

The Causes of Leaf Hydraulic Vulnerability and Its Influence on Gas Exchange in Arabidopsis thaliana

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Kleaf and gas exchange coordination in Arabidopsis 1

The causes of leaf hydraulic vulnerability and its influence on 1 gas exchange in Arabidopsis thaliana 2

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One-sentence summary: Declines in leaf outside-xylem hydraulic conductance prior to turgor loss point contribute strongly to stomatal closure, and improve performance, survival and efficient water use during drought.

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36 Abstract

The influence of the dynamics of leaf hydraulic conductance (K_{leaf}) diurnally and during 37 dehydration on stomatal conductance and photosynthesis remains unclear. Using the model 38 species Arabidopsis thaliana (ecotype Col-0)., we applied a multi-tiered approach including 39 physiological measurements, high-resolution X-ray micro-computed tomography, and modelling 40 at a range of scales to characterize: (1) K_{leaf} decline during dehydration; (2) its basis in the hydraulic 41 conductances of leaf xylem (K_x) and outside-xylem pathways (K_{ox}); (3) the dependence of its 42 dynamics on irradiance; (4) its impact on diurnal patterns of stomatal conductance and 43 photosynthetic rate; and (5) its influence on gas exchange and survival under simulated drought 44 regimes. Arabidopsis leaves showed strong vulnerability to dehydration diurnally in both gas 45 exchange and hydraulic conductance, despite lack of xylem embolism or conduit collapse above 46 turgor loss point, indicating pronounced sensitivity of K_{ox} to dehydration. K_{leaf} increased under 47 higher irradiance in well-hydrated leaves across the full range of water potential, but no shift in 48 49 K_{leaf} vulnerability was observed. Modelling indicated that responses to dehydration and irradiance are likely attributable to changes in membrane permeability, and that a dynamic K_{ox} would 50 contribute strongly to stomatal closure, improving performance, survival and efficient water use 51 during drought. These findings for Col-0 provide a baseline for assessing variation across 52 53 genotypes in hydraulic traits and their influence on gas exchange during dehydration.

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55 Key words: aquaporins, drought, leaf water relations, plant modelling, pv-curves

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56 Introduction

Plant growth requires a copious water supply because the rate of CO₂ uptake for photosynthesis 57 depends on stomatal conductance, which results in transpiratory water loss. Because stomata close 58 in dehydrating leaves, photosynthesis and growth depend on the efficiency of water replacement 59 to the mesophyll. Thus, in the past two decades, many studies focusing on diverse species have 60 shown the centrality of the plant hydraulic system in determining leaf-scale gas exchange and plant 61 productivity (Sack and Holbrook, 2006; Brodribb et al., 2007; Scoffoni et al., 2016). Our aim was 62 to test hypotheses for the dynamics of hydraulic traits and their influence on gas exchange during 63 dehydration using the model species Arabidopsis. Establishing a framework for testing the 64 influence of hydraulic traits in Arabidopsis can help address recent debates and open avenues for 65 discovery of genetic associations in natural and mutant genotypes under moist conditions and 66 67 during soil and/or atmospheric drought.

The leaf accounts for a large proportion of plant hydraulic resistance (Sack and Holbrook, 68 69 2006). Thus, theoretical and empirical studies have shown strong correlations of stomatal conductance (g_s) and photosynthetic rate (A_{max}) with leaf hydraulic conductance $(K_{leaf}; determined)$ 70 as the flow rate divided by water potential driving force, in units mmol m⁻² s⁻¹ MPa⁻¹) across species 71 under well-watered conditions (Nardini and Salleo, 2003; Brodribb and Holbrook, 2004; Sack and 72 73 Holbrook, 2006; Scoffoni et al., 2016), and within given species during dehydration (Brodribb and Holbrook, 2006, 2007; Bartlett et al., 2016; Scoffoni and Sack, 2017). A high K_{leaf} enabling higher 74 75 g_s and A_{max} could be achieved through a high vein length per area, larger and/or more numerous xylem conduits (and/or xylem pits), and more conductive mesophyll and bundle sheath anatomy 76 77 and biochemistry (Brodribb et al., 2007; Choat et al., 2008; Caringella et al., 2015; Scoffoni et al., 2015; Scoffoni et al., 2016; Stewart et al., 2018). Yet, the linkages of K_{leaf} and gas exchange as 78 79 leaves dehydrate to turgor loss point are still under debate. Early studies suggested that K_{leaf} decline 80 drives stomatal closure under high vapor pressure deficits at mid-day (Brodribb and Holbrook, 2003a; Bucci et al., 2003) and during drought (Salleo et al., 2001; Brodribb and Holbrook, 2003b; 81 Nardini and Salleo, 2003). Several recent studies suggested that in some species, K_{leaf} might not 82 decline until embolism forms in the leaf vein xylem (Brodribb et al., 2016a; Brodribb et al., 2016b; 83 Skelton et al., 2017), which for many species does not occur until past the point of stomatal closure 84 and bulk leaf turgor loss (Brodribb et al., 2016b; Hochberg et al., 2017; Scoffoni et al., 2017a). 85 Similarly, xylem wall collapse may drive K_{leaf} declines in pine needles and minor veins of an oak 86

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species, but only below turgor loss point (Cochard et al., 2004a; Zhang et al., 2016). Avoiding K_{leaf} 87 decline during transpiration when leaves are hydrated above turgor loss point has been suggested 88 as adaptive, maintaining leaf water potential and open stomata, though at the risk of sustaining 89 water potentials that would induce xylem cavitation under high vapor pressure deficits (Brodribb 90 and Holbrook, 2006). Numerous studies in the last decade have shown that species differ in 91 whether K_{leaf} declines at milder, similar or more severe leaf water potentials than at stomatal 92 closure, and that K_{leaf} decline depends mechanistically on processes in multiple tissues—the 93 94 venation, bundle sheath, and mesophyll pathways of liquid and vapor transport (reviewed by Scoffoni and Sack, 2017). Indeed, a meta-analysis of the literature found that on average, across 95 species (and methods for Kleaf determination), Kleaf declined by 30-80% before turgor loss point 96 (Scoffoni and Sack, 2017). Recent work focusing on partitioning leaf xylem and outside-xylem 97 98 resistances during dehydration suggested the outside-xylem hydraulic conductance (K_{ox}) as the primary driver of K_{leaf} decline (Trifilo et al., 2016; Scoffoni et al., 2017a; Scoffoni and Sack, 2017), 99 100 which could be triggered by the loss of cell connectivity, cell shrinkage, and/or changes in membrane aquaporin activity (Laur and Hacke, 2014b; Scoffoni et al., 2014; Scoffoni et al., 101 102 2017a), potentially mediated by effects of ABA in the bundle sheath (Pantin et al., 2013). A recent study in rice has attributed to K_{leaf} decline a strong causal role in driving stomatal closure during 103 104 dehydration (Wang et al., 2018).

Debate has also focused on the light response of K_{leaf} . Previous studies have found many 105 106 species to exhibit a rapid enhancement of K_{leaf} in response to increased irradiance (Sack et al., 2002; Nardini et al., 2005b; Tyree et al., 2005; Cochard et al., 2007; Scoffoni et al., 2008; Voicu 107 et al., 2008; Guyot et al., 2012; Xiong et al., 2018), but not all (Sack et al., 2002; Gasco et al., 108 109 2004; Tyree et al., 2005; Scoffoni et al., 2008; Xiong et al., 2018). Activation of PIP_{2.1} and PIP_{2.2} 110 aquaporins under high irradiance at high water potential has been shown to also enhance K_{leaf} in 111 some (Cochard et al., 2007), though not all species (Voicu et al., 2009). A higher K_{leaf} under high light could potentially help buffer rapid changes in VPD and prevent stomata from closing (Cairns 112 Murphy et al., 2012; Scoffoni et al., 2015). In Arabidopsis, one study estimated hydraulic 113 conductance by pushing water into entire rosettes suspended underwater in a dark pressure 114 115 chamber, and found it was higher for leaves acclimated to dark rather than high irradiance (Prado et al., 2013), though no study has investigated this response at the leaf level. 116

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Here, we applied complementary physiological, imaging, and modelling approaches (Table 117 1) to assess K_{leaf} dynamics with dehydration and irradiance, and their role in driving diurnal 118 patterns of gas exchange in Arabidopsis. We tested the hypotheses in Arabidopsis that K_{leaf} : (1) is 119 high under well-hydrated conditions, but declines strongly during dehydration; (2) declines due to 120 changes in K_{ox} but not xylem embolism formation or conduit collapse; (3) responds to irradiance; 121 (4) influences diurnal patterns of stomatal conductance and photosynthetic rate; and (5) shows 122 dynamics that confer higher water-use efficiency, and that would thus benefit plant performance 123 under simulated soil drying. 124

125

126 **Results**

127 Leaf hydraulics and gas exchange and their responses to leaf dehydration and irradiance in128 Arabidopsis

129 Arabidopsis Col-0 exhibited high maximum leaf hydraulic conductance (K_{leaf}), stomatal 130 conductance (g_s), minimum epidermal conductance (g_{\min}), as well as light-saturated photosynthetic 131 rate (A_{area}) (Figure 1, Figure 2, Table 2). The partitioning of hydraulic resistances in the leaf 132 indicated a similar distribution of resistances in the xylem and outside-xylem pathways (45.6 vs. 133 54.4% respectively; Table 2).

Arabidopsis showed a strong vulnerability to dehydration in K_{leaf} and gas exchange (Figure 134 1). Notably, the range of water potential measured on intact plants diurnally, and on detached 135 136 leaves during bench dehydration was similar (Figure 1, Figure 2). Kleaf responded non-linearly to dehydration, with steep declines before 50% loss of its initial K_{leaf} by -0.17 MPa ($K_{\text{leaf}} P_{50}$), and 137 gradually slowing down its response to further dehydration (Table 2, Figure 1). Both gs and Aarea 138 responded linearly to declining Ψ_{leaf} (Figure 2), reaching 50% loss of initial rates by -0.37 and -139 140 0.38 MPa respectively, and 95% loss at similar Ψ_{leaf} values of -0.71MPa (Table 2). At turgor loss point, K_{leaf} had declined by ca. 88%, and stomata were nearly fully closed (Table 2, Figure 1). 141

Leaves acclimated to high irradiance had significantly higher K_{leaf} values than leaves acclimated to low irradiance, with a 60% enhancement of K_{leaf} from low to high irradiance in wellhydrated leaves of Col-0. (Figure 1; *t*-test done on residuals of K_{leaf} , i.e., difference of observed values relative to those predicted from the best fit function through all data combined: ($K_{\text{leaf}} =$ 8.33 + 83.7 × exp(-(9.47 × $\Psi_{\text{leaf}})$)). Residuals for K_{leaf} were 7.4 mmol m⁻² s⁻¹ MPa⁻¹ higher under high irradiance across the entire vulnerability curve (p = 0.01), 7.9 mmol m⁻² s⁻¹ MPa⁻¹ higher

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148 considering only leaves above turgor loss point (p = 0.01), and 13.9 mmol m⁻² s⁻¹ MPa⁻¹ higher 149 considering only leaves at hydration above -0.2MPa (p = 0.04). However, leaves acclimated to 150 high and low irradiance were similar in their $K_{\text{leaf}} P_{50}$ (-0.17 vs. -0.16 MPa respectively; Figure 1). 151

152 *Diurnal responses of gas exchange*

Photosynthetic and stomatal responses were measured over the course of two days, from 0900 to 1800. Our results showed that the diurnal pattern of whole-plant hydraulic conductance and gas exchange reflected the dynamics of Ψ_{leaf} , as evidenced by the strong trends of K_{plant} , g_{s} and A_{max} versus Ψ_{leaf} ($r^2 = 0.45$ -0.81; p < 0.02; Figure 2). Of all the potential environmental drivers, vapor pressure deficit (VPD) most strongly correlated with g_{s} dynamics diurnally ($r^2 = 0.18$; p = 0.002; Supplemental Figure S1).

Independent effects analysis of potential drivers of diurnal dynamics in g_s , including environmental factors and Ψ_{leaf} showed that Ψ_{leaf} was the most important statistically, contributing 77% towards the diurnal variation (Supplemental Figure S2). The VPD contributed 11%, and temperature, PAR and time of day each contributed only 4% to the observed variation (Supplemental Figure S2).

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165 Testing for vein xylem embolism and collapse during leaf dehydration using micro-computed166 tomography

167 We scanned leaves using in vivo micro-computed tomography for dehydrated plants to visualize potential xylem embolism. In 14/18 leaves attached to plants that spanned the observed range of 168 169 Ψ_{leaf} (-0.05 to -0.87 MPa), no gas embolism was observed in major or minor veins (Figure 3). In 4/18 scans, we observed 1-2 embolized conduits in the midrib and/or secondary veins; notably, 170 these leaves were not the most dehydrated ($\Psi_{\text{leaf}} = -0.13$ to -0.45 MPa; Figure 4; Table 3) but were 171 172 within the same range as other leaves that did not exhibit embolism. In all three leaves that showed embolized midrib conduits, the embolism spanned the entire length of the scanned section, and we 173 were unable to measure the total vessel length (Figure 4; Table 3). For two leaves, the embolized 174 midrib conduit extended into a secondary vein. In the fourth leaf, an isolated embolised conduit in 175 176 the secondary vein was observed (Figure 4, Table 3). All embolized conduits were of average diameter (Table 3; midrib conduit diameters measured under light microscopy ranged from 2.79 177 to 10.3 μ m). No collapsed conduits were observed in midrib and secondary vein conduits at the 178

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- range of water potentials investigated. The resolution of the micro-CT scans was not sufficient todetermine whether conduit collapse occured in higher-order veins.
- 181

182 Modelling the impact of embolism and collapse on K_x

Spatially explicit modelling of the leaf xylem (Table 1) showed that the very low level of observed 183 xylem conduit embolism would reduce leaf xylem hydraulic conductance (K_x) by 1.2 to 4.7% 184 (Table 3). Because resolution was not sufficient to determine whether conduit collapse occured in 185 higher-order veins, we simulated the potential impact of such collapse if it had occurred. These 186 simulations showed that if higher-order veins were to collapse to the same % of conduit diameter 187 as recently reported for minor veins of *Quercus rubra* (Zhang et al., 2016), this would decrease K_x 188 by 3-7.5% (Table 3). Under a more extreme scenario in which collapse of tertiary and minor veins 189 caused a 50% decline in their conductivity, Kx would be reduced by 12-17% (Table 3), which 190 would decrease K_{leaf} by 7-9%. 191

192

193 *Modelling the putative causes of K*_{ox} *decline*

194 Spatially explicit modelling of the outside-xylem pathways using MOFLO 2.0 (Table 1) suggested that the main factor accounting for the decline in K_{ox} observed at -0.5 MPa was most likely 195 196 reduction of cell membrane permeability in combination with an apoplastic barrier at the bundle sheath (Figure 5). Under high irradiance, an 80% reduction of cell membrane permeability would 197 198 cause a 68.4% decrease in Kox; adding an 80% reduction in cell connectivity would further decrease K_{ox} by 0.2 % (Figure 5). When performing these simulations with no apoplastic barrier at the 199 200 bundle sheath, the impact of an 80% reduction of cell membrane permeability caused only a 24.5% decrease in K_{ox} (Figure 5). Simulating the impact of changes of temperature gradients due to light 201 202 absorption changed the percent loss of K_{ox} by 1-3% across simulations (Supplemental Table S1). 203 Finally, simulating the impact of cell shrinkage from full turgor to -0.5 MPa resulted in an increase in K_{ox} by 7 to 15%, due to the increase in vein density caused by leaf shrinkage and the consequent 204 decrease in outside-xylem water flow pathlengths (Figure 5). 205

206

207 *Partitioning the contribution of K*_{leaf} *vulnerability to gs decline*

208 In a transpiring leaf, a low Ψ_{leaf} would result from low water potentials proximally to the leaf (i.e.,

in the soil or roots; Table 1), and to the transpiration-driven water potential drop across the leaf,

which is greater, given K_{leaf} vulnerability. Thus, given that g_s declines with Ψ_{leaf} , K_{leaf} vulnerability 210 will amplify the reduction of g_s at a given soil water potential and vapor pressure deficit. Using a 211 212 partitioning analysis, we applied the observed parameters of g_s and K_{leaf} decline in Arabidopsis to compute the marginal % contribution of K_{leaf} vulnerability to the decline of g_s (Table 1). Our results 213 showed that K_{leaf} vulnerability contributes strongly to g_s decline in transpiring leaves early in 214 dehydration, due to amplification of Ψ_{leaf} decline; when g_s declines by 30%, 70% of this response 215 is due to K_{leaf} vulnerability rather than low water potential proximal to the leaf (Figure 6). The 216 contribution of K_{leaf} vulnerability to g_s decline remains >40% until g_s declined by 50%, and 217 becomes less important as stomata approach full closure. When g_s has declined by 95%, the 218 contribution of K_{leaf} vulnerability to g_{s} decline is < 1%. 219

220

Using the SurEau whole-plant physiology model to estimate the influence of K_{leaf} decline on gas exchange, productivity and survival

223 We tested the importance of K_{leaf} vulnerability prior to turgor loss point in reducing g_s and photosynthesis on plant carbon balance and survival in simulations using SurEau (Martin-StPaul 224 225 et al., 2017) (Figure 7A-D; Table 1). In simulations, the experimentally observed K_{leaf} vulnerability caused an up to -0.36 MPa lower Ψ_{leaf} at midday under well-hydrated conditions (yellow and red 226 227 lines), compared to constant K_{leaf} simulations (light and dark blue lines) (Figure 7B). This lower Ψ_{leaf} in turn reduced g_s and cumulative CO₂ assimilation ($A_{n, \text{tot}}$) by up to 62 and 17% respectively 228 229 under well-hydrated conditions (Figure 7A-B), but cumulative water-use efficiency (calculated as $A_{n, tot}$ total transpiration) increased by 28% (inset in Figure 7B). Given finite soil water supply in 230 231 these simulations, this higher water-use efficiency led to up to 24% higher $A_{n, tot}$ over the entire course of the simulated drought. Indeed, because K_{leaf} vulnerability results in lower g_s during the 232 233 early stage of the drought, the soil water potential (approximated as nightime Ψ_{leaf} in Figure 7B) 234 is maintained at higher levels as drought ensues, leading to the maintenance of higher g_s during later drought (Figure 7A). Additionally, because Ψ_{leaf} does not drop as fast during the course of 235 the drought, these simulations showed that given K_{leaf} vulnerability, the onset of leaf xylem 236 embolism occurs later during drought such that plants survive up to 6 days longer under drying 237 238 soil (Figure 7D). These simulations resulted in similar findings whether or not K_{root} was set as vulnerable or constant, highlighting K_{leaf} vulnerability as a main driver of improved water-use 239 efficiency, A_{n, tot} and survival during soil drying. 240

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242 Drought tolerance in Arabidopsis

Arabidopsis Col-0 exhibits low leaf mass per area, a high degree of area shrinkage during dehydration, high minimum epidermal conductance (g_{min}) , high osmotic potential at full turgor and turgor loss point, low modulus of elasticity and relative water content at turgor loss point (Table 1).

247

248 **Discussion**

Our results demonstrate a potential strong role for outside-xylem pathways in the decline of K_{leaf} 249 with leaf dehydration, contributing to stomatal closure and the reduction of photosynthetic rate in 250 Arabidopsis thaliana (Col-0). Strong declines in Kleaf were associated with declines in Kplant, gs and 251 Aarea, at water potentials where no significant embolism was observed using microCT. The absence 252 of leaf xylem embolism before stomatal closure and hydraulic decline point to changes in outside-253 xylem pathways as the cause of observed K_{leaf} decline and imply no functional role of xylem 254 dysfunction in this species' response of gas exchange to leaf dehydration. Modelling showed that 255 256 K_{leaf} vulnerability has a strong causal role in determining stomatal closure, and further, that K_{leaf} vulnerability would improve plant carbon balance and survival during drought. 257

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259 Drivers of leaf hydraulic conductance decline during dehydration

260 Our results suggest that changes in outside-xylem pathways are the main drivers of the response of K_{leaf} to dehydration in Arabidopsis. MicroCT imaging showed that embolism was rare in major 261 262 vein xylem conduits and nonexistent in minor veins. Only one or two embolized conduits (representing on average 6-11% of the conduits in the midrib) were found in 4/18 samples, with 263 264 no trend of embolism with increasing water stress prior to turgor loss point. This low vulnerability 265 to embolism in leaves parallels findings for Arabidopsis inflorescence stems, which have P_{50} values lower than -2.5 MPa (Tixier et al., 2013). The few rare observed leaf vein xylem emboli 266 likely arose from methodological artifacts. In the 3/4 samples in which embolized conduits were 267 observed, the embolized conduit spanned the entire section. One possibility is that air may have 268 269 entered the conduit when plants were removed from the soil for dehydration, if air entered conduits from damaged roots, and conduits were continuous into the major veins of scanned leaves. 270 Similarly, air may have entered when the two leaves were excised from the plant for initial water 271

potential measurement, if conduits spanned from these leaves to others in the rosette including the scanned leaves. Alternatively, these few embolisms could be the result of defects in the development of these conduits (Pickard, 1981; Tyree et al., 1994). Indeed, we found a single isolated embolism event occured in a secondary vein of one of our samples. Such isolated embolism events have been reported in leaf veins of other angiosperm species (Scoffoni et al., 2017b) and stem xylem (Brodersen et al., 2013; Choat et al., 2015; Choat et al., 2016).

MicroCT imaging did not reveal any conduit collapse in the midrib or secondary veins 278 across the range of observed water potentials. Since the resolution of the microCT imaging did not 279 permit assessment of xylem conduit collapse in higher-order veins, we tested the potential effect 280 of collapse of minor veins on K_{leaf} using a spatially explicit model of the leaf vein system. These 281 simulations suggested that if xylem conduit collapse in the tertiary and minor veins were to occur 282 283 within the range of water potentials in which K_{leaf} declined, this collapse would have a quantitatively small effect, i.e., causing <10% decline in K_{leaf} at -0.5MPa. This finding was 284 285 consistent with previous model results showing that extreme collapse of minor veins would cause K_{leaf} to decline only by up to 4% for four diverse species (Scoffoni et al., 2017b). Previous studies 286 287 found collapse of xylem conduits in pine needles and the minor veins of oak leaves, but only past turgor loss point, and suggested this could act as a circuit breaker to protect the stem xylem from 288 289 embolism formation (Cochard et al., 2004a; Zhang et al., 2016). An early decline in outside-xylem pathways would act in a similar way, hastening stomatal closure, before xylem collapse would 290 291 occur (Scoffoni et al., 2017a; and see following sections). Past turgor loss point, the Arabidopsis leaf undergoes drastic shrinkage in area and thickness, and it is likely that xylem in the midrib and 292 293 higher-order veins would collapse, especially as the Arabidopsis xylem cell walls are helicoidal, and mostly consisting of thick primary walls (Figure 8). Future studies are needed to investigate 294 295 the collapse of xylem and its influence on the rehydration capacity of strongly dehydrated leaves. 296

297 *Response of leaf hydraulic conductance to dehydration and coordination with gas-exchange*

In Arabidopsis, we did not observe any embolism in leaf xylem conduits prior to, or even moderately past the point of stomatal closure and turgor loss point. This finding is consistent with recent work on tomato and grapevine showing stomata closed before any embolism were observed using an optical visualization technique (Hochberg et al., 2017; Skelton et al., 2017). Here, we confirm this finding for the first time using microCT on Arabidopsis. This finding is also consistent

with a growing body of literature showing that typically no xylem embolism is observed prior to turgor loss point (Charra-Vaskou et al., 2012; Delzon and Cochard, 2014; Bouche et al., 2016; Brodribb et al., 2016b; Scoffoni et al., 2017a; Scoffoni et al., 2017b). A recent study on sunflower showed that xylem embolism occurs after turgor loss point even in plants that acclimate to drought: plants grown under water-limited conditions adjusted osmotically and had a more negative turgor loss point (-0.3 MPa shift), and leaf xylem P_{50} also shifted to a more negative value (-0.6 MPa shift) (Cardoso et al., 2018).

The diurnal variation observed in stomatal conductance (g_s) and net photosynthetic rate per 310 leaf area (A_{area}) was strongly driven by leaf water status, i.e., Ψ_{leaf} , as shown by our model-fitting 311 analyses. Further, our analyses indicated that the dynamics of Ψ_{leaf} , and thus of g_s and A_{area} were 312 strongly driven by the dehydration-induced decline of K_{leaf} , in turn, resulting from changes in 313 314 outside-xylem pathways. Thus, we found that in Arabidopsis, K_{leaf} declines more rapidly than g_s with dehydration, increasing the ratio of g_s/K_{leaf} , such that transpiration would amplify the decline 315 in Ψ_{leaf} , and consequently that of g_s . Indeed, 40-65% of g_s decline was attributable to K_{leaf} decline, 316 for leaves dehydrated to less than 50% of stomatal closure. For more strongly dehydrated leaves, 317 318 given their reduced stomatal conductance, the transpiration-driven amplification of Ψ_{leaf} and g_s decline by K_{leaf} vulnerability are small, and declining Ψ_{leaf} due to low soil water potential and/or 319 320 exogenous signals such as ABA or sugar production would be responsible for driving stomata to full closure. The direct mechanisms for stomatal closure with declining Ψ_{leaf} require further 321 322 research. While most proximally, stomatal closure relates to solute transfer from guard cells to pavement cells, this could be driven by declining cell volume, turgor, osmotic concentration or 323 324 water potential, in the epidermis and/or mesophyll, partially or fully mediated by ABA accumulation, which in turn may be associated with declining cell volume and K_{leaf} decline in 325 326 dehydrating leaves (McAdam and Brodribb, 2016; Sussmilch et al., 2017; Sack et al., 2018). 327 Indeed, ABA signaling may contribute to stomatal closure both directly at the guard cells and also by contributing to K_{leaf} decline in dehydrating leaves by reducing cell membrane permeability in 328 329 the bundle sheath and mesophyll via changes in aquaporin expression (Shatil-Cohen et al., 2011; Pantin et al., 2013). Indeed, our MOFLO 2.0 simulations showed that Kox decline was best 330 331 explained by reduced cell membrane permeability and to a lesser extent, cell connectivity. In Arabidopsis, stress-induced changes in cell membrane permeability, mediated by aquaporins, can 332 have a strong impact on root hydraulic conductance (Javot and Maurel, 2002). 333

Scoffoni, C., Albuquerque, C., Albuquerque, C., Albuquerque, C., Albuquerque, Copyright © 2018 American Society of Plant Biologists. All rights reserved. Bartlett, M., Brodersen, C. R., Jansen, S., McElfone, A. J., Sack, L. (2018). The Causes of Leaf Hydraulic Vulnerability and Its Influence on Gas Exchange in Arabidopsis thaliana. Plant Physiology 178 (4) 1584-1601. DOI: 10.1104/pp.18.00743

Alternatively, some studies have suggested that photosynthetic rate and carbon sink 334 activities could regulate stomata and plant hydraulics (Nikinmaa et al., 2013; Körner, 2015; 335 336 Rockwell et al., 2018). Indeed, this is well known in cropping systems such as grapevine, where the presence of strong sinks such as fruits have been shown to stimulate photosynthesis (Hofäcker, 337 1978; Petrie et al., 2000). Recent studies have found that excess cellular sugar concentrations under 338 high irradiance, and/or during dehydration could trigger stomata closure (Nikinmaa et al., 2013; 339 Rockwell et al., 2018). Excess sucrose may be transported to the guard cells by the transpiration 340 341 stream, and the subsequent increase in osmolytes at the guard cell apoplast could induce stomatal closure in some species, especially during periods of high photosynthetic rates (Lu et al., 1995; Lu 342 et al., 1997; Kang et al., 2007a; Kang et al., 2007b). Indeed, the increase in sucrose concentration 343 at the guard cells could act as more than a simple osmolyte, as it can depolarize the guard cell 344 345 plasma membrane, activating potassium channels (Jarzyniak and Jasiński, 2014), and an increase in the level of sugar-sensing enzymes in the guard cells can accelerate stomatal closure by 346 347 stimulating ABA production (Kelly et al., 2013; Van Houtte et al., 2013; Li et al., 2016; Li et al., 2018; Medeiros et al., 2018). Additionally, excess sucrose concentrations can decrease K_{ox} and 348 349 thus K_{leaf} , potentially via deactivation of aquaporins (Kelly et al., 2017).

In conclusion, K_{leaf} , g_{s} and A_{area} show a coordinated decline during leaf dehydration in 350 351 Arabidopsis, with a potentially strong direct effect of declining K_{leaf} in inducing stomatal closure via a decrease in water potential. The decline in K_{leaf} and stomatal conductance may be jointly 352 353 driven by accumulation of sugar and/or ABA accumulation in dehydrating leaves, or K_{leaf} declines may contribute to this accumulation. Future studies are needed to decipher the exact sequence of 354 355 events leading stomata to close.

356

358 Putative role of K_{ox} decline in improving plant carbon balance, water-use efficiency and survival 359 during drought

360 Why would the water transport pathways outside the xylem decline in efficiency during dehydration prior to turgor loss point if this reduces gas exchange? Results from SurEau 361 simulations indicated that a vulnerable K_{ox} (and thus K_{leaf}) above turgor loss point leads to greater 362 water-use efficiency, and cumulative CO₂ assimilation, as well as protection of xylem from 363 embolism, and increased plant survival during drought. Simulated plants with K_{ox} declining prior 364 to turgor loss point operated on average at a lower K_{ox} value than plants with a K_{ox} held constant 365

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(set to the average value measured at Ψ_{leaf} of -0.1 to -0.2 MPa, i.e., the range at which g_s was at its 366 maximum). This dynamic $K_{\rm ox}$ with water potential caused an up to 60% decline in $g_{\rm s}$ but only an 367 368 up to 12% decline in CO₂ assimilation, resulting in a higher water-use efficiency and greater overall assimilation when considered over the entire period of soil drying. This benefit for low K_{ox} 369 raises the question of why plants should invest in a high K_{ox} (or K_{leaf}) in maximally hydrated leaves. 370 371 Indeed, high K_{leaf} values at Ψ_{leaf} above -1.0MPa have at times been neglected when constructing vulnerability curves (Blackman et al., 2012; Blackman et al., 2014) under the presumption that 372 leaves simply do not operate at such high water potentials in planta. However, a high K_{ox} (and 373 thus K_{leaf} in well-hydrated leaves which declines during dehydration prior to turgor loss point 374 would offer advantages; it would enable high gs and greater CO₂ assimilation under well-watered 375 conditions. This would be particularly beneficial for a short-lived species such as Arabidopsis, 376 377 which is required to grow rapidly when water availability is high. Previous studies have found that maximum K_{ox} (and K_{leaf}) was high and declined rapidly with water potential in herbs (Scoffoni et 378 379 al., 2011; Nolf et al., 2016; Scoffoni et al., 2017a) than long-lived drought tolerant chaparral trees (Scoffoni et al., 2017a). Ephemeral species such as Arabidopsis, or desert plants with short-lived 380 381 leaves, would especially benefit from high CO₂ assimilation rates, and thus high K_{leaf} after a rainfall event, and "gear down" by reducing K_{leaf} and thus transpiration rates when water becomes scarce, 382 383 to improve their water-use efficiency and survive longer under soil drying (Grubb, 1998). In these simulations, root hydraulic vulnerability had a small effect on water-use efficiency in Arabidopsis. 384 385 The much greater effect of leaf over root is due to the very high proportion of hydraulic resistance in the leaf (85.7%), due to the lack of stem in the vegetative phase of this rosette species. The 386 387 hydraulic vulnerability of roots and their influence on the control of gas exchange is still under debate given experimental challenges. Debate is ongoing over whether root xylem is highly 388 389 vulnerable (Hacke and Sauter, 1996; Hacke et al., 2000), or resistant (Rodriguez-Dominguez et al., 2018) to xylem embolism. However, just as in leaves (Scoffoni et al., 2017a), the root extra-390 xylem flow pathways might be more vulnerable. In grapevine, lacunae formation in fine root 391 cortical cells may cause a strong decline in K_{root} under drying-soil conditions, which would help 392 decouple the plant from drying soil and preserve its vascular system from embolism (Cuneo et al., 393 394 2016). Notably, plant competition for soil water is not simulated in the SurEau model. As such, we assume that plants have evolved to efficiently utilize soil water, and not overspend it (Cowan, 395 1982; Buckley et al., 2017b). 396

13 Scoffoni, C., Albuquerque, Copyright © 2018 American Society of Plant Biologists. All rights reserved. Bartlett, M., Brodersen, C. R., Jansen, S., McElrone, A. J., Sack, L. (2016). The Causes of Leaf Hydraulic Vulnerability and Its Influence on Gas Exchange in Arabidopsis thaliana. Plant Physiology 178 (4) 1584-1601 – DOL: 10.1104/pp.18.00743

397

398 The light response of leaf hydraulic conductance in Arabidopsis

399 Maximum K_{leaf} for well-hydrated leaves often increases in response to light; this response has been found for 15 of 30 species tested, in species of 23 plant families (Sack et al., 2002; Gasco et al., 400 2004; Tyree et al., 2005; Cochard et al., 2007; Scoffoni et al., 2008; Voicu et al., 2008; Guyot et 401 al., 2012; Xiong et al., 2018). Further, in some species, the light enhancement of K_{leaf} is reduced 402 in dehydrated leaves, or, equivalently for those species, K_{leaf} declines with dehydration more 403 steeply under high irradiance (Guyot et al., 2012). In Arabidopsis, a previous study suggested that 404 the hydraulic conductance of entire rosettes of Arabidopsis had increased when acclimated to low 405 rather than high irradiance (Prado et al., 2013). In our experiments using the evaporative flux 406 method, we found significantly higher K_{leaf} values throughout the range of water potentials tested 407 for leaves acclimated to high irradiance, with a 60% enhancement of K_{leaf} from low to high 408 irradiance in well-hydrated leaves of Col-0. Discrepancies between these results may have arisen 409 due to methodological differences, given that in the study of Prado et al. (2013), hydraulic 410 conductance was measured by pushing water inward through the stomata of entire rosettes 411 412 suspended under water in darkness within a pressure chamber.

Notably, the light enhancement in K_{leaf} found in Arabidopsis did not result in a shift in P_{50} . 413 414 This finding indicates a proportional shift to lower values under low irradiance, throughout the range of water potentials, contrary to findings for four woody species in which leaves acclimated 415 416 to high irradiance were more vulnerable to K_{leaf} decline with dehydration (Guyot et al. 2012). The light enhancement of K_{leaf} would provide a greater hydraulic supply to meet the demand of leaves 417 acclimated to high irradiance, i.e., given strong and rapid dynamics of air temperature and 418 humidity and wind, and thus higher vapor pressure deficit and leaf boundary layer conductance. 419 420 Further, given strong transient dehydration during transpiration under these conditions, the higher K_{leaf} would contribute to rapid mesophyll rehydration at high water potential and thus enable the 421 recovery of g_s and photosynthetic rate. The light enhancement of K_{leaf} could be caused by stronger 422 temperature gradients throughout the leaf under high light and/or changes in aquaporin expression 423 (Cochard et al., 2007). Our simulations of Kox under low and high light using MOFLO 2.0 indicated 424 that K_{leaf} would be minimally enhanced by temperature gradients in the leaf caused by light 425 absorption, pointing to a role for aquaporins instead. This is consistent with the molecular evidence 426 that aquaporin expression is sensitive to light (Cochard et al., 2007; Ben Baaziz et al., 2012) and 427

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that mutltiple aquaporin isoforms are involved in a range of responses such as K_{leaf} decline during drought and K_{leaf} light enhancement (Cochard et al., 2007; Pou et al., 2013; Laur and Hacke, 2014b, a). Furthermore, aquaporins may also be involved in cell rehydration (Marco et al., 2016). Finally, aquaporins have also been suggested to play a role in a rapid enhancement of K_{leaf} when Arabidopsis is suddenly exposed to low relative humidity, compensating for the increased evapotranspiration, and allowing stomata to remain open (Levin et al., 2007).

434

435 Contribution of hydraulic traits to Arabidopsis thaliana whole-plant physiology

Arabidopsis Col-0 has high values of K_{leaf} , g_{s} and A_{area} relative to previously published values of 436 diverse angiosperm species (Flexas et al., 2013; Scoffoni and Sack, 2017), and displays strong 437 sensitivity in *K*_{leaf} and gas exchange to dehydration. This physiological behavior is consistent with 438 Arabidopsis' ruderal ecology, establishing and producing flowers and seeds in open or disturbed 439 habitats in spring/early summer (Koornneef et al., 2004). The high values of K_{leaf} were driven by 440 an especially high K_{ox} (106 mmol m⁻² s⁻¹ MPa⁻¹). This high K_{ox} is not untypical in herbs; in *Salvia* 441 *canariensis*, maximum K_{0x} reached 231 mmol m⁻² s⁻¹ MPa⁻¹ (Scoffoni et al., 2017a). Notably, a 442 443 high K_x, K_{ox} and K_{leaf} are often achieved with allocation to substantial vein length per area (VLA), which increases flow paths in parallel within the xylem and reduces flow distance outside the 444 445 xylem (Sack and Scoffoni, 2013); Arabidopsis possesses a relatively low VLA but flow distance is strongly reduced by its very thin leaf, which would also reduce K_{ox} (Brodribb et al., 2007; 446 447 Buckley et al., 2015). Furthemore, a high aquaporin activity, and/or cell wall permeability especially at the bundle sheath could potentially influence K_{0x} ; across several Arabidopsis mutants, 448 449 maximum K_{leaf} was associated with an anatomical index of bundle sheath conductivity (Caringella et al., 2015). The high K_x value could potentially arise from xylem structure, i.e., the numbers and 450 451 sizes of xylem cells within minor veins (Caringella et al., 2015; Stewart et al., 2018), in combination with high conductance between xylem conduits. Indeed, our TEM imaging showed 452 very little secondary lignification of xylem conduits throughout the midrib and other vein orders 453 (Figure 8), such that the bulk of midrib conduit walls are effectively one large pit membrane (i.e., 454 primary unlignified wall) with water potentially leaking throughout the surface, a structure that 455 456 would strongly reduce pit wall resistance and thus total xylem resistance (Choat et al., 2008).

457 Arabidopsis Col-0 also exhibits strong drought sensitivity, with its very low leaf mass per
458 area (Wright et al., 2004), a very high degree of area shrinkage during dehydration (58% shrinkage

15 Scoffoni, C., Albuquerque, Copyright © 2018 American Society of Plant Biologists. All rights reserved. Bartlett, M., Brodersen, C. R., Jansen, S., McElrone, A. J., Sack, L. (2018). The Causes of Leaf Hydraulic Vulnerability and Its Influence on Gas Exchange in Arabidopsis thaliana. Plant Physiology 178 (4) 1584-1601 – DOI : 10.1104/pp.18.00743

when dry), high minimum epidermal conductance (g_{min}) , very high osmotic potential at full turgor, 459 low modulus of elasticity and relative water content at turgor loss point, and a turgor loss point 460 461 that is among the highest values reported across angiosperm species (Bartlett et al., 2012), similar to that of the water potential at stomatal closure (g_{s95}) and at 88% loss of K_{leaf} , around -0.7MPa. 462 This detailed characterization of Arabidopsis Col-0 hydraulics traits, and their dynamics during 463 leaf dehydration and implications for whole-plant responses highlights useful avenues for high 464 throughput phenotyping, and the elucidation of genetic mechanisms controlling these key traits, 465 which would be loci for manipulation of gas exchange and drought tolerance. 466

467

468 Material and methods

469 *Plant material and growth conditions*

470 Measurements were performed on Arabidopsis thaliana Col-0 (ecotype Colombia), hereafter refered to as Arabidopsis, grown continuously from December 2015 through November 2016. We 471 472 grew Arabidopsis thaliana in a climate-controlled greenhouse at the University of California, Los Angeles. Seeds were sown in lawns in pots (3.13" width x 4.88"length x 2.31" deep) in soil 473 (1:1:2:1:1 mixture of washed plaster sand, loam, peat moss, perlite, vermiculite), and cold-474 acclimated at 4°C for three days in a chamber, then brought to the temperature-controlled 475 476 greenhouse (minimum, mean, and maximum values for temperature, 19.3°C, 22.5°C, and 33.2°C; for humidity, 24%, 63%, and 92%; for irradiance (from 0900 to 1600), 11.2, 169, 1369 µmol 477 photons m⁻² s⁻¹). We recognize that many researchers often grow Arabidopsis in growth chambers 478 under $<300 \,\mu$ mol photons m⁻² s⁻¹ irradiance, and future work should consider the variation in leaf 479 480 physiology, morphology and anatomy driven by this lower irradiance. We chose to grow Arabidopsis in a greenhouse setting where plants are exposed to light fluctuations, with temporary 481 482 high light peaks, as is experienced in the field. Indeed, this has been shown to impact plant growth 483 (Poorter et al., 2016). Further, growing plants under such high irradiance means that these were not light-limited, and thus, our findings can be compared with those for other species grown 484 without light limitation, as is typical in studies of plant hydraulic physiology. 485

When plants had true leaves after approximately one week, they were thinned to one individual per pot. Plants were watered regularly to keep soil moist. After approx. 6 weeks, at which points plants had >10-20 leaves, mature and healthy leaves were chosen for gas exchange, hydraulic and x-ray micro-computed tomography measurements. 490

491 *Leaf hydraulic conductance*

Pots were transported to the laboratory, watered and enclosed overnight in plastic bags filled with 492 wet paper towels to ensure a saturated atmosphere. To obtain a vulnerability curve spanning a 493 range of leaf water potential (Ψ_{leaf}), well-hydrated and dehydrated leaves were measured. To obtain 494 K_{leaf} values at high Ψ_{leaf} , mature and healthy leaves were directly cut at their base under water and 495 their petioles placed in a petri dish containing ultra-pure water (0.22 µm Thornton 200 CR; 496 Millipore) prior to being connected to the evaporative flux system described below. To obtain K_{leaf} 497 values at low Ψ_{leaf} , individuals were removed from the soil and dehydrated on the bench for 0.25-498 2 hours, after which they were placed in bags which had previously been exhaled into, within a 499 500 second bag filled with wet paper towels, to ensure high vapor and CO_2 concentration, to reduce stomatal opening and facilitate equilibration for 30 min. Two leaves were then measured for initial 501 Ψ_{leaf} , using a pressure chamber (Plant Moisture Stress Model 1000; PMS Instrument Co, Albany, 502 OR, USA), with a grass fitting in the compression lid; for a few leaves with round petioles, silicon 503 504 adaptors were used (Shatil-Cohen et al., 2011). On average, the two leaves measured for initial Ψ_{leaf} differed by 0.051 MPa \pm 0.008 standard error. A third mature and healthy leaf from the 505 506 dehydrated individual was measured for K_{leaf} . After the leaf petiole was cut under water, it was gently wrapped with parafilm and connected via tubing to a water source on a balance ($\pm 10 \mu g$, 507 508 models XS205 and AB265; Mettler Toledo, Columbus, OH, USA), which logged the flow rate into the leaf every 5 s to a computer. The leaf was placed over a fan and under a light source 509 (>1000 µmol m⁻²s⁻¹; model 73828, 1000 W UV filter; SearsRoebuck, Hoffman Estates, IL, USA). 510 A water bath was placed between the leaf and the light to avoid overheating the leaf, which was 511 512 kept between 23 and 28°C as measured using a thermocouple (Cole-Parmer). After a minimum of 30 min to ensure light acclimation (Scoffoni et al., 2008) and once the flow had stabilized with no 513 upward or downward trend, the average steady state flow rate for the last 5 min was recorded and 514 leaf temperature was measured (Cole-Parmer). The leaf was rapidly removed from the system, its 515 petiole dabbed dry, and placed in a bag which had previously been exhaled into. The bagged leaf 516 was placed into a second bag filled with wet paper towels, and left to equilibrate for 30 min, after 517 which final Ψ_{leaf} , was measured. Leaf area was manually traced onto paper, scanned, and measured 518 using ImageJ software (version 1.46r; National Institutes of Health). Leaf hydraulic conductance 519 (K_{leaf}) was calculated as the flow rate divided by the leaf water potential driving force (the water 520

17 Scoffoni, C., Albuquerque, Bartlett, M., Brodersen, C. R., Jansen, S., McElrone, A. J., Sack, L. (2018). The Causes of Leaf Hydraulic Vulnerability and Its Influence on Gas Exchange in Arabidopsis thaliana. Plant Physiology 178 (4) 1584-1601 DOI : 10.1104/pp.18.00743

potential of the water fed to the petiole [0 MPa] minus measured Ψ_{leaf}), normalized by leaf area and corrected for the dependence of water viscosity on temperature (to a reference value of 25°C; Weast, 1974 ; Yang and Tyree, 1993); this correction also approximately applies for the temperature dependence of vapor phase transport across this range of temperature (Buckley, 2015). Leaf hydraulic vulnerability curves were obtained as the plot of K_{leaf} vs. the most negative Ψ_{leaf} experienced by the leaf (either the initial or final).

 K_{leaf} vulnerability curves were measured under very low laboratory irradiance (light source 527 off; <3 μ mol photons m⁻² s⁻¹) and high irradiance (>1000 μ mol m⁻²s⁻¹). Measurements in very 528 low and high irradiance were performed on the same day using leaves taken from the same 529 individuals when possible, i.e., when two leaves from the same individual were mature and healthy. 530 Notably, the aim of this experiment was to test for a rapid light enhancement of K_{leaf} for high-531 light–grown individuals. Future studies are needed to investigate the plasticity in K_{leaf} and other 532 physiological and morphological traits for Arabidopsis across different light growth regimes, as 533 found to be important in a study of species of Hawaian lobeliads (Scoffoni et al., 2015). 534

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536 *Leaf xylem hydraulic conductance*

Leaf xylem hydraulic conductance was measured for six leaves (taken from six different 537 individuals) using the vacuum pump method (Kolb et al., 1996; Nardini et al., 2001; Sack et al., 538 2004; Scoffoni and Sack, 2015; Trifilo et al., 2016). Briefly, individuals were rehydrated in the 539 laboratory overnight, and kept in dark plastic bags filled with wet paper towels to ensure high 540 humidity. The next morning, a leaf was cut off the plant under ultra-pure water and placed in a 541 petri dish over a white light transilluminator table (Model TW, UVP, Upland, CA, USA) to allow 542 visualization of the fourth-order veins (=minor veins). Using a fresh scalpel, 8-15 cuts per cm² of 543 leaf area were made to the lamina, severing minor veins, to ensure that outside-xylem pathways 544 545 would be bypassed (Sack et al., 2004; Nardini and Salleo, 2005; Nardini et al., 2005b; Sack et al., 2005). Great care was taken to avoid cutting major veins; if they were cut by accident, the leaf was 546 547 discarded. Once the cuts were made, the leaf petiole was wrapped with parafilm and inserted 548 through a small rubber stopper that had been perforated using a cork borer. The small rubber 549 stopper was then connected to a tube fitting connected to silicone tubing (ColeParmer, Vernon Hills, IL, USA). The rubber stopper allowed a good seal around the petiole without crushing. We 550 obtained a vacuum tight seal by tightening the tubing around the rubber stopper with zipties and 551

18 Scoffoni, C., Albuquerque, Bartlett, M., Brodersen, C. R., Jansen, S., McElrone, A. J., Sack, L. (2018). The Causes of Leaf Hydraulic Vulnerability and Its Influence on Gas Exchange in Arabidopsis thaliana. Plant Physiology 178 (4) 1584-1601 DOI : 10.1104/pp.18.00743 sealing the petiole to the exposed end of the rubber stopper using super glue (Loctite 409 glue;
Henkel Corp., Los Angeles, CA, USA) with accelerator (Loctite 712 accelerator). Leaves were
placed inside a vacuum flask with a thermocouple (Cole-Parmer) connected by a fourway valve to
a vacuum pump (Gast) and a high-precision pressure gauge (±0.002 MPa; J4605 Marsh/Bellofram;
Marshall Instruments Inc., Anaheim, CA, USA).

We applied five increasing levels of partial vacuum, resulting in absolute pressures 557 between 0.06 and 0.02 MPa, and recorded the flow rate of water entering the leaf from a water 558 source on a balance ($\pm 10 \ \mu g$, models XS205 and AB265; Mettler Toledo, Columbus, OH, USA). 559 The average flow rate of the last 5 min of stability for a given pressure was recorded, along with 560 the temperature. The flow rate was normalized to 25° C correct for the temperature response of the 561 viscosity of water (Weast, 1974; Yang and Tyree, 1993). Leaf xylem hydraulic conductance (K_x) 562 was calculated as the slope of the flow rate vs. pressure, and normalised by leaf area, measured at 563 the end of the experiment with a flatbed scanner. The percent hydraulic resistance in the leaf xylem 564 $(\% R_x)$ and outside-xylem $(\% R_{ox})$ were calculated as: 565

- (1) $\% R_{\rm x} = \frac{1/K_{\rm x}}{1/K_{\rm leaf}} \times 100$
- (2) $\% R_{\rm ox} = 100 \% R_{\rm x}$
- 569

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570 Diurnal measurements of stomatal conductance, photosynthetic rate and whole plant hydraulic
571 conductance as a function of leaf water potential

572 Diurnal measurements of light-saturated photosynthetic rate (A_{max}) and stomatal conductance (g_s) 573 were performed in the greenhouse on 40 individuals on 10-11 November 2016 from 0900 to 1800 using a portable gas exchange system (LI-6400; LI-Cor, Lincoln, NE, USA). The chamber CO₂ 574 was set at 400 ppm and irradiance at 1000 µmol m⁻² s⁻¹ photosynthetically active radiation, and 575 leaf to air vapor pressure deficit was maintained between 0.4 and 0.6 kPa. Measurements were 576 taken after the leaf had equilibrated in the chamber for 10 min; A_{max} and g_s were logged 5 times at 577 10-sec intervals, and these five measurements were averaged. We checked that 10 min was 578 sufficient equilibration time; n = 7 leaves were kept in the chamber for an additional five minutes; 579 no significant differences were found between values taken at 10 vs. those taken at 15 min (paired 580 t-test; p = 0.08). To verify g_s measurements, additional measurements were taken using a 581

Scoffoni, C., Albuquerque, Copyright © 2018 American Society of Plant Biologists. All rights reserved. Bartlett, M., Brodersen, C. R., Jansen, S., McElrone, A. J., Sack, L. (2018). The Causes of Leaf Hydraulic Vulnerability and Its Influence on Gas Exchange in Arabidopsis thaliana. Plant Physiology. 178 (4), 1584-1601., DOI : 10.1104/pp.18.00743 porometer on the abaxial side of the leaf (Delta-T Devices; Cambridge, UK) on 10-11 November 2016 from 0900 to 1800. As expected, the g_s values obtained from the LICOR and porometer were within the same range of values and thus were pooled together during the analyses.

585 At the end of the measurement, the leaf was excised with a razor blade and immediately 586 placed in a sealable bag (Whirl-Pak, Nasco, Fort Atkinson, WI, USA), which had been previously 587 exhaled in, and the bagged leaves were placed in a second bag filled with wet paper towels. After 588 at least 30-min equilibration, Ψ_{leaf} was measured using a pressure chamber as described above.

589 Whole-plant hydraulic conductance (K_{plant} ; mmol m⁻² s⁻¹ MPa⁻¹) was estimated under the 590 assumption that soil water potential was fully saturated throughout the day (thus, $\Psi_{\text{soil}} = 0$ MPa). 591 Though we did not directly measure Ψ_{soil} , plants were well-watered and soil was always moist. 592 Thus, K_{plant} was determined from the stomatal conductance obtained from the porometer data 593 described above (measurements performed under ambient light irradiance), ambient VPD at the 594 time of measurement, and leaf water potential:

$$K_{\text{plant}} = \frac{1}{\Psi_{\text{soil}} - \Psi_{\text{leaf}}}$$

 $g_{s} \times VPD$

597 598

599 X-ray micro-computed tomography

To visualize leaf vein xylem embolism and tissue shrinkage, we used X-ray micro-computed 600 tomography (microCT) at the synchrotron at the Advanced Light Source (ALS) in Berkeley, 601 California (Beamline 8.3.2). We imaged the xylem within the midrib and lamina tissues in 18 602 603 leaves of a range of leaf water potential from 9 individuals in February 2016 at 1.27-µm resolution. Three additional individuals were further scanned in November 2016 at a higher resolution of 604 0.638 µm to check for potential collapse in xylem conduits of the midrib. Arabidopsis individuals 605 grown as described above were transported as carry-on in a plane to ALS. Individuals were fully 606 rehydrated at the start of the experiment, and whole plants were removed from the soil and 607 dehydrated on the bench for different times to obtain a range of water potentials, and equilibrated 608 in double-sealed plastic bags for 30 min, after which two leaves were excised to obtain initial leaf 609 water potential. Two of the leaves remaining attached to the plant were juxtaposed within a 610 styrofoam holder and 0.653-0.869 mm length scans were made of their midrib and surrounding 611 612 lamina at the center of each leaf. A small piece of copper wire was attached at the center of the

leaves to help center the samples for scanning. Kapton tape (DuPont, Wilmington, DE, USA) was 613 used to tape the leaves and the copper wire to the styrofoam holder to minimize sample movement 614 615 during the scan. The styrofoam with the sample enclosed was placed in a Plexiglass cylinder, attached to a custom-built aluminium sample holder mounted on an air-bearing stage, and wet 616 paper towels were placed above the sample in the Plexiglass cylinder to minimize evaporation 617 618 during the measurement. At the end of the measurement, final leaf water potential was recorded and leaf areas were measured. No significant differences in water potential before and after the 619 measurement were observed (paired t-test; p = 0.70; n = 8). Scans were made at 20-23 keV in the 620 synchrotron X-ray beam, and rotated 180° with the instrument to enable visualization of the full 621 3D internal structure of the leaf. Scans took 5-10 min to complete depending on the scan area 622 selected. Three-dimensional volume renderings were made using the AVIZO 8.1.1 software (VSG 623 624 Inc., Burlington, MA, USA), and used to count the number of embolized conduits in the entire sample and different vein orders. For the four samples that showed embolism, we measured the 625 626 length of the embolized conduit and the widths of both conduit axes at three locations along the sample length. We also visualized for each section the water-filled conduits within the midrib and 627 secondary veins to observe any potential deformation or collapse. 628

Using ImageJ software (version 1.46r; National Institutes of Health), we measured lamina tissue and cell dimensions on three cross-sectional images randomly selected in the middle of each sample. For each image, we measured thickness of the lamina and of each tissue, i.e., the abaxial and adaxial epidermises including the cuticle, and the palisade and spongy mesophyll, at three locations within the sample. We also measured the area, perimeter and diameters as well as the % intercellular airspace of palisade and spongy mesophyll cells.

635

636 Drought tolerance traits

The leaf turgor loss point, osmotic potential at full turgor, relative water content at turgor loss point and modulus of elasticity were calculated from a pressure-volume curve constructed using 29 leaves from 20 individuals previously rehydrated overnight (Supplemental Figure S3). Initial leaf mass was obtained for each single leaf before dehydration to a range of Ψ_{leaf} . Leaf water potentials were measured with a pressure chamber after 30 min of equilibration in bags with high humidity, after which the leaf mass was measured again, along with leaf area, before it was placed in a drying

21 Scoffoni, C., Albuquerque, Copyright © 2018 American Society of Plant Biologists. All rights reserved. Bartlett, M., Brodersen, C. R., Jansen, S., McElrone, A. J., Sack, L. (2018). The Causes of Leaf Hydraulic Vulnerability and Its Influence on Gas Exchange in Arabidopsis thaliana. Plant Physiology. 178 (4), 1584-1601., DOI : 10.1104/pp.18.00743

- oven at 70°C and measured for dry mass after 72 hours. Pressure-volume curve parameters were
 obtained following standard protocols (Sack and PrometheusWiki, 2010).
- We measured the minimum epidermal conductance (=cuticular plus residual stomatal 645 conductance; g_{min}) on nine mature leaves from nine individuals in June 2016 by following a 646 standard protocol (Sack et al., 2010). Individual leavess were rehydrated covered in plastic in the 647 laboratory the night before measurements. The next day, nine leaves excised, their cut petioles 648 were sealed with wax, and their fresh mass and leaf area were measured, before dehydration for 649 an hour taped to a fishing line above a fan, to ensure stomatal closure. Leaves were then repeatedly 650 taken off the fan and bagged and measured for mass every 20 min. After eight measurements were 651 obtained for a given leaf, its area was measured again. The g_{min} was calculated as the slope of mass 652 over time divided by the average mole fraction vapor pressure deficit (VPD) during the 653 654 measurement and normalized by the average of the initial and final leaf area given shrinkage with dehydration during measurement. VPD was calculated from the temperature and relative humidity 655 656 measurements obtained from a weather station (HOBO Micro Station with Smart Sensors, Onset, Bourne, MA, USA). Finally, each individual leaf was dried in an oven at 70°C for three days, and 657 dry mass and area were obtained to calculate leaf dry mass per hydrated area (LMA; in g m⁻²) and 658 the percent area shrinkage in the dried leaf relative to the hydrated leaf ($PLA_{dry}; \%$). 659

660

661 *Leaf anatomy*

Data for leaf venation and leaf cross-sectional anatomy of Col-0 to aid with interpretation of
microCT images were obtained from a previous study (Caringella et al., 2015).

To visualize xylem conduit walls, transmitted electron microscopy (TEM) was performed 664 on three leaves from three Col-0 individuals in Germany. Small samples (ca. 2 mm wide and 8 665 666 mm long) from leaf midribs (and surrounding mesphyll) were cut under water and fixed in 667 glutaraldehyde (2.5% glutaraldehyde, 0.1 mol phosphate, 1% saccharose, ph 7.3) overnight. After being washed in phosphate buffer and post-fixed with 2% OsO4, samples were dehydrated in a 668 series of propanol solutions (30%, 50%, 70%, 90% and three times 100%). Samples were finally 669 670 immersed in 1.2-propylenoxide (CAS-Nr. 75.56-9, Fontenay-sous-Bois, France) and gradually 671 embedded in Epon resin (Sigma-Aldrich, Steihneim, Germany) and polymerized at 60°C for 48 h. Ultra-thin sections (<90 nm thick) were made with a Leica Ultracut UTC microtome (Leica 672 Microsystems GmbH, Wetzlar, Germany) and placed on copper slot grids). Observations were 673

Scoffoni, C., Albuquerque, Bartlett, M., Brodersen, C. R., Jansen, S., McElrone, A. J., Sack, L. (2018). The Causes of Leaf Hydraulic Vulnerability and Its Influence on Gas Exchange in Arabidopsis thaliana. Plant Physiology 178 (4) 1584-1601. DOI: 10.1104/pp.18.00743 676

677 Modelling of hydraulic function across scales from tissues to whole plant

We applied a framework of four models across scales to compute the mechanisms underlying K_{leaf} decline inside and outside the xylem, the causal role of K_{leaf} decline in driving stomatal closure, and the implications for gas exchange under simulated drought regimes (Table 1).

We first estimated the causal importance of mechanistic drivers of K_{leaf} decline using 681 spatially explicit models of the leaf veins (K LEAF; Cochard et al., 2004b; Scoffoni et al., 2017b) 682 and outside-xylem pathways (MOFLO 2.0: Buckley et al., 2017a). Using K LEAF, we tested 683 whether xylem embolism and/or conduit collapse could explain the observed decline in K_{leaf} . We 684 first tested for the impact of the embolisms observed with microCT imaging in the midrib and 685 secondary veins on the xylem hydraulic conductance (K_x) and ultimately K_{leaf} (see Supplemental 686 Methods for more information on model parameterization; Supplemental Table S2). We tested the 687 potential effect of the collapse of tertiary and minor vein conduits on K_x under two scenarios: (1) 688 689 a "realistic" impact of conduit collapse on conduit conductivity (13% decline in tertiary and minor vein conductivity, similar to that observed in Quercus rubra at turgor loss point by Zhang et al., 690 691 2016), and (2) a more severe conduit collapse scenario which would induce 50% decline in tertiary and minor vein conduit conductivity (see Supplemental Methods). Using MOFLO 2.0, we 692 693 investigated the potential drivers of decline in outside-xylem hydraulic conductance with dehydration. We simulated the impact of an 80% decline in cell membrane permeability, and/or 694 695 decline in cell-to-cell liquid phase hydraulic connectivity given the anatomical changes due to cell shrinkage at -0.5 MPa under different scenarios: (1) with or without an apoplastic barrier to liquid-696 697 phase water transport across the bundle sheath, (2) under either no light or with an irradiance of 600 µmol m⁻² s⁻¹ photosynthetically active radiation to clarify a potential role of transdermal 698 temperature gradients (Supplemental Methods; Supplemental Table S2). 699

We then quantified the direct influence of K_{leaf} decline on g_s decline with dehydration, using a partitioning approach. We first considered the empirical maximum likelihood functions relating K_{leaf} and g_s to leaf water potential:

703

705

704 (4) $g_{\rm s} = -451 \times |\Psi_{\rm leaf}| + 339$

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706 (5)
$$K_{\text{leaf}} = 6.83 + 81.4 \exp(-7.56 \times |\Psi_{\text{leaf}}|)$$

708 Ψ_{leaf} is in turn a function of g_s , K_{leaf} , soil water potential (Ψ_{soil}) and the water vapor mole fraction 709 gradient (Δw):

710

707

711 (6)
$$\Psi_{\text{leaf}} = \Psi_{\text{soil}} - \Delta w \frac{g_s}{\kappa_{\text{leaf}}}$$

712

As Ψ_{leaf} declines during leaf dehydration, the resulting declines in g_s and K_{leaf} lead to changes in their ratio, g_s/K_{leaf} . If K_{leaf} declines more rapidly than g_s with Ψ_{leaf} , such that the ratio g_s/K_{leaf} increases, the decline in Ψ_{leaf} will be amplified, and consequently so will the decline in g_s itself. Therefore, K_{leaf} decline with dehydration would contribute to stomatal closure. The fraction of g_s decline with Ψ_{leaf} that can be attributed to K_{leaf} decline, F, is

718

719 (7)
$$F = \frac{\frac{\partial g_{\rm S}}{\partial \kappa_{\rm leaf}} \frac{\partial \kappa_{\rm leaf}}{\partial \Psi_{\rm leaf}}}{\frac{\partial g_{\rm S}}{\partial \Psi_{\rm leaf}}}$$

720

where the partial derivative in the numerator is the sensitivity of g_s to Ψ_{leaf} with Ψ_{soil} and Δw held constant (1.5 kPa). That partial derivative is given by

724 (8)
$$\frac{\partial g_s}{\partial K_{\text{leaf}}} = \frac{\partial g_s}{\partial \Psi_{\text{leaf}}} \frac{\partial \Psi_{\text{leaf}}}{\partial K_{\text{leaf}}} = \frac{\partial g_s}{\partial \Psi_{\text{leaf}}} \left[-\Delta w \left(\frac{1}{K_{\text{leaf}}} \frac{\partial g_s}{\partial K_{\text{leaf}}} - \frac{g_s}{K_{\text{leaf}}^2} \right) \right]$$

725

726 Solving for $\partial g_s / \partial K_{\text{leaf}}$ gives 727

728 (9)
$$\frac{\partial g_{s}}{\partial K_{\text{leaf}}} = \frac{\frac{\partial g_{s}}{\partial \Psi_{\text{leaf}}} \frac{g_{s} \Delta w}{K_{\text{leaf}}^{2}}}{1 + \frac{\partial g_{s}}{\partial \Psi_{\text{leaf}}} \frac{\Delta w}{K_{\text{leaf}}}} = \frac{\frac{\partial g_{s}}{\partial \Psi_{\text{leaf}}} \frac{g_{s}}{K_{\text{leaf}}}}{\frac{\partial g_{s}}{\partial \Psi_{\text{leaf}}} + \frac{K_{\text{leaf}}}{\Delta w}}$$

729

730 Combining 7 and 9 gives *F* as 731 $\partial \kappa_{\text{leaf}} g_{\text{s}}$

732 (10)
$$F = \frac{\frac{\partial \Psi_{\text{leaf}}}{\partial \Psi_{\text{leaf}}} \frac{\partial S}{K_{\text{leaf}}}}{\frac{\partial g_s}{\partial \Psi_{\text{leaf}}} + \frac{K_{\text{leaf}}}{\Delta w}}$$

733

Finally, using a simplified discrete-time soil–plant hydraulic model (SurEau; Martin-StPaul et al., 2017), we estimated the influence of K_{leaf} decline on stomatal closure under varying

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simulations of soil and atmospheric drought. We simulated transpiration, stomatal conductance, 736 cumulative photosynthetic rate, cumulative water-use efficiency, water potential and the percent 737 738 loss of xylem hydraulic conductance (PLC) daily and during the course of soil drying until plant death (i.e., PLC = 100%). We performed these simulations following four different scenarios: (1) 739 K_{leaf} and K_{root} were both vulnerable to dehydration prior to turgor loss point (using the function of 740 K_{leaf} vs. Ψ_{leaf} measured with the EFM, and the vulnerability of K_{root} obtained from that of K_{leaf} and 741 K_{plant} , assuming no stem resistance in Arabidopsis; Supplemental Figure S4); (2) K_{leaf} was 742 vulnerable but not K_{root} (K_{root} was kept constant until xylem embolism occurred in the root); (3) 743 K_{root} was vulnerable but not K_{leaf} (K_{leaf} was kept constant until xylem embolism occurred in the 744 leaf); and (4) neither K_{leaf} nor K_{root} were vulnerable to dehydration (i.e., both K_{leaf} and K_{root} were 745 kept at constant values until xylem embolism occurred) (Supplemental Methods; Supplemental 746 747 Table S3).

749 Statistics

748

We selected functions for the responses of K_{plant} , K_{leaf} , g_s and A_{max} to Ψ_{leaf} using a maximum 750 751 likelihood framework (Burnham and Anderson, 2002; Sack et al., 2006). For the gs and Amax curve fitting, extremely low values at the beginning or end of the day when stomata were shut in well-752 hydrated leaves ($\Psi_{\text{leaf}} > -0.01$ MPa) were discarded, and likely represented the effects of the 753 mechanical advantage of epidermal cells preventing stomatal opening in turgid leaves (Guyot et 754 755 al., 2012); these points represented 3/63 and 2/26 of the points for g_s and A_{max} respectively. We selected the maximum likelihood model using the optim function in R 3.4.1 (http://www.r-756 757 project.org;). We fitted four types of functions to the curves, as previously used in the literature (Scoffoni et al., 2012), where $Y = K_{leaf}$, A_{area} or g_s , and Ψ_{leaf} is leaf water potential: linear (Y = a 758 $\Psi_{\text{leaf}} + y_0$), two-parameter sigmoidal (Y = a / (1 + e^{(-(\Psi_{\text{leaf}} - x_0)/b)})), logistic (Y = a / (1 + (\Psi_{\text{leaf}} / x_0)^b))), 759 and exponential $(Y = y_0 + a \times e^{-(b \times \Psi \text{leaf})})$. We estimated the maximum Y value by extrapolating to 760 $\Psi_{\text{leaf}} = 0$ and, as indices of decline with dehydration, the Ψ_{leaf} at which maximum Y values 761 decreased by 50% and 95%. Because the best-fit function for the Kleaf vulnerability curve was 762 763 exponential and the Y value at $\Psi_{\text{leaf}} = 0$ was extrapolated to a very high unrealistic value, we also 764 estimated the maximum K_{leaf} by averaging all points above -0.1 MPa (K_{max}), as has been typically done in the literature (i.e., Sack et al., 2003; Nardini et al., 2005a; Brodribb and Jordan, 2008; 765 Scoffoni et al., 2008; Scoffoni et al., 2015). 766

25 Scoffoni, C., Albuquerque, Copyright © 2018 American Society of Plant Biologists. All rights reserved. Bartlett, M., Brodersen, C. R., Jansen, S., McElrone, A. J., Sack, L. (2018). The Causes of Leaf Hydraulic Vulnerability and Its Influence on Gas Exchange in Arabidopsis thaliana. Plant Physiology, 178 (4), 1584-1601. , DOI : 10.1104/pp.18.00743 To test for an effect of light on K_{leaf} , we selected the best-fit function for the response of *K*_{leaf} to Ψ leaf, combining data for laboratory irradiance and high irradiance treatments, using a maximum-likelihood framework as explained above. We then calculated the residual variation for each leaf, subtracting the measured K_{leaf} (and irradiance) from the predicted K_{leaf} at the given Ψ_{leaf} , based on the best fit. We then performed a *t*-test on the residuals obtained for the high vs. low irradiance leaves across the entire range of Ψ_{leaf} , as well as just for points above turgor loss point, and for well-hydrated leaves (above -0.2MPa).

To determine the contribution of each correlated predictor variables (Time, PAR, Temperature, VPD, Ψ_{leaf}) to the observed variation in g_s diurnally, we applied independent effects analysis (Murray and Conner, 2009) using the hier.part function in R.3.4.1.

777

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786

787 Figure captions

Figure 1. Decline of leaf hydraulic conductance (K_{leaf}) measured under high (>1000 µmol

789 photons m⁻² s⁻¹) or low (< 3 μ mol photons m⁻² s⁻¹) irradiance. The maximum likelihood function

is shown for K_{leaf} vulnerability acclimated under high light ($K_{\text{leaf}} = 6.83 + 81.4 \exp(-7.56 \times$

791 $|\Psi_{\text{leaf}}|$), and low light ($K_{\text{leaf}} = 8.98 + 84.2 \exp(-13.2 \times |\Psi_{\text{leaf}}|)$). The dashed line

respresents the water potential at 50% loss of K_{leaf} (similar in both treatments).

793

Figure 2. Plant hydraulic and gas exchange response to dehydration in Arabidopsis. Decline of

the whole plant hydraulic conductance (K_{plant} ; A), stomatal conductance (g_s ; B) and light-

- saturated photosynthetic rate (*A*area; C) with dehydration. Each point represents a different
- 797 measured leaf. *K*_{plant} was obtained from the porometer data by dividing transpiration by leaf

26 Scoffoni, C., Albuquerque, Copyright © 2018 American Society of Plant Biologists. All rights reserved. Bartlett, M., Brodersen, C. R., Jansen, S., McElrone, A. J., Sack, L. (2018). The Causes of Leaf Hydraulic Vulnerability and Its Influence on Gas Exchange in Arabidopsis thaliana. Plant Physiology, 178 (4), 1584-1601, DOI : 10.1104/pp.18.00743

water potential (assuming soil water potential was at full saturation). The black fitted line in each pannel is the maximum likelihood function (exponential for $K_{\text{plant}} = 2.0 + 91.1 \exp(-7.75 \times$ $|\Psi_{\text{leaf}}|$); linear for $g_s = 339 - 451 \times |\Psi_{\text{leaf}}|$, and $A_{\text{area}} = 14.4 - 19.2 \times |\Psi_{\text{leaf}}|$). The dotted grey line is the leaf water potential (Ψ_{leaf}) at 50% loss of maximum K_{plant} , g_s or A_{area} . Because trait values above -0.1 MPa were especially low (white circles), likely representing stomatal closure at those high water potentials (see *Methods*), we did not include these points in the line fitting.

805

Figure 3. Lack of embolism observed in midrib conduits of Arabidopsis thaliana (Col-0) across 806 levels of dehydration as revealed by in vivo images of leaf midribs subjected to progressive 807 808 dehydration using micro-computed tomography (A-C). Water-filled cells appear in light grey in microCT. If air-filled (i.e., embolized) conduits were present, they would appear as black in the 809 xylem portion of the midrib. There was no embolism, as shown in these images by the red 810 arrows pointing at the entirely light grey midrib xylem. The leaf water potential (Ψ_{leaf}) has been 811 provided for each image. The inset in (A) represents a leaf midrib cross-section imaged under 812 light microscopy, with the red arrow pointing to the xylem tissue (dark blue conduits). 813 814

Figure 4. Rare embolisms were observed in a few individual leaves. In two samples, an 815 embolized conduit was observed in the midrib; it continued into a secondary vein (A, F; the 816 embolized conduits are depicted in vellow). The embolized conduit in the midrib and secondary 817 vein can be seen in cross-sections (B, D, G, I) and longitudinal sections of the microCT scan (C, 818 E, H, J). The arrows point to the embolized conduit (appearing as black in the microCT image). 819 Because of the two dimensionalities of these sections, embolism in the midrib and secondary 820 vein might appear disconnected (C, E). Note that while the embolism was present in only one 821 conduit per cross-sectional image, multiple conduits spanned the length of the midrib and 822 823 secondary vein (as can be observed in K, where two conduits can be seen connected to one another). Most likely, a first conduit in the midrib embolized, and all the conduits directly 824 connected to that one upstream embolized after. In one sample, an embolized conduit was 825 observed isolated in the secondary vein (L-N), while in another sample, an embolized conduit 826 was observed spanning the midrib length (O-Q). 827

828

840

Figure 5. Results from simulations using a spatially explicit model of leaf outside-xylem water 829 to test for potential drivers of the decline in K_{ox} in dehydrating leaves transport (MOFLO 2.0, see 830 831 Table 1 and Methods). The K_{ox} was first computed based on the decline of observed cell size and air space alone (grey bars), which resulted in an increase in K_{0x} (negative percent loss of K_{0x} ; 832 mainly due to shortening of pathways from the veins to stomata). We then modelled K_{ox} decline 833 834 according to three scenarios (though always including the effect of tissue dimensional changes): an 80% decline at -0.5MPa in (1) cell connectivity (red bars), (2) cell membrane permeability 835 (blue bars), and (3) cell wall thickness (black bars). All simulations were run with (Ap; darker 836 color) or without an apoplastic barrier (No Ap; lighter color) at the bundle sheath cells. The 837 vellow star on the x-axis represents the % observed K_{leaf} decline at -0.5MPa (measured with the 838 839 evaporative flux method, see Methods).

Figure 6. Model simulations mapping the contribution of the decline of leaf hydraulic conductance (K_{leaf}) decline to that of stomatal conductance (g_s) with dehydration (Table 1).

844 Figure 7. Daily simulated patterns of stomatal conductance (A), leaf water potential (B), cumulative CO₂ assimilation (C) and the percent loss of leaf xylem hydraulic conductance (D) 845 846 during the progression of a simulated soil drought (SurEau Model, see Table 1 and *Methods*). Four scenarios were modelled: (1) both leaf hydraulic conductance (K_{leaf}) and root hydraulic 847 848 conductance (K_{root}) were vulnerable to dehydration prior to turgor loss point (yellow lines), (2) K_{leaf} was vulnerable, but not K_{root} (red lines), 3) K_{root} was vulnerable, but not K_{leaf} (light blue 849 850 lines), or (4) neither K_{leaf} nor K_{root} was vulnerable (dark blue lines). The inset in (C) shows cumulative water-use efficiency (WUE; calculated as cumulative CO₂ assimilation (A) over total 851 852 transpiration rate (E)) over time. Scenarios including a vulnerable K_{leaf} showed leaves that 853 showed highest water-use efficiency, cumulative CO₂ assimilation and survived longer under drought conditions. 854

855

856 Figure 8. Transmitted-electron microscopy of Arabidopsis thaliana (Col-0) midrib cross-

sections. In A, the entire xylem portion of the midrib can be seen. Black arrows point to the lack

of secondary lignified wall around xylem conduits. These long primary wall sections can be

observed in more detail in B. The white arrow points to a lignified portion of the secondary

Scoffoni, C., Albuquerque, Copyright © 2018 American Society of Plant Biologists. All rights reserved. Bartlett, M., Brodersen, C. R., Jansen, S., McElrone, A. J., Sack, L. (2018). The Causes of Leaf Hydraulic Vulnerability and Its Influence on Gas Exchange in Arabidopsis thaliana. Plant Physiology, 178 (4), 1584-1601, . DOI : 10.1104/pp.18.00743 xylem wall. We hypothesize that the xylem resistance through these deeply helicoidal xylem

conduits is greatly reduced, as unlignified primary cells effectively work as one large pit

- 862 membrane.
- 863

864 Supplemental Table and Figure captions

- 865 **Supplemental Table S1.** K_LEAF simulation inputs
- 866 Supplemental Table S2. Model inputs and simulation results from MOFLO 2.0.
- 867 Supplemental Table S3. SurEau inputs
- 868 Supplemental Figure S1. External environmental drivers of stomatal conductance measured
- diurnally in a greenhouse with a porometer.

870 Supplemental Figure S2. Leaf water potential is the main driver of observed diurnal variation in
871 stomatal conductance.

- 872 Supplemental Figure S3. Pressure-volume curve for *Arabidopsis thaliana* (Col-0).
- 873 **Supplemental Figure S4.** Vulnerability curve of the plant (*K*_{plant}; brown), leaf (*K*_{leaf}; green) and
- 874 root (K_{root} ; yellow) hydraulic conductance.

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- **Table 1**. Modelling framework across scales to determine the underlying mechanisms linking K_{leaf} decline to gas exchange. Symbols: 876
- A_{area} = leaf photosynthetic rate; g_{min} = minimum epidermal conductance; g_{max} = maximum stomatal conductance; g_{s} = stomatal 877
- conductance; K_{leaf} = leaf hydraulic conductance; K_x = leaf xylem hydraulic conductance; K_{ox} = leaf outside-xylem hydraulic 878
- 879 conductance; PLC= percent loss of hydraulic conductance; VPD= vapor pressure deficit; Ψ_{leaf} = leaf water potential.

Model	Purpose	Input	Output	Results
K_LEAF (Cochard et al., 2004; Scoffoni et al., 2017)	Model the influence of xylem embolism and potential conduit collapse on K_x and K_{leaf}	Leaf size, number of secondary veins and theoretical conductivities from the different veins orders at (1) at full turgor, and after accounting (2) for the decline caused by observed embolism in midrib and/or secondary veins, and (3) for the decline potentially caused by collapsed xylem conduits of tertiary and higher order veins (under a "realistic" collapsed scenario as observed in an oak species (Zhang et al., 2016) which caused 13% PLC, and a more severe scenario _ cousing 50% PLC)	Leaf xylem hydraulic conductance	Neither embolism nor xylem conduit collapse caused a decline in K_x substantial enough to explain the observed decline in K_{leaf} .
MOFLO 2.0 (Buckley et al., 2017)	Model the influence of changes in outside-xylem pathways on K_{ox} and K_{leaf}	Cell shrinkage and % intercellular airspace at -0.5MPa obtained from microCT, stomatal conductance (abaxial and adaxial), vapor pressure deficit and bulk leaf temperature. Simulations were performed under no light or 600 μ mol m ⁻² s ⁻¹ photosynthetically active radiation, with or without an apoplastic barrier at the bundle sheath, and with or without an 80% decline in cell membrane permeability and/or cell connectivity.	Leaf outside-xylem hydraulic conductance	Reduction of cell membrane permeability in the context of an apoplastic barrier would account for most of the K_{leaf} decline observed at -0.5MPa. Temperature gradients through the leaf due to irradiance had little impact on K_{ox} .
Marginal contribution of <i>K</i> decline (refined from Rodriguez- Dominguez et al., 2016)	Quantify the influence of K_{leaf} decline on g_s decline	Parameters from the maximum likelihood function of g_s and K_{leaf} vs. Ψ_{leaf} , VPD set at a constant value (1.5 kPa), and a computed range of % g_s decline (0 to 100% decline in gs with Ψ_{leaf}).	Contribution of K_{leaf} decline to g_{s} decline with dehydration.	K_{leaf} decline explains most of the changes in g_s during mild to moderate dehydration.
SurEau (Martin- StPaul et al., 2017)	Quantify the influence of K_{leaf} decline on gas exchange in whole plant context during drought	Parameters from the maximum likelihood function of K_{leaf} vs. Ψ_{leaf} , parameters from the function of K_{root} vs. water potential, g_{\min} , g_{\max} , Farqhar's model inputs, PAR, air temperature, air humidity, time of day, transpiration under well-hydrated conditions, soil volume.	Soil water reserve, water potentials, transpiration rate, g_s , A_{area} , PLC.	Decline in K_{leaf} causes leaf water potential to drop, which in turn causes both g_s and A_{area} to decline under increasing VPD and decreasing soil water potential.

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Table 2. Mean \pm standard error for the physiological and anatomical traits measured for *Arabidopsis thaliana (col-0)*. Symbols: K_{max} : maximum leaf hydraulic conductance: $K \pm \log f$ xulom hydraulic conductance: $K = \log f$ xulom hydraulic conductance: $M = \log f$ xulom hydraulic con

885	conductance; K_x : leaf xylem hydraulic conductance; $\% R$	x: percent resistance outside the leaf xylem;	$g_{\rm s}$: stomatal conductance; $A_{\rm max}$:	maximum light
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saturated photosynthetic rate; P_{50} , P_{88} , and P_{95} : leaf water potential at 50, 88 ns 95% decline in a given trait.

Trait	Units	Col-0
Hydraulics and gas exchange		
K _{max}	mmol m ⁻² s ⁻¹ MPa ⁻¹	59.9 ± 1.76
$K_{ m leaf0.1-0.2MPa}$	mmol m ⁻² s ⁻¹ MPa ⁻¹	33.1 ± 4.55
K_{x}	mmol m ⁻² s ⁻¹ MPa ⁻¹	138.4 ± 14.5
Kox	mmol m ⁻² s ⁻¹ MPa ⁻¹	106
$\% R_{\rm ox}$	%	54.4
$\% R_{\text{leaf}}$	%	85.7
$g_{ m s}$	mmol $m^{-2} s^{-1}$	339 ± 24.9
A_{\max}	μ mol m ⁻² s ⁻¹	14.4 ± 2.72
$K_{\text{leaf}} P_{50}$	MPa	-0.17
$g_{s} P_{50}$	MPa	-0.38
$A_{ m area}P_{50}$	MPa	-0.37
$K_{\text{leaf}} P_{88}$	MPa	-0.72
$g_{s} P_{95}$	MPa	-0.71
$A_{\rm area} P_{95}$	MPa	-0.71
Drought-tolerance traits		
Turgor loss point (TLP)	MPa	-0.73
Osmotic potential at full turgor (π_0)	MPa	-0.63
Modulus of elasticity (ϵ)	MPa	5.70
Relative water content at turgor loss point (RWC _{TLP})	%	84.1
Leaf mass per unit leaf area (LMA)	$g m^{-2}$	13.6 ± 0.89
Percent loss of area in a dry leaf (<i>PLA</i> _{dry})	%	57.9 ± 3.05
Minimum epidermal conductance (g_{\min})	mmol $m^{-2} s^{-1}$	18.6 ± 1.33
Leaf anatomical traits		
Distance from vein to lower epidermis (VED)	mm	0.067 ± 0.002
Total vein length per area (VLA)	$mm mm^{-2}$	3.04 ± 0.08
Minor vein length per area (minor VLA)	$mm mm^{-2}$	1.79 ± 0.08
Major vein length per area (major VLA)	mm mm ⁻²	1.25 ± 0.05
$K_{ m t,\ midrib\ per\ leaf}$ area	mmol $m^{-1} s^{-1} MPa^{-1}$	0.27 ± 0.12
$K_{ m t,\ minor\ per}$ leaf area	mmol $m^{-1} s^{-1} MPa^{-1}$	0.003 ± 0.0008

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Table 3. Observations of embolized conduits and dimensions from microCT and their simulated impact on leaf xylem hydraulic 888 conductance using the spatially explicit K_LEAF model. Because we did not have the resolution to determine whether conduit collapse 889 occurs in tertiary and minor veins, two simulations were performed based on minor vein conduit collapse observed in Quercus rubra 890 (see Methods). Note that two leaves were imaged at each water potential (22 leaves total); embolism at the four water potentials below 891 were only found in one of the two leaves tested at that water potential. 892

Leaf water potential (MPa)	Number of embolized conduits			Length of embolized conduit (µm)	Diameter of embolized conduit conduit (µm)		Simulated percent loss of xylem hydraulic conducance (%)		
	midrib	2°	<i>3</i> °+	midrib	midrib	2°	Embolism only	Embolism + "realistic" 3°+ vein collapse (13% PLC)	Embolism + "severe" 3°+ vein collapse (50%PLC)
-0.06	0	0	0	-	-	-	0	-	-
-0.08	0	0	0	-	-	-	0	-	-
-0.13	1	1	0	>898	7.3	8.7	7.13	9.99	19.1
-0.16	0	0	0	-	-	-	0	-	-
-0.18	2	2	0	258; >600	6.2; 5.3	5.2; 3.5	3.96	6.70	16
-0.45	0	1	0	-	-	5.2	0.96	3.12	9.1
-0.48	1	0	0	>850	5.9	-	1.16	3.96	13
-0.50	0	0	0	-	-	-	0	-	-
-0.69	0	0	0	-	-	-	0	-	-
-0.79	0	0	0	-	-	-	0	-	-
-0.87	0	0	0	-	-	-	0	2.82	12

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Figure 1. Decline of leaf hydraulic conductance (K_{leaf}) measured under high (>1000 µmol photons m⁻² s⁻¹) or low (< 3 µmol photons m⁻² s⁻¹) irradiance. The maximum likelihood function is shown for K_{leaf} vulnerability acclimated under high light ($K_{\text{leaf}} = 6.83 + 81.4 \exp(-7.56 \times |\Psi_{\text{leaf}}|)$), and low light ($K_{\text{leaf}} = 8.98 + 84.2 \exp(-13.2 \times |\Psi_{\text{leaf}}|)$). The dashed line respresents the water potential at 50% loss of K_{leaf} (similar in both treatments).

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Figure 2. Plant hydraulic and gas exchange response to dehydration in Arabidopsis. Decline of the whole plant hydraulic conductance (K_{plant} ; A), stomatal conductance (g_s ; B) and light-saturated photosynthetic rate (A_{area} ; C) with dehydration. Each point represents a different measured leaf. K_{plant} was obtained from the porometer data by dividing transpiration by leaf water potential (assuming soil water potential was at full saturation). The black fitted line in each pannel is the maximum likelihood function (exponential for $K_{\text{plant}} = 2.0 + 91.1 \exp(-7.75 \times |\Psi_{\text{leaf}}|)$; linear for $g_s = 339 - 451 \times |\Psi_{\text{leaf}}|$, and $A_{\text{area}} = 14.4 - 19.2 \times |\Psi_{\text{leaf}}|$). The dotted grey line is the leaf water potential (Ψ_{leaf}) at 50% loss of maximum K_{plant} , g_s or A_{area} . Because trait values above -0.1 MPa were especially low (white circles), likely representing stomatal

Scoffoni, C., Albuquerque, Convincent citer codocument. 2 Bartlett, M., Brodersen, C. R., Jarsen, S., McElrone, A. J., Sack, L. (2018). The Causes of Leaf Hydraulic Vulnerability and Its Influence on Gas Exchange in Arabidopsis thaliana. Plant Physiology. 178 (4), 1584-1601, DOI: 10.1104/pp.18.00743 closure at those high water potentials (see *Methods*), we did not include these points in the line fitting.

Scoffoni, C., Albuquerque, C. Copyright © 2018 American Society of Plant Biologists. All rights reserved. Bartlett, M., Brodersen, C. R., Jansen, S., McElrone, A. J., Sack, L. (2018). The Causes of Leaf Hydraulic Vulnerability and Its Influence on Gas Exchange in Arabidopsis thaliana. Plant Physiology. 178 (4), 1584-1601., DOI : 10.1104/pp.18.00743



Figure 3. Lack of embolism observed in midrib conduits of *Arabidopsis thaliana* (Col-0) across levels of dehydration as revealed by in vivo images of leaf midribs subjected to progressive dehydration using micro-computed tomography (A-C). Water-filled cells appear in light grey in microCT. If air-filled (i.e., embolized) conduits were present, they would appear as black in the xylem portion of the midrib. There was no embolism, as shown in these images by the red arrows pointing at the entirely light grey midrib xylem. The leaf water potential (Ψ_{leaf}) has been provided for each image. The inset in (A) represents a leaf midrib cross-section imaged under light microscopy, with the red arrow pointing to the xylem tissue (dark blue conduits).

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Figure 4. Rare embolisms were observed in a few individual leaves. In two samples, an embolized conduit was observed in the midrib; it continued into a secondary vein (A, F; the

embolized conduits are depicted in yellow). The embolized conduit in the midrib and secondary vein can be seen in cross-sections (B, D, G, I) and longitudinal sections of the microCT scan (C, E, H, J). The arrows point to the embolized conduit (appearing as black in the microCT image). Because of the two dimensionalities of these sections, embolism in the midrib and secondary vein might appear disconnected (C, E). Note that while the embolism was present in only one conduit per cross-sectional image, multiple conduits spanned the length of the midrib and secondary vein (as can be observed in K, where two conduits can be seen connected to one another). Most likely, a first conduit in the midrib embolized, and all the conduits directly connected to that one upstream embolized after. In one sample, an embolized conduit was observed isolated in the secondary vein (L-N), while in another sample, an embolized conduit was observed spanning the midrib length (O-Q).



Figure 5. Results from simulations using a spatially explicit model of leaf outside-xylem water to test for potential drivers of the decline in K_{ox} in dehydrating leaves transport (MOFLO 2.0, see Table 1 and *Methods*). The K_{ox} was first computed based on the decline of observed cell size and air space alone (grey bars), which resulted in an increase in K_{ox} (negative percent loss of K_{ox} ; mainly due to shortening of pathways from the veins to stomata). We then modelled K_{ox} decline according to three scenarios (though always including the effect of tissue dimensional changes): an 80% decline at -0.5MPa in (1) cell connectivity (red bars), (2) cell membrane permeability (blue bars), and (3) cell wall thickness (black bars). All simulations were run with (Ap; darker color) or without an apoplastic barrier (No Ap; lighter color) at the bundle sheath cells. The yellow star on the *x*-axis represents the % observed K_{leaf} decline at -0.5MPa (measured with the evaporative flux method, see *Methods*).

Scoffoni, C., Albuquerque, Bartlett, M., Brodersen, C. R., Jarsen, S., McElrone, A. J., Sack, L. (2018). The Causes of Leaf Hydraulic Vulnerability and Its Influence on Gas Exchange in Arabidopsis thaliana. Plant Physiology 178 (4) 1584-1601. DOI: 10.1104/pp.18.00743



Figure 6. Model simulations mapping the contribution of the decline of leaf hydraulic conductance (K_{leaf}) decline to that of stomatal conductance (g_s) with dehydration (Table 1).

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Figure 7. Daily simulated patterns of stomatal conductance (A), leaf water potential (B), cumulative CO₂ assimilation (C) and the percent loss of leaf xylem hydraulic conductance (D) during the progression of a simulated soil drought (SurEau Model, see Table 1 and *Methods*). Four scenarios were modelled: (1) both leaf hydraulic conductance (K_{leaf}) and root hydraulic conductance (K_{root}) were vulnerable to dehydration prior to turgor loss point (yellow lines), (2) K_{leaf} was vulnerable, but not K_{root} (red lines), 3) K_{root} was vulnerable, but not K_{leaf} (light blue lines), or (4) neither K_{leaf} nor K_{root} was vulnerable (dark blue lines). The inset in (C) shows cumulative water-use efficiency (WUE; calculated as cumulative CO₂ assimilation (A) over total transpiration rate (*E*)) over time. Scenarios including a vulnerable K_{leaf} showed leaves that showed highest water-use efficiency, cumulative CO₂ assimilation and survived longer under drought conditions.

Scoffoni, C., Albuquerque, Bartlett, M., Brodersen, C. R., Jarsen, S., McElrone, A. J., Sack, L. (2018). The Causes of Leaf Hydraulic Vulnerability and Its Influence on Gas Exchange in Arabidopsis thaliana. Plant Physiology, 178 (4), 1584-1601., DOI: 10.1104/pp.18.00743



Figure 8. Transmitted-electron microscopy of *Arabidopsis thaliana* (Col-0) midrib crosssections. In A, the entire xylem portion of the midrib can be seen. Black arrows point to the lack of secondary lignified wall around xylem conduits. These long primary wall sections can be observed in more detail in B. The white arrow points to a lignified portion of the secondary xylem wall. We hypothesize that the xylem resistance through these deeply helicoidal xylem conduits is greatly reduced, as unlignified primary cells effectively work as one large pit membrane.

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