 3.4 along the characteristics. In the remaining part of the proof we give upper bounds for $\int_{I}\|\widetilde{q}(\tau, a)\|_{X}^{2} \mathrm{~d} a$ where $I$ is successively each one of the intervals appearing in the decomposition (3.13).

## Upper bound on $\left(0, b_{0}\right)$ :

For a.e $a \in\left(0, b_{0}\right)$, we first set

$$
w(s)=\widetilde{q}(s, a+\tau-s) \quad s \in(0, \tau)
$$

Then $w$ satisfies

$$
\begin{equation*}
\frac{\partial w}{\partial s}-A^{*} w=0, \quad s \in(0, \tau) \tag{3.14}
\end{equation*}
$$

Applying Proposition 3.4, with $T_{0}=\tau_{0}, T_{1}=0, T_{2}=\tau+a-a_{1}$ and $T_{3}=\tau$ we obtain

$$
\|w(\tau)\|_{X}^{2} \leqslant M e^{\omega\left(a_{1}-a\right)} \mathcal{C}\left(\tau+a-a_{1}\right) \int_{0}^{\tau+a-a_{1}}\left\|B^{*} w(s)\right\|_{U}^{2} \mathrm{~d} s
$$

In terms of $\widetilde{q}$, the above inequality writes

$$
\|\widetilde{q}(\tau, a)\|_{X}^{2} \leqslant M e^{\omega\left(a_{1}-a\right)} \mathcal{C}\left(\tau+a-a_{1}\right) \int_{0}^{\tau+a-a_{1}}\left\|B^{*} \widetilde{q}(s, a+\tau-s, x)\right\|_{U}^{2} \mathrm{~d} s
$$

$$
=M e^{\omega\left(a_{1}-a\right)} \mathcal{C}\left(\tau+a-a_{1}\right) \int_{a_{1}}^{\tau+a}\left\|B^{*} \widetilde{q}(\tau+a-s, s)\right\|_{U}^{2} \mathrm{~d} s
$$

Integrating with respect to $a$ over $\left(0, b_{0}\right)$ we obtain

$$
\begin{align*}
& \int_{0}^{b_{0}}\|\widetilde{q}(\tau, a)\|_{X}^{2} \mathrm{~d} a \leqslant M e^{\omega a_{1}} \mathcal{C}\left(\tau-a_{1}\right) \int_{0}^{b_{0}} \int_{a_{1}}^{\tau+a}\left\|B^{*} \widetilde{q}(\tau+a-s, s)\right\|_{U}^{2} \mathrm{~d} s \mathrm{~d} a \\
&= M e^{\omega a_{1}} \mathcal{C}\left(\tau-a_{1}\right) \int_{a_{1}}^{a_{2}} \int_{s-\tau}^{b_{0}}\left\|B^{*} \widetilde{q}(\tau+a-s, s)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} s \\
&=M e^{\omega a_{1}} \mathcal{C}\left(\tau-a_{1}\right) \int_{a_{1}}^{a_{2}} \int_{0}^{a_{2}-s}\left\|B^{*} \widetilde{q}(r, s)\right\|_{U}^{2} \mathrm{~d} r \mathrm{~d} s \\
& \leqslant M e^{\omega a_{1}} \mathcal{C}\left(\tau-a_{1}\right) \int_{0}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} \widetilde{q}(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t \tag{3.15}
\end{align*}
$$



Figure 1: An illustration of the choice made in (3.12): Blue region corresponds to the interval $\left(0, b_{0}\right)$. Since $\tau>a_{1}$, the trajectory $\gamma(s):=(\tau-s, a+s), s \in[0, \tau]$ (or equivalently the backward characteristics staring from $(\tau, a))$ enters the observation region $\left(a_{1}, a_{2}\right) \times(0, \tau)$ at $s=a_{1}-a$. At $s=\tau, \gamma(s)$ hits the line $t=0$ without leaving the observation region. The red region corresponds to the interval $\left(b_{0}, a_{1}\right)$. In this case, the trajectory $\gamma(s)$ enters the observation domain at $s=a_{1}-a$ and exits the observation region at $s=a_{2}-a$. Since, $\left(A^{*}, B^{*}\right)$ is final state observable in time $\tau>\tau_{0}$, we need length of the characteristics to be greater than $\tau_{0}$ within the observation region. Thus we need $\tau>\tau_{0}+a_{1}$ in order to observe $\widetilde{q}$ at final time.

Upper bound on $\left(b_{0}, a_{1}\right)$ :
For a.e. $a \in\left(b_{0}, a_{1}\right)$, we define

$$
w(s)=\widetilde{q}(s, a+\tau-s) \quad s \in\left(\tau+a-a_{2}, \tau\right)
$$

Then $w$ satisfies

$$
\begin{equation*}
\frac{\partial w}{\partial s}-A^{*} w=0, \quad s \in\left(\tau+a-a_{2}, \tau\right) \tag{3.16}
\end{equation*}
$$

Applying Proposition 3.4 with $T_{0}=\tau_{0}, T_{1}=\tau+a-a_{2}, T_{2}=\tau+a-a_{1}$ and $T_{3}=\tau$ it follows that

$$
\|w(\tau)\|_{X}^{2} \leqslant M e^{\omega\left(a_{1}-a\right)} \mathcal{C}\left(a_{2}-a_{1}\right) \int_{\tau+a-a_{2}}^{\tau+a-a_{1}}\left\|B^{*} w(s)\right\|_{U}^{2} \mathrm{~d} s
$$

In terms of $\widetilde{q}$, the above inequality becomes

$$
\begin{aligned}
\|\widetilde{q}(\tau, a)\|_{X}^{2} \leqslant M e^{\omega\left(a_{1}-a\right)} \mathcal{C}\left(a_{2}-a_{1}\right) \int_{\tau+a-a_{2}}^{\tau+a-a_{1}} \| B^{*} \widetilde{q}(s, a & +\tau-s) \|_{U}^{2} \mathrm{~d} s \\
& =M e^{\omega\left(a_{1}-a\right)} \mathcal{C}\left(a_{2}-a_{1}\right) \int_{a_{1}}^{a_{2}}\left\|B^{*} \widetilde{q}(\tau+a-s, s)\right\|_{U}^{2} \mathrm{~d} s
\end{aligned}
$$

Integrating with respect to $a$ over $\left(b_{0}, a_{1}\right)$ we get

$$
\begin{align*}
& \int_{b_{0}}^{a_{1}}\|\widetilde{q}(\tau, a)\|_{X}^{2} \mathrm{~d} a \leqslant M e^{\omega\left(a_{1}-b_{0}\right)} \mathcal{C}\left(a_{2}-a_{1}\right) \int_{b_{0}}^{a_{1}} \int_{a_{1}}^{a_{2}}\left\|B^{*} \widetilde{q}(\tau+a-s, s)\right\|_{U}^{2} \mathrm{~d} s \mathrm{~d} a \\
& =M e^{\omega\left(a_{1}-b_{0}\right)} \mathcal{C}\left(a_{2}-a_{1}\right) \int_{a_{1}}^{a_{2}} \int_{b_{0}}^{a_{1}}\left\|B^{*} \widetilde{q}(\tau+a-s, s)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} s \\
& =M e^{\omega\left(a_{1}-b_{0}\right)} \mathcal{C}\left(a_{2}-a_{1}\right) \int_{a_{1}}^{a_{2}} \int_{\tau+b_{0}-s}^{\tau+a_{1}-s}\left\|B^{*} \widetilde{q}(r, s)\right\|_{U}^{2} \mathrm{~d} r \mathrm{~d} s \\
& \leqslant M e^{\omega a_{1}} \mathcal{C}\left(a_{2}-a_{1}\right) \int_{a_{1}}^{a_{2}} \int_{0}^{\tau}\left\|B^{*} \widetilde{q}(r, s)\right\|_{U}^{2} \mathrm{~d} r \mathrm{~d} s \\
& \quad=M e^{\omega a_{1}} \mathcal{C}\left(a_{2}-a_{1}\right) \int_{0}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} \widetilde{q}(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t \tag{3.17}
\end{align*}
$$

Therefore, combining (3.15) and (3.17) we get

$$
\begin{equation*}
\int_{0}^{a_{0}}\|\widetilde{q}(\tau, a)\|_{X}^{2} \mathrm{~d} a \leqslant M e^{\omega a_{1}} \max \left\{\mathcal{C}\left(\tau-a_{1}\right), \mathcal{C}\left(a_{2}-a_{1}\right)\right\} \int_{0}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} \widetilde{q}(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t . \tag{3.18}
\end{equation*}
$$

Finally using the above estimate and the definition of $\widetilde{q}$ in (3.10) we obtain (3.20). This completes the proof of the proposition.

Next, we consider the system (3.1) with $\beta=0$. More precisely, we consider the system

$$
\begin{cases}\frac{\partial z}{\partial t}-\frac{\partial z}{\partial a}-A^{*} z+\mu(a) z=0, & (t, a) \in(0, \tau) \times\left(0, a_{\dagger}\right)  \tag{3.19}\\ z\left(t, a_{\dagger}\right)=0, & t \in(0, \tau) \\ z(0, a)=z_{0}(a) & a \in\left(0, a_{\dagger}\right) .\end{cases}
$$

Proposition 3.6. Let us assume the hypothesis of Theorem 3.2. Let

$$
\tau>\tau_{0} \text { and } a_{1}<a_{0}<a_{2}-\tau_{0}
$$

Then for every $z_{0} \in \mathcal{D}\left(\mathcal{A}^{*}\right)$, the solution $z$ of the system (3.19), verifies

$$
\begin{equation*}
\int_{a_{1}}^{a_{0}}\|z(\tau, a)\|_{X}^{2} \mathrm{~d} a \leqslant M C_{\mu} e^{\omega a_{1}} \max \left\{\mathcal{C}(\tau), \mathcal{C}\left(a_{2}-a_{0}\right)\right\} \int_{0}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} z(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t \tag{3.20}
\end{equation*}
$$

where $C_{\mu}=\mathrm{e}^{2\|\mu\|_{L^{1}\left[0, a_{0}\right]}}$.

Proof. The proof is similar to that of Proposition 3.5. Let us briefly explain the main steps. We consider the case

$$
\tau<a_{2}-a_{1}
$$

We split the interval $\left(a_{1}, a_{0}\right)$ as (see Fig. 2)

$$
\left(a_{1}, a_{0}\right)=\left(a_{1}, a_{3}\right) \cup\left(a_{3}, a_{0}\right) \quad \text { where } a_{3}=a_{2}-\tau .
$$

If $\tau \geqslant a_{2}-a_{1}$, then we choose $a_{3}=a_{1}$. Then we estimate $\int_{I}\|z(\tau, a)\|_{X}^{2} \mathrm{~d} a$ where $I$ is successively each one of interval appearing in the above decomposition. These estimates are similar to the ones presented in Proposition 3.5, thus omitted here.


Figure 2: In this case, the trajectory $\gamma(s)=(\tau-s, a+s)$ starts inside the observation region. Thus we just need $\tau>\tau_{0}$ in order to apply final state observability of the pair $\left(A^{*}, B^{*}\right)$ along the characteristics.

In the next proposition, we estimate $q(t, 0)$. More precisely, we prove the following:
Proposition 3.7. Let us assume the hypothesis of Theorem 3.2 and let $\tau>\tau_{0}+a_{1}$ and $\eta \in\left(\tau_{0}+a_{1}, \tau\right)$. Then for every $q_{0} \in \mathcal{D}\left(\mathcal{A}^{*}\right)$, the solution $q$ of the system (3.1), satisfies

$$
\begin{equation*}
\int_{\eta}^{\tau}\|q(t, 0)\|_{X}^{2} \mathrm{~d} t \leqslant M e^{\omega a_{1}} \mathcal{C}\left(\eta-a_{1}\right) \int_{0}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} q(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t \tag{3.21}
\end{equation*}
$$

Proof. First of all, without loss of of generality we can assume that $a_{2} \leqslant a_{b}$ (otherwise we simply observe for small ages). Then for all $t \geqslant 0$ and $a \in\left(0, a_{2}\right), q$ satisfies the system (3.9). Let $\widetilde{q}$ be defined as in (3.10). In particular, $\widetilde{q}$ satisfies (3.11). Here also we are going to use Proposition 3.4 along the characteristics. Without loss of generality, let us assume that

$$
a_{2} \leqslant a_{b} \quad \text { and } \quad \eta<a_{2}<\tau .
$$

Case 1: For a.e. $t \in\left(a_{2}, \tau\right)$, we define

$$
\begin{equation*}
w(s, x)=\widetilde{q}(s, t-s), \quad s \in\left(t-a_{2}, t\right) . \tag{3.22}
\end{equation*}
$$

Then $w$ satisfies

$$
\begin{equation*}
\frac{\partial w}{\partial s}-A^{*} w=0 \quad s \in\left(t-a_{2}, t\right) \tag{3.23}
\end{equation*}
$$

Using Proposition 3.4, with $t_{0}=t-a_{2}, t_{1}=t-a_{1}$ and $T=t$, we obtain

$$
\|w(t)\|_{X}^{2} \leqslant M e^{\omega a_{1}} \mathcal{C}\left(a_{2}-a_{1}\right) \int_{t-a_{2}}^{t-a_{1}}\left\|B^{*} w(s, x)\right\|_{U}^{2} \mathrm{~d} s
$$

In terms of $\widetilde{q}$ the above inequality reads as

$$
\|\widetilde{q}(t, 0)\|_{X}^{2} \leqslant M e^{\omega a_{1}} \mathcal{C}\left(a_{2}-a_{1}\right) \int_{t-a_{2}}^{t-a_{1}}\left\|B^{*} \widetilde{q}(s, t-s)\right\|_{U}^{2} \mathrm{~d} s=M e^{\omega a_{1}} \mathcal{C}\left(a_{2}-a_{1}\right) \int_{a_{1}}^{a_{2}}\left\|B^{*} \widetilde{q}(t-s, s)\right\|_{U}^{2} \mathrm{~d} s
$$

Integrating with respect to $t$ over $\left[a_{2}, \tau\right]$ we obtain

$$
\begin{align*}
\int_{a_{2}}^{\tau}\|\widetilde{q}(t, 0)\|^{2} \mathrm{~d} t \leqslant M e^{\omega a_{1}} \mathcal{C} & \left(a_{2}-a_{1}\right) \int_{a_{2}}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} \widetilde{q}(t-s, s)\right\|_{U}^{2} \mathrm{~d} s \mathrm{~d} t \\
& =M e^{\omega a_{1}} \mathcal{C}\left(a_{2}-a_{1}\right) \int_{a_{1}}^{a_{2}} \int_{a_{2}}^{\tau}\left\|B^{*} \widetilde{q}(t-s, s)\right\|_{U}^{2} \mathrm{~d} t \mathrm{~d} s \\
& =M e^{\omega a_{1}} \mathcal{C}\left(a_{2}-a_{1}\right) \int_{a_{1}}^{a_{b}} \int_{a_{2}-s}^{\tau-s}\left\|B^{*} \widetilde{q}(r, s)\right\|_{U}^{2} \mathrm{~d} r \mathrm{~d} s \\
& \leqslant M e^{\omega a_{1}} \mathcal{C}\left(a_{2}-a_{1}\right) \int_{0}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} \widetilde{q}(t, a)\right\|_{U}^{2} \mathrm{~d} x \mathrm{~d} a \mathrm{~d} t \tag{3.24}
\end{align*}
$$

Case 2: For a.e $t \in\left(\eta, a_{2}\right)$, we define


Figure 3: An illustration of the estimate of $\widetilde{q}(t, 0)$. Here we have chosen $a_{2}=a_{b}$. Since $\tau>\tau_{0}+a_{1}$ all the backward characteristics starting from $(t, 0)$ enters the observation domain (the green region) and the length of the characteristics within the observation region is greater than $\tau_{0}$.

$$
\begin{equation*}
w(s)=\widetilde{q}(s, t-s) \quad s \in(0, t) \tag{3.25}
\end{equation*}
$$

Then $w$ satisfies

$$
\frac{\partial w}{\partial s}-A^{*} w=0 \quad s \in(0, t)
$$

By applying Proposition 3.4, with $t_{0}=0, t_{1}=t-a_{1}$ and $T=t$, we obtain

$$
\|w(t)\|_{X}^{2} \leqslant M e^{\omega a_{1}} \mathcal{C}\left(t-a_{1}\right) \int_{0}^{t-a_{1}}\left\|B^{*} w(s)\right\|_{U}^{2} \mathrm{~d} s
$$

This yields

$$
\|\widetilde{q}(t, 0)\|_{X}^{2} \leqslant M e^{\omega a_{1}} \mathcal{C}\left(t-a_{1}\right) \int_{0}^{t-a_{1}}\left\|B^{*} \widetilde{q}(s, t-s)\right\|_{U}^{2} \mathrm{~d} s=M e^{\omega a_{1}} \mathcal{C}\left(t-a_{1}\right) \int_{a_{1}}^{t}\left\|B^{*} \widetilde{q}(t-s, s)\right\|_{U}^{2} \mathrm{~d} s
$$

Integrating with respect to $t$ over $\left[\eta, a_{2}\right]$ we get

$$
\begin{align*}
& \int_{\eta}^{a_{2}}\|\widetilde{q}(t, 0)\|_{X}^{2} \mathrm{~d} t \leqslant M e^{\omega a_{1}} \mathcal{C}\left(\eta-a_{1}\right) \int_{\eta}^{a_{2}} \int_{a_{1}}^{t}\left\|B^{*} \widetilde{q}(t-s, s)\right\|_{U}^{2} \mathrm{~d} s \mathrm{~d} t \\
& \leqslant M e^{\omega a_{1}} \mathcal{C}\left(\eta-a_{1}\right) \int_{0}^{a_{2}} \int_{a_{1}}^{t}\left\|B^{*} \widetilde{q}(t-s, s)\right\|_{U}^{2} \mathrm{~d} s \mathrm{~d} t \\
&=M e^{\omega a_{1}} \mathcal{C}\left(\eta-a_{1}\right) \int_{a_{1}}^{a_{2}} \int_{s}^{a_{2}}\left\|B^{*} q(t-s, s)\right\|_{U}^{2} \mathrm{~d} t \mathrm{~d} s \\
&=M e^{\omega a_{1}} \mathcal{C}\left(\eta-a_{1}\right) \int_{a_{1}}^{a_{2}} \int_{0}^{a_{2}-s}\left\|B^{*} q(r, s)\right\|_{U}^{2} \mathrm{~d} r \mathrm{~d} s \\
& \leqslant M e^{\omega a_{1}} \mathcal{C}\left(\eta-a_{1}\right) \int_{0}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} \widetilde{q}(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t \tag{3.26}
\end{align*}
$$

Combining, (3.24) and (3.26) we obtain

$$
\int_{\eta}^{\tau}\|\widetilde{q}(t, 0)\|_{X}^{2} \mathrm{~d} t \leqslant M e^{\omega a_{1}} \mathcal{C}\left(\eta-a_{1}\right) \int_{0}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} \widetilde{q}(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t
$$

Note that, from the definition of $\widetilde{q}$ in (3.10), we have $\widetilde{q}(t, 0)=q(t, 0)$. Thus from the above estimate we clearly obtain (3.21).

### 3.1 Proof of the main result.

We are now in a position to prove Theorem 3.2, thus, consequently, our main result in Theorem 1.2.
Proof of Theorem 3.2. The constant $C_{\tau}$ appearing in this proof depends only on $\tau, a_{\dagger}, \mu, \beta, A$ and $B$. Let us set

$$
\delta=\tau-\left(a_{1}+a_{\dagger}-a_{2}+2 \tau_{0}\right) \text { and } \eta=a_{1}+\tau_{0}+\frac{\delta}{2}
$$

Without loss of generality we can assume $\tau$ is such that $a_{1}<a_{2}-\tau_{0}-\delta / 2$. (see Fig. 4). By Proposition 3.5, we already have that

$$
\begin{equation*}
\int_{0}^{a_{1}}\|q(\tau, a)\|_{X}^{2} \mathrm{~d} a \leqslant C_{\mu} e^{\omega a_{1}} \mathcal{C}\left(\tau_{0}+\delta / 2\right) \int_{0}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} q(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t \tag{3.27}
\end{equation*}
$$

Thus the rest of the proof is devoted towards the estimate of $\int_{a_{1}}^{a_{\dagger}}\|q(\tau, a)\|_{X}^{2} \mathrm{~d} a$. To this aim, let us define

$$
\begin{equation*}
q_{\eta}(a):=q(\eta, a), a \in\left(0, a_{\dagger}\right) \text { and } V(t, a):=\beta(a) q(t, 0), t \in(\eta, \tau), a \in\left(0, a_{\dagger}\right) \tag{3.28}
\end{equation*}
$$

We write

$$
\begin{equation*}
q(t, a)=q_{1}(t, a)+q_{2}(t, a), \quad t \in(\eta, \tau), a \in\left(0, a_{\dagger}\right) \tag{3.29}
\end{equation*}
$$

where $q_{1}$ solves

$$
\begin{cases}\frac{\partial q_{1}}{\partial t}-\frac{\partial q_{1}}{\partial a}-A^{*} q_{1}+\mu(a) q_{1}=0, & t \in(\eta, \tau), a \in\left(0, a_{\dagger}\right)  \tag{3.30}\\ q_{1}\left(t, a_{\dagger}\right)=0, & t \in(\eta, \tau) \\ q_{1}(\eta, a)=q_{\eta}(a), & a \in\left(0, a_{\dagger}\right)\end{cases}
$$

and $q_{2}$ solves

$$
\begin{cases}\frac{\partial q_{2}}{\partial t}-\frac{\partial q_{2}}{\partial a}-A^{*} q_{2}+\mu(a) q_{2}=V(t, a), & t \in(\eta, \tau), a \in\left(0, a_{\dagger}\right)  \tag{3.31}\\ q_{2}\left(t, a_{\dagger}\right)=0, & t \in(\eta, \tau) \\ q_{2}(\eta, a)=0, & a \in\left(0, a_{\dagger}\right)\end{cases}
$$

Using Duhamel's formula we can write $q_{2}$ as

$$
\begin{equation*}
q_{2}(t, a)=\int_{\eta}^{t} \mathbb{T}_{t-s}^{0} V(s, \cdot) \mathrm{d} s \tag{3.32}
\end{equation*}
$$

where $\mathbb{T}^{0}$ is the $C^{0}$ semigroup defined in (2.22). Using (2.21) and Proposition 3.7 we get

$$
\begin{equation*}
\int_{a_{1}}^{a_{\dagger}}\left\|q_{2}(\tau, a)\right\|_{X}^{2} \mathrm{~d} a \leqslant C_{\tau} \int_{\eta}^{\tau}\|q(t, 0)\|_{X}^{2} \mathrm{~d} t \leqslant C_{\tau} \mathcal{C}\left(\tau_{0}+\delta / 2\right) \int_{0}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} q(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t \tag{3.33}
\end{equation*}
$$

On the other hand, we write

$$
\begin{equation*}
\int_{a_{1}}^{a_{\dagger}}\left\|q_{1}(\tau, a)\right\|_{X}^{2} \mathrm{~d} a=\int_{a_{1}}^{a_{2}-\tau_{0}-\delta / 2}\left\|q_{1}(\tau, a)\right\|_{X}^{2} \mathrm{~d} a+\int_{a_{2}-\tau_{0}-\delta / 2}^{a_{\dagger}}\left\|q_{1}(\tau, a)\right\|^{2} \mathrm{~d} a \tag{3.34}
\end{equation*}
$$

From the semigroup representation of $\mathbb{T}_{t}^{0}$ in (2.22), we have


Figure 4:

$$
\begin{equation*}
q_{1}(t, a)=0 \text { for } t-\eta \geqslant a_{\dagger}-a \tag{3.35}
\end{equation*}
$$

In particular,

$$
q_{1}(\tau, a)=0 \text { for } a \in\left[a_{2}-\tau_{0}-\delta / 2, a_{\dagger}\right] .
$$

Therefore,

$$
\begin{equation*}
\int_{a_{1}}^{a_{\dagger}}\left\|q_{1}(\tau, a)\right\|_{X}^{2} \mathrm{~d} a=\int_{a_{1}}^{a_{2}-\tau_{0}-\delta / 2}\left\|q_{1}(\tau, a)\right\|_{X}^{2} \mathrm{~d} a \tag{3.36}
\end{equation*}
$$

Since $\tau-\eta>\tau_{0}$, applying Proposition 3.6 to $q_{1}$ with $a_{0}=a_{2}-\tau_{0}-\delta / 2$, we obtain

$$
\begin{equation*}
\int_{a_{1}}^{a_{2}-\tau_{0}-\delta / 2}\left\|q_{1}(\tau, a)\right\|_{X}^{2} \mathrm{~d} a \leqslant C_{\tau} \mathcal{C}\left(\tau_{0}+\delta / 2\right) \int_{\eta}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} q_{1}(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t \tag{3.37}
\end{equation*}
$$

Using Lemma 2.11 and Proposition 3.7 we deduce

$$
\begin{aligned}
& \int_{\eta}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} q_{1}(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t \\
& \leqslant 2\left(\int_{\eta}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} q(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t+\int_{\eta}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} q_{2}(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t\right) \\
& \leqslant C_{\tau}\left(\int_{\eta}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} q(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t+\int_{\eta}^{\tau}\|q(t, 0)\|_{X}^{2} \mathrm{~d} t\right) \\
& \leqslant C_{\tau}\left(1+\mathcal{C}\left(\tau_{0}+\delta / 2\right)\right) \int_{\eta}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} q(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t
\end{aligned}
$$

Combining the above estimate together with (3.36) and (3.37) we have

$$
\begin{equation*}
\int_{a_{1}}^{a_{\dagger}}\left\|q_{1}(\tau, a)\right\|_{X}^{2} \mathrm{~d} a \leqslant C_{\tau}\left(1+\mathcal{C}\left(\tau_{0}+\delta / 2\right)\right)^{2} \int_{0}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} q(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t \tag{3.38}
\end{equation*}
$$

The above estimate together with (3.29) and (3.33) yields

$$
\begin{equation*}
\int_{a_{1}}^{a_{\dagger}}\|q(\tau, a)\|_{X}^{2} \mathrm{~d} a \leqslant C_{\tau}\left(\left(1+\mathcal{C}\left(\tau_{0}+\delta / 2\right)\right)^{2}+\mathcal{C}\left(\tau_{0}+\delta / 2\right)\right) \int_{0}^{\tau} \int_{a_{1}}^{a_{2}}\left\|B^{*} q(t, a)\right\|_{U}^{2} \mathrm{~d} a \mathrm{~d} t \tag{3.39}
\end{equation*}
$$

Finally, combining the above estimate with (3.27) we obtain (3.4) with

$$
\begin{equation*}
\kappa_{\tau}^{2}=C_{\tau}\left[2+\mathcal{C}\left(\frac{\tau-\left(a_{1}+a_{\dagger}-a_{2}\right)}{2}\right)\right]^{2} . \tag{3.40}
\end{equation*}
$$

This completes the proof of the theorem.

## 4 Applications

The aim of this section is to apply the controllability result obtained in Theorem 1.2 for different class of operators $A$ and $B$.

### 4.1 Finite dimensional diffusion

Let us take $X=\mathbb{R}^{n}$ and $U=\mathbb{R}^{m}$ with $m \leqslant n$. Let $A$ be a real $n \times n$ matrix and $B$ be a real $n \times m$ matrix. Let us assume that

$$
\begin{equation*}
\operatorname{rank}\left[B, A B, \ldots A^{n-1} B\right]=n \tag{4.1}
\end{equation*}
$$

In particular, we assume that the pair $(A, B)$ is null-controllable for arbitrary time (i.e. $\tau_{0}=0$ ). Then by Theorem 1.2, the system (1.6) is null controllable in time $\tau>a_{1}+a_{\dagger}-a_{2}$.

A Special Case: Let us choose:

$$
n=m=1, \quad A=0 \text { and } B=1,
$$

i.e., we consider the classical diffusion free Lotka-McKendrick system. This system has already been studied in Barbu et al. (2001); Hegoburu et al. (2018); Maity (2018); Hegoburu and Aniţa (2019). By applying Theorem 1.2 to this particular case, we recover the result obtained in (Hegoburu and Aniţa, 2019, Theorem 1.1) (see also Hegoburu et al. (2018); Maity (2018)).

### 4.2 Transport equation with age structure.

Let $\Omega=(0, L)$. We consider the following control problem

$$
\begin{cases}\frac{\partial p}{\partial t}+\frac{\partial p}{\partial a}+\frac{\partial}{\partial x}(v(x) p)+\mu(a) p=0, & (t, a, x) \in(0, \tau) \times\left(0, a_{\dagger}\right) \times \Omega  \tag{4.2}\\ p(t, a, 0)=\mathbb{1}_{\left(a_{1}, a_{2}\right)} u(t, a), & (t, a) \in(0, \tau) \times\left(0, a_{\dagger}\right) \\ p(t, 0, x)=\int_{0}^{a_{\dagger}} \beta(a) p(t, a, x) \mathrm{d} a, & (t, x) \in(0, \tau) \times \Omega \\ p(0, a, x)=p_{0}(a, x) & (a, x) \in \times\left(0, a_{\dagger}\right) \times \Omega\end{cases}
$$

where $v \in C^{1}[0, L]$ and $v(x) \geqslant \bar{v}>0$. We take $X=L^{2}(\Omega)$ and $U=\mathbb{R}$. The operator $A$ is defined by

$$
\mathcal{D}(A)=\left\{\varphi \in H^{1}(0, L) \mid \varphi(0)=0\right\}, \quad A \varphi=-\frac{\partial}{\partial x}(v \varphi)
$$

The control operator $B$ is defined by,

$$
B u=u \delta_{0},
$$

where $\delta_{0}$ is the Dirac mass at 0 . It is well known that, the pair $(A, B)$ is null controllable in time $\tau>\frac{L}{\bar{v}}$. Therefore, in order to apply Theorem 1.2 , we choose $L$ or $v$ such that

$$
\begin{equation*}
\frac{L}{\bar{v}}<\min \left\{a_{2}-a_{1}, a_{b}-a_{1}\right\} . \tag{4.3}
\end{equation*}
$$

Thus the system (4.2) is null controllable in time $\tau>a_{\dagger}+a_{1}-a_{2}+\frac{2 L}{\bar{v}}$.

### 4.3 Population dynamics models with spatial diffusion.

Let $\Omega$ be a smooth bounded domain in $\mathbb{R}^{3}$. Let us set $X=L^{2}(\Omega)$. We consider the Lotka McKendrick system with spatial diffusion. For $(t, a, x) \in(0, \tau) \times\left(0, a_{\dagger}\right) \times \Omega$, let $p(t, a, x)$ be the distribution density of individuals with respect to age $a \geqslant 0$ and spatial position $x \in \Omega$ at some time $t \geqslant 0$. The control problem we consider is :

$$
\begin{cases}\frac{\partial p}{\partial t}+\frac{\partial p}{\partial a}-\Delta p+\mu(a) p=d_{1} \mathbb{1}_{\left(a_{1}, a_{2}\right)} \mathbb{1}_{\mathcal{O}} u_{1}, & (t, a, x) \in(0, \tau) \times\left(0, a_{\dagger}\right) \times \Omega  \tag{4.4}\\ \frac{\partial p}{\partial n}=d_{2} \mathbb{1}_{\left(a_{1}, a_{2}\right)} \mathbb{1}_{\Gamma} u_{2}, & (t, a, x) \in(0, \tau) \times\left(0, a_{\dagger}\right) \times \partial \Omega \\ p(t, 0, x)=\int_{0}^{a_{\dagger}} \beta(a) p(t, a, x) \mathrm{d} a, & (t, x) \in(0, \tau) \times \Omega \\ p(0, a, x)=p_{0}(a, x) & (a, x) \in\left(0, a_{\dagger}\right) \times \Omega\end{cases}
$$

where $\mathcal{O} \subset \Omega$ and $\Gamma \subset \partial \Omega$.

### 4.3.1 Interior control

We consider the case $d_{2}=0$. In this case, we have

$$
\begin{equation*}
A=\Delta, \quad \mathcal{D}(A)=\left\{\varphi \in H^{2}(\Omega) \left\lvert\, \frac{\partial \varphi}{\partial n}=0\right.\right\} \tag{4.5}
\end{equation*}
$$

and

$$
\begin{equation*}
B=\mathbb{1}_{\mathcal{O}} . \tag{4.6}
\end{equation*}
$$

It is well known that the pair $(A, B)$ is null controllable in arbitrary time, where $A$ and $B$ are defined as in (4.5) and (4.6) respectively (see for instance Fursikov and Imanuvilov (1996)). Therefore by Theorem 1.2 the system (4.4) is null controllable in time $\tau>a_{1}+a_{\dagger}-a_{2}$ by interior controls $u_{1} \in L^{2}\left((0, \tau) \times\left(0, a_{\dagger}\right) \times \Omega\right)$. This result was already obtained in Maity et al. (2019).

### 4.3.2 Boundary control with respect to the spatial variable

We consider the case $d_{1}=0$. In this case

$$
B^{*} w=\mathbb{1}_{\Gamma} w, \quad w \in \mathcal{D}(A)
$$

It is well known that $\left(A^{*}, B^{*}\right)$ is final state observable for any time Seidman (1976). Thus applying Theorem 1.2 , with $\tau_{0}=0$ we get that the system (4.4) is null controllable in time $\tau>a_{1}+a_{\dagger}-a_{2}$ by controls $u_{2} \in L^{2}\left((0, \tau) \times\left(0, a_{\dagger}\right) \times \Gamma\right)$.

### 4.4 Population dynamics models with degenerate diffusion:

Let $\Omega=(0,1)$ and $\mathcal{O}=\left(\ell_{1}, \ell_{2}\right) \subset \Omega$. We consider the following age structured model with degenerate diffusion :

$$
\begin{cases}\frac{\partial p}{\partial t}+\frac{\partial p}{\partial a}-k(x) \frac{\partial^{2} p}{\partial x^{2}}+\mu(a) p=\mathbb{1}_{\left(a_{1}, a_{2}\right)} \mathbb{1}_{\mathcal{O}} u, & (t, a, x) \in(0, \tau) \times\left(0, a_{\dagger}\right) \times \Omega  \tag{4.7}\\ p(t, a, 0)=p(t, a, 1)=0, & (t, a) \in(0, \tau) \times\left(0, a_{\dagger}\right), \\ p(t, 0, x)=\int_{0}^{a_{\dagger}} \beta(a) p(t, a, x) \mathrm{d} a, & (t, x) \in(0, \tau) \times \Omega, \\ p(0, a, x)=p_{0}(a, x) & (a, x) \in\left(0, a_{\dagger}\right) \times \Omega,\end{cases}
$$

where $k$ is a non-negative continuous function in $[0,1]$ and degenerate at the boundary, i.e.

$$
\begin{equation*}
k(0)=k(1)=0 \tag{4.8}
\end{equation*}
$$

Let us set the state and the control space as follows:

$$
\begin{equation*}
X=L_{1 / k}^{2}(0,1)=\left\{\varphi \in L^{2}(0,1) \left\lvert\, \int_{0}^{1} \frac{\varphi^{2}}{k} \mathrm{~d} x<\infty\right.\right\} \text { and } U=L^{2}(0,1) \tag{4.9}
\end{equation*}
$$

We consider the unbounded operator $A$ on $X$ defined by

$$
\mathcal{D}(A)=\left\{\varphi \in L_{1 / k}^{2}(0,1) \cap H_{0}^{1}(0,1) \mid k \partial_{x x} \varphi \in L_{1 / k}^{2}(0,1)\right\} \text { and } A \varphi=k \partial_{x x} \varphi
$$

The operator $B$ is defined by $B=\mathbb{1}_{\mathcal{O}}$. By (Cannarsa et al., 2008, Theorem 2.3), the operator $A$ generates a $C^{0}$-semigroup on $X$. We now make several assumptions on the degenerate coefficient $k$ so that the pair $(A, B)$ is null controllable. Following, Cannarsa, Fragnelli and Rocchetti Cannarsa et al. (2008), we make the following assumptions on $k$ : The function $k \in C^{0}[0,1] \cap C^{3}(0,1)$ is such that, it satisfies (4.8) and $k>0$ in ( 0,1 ). Moreover, there exists $\varepsilon \in(0,1)$ such that

1) The function $\frac{x \partial_{x} k}{k} \in L^{\infty}(0, \varepsilon)$ and there exists $M_{1} \in(0,2)$ and $C_{1}>0$ such that $\frac{x \partial_{x} k}{k} \leqslant M_{1}$ and $\left|\partial_{x x}\left(\frac{x \partial_{x} k}{k}\right)\right| \leqslant C_{1} \frac{1}{k(x)}$ for all $x \in(0, \varepsilon)$;
2) The function $\frac{(x-1) \partial_{x} k}{k} \in L^{\infty}(1-\varepsilon, 1)$ and there exists $M_{2} \in(0,2)$ and $C_{2}>0$ such that $\frac{(x-1) \partial_{x} k}{k} \leqslant$ $M_{2}$ and $\left|\partial_{x x}\left(\frac{(x-1) \partial_{x} k}{k}\right)\right| \leqslant C_{2} \frac{1}{k(x)}$ for all $x \in(1-\varepsilon, 1)$.
Under the above assumptions, by (Cannarsa et al., 2008, Theorem 4.5) the pair $(A, B)$ is null controllable in any time. Therefore by Theorem 1.2, the system (4.7) is null controllable in time $\tau>a_{\dagger}+a_{1}-a_{2}$.

Remark 4.1. Let us make the following remarks:

- Recently, similar controllability result for the system (4.7) was proved in Fragnelli (2018). Our result can be seen as a improvement of the above mentioned result, as we are able to tackle the case of a control which is active for small ages and we show that our global controllability result applies to individuals of all ages, without needing to exclude ages in a neighbourhood of zero.
- Our method also applies to the case when the spatial variable is multidimensional. Of course, we need to make suitable assumptions on degeneracy. For instance, we can consider the case studied by Cannarsa, Martinez and Vancostenoble Cannarsa et al. (2009, 2016). More precisely, let $\Omega$ be a smooth bounded domain in $\mathbb{R}^{2}$. The operator $A$ is defined by

$$
A \varphi=\operatorname{div}(M(x) \nabla \varphi),
$$

with appropriate boundary conditions. The control operator $B$ is defined by $B=\mathbb{1}_{\mathcal{O}}$, where $\mathcal{O} \subset \Omega$. Under suitable assumptions on the degenerate matrix $M(x)$, the pair $(A, B)$ is null controllable in arbitrary time (see for instance (Cannarsa et al., 2009, Theorem 2.2)). Thus the corresponding age structured model is also null controllable in time $\tau>a_{1}+a_{\dagger}-a_{2}$.

### 4.5 Fractional diffusion equation with age structure:

Let $X=L^{2}(\Omega)$ and let $A:=\left(-\Delta_{D}\right)^{\alpha}$ or $A:=\left(-\Delta_{N}\right)^{\alpha}$, where $-\Delta_{D}$ and $-\Delta_{N}$ are the Dirichlet and the Neumann Laplacian in $\Omega$ and $\alpha>1 / 2$. Let $B$ be defined by (4.6). Then ( $A, B$ ) is null controllable in any time (see for instance Micu and Zuazua (2006); Miller (2006); Tenenbaum and Tucsnak (2011)). Therefore the conclusion of Theorem 1.2 also holds with the above choice of $(A, B)$.

### 4.6 Schrödinger equation with age structure:

Let $\Omega$ be a square in $\mathbb{R}^{2}$ and we consider the Schrödinger operator as diffusion operator. More precisely, we take $X=L^{2}(\Omega)$

$$
A=-i \Delta, \quad D(A)=H^{2}(\Omega) \cap H_{0}^{1}(\Omega)
$$

Let $B$ is defined by (4.6). Then the pair $(A, B)$ is null controllable in any time (see Jaffard (1988)). Thus the conclusion of Theorem 1.2 holds with $\tau_{0}=0$.

Alternatively, we can take $\Omega$ be a unit disc in $\mathbb{R}^{2}$ and $\mathcal{O} \subset \bar{\Omega}$ be an open set such that $\mathcal{O} \cap \partial \Omega \neq \emptyset$. The operators $A$ and $B$ are defined as above. The pair $(A, B)$ is null controllable in any time, which was proved in (Anantharaman et al., 2016, Theorem 1.2). Therefore Theorem 1.2 also holds in this setup.

## 5 Controllability with regular controls

In Theorem 1.2, we have shown that the age structured system (1.6) is null controllable by controls $u \in$ $L^{2}\left((0, \tau) \times\left(0, a_{\dagger}\right) ; U\right)$. However, in many practical applications, we may need to choose controls in more regular spaces. For instance, while proving positivity of the controlled trajectory of the system (4.4) one need to choose control $u_{1} \in L^{\infty}\left((0, \tau) \times\left(0, a_{\dagger}\right) \times \Omega\right)$ (see (Maity et al., 2019, Theorem 4.6)). The aim of this section is to show that null controllability by "smooth" controls of the pair $(A, B)$ is also inherited by the pair $(\mathcal{A}, \mathcal{B})$.

To this aim, let us fix $s \in \mathbb{N} \cup\{0\}$ and a Hilbert space $V$ so that $V \hookrightarrow U$. Following, Pighin and Zuazua Pighin and Zuazua (2018) we introduce the notion of smooth controllability.

Definition 5.1. We say that a pair $(A, B)$ is smoothly null controllable in time $\tau$, if for every $z_{0} \in \mathcal{D}\left(A^{s}\right)$ there exists a control $u \in L^{\infty}(0, \tau, V)$ such that, the solution of the system

$$
\dot{z}(t)=A z(t)+B u(t) \quad t \in[0, \tau], \quad z(0)=z_{0}
$$

satisfies $z(\tau)=0$.
The smooth controllability property of the system (1.6) can be stated as follows:

Theorem 5.2. Let us assume the hypothesis of Theorem 1.2. Let us also assume that the pair $(A, B)$ is smoothly null controllable in any time $\tau>\tau_{0}$, with

$$
\begin{equation*}
0 \leqslant \tau_{0}<\bar{\tau}, \quad \bar{\tau}=\min \left\{a_{2}-a_{1}, a_{b}-a_{1}\right\} \tag{5.1}
\end{equation*}
$$

Then for every $\tau>a_{1}+a_{\dagger}-a_{2}+2 \tau_{0}$ and for every $p_{0} \in L^{\infty}\left(0, a_{\dagger} ; \mathcal{D}\left(A^{s}\right)\right)$ there exists a control $v \in L^{\infty}((0, \tau) \times$ $\left.\left(0, a_{\dagger}\right) \times V\right)$ such that the solution $p$ of (1.6) satisfies

$$
\begin{equation*}
p(\tau, a)=0 \text { for all } a \in\left(0, a_{\dagger}\right) \tag{5.2}
\end{equation*}
$$

The proof the above theorem is a consequence of a suitable observability inequality. Let us briefly describe the main steps. The main idea is the same, i.e, to use observability property of the pair $(A, B)$ along the characteristics. The smooth controllability in time $\tau$ of the pair $(A, B)$ is equivalent to the following final state observability inequality (see for instance (Pighin and Zuazua, 2018, Section 2)): there exists a constant $k_{\tau}>0$ such that for any $z_{0} \in \mathcal{D}\left(A^{*}\right)$

$$
\begin{equation*}
\left\|\mathbb{S}_{\tau}^{*} z_{0}\right\|_{\mathcal{D}\left(A^{s}\right)^{*}} \leqslant k_{\tau} \int_{0}^{\tau}\left\|i^{*} B^{*} \mathbb{S}_{t}^{*} z_{0}\right\|_{V^{*}} d t \tag{5.3}
\end{equation*}
$$

where $\mathcal{D}\left(A^{s}\right)^{*}$ and $V^{*}$ are the dual of $\mathcal{D}\left(A^{s}\right)^{*}$ and $V$ respectively, with respect to the pivot spaces $X$ and $U$ and $i: V \rightarrow U$ is the inclusion map. Applying the above observability property of the pair $(A, B)$ along the characteristics one can prove that: for every $\tau>a_{1}+a_{\dagger}-a_{2}+2 \tau_{0}$ and $q_{0} \in \mathcal{D}\left(\mathcal{A}^{*}\right)$, the solution $q$ of (3.1) satisfies

$$
\begin{equation*}
\int_{0}^{a_{\dagger}}\|q(\tau, a)\|_{\mathcal{D}\left(A^{s}\right)^{*}} \mathrm{~d} a \leqslant \kappa_{\tau}^{2} \int_{0}^{\tau} \int_{a_{1}}^{a_{2}}\left\|i^{*} B^{*} q(t, a)\right\|_{V^{*}} \mathrm{~d} a \mathrm{~d} t \tag{5.4}
\end{equation*}
$$

Next, using a classical duality argument (see for instance (Maity et al., 2019, Theorem 4.6) or (Micu et al., 2012, Proposition 2.5)) we can easily prove Theorem 5.2.

## References

Ainseba, B.
2002. Exact and approximate controllability of the age and space population dynamics structured model. $J$. Math. Anal. Appl., 275(2):562-574.

Ainseba, B. and S. Aniţa
2001. Local exact controllability of the age-dependent population dynamics with diffusion. Abstr. Appl. Anal., $6(6): 357-368$.

Ainseba, B. and S. Aniţa
2004. Internal exact controllability of the linear population dynamics with diffusion. Electron. J. Differential Equations, Pp. No. 112, 11.
Ainseba, B., Y. Echarroudi, L. Maniar, et al.
2013. Null controllability of a population dynamics with degenerate diffusion. Differential and integral equations, 26(11/12):1397-1410.

Anantharaman, N., M. Léautaud, and F. Macià
2016. Wigner measures and observability for the schrödinger equation on the disk. Inventiones mathematicae, 206(2):485-599.
Barbu, V., M. Iannelli, and M. Martcheva
2001. On the controllability of the Lotka-McKendrick model of population dynamics. J. Math. Anal. Appl., 253(1):142-165.

Boutaayamou, I. and Y. Echarroudi
2017. Null controllability of a population dynamics with interior degeneracy. arXiv preprint arXiv:1704.00936.

Brikci, F. B., J. Clairambault, B. Ribba, and B. Perthame
2008. An age-and-cyclin-structured cell population model for healthy and tumoral tissues. Journal of mathematical biology, 57(1):91-110.

Cannarsa, P., G. Fragnelli, and D. Rocchetti
2008. Controllability results for a class of one-dimensional degenerate parabolic problems in nondivergence form. J. Evol. Equ., 8(4):583-616.

Cannarsa, P., P. Martinez, and J. Vancostenoble
2009. Carleman estimates and null controllability for boundary-degenerate parabolic operators. Comptes Rendus Mathematique, 347:147-152.

Cannarsa, P., P. Martinez, and J. Vancostenoble
2016. Global Carleman estimates for degenerate parabolic operators with applications, volume 239. American Mathematical Society.

Curtain, R. F. and G. Weiss
1989. Well posedness of triples of operators (in the sense of linear systems theory). In Control and estimation of distributed parameter systems (Vorau, 1988), volume 91 of Internat. Ser. Numer. Math., Pp. 41-59. Birkhäuser, Basel.

Fragnelli, G.
2018. Carleman estimates and null controllability for a degenerate population model. Journal de Mathématiques Pures et Appliquées, 115:74-126.

Fursikov, A. V. and O. Y. Imanuvilov
1996. Controllability of evolution equations, volume 34 of Lecture Notes Series. Seoul National University, Research Institute of Mathematics, Global Analysis Research Center, Seoul.

Gurtin, M. E.
1973. A system of equations for age-dependent population diffusion. Journal of Theoretical Biology, 40(2):389392.

Hegoburu, N. and S. Aniţa
2019. Null controllability via comparison results for nonlinear age-structured population dynamics. Math. Control Signals Systems, 31(1):Art. 2, 38.

Hegoburu, N., P. Magal, and M. Tucsnak
2018. Controllability with positivity constraints of the lotka-mckendrick system. SIAM Journal on Control and Optimization, 56(2):723-750.

Hegoburu, N. and M. Tucsnak
2018. Null controllability of the Lotka-McKendrick system with spatial diffusion. Math. Control Relat. Fields, 8(3-4):707-720.

Jaffard, S.
1988. Contrôle interne exact des vibrations d'une plaque carrée. C. R. Acad. Sci. Paris Sér. I Math., 307(14):759-762.

Magal, P. and S. Ruan
2018. Theory and applications of abstract semilinear cauchy problems. Applied Mathematical Sciences, 201:978-3.

Maity, D.
2018. On the Null Controllability of the Lotka-Mckendrick System. working paper or preprint.

Maity, D., M. Tucsnak, and E. Zuazua
2019. Controllability and positivity constraints in population dynamics with age structuring and diffusion. Journal de Mathmatiques Pures et Appliques, 129:153-179.

Micu, S., I. Roventa, and M. Tucsnak
2012. Time optimal boundary controls for the heat equation. Journal of Functional Analysis, 263(1):25-49.

Micu, S. and E. Zuazua
2006. On the controllability of a fractional order parabolic equation. SIAM J. Control Optim., 44(6):19501972.

Miller, L.
2006. On the controllability of anomalous diffusions generated by the fractional Laplacian. Math. Control Signals Systems, 18(3):260-271.

Pighin, D. and E. Zuazua
2018. Controllability under positivity constraints of multi-d wave equations. arXiv preprint arXiv:1804.02151.

Seidman, T. I.
1976. Observation and prediction for the heat equation. III. J. Differential Equations, 20(1):18-27.

Tenenbaum, G. and M. Tucsnak
2011. On the null-controllability of diffusion equations. ESAIM Control Optim. Calc. Var., 17(4):1088-1100.

Tucsnak, M. and G. Weiss
2009. Observation and control for operator semigroups, Birkhäuser Advanced Texts: Basler Lehrbücher. [Birkhäuser Advanced Texts: Basel Textbooks]. Birkhäuser Verlag, Basel.

Walker, C.
2013. Some remarks on the asymptotic behavior of the semigroup associated with age-structured diffusive populations. Monatsh. Math., 170(3-4):481-501.

Webb, G. F.
1985. Theory of nonlinear age-dependent population dynamics, volume 89 of Monographs and Textbooks in Pure and Applied Mathematics. Marcel Dekker, Inc., New York.

Webb, G. F.
2008. Population models structured by age, size, and spatial position. In Structured population models in biology and epidemiology, volume 1936 of Lecture Notes in Math., Pp. 1-49. Springer, Berlin.


[^0]:    *Marius Tucsnak acknowledges the support of the Agence Nationale de la Recherche - Deutsche Forschungsgemeinschaft (ANR - DFG), project INFIDHEM, ID ANR-16-CE92-0028.
    ${ }^{\dagger}$ Debayan Maity and Enrique Zuazua are partially funded by the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation program (grant agreement No. 694126-DyCon), grant MTM2017-92996 of MINECO (Spain), the Marie Curie Training Network "ConFlex", the ELKARTEK project KK - 2018/00083 ROAD2DC of the Basque Government, ICON of the French ANR and "Nonlocal PDEs: Analysis, Control and Beyond", AFOSR Grant FA9550-18-1-0242.

