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A phenomenological model of soil evaporative efficiency using surface soil moisture and temperature data

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Abstract

Modeling soil evaporation has been a notorious challenge due to the complexity of the phenomenon and the lack of data to constrain it. In this context, a parsimonious model is developed to estimate soil evaporative efficiency (SEE) defined as the ratio of actual to potential soil evaporation. It uses a soil resistance driven by surface (0-5 cm) soil moisture, meteorological forcing and time (hour) of day, and has the capability to be calibrated using the radiometric surface temperature derived from remotely sensed thermal data. The new approach is tested over a rainfed semi-arid site, which had been under bare soil conditions during a 9-month period in 2016. Three calibration strategies are adopted based on SEE time series derived from 1) eddy-covariance measurements, 2) thermal measurements, and 3) eddy-covariance measurements used only over separate drying periods between significant rainfall events. The correlation coefficients (and slopes of the linear regression) between simulated and observed (eddy-covariance-derived) SEE

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are 0.85, 0.86 and 0.87 (and 0.91, 0.87 and 0.91) for calibration strategies 1, 2 and 3, respectively. Moreover, the correlation coefficient (and slope of the linear regression) between simulated and observed SEE is improved from 0.80 to 0.85 (from 0.86 to 0.91) when including hour of day in the soil resistance. The reason is that, under non-energy-limited conditions, the receding evaporation front during daytime makes SEE decrease at the hourly time scale. The soil resistance formulation can be integrated into state-of-the-art dual-source surface models and has calibration capabilities across a range of spatial scales from spaceborne microwave and thermal data.

Key words: Soil evaporation, soil resistance, soil moisture, surface temperature, calibration, remote sensing.

1. Introduction

To better understanding the water fluxes of crops, and optimizing irrigation in water-limited environments, efforts are being made to estimate both the plant consumption by transpiration (through stomata) and the water losses by evaporation (from soil and in some instances from canopy via interception) (Agam et al., 2012). The partitioning of evapotranspiration into soil evaporation and plant transpiration is needed to assess the crop water use efficiency through its transpiration rate (Hain et al., 2009), as well as to evaluate how much production is derived per unit of crop transpiration (Molden et al., 2010). Such information is also needed at multiple spatial scales, from the field scale where agronomic practices are carried out (Allen, 1990), to the catchment scale where land and water management is operated (Zhang et al., 2001).

Field instrumentation for measuring soil evaporation and plant transpiration separately includes eddy covariance, micro Bowen-ratio energy balance, micro lysimeter, soil heat pulse probe, chamber, isotope and sap flow techniques (Kool et al., 2014). Although those instrumentations have much evolved since the initial experimentations in the 1970s, data collected *in situ* are still very scarse (Schlesinger and Jasechko, 2014) and are generally representative of the local conditions, that is from the leaf/stem to approximately the 100-m scale. This results in a large uncertainty of the transpiration/evapotranspiration ratio (estimated in the range 0.35 – 0.80) associated with a current lack of observation at the catchment scale (Coenders-Gerrits et al., 2014).

To help evaluate the evaporation/transpiration partitioning at multiple space-time scales, advanced land-surface models are available to simulate energy, water, and carbon fluxes at the land surface-atmosphere interface (Oleson et al., 2013; Boone et al., 2017, e.g.). Simpler models such as two-source surface energy balance models (Lhomme and Chehbouni, 1999) require less input parameters. In general, state-of-the-art models rely on specific assumptions on either the soil evaporation (Caparrini et al., 2004) or the plant transpiration (Kustas and Norman, 1999), or base their two-source representation on semi-empirical or semi-physical resistances. Whereas semi-empirical resistances are difficult to generalize in a range of agro-environmental conditions (Ershadi et al., 2014), semi-physical resistances generally depend on soil hydrodynamic properties (Decker et al., 2017), which are highly variable and yet unavailable over large areas (Gutmann and Small, 2007).

To address the above-described difficulties in representing the evapora-

38

tion/transpiration components across a range of space-time scales using a two-source resistance-based formulation, remote sensing data have great potential. In fact, one way of separating soil evaporation and plant transpiration is to estimate one component independently from the total evapotranspiration. In this regard, the soil evaporation process is quite well constrained by available remote sensing observations. Surface soil moisture derived from microwave data is one main controlling factor of evaporation (Prévot et al., 1984), and the radiometric soil temperature derived from thermal data in the absence of dense vegetation cover is, under non-energy-limited conditions, a signature of the evaporation rate (Norman et al., 1995). However, although thermal-microwave data combining approaches have been imagined since the 1990s (Chanzy et al., 1995; Li et al., 2006), none has been implemented yet. One of the reasons is the lack of remote sensing sensors with sufficient spatio-temporal resolution. Especially, the operational extraction of surface soil moisture at high-spatial resolution remains delicate and there is no thermal mission providing data at high spatio-temporal resolution. As a step forward, recently launched/future satellite missions such as Sentinel-1 (Paloscia et al., 2013) and Trishna (Lagouarde et al., 2013) as well as disaggregation techniques (Peng et al., 2017; Zhan et al., 2013) could solve this issue in the near future.

Another major issue when attempting to integrate thermal data in an evaporation model is the drying (usually around noon) of the top few millimeters of soil which inhibits evaporation, regardless of the availability of the soil water underneath (Mahrt and Pan, 1984; Dickinson et al., 1986; Soarès et al., 1988; Wetzel and Chang, 1988; Van de Griend and Owe, 1994; Heitman

et al., 2008; Shahraeeni et al., 2012). Or et al. (2013) identify two regimes:

Stage I when both liquid phase continuity and capillary forces sustain evaporation at the top soil and Stage II when the drying front is deeper in the
soil and evaporation is mainly controlled by diffusion (Haghighi et al., 2013).

In fact, the soil drying during daytime and the uniform rewetting of soil via
capillary rises during nighttime is a cyclic phenomenon that is expected to
affect the evaporation resistance at the hourly time scale (Tuzet et al., 2003).
One challenge is that the radiometric soil temperature is highly variable in
time as a result of the diurnal dynamics of meteorological forcing (i.e. solar
radiation, wind speed, air temperature, air humidity) and the evolution of
soil moisture. Additionally, it is representative of the physical characteristics
of the soil skin only. A direct consequence is that a thermal-based evaporation model should beneficially take into account the drying of the top soil
during daytime.

This was the rationale for developing a formulation of soil evaporative efficiency (SEE, defined as the ratio of actual to potential soil evaporation) with a shape that adapts to the soil moisture gradient. Given that the soil moisture profile is generally unknown, and that the drying of the top soil is related to the evaporative demand (in addition to the soil moisture value), Merlin et al. (2011) considered potential evaporation as a proxy for the soil moisture gradient in the topsoil. In fact, a large potential evaporation is associated with a strong moisture gradient in the top soil, which implies a decrease of SEE regardless of the moisture content integrated over the 0-5 cm soil layer. Such a phenomenological modeling approach allows for implicitly representing the drying of the top soil during daytime. The SEE

formulation of Merlin et al. (2011) was derived at the daily scale only, which is inconsistent with the subdiurnal availability of thermal data.

In this context, this paper aims to develop a quasi instantaneous model of SEE that has the ability to consistently integrate both near-surface soil moisture and radiometric soil temperature data. In practice, the recent SEE modeling approach of Merlin et al. (2016) is improved by adding a temporal dependence, as well as an additional parameter controlling the cyclic phenomenon of the drying/rewetting of the top soil during daytime/nighttime. The new resistance model is tested in terms of SEE estimates using eddy covariance measurements collected over a bare soil site in central Morocco, and its performance is assessed against two benchmark models. Calibration capabilities of the SEE model from thermal (instead of eddy covariance) data are also investigated.

2. Modeling approach

103

Soil evaporation can be modeled using a resistance approach:

$$LE = \frac{\rho C_P}{\gamma} \times \frac{e_{sat}(T) - e_a}{r_{ah} + r_{ss}} \tag{1}$$

with LE (W m⁻²) being the soil latent heat flux, r_{ss} (s m⁻¹) the resistance to the diffusion of vapor in soil pores, ρ (kg m⁻³) the density of air, C_P (J kg⁻¹ K⁻¹) the specific heat capacity of air, γ (Pa K⁻¹) the psychrometric constant, $e_{sat}(T)$ (Pa) the saturated vapor pressure at the soil surface, T (K) the soil surface temperature, e_a (Pa) the vapor pressure of air and r_{ah} (s m⁻¹) the aerodynamic resistance to heat transfer from the soil surface to the reference height. Based on Equation (1), one may also derive a potential soil evaporation, defined as the soil evaporation that would occur in fully saturated soil conditions so that $r_{ss} = 0$:

$$LEp = \frac{\rho C_P}{\gamma} \times \frac{e_{sat}(T_{wet}) - e_a}{r_{ah,wet}} \tag{2}$$

with T_{wet} (K) and $r_{ah,wet}$ (s m⁻¹) being the soil temperature and aerodynamic resistance in saturated soil conditions, respectively. The parameters used as input to the LEp model are presented in the Appendix A. The ratio of actual to potential soil evaporation, i.e. the SEE, can then be expressed as:

$$SEE = \frac{e_{sat}(T) - e_a}{e_{sat}(T_{wet}) - e_a} \times \frac{r_{ah,wet}}{r_{ah} + r_{ss}}$$
(3)

The soil resistance in Equation (3) is expressed as a function of soil moisture following Merlin et al. (2016):

$$r_{ss,M16} = r_{ss,ref} \exp(-\theta/\theta_{efolding}) \tag{4}$$

with $r_{ss,ref}$ being a hypothetical soil resistance corresponding to dry soil conditions and $\theta_{efolding}$ the soil moisture value at which r_{ss} is equal to $r_{ss,ref}/e$. The present paper aims to intercompare three evaporation models based on the following assumptions for the r_{ss} formulation:

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- both $r_{ss,ref}$ and $\theta_{efolding}$ of Equation (4) are set to constant values (depending on soil texture and structure) as in Passerat de Silans (1986) and Sellers et al. (1992).
- $r_{ss,ref}$ and $\theta_{efolding}$ of Equation (4) are analytically expressed as a function of meteorological forcing and two observable parameters as in Merlin et al. (2016).

• a correction term $(\delta r_{ss,t})$ is added to $r_{ss,M16}$ to account for diurnal variations in SEE associated with top-soil drying (receding evaporation front) during daytime (Mahrt and Pan, 1984; Dickinson et al., 1986; Soarès et al., 1988; Wetzel and Chang, 1988; Van de Griend and Owe, 1994; Heitman et al., 2008; Shahraeeni et al., 2012).

For clarity, the three above models are named in the following as PdS86, M16 and new model, respectively.

The third and new soil resistance model is written as:

137

$$r_{ss,t} = r_{ss,M16} + \delta r_{ss,t} \tag{5}$$

with $r_{ss,M16}$ the soil resistance of Equation (4) and $\delta r_{ss,t}$ a correction term that includes the effect of the receding evaporation front during daytime on quasi-instantaneous SEE. A phenomenological expression of $\delta r_{ss,t}$ is proposed below as a function of time of day centered at solar noon ($\delta t = t - 12$ with t in unit of hour). The SEE difference between time t and 12 pm (δSEE_t) can be expressed as:

$$SEE_t = SEE_{12} + \delta SEE_t \tag{6}$$

Now, we assume (this assumption will be shown to be valid in section 4) that the relative diurnal variations in SEE are linearly dependent on the time of day δt :

$$\delta SEE_t = -SEE_{12} \times \delta t / \tau_{hyst} \tag{7}$$

with τ_{hyst} being a parameter (unit of hour) that characterizes the hysteretic time scale associated with the evaporative front drying during daytime, and the uniform rewetting of soil via capillary rises during nighttime. A relationship between δSEE_t and $\delta r_{ss,t}$ is then built through the derivative of Equation (3):

$$\delta SEE_t = -\frac{SEE_{12}}{r_{ah,12} + r_{ss,12}} \times \delta r_{ss,t} \tag{8}$$

with $r_{ss,12}$ and $r_{ah,12}$ being the soil and aerodynamic resistance estimated at noon, respectively. Herein, $r_{ss,12}$ is approximated by the M16 soil resistance (noted $r_{ss,M16}$) by assuming that θ does not vary much at the subhourly time scale (a reasonable assumption), and $r_{ah,12}$ is approximated by r_{ah} at time t, so that $\delta r_{ss,t}$ can be instantly (not recursively) computed regardless of the conditions at noon. Hence, by substituting δSEE_t in the above equation, one obtains:

$$\delta r_{ss,t} = (r_{ah} + r_{ss,M16}) \times \delta t / \tau_{hyst} \tag{9}$$

9 3. Data

o 3.1. Sidi Rahal site

The Sidi Rahal site (-7.3535 E; 31.7035 N) is located east of the Tensift 161 basin in central Morocco (see Figure 1). The climate is semi-arid with an 162 annual precipitation of about 250 mm mostly concentrated between Novem-163 ber and April and a reference crop evapotranspiration of 1600 mm per year. 164 The Sidi Rahal monitoring station was set up in a rainfed wheat field in De-165 cember 2013 in the framework of a joint international project (Khabba et al., 166 2013; Jarlan et al., 2015). Due to an unusual lack of precipitation late 2015, the winter wheat crop had not been planted during the 2015-2016 season. 168 As a result, the crop field remained in bare soil conditions from January to 169 September 2016, which we took advantage of to test evaporation models in 170 ideal conditions. The soil texture is predominantly loam.

The station is equipped with an eddy-covariance (EC) system, radiome-172 ters operating in the short and long wavelengths, heat flux plates and soil 173 moisture probes. The net radiation is measured by a Kipp & Zonen CNR1. The soil heat flux is estimated with Hukseflux HFP-01 plates buried at 5 cm depth. Those data are collected with a time step of 10 s and then av-176 eraged and recorded every 30 minutes. Latent and sensible heat fluxes are 177 estimated with a Campbell Scientific KH20 fast response hygrometer and a 178 Campbell Scientific CSAT3 anemometer at a frequency of 10 Hz and then converted to a 30-minute average. Both latent and sensible heat fluxes are 180 corrected for energy balance lack of closure using the Bowen ratio method. 181 The daily (computed using 30-minute estimates between 9 am and 5 pm) 182 Bowen ratio and the 30-minute flux estimates are combined to derive the 183 corrected 30-minute latent and sensible heat fluxes. The 5-cm soil moisture is measured by a Campbell Scientific CS616 calibrated using periodic gravi-185 metric measurements. The radiometric surface temperature is derived from 186 an Apogee intruments 8-14 μ m thermal infrared (TI) radiometer set up at a 187 2-m height. Meteorological forcing data including air temperature, solar radiation, relative humidity, wind speed and rainfall are monitored continuously at a semihourly time step at 2 m as well. 190

191 3.2. SEE estimates

Given the bare soil conditions of the Sidi Rahal site during the study period, evapotranspiration originates only from soil in this experiment. Therefore, evapotranspiration and soil evaporation, as well as potential evapotranspiration and potential soil evaporation, are assumed to be the same. An estimate of SEE can hence be obtained by dividing the measured latent heat

97 flux by potential (soil) evaporation:

$$SEE_{EC} = \frac{LE_{EC}}{LEp} \tag{10}$$

with SEE_{EC} being the EC-derived SEE, LE_{EC} the evaporation measured by EC and LEp the evaporation in saturated soil conditions (Equation 2).

Another relatively independent, indirect, estimate of SEE is derived from
the radiometric soil temperature:

$$SEE_{TI} = \frac{T_{dry} - T_{TI}}{T_{dry} - T_{wet}} \tag{11}$$

with SEE_{TI} the TI-derived SEE, T_{TI} the surface soil temperature retrieved from TI measurements, and T_{dry} and T_{wet} the soil temperature in bone-dry and saturated soil conditions, respectively (Merlin, 2013; Stefan et al., 2015). In practice, T_{dry} and T_{wet} (together with LEp) are simulated by the same soil energy balance model by setting $r_{ss} = \infty$ and $r_{ss} = 0$ in Equation (1), respectively. The reader is advised to refer to Appendix A for more information on the energy balance model.

Figure 2 plots the half-hourly surface soil moisture and EC- and TI-209 derived SEE (for data collected between 6 am and 6 pm with LEp > 100 Wm^{-2}) as a function of day of year (DOY) 1 to 243 in 2016. One observes 211 a strong correlation between SEE estimates and θ , as all drying periods are 212 visible in the SEE time series. However, the TI-derived SEE is more scat-213 tered than the EC-derived SEE. As mentioned above, one major objective of this study is to assess the calibration capabilities of a SEE model using 215 consistent but uncertain TI-derived estimates. A total of 9 study periods are 216 defined for calibration and validation purposes: the whole study period noted 217 P0 (DOY 1-243) and 8 subperiods P1-8 bounded by significant rainfall

events. The start and end DOY of each subperiod Pi for i = 1, ..., 8 are listed in Table 1. Note that many points corresponding to TI measurements 220 exhibit a SEE larger than 1 or smaller than 0 in Figure 2. It means that 221 the modeled T_{dry} and T_{wet} can be smaller and larger than the observed tem-222 perature, respectively. This may be due to several factors: uncertainties in 223 thermal data, uncertainties in modeled T_{wet} and T_{dry} , and/or uncertainties in 224 the model linking SEE to soil temperature. SEE_{TI} values larger than 1 and 225 smaller than 0 mostly correspond to the early (before noon) and late (after noon) hours of dates with very wet and very dry conditions, respectively. 227

Figure 3 illustrates the variations during daytime of potential soil evapora-228 tion, the soil evaporation measured by the EC system and the soil evaporation 220 derived as TI-derived SEE times potential evaporation for a relatively wet 230 $(\theta \sim 0.18~{\rm m^3m^{-3}})$ and dry $(\theta \sim 0.05~{\rm m^3m^{-3}})$ day separately. Both EC- and TI-derived estimates are rather close, especially at around 12 pm. However, 232 the time at which the maximum LE value is reached is about 11:00-11:30 233 am for TI-derived estimates, 12:15-12:45 pm for EC-derived estimates and 234 1:15-1:30 pm for potential evaporation. Differences in the time of maximum latent heat flux value can be interpreted as the impact of top-soil drying i.e. the downward-moving drying front that counters the evaporation process (Or et al., 2013). The maximum of LE_{EC} logically appears sooner than that of 238 LEp, due to the decrease of soil evaporation associated with the receding of evaporation front in the top soil at around noon. Such phenomenon is visible in both relatively wet and dry conditions as illustrated in Figure 3. The fact that the maximum of $SEE_{TI} \times LEp$ appears sooner than that of LE_{EC} is explained by the difference in the depth (within the top 0 – 5 cm

layer) at which the actual soil evaporation occurs and that (near-surface) of
TI data. Hence, the thinner the soil layer used to represent evaporation, the
more sensitive the evaporation model is to the daytime top-soil drying.

Figure 3 also presents the hysteretic behavior of radiometric temperature as a function of LEp. For a given level of LEp, the surface soil is cooler in the 248 morning than in the afternoon, explaining the decreasing rate of TI-derived 249 evaporation $(SEE_{TI} \times LEp)$ during daytime. Note that the simulated ex-250 treme temperatures T_{dry} and T_{wet} do not follow the same hysteretic behavior, 251 as similar values are obtained (for $LEp > 200 \text{ Wm}^{-2}$) before and after the 252 time of LEp maximum value. Hysteresis effects are nill in dry conditions 253 because when the soil is completely dry, evaporation stops. Hysteresis effects 254 are also theoretically nill in fully wet conditions, because when the soil is 255 maintained permanently wet, evaporation is at its potential rate at any time of day. Another interesting feature of the temporal signature of radiometric 257 temperature is its link with wind speed. Whereas the increase of the drying 258 front intrusion during the day makes T increase, an increase in wind speed has instead the effect of decreasing T (increasing SEE_{TI}) due to the drop in r_{ah} . Nonetheless, extreme temperatures T_{wet} and T_{dry} are estimated using quasi instantaneous wind speed as input to the energy balance model, and therefore, the TI-derived SEE is less influenced by wind speed than the un-263 normalized T. For instance, the correlation coefficient between unnormalized T and wind speed is 0.85 (0.69) for the wet (dry) date of Figure 3 while it is -0.03 (-0.06) between normalized T and wind speed, respectively.

4. Calibration strategies

Various calibration strategies of M16 (Equation 4) and the new r_{ss} model (Equation 5) are investigated depending on the nature of SEE estimates (derived either from EC or TI data) and on the length of observed SEE time series.

272 4.1. Calibrating $r_{ss,ref}$ and $\theta_{efolding}$

In Merlin et al. (2016), the $r_{ss,ref}$ and $\theta_{efolding}$ parameters of Equation 273 (4) are analytically expressed as a function of meteorological forcing, cutoff soil moisture value $\theta_{1/2}$ at which SEE=0.5 and first derivative of SEE at $\theta_{1/2}$, named $\Delta \theta_{1/2}^{-1}$. The main equations are reminded in Appendix B. In fact, both parameters $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$ are estimated directly from a time series of SEE and θ observations. Merlin et al. (2016) developed a specific 278 calibration approach to take into account the non-linearity of the $SEE(\theta)$ relationship, as well as to adapt for data sets with soil moisture values that may not be uniformly distributed around the targeted $\theta_{1/2}$ value. In the 281 same way as in Merlin et al. (2016), the full SEE range [0-1] is split into 282 20 (0.05-wide) bins, and the SEE and θ values falling into each SEE bin are averaged separately to provide a pair (SEE_k, θ_k) per bin. Then, 10 segments are computed by joining the two points (SEE_k, θ_k) and $(SEE_{k+10}, \theta_{k+10})$ for 285 k = 1, ..., 10. Nevertheless, an improvement is made herein to 1) estimate the pair $(\theta_{1/2}, \Delta \theta_{1/2}^{-1})_k$ for each segment k and 2) weight the pair $(\theta_{1/2}, \Delta \theta_{1/2}^{-1})_k$ according to the mean difference between the ordinate of the center of the segment k and 0.5. In practice, we define the weight w_k such as: i) $w_k = 1$ for $\overline{SEE_k} = 0.5$ and ii) $w_k = 0$ for $\overline{SEE_k} = 0.25$ or $\overline{SEE_k} = 0.75$, with $\overline{SEE_k} = (SEE_k + SEE_{k+10})/2$. A general equation of w_k is obtained by considering a linear interpolation between extreme values:

$$w_k = 1 - 4 \times |0.5 - \overline{SEE_k}| \tag{12}$$

Note that the values allocated for the weighting function correspond to the simplest (triangular) function with a weight set to 0 and 1 for the extreme (one end point of a segment is associated to $SEE \sim 0$ or $SEE \sim 1$) and middle (mean SEE = 0.5) case, respectively. The new calibration procedure aims to give a larger weight to the segments that are close to the inflexion point of the $SEE(\theta)$ relationship and a smaller weight to the segments that are located in a region where the nonlinearity of the $SEE(\theta)$ relationship is more prominent. The quasi linearity of the $SEE(\theta)$ relationship around $\theta_{1/2}$ is indeed an assumption of the above calibration strategy.

By way of illustration, Figure 4 plots EC-derived SEE versus θ and TI-302 derived SEE versus θ for data with $LEp > 400 \text{ Wm}^{-2}$ (arbitrary threshold) 303 during the whole time period P0. Such a threshold is chosen to separate 304 between relatively low and high LEp conditions. The above described cal-305 ibration procedure of $(\theta_{1/2}, \Delta \theta_{1/2}^{-1})$ is applied to both time series separately. 306 Although the TI-derived SEE values appear to be more scattered than the 307 EC-derived SEE, calibrated parameters have similar values in both cases: $\theta_{1/2}$ 308 is 0.129 and 0.125 $\rm m^3m^{-3},$ and $\Delta\theta_{1/2}^{-1}$ is 4.01 and 4.21 $\rm m^3m^{-3}$ for EC and TI 309 case, respectively. Note that $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$ may vary with parameters other 310 than θ and texture (Merlin et al., 2016). For instance, the work in Chanzy 311 and Bruckler (1993), a theoretical analysis supported by experimental evi-312 dences, has shown the role of LEp and wind velocity in the determination of $\theta_{1/2}$. Therefore, applying the calibration approach over several shorter

periods P1 - 8 may help improving model performances.

Once $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$ values have been retrieved, two different strategies are investigated to estimate $r_{ss,ref}$ and $\theta_{efolding}$ parameters of Equation (4):

- For M16, $r_{ss,ref}$ and $\theta_{efolding}$ are derived from the analytical expressions given in Appendix B (Equations 27 and 29). Therefore, both $r_{ss,ref}$ and $\theta_{efolding}$ vary with meteorological conditions at the 30-minute time step.
- For PdS86, $r_{ss,ref}$ and $\theta_{efolding}$ are set to the average of the M16 values during the whole time period. Therefore, both $r_{ss,ref}$ and $\theta_{efolding}$ are constant in this case.

4.2. Calibrating au_{hyst}

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Figure 5 plots the 30-minute EC-derived SEE as a function of $\delta t = t-12$ 325 for two days of period P0. The linear fit of the daily relationship is superimposed for each day separately. The slope (noted $\delta SEE/\delta t$) of the relationship observed at the daily time scale between SEE_t and δt is a decreasing function 328 of the daily mean SEE. The correlation coefficient between daily $\delta SEE/\delta t$ 329 and daily SEE is -0.90. Figure 5 also plots the 30-minute TI-derived SEE 330 as a function of δt . Although the data points are more scattered around the daily linear fit than for EC case, the daily slope $\delta SEE/\delta t$ is consistently 332 decreasing with the daily mean SEE, as for EC case, with a correlation co-333 efficient of -0.68. 334

Based on the daily relationships discussed above, the time parameter τ_{hyst} of Equation (9) is calibrated in a three-step procedure:

• The daily slope $\delta SEE/\delta t$ is computed for each day of the study period.

- The daily slope $\delta SEE/\delta t$ is correlated with the daily mean SEE for each day of the study period. The daily mean is obtained by averaging the 30-minute SEE estimates during daytime.
- The calibrated τ_{hyst} is equal to minus the inverse of the slope of the relationship between $\delta SEE/\delta t$ and the daily mean SEE (see Equation 7). Note that SEE_{12} in Equation (7) is replaced by the daily mean SEE to reduce uncertainties in quasi instantaneous SEE estimates. The calibrated value of τ_{hyst} is 11 and 9 hours for the EC-derived and TI-derived SEE case, respectively.

Note that the above calibration strategy is valid if the observed relation-347 ships between SEE_t and δt and between $\delta SEE/\delta t$ and the daily mean SEE are linear as a first approximation. Moreover, we assume herein that the SEE 349 at noon $(SEE_{12} \text{ in Equation 7})$ is close to the daily mean SEE. In fact, such 350 a hypothesis relies on the above linearity assumptions. As a linearity assess-351 ment of the $SEE_t(\delta t)$ relationship, the coefficient of determination (ordinary 352 R-squared) between EC-derived SEE and time of day is estimated as 0.99 and 0.93 for the wet and dry date of Figure 5 respectively. The R-squared is 354 slightly degraded to 0.93 and 0.73 respectively for the TI case, due to larger 355 uncertainties in TI-derived SEE estimates.

5. Results and discussions

The proposed $r_{ss,t}$ model (Equation 5) is applied to the Sidi Rahal'16 data set and its performance in terms of SEE estimates is compared with that of two benchmark models: the M16 (Equation 4) and PdS86 r_{ss} models. It is reminded that PdS86 and M16 differ with regard to the values of $r_{ss,ref}$ and $\theta_{efolding}$, which vary in time in M16 (according to Equation 27 and 29 respectively) while they are set to a constant value in PdS86. Results are presented and discussed below for a calibration undertaken using EC- and TI-derived SEE estimates separately.

5.1. Models calibrated using EC measurements

367 5.1.1. Calibration parameters

The calibration algorithm of $(\theta_{1/2}, \Delta\theta_{1/2}^{-1})$ is run over each period P0-8 us-368 ing EC-derived SEE estimates. Note that diurnal patterns are not accounted for in the determination of $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$ parameters. The rationale is that both parameters are largely independent of τ_{hyst} : $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$ make SEE vary at the daily scale while τ_{hyst} makes SEE vary at the hourly scale around 372 the daily mean SEE. Retrieved parameters are reported in Table 2 for data 373 with a minimum LEp value of 400 Wm^{-2} and 100 Wm^{-2} separately. The 374 main idea of those empirical thresholds is 1) to remove SEE estimates under low (< 100 Wm⁻²) evaporative demand conditions and 2) to qualitatively distinguish between relatively low and relatively high demand conditions. 377 For data with $LEp > 100 \text{ Wm}^{-2}$, a pair $(\theta_{1/2}, \Delta \theta_{1/2}^{-1})$ is obtained for each 378 period P0 - 8. However there are too few data points with LEp > 400379 Wm^{-2} during the winter months, hence no parameter is retrieved for P1-4in this case. EC-derived $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$ vary in the range $0.07-0.16~\mathrm{m^3m^{-3}}$ and $4-11 \text{ m}^3\text{m}^{-3}$ respectively, with smaller values of $\theta_{1/2}$ being associated with larger values of $\Delta\theta_{1/2}^{-1}$ and reciprocally. Note that the extreme values of $\theta_{1/2}=0.07~\mathrm{m^3m^{-3}}$ and $\Delta\theta_{1/2}^{-1}=11~\mathrm{m^3m^{-3}}$ are both obtained during the hottest and driest subperiod P7 (see Table 1).

Figure 6 compares the retrieved values of $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$ in both cases: 386 $LEp > 100 \; \mathrm{Wm^{-2} \; versus} \; LEp > 400 \; \mathrm{Wm^{-2}}$. The correlation coefficient (and root mean square difference) between both data sets (for EC-derived SEE) is 0.97 (0.006 $\mathrm{m^3m^{-3}}$) and 1.0 (0.46 $\mathrm{m^3m^{-3}}$) for retrieved $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$, respectively. The calibration results when discarding the data with LEp390 400 Wm⁻² are very close to those obtained when including all data with 391 $LEp > 100 \text{ Wm}^{-2}$. Therefore, the calibration parameters are found to be 392 quite stable with respect to the evaporative demand conditions. Given that calibration results using EC-derived SEE estimates are very consistent for two different minimum LEp values, the lower (and less restrictive) threshold 395 value $LEp > 100 \ \mathrm{Wm^{-2}}$ is chosen to assess model results in the rest of the 396 paper. 397

The definition of 9 study periods and the application of the same calibration procedure to data with $LEp > 100 \text{ Wm}^{-2}$ and data with $LEp > 400 \text{ Wm}^{-2}$ also allow for evaluating the uncertainties in retrieved parameters. The relative uncertainty in $\theta_{1/2}$ (and $\Delta\theta_{1/2}^{-1}$) for a given time series is estimated as the absolute difference of the values obtained for data with $LEp > 100 \text{ Wm}^{-2}$ and data with $LEp > 400 \text{ Wm}^{-2}$ divided by the average. The mean relative uncertainty for P0, P5 - 8 (see Table 2) is 3% and 7% for retrieved $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$, while the temporal variability (relative to the mean value for all periods) is estimated as 19% and 40%, respectively. Such results further confirm the robustness of the calibration procedure.

408 5.1.2. SEE results

 $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$ are set to 0.12 m³m⁻³ and 4.6 m³m⁻³ respectively as estimated previously for P0 data with a minimum LEp value of 100 W m⁻²,

and τ_{hyst} is set to 11 h. Figure 7 plots the SEE simulated by the calibrated PdS86, M16 and new r_{ss} models as a function of EC-derived SEE. The new 412 model outperforms M16 and M16 outperforms PdS86 (statistics are provided in Table 3). Especially, the correlation coefficient (and RMSD) between simulated and observed SEE is improved from 0.80 to 0.85 (from 0.12 to 0.10) with the new model. The representation of SEE at the subhourly time scale 416 has also an impact on the slope of the linear regression between modeled 417 and observed SEE, increasing from 0.86 to 0.91 for M16 and new model re-418 spectively. The new parameterization thus allows for simply representing the 419 SEE decrease associated with the receding evaporation front during daytime. 420 Table 3 also lists the error statistics for each subperiod Pi (i = 1, ..., 8) us-421 ing model parameters retrieved from Pi data (from P0 data in parenthesis). 422 A lower RMSD is generally obtained by the new model using the subperiod calibration, except for P3 when the P0 calibration provides a significantly improved RMSD (0.18 instead of 0.24). To further explore the case of P3, we investigate the SEE simulated by M16 and new model separately as a function of EC-derived SEE for data with $LEp > 100 \text{ W m}^{-2}$ during P2, P3and P7 (Figure 8). Several different behaviors of the $SEE(\theta)$ relationship are observed during P3, as evidenced by the presence of three groups of data points located significantly above, significantly below and around the 1:1 line 430 respectively. Given that the calibration method is dedicated to find the best slope $(\Delta \theta_{1/2}^{-1})$ at $\theta_{1/2}$, one expects to obtain the best slope of the linear regression between simulated and observed SEE by calibrating the model over each subperiod separately. Table 3 clearly shows that the slope is systematically improved in all cases, including the case of P3. However, a better slope does

not necessarily mean an improved RMSD between simulated and observed SEE.

In summary, it is found that 1) both $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$ vary between successive drying periods, 2) the representation of this temporal variability by means of a periodic calibration of $(\theta_{1/2}, \Delta\theta_{1/2}^{-1})$ enhances SEE estimates, and 3) the long-term calibration (during P0) of the SEE model still provides reliable results that are generally more accurate than those of the PdS86 and M16 formulations.

444 5.1.3. Evaporation results

Since the target is evaporation, rather than SEE, results are also pre-445 sented in terms of evaporation estimates in Figure 7. It is reminded that LEis derived as $SEE \times LEp$. Therefore, given that the same energy balance model is used to simulate both LEp and LE, there should be a perfect equivalence between simulated LE and simulated SEE. In practice, if simulated SEE is accurate compared to SEE_{EC} (= LE_{EC}/LEp), then simulated LE450 $(=SEE\times LEp)$ should be accurate compared to LE_{EC} . To further assess the 451 consistency between SEE and evaporation results, Table 4 reports the corre-452 lation coefficient, slope of the linear regression and RMSD between simulated and measured evaporation at Sidi Rahal site for all data with LEp > 100Wm⁻². Statistics are presented for PdS86, M16 and new models separately, all three models being calibrated with the same input data set (EC data for $LEp > 100 \ \mathrm{Wm^{-2}}$). While the RMSD is relatively low ($\leq 35 \ \mathrm{Wm^{-2}}$) in all 457 cases, the correlation coefficient (and slope of the linear regression) increases from 0.81 (0.89) to 0.85 (0.96) for the PdS86 and new model, respectively. Such improvement is fully consistent with previous results in terms of SEE

estimates.

464

462 5.2. Models calibrated using TI measurements

5.2.1. Calibration parameters

investigate the applicability of the calibration algorithm of $(\theta_{1/2}, \Delta \theta_{1/2}^{-1})$ to TI data. In practice, the calibration procedure is applied to TI-derived SEE estimates over each period P0 - 8. Retrieved parameters are reported in 467 Table 5 for data with a minimum LEp value of 400 $\mathrm{Wm^{-2}}$ and 100 $\mathrm{Wm^{-2}}$ 468 separately. TI-derived $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$ vary in the range $0.06-0.16~{\rm m^3m^{-3}}$ and $4-23 \text{ m}^3\text{m}^{-3}$ respectively. Consistent with EC case, smaller values of TI-derived $\theta_{1/2}$ are associated with larger values of TI-derived $\Delta\theta_{1/2}^{-1}$ and reciprocally. However, in contrast to EC case, the TI-derived $\Delta\theta_{1/2}^{-1}$ for data with $LEp > 100 \text{ Wm}^{-2}$ is about twice the value obtained for data with $LEp > 400 \text{ Wm}^{-2}$, while the TI-derived $\theta_{1/2}$ for data with $LEp > 100 \text{ Wm}^{-2}$ is about one third lower than the value obtained for data with LEp > 400 Wm^{-2} (see Figure 6). Figure 9 plots TI-derived versus EC-derived $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$ for all periods 477 P0-8 and for data with a minimum LEp value of 100 and 400 $\mathrm{Wm^{-2}}$ 478 separately. It is clear that a better match of retrieved parameters is obtained 479 when $LEp > 400 \; \mathrm{Wm^{-2}}$ than when $LEp > 100 \; \mathrm{Wm^{-2}}$. In fact, the TIderived SEE greatly overestimates the EC-derived SEE in conditions of low LEp values. In relatively wet conditions for instance (see Figure 3), the TI-derived evaporation (estimated as $SEE_{TI} \times LEp$) can even exceed the potential evaporation. The above results confirm the applicability domain of the energy balance model to conditions with $LEp > 400~\mathrm{W~m^{-2}}$. Therefore

Given that EC measurements are available at few locations only, we now

the calibration of the pair $(\theta_{1/2}, \Delta \theta_{1/2}^{-1})$ using TI-derived SEE data should be carried out under relatively large LEp conditions only.

The systematic difference between EC- and TI-derived SEE under low 488 LEp conditions can be explained by the inconsistency between the soil temperature modeled at the evaporation front (Equation 1) and the remotely 490 sensed radiometric temperature that is representative of the surface skin 491 temperature only. In fact, the soil temperature modeled in dry conditions 492 T_{dry} is expected to deviate from the top soil temperature in the presence of a receding evaporation front (Or et al., 2013). There are methods to correct this inconsistency. For instance two distinct approaches have been devel-495 oped to relate the observed superficial temperature to deeper soil tempera-496 tures, either by implementing a physically-based heat diffusion model (Bateni 497 et al., 2013) to dynamically constrain the modeled surface temperature from deeper temperatures or by smoothing/lagging the surface temperature using 499 a Fourier dissipation (Heusinkveld et al., 2004; Gentine et al., 2011) or lag 500 (Gentine et al., 2012) model. 501

The relative uncertainty in the $(\theta_{1/2} \text{ and } \Delta\theta_{1/2}^{-1})$ parameters retrieved from TI data is estimated by computing the absolute difference of the values obtained for TI data with $LEp > 400 \text{ Wm}^{-2}$ and for EC data with $LEp > 100 \text{ Wm}^{-2}$ divided by the average. The mean relative uncertainty for P0, P5-8 (see Tables 2 and 5) is 8% and 21% for retrieved $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$, respectively. Although EC-retrieved parameters (3% and 7% relative error, respectively) are more accurate than TI-derived parameters, the temporal variability in retrieved parameters (19% and 40%, respectively) remains larger, i.e. calibration using TI data is still effective and meaningful. Such results further

confirm the consistency between TI- and EC-derived SEE estimates when $LEp > 400 \ \mathrm{Wm^{-2}}$.

513 5.2.2. SEE results

The hysteretic parameter τ_{hyst} is set to 9 h and the pair $(\theta_{1/2}, \Delta\theta_{1/2}^{-1})$ is 514 set to (0.085, 10.0) and (0.125, 4.21) as estimated with the P0 TI data for a 515 minimum LEp value of 100 and 400 W m⁻², respectively. Table 6 reports 516 error statistics in terms of correlation coefficient, slope of the linear regression 517 and RMSD between simulated and EC-derived SEE for all LEp conditions 518 $(LEp > 100 \text{ W m}^{-2})$. Best results are highlighted in bold. Consistent with EC case, the correlation coefficient between simulated and observed SEE is improved from 0.77 to 0.81 and from 0.80 to 0.86 when including time of day in the soil resistance for data with LEp > 100 and LEp > 400 $\mathrm{Wm^{-2}}$, respectively. Moreover, the error statistics for data with LEp > 400Wm⁻² are very similar to those obtained with the EC, with a correlation coefficient of 0.86 and a RMSD of 0.10. Only the slope of the linear regression between simulated and observed SEE is degraded from 0.91 (EC case) to 0.87 (TI case). Results in terms of SEE estimates are logically superior when calibrating the model using TI data with $LEp > 400~{\rm Wm^{-2}}$ than using TI data with $LEp > 100 \text{ Wm}^{-2}$, as the actual to wet temperature difference is a good indicator of water stress when energy is not limiting (Boulet et al., 530 2007). 531 Table 6 also lists the error statistics for each subperiod Pi (i = 1, ..., 8)532 using model parameters retrieved from the data set corresponding to subperiod Pi and $LEp > 100 \text{ Wm}^{-2}$ and from the data set corresponding to

P0 and $LEp > 400 \text{ Wm}^{-2}$ (in parenthesis). The new SEE formulation gen-

erally provides best results in terms of correlation coefficient, slope of the linear regression and RMSD between simulated and observed SEE. However, the calibration of $(\theta_{1/2}, \Delta\theta_{1/2}^{-1})$ by subperiod does not succeed in representing the temporal variability of model parameters, which is due to the systematic bias in TI-derived SEE for low LEp values. The relatively large slope (1.25 for P0) of the linear regression between simulated and observed SEE for data with $LEp > 100 \text{ Wm}^{-2}$ also reflects the overestimation of SEE by using TI data under low evaporative demand conditions. On the contrary, the calibration of $(\theta_{1/2}, \Delta\theta_{1/2}^{-1})$ over the whole period P0 using TI data with $LEp > 400 \text{ Wm}^{-2}$ provides good results at the subperiod scale (during each period P1 - 8).

In summary, the above results indicate that 1) the calibration of model parameters is feasible using TI data and 2) selecting TI data collected under relatively high evaporative demand conditions ($LEp > 400 \text{ Wm}^{-2}$ herein) is required for obtaining similar accuracy to the EC-based calibration case.

551 5.3. Applicability to temporally-sparse thermal data

The new r_{ss} formulation of Equation (5) is based on three parameters: τ_{hyst} , $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$. Validation results at Sidi Rahal site have shown that all three parameters can be efficiently calibrated using TI data combined with meteorological forcing and near-surface soil moisture observations. This opens up the path for model calibration using spaceborne thermal-derived radiometric surface temperature. However, the spatio-temporal resolution of available remote sensing products may be in some cases inadequate for implementing such a calibration. In particular, the thermal data available at high (100 m) spatial resolution have a repeat cycle of 8 days (by combining Landsat-7 and Landsat-8) only or more, depending on cloudy/non-cloudy conditions on Landsat overpass dates.

This subsection aims to assess the applicability of the calibration ap-563 proach of $(\theta_{1/2}, \Delta \theta_{1/2}^{-1})$ to temporally sparse thermal data. In practice, pa-564 rameter τ_{hyst} is set to 9 hours (as retrieved from high frequency TI data) and 565 the observation cycle of available TI data is increased from the hourly time 566 scale (previous results) to the 1-day, 2-day, 3-day, 4-day, 5-day, 6-day, 7-day 567 and the 8-day period (Landsat repeat cycle), and model results are evaluated in each case. Note that the 1-day repeat cycle mimics the availability of MODIS (MODerate resolution Imaging Spectroradiometer) TI data collected 570 onboard Terra and Aqua at 1 km resolution. To quantitatively evaluate the 571 uncertainty in retrieved parameters and model outputs (SEE and LE), an 572 input ensemble is built at a given TI observation cycle by extracting the TI data at 11:30am, 12:00pm and 12:30pm separately and, for observation cycles 574 larger than 1 day, by including all the possible independent time series shifted 575 by 1 day from one another. The acquisition time approximately corresponds 576 to the overpass time (from 10:30am to 1:30pm) of available thermal sensors 577 at 100 m and 1 km resolution. For the hourly observation cycle, the ensemble of input parameter sets is composed of the 3 $(\theta_{1/2}, \Delta \theta_{1/2}^{-1})$ pairs retrieved during the whole study period using EC data with $LEp > 100 \text{ Wm}^{-2}$, EC data with $LEp > 400 \text{ Wm}^{-2}$ and TI data with $LEp > 400 \text{ Wm}^{-2}$. For the other cases (observation cycles equal to 1 day or more), TI data with LEp > 400 Wm^{-2} are used.

Figure 10 presents model results as a function of an increasing TI observation cycle. The calibration results in terms of retrieved $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$

584

correspond to data with $LEp > 400~{\rm Wm^{-2}}$ while the model output results in terms of SEE and LE correspond to all data with $LEp > 100 \text{ Wm}^{-2}$. 587 The mean retrieved $\theta_{1/2}$ is found to be remarkably stable for all observation 588 cycles ranging from 0 to 8 days, although its standard deviation significantly increases for an observation cycle changing from 1 to 2 days. The mean re-590 trieved $\Delta\theta_{1/2}^{-1}$ is systematically biased by about +20% for an observation cycle 591 ranging from 1 to 8 days, and the variability of the retrieved $\Delta\theta_{1/2}$ within 592 each input ensemble is relatively large (20-50%) for observation cycles 2-8 days. The relatively large variability of $\Delta \theta_{1/2}^{-1}$ is attributed to the lack of ther-594 mal observations with both wet soil and $LEp>400~\rm Wm^{-2}$ conditions. Note 595 that such a limitation is specific to rainfed semi-arid areas like the Sidi Rahal region. Finally, as an assessment of the calibration strategy, LE estimates 597 are compared for three different calibration approaches of $r_{ss,ref}$ and $\theta_{efolding}$: the fixed parameters (S92) of Sellers et al. (1992), the pedotransfer function 590 (M16) in Merlin et al. (2016) and the proposed TI-based calibration. The 600 mean root mean square error (RMSE) between simulated and EC-derived LE is about 60, 50 and 40 Wm⁻² for the S92, M16 and TI-based calibration strategy, respectively. Such results emphasize the utility of calibrating evaporation models using thermal data, even when TI data are temporally sparse. 605

6. Conclusions and perspectives

A new r_{ss} formulation is proposed to improve soil evaporative efficiency (SEE) estimates at the subhourly time scale given the near-surface (0 – 5 cm) soil moisture, time of day and meteorological forcing data. The model

builds on the recent M16 SEE model and integrates time of day to implicitly represent the impact of the receding evaporation front on quasi instantaneous 611 SEE during daytime. The three model parameters namely $\theta_{1/2}$, $\Delta \theta_{1/2}^{-1}$ and 612 τ_{hyst} are calibrated using Eddy-covariance (EC) measurements collected at a 613 bare soil field (Sidi Rahal site) in central Morocco. Results in terms of correlation coefficient, slope of the linear regression and RMSD between simulated 615 and observed SEE indicate that the new model clearly outperforms both M16 616 and also PdS86, which is classically used in many land surface models. Given that EC measurements are available at few locations only, the applicability of the calibration approach is also tested using thermal infrared (TI) instead 619 of EC data. It is found that TI-derived SEE overestimates EC-derived SEE 620 under low LEp (< 400 Wm⁻²) conditions. However, the model calibration 621 using TI data under relatively high LEp (> 400 Wm⁻²) conditions provides very similar SEE results to the EC-based calibration case. 623

The phenomenological modeling approach allows to represent instanta-624 neous SEE over long time periods using a limited number (3) of input pa-625 rameters. Moreover, the hysteretic parameter τ_{hyst} (controlling the intrusion 626 of evaporation front during daytime under given LEp and θ conditions) retrieved at the Sidi Rahal site is found to be fairly stable during the 9-month 628 study period. Both $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$ parameters (controlling the nonlinear SEE 629 response to θ) vary between two successive drying periods, but accurate SEE 630 estimates are still obtained when considering those paramaters as constant during the whole study period. An alternative calibration scheme could be the calibration of a single parameter (τ_{hyst}) , while the others $(\theta_{1/2}, \Delta\theta_{1/2}^{-1})$ being estimated using pedotransfer functions as proposed in (Merlin et al.,

2016). Nonetheless, two questions that still remain open are the spatial variability of τ_{hyst} and the temporal variability of $(\theta_{1/2}, \Delta \theta_{1/2}^{-1})$. Additional vali-636 dation under different conditions is needed as a single site under the semi-arid climate of Morocco is used in this study to develop and evaluate the method. 638 Also, it is difficult to provide a deep, and quantitative, physical understand-639 ing of the origin of this variability using a downward/semi-empirical modeling 640 framework solely. Future studies based on a mechanistic model could address 641 the issue of interpreting temporally-variable parameters. Moreover, the energy balance model used in this study to relate SEE to evaporation has been successfully evaluated in terms of T_{wet} and T_{dry} in Stefan et al. (2015) but 644 not in terms of LEp directly. Although simulated LEp values are very con-645 sistent with EC LE observations ($SEE_{EC} = LE_{EC}/LEp$ consistently ranges between 0 and 1), further research should be undertaken to quantitatively evaluate LEp estimates. This would require a specific experiment where soil is maintained at field capacity under various atmospheric forcing conditions 649 (during several weeks). 650

The coupling of the new r_{ss}/SEE formulation with microwave-derived soil moisture and thermal-derived radiometric temperature would allow soil evaporation to be estimated at multiple scales over large areas. However, the concurrent requirements -to calibrate the model's parameters- of high evaporative demand (a threshold of 400 W m⁻² is used in this study), rainfall or irrigation events (enough to produce soil moisture variability) but lack of clouds, so that thermal satellite images can be acquired, and bare soil surfaces for relatively long periods are difficult to reach with current satellites. In fact, the model calibration over areas of agricultural or ecological interest

requires remote sensing data at high spatio-temporal resolution (Lagouarde et al., 2013, e.g.). As a long-term vision, the integration of the r_{ss} parameter-661 ization in state-of-the-art dual-source surface models (Norman et al., 1995, e.g.) has great potential to help separate soil evaporation and plant transpiration components of agricultural crops (Merlin et al., 2014, e.g.), and hence 664 to better assess the crop water use efficiency. Under vegetated surface, soil 665 evaporation would be affected not only by the soil available energy but also 666 by the heat and vapor transfer coefficients between the soil, vegetation and atmosphere (Shuttleworth and Wallace, 1985; Haghighi and Or, 2015). In the recent study of Hssaine et al. (2018), the soil resistance's parameters were calibrated over partially covering wheat by implementing a network of soil, 670 vegetation and air resistances and by separating phenological stages with 671 fractional vegetation cover smaller and larger than 0.5. Further research should tackle the structural (radiation interception) and functional (transpiration rate) vegetation effects of different biomes on the soil evaporation 674 underneath. Last but not least, future studies should investigate the impact 675 on evaporation estimates (and calibration parameters) of uncertainties in input soil moisture data sets derived from active microwave, passive microwave, disaggregation and/or assimilation techniques (Peng et al., 2017).

679 A. Soil energy balance model

The evaporation model classically solves the energy budget equation over bare soil:

$$LE = Rn - G - H \tag{13}$$

with LE (W m⁻²) being the soil latent heat flux, H (W m⁻²) the soil sensible heat flux, Rn (W m⁻²) the soil net radiation and G (W m⁻²) the ground conduction at 5-cm depth. The soil net radiation is expressed as:

$$Rn = (1 - \alpha)R_q + \epsilon(R_a - \sigma T^4) \tag{14}$$

with α (-) being the soil albedo, R_g (W m⁻²) the incoming solar radiation, ϵ (-) the soil emissivity (set to 0.95), R_a (W m⁻²) the atmospheric longwave radiation, σ (Wm⁻²K⁻⁴) the Stefan-Boltzmann constant and T (K) the soil skin temperature. For simplicity, α is set to a constant value (0.20) as in (Merlin et al., 2016). When applied to low resolution remote sensing data, albedo could be made variable as a function of surface soil composition and moisture (Liu et al., 2014). The downward atmospheric radiation at ground level is expressed as:

$$R_a = \epsilon_a \sigma T_a^4 \tag{15}$$

with ϵ_a (-) being the effective atmospheric emissivity, and T_a (K) the air temperature. The emissivity of clear skies is estimated as in Brutsaert (1975):

$$\epsilon_a = 0.553(e_a/100)^{1/7} \tag{16}$$

695 with:

$$e_a = e_{sat}(T_a)(h_a/100)$$
 (17)

with h_a (%) being the air relative humidity and:

$$e_{sat}(T_a) = 611 \exp \left[17.27 \left(T_a - 273.2 \right) / (T_a - 35.9) \right]$$
 (18)

with T_a in K. The ground conduction is estimated as a fraction of soil net radiation (Choudhury et al., 1987; Kustas and Daughtry, 1990):

$$G = C_G R n \tag{19}$$

with C_G (-) a coefficient (set to 0.20). The sensible heat flux is expressed as:

$$H = \rho C_P \frac{T - T_a}{r_{ah}} \tag{20}$$

with the aerodynamic resistance being estimated as in Choudhury et al. (1986):

$$r_{ah} = \frac{r_{ah0}}{(1+Ri)^{\eta}} \tag{21}$$

with r_{ah0} (s m⁻¹) being the neutral aerodynamic resistance and Ri (-) the Richardson number, which represents the importance of free versus forced convection, and η (-) a coefficient set to 0.75 in unstable conditions ($T > T_a$) and to 2 in stable conditions ($T < T_a$). The neutral r_{ah0} is computed as:

$$r_{ah0} = \frac{1}{k^2 u_a} \left[\ln \left(\frac{Z}{z_{0m}} \right) \right]^2 \tag{22}$$

with k (-) being the von Karman constant, u_a (m s⁻¹) the wind speed measured at the reference height Z (m) and z_{0m} (m) the momentum soil roughness (set to 0.001 m (Yang et al., 2008; Stefan et al., 2015; Merlin et al., 2016)). The Richardson number is computed as:

$$Ri = \frac{5gZ(T - T_a)}{T_a u_a^2} \tag{23}$$

with g (m s⁻²) being the gravitational constant. The energy balance of Equation (13) is solved by initializing the surface soil temperature $T = T_a$, and by looking for the value of T that minimizes the cost function F(T):

$$F(T) = (LE + H - Rn + G)^{2}$$
(24)

with LE being expressed as in Equation 1.

$_{^{714}}$ B. Analytical expressions of $r_{ss,ref}$ and $heta_{efolding}$

As an attempt to approximate SEE over its full range [0-1], SEE is approached linearly at the mid-value (0.5). The linear approximation of SEE (θ) at SEE = 0.5 sets two constraints on the model. First, the soil moisture value at which SEE = 0.5 is noted $\theta_{1/2}$:

$$SEE(\theta_{1/2}) = 0.5 \tag{25}$$

Second, the first derivative of SEE at $\theta_{1/2}$ is set to the slope $(\Delta \theta_{1/2}^{-1})$ of the linear regression between SEE and θ observations:

$$\left(\frac{\partial \text{SEE}}{\partial \theta}\right)(\theta_{1/2}) = \Delta \theta_{1/2}^{-1} \tag{26}$$

The combination of the above two equations allows to estimate both $r_{ss,ref}$ and $\theta_{efolding}$ parameters given a time series of SEE and θ observations. Parameters $r_{ss,ref}$ and $\theta_{efolding}$ in Equation (4) are analytically expressed as a function of $\theta_{1/2}$, $\Delta\theta_{1/2}^{-1}$, soil temperature (T_{wet} and $T_{1/2}$) and aerodynamic resistance ($r_{ah,wet}$ and $r_{ah,1/2}$) values corresponding to $r_{ss}=0$ and $\theta=\theta_{1/2}$, respectively. The soil energy balance model described in Appendix A is used to estimate both pairs (T_{wet} , $r_{ah,wet}$) and ($T_{1/2}$, $r_{ah,1/2}$) for a given meteorological forcing.

Briefly, $r_{ss,ref}$ is derived by inverting Equation (4):

$$r_{ss,ref} = r_{ss,1/2} \exp(\theta_{1/2}/\theta_{efolding}) \tag{27}$$

with $r_{ss,1/2}$ being the soil resistance at $\theta_{1/2}$ obtained by combining Equations
(3) and (25):

$$r_{ss,1/2} = 2\frac{e_{sat}(T_{1/2}) - e_a}{e_{sat}(T_{wet}) - e_a} r_{ah,wet} - r_{ah,1/2}$$
(28)

 $\theta_{efolding}$ is obtained by applying the first derivative at $\theta = \theta_{1/2}$ to the soil energy balance equation:

$$\theta_{efolding} = \frac{\frac{r_{ss,1/2}}{r_{ss,1/2} + r_{ah,1/2}} \left(e_{sat}(T_{1/2}) - e_a \right) + f(\theta_{1/2}) \dot{e}_{sat}(T_{1/2})}{\frac{r_{ss,1/2} + r_{ah,1/2}}{r_{ah,wet}} \left(e_{sat}(T_{wet}) - e_a \right)} \times \frac{1}{\Delta \theta_{1/2}^{-1}}$$
(29)

with \dot{e}_{sat} being the derivative of saturated vapor pressure with respect to T and $f(\theta_{1/2})$ expressed as:

$$f(\theta_{1/2}) = -\frac{\frac{r_{ss,1/2}r_{ah,1/2}}{(r_{ss,1/2} + r_{ah,1/2})^2} \left(e_{sat}(T_{1/2}) - e_a\right)}{\gamma + \frac{r_{ah,1/2}}{r_{ss,1/2} + r_{ah,1/2}} \dot{e}_{sat}(T_{1/2}) + 4\frac{\gamma}{\rho C_P} \epsilon \sigma (1 - C_G) T_{1/2}^3 r_{ah,1/2}}$$
(30)

Note that the full analytical development of $\theta_{efolding}$ is described in Merlin et al. (2016).

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Table 1: Start and end day of year (DOY) of the 9 study periods, including the whole bare soil period (P0) at Sidi Rahal site in 2016 and its 8 subperiods (P1-8) bounded by significant rainfall events. The soil moisture range, mean soil moisture, mean EC-derived SEE and mean potential evaporation are also listed for each period.

	Start	End	θ range	mean θ	mean SEE_{EC}	mean LEp
Period	(DOY)	(DOY)	$\left(\mathrm{m}^{3}\mathrm{m}^{-3}\right)$	$\left(\mathrm{m}^{3}\mathrm{m}^{-3}\right)$	(-)	$(\mathrm{Wm^{-2}})$
P0	1	243	0.03 - 0.38	0.06	0.24	354
P1	1	8	0.05 - 0.24	0.10	0.38	238
P2	9	45	0.04 - 0.23	0.08	0.33	242
P3	46	57	0.05 - 0.25	0.10	0.40	283
P4	58	80	0.05 - 0.33	0.10	0.32	286
P5	81	95	0.07 - 0.38	0.14	0.46	313
P6	96	125	0.04 - 0.22	0.07	0.27	342
P7	126	234	0.03 - 0.12	0.04	0.16	398
P8	235	243	0.03 - 0.16	0.05	0.20	386

Table 2: List of $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$ parameters retrieved from EC measurements for data with $LEp>100~{\rm Wm^{-2}}$ (for data with $LEp>400~{\rm Wm^{-2}}$ in parenthesis) and for periods P0-8 separately.

Period	$\theta_{1/2} \; (\mathrm{m}^3 \mathrm{m}^{-3})$	$\Delta \theta_{1/2}^{-1} \; (\mathrm{m}^3 \mathrm{m}^{-3})$
P0	0.119 (0.129)	4.58 (4.01)
P1	0.129 (NA)	4.72 (NA)
P2	0.126 (NA)	4.54 (NA)
P3	0.112 (NA)	8.41 (NA)
P4	0.140 (NA)	5.61 (NA)
P5	0.156 (0.146)	4.49(3.77)
P6	0.136 (0.136)	3.90(3.51)
P7	$0.073 \ (0.073)$	11.0 (11.2)
P8	0.120 (0.120)	5.40 (5.22)

modeled and EC-derived SEE for study periods P0-8 separately. Model parameters are calibrated using EC measurements Table 3: Correlation coefficient (R), slope of the linear regression (S) and root mean square difference (RMSD) between for data with $LEp > 100~{\rm Wm^{-2}}$ during corresponding period P0-8 (during period P0 in parenthesis).

	New	0.10(0.10)	0.08 (0.08)	0.08 (0.07)	0.24 (0.18)	0.19 (0.19)	0.12 (0.16)	0.09 (0.11)	0.05 (0.07)	0.08 (0.08)
RMSD (-)	M16	0.12 (0.12)	0.11(0.12)	0.10(0.11)	0.25(0.19)	0.22(0.22)	0.15(0.20)	0.11 (0.13)	0.07 (0.08)	0.08 (0.07)
	PdS86	0.13 (0.13)	0.11 (0.11)	0.12(0.12)	0.21 (0.21)	0.21 (0.21)	0.19(0.19)	0.12(0.12)	0.10(0.10)	0.08 (0.08)
	New	0.91 (0.91)	$0.87\ (0.85)$	1.01 (1.02)	0.98 (0.70)	0.88 (0.80)	1.03 (0.86)	1.01 (1.11)	0.91 (0.41)	0.89 (0.84)
S (-)	M16	0.86 (0.86)	0.85(0.83)	0.95 (0.95)	0.89 (0.60)	0.84 (0.74)	0.95 (0.77)	0.85 (0.96)	0.80 (0.29)	0.83 (0.83) 0.78 (0.71)
	PdS86	0.74 (0.74)	0.82 (0.82)	(99) (6.99)	0.57 (0.57)	0.73(0.73)	0.82 (0.82)	0.87 (0.87)	0.12 (0.12) 0.80 (0.29)	0.83 (0.83)
	New	0.85 (0.85)	0.97 (0.97)	0.92 (0.92)	$0.63 \ (0.64)$	0.72 (0.73)	0.92 (0.88)	$0.84\ (0.81)$	0.87 (0.77)	0.83 (0.83)
R (-)	M16	0.80 (0.80)	0.94 (0.94)	0.85 (0.84)	0.57 (0.55)	0.66 (0.66)	0.85 (0.80)	0.72 (0.70)	0.79(0.71)	0.82 (0.82)
	PdS86	0.75 (0.75)	0.94 (0.94)	0.84 (0.84)	0.55 (0.55)	0.64 (0.64)	0.78 (0.78)	(69.0) 69.0	0.30 (0.30)	0.85 (0.85)
	Period	P0	P1	P2	P3	P4	P5	P6	P7	P8

Table 4: Correlation coefficient (R), slope of the linear regression (S) and root mean square difference (RMSD) between simulated and measured evaporation at Sidi Rahal site.

Model	R (-)	S (-)	$RMSD (Wm^{-2})$
PdS86	0.81	0.89	34
M16	0.83	0.96	35
New	0.85	0.96	32

Table 5: Same as for Table 2 with model parameters calibrated using TI measurements.

Period	$\theta_{1/2}~(\mathrm{m}^3\mathrm{m}^{-3})$	$\Delta \theta_{1/2}^{-1} \; (\mathrm{m}^3 \mathrm{m}^{-3})$
P0	$0.085 \ (0.125)$	10.0 (4.21)
P1	0.086 (NA)	$9.31 \; (NA)$
P2	0.086 (NA)	12.7 (NA)
P3	0.093 (NA)	23.3 (NA)
P4	0.108 (0.128)	$10.1\ (5.63)$
P5	0.143 (0.164)	9.86 (3.95)
P6	$0.102\ (0.150)$	10.5 (3.52)
P7	$0.055 \ (0.063)$	20.1 (19.9)
P8	0.080 (0.111)	17.7 (6.46)

Table 6: Same as for Table 3 with model parameters calibrated using TI measurements for data with $LEp > 100~\mathrm{Wm}^{-2}$ during corresponding period P0-8 (for data with $LEp>400~{\rm Wm^{-2}}$ during period P0 in parenthesis).

		R (-)			S (-)			$\mathrm{RMSD} \; (\text{-})$	
Period	PdS86	M16	New	PdS86	M16	New	PdS86	M16	New
P0	0.77 (0.75)	0.77 (0.80) 0.81	0.81 (0.86)	1.15 (0.71)	1.23 (0.82)	1.25 (0.87)	0.18 (0.13)	0.19 (0.11)	0.17 (0.10)
P1	0.89 (0.94)	0.89(0.94)	0.92 (0.98)	1.02 (0.81)	(0.96 (0.79))	0.97 (0.82)	0.20(0.11)	0.21 (0.11)	0.17 (0.08)
P2	0.81 (0.86)	0.77 (0.85)	$0.82 \ (0.94)$	1.32 (1.02)	1.36 (0.90)	1.42 (0.98)	0.20(0.12)	0.22(0.10)	0.19 (0.07)
P3	$0.43 \; (0.26)$	0.48 (0.55)	$0.52 \; (0.65)$	0.71(0.31)	1.04 (0.56)	1.08 (0.68)	0.32 (0.28)	0.37 (0.19)	0.35 (0.17)
P4	$0.68 \ (0.82)$	0.63 (0.65)	0.67 (0.74)	1.01 (0.82)	1.02 (0.70)	1.03 (0.77)	0.28 (0.14)	0.29(0.21)	0.26(0.18)
P5	0.60(0.72)	0.77(0.81)	0.82 (0.90)	0.55 (0.76)	1.17 (0.78)	1.21 (0.89)	0.35 (0.20)	0.25 (0.18)	0.22 (0.14)
P6	0.60 (0.70)	0.64 (0.71)	0.70 (0.83)	1.22 (0.85)	1.36(0.91)	$1.44 \ (1.09)$	0.22(0.12)	0.22(0.12)	0.21 (0.10)
P7	0.77(0.40)	0.76 (0.70)	$0.82\ (0.69)$	$0.52\ (0.15)$	1.24 (0.26)	1.37 (0.42)	0.08 (0.10)	0.12(0.08)	0.12 (0.07)
P8	0.87 (0.85)	0.87 (0.85) 0.84 (0.81) 0.86	0.86 (0.79)	1.55 (0.78)	1.85 (0.64)	1.85 (0.80)	1.85 (0.80) 0.13 (0.07) 0.19 (0.07) 0.18 (0.08)	0.19 (0.07)	0.18 (0.08)

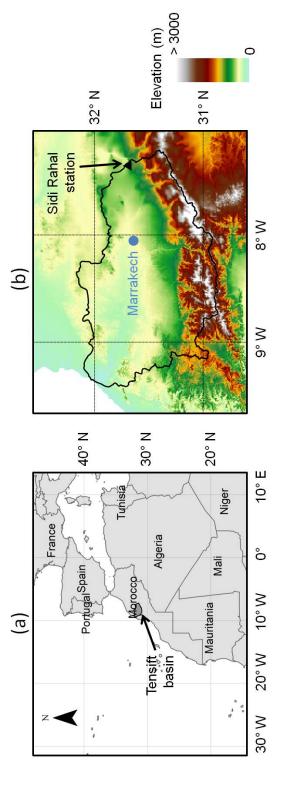


Figure 1: Geographic location of the Tensift basin in Morocco (a) and the Sidi Rahal flux site within the catchment (b).

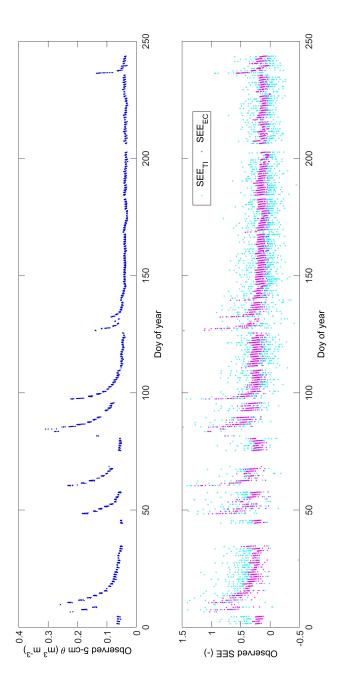


Figure 2: Time series of near surface soil moisture (θ) and EC-derived (SEE_{EC}) and TI-derived (SEE_{TI}) SEE in 2016 at the Sidi Rahal site.

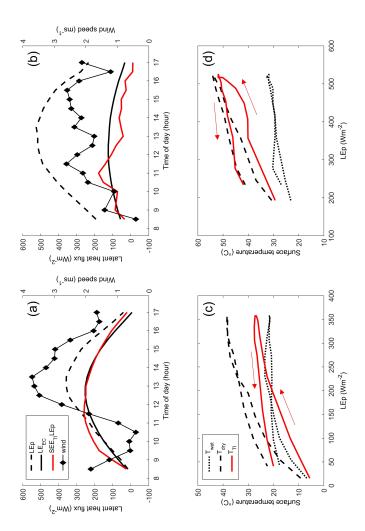


Figure 3: Variations between 8:30 am and 5:00 pm of the potential soil evaporation (LEp), the soil evaporation measured by the EC (LE_{EC}) , the soil evaporation estimated as the TI-derived SEE (SEE_{TI}) times LEp and wind speed in relatively wet (a) and dry (b) conditions, on January 7th and May 1st 2016 respectively. The hysteretic behavior of radiometric temperature is also shown as a function of LEp on the wet (c) and dry (d) date, respectively.

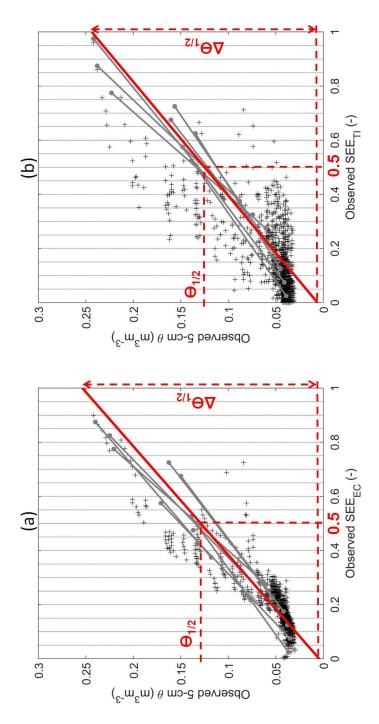


Figure 4: Retrieval of the pair $(\theta_{1/2}, \Delta \theta_{1/2}^{-1})$ during period P0 for data with $LEp > 400 \text{ Wm}^{-2}$ and for EC-derived (a) and TI-derived (b) SEE separately.

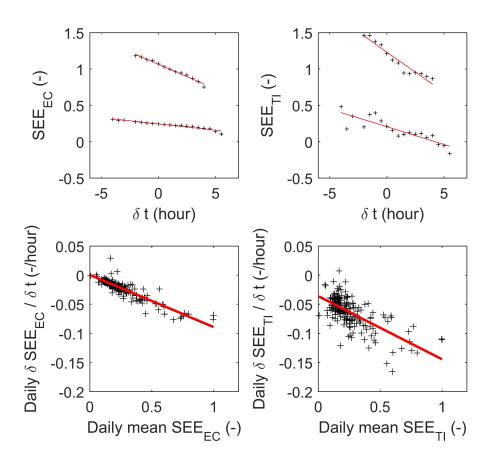


Figure 5: The observed SEE for data with $LEp > 100~{\rm Wm^{-2}}$ is plotted as a function of δt at the daily time scale for a wet (January 7th) and dry (May 1st 2016) day (top) and the estimated daily slope $\delta SEE/\delta t$ is plotted as a function of the daily mean SEE (bottom) for each day of period P0 and for the EC-derived (left) and TI-derived (right) SEE case separately.

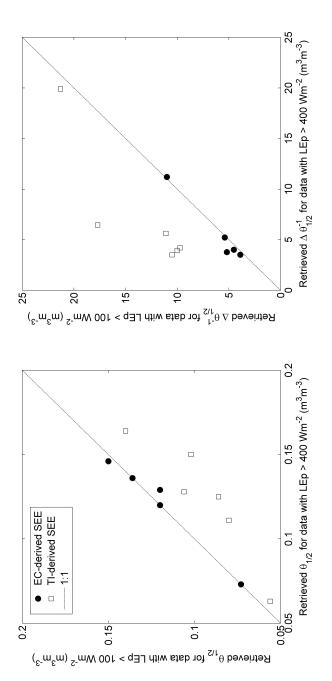


Figure 6: Retrieved $\theta_{1/2}$ (left) and retrieved $\Delta \theta_{1/2}^{-1}$ (right) for data with $LEp > 100 \; \mathrm{Wm}^{-2}$ versus the same parameter retrieved for data with $LEp > 400 \text{ Wm}^{-2}$.

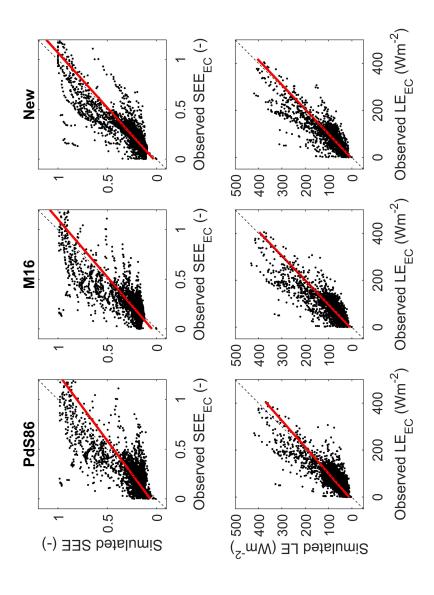


Figure 7: Simulated versus observed (EC-derived) SEE (top) and evaporation (bottom) for P0 data with $LEp > 100~\mathrm{W~m^{-2}}$ and for three (PdS86, M16 and new) r_{ss} models separately. In all three cases, both $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$ are calibrated during P0 from EC-derived SEE data with $LEp > 100 \text{ W m}^{-2}$.

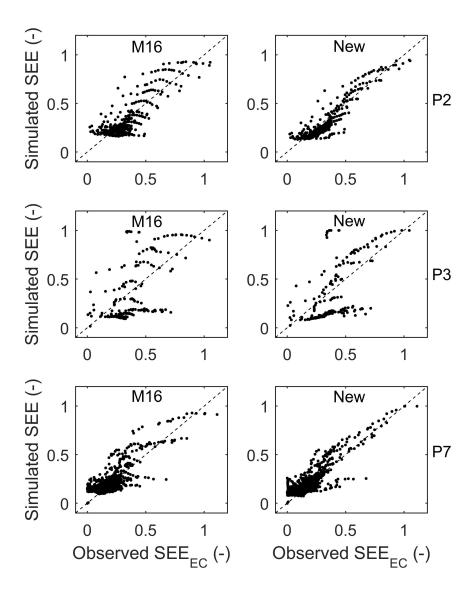


Figure 8: Simulated versus observed (EC-derived) SEE for data with LEp > 100 W m⁻² during P2 (top), P3 (middle) and P7 (bottom) period and for M16 and new models separately. In all six cases, both $\theta_{1/2}$ and $\Delta\theta_{1/2}^{-1}$ are calibrated during P0 from EC-derived SEE data with LEp > 100 W m⁻².

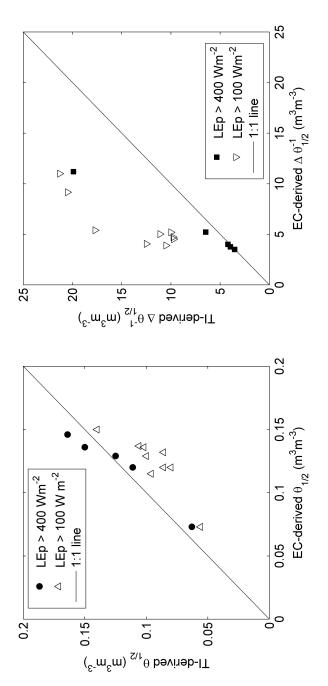


Figure 9: TI-derived versus EC-derived $\theta_{1/2}$ (left) and TI-derived versus EC-derived $\Delta \theta_{1/2}^{-1}$ (right) for data with a minimum LEp value of 100 and 400 Wm^{-2} separately.

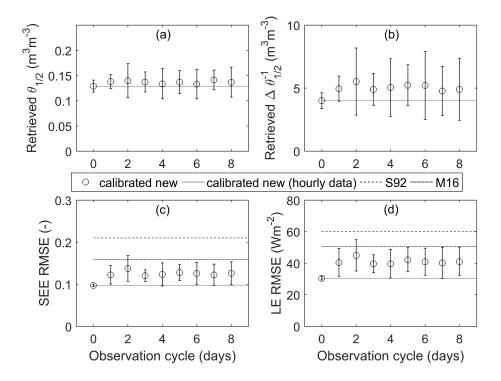


Figure 10: Model results in terms of (a) retrieved $\theta_{1/2}$, (b) retrieved $\Delta\theta_{1/2}^{-1}$, (c) RMSE in simulated SEE and (d) RMSE in simulated evaporation are presented for an increasing observation cycle —ranging from the hourly to the 8-day period— of TI calibration data. The mean (circles) and standard deviation (errorbars) of retrieved parameters are computed from an ensemble of input TI data sets (with $LEp > 400 \text{ Wm}^{-2}$) collected at 11:30 am, 12:00pm and 12:30pm separately and, for observation cycles longer than 1 day, on all possible observation cycles shifted by 1 day. The mean (circles) and standard deviation (errobars) of RMSE in SEE/LE are computed from data (with $LEp > 100 \text{ Wm}^{-2}$) during the whole study period using the ensemble of parameter pairs ($\theta_{1/2}, \Delta\theta_{1/2}^{-1}$) retrieved previously. For comparison purposes, the RMSE of the SEE/LE estimated by M16 (pedotransfer function) and S92 (fixed parameters) is also plotted.