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Controllability of a Class of Infinite Dimensional Systems with Age Structure.

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Dedicated to Günter Leugering for his 65th birthday with friendship, gratitude and admiration.

Abstract

Given a linear dynamical system, we investigate the linear infinite dimensional system obtained by grafting an age structure. Such systems appear essentially in population dynamics with age structure when phenomena like spatial diffusion or transport are also taken into consideration. We first show that the new system preserves some of the wellposedness properties of the initial one. Our main result asserts that if the initial system is null controllable in a time small enough than the structured system is also null controllable in a time depending on the various involved parameters.

Key words. Infinite dimensional linear system, age structure, admissible control operator, null controllability, population dynamics.

AMS subject classifications. 93B03, 93B05, 92D25

1 Introduction

Infinite dimensional dynamical systems coupling age structuring with diffusion or transport phenomena appear naturally in population dynamics, medicine or epidemiology (see, for instance, [Brikci et al. \(2008\)](#), [Webb \(1985, 2008\)](#), [Magal and Ruan \(2018\)](#)). A by now classical example is the Lotka-Mckendrick system with spatial diffusion ([Gurtin \(1973\)](#)). For the convenience of the reader, we describe below the type of systems to be considered using a simplified example. To this aim, let X (the state space) and U (the input space) be finite dimensional inner product spaces. Our departure point is the linear time invariant control system described by

$$\dot{p}(t) = Ap(t) + Bu(t), \tag{1.1}$$

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where $A : X \rightarrow X$ and $B : U \rightarrow X$ are linear operators. The system (1.1) is supposed to describe the evolution of a certain population density (particles, individuals, . . .) and it is possibly obtained by approximating a partial differential system. Adding an age structure to the system described by (1.1) means that we assume that p depends not only on t , but also on the age parameter a which lies in some bounded interval $[0, a_+]$. Moreover, we assume that individuals can die (with a certain probability) before the limit age a_+ or be born at a certain fertility rate. In this situation, the original system (1.1) becomes

$$\dot{p}(t, a) + \frac{\partial p}{\partial a}(t, a) = Ap(t, a) - \mu(a)p(t, a) + \chi(a)Bu(t, a), \quad (1.2)$$

$$p(t, 0) = \int_0^{a_+} \beta(a)p(t, a) da, \quad (1.3)$$

where μ and β are the mortality and fertility rates, respectively and χ is the characteristic function of some subinterval of $[0, a_+]$.

For $X = U = \mathbb{C}$, $A = 0$ and $B = 1$ in the original system (1.1), the corresponding age structure system (1.2) becomes the classical Lotka-McKendrick system which has been first studied, from the controllability view point, in Barbu et al. (2001). This problem was recently revisited by Hegoburu et al. (2018), Maity (2018) and by Hegoburu and Anița (2019). One of the consequences of our main results improves the above mentioned ones, in the sense that for every $n, m \in \mathbb{N}$, $X = \mathbb{C}^n$, $U = \mathbb{C}^m$, such that the original system (1.1) is controllable then, under appropriate assumptions on μ , β and χ , the same property holds for the corresponding age structured system (1.2) (see Subsection 4.1 below).

The main focus in this work is on the more complicated situation where X and U are possibly infinite dimensional spaces, with the operators A and B possibly unbounded. We think, in particular, to the case when $X = L^2(\Omega)$, where $\Omega \subset \mathbb{R}^n$ is an open bounded set, A is an advection-diffusion operator and B describes a boundary or internal control. From the controllability view point, particular cases of such systems have been studied in several papers. The first ones are probably Ainseba and Anița (2001, 2004) (see also Ainseba (2002), Hegoburu and Tucsnak (2018) and Maity et al. (2019)).

The main results in this article assert that in the infinite dimensional case (namely when (1.1) is a PDE system with distributed or boundary control), the wellposedness and null controllability of the system described by (1.1) are inherited by the corresponding age structured system (1.2). One of the advantages of this approach is that it allows obtaining in a unified manner a variety of results existing in the literature, such as those corresponding to an operator A describing diffusion (possibly with singular coefficients) or transport phenomena, with an operator B corresponding to a distributed control. Moreover, we obtain controllability results, which seem new, in the case of an unbounded control operator B is (corresponding to boundary control problems).

To give a precise description of our results, we introduce some notation. Let $A : \mathcal{D}(A) \rightarrow X$ be the generator of the C^0 semigroup \mathbb{S} on the Hilbert space X and let U be another Hilbert space. Both X and U will be identified with their duals. Let B be a (possibly unbounded) linear operator from U to X , which is supposed admissible control operator for \mathbb{S} (see Section 2 for the precise definition of this concept). In the examples we have in mind, the above spaces and operators describe the dynamics of a system without age structure. In particular, X is the state space and U is the control space. The corresponding age structured system is obtained by first extending these spaces to

$$\mathcal{X} = L^2(0, a_+; X), \quad (1.4)$$

$$\mathcal{U} = L^2(0, a_+; U), \quad (1.5)$$

where $a_+ > 0$ denotes the maximal age individuals can attain. Let $p(t) \in \mathcal{X}$ be the distribution density of the individuals with respect to age $a \geq 0$ and at some time $t \geq 0$. Then the abstract version of the Lotka-McKendrick system to be considered in this paper writes:

$$\begin{cases} \frac{\partial p}{\partial t} + \frac{\partial p}{\partial a} - Ap + \mu(a)p = \mathbb{1}_{(a_1, a_2)}Bu, & t \geq 0, a \in (0, a_+), \\ p(t, 0) = \int_0^{a_+} \beta(s)p(t, s) ds, & t \geq 0, \\ p(0, a) = p_0, \end{cases} \quad (1.6)$$

where $\mathbb{1}$ is the characteristic function of the interval (a_1, a_2) with $0 \leq a_1 < a_2 \leq a_{\dagger}$ and p_0 is the initial population density. In the above system, the positive function $\mu : [0, a_{\dagger}] \rightarrow \mathbb{R}_+$ denotes the natural mortality rate of individuals of age a . We denote by $\beta : [0, a_{\dagger}] \rightarrow \mathbb{R}_+$ the positive function describing the fertility rate at age a . We assume that the fertility rate β and the mortality rate μ satisfy the conditions

$$(H1) \quad \beta \in L^\infty(0, a_{\dagger}), \quad \beta \geq 0 \text{ for almost every } a \in (0, a_{\dagger}).$$

$$(H2) \quad \mu \in L^\infty[0, a^*] \text{ for every } a^* \in (0, a_{\dagger}), \quad \mu \geq 0 \text{ for almost every } a \in (0, a_{\dagger}).$$

$$(H3) \quad \int_0^{a_{\dagger}} \mu(a) \, da = +\infty.$$

For more details about the modelling of such system and the biological significance of the hypotheses, we refer to [Webb \(1985\)](#).

Before we state our main result, let us introduce the notion of null controllability of the pair (A, B) .

Definition 1.1. *We say that a pair (A, B) is null-controllable in time τ , if for every $z_0 \in X$ there exists a control $u \in L^2(0, \tau, U)$ such that, the solution of the system*

$$\dot{z}(t) = Az(t) + Bu(t) \quad t \in [0, \tau], \quad z(0) = z_0,$$

satisfies $z(\tau) = 0$.

The main result of this paper is:

Theorem 1.2. *Assume that β and μ satisfy the conditions (H1)-(H3) above. Moreover, suppose that the fertility rate β is such that*

$$\beta(a) = 0 \text{ for all } a \in (0, a_b), \tag{1.7}$$

for some $a_b \in (0, a_{\dagger})$ and that $a_1 < a_b$. Let us assume that the pair (A, B) is null controllable in any time $\tau > \tau_0$, with

$$0 \leq \tau_0 < \bar{\tau}, \quad \bar{\tau} = \min\{a_2 - a_1, a_b - a_1\}. \tag{1.8}$$

Then for every $\tau > a_1 + a_{\dagger} - a_2 + 2\tau_0$ and for every $p_0 \in \mathcal{X}$ there exists a control $v \in L^2(0, \tau; \mathcal{U})$ such that the solution p of (1.6) satisfies

$$p(\tau, a) = 0 \text{ for all } a \in (0, a_{\dagger}). \tag{1.9}$$

This result can be seen as a generalization of those obtained in [Ainseba and Anița \(2001, 2004\)](#); [Ainseba \(2002\)](#); [Hegoburu and Tucsnak \(2018\)](#); [Maity et al. \(2019\)](#) in the case when A is an elliptic operator with Neumann or Dirichlet homogeneous boundary conditions or in [Ainseba et al. \(2013\)](#), [Boutaayamou and Echarroudi \(2017\)](#) or [Fagnelli \(2018\)](#) when A is a degenerate elliptic operator. As shown in Section 4 our approach applies, besides the above mentioned examples, to operators A such that the systems without age structure describes fractional diffusion, transport phenomena or even Schrödinger type dynamics, with internal or boundary control.

The proof of the above theorem relies on final state observability of it's adjoint system. This consists of combining characteristics method with final state observability of the pair (A^*, B^*) , with no reference to the methodology employed to prove this observability result for the system without age structure. This idea was already used in [Maity et al. \(2019\)](#) where A was second order elliptic differential operator and B was interior control operator.

The remaining part of this work is organized as follows: In section 2, we study the wellposedness of the system (1.6) and we determine it's adjoint. Section 3 is devoted to the proof of Theorem 1. In section 4, we give several applications of our main theorem. In Section 5 we study controllability of the system (1.6) with regular controls.

2 Wellposedness of the system (1.6)

In this section, we rewrite the (1.6) as an abstract control system. Next, we study the wellposedness of this system and we determine the adjoint of the corresponding semigroup generator.

Let us remind that if A generates a C^0 -semigroup \mathbb{S} on X then there exist $M \geq 1$ and ω such that

$$\|\mathbb{S}_t\| \leq M e^{\omega t}, \text{ for all } t \geq 0. \quad (2.1)$$

We denote by A^* the adjoint of A . Then A^* generates a C^0 -semigroup $\mathbb{S}^* = (\mathbb{S}_t^*)_{t \geq 0}$ on X . Moreover,

$$\|\mathbb{S}_t^*\| \leq M e^{\omega t}, \text{ for all } t \geq 0. \quad (2.2)$$

We define $X_1^d = \mathcal{D}(A^*)$ equipped with the graph norm. Let X_{-1} be the dual of X_1^d with respect to the pivot space X . In particular,

$$X_1^d \subset X \subset X_{-1},$$

with continuous and dense embeddings. It is known (see, for instance, (Tucsnaak and Weiss, 2009, Section 2.10)) that \mathbb{S} extends to a C^0 semigroup on X_{-1} , whose generator, which is an extension of A , has the domain X .

Let $B \in \mathcal{L}(U, X_{-1})$ and $\tau > 0$. We define $\Phi_\tau^A \in \mathcal{L}(L^2(0, \infty; U), X_{-1})$ by

$$\Phi_\tau^A u = \int_0^\tau \mathbb{S}_{\tau-s} B u(s) \, ds. \quad (2.3)$$

We introduce *admissible control operators*:

Definition 2.1. (Tucsnaak and Weiss, 2009, Definition 4.2.1) *The operator $B \in \mathcal{L}(U, X_{-1})$ is called an admissible control operator for \mathbb{S} if for some $\tau > 0$, $\text{Ran } \Phi_\tau^A \subset X$.*

The above admissibility condition can also be reformulated in terms of the adjoint of the operators (see (Tucsnaak and Weiss, 2009, Proposition 4.4.1)). The operator $B \in \mathcal{L}(U, X_{-1})$ is an admissible control operator for \mathbb{S} , if and only if, for all $\tau > 0$, there exists a constant $C_\tau > 0$ such that

$$\int_0^\tau \|B^* \mathbb{S}_t^* z\|_U^2 \, dt \leq C_\tau \|z\|_X^2, \quad \forall z \in \mathcal{D}(A^*). \quad (2.4)$$

Reminding that the input space \mathcal{X} and the control space \mathcal{U} for the corresponding age structured system are defined in (1.4) and (1.5), respectively, we introduce the operator $\mathcal{A} : \mathcal{D}(\mathcal{A}) \rightarrow \mathcal{X}$ defined by

$$\begin{aligned} \mathcal{D}(\mathcal{A}) &= \left\{ \varphi \in C([0, a_\dagger]; X) \mid \varphi(0) = \int_0^{a_\dagger} \beta(a) \varphi(a) \, da, -\frac{\partial \varphi}{\partial a} + A\varphi - \mu\varphi \in \mathcal{X} \right\}, \\ \mathcal{A}\varphi &= -\frac{\partial \varphi}{\partial a} + A\varphi - \mu\varphi. \end{aligned} \quad (2.5)$$

Let us set

$$\mathcal{X}_{-1} = L^2(0, a_\dagger; X_{-1}) \quad (2.6)$$

and we introduce the control operator $\mathcal{B} \in \mathcal{L}(\mathcal{U}, \mathcal{X}_{-1})$ defined by

$$\mathcal{B}u = \mathbb{1}_{(a_1, a_2)} B u \quad (u \in \mathcal{U}). \quad (2.7)$$

With the above notation, we rewrite the system (1.6) as

$$\dot{p} = \mathcal{A}p + \mathcal{B}u, \quad p(0) = p_0. \quad (2.8)$$

We now show that \mathcal{A} generates a C^0 -semigroup on \mathcal{X} under the assumption that A generates a C^0 semigroup on X . More precisely:

Theorem 2.2. *Assume A generates a C^0 semigroup on X . Then \mathcal{A} defined in (2.5) generates a C^0 semigroup on \mathcal{X} .*

The proof of this theorem is divided into several parts. We are going to follow the approach of Webb (2008); Walker (2013). Integrating along the characteristic lines, the solution of (2.8) with $u = 0$, at least formally, can be written as

$$p(t, a) = \begin{cases} \frac{\pi(a)}{\pi(a-t)} \mathbb{S}_t p_0(a-t), & t < a, \\ \pi(a) \mathbb{S}_a b_{p_0}(t-a) & t \geq a, \end{cases} \quad (2.9)$$

where $\pi(a) = e^{-\int_0^a \mu(s) ds}$ is the probability of survival of an individual from age 0 to a and $b_\varphi(t)$ is the unique continuous solution of the following linear Volterra integral equation in X :

$$b_\varphi(t) = \int_0^t \beta(a) \pi(a) \mathbb{S}_a b_\varphi(t-a) + \mathbb{S}_t \int_0^{a_\dagger-t} \beta(a+t) \frac{\pi(a+t)}{\pi(a)} \varphi(a) da, \quad (2.10)$$

where the last integral is 0 if $t \geq a_\dagger$. This motivates us to define a semigroup \mathbb{T} on \mathcal{X} as follows:

$$\mathbb{T}_t \varphi = \begin{cases} \frac{\pi(a)}{\pi(a-t)} \mathbb{S}_t \varphi(a-t), & t < a, \\ \pi(a) \mathbb{S}_a b_\varphi(t-a) & t \geq a. \end{cases} \quad (2.11)$$

Note that

$$b_\varphi(t) = \int_0^{a_\dagger} \beta(a) \mathbb{T}_t \varphi(a) da. \quad (2.12)$$

The following result can be obtained along the lines of (Webb, 2008, Theorem 4) (see also (Walker, 2013, Theorem 2.2)) :

Proposition 2.3. *The family of operators \mathbb{T} defined in (2.22) is a C^0 -semigroup on \mathcal{X} .*

Let \mathbb{A} denote the generator of the semigroup \mathbb{T} . Therefore to prove Theorem 2.2 we only need to show $\mathbb{A} = \mathcal{A}$, where \mathcal{A} is defined in (2.5). To this aim, we first prove the following result :

Lemma 2.4. *Let \mathcal{A} be the unbounded operator defined in (2.5). Then $\lambda I - \mathcal{A}$ is onto for λ large enough.*

Proof. Given $\lambda > 0$, $f \in \mathcal{X}$ and $\psi \in X$, we consider the following problem

$$\lambda \varphi + \frac{\partial \varphi}{\partial a} - A\varphi + \mu\varphi = f, \quad \varphi(0) = \psi. \quad (2.13)$$

Since A generates a C^0 -semigroup on X , the above problem admits a unique solution $\varphi \in C([0, a_\dagger]; X)$ and given by

$$\varphi(a) = e^{-\lambda a} \pi(a) \mathbb{S}_a \psi + \int_0^a e^{-\lambda(a-s)} \pi(a-s) \mathbb{S}_{a-s} f(s) ds. \quad (2.14)$$

From the above formula, we obtain

$$\begin{aligned} \varphi(0) &= \int_0^{a_\dagger} \beta(a) \varphi(a) da \\ &= \psi - \int_0^{a_\dagger} e^{-\lambda a} \pi(a) \beta(a) \mathbb{S}_a \psi da - \int_0^{a_\dagger} \beta(a) \int_0^a e^{-\lambda(a-s)} \pi(a) \mathbb{S}_{a-s} f(s) ds da. \end{aligned} \quad (2.15)$$

Now consider the operator $\mathcal{F}(\lambda) \in \mathcal{L}(X)$ defined by

$$\mathcal{F}(\lambda)\psi = \int_0^{a^\dagger} e^{-\lambda a} \pi(a) \beta(a) \mathbb{S}_a \psi \, da. \quad (2.16)$$

Using (2.1), we have

$$\|\mathcal{F}(\lambda)\psi\|_X \leq M \|\beta\|_{L^\infty(0, a^\dagger)} \frac{1}{\lambda - \omega} \|\psi\|_X.$$

Thus $\lim_{\lambda \rightarrow \infty} \|\mathcal{F}(\lambda)\|_{\mathcal{L}(X)} = 0$, and we clearly have that $I - \mathcal{F}(\lambda)$ is invertible for large λ . Let us take

$$\psi = (I - \mathcal{F}(\lambda))^{-1} \int_0^{a^\dagger} \beta(a) \int_0^a e^{-\lambda(a-s)} \pi(a-s) \mathbb{S}_{a-s} f(s) \, ds da.$$

Then using (2.15) it is easy to see that, φ defined by (2.14) with above choice of ψ satisfies the following system

$$\lambda \varphi + \frac{\partial \varphi}{\partial a} - A\varphi = f, \quad \varphi(0) = \int_0^{a^\dagger} \beta(a) \varphi(a) \, da.$$

Thus $\lambda I - \mathcal{A}$ is onto. Moreover, the unique solution of the above system is given by

$$\begin{aligned} \varphi(a) = e^{-\lambda a} \pi(a) \mathbb{S}_a (I - \mathcal{F}(\lambda))^{-1} & \left(\int_0^{a^\dagger} \beta(a) \int_0^a e^{-\lambda(a-s)} \pi(a-s) \mathbb{S}_{a-s} f(s) \, ds da \right) \\ & + \int_0^a e^{-\lambda(a-s)} \pi(a-s) \mathbb{S}_{a-s} f(s) \, ds. \end{aligned} \quad (2.17)$$

□

Now we show that the generator of the semigroup \mathbb{T} coincides with \mathcal{A} .

Proposition 2.5. *Let $\tilde{\mathcal{A}}$ be the generator of the semigroup \mathbb{T} and let \mathcal{A} be defined in (2.5). Then $\tilde{\mathcal{A}} = \mathcal{A}$.*

Proof. Let $\varphi \in \mathcal{D}(\mathbb{A})$. Let $\lambda > 0$ sufficiently large and we set $f := \lambda \varphi - \tilde{\mathcal{A}}\varphi$. Then using (2.22), we have

$$\begin{aligned} \varphi(a) &= \int_0^\infty e^{-\lambda t} \mathbb{T}_t f(a) \, dt = \int_0^a e^{-\lambda t} \frac{\pi(a)}{\pi(a-t)} \mathbb{S}_t f(a-t) \, dt + \int_a^\infty e^{-\lambda t} \pi(a) \mathbb{S}_a b_f(t-a) \, dt \\ &= \int_0^a e^{-\lambda(a-s)} \pi(a-s) \mathbb{S}_{a-s} f(s) \, ds + e^{-\lambda a} \pi(a) \mathbb{S}_a \int_0^\infty e^{-\lambda t} b_f(t) \, dt. \end{aligned} \quad (2.18)$$

Now using (2.12) and (2.22), we get

$$\begin{aligned} \int_0^\infty e^{-\lambda t} b_f(t) \, dt &= \int_0^{a^\dagger} \beta(a) \int_0^\infty e^{-\lambda t} \mathbb{T}_t f(a) \, dt da \\ &= \int_0^{a^\dagger} \beta(a) \int_0^a e^{-\lambda t} \frac{\pi(a)}{\pi(a-t)} \mathbb{S}_t f(a-t) \, dt da + \int_0^{a^\dagger} \beta(a) \int_a^\infty e^{-\lambda t} \pi(a) \mathbb{S}_a b_f(t-a) \, dt da \\ &= \int_0^{a^\dagger} \beta(a) \int_0^a e^{-\lambda(a-s)} \pi(a-s) \mathbb{S}_{a-s} f(s) \, ds da + \int_0^{a^\dagger} e^{-\lambda a} \beta(a) \pi(a) \mathbb{S}_a \int_0^\infty e^{-\lambda t} b_f(t) \, dt da. \end{aligned}$$

Therefore,

$$\int_0^\infty e^{-\lambda t} b_f(t) \, dt = (I - \mathcal{F}(\lambda))^{-1} \int_0^{a^\dagger} \beta(a) \int_0^a e^{-\lambda(a-s)} \pi(a-s) \mathbb{S}_{a-s} f(s) \, ds da,$$

where $\mathcal{F}(\lambda)$ is defined in (2.16). Using the above relation in (2.18) and comparing this expression with (2.17) it is easy to see that $\varphi \in \mathcal{D}(\mathcal{A})$. We have thus proved that $\mathcal{D}(\tilde{\mathcal{A}}) \subset \mathcal{D}(\mathcal{A})$ and

$$\tilde{\mathcal{A}}\varphi = -\frac{\partial \varphi}{\partial a} + A\varphi - \mu\varphi = \mathcal{A}\varphi \quad (\varphi \in \mathcal{D}(\tilde{\mathcal{A}})). \quad (2.19)$$

Conversely, let us assume that $\varphi \in \mathcal{D}(\mathcal{A})$. For λ sufficiently large, we define $f := \lambda\varphi + \frac{\partial\varphi}{\partial a} - A\varphi + \mu\varphi$. Then $f \in \mathcal{X}$. Set $\psi = (\lambda I - \mathbb{A})^{-1}f \in \mathcal{D}(\tilde{\mathcal{A}})$. Therefore using (2.19) we have that

$$\lambda(\varphi - \psi) + \frac{\partial}{\partial a}(\varphi - \psi) - A(\varphi - \psi) + \mu(\varphi - \psi) = 0.$$

Thus

$$\varphi - \psi = e^{-\lambda a} \pi(a) \mathbb{S}_a(\varphi - \psi)(0).$$

Using the definition of $\mathcal{F}(\lambda)$ in (2.16), it is easy to see that the above relation is equivalent to

$$(I - \mathcal{F}(\lambda))(\varphi - \psi)(0) = 0.$$

Thus for λ sufficiently large $\varphi(0) = \psi(0)$ and therefore $\varphi = \psi \in \mathcal{D}(\tilde{\mathcal{A}})$. This completes the proof of the proposition. \square

Proof of Theorem 2.2. The proof of this theorem follows from Proposition 2.3 and Proposition 2.5. \square

Remark 2.6. *An alternative proof of Theorem 2.2 can be obtained by combining the results in (Magal and Ruan, 2018, Section 3.8) with a perturbation result of Desch-Schappacher type (see, for instance, (Tucsnak and Weiss, 2009, Section 5.4)).*

Next we show that \mathcal{B} defined in (2.7) is an admissible control operator:

Lemma 2.7. *Let us assume that $B \in \mathcal{L}(U, X_{-1})$ is an admissible control operator for \mathbb{S} . Then the operator $\mathcal{B} \in \mathcal{L}(\mathcal{U}, \mathcal{X}_{-1})$ defined in (2.7) is an admissible control operator for the semigroup \mathbb{T} generated by \mathcal{A} .*

Proof. The proof follows easily from definition 2.1 and the fact that B is an admissible control operator. \square

Using Proposition 2.2 and Lemma 2.7, we have the following wellposedness result of the system (2.8) (see for instance (Tucsnak and Weiss, 2009, Proposition 4.2.5)) :

Theorem 2.8. *For every $p_0 \in \mathcal{X}$ and for every $u \in L^2(0, a_{\dagger}; \mathcal{U})$ the system (2.8) admits a unique solution*

$$p \in C([0, a_{\dagger}]; \mathcal{X}).$$

With the above notation our main result in Theorem 1.2 can be restated as: If the pair (A, B) is null controllable in time τ_0 , then the pair $(\mathcal{A}, \mathcal{B})$ is null controllable in time $\tau > a_1 + a_{\dagger} - a_2 + 2\tau_0$. To prove this assertion, we are going to use the fact that null controllability of the pair $(\mathcal{A}, \mathcal{B})$ at time τ is equivalent to final state observability in time τ of the pair $(\mathcal{A}^*, \mathcal{B}^*)$. In the following theorem we determine the adjoint of \mathcal{A} and \mathcal{B} . To this aim, we first consider an auxiliary operator \mathcal{A}_0 defined by

$$\mathcal{D}(\mathcal{A}_0) = \left\{ \psi \in \mathcal{X} \mid q(t, a_{\dagger}) = 0, \quad \frac{\partial\psi}{\partial a} - \mu\psi + A^*\psi \in \mathcal{X} \right\}, \quad \mathcal{A}_0\psi = \frac{\partial\psi}{\partial a} - \mu\psi + A^*\psi. \quad (2.20)$$

We have the following proposition:

Proposition 2.9. *The operator \mathcal{A}_0 is the infinitesimal generator of a C^0 -semigroup \mathbb{T}^0 on \mathcal{X} . Moreover,*

$$\|\mathbb{T}_t^0\| \leq M e^{\omega t}, \quad (2.21)$$

where M and ω are defined in (2.2).

Proof. The proof this proposition is similar to that of Theorem 2.2. We briefly sketch the idea. Integrating along the characteristic lines we define the semigroup \mathbb{T}^0 on \mathcal{X} as follows:

$$\mathbb{T}_t^0 \varphi = \begin{cases} \frac{\pi(a)}{\pi(a+t)} \mathbb{S}_t^* \varphi(a+t), & t < a_{\dagger} - a, \\ 0 & t \geq a_{\dagger} - a. \end{cases} \quad (2.22)$$

As \mathbb{S}_t^* is a C^0 -semigroup, it follows that \mathbb{T}_t is also a C^0 -semigroup (see Proposition 2.3). Moreover, proceeding as Proposition 2.5 we can show that the domain of the semigroup \mathbb{T}_t^0 is \mathcal{A}_0 . The estimate (2.21) is easy to obtain from the expression of the semigroup \mathbb{T}_t^0 . \square

The result below gives the adjoint operators of \mathcal{A} and \mathcal{B} . We skip its proof since it is fully similar to the one given for (Maity et al., 2019, Proposition 2.3).

Proposition 2.10. *The adjoint of \mathcal{A} in \mathcal{X} is defined by*

$$\mathcal{D}(\mathcal{A}^*) = \mathcal{D}(\mathcal{A}_0), \quad \mathcal{A}^* \psi = \frac{\partial \psi}{\partial a} - \mu \psi + \mathcal{A}^* \psi + \beta(a) \psi(0).$$

Moreover, $\mathcal{B}^* \in \mathcal{L}(L^2(0, a_{\dagger}; \mathcal{D}(\mathcal{A}^*)); \mathcal{U})$ defined by

$$\mathcal{B}^* \psi = \mathbb{1}_{(a_1, a_2)} B^* \psi,$$

where $B^* \in \mathcal{L}(\mathcal{D}(\mathcal{A}^*), \mathcal{U})$ is the adjoint of the operator B .

We end this subsection with the following result, which will be required later on.

Lemma 2.11. *Assume the hypothesis of Lemma 2.7. Then there exists a constant $C_{\tau} > 0$ such that the solution φ to the system*

$$\dot{\varphi} = \mathcal{A}_0 \varphi + f(t) \quad t \in [0, \tau], \quad \varphi(0) = 0, \quad (2.23)$$

satisfies

$$\int_0^{\tau} \|\mathcal{B}^* \varphi\|_{\mathcal{U}}^2 \leq C_{\tau} \|f\|_{L^2(0, \tau; \mathcal{X})}, \quad (2.24)$$

for every $f \in L^2(0, \tau; \mathcal{X})$.

Proof. We first note that $\mathcal{B} \in \mathcal{L}(\mathcal{U}, \mathcal{X}_{-1})$ defined in (2.7) is also an admissible control operator for the semigroup \mathbb{T}^0 generated by \mathcal{A}_0 . The result follows from (Curtain and Weiss, 1989, Theorem 5.1 and Remark 5.4). \square

3 An Observability Inequality.

As mentioned above, the null-controllability of a pair $(\mathcal{A}, \mathcal{B})$ is equivalent to the final state observability of the pair $(\mathcal{A}^*, \mathcal{B}^*)$, see (Tucsnak and Weiss, 2009, Theorem 11.2.1). Recall that that final-state observability of $(\mathcal{A}^*, \mathcal{B}^*)$ is defined as

Definition 3.1. (Tucsnak and Weiss, 2009, Definition 6.1.1) *The pair $(\mathcal{A}^*, \mathcal{B}^*)$ is final state observable in time τ if there exists a $k_{\tau} > 0$ such that*

$$\|\mathbb{T}_{\tau}^* q_0\|_{\mathcal{X}}^2 \leq k_{\tau}^2 \int_0^{\tau} \|\mathcal{B}^* \mathbb{T}_{\tau}^* q_0\|_{\mathcal{U}}^2, \quad (q_0 \in \mathcal{D}(\mathcal{A}^*)).$$

For \mathcal{A} defined in (2.5) and $q_0 \in \mathcal{X}$ we set

$$q(t) = \mathbb{T}_t^* q_0 \quad (t \geq 0),$$

where \mathbb{T} is the semigroup generated by \mathcal{A} . According to Proposition 2.10, q satisfies, for $t \geq 0, a \in (0, a_{\dagger})$:

$$\begin{cases} \frac{\partial q}{\partial t} - \frac{\partial q}{\partial a} - A^*q - \beta(a)q(t, 0) + \mu(a)q = 0, \\ q(t, a_{\dagger}) = 0, \\ q(0, a) = q_0(a). \end{cases} \quad (3.1)$$

In view of (Tucsnak and Weiss, 2009, Theorem 11.2.1), the statement in Theorem 1.2 is equivalent to the following theorem:

Theorem 3.2. *Assume that β and μ satisfy the conditions (H1)-(H3). Moreover, suppose that the fertility rate β is such that*

$$\beta(a) = 0 \text{ for all } a \in (0, a_b), \quad (3.2)$$

for some $a_b \in (0, a_{\dagger})$ and that $a_1 < a_b$. Let us assume that the pair (A^*, B^*) is final state observable in time $\tau > \tau_0$, with

$$0 \leq \tau_0 < \bar{\tau}, \quad \bar{\tau} = \min\{a_2 - a_1, a_b - a_1\}. \quad (3.3)$$

Then the pair $(\mathcal{A}^*, \mathcal{B}^*)$ is final-state observable for every $\tau > a_1 + a_{\dagger} - a_2 + 2\tau_0$. In other words, for every $\tau > a_1 + a_{\dagger} - a_2 + \tau_0$ there exists $k_{\tau} > 0$ such that the solution q of (3.1) satisfies

$$\|q(\tau)\|_{\mathcal{X}}^2 \leq k_{\tau}^2 \int_0^{\tau} \|B^*q(t)\|_{\mathcal{U}}^2 dt, \quad (q_0 \in \mathcal{D}(\mathcal{A}^*)). \quad (3.4)$$

Remark 3.3. *Using the expression of B^* it is easy to see that the inequality (3.4) reads as*

$$\int_0^{a_{\dagger}} \|q(\tau, a)\|_X^2 da \leq \kappa_{\tau}^2 \int_0^{\tau} \int_{a_1}^{a_2} \|B^*q(t, a)\|_U^2 dadt, \quad (3.5)$$

for any $q_0 \in \mathcal{D}(\mathcal{A}^*)$.

The main idea of the proof is to use final state observability of the pair (A^*, B^*) along the characteristic lines. We first have the following proposition, which is an easy consequence of the final state observability of the pair (A^*, B^*) .

Proposition 3.4. *Let us assume that the pair (A^*, B^*) is final state observable in any time $T > T_0$ with $T_0 \geq 0$. Let $\mathcal{C}(T)$ be the observability cost with $\mathcal{C}(T) \rightarrow \infty$ as $T \rightarrow T_0$. Let T_1, T_2 and T_3 are three real numbers such that*

$$0 \leq T_1 < T_2 \leq T_3 \quad \text{with} \quad T_2 - T_1 > T_0.$$

Then for every $w_0 \in \mathcal{D}(A^*)$, the solution w of the problem

$$\frac{dw}{dt} = A^*w \quad t \in [T_1, T_3], \quad w(T_1) = w_0, \quad (3.6)$$

satisfies the estimate

$$\|w(T_3)\|_X^2 \leq M e^{\omega(T_3 - T_2)} \mathcal{C}(T_2 - T_1) \int_{T_1}^{T_2} \|B^*w(s)\|_U^2 ds, \quad (3.7)$$

where M and ω are defined in (2.2).

Proof. By semigroup property (2.2), it is easy to see that

$$\|w(T_3)\|_X^2 \leq M e^{\omega(T_3 - T_2)} \|w(T_2)\|_X^2.$$

Now applying the final state observability of (A^*, B^*) on the time interval $[T_1, T_2]$ we obtain

$$\|w(T_2)\|_X^2 \leq \mathcal{C}(T_2 - T_1) \|B^*w(s)\|_U^2 ds.$$

Combining the above two estimates we conclude the proof of the proposition. \square

The following three propositions are crucial in proving Theorem 3.2.

Proposition 3.5. *Let us assume the hypothesis of Theorem 3.2. Let*

$$\tau > \tau_0 + a_1.$$

Then for every $q_0 \in \mathcal{D}(\mathcal{A}^)$, the solution q of the system (3.1), verifies*

$$\int_0^{a_1} \|q(\tau, a)\|_X^2 da \leq MC_\mu e^{\omega a_1} \max\{\mathcal{C}(\tau - a_1), \mathcal{C}(a_2 - a_1)\} \int_0^\tau \int_{a_1}^{a_2} \|B^*q(t, a)\|_U^2 da dt, \quad (3.8)$$

where $C_\mu = e^{2\|\mu\|_{L^1[0, a_0]}}$.

Proof. Let us recall that $\bar{\tau}$ is defined by $\bar{\tau} = \min\{a_2 - a_1, a_b - a_1\}$. Thus without loss of generality we can assume that $a_2 \leq a_b$. Since $\beta(a) = 0$ for all $a \in (0, a_2)$, q satisfies

$$\frac{\partial q}{\partial t} - \frac{\partial q}{\partial a} - A^*q + \mu(a)q = 0, \quad t \geq 0, a \in (0, a_2), \quad (3.9)$$

We set

$$\tilde{q}(t, a) = q(t, a) e^{-\int_0^a \mu(r) dr}. \quad (3.10)$$

Then \tilde{q} satisfies

$$\frac{\partial \tilde{q}}{\partial t} - \frac{\partial \tilde{q}}{\partial a} - A^*\tilde{q} = 0, \quad t \geq 0, a \in (0, a_2). \quad (3.11)$$

Without loss of generality, let us assume that

$$\tau < a_2, \quad \tau > a_2 - a_1. \quad (3.12)$$

We set $b_0 = a_2 - \tau$ and we split the interval $(0, a_1)$ as follows

$$(0, a_1) = (0, b_0) \cup (b_0, a_1). \quad (3.13)$$

Let us remark that, the choices in (3.12) are made to cover all possible scenarios. Indeed, if $\tau < a_2 - a_1$ we can choose $b_0 = a_1$ or if $\tau > a_2$ we choose $b_0 = 0$. We are going to use Proposition 3.4 along the characteristics. In the remaining part of the proof we give upper bounds for $\int_I \|\tilde{q}(\tau, a)\|_X^2 da$ where I is successively each one of the intervals appearing in the decomposition (3.13).

Upper bound on $(0, b_0)$:

For a.e $a \in (0, b_0)$, we first set

$$w(s) = \tilde{q}(s, a + \tau - s) \quad s \in (0, \tau).$$

Then w satisfies

$$\frac{\partial w}{\partial s} - A^*w = 0, \quad s \in (0, \tau). \quad (3.14)$$

Applying Proposition 3.4, with $T_0 = \tau_0$, $T_1 = 0$, $T_2 = \tau + a - a_1$ and $T_3 = \tau$ we obtain

$$\|w(\tau)\|_X^2 \leq Me^{\omega(a_1 - a)} \mathcal{C}(\tau + a - a_1) \int_0^{\tau + a - a_1} \|B^*w(s)\|_U^2 ds.$$

In terms of \tilde{q} , the above inequality writes

$$\|\tilde{q}(\tau, a)\|_X^2 \leq Me^{\omega(a_1 - a)} \mathcal{C}(\tau + a - a_1) \int_0^{\tau + a - a_1} \|B^*\tilde{q}(s, a + \tau - s, x)\|_U^2 ds$$

$$= Me^{\omega(a_1-a)}\mathcal{C}(\tau+a-a_1) \int_{a_1}^{\tau+a} \|B^*\tilde{q}(\tau+a-s,s)\|_U^2 ds.$$

Integrating with respect to a over $(0, b_0)$ we obtain

$$\begin{aligned} \int_0^{b_0} \|\tilde{q}(\tau, a)\|_X^2 da &\leq Me^{\omega a_1}\mathcal{C}(\tau-a_1) \int_0^{b_0} \int_{a_1}^{\tau+a} \|B^*\tilde{q}(\tau+a-s,s)\|_U^2 ds da \\ &= Me^{\omega a_1}\mathcal{C}(\tau-a_1) \int_{a_1}^{a_2} \int_{s-\tau}^{b_0} \|B^*\tilde{q}(\tau+a-s,s)\|_U^2 dad s \\ &= Me^{\omega a_1}\mathcal{C}(\tau-a_1) \int_{a_1}^{a_2} \int_0^{a_2-s} \|B^*\tilde{q}(r,s)\|_U^2 dr ds \\ &\leq Me^{\omega a_1}\mathcal{C}(\tau-a_1) \int_0^\tau \int_{a_1}^{a_2} \|B^*\tilde{q}(t,a)\|_U^2 dad t. \end{aligned} \quad (3.15)$$

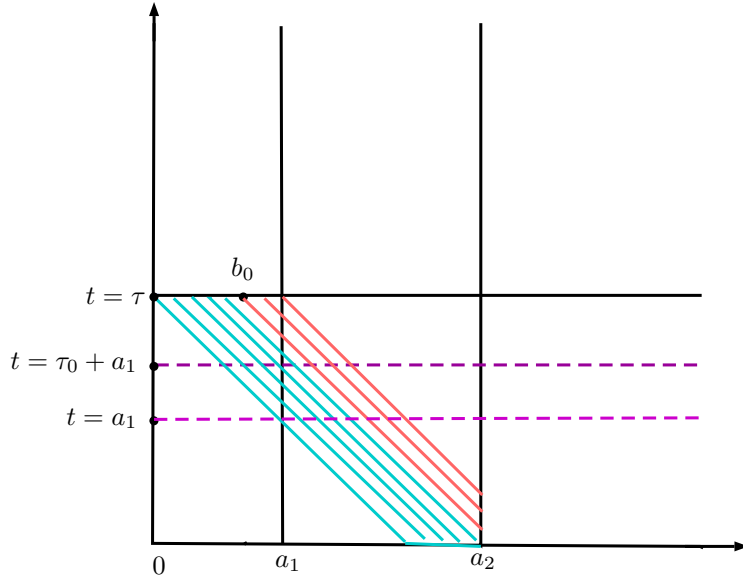


Figure 1: An illustration of the choice made in (3.12): Blue region corresponds to the interval $(0, b_0)$. Since $\tau > a_1$, the trajectory $\gamma(s) := (\tau - s, a + s)$, $s \in [0, \tau]$ (or equivalently the backward characteristics starting from (τ, a)) enters the observation region $(a_1, a_2) \times (0, \tau)$ at $s = a_1 - a$. At $s = \tau$, $\gamma(s)$ hits the line $t = 0$ without leaving the observation region. The red region corresponds to the interval (b_0, a_1) . In this case, the trajectory $\gamma(s)$ enters the observation domain at $s = a_1 - a$ and exits the observation region at $s = a_2 - a$. Since, (A^*, B^*) is final state observable in time $\tau > \tau_0$, we need length of the characteristics to be greater than τ_0 within the observation region. Thus we need $\tau > \tau_0 + a_1$ in order to observe \tilde{q} at final time.

Upper bound on (b_0, a_1) :

For a.e. $a \in (b_0, a_1)$, we define

$$w(s) = \tilde{q}(s, a + \tau - s) \quad s \in (\tau + a - a_2, \tau).$$

Then w satisfies

$$\frac{\partial w}{\partial s} - A^*w = 0, \quad s \in (\tau + a - a_2, \tau). \quad (3.16)$$

Applying Proposition 3.4 with $T_0 = \tau_0$, $T_1 = \tau + a - a_2$, $T_2 = \tau + a - a_1$ and $T_3 = \tau$ it follows that

$$\|w(\tau)\|_X^2 \leq M e^{\omega(a_1-a)} \mathcal{C}(a_2 - a_1) \int_{\tau+a-a_2}^{\tau+a-a_1} \|B^* w(s)\|_U^2 ds.$$

In terms of \tilde{q} , the above inequality becomes

$$\begin{aligned} \|\tilde{q}(\tau, a)\|_X^2 &\leq M e^{\omega(a_1-a)} \mathcal{C}(a_2 - a_1) \int_{\tau+a-a_2}^{\tau+a-a_1} \|B^* \tilde{q}(s, a + \tau - s)\|_U^2 ds \\ &= M e^{\omega(a_1-a)} \mathcal{C}(a_2 - a_1) \int_{a_1}^{a_2} \|B^* \tilde{q}(\tau + a - s, s)\|_U^2 ds. \end{aligned}$$

Integrating with respect to a over (b_0, a_1) we get

$$\begin{aligned} \int_{b_0}^{a_1} \|\tilde{q}(\tau, a)\|_X^2 da &\leq M e^{\omega(a_1-b_0)} \mathcal{C}(a_2 - a_1) \int_{b_0}^{a_1} \int_{a_1}^{a_2} \|B^* \tilde{q}(\tau + a - s, s)\|_U^2 ds da \\ &= M e^{\omega(a_1-b_0)} \mathcal{C}(a_2 - a_1) \int_{a_1}^{a_2} \int_{b_0}^{a_1} \|B^* \tilde{q}(\tau + a - s, s)\|_U^2 da ds \\ &= M e^{\omega(a_1-b_0)} \mathcal{C}(a_2 - a_1) \int_{a_1}^{a_2} \int_{\tau+b_0-s}^{\tau+a_1-s} \|B^* \tilde{q}(r, s)\|_U^2 dr ds \\ &\leq M e^{\omega a_1} \mathcal{C}(a_2 - a_1) \int_{a_1}^{a_2} \int_0^\tau \|B^* \tilde{q}(r, s)\|_U^2 dr ds \\ &= M e^{\omega a_1} \mathcal{C}(a_2 - a_1) \int_0^\tau \int_{a_1}^{a_2} \|B^* \tilde{q}(t, a)\|_U^2 da dt. \quad (3.17) \end{aligned}$$

Therefore, combining (3.15) and (3.17) we get

$$\int_0^{a_0} \|\tilde{q}(\tau, a)\|_X^2 da \leq M e^{\omega a_1} \max\{\mathcal{C}(\tau - a_1), \mathcal{C}(a_2 - a_1)\} \int_0^\tau \int_{a_1}^{a_2} \|B^* \tilde{q}(t, a)\|_U^2 da dt. \quad (3.18)$$

Finally using the above estimate and the definition of \tilde{q} in (3.10) we obtain (3.20). This completes the proof of the proposition. \square

Next, we consider the system (3.1) with $\beta = 0$. More precisely, we consider the system

$$\begin{cases} \frac{\partial z}{\partial t} - \frac{\partial z}{\partial a} - A^* z + \mu(a)z = 0, & (t, a) \in (0, \tau) \times (0, a_\dagger) \\ z(t, a_\dagger) = 0, & t \in (0, \tau) \\ z(0, a) = z_0(a) & a \in (0, a_\dagger). \end{cases} \quad (3.19)$$

Proposition 3.6. *Let us assume the hypothesis of Theorem 3.2. Let*

$$\tau > \tau_0 \text{ and } a_1 < a_0 < a_2 - \tau_0.$$

Then for every $z_0 \in \mathcal{D}(A^)$, the solution z of the system (3.19), verifies*

$$\int_{a_1}^{a_0} \|z(\tau, a)\|_X^2 da \leq M C_\mu e^{\omega a_1} \max\{\mathcal{C}(\tau), \mathcal{C}(a_2 - a_0)\} \int_0^\tau \int_{a_1}^{a_2} \|B^* z(t, a)\|_U^2 da dt, \quad (3.20)$$

where $C_\mu = e^{2\|\mu\|_{L^1[0, a_0]}}$.

Proof. The proof is similar to that of Proposition 3.5. Let us briefly explain the main steps. We consider the case

$$\tau < a_2 - a_1.$$

We split the interval (a_1, a_0) as (see Fig. 2)

$$(a_1, a_0) = (a_1, a_3) \cup (a_3, a_0) \quad \text{where } a_3 = a_2 - \tau.$$

If $\tau \geq a_2 - a_1$, then we choose $a_3 = a_1$. Then we estimate $\int_I \|z(\tau, a)\|_X^2 da$ where I is successively each one of interval appearing in the above decomposition. These estimates are similar to the ones presented in Proposition 3.5, thus omitted here. \square

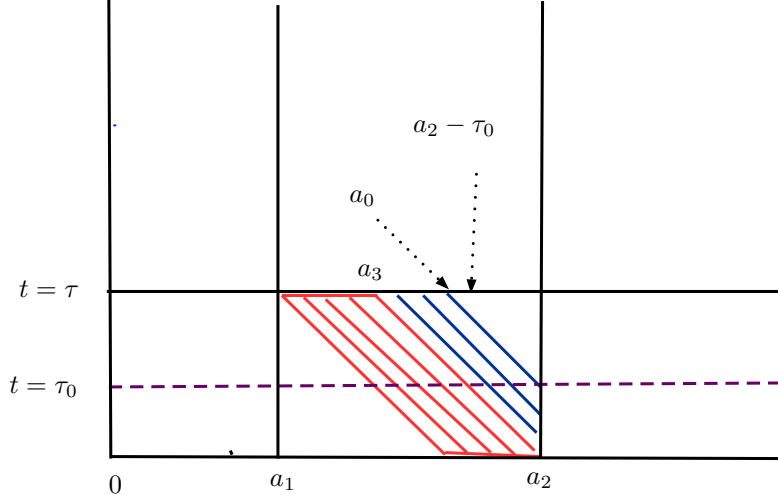


Figure 2: In this case, the trajectory $\gamma(s) = (\tau - s, a + s)$ starts inside the observation region. Thus we just need $\tau > \tau_0$ in order to apply final state observability of the pair (A^*, B^*) along the characteristics.

In the next proposition, we estimate $q(t, 0)$. More precisely, we prove the following:

Proposition 3.7. *Let us assume the hypothesis of Theorem 3.2 and let $\tau > \tau_0 + a_1$ and $\eta \in (\tau_0 + a_1, \tau)$. Then for every $q_0 \in \mathcal{D}(A^*)$, the solution q of the system (3.1), satisfies*

$$\int_{\eta}^{\tau} \|q(t, 0)\|_X^2 dt \leq M e^{\omega a_1} \mathcal{C}(\eta - a_1) \int_0^{\tau} \int_{a_1}^{a_2} \|B^* q(t, a)\|_U^2 da dt. \quad (3.21)$$

Proof. First of all, without loss of generality we can assume that $a_2 \leq a_b$ (otherwise we simply observe for small ages). Then for all $t \geq 0$ and $a \in (0, a_2)$, q satisfies the system (3.9). Let \tilde{q} be defined as in (3.10). In particular, \tilde{q} satisfies (3.11). Here also we are going to use Proposition 3.4 along the characteristics. Without loss of generality, let us assume that

$$a_2 \leq a_b \quad \text{and} \quad \eta < a_2 < \tau.$$

Case 1: For a.e. $t \in (a_2, \tau)$, we define

$$w(s, x) = \tilde{q}(s, t - s), \quad s \in (t - a_2, t). \quad (3.22)$$

Then w satisfies

$$\frac{\partial w}{\partial s} - A^* w = 0 \quad s \in (t - a_2, t), \quad (3.23)$$

Using Proposition 3.4, with $t_0 = t - a_2$, $t_1 = t - a_1$ and $T = t$, we obtain

$$\|w(t)\|_X^2 \leq M e^{\omega a_1} \mathcal{C}(a_2 - a_1) \int_{t-a_2}^{t-a_1} \|B^* w(s, x)\|_U^2 ds.$$

In terms of \tilde{q} the above inequality reads as

$$\|\tilde{q}(t, 0)\|_X^2 \leq M e^{\omega a_1} \mathcal{C}(a_2 - a_1) \int_{t-a_2}^{t-a_1} \|B^* \tilde{q}(s, t-s)\|_U^2 ds = M e^{\omega a_1} \mathcal{C}(a_2 - a_1) \int_{a_1}^{a_2} \|B^* \tilde{q}(t-s, s)\|_U^2 ds.$$

Integrating with respect to t over $[a_2, \tau]$ we obtain

$$\begin{aligned} \int_{a_2}^{\tau} \|\tilde{q}(t, 0)\|_X^2 dt &\leq M e^{\omega a_1} \mathcal{C}(a_2 - a_1) \int_{a_2}^{\tau} \int_{a_1}^{a_2} \|B^* \tilde{q}(t-s, s)\|_U^2 ds dt \\ &= M e^{\omega a_1} \mathcal{C}(a_2 - a_1) \int_{a_1}^{a_2} \int_{a_2}^{\tau} \|B^* \tilde{q}(t-s, s)\|_U^2 dt ds \\ &= M e^{\omega a_1} \mathcal{C}(a_2 - a_1) \int_{a_1}^{a_b} \int_{a_2-s}^{\tau-s} \|B^* \tilde{q}(r, s)\|_U^2 dr ds \\ &\leq M e^{\omega a_1} \mathcal{C}(a_2 - a_1) \int_0^{\tau} \int_{a_1}^{a_2} \|B^* \tilde{q}(t, a)\|_U^2 dx da dt. \quad (3.24) \end{aligned}$$

Case 2: For a.e $t \in (\eta, a_2)$, we define

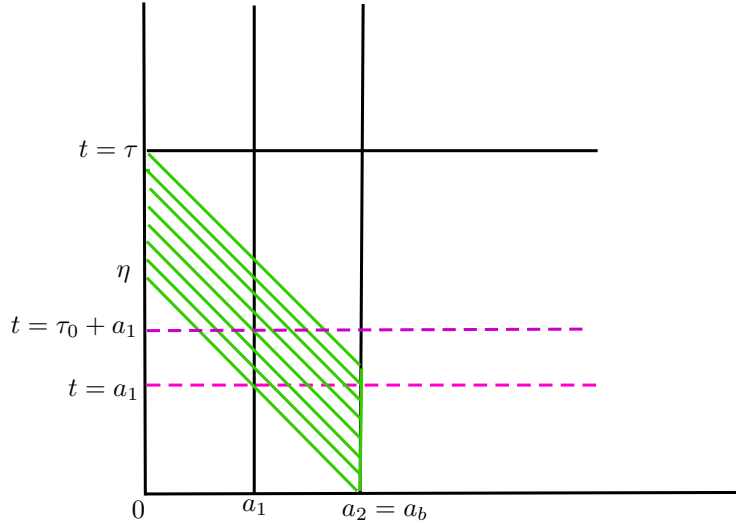


Figure 3: An illustration of the estimate of $\tilde{q}(t, 0)$. Here we have chosen $a_2 = a_b$. Since $\tau > \tau_0 + a_1$ all the backward characteristics starting from $(t, 0)$ enters the observation domain (the green region) and the length of the characteristics within the observation region is greater than τ_0 .

$$w(s) = \tilde{q}(s, t-s) \quad s \in (0, t). \quad (3.25)$$

Then w satisfies

$$\frac{\partial w}{\partial s} - A^* w = 0 \quad s \in (0, t).$$

By applying Proposition 3.4, with $t_0 = 0$, $t_1 = t - a_1$ and $T = t$, we obtain

$$\|w(t)\|_X^2 \leq M e^{\omega a_1} \mathcal{C}(t - a_1) \int_0^{t-a_1} \|B^* w(s)\|_U^2 ds.$$

This yields

$$\|\tilde{q}(t, 0)\|_X^2 \leq Me^{\omega a_1} \mathcal{C}(t - a_1) \int_0^{t-a_1} \|B^* \tilde{q}(s, t-s)\|_U^2 ds = Me^{\omega a_1} \mathcal{C}(t - a_1) \int_{a_1}^t \|B^* \tilde{q}(t-s, s)\|_U^2 ds.$$

Integrating with respect to t over $[\eta, a_2]$ we get

$$\begin{aligned} \int_{\eta}^{a_2} \|\tilde{q}(t, 0)\|_X^2 dt &\leq Me^{\omega a_1} \mathcal{C}(\eta - a_1) \int_{\eta}^{a_2} \int_{a_1}^t \|B^* \tilde{q}(t-s, s)\|_U^2 ds dt \\ &\leq Me^{\omega a_1} \mathcal{C}(\eta - a_1) \int_0^{a_2} \int_{a_1}^t \|B^* \tilde{q}(t-s, s)\|_U^2 ds dt \\ &= Me^{\omega a_1} \mathcal{C}(\eta - a_1) \int_{a_1}^{a_2} \int_s^{a_2} \|B^* q(t-s, s)\|_U^2 dt ds \\ &= Me^{\omega a_1} \mathcal{C}(\eta - a_1) \int_{a_1}^{a_2} \int_0^{a_2-s} \|B^* q(r, s)\|_U^2 dr ds \\ &\leq Me^{\omega a_1} \mathcal{C}(\eta - a_1) \int_0^{\tau} \int_{a_1}^{a_2} \|B^* \tilde{q}(t, a)\|_U^2 da dt. \end{aligned} \quad (3.26)$$

Combining, (3.24) and (3.26) we obtain

$$\int_{\eta}^{\tau} \|\tilde{q}(t, 0)\|_X^2 dt \leq Me^{\omega a_1} \mathcal{C}(\eta - a_1) \int_0^{\tau} \int_{a_1}^{a_2} \|B^* \tilde{q}(t, a)\|_U^2 da dt.$$

Note that, from the definition of \tilde{q} in (3.10), we have $\tilde{q}(t, 0) = q(t, 0)$. Thus from the above estimate we clearly obtain (3.21). \square

3.1 Proof of the main result.

We are now in a position to prove Theorem 3.2, thus, consequently, our main result in Theorem 1.2.

Proof of Theorem 3.2. The constant C_{τ} appearing in this proof depends only on $\tau, a_{\dagger}, \mu, \beta, A$ and B . Let us set

$$\delta = \tau - (a_1 + a_{\dagger} - a_2 + 2\tau_0) \text{ and } \eta = a_1 + \tau_0 + \frac{\delta}{2}.$$

Without loss of generality we can assume τ is such that $a_1 < a_2 - \tau_0 - \delta/2$. (see Fig. 4). By Proposition 3.5, we already have that

$$\int_0^{a_1} \|q(\tau, a)\|_X^2 da \leq C_{\mu} e^{\omega a_1} \mathcal{C}(\tau_0 + \delta/2) \int_0^{\tau} \int_{a_1}^{a_2} \|B^* q(t, a)\|_U^2 da dt. \quad (3.27)$$

Thus the rest of the proof is devoted towards the estimate of $\int_{a_1}^{a_{\dagger}} \|q(\tau, a)\|_X^2 da$. To this aim, let us define

$$q_{\eta}(a) := q(\eta, a), a \in (0, a_{\dagger}) \text{ and } V(t, a) := \beta(a)q(t, 0), t \in (\eta, \tau), a \in (0, a_{\dagger}). \quad (3.28)$$

We write

$$q(t, a) = q_1(t, a) + q_2(t, a), \quad t \in (\eta, \tau), a \in (0, a_{\dagger}), \quad (3.29)$$

where q_1 solves

$$\begin{cases} \frac{\partial q_1}{\partial t} - \frac{\partial q_1}{\partial a} - A^* q_1 + \mu(a)q_1 = 0, & t \in (\eta, \tau), a \in (0, a_{\dagger}), \\ q_1(t, a_{\dagger}) = 0, & t \in (\eta, \tau), \\ q_1(\eta, a) = q_{\eta}(a), & a \in (0, a_{\dagger}), \end{cases} \quad (3.30)$$

and q_2 solves

$$\begin{cases} \frac{\partial q_2}{\partial t} - \frac{\partial q_2}{\partial a} - A^* q_2 + \mu(a) q_2 = V(t, a), & t \in (\eta, \tau), a \in (0, a_\dagger), \\ q_2(t, a_\dagger) = 0, & t \in (\eta, \tau), \\ q_2(\eta, a) = 0, & a \in (0, a_\dagger). \end{cases} \quad (3.31)$$

Using Duhamel's formula we can write q_2 as

$$q_2(t, a) = \int_{\eta}^t \mathbb{T}_{t-s}^0 V(s, \cdot) ds, \quad (3.32)$$

where \mathbb{T}^0 is the C^0 semigroup defined in (2.22). Using (2.21) and Proposition 3.7 we get

$$\int_{a_1}^{a_\dagger} \|q_2(\tau, a)\|_X^2 da \leq C_\tau \int_{\eta}^{\tau} \|q(t, 0)\|_X^2 dt \leq C_\tau \mathcal{C}(\tau_0 + \delta/2) \int_0^{\tau} \int_{a_1}^{a_2} \|B^* q(t, a)\|_U^2 da dt. \quad (3.33)$$

On the other hand, we write

$$\int_{a_1}^{a_\dagger} \|q_1(\tau, a)\|_X^2 da = \int_{a_1}^{a_2 - \tau_0 - \delta/2} \|q_1(\tau, a)\|_X^2 da + \int_{a_2 - \tau_0 - \delta/2}^{a_\dagger} \|q_1(\tau, a)\|^2 da. \quad (3.34)$$

From the semigroup representation of \mathbb{T}_t^0 in (2.22), we have

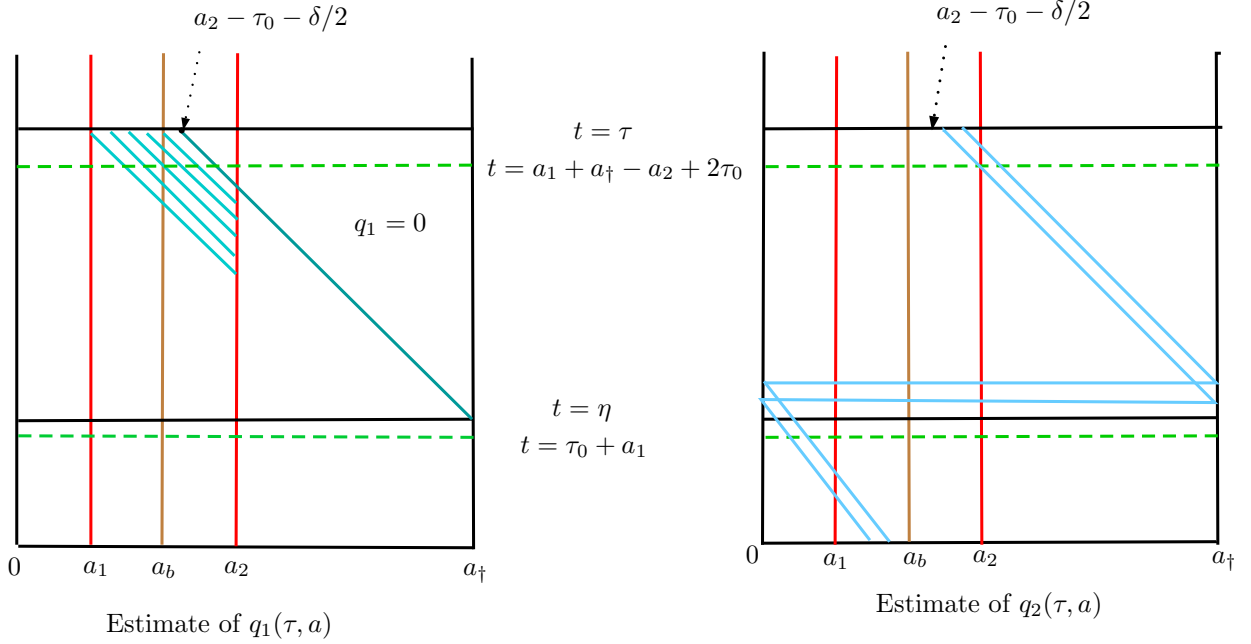


Figure 4:

$$q_1(t, a) = 0 \text{ for } t - \eta \geq a_\dagger - a. \quad (3.35)$$

In particular,

$$q_1(\tau, a) = 0 \text{ for } a \in [a_2 - \tau_0 - \delta/2, a_\dagger].$$

Therefore,

$$\int_{a_1}^{a_\dagger} \|q_1(\tau, a)\|_X^2 da = \int_{a_1}^{a_2 - \tau_0 - \delta/2} \|q_1(\tau, a)\|_X^2 da. \quad (3.36)$$

Since $\tau - \eta > \tau_0$, applying Proposition 3.6 to q_1 with $a_0 = a_2 - \tau_0 - \delta/2$, we obtain

$$\int_{a_1}^{a_2 - \tau_0 - \delta/2} \|q_1(\tau, a)\|_X^2 da \leq C_\tau \mathcal{C}(\tau_0 + \delta/2) \int_\eta^\tau \int_{a_1}^{a_2} \|B^* q_1(t, a)\|_U^2 dadt. \quad (3.37)$$

Using Lemma 2.11 and Proposition 3.7 we deduce

$$\begin{aligned} & \int_\eta^\tau \int_{a_1}^{a_2} \|B^* q_1(t, a)\|_U^2 dadt \\ & \leq 2 \left(\int_\eta^\tau \int_{a_1}^{a_2} \|B^* q(t, a)\|_U^2 dadt + \int_\eta^\tau \int_{a_1}^{a_2} \|B^* q_2(t, a)\|_U^2 dadt \right) \\ & \leq C_\tau \left(\int_\eta^\tau \int_{a_1}^{a_2} \|B^* q(t, a)\|_U^2 dadt + \int_\eta^\tau \|q(t, 0)\|_X^2 dt \right) \\ & \leq C_\tau (1 + \mathcal{C}(\tau_0 + \delta/2)) \int_\eta^\tau \int_{a_1}^{a_2} \|B^* q(t, a)\|_U^2 dadt. \end{aligned}$$

Combining the above estimate together with (3.36) and (3.37) we have

$$\int_{a_1}^{a_\dagger} \|q_1(\tau, a)\|_X^2 da \leq C_\tau (1 + \mathcal{C}(\tau_0 + \delta/2))^2 \int_0^\tau \int_{a_1}^{a_2} \|B^* q(t, a)\|_U^2 dadt. \quad (3.38)$$

The above estimate together with (3.29) and (3.33) yields

$$\int_{a_1}^{a_\dagger} \|q(\tau, a)\|_X^2 da \leq C_\tau ((1 + \mathcal{C}(\tau_0 + \delta/2))^2 + \mathcal{C}(\tau_0 + \delta/2)) \int_0^\tau \int_{a_1}^{a_2} \|B^* q(t, a)\|_U^2 dadt. \quad (3.39)$$

Finally, combining the above estimate with (3.27) we obtain (3.4) with

$$\kappa_\tau^2 = C_\tau \left[2 + \mathcal{C} \left(\frac{\tau - (a_1 + a_\dagger - a_2)}{2} \right) \right]^2. \quad (3.40)$$

This completes the proof of the theorem. \square

4 Applications

The aim of this section is to apply the controllability result obtained in Theorem 1.2 for different class of operators A and B .

4.1 Finite dimensional diffusion

Let us take $X = \mathbb{R}^n$ and $U = \mathbb{R}^m$ with $m \leq n$. Let A be a real $n \times n$ matrix and B be a real $n \times m$ matrix. Let us assume that

$$\text{rank}[B, AB, \dots, A^{n-1}B] = n. \quad (4.1)$$

In particular, we assume that the pair (A, B) is null-controllable for arbitrary time (i.e. $\tau_0 = 0$). Then by Theorem 1.2, the system (1.6) is null controllable in time $\tau > a_1 + a_\dagger - a_2$.

A Special Case: Let us choose:

$$n = m = 1, \quad A = 0 \text{ and } B = 1,$$

i.e., we consider the classical diffusion free Lotka-McKendrick system. This system has already been studied in Barbu et al. (2001); Hegoburu et al. (2018); Maity (2018); Hegoburu and Anița (2019). By applying Theorem 1.2 to this particular case, we recover the result obtained in (Hegoburu and Anița, 2019, Theorem 1.1) (see also Hegoburu et al. (2018); Maity (2018)).

4.2 Transport equation with age structure.

Let $\Omega = (0, L)$. We consider the following control problem

$$\begin{cases} \frac{\partial p}{\partial t} + \frac{\partial p}{\partial a} + \frac{\partial}{\partial x}(v(x)p) + \mu(a)p = 0, & (t, a, x) \in (0, \tau) \times (0, a_{\dagger}) \times \Omega, \\ p(t, a, 0) = \mathbb{1}_{(a_1, a_2)} u(t, a), & (t, a) \in (0, \tau) \times (0, a_{\dagger}), \\ p(t, 0, x) = \int_0^{a_{\dagger}} \beta(a)p(t, a, x) da, & (t, x) \in (0, \tau) \times \Omega, \\ p(0, a, x) = p_0(a, x) & (a, x) \in (0, a_{\dagger}) \times \Omega, \end{cases} \quad (4.2)$$

where $v \in C^1[0, L]$ and $v(x) \geq \bar{v} > 0$. We take $X = L^2(\Omega)$ and $U = \mathbb{R}$. The operator A is defined by

$$\mathcal{D}(A) = \left\{ \varphi \in H^1(0, L) \mid \varphi(0) = 0 \right\}, \quad A\varphi = -\frac{\partial}{\partial x}(v\varphi).$$

The control operator B is defined by,

$$Bu = u\delta_0,$$

where δ_0 is the Dirac mass at 0. It is well known that, the pair (A, B) is null controllable in time $\tau > \frac{L}{\bar{v}}$. Therefore, in order to apply Theorem 1.2, we choose L or v such that

$$\frac{L}{\bar{v}} < \min\{a_2 - a_1, a_b - a_1\}. \quad (4.3)$$

Thus the system (4.2) is null controllable in time $\tau > a_{\dagger} + a_1 - a_2 + \frac{2L}{\bar{v}}$.

4.3 Population dynamics models with spatial diffusion.

Let Ω be a smooth bounded domain in \mathbb{R}^3 . Let us set $X = L^2(\Omega)$. We consider the Lotka McKendrick system with spatial diffusion. For $(t, a, x) \in (0, \tau) \times (0, a_{\dagger}) \times \Omega$, let $p(t, a, x)$ be the distribution density of individuals with respect to age $a \geq 0$ and spatial position $x \in \Omega$ at some time $t \geq 0$. The control problem we consider is :

$$\begin{cases} \frac{\partial p}{\partial t} + \frac{\partial p}{\partial a} - \Delta p + \mu(a)p = d_1 \mathbb{1}_{(a_1, a_2)} \mathbb{1}_{\mathcal{O}} u_1, & (t, a, x) \in (0, \tau) \times (0, a_{\dagger}) \times \Omega \\ \frac{\partial p}{\partial n} = d_2 \mathbb{1}_{(a_1, a_2)} \mathbb{1}_{\Gamma} u_2, & (t, a, x) \in (0, \tau) \times (0, a_{\dagger}) \times \partial\Omega \\ p(t, 0, x) = \int_0^{a_{\dagger}} \beta(a)p(t, a, x) da, & (t, x) \in (0, \tau) \times \Omega, \\ p(0, a, x) = p_0(a, x) & (a, x) \in (0, a_{\dagger}) \times \Omega, \end{cases} \quad (4.4)$$

where $\mathcal{O} \subset \Omega$ and $\Gamma \subset \partial\Omega$.

4.3.1 Interior control

We consider the case $d_2 = 0$. In this case, we have

$$A = \Delta, \quad \mathcal{D}(A) = \left\{ \varphi \in H^2(\Omega) \mid \frac{\partial \varphi}{\partial n} = 0 \right\}, \quad (4.5)$$

and

$$B = \mathbb{1}_{\mathcal{O}}. \quad (4.6)$$

It is well known that the pair (A, B) is null controllable in arbitrary time, where A and B are defined as in (4.5) and (4.6) respectively (see for instance [Fursikov and Imanuvilov \(1996\)](#)). Therefore by Theorem 1.2 the system (4.4) is null controllable in time $\tau > a_1 + a_{\dagger} - a_2$ by interior controls $u_1 \in L^2((0, \tau) \times (0, a_{\dagger}) \times \Omega)$. This result was already obtained in [Maity et al. \(2019\)](#).

4.3.2 Boundary control with respect to the spatial variable

We consider the case $d_1 = 0$. In this case

$$B^*w = \mathbb{1}_\Gamma w, \quad w \in \mathcal{D}(A).$$

It is well known that (A^*, B^*) is final state observable for any time [Seidman \(1976\)](#). Thus applying [Theorem 1.2](#), with $\tau_0 = 0$ we get that the system [\(4.4\)](#) is null controllable in time $\tau > a_1 + a_\dagger - a_2$ by controls $u_2 \in L^2((0, \tau) \times (0, a_\dagger) \times \Gamma)$.

4.4 Population dynamics models with degenerate diffusion:

Let $\Omega = (0, 1)$ and $\mathcal{O} = (\ell_1, \ell_2) \subset \Omega$. We consider the following age structured model with degenerate diffusion :

$$\begin{cases} \frac{\partial p}{\partial t} + \frac{\partial p}{\partial a} - k(x) \frac{\partial^2 p}{\partial x^2} + \mu(a)p = \mathbb{1}_{(a_1, a_2)} \mathbb{1}_{\mathcal{O}} u, & (t, a, x) \in (0, \tau) \times (0, a_\dagger) \times \Omega \\ p(t, a, 0) = p(t, a, 1) = 0, & (t, a) \in (0, \tau) \times (0, a_\dagger), \\ p(t, 0, x) = \int_0^{a_\dagger} \beta(a) p(t, a, x) da, & (t, x) \in (0, \tau) \times \Omega, \\ p(0, a, x) = p_0(a, x) & (a, x) \in (0, a_\dagger) \times \Omega, \end{cases} \quad (4.7)$$

where k is a non-negative continuous function in $[0, 1]$ and degenerate at the boundary, i.e.

$$k(0) = k(1) = 0. \quad (4.8)$$

Let us set the state and the control space as follows:

$$X = L^2_{1/k}(0, 1) = \left\{ \varphi \in L^2(0, 1) \mid \int_0^1 \frac{\varphi^2}{k} dx < \infty \right\} \text{ and } U = L^2(0, 1). \quad (4.9)$$

We consider the unbounded operator A on X defined by

$$\mathcal{D}(A) = \left\{ \varphi \in L^2_{1/k}(0, 1) \cap H^1_0(0, 1) \mid k \partial_{xx} \varphi \in L^2_{1/k}(0, 1) \right\} \text{ and } A\varphi = k \partial_{xx} \varphi.$$

The operator B is defined by $B = \mathbb{1}_{\mathcal{O}}$. By [\(Cannarsa et al., 2008, Theorem 2.3\)](#), the operator A generates a C^0 -semigroup on X . We now make several assumptions on the degenerate coefficient k so that the pair (A, B) is null controllable. Following, [Cannarsa, Fragnelli and Rocchetti \(2008\)](#), we make the following assumptions on k : The function $k \in C^0[0, 1] \cap C^3(0, 1)$ is such that, it satisfies [\(4.8\)](#) and $k > 0$ in $(0, 1)$. Moreover, there exists $\varepsilon \in (0, 1)$ such that

- 1) The function $\frac{x \partial_x k}{k} \in L^\infty(0, \varepsilon)$ and there exists $M_1 \in (0, 2)$ and $C_1 > 0$ such that $\frac{x \partial_x k}{k} \leq M_1$ and $\left| \partial_{xx} \left(\frac{x \partial_x k}{k} \right) \right| \leq C_1 \frac{1}{k(x)}$ for all $x \in (0, \varepsilon)$;
- 2) The function $\frac{(x-1) \partial_x k}{k} \in L^\infty(1-\varepsilon, 1)$ and there exists $M_2 \in (0, 2)$ and $C_2 > 0$ such that $\frac{(x-1) \partial_x k}{k} \leq M_2$ and $\left| \partial_{xx} \left(\frac{(x-1) \partial_x k}{k} \right) \right| \leq C_2 \frac{1}{k(x)}$ for all $x \in (1-\varepsilon, 1)$.

Under the above assumptions, by [\(Cannarsa et al., 2008, Theorem 4.5\)](#) the pair (A, B) is null controllable in any time. Therefore by [Theorem 1.2](#), the system [\(4.7\)](#) is null controllable in time $\tau > a_\dagger + a_1 - a_2$.

Remark 4.1. *Let us make the following remarks:*

- Recently, similar controllability result for the system (4.7) was proved in [Fagnelli \(2018\)](#). Our result can be seen as an improvement of the above mentioned result, as we are able to tackle the case of a control which is active for small ages and we show that our global controllability result applies to individuals of all ages, without needing to exclude ages in a neighbourhood of zero.
- Our method also applies to the case when the spatial variable is multidimensional. Of course, we need to make suitable assumptions on degeneracy. For instance, we can consider the case studied by [Cannarsa, Martinez and Vancostenoble \(2009, 2016\)](#). More precisely, let Ω be a smooth bounded domain in \mathbb{R}^2 . The operator A is defined by

$$A\varphi = \operatorname{div}(M(x)\nabla\varphi),$$

with appropriate boundary conditions. The control operator B is defined by $B = \mathbb{1}_{\mathcal{O}}$, where $\mathcal{O} \subset \Omega$. Under suitable assumptions on the degenerate matrix $M(x)$, the pair (A, B) is null controllable in arbitrary time (see for instance [\(Cannarsa et al., 2009, Theorem 2.2\)](#)). Thus the corresponding age structured model is also null controllable in time $\tau > a_1 + a_{\dagger} - a_2$.

4.5 Fractional diffusion equation with age structure:

Let $X = L^2(\Omega)$ and let $A := (-\Delta_D)^\alpha$ or $A := (-\Delta_N)^\alpha$, where $-\Delta_D$ and $-\Delta_N$ are the Dirichlet and the Neumann Laplacian in Ω and $\alpha > 1/2$. Let B be defined by (4.6). Then (A, B) is null controllable in any time (see for instance [Micu and Zuazua \(2006\)](#); [Miller \(2006\)](#); [Tenenbaum and Tucsnak \(2011\)](#)). Therefore the conclusion of Theorem 1.2 also holds with the above choice of (A, B) .

4.6 Schrödinger equation with age structure:

Let Ω be a square in \mathbb{R}^2 and we consider the Schrödinger operator as diffusion operator. More precisely, we take $X = L^2(\Omega)$

$$A = -i\Delta, \quad D(A) = H^2(\Omega) \cap H_0^1(\Omega).$$

Let B be defined by (4.6). Then the pair (A, B) is null controllable in any time (see [Jaffard \(1988\)](#)). Thus the conclusion of Theorem 1.2 holds with $\tau_0 = 0$.

Alternatively, we can take Ω be a unit disc in \mathbb{R}^2 and $\mathcal{O} \subset \overline{\Omega}$ be an open set such that $\mathcal{O} \cap \partial\Omega \neq \emptyset$. The operators A and B are defined as above. The pair (A, B) is null controllable in any time, which was proved in [\(Anantharaman et al., 2016, Theorem 1.2\)](#). Therefore Theorem 1.2 also holds in this setup.

5 Controllability with regular controls

In Theorem 1.2, we have shown that the age structured system (1.6) is null controllable by controls $u \in L^2((0, \tau) \times (0, a_{\dagger}); U)$. However, in many practical applications, we may need to choose controls in more regular spaces. For instance, while proving positivity of the controlled trajectory of the system (4.4) one need to choose control $u_1 \in L^\infty((0, \tau) \times (0, a_{\dagger}) \times \Omega)$ (see [\(Maity et al., 2019, Theorem 4.6\)](#)). The aim of this section is to show that null controllability by “smooth” controls of the pair (A, B) is also inherited by the pair $(\mathcal{A}, \mathcal{B})$.

To this aim, let us fix $s \in \mathbb{N} \cup \{0\}$ and a Hilbert space V so that $V \hookrightarrow U$. Following, [Pighin and Zuazua \(2018\)](#) we introduce the notion of smooth controllability.

Definition 5.1. *We say that a pair (A, B) is smoothly null controllable in time τ , if for every $z_0 \in \mathcal{D}(A^s)$ there exists a control $u \in L^\infty(0, \tau, V)$ such that, the solution of the system*

$$\dot{z}(t) = Az(t) + Bu(t) \quad t \in [0, \tau], \quad z(0) = z_0,$$

satisfies $z(\tau) = 0$.

The smooth controllability property of the system (1.6) can be stated as follows:

Theorem 5.2. *Let us assume the hypothesis of Theorem 1.2. Let us also assume that the pair (A, B) is smoothly null controllable in any time $\tau > \tau_0$, with*

$$0 \leq \tau_0 < \bar{\tau}, \quad \bar{\tau} = \min\{a_2 - a_1, a_b - a_1\}. \quad (5.1)$$

Then for every $\tau > a_1 + a_{\dagger} - a_2 + 2\tau_0$ and for every $p_0 \in L^\infty(0, a_{\dagger}; \mathcal{D}(A^s))$ there exists a control $v \in L^\infty((0, \tau) \times (0, a_{\dagger}) \times V)$ such that the solution p of (1.6) satisfies

$$p(\tau, a) = 0 \text{ for all } a \in (0, a_{\dagger}). \quad (5.2)$$

The proof the above theorem is a consequence of a suitable observability inequality. Let us briefly describe the main steps. The main idea is the same, i.e, to use observability property of the pair (A, B) along the characteristics. The smooth controllability in time τ of the pair (A, B) is equivalent to the following final state observability inequality (see for instance (Pighin and Zuazua, 2018, Section 2)): there exists a constant $k_\tau > 0$ such that for any $z_0 \in \mathcal{D}(A^*)$

$$\|\mathcal{S}_\tau^* z_0\|_{\mathcal{D}(A^s)^*} \leq k_\tau \int_0^\tau \|i^* B^* \mathcal{S}_t^* z_0\|_{V^*} dt, \quad (5.3)$$

where $\mathcal{D}(A^s)^*$ and V^* are the dual of $\mathcal{D}(A^s)$ and V respectively, with respect to the pivot spaces X and U and $i : V \rightarrow U$ is the inclusion map. Applying the above observability property of the pair (A, B) along the characteristics one can prove that: for every $\tau > a_1 + a_{\dagger} - a_2 + 2\tau_0$ and $q_0 \in \mathcal{D}(A^*)$, the solution q of (3.1) satisfies

$$\int_0^{a_{\dagger}} \|q(\tau, a)\|_{\mathcal{D}(A^s)^*} da \leq \kappa_\tau^2 \int_0^\tau \int_{a_1}^{a_2} \|i^* B^* q(t, a)\|_{V^*} da dt. \quad (5.4)$$

Next, using a classical duality argument (see for instance (Maity et al., 2019, Theorem 4.6) or (Micu et al., 2012, Proposition 2.5)) we can easily prove Theorem 5.2.

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