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# Effective heuristics for matchings in hypergraphs

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## Effective heuristics for matchings in hypergraphs

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Project-Teams ROMA, DataMove

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**Abstract:** The problem of finding a maximum cardinality matching in a  $d$ -partite  $d$ -uniform hypergraph is an important problem in combinatorial optimization and has been theoretically analyzed by several researchers. In this work, we first devise heuristics for this problem by generalizing the existing cheap graph matching heuristics. Then, we propose a novel heuristic based on tensor scaling to extend the matching via judicious hyperedge selections. Experiments on random, synthetic and real-life hypergraphs show that this new heuristic is highly practical and superior to the others on finding a matching with large cardinality.

**Key-words:**  $d$ -dimensional matching, tensor scaling, matching in hypergraphs, Karp-Sipser heuristic

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## Heuristiques efficaces pour le couplage dans des hypergraphes

**Résumé :** Le problème consistant à trouver un couplage maximal dans un hypergraphe uniforme ayant  $d$  parts est un problème important en optimisation combinatoire. Dans ce travail, nous concevons d'abord des heuristiques pour ce problème en généralisant les heuristiques de couplage dans des graphes. Ensuite, nous proposons une nouvelle heuristique basée sur des méthodes de mise à l'échelle de tenseur pour étendre le couplage via des sélections judicieuses d'hyperarêtes. Des expériences sur des hypergraphes aléatoires, synthétiques et réels montrent que cette nouvelle heuristique est simple à mettre en pratique et supérieure aux autres pour trouver des couplages de grande cardinalité.

**Mots-clés :** couplage, hypergraphes, heuristique Karp–Sipser

## 1 Introduction

A hypergraph  $H = (V, E)$  consists of a finite set  $V$  and a collection  $E$  of subsets of  $V$ . The set  $V$  is called vertices, and the collection  $E$  is called hyperedges. A hypergraph is called *d-partite* and *d-uniform*, if  $V = \bigcup_{i=1}^d V_i$  with disjoint  $V_i$ s and every hyperedge contains a single vertex from each  $V_i$ . A matching in a hypergraph is a set of disjoint hyperedges. In this paper, we investigate effective heuristics for finding large matchings in *d-partite*, *d-uniform* hypergraphs.

Finding a maximum cardinality matching in a *d-partite*, *d-uniform* hypergraph for  $d \geq 3$  is NP-Complete; the 3-partite case is called MAX-3-DM problem [24]. This problem has been studied mostly in the context of local search algorithms [22], and the best known algorithm is due to Cygan [7] who provides  $((d+1+\epsilon)/3)$ -approximation, building on previous work [8, 19]. It is also shown that it is NP-Hard to approximate MAX-3-DM within  $98/97$  [3]. Similar bounds exist for higher dimensions: the hardness of approximation for  $d = 4, 5$  and  $6$  are shown to be  $54/53 - \epsilon$ ,  $30/29 - \epsilon$ , and  $23/22 - \epsilon$ , respectively [20].

Finding a maximum cardinality matching in a *d-partite*, *d-uniform* hypergraph is a special case of the *d-SET-PACKING* [21]. It has been shown that *d-SET-PACKING* is hard to approximate within a factor of  $\mathcal{O}(d/\log d)$  [21]. The maximum/perfect set packing problem has many applications in the literature such as combinatorial auctions [18] and personnel scheduling [16].

Our contributions in this paper are as follows. We propose four heuristics: The first two heuristics are adaptations of the well-known *Greedy* [13] and *Karp-Sipser* [25] heuristics proposed for bipartite graph maximum cardinality matching. *Greedy* traverses the edge list in random order and adds an edge to the matching whenever possible. *Karp-Sipser* introduces certain rules to *Greedy* to improve the cardinality. The third heuristic is inspired by a recent scaling-based approach proposed for the maximum cardinality matching problem on graphs [10–12]. The fourth one finds a matching for a reduced,  $(d-1)$ -dimensional problem and exploits it for  $d$ -dimensions. This heuristic uses an exact algorithm for the bipartite matching problem. We perform experiments to evaluate the performance of these heuristics on special classes of random hypergraphs as well as real-life data.

One plausible way to tackle the problem is to create the line graph  $G$  for a given hypergraph  $H$ . The line graph is created by identifying each hyperedge of  $H$  with a vertex in  $G$ , and by connecting two vertices with an edge in  $G$ , iff the corresponding hyperedges share a common vertex in  $H$ . Then, successful heuristics for computing large independent sets in graphs, e.g., *KaMIS* [26], can be used to compute large matchings in hypergraphs. This approach, although promising quality-wise, could be impractical. This is so, since building  $G$  from  $H$  requires quadratic run time (in terms of the number of hyperedges) and more importantly quadratic storage (again in terms of the number of hyperedges) in the worst case. While this can be acceptable in some instances, in some others it would not. We have such instances in the experiments. Notice that while a heuristic for the independent set problem can be of linear time complexity in graphs, due to our graphs being a line graph, the actual complexity could be high.

The rest of the paper is organized as follows. Section 2 introduces the notation and summarizes the background material. The proposed heuristics are summarized in Section 3. Section 4 presents the experimental results and Section 5 concludes the paper.

## 2 Background and notation

Tensors are multidimensional arrays, generalizing matrices to higher orders. Let  $\mathbf{T}$  be a  $d$ -dimensional tensor whose size is  $n_1 \times \dots \times n_d$ . The elements of  $\mathbf{T}$  are shown with  $\mathbf{T}_{i_1, \dots, i_d}$ , where  $i_j \in \{1, \dots, n_j\}$ . A *marginal* is a  $(d - 1)$ -dimensional section of a  $d$ -dimensional tensor, obtained by fixing one of its indices. A  $d$ -dimensional tensor where the entries in each of its marginals sum to one is called  $d$ -stochastic. In a  $d$ -stochastic tensor, all dimensions necessarily have the same size  $n$ . A  $d$ -stochastic tensor where each marginal contains exactly one nonzero entry (equal to one) is called a permutation tensor. Franklin and Lorenz [14] show that if a nonnegative tensor  $\mathbf{T}$  has the same zero-pattern as a  $d$ -stochastic tensor  $\mathbf{B}$ , then one can find a set of  $d$  vectors  $x^{(1)}, x^{(2)}, \dots, x^{(d)}$  such that  $\mathbf{T}_{i_1, \dots, i_d} \cdot x_{i_1}^{(1)} \cdot \dots \cdot x_{i_d}^{(d)} = \mathbf{B}_{i_1, \dots, i_d}$  for all  $i_1, \dots, i_d \in \{1, \dots, n\}$ . In fact, a multidimensional version of the algorithm for doubly-stochastic scaling (of matrices) by Sinkhorn and Knopp [29] can be used to obtain these  $d$  vectors.

A  $d$ -partite,  $d$ -uniform hypergraph  $H = (V_1 \cup \dots \cup V_d, E)$  can be naturally represented by a  $d$ -dimensional tensor. This is done by associating each tensor dimension to a vertex class. Let  $|V_i| = n_i$ . Let the tensor  $\mathbf{T} \in \{0, 1\}^{n_1 \times \dots \times n_d}$  have a nonzero element  $\mathbf{T}_{v_1, \dots, v_d}$  iff  $(v_1, \dots, v_d)$  is an edge of  $H$ . Then,  $\mathbf{T}$  is called the adjacency tensor of  $H$ . We will use this correspondence for our third heuristic which enhances **Karp-Sipser** with tensor-scaling to improve the matching cardinality. In  $H$ , if a vertex is a member of only a single hyperedge we call it a degree-1 vertex. Similarly, if it is a member of only two we call it a degree-2 vertex.

In the *k-out random hypergraph model*, given  $V$ , each vertex  $u \in V$  selects  $k$  hyperedges from the set  $E_u = \{e : e \subseteq V, u \in e\}$  in a uniformly random fashion and the union of these edges form  $E$ . We are interested in the  $d$ -partite  $d$ -uniform case, and hence  $E_u = \{e : |e \cap V_i| = 1 \text{ for } 1 \leq i \leq d, u \in e\}$ . This model generalizes the random  $k$ -out bipartite graphs [31]. Devlin and Kahn [9] investigate fractional matchings in these hypergraphs, and mention in passing that  $k$  should be exponential in  $d$  to ensure that a perfect matching exists.

## 3 Heuristics for maximum $d$ -dimensional matching

A matching which cannot be extended with more edges is called maximal. In this work, we propose heuristics for finding maximal matchings on  $d$ -partite,  $d$ -uniform hypergraphs. For such hypergraphs, any maximal matching is a  $d$ -approximate matching. The bound is tight and can be verified for  $d = 3$ . Let  $H$  be a 3-partite  $3 \times 3 \times 3$  hypergraph with the following edges  $e_1 = (1, 1, 1)$ ,  $e_2 = (2, 2, 2)$ ,  $e_3 = (3, 3, 3)$  and  $e_4 = (1, 2, 3)$ . The maximum matching is  $\{e_1, e_2, e_3\}$  but the edge  $\{e_4\}$  alone forms a maximal matching.

### 3.1 A Greedy heuristic for Max- $d$ -DM

There exist two variants of **Greedy** proposed for graph matching in the literature. In short, the first one [13] randomly visits the edges whereas the second one randomly visits the vertices [27]. We consider the first variant where **Greedy** traverses the hyperedges in random order and adds the current hyperedge to the matching whenever possible. Since any maximal matching is possible as its output, **Greedy** is a  $d$ -approximation heuristic. It provides matchings of varying quality, depending upon the order in which the hyperedges are processed.

### 3.2 Karp-Sipser for Max- $d$ -DM

A widely-used heuristic to obtain a (maximal) matching in graphs is the **Karp-Sipser** heuristic [25]. On a graph, the heuristic iteratively adds a random edge to the matching and reduces the graph by removing its endpoints, as well as their edges. Whenever possible, **Karp-Sipser** does not apply a random selection but reduces the problem size, i.e., number of vertices in the graph, by one via two rules:

- **Rule 1:** At any time during the heuristic, if a degree-1 vertex appears it is matched with its only neighbor.
- **Rule 2:** Otherwise, if a degree-2 vertex  $u$  appears with neighbors  $\{v, w\}$ ,  $u$  (and its edges) is removed from the current graph, and  $v$  and  $w$  are merged to create a new vertex  $vw$  whose set of neighbors is the union of those of  $v$  and  $w$  (except  $u$ ). A maximum cardinality matching for the reduced graph can be extended to obtain one for the current graph by matching  $u$  with either  $v$  or  $w$  depending on  $vw$ 's match.

Both rules are optimal in the sense that they do not reduce the cardinality of maximum matching in the current graph they are applied on. We now discuss how to adapt **Karp-Sipser** for  $d$ -partite,  $d$ -uniform hypergraphs. Similar to the original one, the modified heuristic iteratively adds a random hyperedge to the matching, remove its  $d$  endpoints, as well as their hyperedges. However, the random selection is not applied whenever hyperedges defined by the following lemmas appear.

**Lemma 1.** *During the heuristic, if a hyperedge  $e$  with at least  $d - 1$  degree-1 endpoints appears, there exists a maximum cardinality matching in the current hypergraph containing  $e$ .*

**Proof.** Let  $H'$  be the current hypergraph at hand and  $e = (u_1, \dots, u_d)$  be a hyperedge in  $H'$  whose  $d - 1$  endpoints are degree-1 vertices. Let  $M'$  be a maximum cardinality matching in  $H'$ . If  $e \in M'$ , we are done. Otherwise, assume that  $u_d$  is the endpoint matched by a hyperedge  $e' \in M'$  (note that if  $u_d$  is not matched  $M'$  can be extended with  $e$ ). Since  $u_i$ ,  $1 \leq i < d$ , are not matched in  $M'$ ,  $M' \setminus \{e'\} \cup \{e\}$  defines a valid maximum cardinality matching for  $H'$ .  $\square$   $\square$

**Lemma 2.** *During the heuristic, let  $e = (u_1, \dots, u_d)$  and  $e' = (u'_1, \dots, u'_d)$  be two hyperedges sharing at least one endpoint where for an index set  $\mathcal{J} \subset \{1, \dots, d\}$  of cardinality  $d - 1$ , the vertices  $u_i, u'_i$  for all  $i \in \mathcal{J}$  only touch  $e$  and/or  $e'$ . That is for each  $i \in \mathcal{J}$ , either  $u_i = u'_i$  is a degree-2 vertex or  $u_i \neq u'_i$  and they are both degree-1 vertices. For  $j \notin \mathcal{J}$ ,  $u_j$  and  $u'_j$  are arbitrary. Then, in the current hypergraph, there exists a maximum cardinality matching having either  $e$  or  $e'$ .*

**Proof.** Let  $H'$  be the current hypergraph at hand and  $j \notin \mathcal{J}$  be the remaining part id. Let  $M'$  be a maximum cardinality matching in  $H'$ . If either  $e \in M'$  or  $e' \in M'$ , we are done. Otherwise,  $u_i$  and  $u'_i$  for all  $i \in \mathcal{J}$  are unmatched by  $M'$ . Furthermore, since  $M'$  is maximal, both  $u_j$  and  $u'_j$  must be matched by  $M'$  (otherwise,  $M'$  can be extended by either  $e$  or  $e'$ ). Let  $e'' \in M'$  be the hyperedge matching  $u_j$ . Then  $M' \setminus \{e''\} \cup \{e\}$  defines a valid maximum cardinality matching for  $H'$ .  $\square$   $\square$

Whenever such hyperedges appear, the rules below are applied in the same order:

- **Rule 1:** At any time during the heuristic, if a hyperedge  $e$  with at least  $d - 1$  degree-1 endpoints appears, instead of a random edge,  $e$  is added to the matching and removed from the hypergraph.

- **Rule 2:** Otherwise, if two hyperedges  $e$  and  $e'$  as defined in Lemma 2 appear, they are removed from the current hypergraph with the endpoints  $u_i, u'_i \forall i \in \mathcal{J}$ . The remaining two distinct endpoints  $u_j$  and  $u'_j, j \notin \mathcal{J}$  are merged to create a new vertex  $u_j u'_j$ . The hyperedge list of the new vertex is defined by the union of  $u_j$ 's and  $u'_j$ 's hyperedge lists (except  $e$  and  $e'$ ). After obtaining a maximal matching on the reduced hypergraph, depending on the hyperedge matching  $u_j u'_j$ , either  $e$  or  $e'$  can be used to obtain a larger matching in the current hypergraph.

Although the extended rules usually lead to improved results in comparison to Greedy, Karp-Sipser still adheres to the  $d$ -approximation bound of maximal matchings. To see this, we can use the toy example given as a worst-case for Greedy. For the example given at the beginning of Section 3, Karp-Sipser generates a maximum cardinality matching by applying the first rule. However, when  $e_5 = (2, 1, 3)$  and  $e_6 = (3, 1, 3)$  are added to the example, neither of the two rules can be applied. As before, in case  $e_4$  is randomly selected, it alone forms a maximal matching.

### 3.3 Karp-Sipser-scaling for Max- $d$ -DM

Karp-Sipser can be modified for better decisions in case neither of the two rules hold. In our variant, instead of a random selection, we first scale the adjacency tensor of  $H$  and obtain a  $d$ -stochastic tensor  $\mathbf{T}$ . We then augment the matching by adding the edge which corresponds to the largest value in  $\mathbf{T}$ . The modified heuristic is summarized in Algorithm 1.

Our inspiration comes from the  $d = 2$  case and more specifically from the relation between scaling and matching. It is known due to Birkhoff [4] that the polytope of  $n \times n$  doubly stochastic matrices is the convex hull of the  $n \times n$  permutation matrices. A nonnegative matrix  $\mathbf{A}$  where all entries participate in some perfect matching can be scaled with two positive diagonal matrices  $\mathbf{R}$  and  $\mathbf{C}$  such that  $\mathbf{RAC}$  is doubly stochastic. Otherwise, provided that  $\mathbf{A}$  has a perfect matching, it can still be scaled to a doubly stochastic form, but not with these positive diagonal matrices. In this case, the entries not participating in any perfect matching tend to be zero in the scaled matrix. This fact is exploited to provide randomized approximation algorithms for graph matching [10, 11]. By using the scaling as a preprocessing step and choosing edges with a probability corresponding to the scaled entry, the edges which are not included in a perfect matching become less likely to be chosen. The current algorithm differs from these approaches by selecting a single hyperedge at each step and applying scaling again before the next selection.

Unfortunately for  $d \geq 3$ , there is no equivalent of Birkhoff's theorem as demonstrated by the following lemma.

**Lemma 3.** *For  $d \geq 2$ , there exist extreme points in the set of  $d$ -stochastic tensors which are not permutations tensors.*

**Proof.** We provide a  $2 \times 2 \times 2$  tensor  $\mathbf{T}^3$  with an inspiration from [6]. For convenience, we depict  $\mathbf{T}^3$  by two  $2 \times 2$  matrices as follows which are the marginals of the 3rd dimension:

$$\mathbf{T}_{::,1}^3 = \begin{bmatrix} \frac{1}{2} & 0 \\ 0 & \frac{1}{2} \end{bmatrix} \text{ and } \mathbf{T}_{::,2}^3 = \begin{bmatrix} 0 & \frac{1}{2} \\ \frac{1}{2} & 0 \end{bmatrix}$$

The maximum matching cardinality in this tensor is 1 and it cannot be written as a linear combination of permutation tensors. This particular extreme point can be extended for higher  $d$  by

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**Algorithm 1** Karp-Sipser-scaling
 

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**Input:** A  $d$ -partite  $d$ -uniform  $n_1 \times \dots \times n_d$  hypergraph  $H = (V, E)$ 
**Output:** A maximal matching  $M$  of  $H$ 

```

1:  $M \leftarrow \emptyset$     ► Initially  $M$  is empty
2:  $S \leftarrow \emptyset$     ► Stack for the merges for Rule 2
3: while  $H$  is not empty do
4:   Remove the isolated vertices from  $H$ 
5:   if  $\exists e = (u_1, \dots, u_d)$  as in Rule 1 then
6:      $M \leftarrow M \cup \{e\}$     ► Add  $e$  to the matching
7:     Apply the reduction for Rule 1 on  $H$ 
8:   else if  $\exists e = (u_1, \dots, u_d), e' = (u'_1, \dots, u'_d)$  and  $\mathcal{J}$  as in Rule 2 then
9:     Let  $j$  be the part index where  $j \notin \mathcal{J}$ 
10:    Apply the reduction for Rule 2 on  $H$  by introducing a merged vertex  $u_j u'_j$ 
11:     $E' = \{(v_1, \dots, u_j u'_j, \dots, v_d) : \forall (v_1, \dots, u_j, \dots, v_d) \in E\}$     ► Edges of  $u_j$ 
12:     $S.\text{push}(e, e', u_j u'_j, E')$     ► Store the current merge
13:   else
14:      $\mathbf{T} \leftarrow \text{SCALE}(\text{adj}(H))$     ► Scale the adjacency tensor of  $H$ 
15:      $e \leftarrow \arg \max_{(u_1, \dots, u_d)} (\mathbf{T}_{u_1, \dots, u_d})$     ► Find the maximum entry in  $\mathbf{T}$ 
16:      $M \leftarrow M \cup \{e\}$     ► Add  $e$  to the matching
17:     Remove all hyperedges of  $u_1, \dots, u_d$  from  $E$ 
18:      $V \leftarrow V \setminus \{u_1, \dots, u_d\}$ 
19:   while  $S \neq \emptyset$  do
20:      $(e, e', u_j u'_j, E') \leftarrow S.\text{pop}()$     ► Get the most recent merge
21:     if  $u_j u'_j$  is not matched by  $M$  then
22:        $M \leftarrow M \cup \{e\}$ 
23:     else
24:       Let  $e'' \in M$  be the hyperedge matching  $u_j u'_j$ 
25:       if  $e'' \in E'$  then
26:         Replace  $u_j u'_j$  in  $e''$  with  $u'_j$ 
27:          $M \leftarrow M \cup \{e'\}$ 
28:       else
29:         Replace  $u_j u'_j$  in  $e''$  with  $u_j$ 
30:          $M \leftarrow M \cup \{e\}$ 
    
```

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setting  $\mathbf{T}_{u_1, u_2, u_3, \dots, u_3}^d = \mathbf{T}_{u_1, u_2, u_3}^3$  for each nonzero element  $\mathbf{T}_{u_1, u_2, u_3}^3$  and for higher  $n$  by setting  $\mathbf{T}_{3, \dots, 3}^d = \dots = \mathbf{T}_{n, \dots, n}^d = 1$ . □ □

These extreme points can be used to generate other  $d$ -stochastic tensors as linear combinations. Due to the lemma above, we do not have the theoretical foundation to imply that hyperedges corresponding to the large entries in the scaled tensor will likely participate in a perfect matching. Nonetheless, the entries not in any perfect matching tend to become zero, however, we cannot be sure that this happens to all. For the worst case example of Karp-Sipser described above, the scaling indeed helps the entries corresponding to  $e_4, e_5$  and  $e_6$  to become zero.

Let  $\mathbf{S}^3$  be the tensor obtained by swapping the 2nd and 3rd dimensions of  $\mathbf{T}^3$ . We can see that the tensor  $\frac{1}{2}\mathbf{T}^3 + \frac{1}{2}\mathbf{S}^3$  has a perfect matching, however, obtained by a linear combination of two extreme points that are not permutation tensors. This shows that even when the heuristic selects entries from such extreme points, we can still end up with a high quality matching.

On a  $d$ -partite,  $d$ -uniform hypergraph  $H = (V, E)$ , the Sinkhorn-Knopp algorithm used for

scaling operates in iterations, each of which requires  $\mathcal{O}(|E| \times d)$  time. In practice, we perform only a few iterations (e.g., 10–20). Since, we can match at most  $|V|/d$  hyperedges, the overall run time cost associated with scaling is  $\mathcal{O}(|V| \times |E|)$ . A straightforward implementation of the second rule can take quadratic time in the worst case of a large number of repetitive merges with a given vertex. In practice, more of a linear time behavior should be observed for the second rule.

### 3.4 Reduction to bipartite graph matching

A perfect matching in a  $d$ -partite,  $d$ -uniform hypergraph  $H$  remains perfect when projected on a  $(d-1)$ -partite,  $(d-1)$ -uniform hypergraph obtained by removing one of  $H$ 's dimensions. Matchability in  $(d-1)$ -dimensional sub-hypergraphs has been investigated in [1] to provide an equivalent of Hall's Theorem to hypergraphs with  $d$  dimensions. These observations lead us to handle the  $d$ -partite,  $d$ -uniform case by recursively asking for matchings in  $(d-1)$ -partite,  $(d-1)$ -uniform hypergraphs and so on, until  $d=2$ .

Let us start with the case where  $d = 3$ . Let  $G = (V_G, E_G)$  be the bipartite graph with the vertex set  $V_G = V_1 \cup V_2$  obtained by deleting  $V_3$  from a 3-partite, 3-regular hypergraph  $H = (V, E)$ . The edge  $(u, v) \in E_G$  iff there exists a hyperedge  $(u, v, z) \in E$ . One can also assign a weight function  $w(\cdot)$  to the edges during this step such as

$$w(u, v) = |\{z : (u, v, z) \in E\}|. \quad (1)$$

A maximum weighted (product, sum, etc.) matching algorithm can be used to obtain a matching  $M_G$  on  $G$ . A second bipartite graph  $G' = (V_{G'}, E_{G'})$  is then created with  $V_{G'} = (V_1 \times V_2) \cup V_3$  and  $E_{G'} = \{(uv, z) : (u, v) \in M_G, (u, v, z) \in H\}$ . Under this construction, any matching in  $G'$  corresponds a valid matching in  $H$ . Furthermore, if the weight function (1) defined above is used the following holds.

**Proposition 4.** *Let  $w(M_G) = \sum_{(u,v) \in M_G} w(u, v)$  be the size of the matching  $M_G$  found in  $G$ . Then  $G'$  has  $w(M_G)$  edges.*

Thus, by selecting a maximum weighted matching  $M_G$  and maximizing  $w(M_G)$ , the largest number of edges will be kept in  $G'$ .

For  $d$ -dimensional matching, a similar process is followed. First, an ordering  $i_1, i_2, \dots, i_d$  of the dimensions is defined. At the  $j$ th step, the matching is found between the dimension cluster  $i_1 i_2 \dots i_j$  and dimension  $i_{j+1}$  by similarly solving a bipartite matching instance where the edge  $(u_1 \dots u_j, v)$  exists iff vertices  $u_1, \dots, u_j$  were matched in previous steps and there exists an edge  $(u_1, \dots, u_j, v, z_{j+2}, \dots, z_d)$  in  $H$ . Although sounds promising, in our experiments, this approach yields worse results than the above mentioned heuristics. We believe, this happens, since at each step, we impose more and more conditions on the matching and there is no chance to recover from bad decisions.

Unlike the previous heuristics, this algorithm does not have any approximation guarantee. We depict this with the following lemma.

**Lemma 5.** *The worst-case approximation ratio of the bipartite-reduction algorithm is  $\Omega(n)$  if an arbitrary matching is returned in  $G'$  or weight function (1) is used.*

**Proof.** We discuss initially the case for  $d = 3$  and assume  $n \geq 5$ . Consider an  $n \times n \times n$  hypergraph  $H$  with edges  $e_i = (u_i, v_i, z_i)$ ,  $e'_i = (u_i, v_{1+i \bmod n}, z_2)$  and  $e''_i = (u_i, v_{1+i \bmod n}, z_3)$  for  $i \in \{1, \dots, n\}$ . There is a perfect matching containing all edges  $e_1, \dots, e_n$ .

Suppose we create  $G$  by projecting the 3rd dimension. Then, the edges in  $G$  are either of the form  $h_i = (u_i, v_i)$  with  $w(h_i) = 1$  or  $h'_i = (u_i, v_{1+i \bmod n})$  with  $w(h'_i) = 2$ . Both  $\{h_1, \dots, h_n\}$  and  $\{h'_1, \dots, h'_n\}$  form perfect matchings in  $G$ . If the weight function (1) is used, the algorithm will necessarily find the perfect matching  $\{h'_1, \dots, h'_n\}$ . Otherwise, any matching algorithm can arbitrarily return  $\{h'_1, \dots, h'_n\}$ .

Assuming that  $\{h'_1, \dots, h'_n\}$  is returned, the graph  $G'$  will have  $2n$  edges. The edges will be either in the form  $he_i = (u_i v_{1+i \bmod n}, z_2)$  or  $he'_i = (u_i v_{1+i \bmod n}, z_3)$  for  $i \in \{1, \dots, n\}$ . As seen,  $z_2$  and  $z_3$  are the only two vertices of the 3rd dimension which can be matched.

The algorithm will return a perfect matching, if we project a dimension other than the 3rd one. To extend  $H$  such that the approximation ratio is  $\Omega(n)$  whichever dimension is projected, we need to introduce the following four additional set of edges:  $e_i^{(3)} = (u_2, v_i, z_{1+i \bmod n})$ ,  $e_i^{(4)} = (u_3, v_i, z_{1+i \bmod n})$ ,  $e_i^{(5)} = (u_{1+i \bmod n}, v_2, z_i)$  and  $e_i^{(6)} = (u_{1+i \bmod n}, v_3, z_i)$  for  $i \in \{1, \dots, n\}$  that mirror  $\{e'_1, \dots, e'_n\}$  and  $\{e''_1, \dots, e''_n\}$ . In this case, the maximum matching in  $G'$  will always be 5, as again the edges in  $\{e_1, \dots, e_n\}$  will be ignored.

The result holds for higher  $d$  by noting that  $H$  alongside its extension are valid 3-partite hypergraphs that can occur after a matching for vertices in dimensions  $i_1, \dots, i_{d-2}$  has been found.

□

□

### 3.5 Performing local search

A local search heuristic is proposed by Hurkens and Schrijver [22]. It starts from a feasible maximal matching  $M$  and performs a series of swaps until it is no longer possible. In a swap,  $k$  edges of  $M$  are replaced with at least  $k + 1$  new edges from  $E \setminus M$  so that the cardinality of  $M$  increases by at least one. These  $k$  edges from  $M$  can be replaced with at most  $d \times k$  new edges. Hence, these edges can be found by a polynomial algorithm enumerating all the possibilities. The approximation guarantee improves with higher  $k$  values. Local search algorithms are limited in practice due to their high time complexity. The algorithm might have to examine all  $\binom{|M|}{k}$  subsets of  $M$  to find a feasible swap at each step. The algorithm by Cygan [7] which achieves  $(\frac{d+1+\varepsilon}{3})$ -approximation is based on a different swap scheme but is also not suited for large hypergraphs.

## 4 Experiments

To understand the relative performance of the proposed heuristics, we conducted a wide variety of experiments with both synthetic and real-life data. We compare the adapted Greedy and Karp-Sipser heuristics with the proposed Karp-Sipser-scaling. When  $d = 3$ , we also consider a local search heuristic [22]. In the  $d = 3$  case, this heuristic repeatedly replaces one hyperedge from a matching  $M$  with hyperedges from  $E \setminus M$  to increase the cardinality of  $M$ . We did not consider local search schemes for higher dimensions or with better approximation ratios as they are too computationally expensive. For the random models examined in Section 4.1, we generate ten random hypergraphs for each parameter setting and report the average cardinality of the heuristics over these ten instances. For each hypergraph, we perform ten runs of Greedy and Karp-Sipser with different random decisions and take the maximum cardinality obtained. Since we do not have random decisions within Karp-Sipser-scaling, we run it only once.

	$d$	$k$				$d$	$k$		
		$d^{d-3}$	$d^{d-2}$	$d^{d-1}$			$d^{d-3}$	$d^{d-2}$	$d^{d-1}$
$n = 10$	2	-	0.87	1.00	$n = 30$	2	-	0.84	1.00
	3	0.80	1.00	1.00		3	0.88	1.00	1.00
	4	1.00	1.00	1.00		4	0.99	1.00	1.00
	5	1.00	1.00	1.00		5	*	1.00	1.00
$n = 20$	2	-	0.88	1.00	$n = 50$	2	-	0.87	1.00
	3	0.85	1.00	1.00		3	0.84	1.00	1.00
	4	1.00	1.00	1.00		4	*	1.00	1.00
	5	1.00	1.00	1.00		5	*	*	*

Table 1 – The average maximum matching cardinalities on random  $k$ -out hypergraphs for  $k \in \{d^{d-3}, d^{d-2}, d^{d-1}\}$ ,  $d \in \{2, \dots, 5\}$ , and  $n \in \{10, 20, 30, 50\}$ . Each number is the average of maximum matching cardinalities for five random  $k$ -out hypergraphs. No runs for  $k = d^{d-3}$  for  $d = 2$ , and the problems marked with \* were not solved within 24 hours.

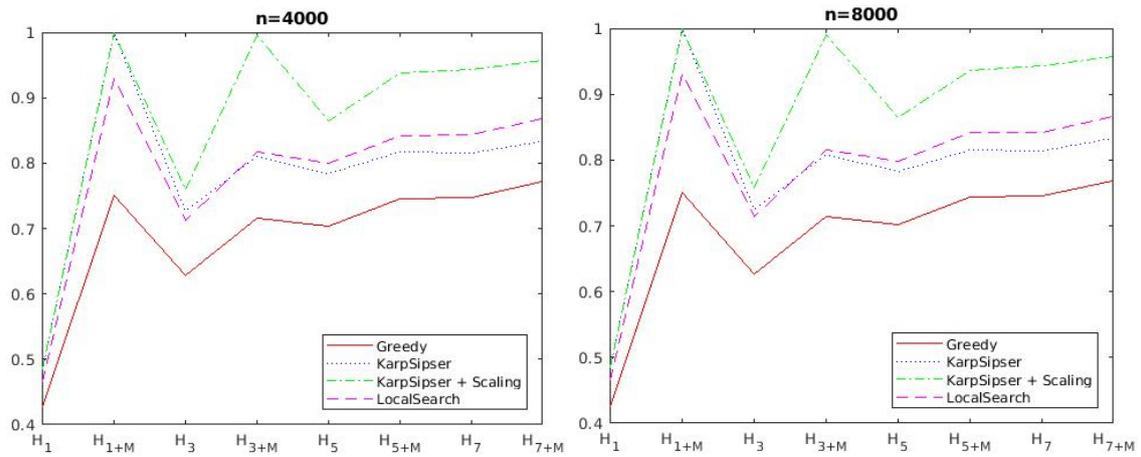
### 4.1 Experiments on random hypergraphs

We perform experiments on two classes of  $d$ -partite,  $d$ -uniform random hypergraphs where each part has  $n$  vertices. The first class contains sparse random graphs, and the second one contains random  $k$ -out hypergraphs.

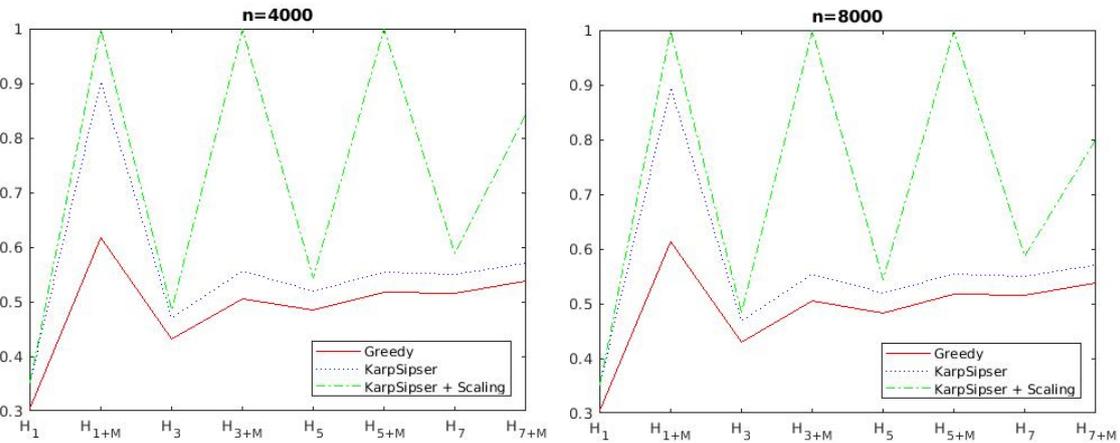
For the first set of experiments, we create randomly a  $d$ -partite,  $d$ -uniform hypergraph  $H_i$  with  $i \times n$  hyperedges for  $i \in \{1, 3, 5, 7\}$ ,  $n \in \{4000, 8000\}$ , and  $d \in \{3, 6, 9\}$ . These hypergraphs are created by choosing the vertices of a hyperedge uniformly at random for each dimension. Duplicates are not allowed. We then created another set of hypergraphs  $H_{i+M}$ , where we added a perfect matching to  $H_i$ . The results of these experiments are seen in Figure 1, where we show two subfigures for each  $d$ . In each sub-figure, the  $y$ -axis is the ratio of matching cardinality to  $n$ , whereas the  $x$ -axis marks correspond to the experiments with  $H_i$  and  $H_{i+M}$ , for  $i = 1, 3, 5, 7$ . As seen in this figure, Karp-Sipser performs consistently better than Greedy, and furthermore, Karp-Sipser-scaling performs significantly better than Karp-Sipser. Karp-Sipser-scaling works even better than the local search heuristic, and it is the only heuristic that is capable of finding planted perfect matchings for a significant number of the runs. In particular on  $H_{i+M}$ 's, when  $d > 3$  it finds a perfect matching in all cases except when  $d = 6$  and  $i = 7$ . For  $d = 3$  it finds a perfect matching only when  $i = 1$  and attains a near perfect matching when  $i = 3$ . Nonetheless its performance is still better than the second best alternative, which is local search.

The second class we experimented on is random  $k$ -out hypergraphs where each vertex chooses  $k$  of the hyperedges it can be a member of uniformly at random. Hence (ignoring the duplicate ones), these hypergraphs have around  $d \times k \times n$  hyperedges. These  $k$ -out ( $d$ -partite and  $d$ -uniform) hypergraphs have been recently analyzed in the matching context by Devlin and Kahn [9]. They state in passing that  $k$  should be exponential in  $d$  for a perfect matching to exist with high probability. The bipartite graph variant of the same problem, i.e., with  $d = 2$ , has been extensively studied in the literature [15, 23, 31]; a perfect matching almost always exists in a random 2-out bipartite graph [31].

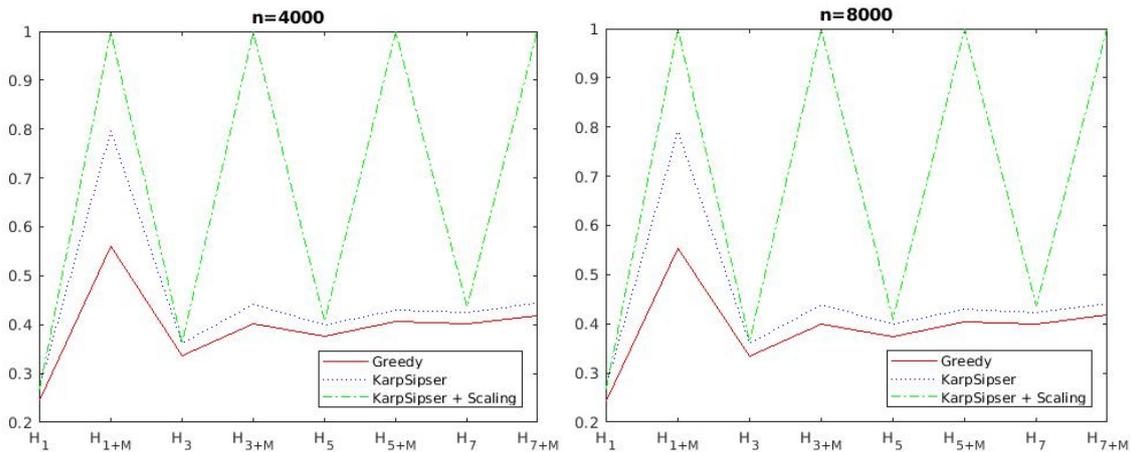
In our preliminary experiments, we implemented the linear program of  $d$ -dimensional matching in CPLEX and found the maximum cardinality of a matching in  $k$ -out hypergraphs with



(a)  $d = 3$ ,  $n = 4000$  (left) and  $n = 8000$  (right)



(b)  $d = 6$ ,  $n = 4000$  (left) and  $n = 8000$  (right)



(c)  $d = 9$ ,  $n = 4000$  (left) and  $n = 8000$  (right)

Figure 1 – The performance of the heuristics on  $d$ -partite,  $d$ -uniform random hypergraphs where  $H_i$  contains  $i \times n$  random hyperedges and  $H_{i+M}$  contains an additional perfect matching. In the plots, the  $y$ -axis is the ratio of matching cardinality to  $n$  whereas the  $x$ -axis is the number of hyperedges.

$k \in \{d^{d-3}, d^{d-2}, d^{d-1}\}$  for  $d \in \{2, \dots, 5\}$  and  $n \in \{10, 20, 30, 50\}$ . For each  $(k, d, n)$  triple, we created five hypergraphs and computed their maximum cardinality matchings. For  $k = d^{d-3}$ , we encountered several hypergraphs with no perfect matching, especially for  $d = 3$ . The hypergraphs with  $k = d^{d-2}$  were also lacking a perfect matching for  $d = 2$ . However, all the hypergraphs we created with  $k = d^{d-1}$  had at least one. Based on these results, we experimentally confirm Devlin and Kahn's statement. We also conjecture that  $d^{d-1}$ -out random hypergraphs have perfect matchings almost surely. The average maximum matching cardinalities we obtained in this experiment are given in Table 1. In this table, we do not have results for  $k = d^{d-3}$  for  $d = 2$ , and the cases marked with \* were not solved within 24 hours.

In the follow-up experiments, we compared the performance of the proposed heuristics on random  $k$ -out hypergraphs with  $d \in \{3, 6, 9\}$  and  $n \in \{1000, 10000\}$ . We tested with  $k$  values equal to powers of 2 for  $k \leq d \log d$ . The results are summarized in Figure 2. The  $x$ -axis in each figure denotes  $k$ , and the  $y$ -axis reports the matching cardinality over  $n$ . As also confirmed by the previous set of experiments, **Karp-Sipser-scaling** has the best performance comfortably beating the other alternatives. Similarly, **Karp-Sipser** performs better than **Greedy**. However, their performances get closer as  $d$  increases, which is due to the fact that it gets harder to execute Rule 1 and Rule 2 and perform judicious decisions since we have more restrictions to encounter such cases with higher  $d$  values. For these experiments, we report the performance of the heuristic which reduces the problem to bipartite matching; it has worse performance than the rest of the heuristics, and the gap in the performance grows as  $d$  increases.

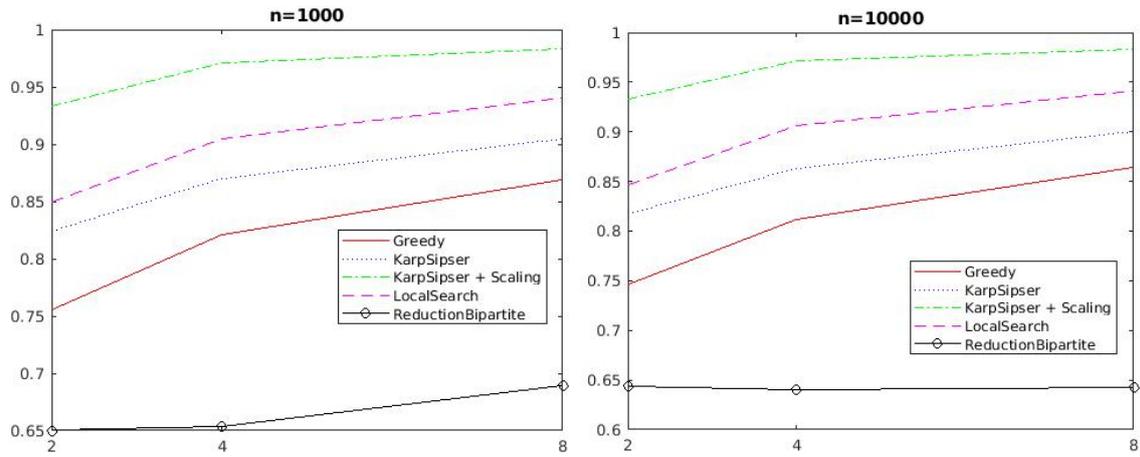
## 4.2 Experiments with synthetic data

To evaluate and emphasize the contribution of scaling better, we compare the performance of the heuristics on a particular family of  $d$ -partite,  $d$ -uniform hypergraphs where their bipartite counterparts have been used in the literature to construct challenging graph instances for the original **Karp-Sipser** heuristic [10].

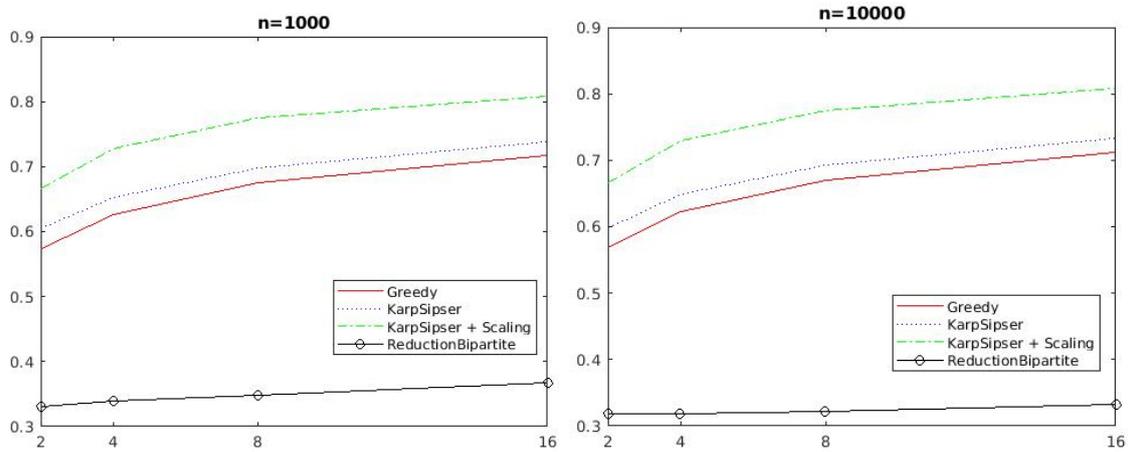
Let  $\mathbf{A}$  be an  $n \times n$  matrix. Let  $R_1$  and  $C_1$  be  $\mathbf{A}$ 's first  $n/2$  rows and columns, respectively. Similarly, let  $R_2$  and  $C_2$  be the remaining  $n/2$  rows and columns, respectively. To create challenging bipartite cases, the block  $R_1 \times C_1$  is set to full and  $R_2 \times C_2$  is set to empty. A perfect bipartite graph matching is hidden inside the blocks  $R_1 \times C_2$  and  $R_2 \times C_1$  by introducing a non-zero diagonal to each. In addition, a parameter  $t$  connects the last  $t$  rows of  $R_1$  with all the columns in  $C_2$ . Similarly, the last  $t$  columns in  $C_1$  are connected to all the rows in  $R_2$ . The nonzero pattern of  $\mathbf{A}$  for  $t \in \{2, 32\}$  can be seen in Figure 3. **Karp-Sipser** is impacted negatively when  $t \geq 1$  whereas **Greedy** struggles even with  $t = 0$  because random edge selections will almost always be from the dense  $R_1 \times C_1$  block.

To adapt this scheme to hypergraphs/tensors, we generate a 3-dimensional tensor  $\mathbf{T}$  such that the nonzero pattern of each *marginal* for the 3rd dimension is identical to that of  $\mathbf{A}$ . One can continue to this process for constructions with larger  $d$ , i.e., set each marginal of a 4-dimensional tensor to  $\mathbf{T}$ . Table 2 shows the performance of the algorithms (i.e., matching cardinality normalized with  $n$ ) for 3-dimensional tensors with  $n = 300$  and  $t \in \{2, 4, 8, 16, 32\}$ .

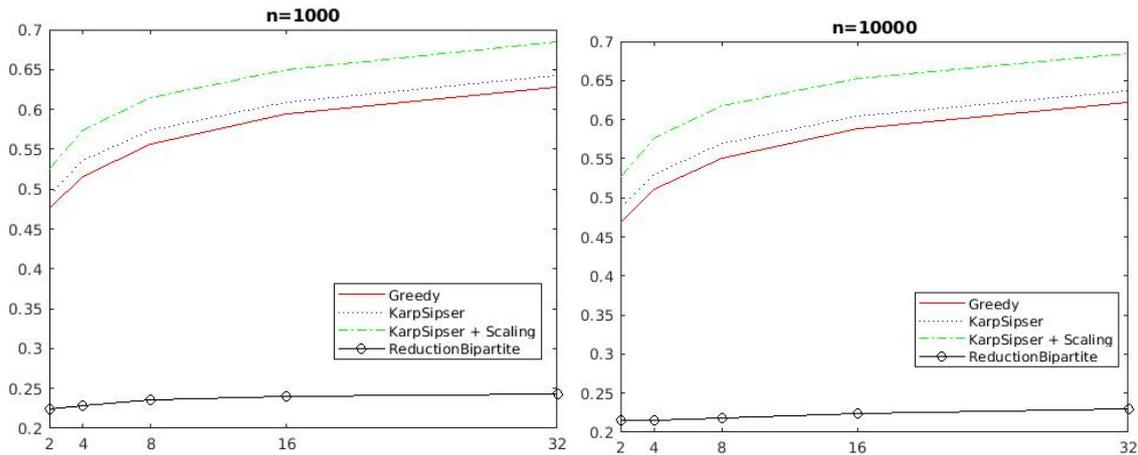
Thanks to scaling, the proposed **Karp-Sipser-scaling** heuristic always finds a perfect matching for these 3-dimensional instances. However, **Greedy** and **Karp-Sipser** perform significantly worse. The use of scaling indeed helps to minimize the influence of the misleading edges in the dense block  $R_1 \times C_1$ . An interesting observation is the performance of local search which also finds a perfect



(a)  $d = 3$ ,  $n = 1000$  (left) and  $n = 10000$  (right)



(b)  $d = 6$ ,  $n = 1000$  (left) and  $n = 10000$  (right)



(c)  $d = 9$ ,  $n = 1000$  (left) and  $n = 10000$  (right)

Figure 2 – The performance of the heuristics on  $d$ -partite,  $d$ -uniform  $k$ -out hypergraphs with  $n$  vertices at each part. In the plots, the  $y$ -axis is the ratio of matching cardinality to  $n$  whereas the  $x$ -axis is  $k$ . No local search heuristic for  $d = 9$ .

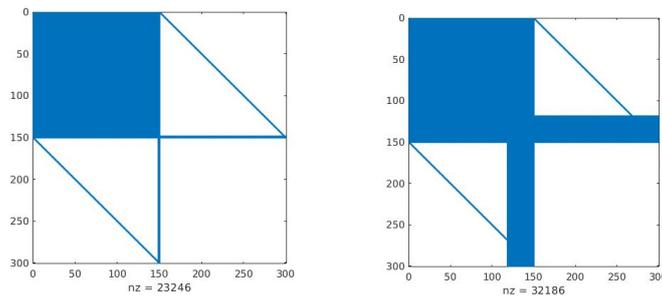


Figure 3 – Bad  $n \times n$  matrices for Karp-Sipser with  $t = 2$  (left) and  $t = 32$  (right).

$t$	Greedy	Local Search	Karp-Sipser	Karp-Sipser-scaling
2	0.53	1.00	0.53	1.00
4	0.53	1.00	0.53	1.00
8	0.54	1.00	0.55	1.00
16	0.55	1.00	0.56	1.00
32	0.59	1.00	0.59	1.00

Table 2 – Performance of the proposed heuristics, i.e., ratio of cardinality to  $n$ , on bad 3-partite, 3-uniform hypergraphs with  $n = 300$  vertices in each part.

matching on all bad instances, unlike the previous experiments.

#### 4.2.1 Rule-1 vs Rule-2

We finish the discussion on the synthetic data by focusing on a test-case concerning solely the Karp-Sipser algorithm. We mentioned before in Subsection 3.2 that Karp-Sipser has two rules which are applied depending on the situation. In the bipartite model, a variant of Karp-Sipser in which only the rule-1 reductions are considered and applied has received more attention than the original version, because it is simpler to implement as well as to analyse. The simpler variant has been shown to obtain good results both theoretically [25] and experimentally [10]. Recent work by Anastos and Frieze [2] show that both rules help to obtain good results in random cubic graphs.

Here, we propose a family of hypergraphs to demonstrate that Karp-Sipser with the first and second rules obtains significantly better results than Karp-Sipser with the first rule only.

As in the previous example first we consider the bipartite case. Let  $\mathbf{A}$  be a  $n \times n$  matrix. We set  $\mathbf{A}_{i,j} = 1$  for  $i \leq j$  where  $i, j \in \{1, \dots, n\}$ . In addition set  $\mathbf{A}_{2,1} = 1$  and  $\mathbf{A}_{n,n-1} = 1$ . That is  $\mathbf{A}$  is composed of an upper triangular matrix and two additional subdiagonal nonzeros. The 1st and the 2nd columns as well as the  $n$ -th and  $(n - 1)$  rows have degree 2. Assume without loss of generality that rows 1 and 2 are merged by applying the second reduction rule on the first column (which is discarded). Then in the reduced matrix the first column (corresponding to the second column in the original matrix) will have degree-1. The first rule can be now applied and similarly the first column in the reduced matrix will have degree equal to 1. The process continues in similar fashion until the reduced matrix has the form of a  $2 \times 2$  dense block. At this point applying the second

$n$	$d$		
	2	3	6
1000	0.83	0.85	0.80
2000	0.86	0.87	0.80
4000	0.82	0.75	0.84

Table 3 – Maximum cardinality of matching over  $n$  observed in 10 experiments of the **Karp-Sipser** heuristic where only rule-1 reductions are considered in the family of hypergraphs that favors rule-2 reductions for  $n \in \{1000, 200, 4000\}$  and  $d \in \{2, 3, 6\}$ . The proposed **Karp-Sipser** with the rule-2 reductions always obtains a perfect matching and therefore not represented in the table.

$n$	$d$		
	2	3	6
1000	0.45	0.47	0.31
2000	0.53	0.56	0.30
4000	0.42	0.17	0.45

Table 4 – The percentage of the times that rule-1 is applied over  $n$  of **Karp-Sipser** using only the first rule for the best solutions shown in Table 3.

rule followed by the first rule yields a perfect matching. In contrast, if only rule-1 reductions are allowed, we see that initially no reduction can be applied, and we have to rely on random selections, which negatively impact the quality of the returned matching.

For higher dimensions we follow a similar strategy. Assume a  $d$ -dimensional  $n \times \dots \times n$  tensor  $\mathbf{T}$ . We set  $\mathbf{T}_{i,j,\dots,j}$  for  $i \leq j$  where  $i, j \in \{1, \dots, n\}$  and  $\mathbf{T}_{1,2,\dots,2} = \mathbf{T}_{n,n-1,\dots,n-1} = 1$ . By similar reasoning, we see that **Karp-Sipser** will obtain a perfect matching whereas its variant will struggle.

We give some results in Table 3 that showcase the difference between the two. We test for  $n \in \{1000, 200, 4000\}$  and  $d \in \{2, 3, 6\}$ . As we see the quality of **Karp-Sipser** is always 1 whereas the other variant ranges from  $0.7 \cdot n$  to  $0.87 \cdot n$ .

Furthermore Table 4 depicts the percentage of times that rule-1 is applied over  $n$  in the solutions presented at the equivalent entries at Table 3. We see that the more rule-1 is applied the higher the quality seems to get. This is on par with the  $n-2$  applications of rule-1 in the **Karp-Sipser** algorithm which is the maximum number possible and which leads to the perfect matching. The difference is that without the initial application of the second rule, the variant has to rely on random selections until edges satisfying the first rule appear.

### 4.3 Experiments with real-life tensor data

We also evaluate the performance of the proposed heuristics on three real-life tensors selected from FROSTT library [30]. The descriptions of the tensors are given in Table 5. As described before, a  $d$ -partite,  $d$ -uniform hypergraph is obtained from a  $d$ -dimensional tensor by keeping a vertex for each dimension index, and a hyperedge for each nonzero. Unlike the previous hypergraphs in this section, the parts of the hypergraphs obtained from real-life tensors in Table 5 do not have an equal number of vertices. In this case, although the scaling algorithm, i.e., Sinkhorn-Knopp, works along

Tensor	$d$	Dimensions	nnz	Greedy	Local-Search	Karp-Sipser	Karp-Sipser-scaling	Bipartite-Reduction
nips [17]	3	$2,482 \times 2,862 \times 14,036$	3,101,609	1,847	1,991	1,839	2,007	2,007
Ne11-2 [5]	3	$12,092 \times 9,184 \times 28,818$	76,879,419	3,913	4,987	3,935	5,154	5,175
Enron [28]	4	$6,066 \times 5,699 \times 244,268 \times 1,176$	54,202,099	875	-	875	1,001	898

Table 5 – Three real-life tensors and the performance of the proposed heuristics on the corresponding hypergraphs. For **nips**, a dimension of size 17 is dropped since this restricts the size of maximum cardinality matching. No result for **Local-Search** for **Enron**, as it is four dimensional.

the same lines, its output is slightly different. Let  $n_i = |V_i|$  be the cardinality at  $i$ th dimension and  $n_{max} = \max_{1 \leq i \leq d} n_i$  be the maximum one. By slightly modifying Sinkhorn-Knopp, for each iteration of **Karp-Sipser-scaling**, we scale the tensor such that the marginals in dimension  $i$  sum up to  $n_{max}/n_i$  instead of one. The results of these experiments are shown in Table 5 which are similar to the results on bad instances; the performance of **Greedy** and basic **Karp-Sipser** are close to each other and when it is feasible, local search is better than them. The proposed **Karp-Sipser-scaling** heuristic is, again, beats these alternatives. Furthermore we observe that in these instances the bipartite-reduction algorithm exhibits very good performance. In the two 3-partite hypergraphs its performance is as good as **Karp-Sipser-scaling**, although it is outperformed by **Karp-Sipser-scaling** in the **enron** dataset.

The instances **Ne11-2** and **Enron** highlight why the idea of creating a line graph and using an independent set solver on the graph is impractical. For example in **Ne11-2**, there is a vertex in the second dimension with 1926389 hyperedges. The clique composed of the vertices corresponding to those hyperedges will require more than 14000GBytes of memory assuming 4 bytes per edge and storing each edge twice. In **Enron**, there is a vertex in the first dimension with 5258656 hyperedges; the corresponding clique requires more than 110000Gbytes of memory.

## 5 Conclusion and future work

We have introduced generalizations of existing graph matching heuristics for the  $d$ -dimensional matching problem. Furthermore, we proposed a new technique based on tensor scaling to extend the matching by judiciously selecting the new hyperedges/nonzeros. The experimental analysis on various hypergraphs/tensors shows that the proposed heuristic is significantly better than the existing ones in terms of the matching cardinality. As future work, we plan to investigate the stated conjecture that  $d^{d-1}$ -out random hypergraphs have perfect matchings almost always. We also plan to analyze the theoretical guarantees of the proposed algorithms.

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