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7 **Closed-locked and Apo-resting State Structures**
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11 **of the Human $\alpha 7$ Nicotinic Receptor:**
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14 **A Computational Study**
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54 **Abstract**
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56 Nicotinic acetylcholine receptors, belonging to the Cys-loop super-family of ligand-

gated ion channels (LGICs), are membrane proteins present in neurons and at neuromuscular junctions. They are responsible for signal transmission, and their function is regulated by neurotransmitters, agonists and antagonists drugs. A detailed knowledge of their conformational transition in response to ligand binding is critical to understand the basis of ligand-receptor interaction, in view of new pharmacological approaches to control receptor activity. However, the scarcity of experimentally derived structures of human channels makes this perspective extremely challenging. To contribute overcoming this issue, we have recently reported structural models for the open and the desensitized states of the human $\alpha 7$ nicotinic receptor. Here, we provide all-atom structural models of the same receptor in two different non-conductive states. The first structure, built via homology modeling and relaxed with extensive Molecular Dynamics simulations, represents the receptor bound to the natural antagonist α -conotoxin ImI. After comparison with available experimental data and computational models of other eukaryotic LGICs, we deem it consistent with the “closed-locked” state. The second model, obtained with simulations from the spontaneous relaxation of the open, agonist-bound $\alpha 7$ structure after ligand removal, recapitulates the characteristics of the apo-resting state of the receptor. These results add to our previous work on the active and desensitized state conformations, contributing to the structural characterization of the conformational landscape of the human $\alpha 7$ receptor, and suggesting benchmarks to discriminate among conformations found in experiments or in simulations of LGICs. In particular key interactions at the interface between the extracellular domain and the transmembrane domain are identified, that could be critical to the $\alpha 7$ receptor function.

Introduction

The identification of functional states of channel proteins based on their structure is a key issue in structural biophysics and electrophysiology. In particular, for ligand gated ion channels (LGICs), the univocal determination of quantities to discriminate between conductive

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3 and non-conductive states gained new boost in recent years, thanks to X-ray crystallographic
4 structures for a small number of channels and their homologs, as well as computationally-
5 derived structural models.¹⁻¹⁹
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9 The structural characterization of different non-conductive states is especially complex,
10 as they all have in common a non-conductive pore in the transmembrane domain (TMD).
11 These states are usually referred to as: *i*) closed-locked, with antagonist ligands bound, *ii*)
12 resting or apo, without ligands, and *iii*) desensitized, inactive in presence of bound agonists.
13 Subtle differences in the structure are expected to be determinant in discriminating these
14 different functional states.
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21 A reference structure for the closed-locked state was missing until few years ago, when
22 an anion selective, human glycine binding channel has been crystallized in complex with the
23 toxin antagonist strychnine.²⁰ As for the desensitized states, the identification of characteriz-
24 ing features is still argued, as witnessed by the debate over some structures first associated to
25 closed or even open states, and successively designated as desensitized.^{21,22} The most recent
26 structures for desensitized states (human heteropentameric $\alpha 4\beta 2$,²³ human homopentameric
27 GABA_A²⁴ bound to the agonist benzamidine, GLIC complexed with fatty acids²⁵) present
28 a large heterogeneity even in key quantities for conduction, as for example the pore radius.
29 Finally, with regard to the apo-resting state of LGICs in the absence of ligands, the *Tor-*
30 *pedo* nicotinic acetylcholine receptor (nAChR) from cryo-EM^{26,27} has provided a reference
31 for years. Its channel pore is wider than in the closed bacterial receptors ELIC²⁸ or GLIC
32 at pH 7.²⁹ Few years ago, the GluCl channel structure has been solved in the apo conform-
33 ation.³⁰ Similarly to the bacterial channels, this structure also exhibits a narrow pore.
34 Recently, Molecular Dynamics (MD) simulations investigated the spontaneous relaxation of
35 GluCl from an active state, whose structure was solved in complex with the positive allosteric
36 modulator ivermectin,³¹ to a structure very similar to the experimental GluCl apo-resting,
37 after removal of the ligand.³²
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55 Among LGICs, the homopentameric $\alpha 7$ nicotinic receptor is of key interest, being mainly
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3 (although not uniquely) expressed in the mammalian brain, where it is involved in regulation
4 of plasticity, neuronal growth, and differentiation.³³ It is also observed in cancer cell prolif-
5 eration and apoptosis mechanisms.³⁴ In particular, up-regulation of $\alpha 7$ (growth stimulator)
6 and desensitization of $\alpha 2\beta 4$ (growth inhibitor) have been correlated to nicotine exposure and
7 to carcinogenesis.³⁵
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11 We recently provided an open conductive model of the human nAChR $\alpha 7$ bound to the
12 agonist epibatidine,¹⁸ built via homology modeling based on the putatively open channel of
13 GluCl³¹ (for TMD) and on the structure of AChBP bound to epibatidine^{36,37} (for the ligand
14 binding domain, LBD). We also characterized an $\alpha 7$ structure in a non-conductive configu-
15 ration, showing a very low level of pore hydration, despite being still bound to epibatidine.¹⁹
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17 Based on a critical comparison with the most recent experimental structures, we proposed
18 the latter as a representative conformation of the desensitized functional state.
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27 To proceed further in the characterization of the human $\alpha 7$ conformational cycle, we
28 investigate here all-atom structural models of two different non-conducting states. First, we
29 provide a representative structure for the closed-locked form of the channel in presence of the
30 antagonist α -Conotoxin ImI.³⁸ We performed homology modeling based on high resolution
31 templates for both the LBD and the TMD, and MD simulations in explicit solvent and lipid
32 bilayer to relax the structure. In addition, we study the spontaneous structural relaxation
33 of the channel from both the closed-locked state and the open, ligand-bound state, as a
34 consequence of sudden removal of the drug. In the latter case, an enhanced conformational
35 heterogeneity, combined with a non-conductive channel, are consistent with a structure rep-
36 resentative of the apo-resting state of the human $\alpha 7$ receptor. We establish correspondence
37 between structures and functional states based on local and global structural descriptors of
38 quaternary and tertiary rearrangements. In particular, we focus on the interface of LBD
39 with TMD, the TMD pore, the hydration level, and the atomistic details of the hydrogen
40 bonds pattern in the protein.
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Methods

Homology modeling

The $\alpha 7$ nAChR structure presents a fivefold symmetry, with an extracellular ligand binding domain, a trans-membrane domain, and an intracellular part. In the TMD, the channel pore, where ions permeate, is formed by the alignment of one helix (M2) from each subunit. The pore opens due to the binding of agonist ligands in the orthosteric site located in the LBD in the five subunits (in the following called P_1, \dots, P_5), with an allosteric mechanism widely investigated in LGICs.^{7,39–41}

The protocol to build the $\alpha 7$ nAChR homology model in the closed state was similar to the one used in Chiodo et al.¹⁸ for the open state. A chimera template model was built using two different PDB structures. For the LBD, we used the *Aplysia californica* AChBP structure, PDB ID: 2BYP,³⁶ with the α -conotoxin ImI bound into the orthosteric site. The TMD and cys-loop are modelled on the GluCl in apo-resting conformation, PDB ID: 4TNV,³⁰ to provide a starting non-conductive configuration for the transmembrane pore. The chains A to E of 4TNV and of 2BYP were superimposed using the backbone of residues 54–61, 76–79, 110–121, 91–93, 141–150 for 4TNV and of residues 55–62, 77–80, 107–118, 91–93, 138–147 for 2BYP. The residues used for the superposition of 4TNV and 2BYP are located in several β strands of the extracellular domain, at the principal and complementary faces of the binding site, and not covalently linked to the C loop. The motivations for this selection are: i) these residues belong to regions relevant for protein function and ii) regions that show very different conformations in the two proteins, as indeed the C loops, are avoided. The chimera was built from the aligned chains using the following fragments: residues 8–126 from 2BYP, followed by residues 130–144 from 4TNV corresponding to the Cys-loop, residues 141–203 from 2BYP and finally residues 209–339 from 4TNV corresponding to the

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3 TMD. The residue numbers were modified to get a continuous numbering from 8 to 335.
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5 The sequence alignment between nAChR $\alpha 7$ and the chimera protein¹⁸ was used along
6 with the 4TNV/2BYB chimera conformation to calculate an homology model using Modeller
7 9.10.⁴² In the sequence alignment (Figure S1 in Supplementary Material), 108 out of the
8 328 residues of each chain are identical in $\alpha 7$ and in the template sequence and 105 non-
9 identical residues display homology. As the percentage identity between the target and the
10 template sequences is larger than 30%, the use of homology modeling is appropriate. The
11 script `build_model.py` provided in the Modeller distribution was used and ten models were
12 produced with the `very_slow` refinement procedure. The ten models were analyzed with
13 Procheck.⁴³ The model number 10, giving the largest percentage of residues in the core
14 region of the Ramachandran diagram, along with the smallest percentage in the disallowed
15 region and the smallest number of labelled residues, was selected for further analysis. The
16 hydrogens were added using Molprobity⁴⁴ and the conformation scored using QMEAN,⁴⁵
17 obtaining a QMEANnorm score of 0.47. For comparison, QMEANnorm scores of 0.55 and
18 0.59 were obtained for the X-ray crystallographic structures 4TNV and 2BYB, respectively.
19 The resulting structure is shown in Fig.1.
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37 **System set-up and Molecular Dynamics simulations**

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39 Protonation state of ionizable residues at physiological pH (7.4) is the same as the one of
40 the open channel.^{18,19} It was predicted by using the web-based implementation of a method
41 for pKa calculations based on continuum electrostatic model.^{46,47} MD simulations were per-
42 formed with the NAMD2.12 package,^{48,49} with the CHARMM27 force field for proteins,
43 ions, and water and the CHARMM36 force field for phospholipids. The protein, complexed
44 with five conotoxin molecules, was embedded in a bilayer/water system. The system set
45 up, the equilibration protocol, and the simulation parameters in the NPT ensemble at T=
46 310 K and P =1 atm are as in the case of the active and desensitized state simulations.^{18,19}
47 The protein has been inserted into a pre-equilibrated 110 Å × 110 Å lipid bilayer originally
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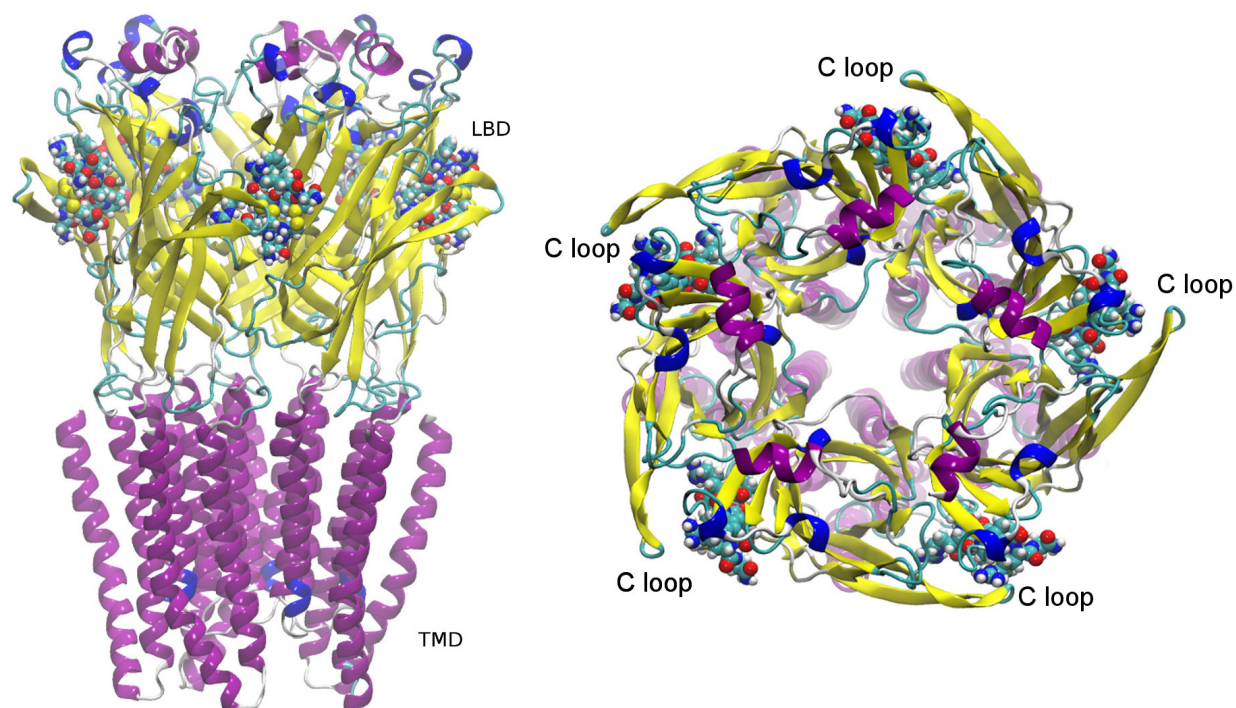


Figure 1: Homology model of the closed-locked $\alpha 7$ bound to α -Conotoxin-ImI. Left) side view; right) top view. The protein is in cartoon representation colored according to the secondary structure. The five conotoxin molecules are represented in vdW model. The TMD, LBD and the five C loops are also indicated.

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3 composed by 356 palmitoyl-2-oleoyl-sn-glycerol-phosphatidylcholine (POPC) lipids and 5605
4 waters molecules, obtained from CHARMM-GUI at www.charmm-gui.org. The protein-lipid
5 system underwent 10000 steps of conjugate gradient minimization; the temperature of the
6 system was then increased gradually to 300 K over 2.4 ns MD simulation, by a sequence of
7 200 ps long simulations at constant pressure (1 atm) and temperature, each with an increase
8 of 25 K and with random reassignment of the atomic velocities at each temperature increase,
9 to let the membrane equilibrate around the protein. At this stage, harmonic positional re-
10 straints were applied to all protein atoms, with a force constant of 5 kcal/mol/Å². Water
11 was then added to fully solvate the protein/lipid system. The total number of atoms in the
12 system is 143430 (26178 protein atoms plus five conotoxin peptides, 27360 water molecules,
13 255 POPC lipids). Na⁺ and Cl⁻ ions (157 ions), corresponding to 100 mM solution, were
14 added to neutralize the net system charge. The system was again minimized for 10000
15 steps; harmonic positional restraints were applied to all lipid and protein atoms with a force
16 constant of 5 kcal/mol/Å². The temperature of the system was then increased gradually
17 to 300 K over 0.6 ns MD simulation, by a sequence of 100 ps long simulations at constant
18 pressure (1 atm) and temperature, each with an increase of 50 K and with random reassign-
19 ment of the atomic velocities at each temperature increase, to let the water and the ions
20 equilibrate around the protein and the membrane. Further 5 ns were then run in the NPT
21 ensemble at 300 K and 1 atm, by gradually removing the positional restraints on all protein
22 atoms (force constant from 5 to 1 kcal/mol/Å², 1 ns for each force constant value), this time
23 allowing lipids, water and ions to move freely. Periodic boundary conditions were applied,
24 with particle-mesh Ewald long-range electrostatics,⁵⁰ using a grid spacing of 1 Å along with
25 a sixth order B-spline charge interpolation scheme. A cutoff of 1.2 nm for Lennard-Jones
26 potential, with a smooth switching function starting at 1.0 nm, was used. Bonds involving
27 hydrogen atoms were constrained to their equilibrium length using the SHAKE/RATTLE
28 algorithm,⁵¹ with time step of 1 fs.

29 Starting from equilibrated structures of the open form bound to epibatidine and of the
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closed form bound to conotoxin investigated in this work, the ligands are suddenly removed. The two systems, simulated with the same protocol on the hundred of nanosecond time scale (Table 1), relax to two structures, called apo-open and apo-closed, respectively.

Table 1: Simulations of the $\alpha 7$ pentamer.

name	starting point	ns
closed-locked	equilibrated homology modeling bound to conotoxin	300
apo-closed	final structure of the previous run, removing conotoxin	300
apo-open	the open active form as in Chiodo et al., ¹⁸ removing epibatidine	300

Trajectory analysis

To assess the models for the closed-locked and the apo states, and to compare them with the open active conformation, quaternary and ternary structural descriptors have been selected and monitored along the simulation. Their combination provides a description of the allosteric coupling between the ligand site and the transmembrane ion channel.

Two quaternary changes occurs in the process, specifically a torsional motion of the LBD with respect to the TMD along the symmetry axis, or twist,⁵²⁻⁵⁶ and a global rearrangement of the entire LBD, called '*blooming*' in which the subunits LBD move radially.^{2,30,41,57-59} The twist angle is calculated as the angle between the projections of the two vectors from the whole protein center of mass to the center of mass of the LBD and to the center of mass of the TMD (only the C_{α} atoms are considered), respectively, on the plane perpendicular to the symmetry axis of the protein.⁵⁸ The blooming is calculated by evaluating the distance of the center of mass of each LBD subunit from the one of the entire LBD, projected on the plane perpendicular to the symmetry axis of the protein.

The quaternary transition in turn involves important changes at the LBD/TMD interface. These are described by the concerted interaction of the M2-M3 loop in the TMD with the Cys-loop and the $\beta 1$ - $\beta 2$ loop in the LBD.^{12,58,60-63} To assess the structures modeled in this work, we calculated two distances between i) the C_{α} atom of Pro256 in the M2-M3 loop and the center of mass of the C_{α} atoms in the Cys-loop (residues 122-136); ii) the C_{α} atom of

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3 Pro256 and the C α atom of Lys40 in the β 1- β 2 loop. This choice was prompted by careful
4 comparison of our protein primary sequence with other models in the literature.⁵⁸
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7 Changes at the LBD-TMD interface induce the re-orientation of the TMD M2 helices
8 with respect to the pore axis.^{12,13,28,54,56,64-66} This is described in terms of the tilt angle that
9 the overall M2 helix (C α atoms of 23 residues, from Gly231 to Glu253) forms with the protein
10 axis. In the conformational transition the M2 helices undergo both a radial tilting and a
11 tangential motion (polar and azimuthal tilts). These two components are calculated as in
12 Calimet et al.⁵⁸ We use a reference frame centered on the center of mass of the M2 helix.
13 The global Z axis is set parallel to the main protein symmetry axis (along the channel) and
14 the global X axis is set as pointing outward the channel, in a radial direction. The polar
15 tilt is defined as the angle between the projection of the helix main (z) axis on the global
16 XZ plane and the global Z axis. The azimuthal tilt is defined as the angle between the
17 projection of the helical main (z) axis on the global XY plane and the global X axis. A
18 cartoon schematically representing the helix tilts will be shown along with the plots of the
19 probability distributions of the tilt angles.
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33 Structure and hydration of the channel pore are described by calculating: i) the pore
34 radius, computed using HOLE⁶⁷ in the configuration obtained by averaging over the last
35 200 ns segment of each trajectory; ii) distances between specific residues of the M2 helices
36 in non-adjacent subunits (crossed distances between the center of mass of residues in facing
37 subunits, for each pair of subunits); iii) the time evolution of the number of water molecules
38 over the last 200 ns segment of each trajectory, in two regions: the full pore channel, as en-
39 closed by the five M2 helices between Gly231 and Glu253 (30 Å long) and in the constriction
40 zone between Leu242 and Leu249 (i.e. Leu9' and Leu16' in prime notation) (10 Å long).
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49 The ligand binding site conformation is investigated by calculating the distance between
50 the center of mass of the C-loop (C α atoms of residues 179–188), capping the ligand, and
51 the center of mass of C α atoms of residues 139–140, in the backwall (d_C^{intra}).³⁷ This distance
52 quantifies the degree of C loop closure around the ligands.
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Moreover, the modes of binding of α -conotoxin ImI to our model of the closed-locked human $\alpha 7$ have been investigated. Here we focus our attention on the triad Asp5-Pro6-Arg7 on α -conotoxin ImI, experimentally known to be relevant in the interaction of this toxin with the $\alpha 7$ protein.^{36,68-72} Pairwise interactions are described in literature between: i) Asp5 and Arg7 in conotoxin, in concert with ii) interaction of Arg7 with the side chain of Asp197 and the backbone oxygen of Pro196, in the primary subunit; iii) between Arg7 in conotoxin and the side chain of Tyr93 in the primary subunit. These interactions have been evaluated by a hydrogen bond analysis between donor-acceptor pairs in the residues above listed. A hydrogen bond is present if the donor-acceptor distance is shorter than 3.0 Å and the angle between the donor-acceptor axis and the donor-hydrogen bond is less than 30°.

To further characterize the new closed-locked model at atomistic resolution, and with the aim at comparing with the open active conformation, we carried out an analysis of intra-protein interactions. A donor-acceptor distance shorter than 3.0 Å was defined as “hydrogen bonding” distance. This analysis is aimed at providing a first level filter to establish if donor-acceptor atoms were or not at suitable distance to form a hydrogen bond in one or another protein structure, in this way sieving out some unimportant interactions. “Hydrogen bonding” distances were then filtered according to their presence in only one of the two forms (either in the open-active or in the closed-locked protein structure), at a given moment.

Results

The closed-locked structure

Assessment of stability

To assess the overall stability of the new homology model for the closed-locked conformation, we evaluated the C_{α} Root Mean Square Deviation (RMSD) from the final configuration of the equilibration stage and the Root Mean Square Fluctuations (RMSFs). Results are shown

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3 in Figure S2 and Figure S3 in Supplementary Material, separately for the LBD and the TMD
4 of the five subunits. The time evolution of the RMSDs points out that, averaging over the
5 five subunits, the conformation of the channel bound to conotoxin is stable on the reported
6 time scale, both at LBD and TMD level. The C_α RMSFs profile along the protein chain
7 is quite similar to that obtained from previous simulation of a human receptor homology
8 model based on the putative closed structure of *Torpedo marmorata*.¹¹ As for the LBD,
9 the profile is also in good agreement with the one reported for a model of the $\alpha 7$ LBD
10 complexed with α -conotoxin ImI.⁶⁸ Peak locations are conserved, in particular, the $\beta 2$ - $\beta 3$
11 loop, A-loop, Cys-loop, F-loop and the C-loop (that caps the toxin molecules), so as the
12 loops connecting M1-M2 helices in the TMD. We observe a large peak in the region of helix
13 M4 around residue 300, a known consequence of the structural uncertainty associated to the
14 loop M3-M4.⁷³ The RMSD curve relative to the subunit P5 (yellow curve) is higher than
15 the others. This behavior is mostly brought about by motions of the F and C loops in the
16 subunit, as testified by the corresponding peaks in the RMSFs profiles in Figure S3 (dark
17 yellow curve). Indeed, the RMSD calculated by excluding the C_α atoms belonging to the F
18 and C loops, appears stationary over time around a value of about 3.2 Å (data not shown).
19 As for the other subunits, the RMSDs calculated according this new selection almost overlap
20 with the RMSDs of the full LBD.
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39 The stability of the closed-locked $\alpha 7$ model at the orthosteric site is evidenced by the
40 distribution of d_C^{intra} shown in Figure S4 in Supplementary Material (dark grey curve). Com-
41 pared with the epibatidine-bound conformation,¹⁸ a peak is observed at higher values, as
42 expected in the case of a huge molecule as conotoxin ImI. The main peak of the distribution
43 is at 18 Å, with a secondary maximum at 19.5 Å. Although a shoulder is also observed
44 around 16.7 Å, a typical value of the open conformation, overall the degree of the C-loop
45 radial extension is compatible with an antagonist-bound closed state.
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53 The appearance of the secondary peak at 19.5 Å is mostly due to data pertaining to
54 the subunit P5, pointing for a larger conformational freedom of the C loop in this case, in
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3 agreement with the corresponding behavior of the LBD RMSD and RMSFs profile above
4 mentioned. Larger fluctuations of C and F loops in the subunit P5 are correlated with a
5 higher mobility observed for the ligands interacting with this subunit. Indeed, the RMSDs
6 of the two conotoxin molecules at the P5(+)-P4(-) and P1(+)-P5(-) interfaces are higher
7 than the others (data not shown), though all ligands are kept in place during the simulation.
8 Motional heterogeneity among PLGICs subunits is observed also in other computational
9 studies of these proteins. As an example, in a MD simulation of a model of the $\alpha 7$ LBD
10 bound to α -conotoxin ImI Yu et al⁶⁸ also obtained considerably higher values of the RMSD
11 and RMSF peaks for one subunit only.

21 Modes of binding of conotoxin to the ligand pocket residues have been investigated.
22 Figure S5, panel A, shows the ligand/pocket hydrogen bond network in a representative
23 frame of the closed-locked trajectory. Results are in full agreement with results in^{68,72}. In
24 particular a hydrogen bond is found between Asp5 and Arg7, which has been predicted to
25 stabilize the position of Arg7 and allow it to interact with both Asp 197 and Pro 196.⁶⁸
26 Packing between the side chain of Tyr195 in the primary subunit and the side chain of Arg7
27 in conotoxin, proposed to explain cation-pi interactions observed in experimental studies,⁷⁴
28 is also apparent. Figure S4, panel B shows the hydrophobic packing of Pro6 by Trp149
29 and Tyr96, in agreement with the α -conotoxin ImI/ $\alpha 7$ model proposed in⁶⁸; the distances
30 between the center of mass of Pro6 aromatic ring and the center of mass of the aromatic
31 rings in Trp 149 and Tyr96 are 6.5 Å and 6.2 Å respectively. Another bond, reported in⁷²,
32 is found in our model between Asp5 and Tyr188 (not shown), which should allow in turn to
33 stabilize the position of Arg5 in the pocket.

47 As for the model of the open conformation we refer to in this work, a similar compari-
48 son was provided in our previous work.¹⁸ There, we investigated the pattern of interaction
49 between the ligand pocket residues and epibatidine in our refined model, and demonstrated
50 that they were consistent with the closest available experimental structure, i.e. the X-ray
51 structure of chimera modified toward the human $\alpha 7$ nAChR sequence⁷⁵.

Quaternary and ternary changes

In the following, we report the analysis of the quaternary and ternary structural descriptors for the new closed-locked model here proposed, comparing with results on published structures, in particular the open, epibatidine-bound, $\alpha 7$ structure we proposed in Chiodo et al.¹⁸

In LGICs, the process of channel deactivation is associated to a quaternary twist.⁵²⁻⁵⁵ The twist angle is expected to increase when going from the active towards non conductive states. The distribution of the twist angle values calculated for the closed model is shown in Fig. 2, upper left panel. The protein in the closed structure undergoes indeed a larger twist with respect to the open conformation reported in Chiodo et al.,¹⁸ the peak values of the distributions being at 25° and 20° , respectively. This variation is in agreement with results on the GLIC channel, for which a twist increase of 4° has been observed in closing.⁵⁸ Interestingly, the twist in the closed-locked conformation is also more pronounced than in the desensitized state model of $\alpha 7$.¹⁹ Furthermore, the distribution of twist values changes from bimodal in the open conformation (second maximum at 23°), to a sharper, almost unimodal distribution in the closed state. This feature is observed in many of the analyzed descriptors of the locked channel. In Fig. 2, upper right panel, the superposition of the TMD in the open and closed structures clearly shows the twist.

The open-to-closed transition involves also a blooming of the LBD^{2,30,41,57-59} in which all LBD subunits move radially outward with respect the channel axis (LBD expansion). The distributions of the blooming distances are shown in Fig. 2, upper middle panel. They are quite overlapped in the closed-locked and open conformations. However, the peak values in the two structures differ of about 1 \AA , similarly to what obtained by comparing the GLIC channel in the open (pH 4.6) and closed (pH 7) conformations.⁵⁷ Slightly different definitions in terms of distances⁵⁷ or in terms of reorientation of the LBD β sandwiches are found in literature to describe such collective motion.⁵⁸ However, by consensus a small enlargement of the LBD region has been associated to pore closure.

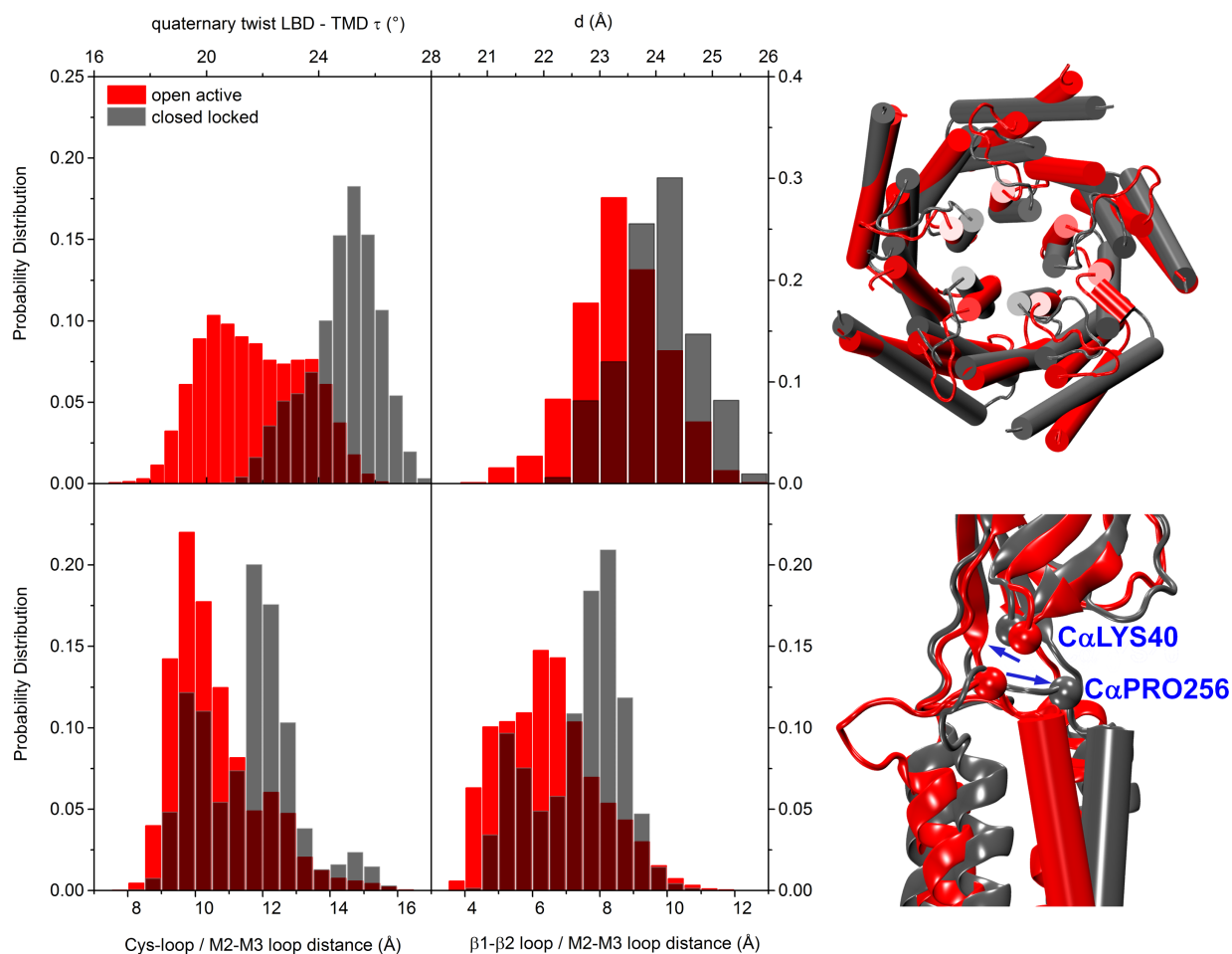


Figure 2: Upper left panel: distribution of twist angles. Data averaged over the five subunits. Upper middle panel: distribution of blooming distances (d). Data collected from the five subunits. Gray bars: closed-locked; red bars: open active. Upper right panel: superposition of the TMD in the open (red) and closed-locked (gray) structures. Lower left panel: distributions of the distances between the C_{α} atom of Pro256 on the M2-M3 loop and the center of mass of the Cys-loop (C_{α} atoms of residues 122–136). Lower middle panel: distributions of the distances between the C_{α} atom of Pro256 on the M2-M3 loop and the C_{α} of residue Lys40 on the β 1- β 2 loop. Data collected from the five subunits. Lower right panel: superposition of the entire subunit in the closed-locked (gray) and open (red) structures, one subunit; the C_{α} atom of Pro256 and the C_{α} atom of Lys40 are shown in vdW representation colored gray (closed) and red (open). The blue arrows indicate the direction of motions of the β 1- β 2 tip and of the PRO256 in closing. The protein is represented in cartoon.

The LBD/TMD interface

In LGICs, the quaternary transition induce changes at the LBD/TMD interface. In particular, the M2-M3 loop in the TMD should slide from the Cys-loop towards the β 1- β 2 loop

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3 to initiate the pore closing.^{12,58,60–63} In Fig. 2 the distributions of the distances between the
4 M2-M3 loop and the Cys-loop (lower left panel), or the β 1- β 2 loop (lower middle panel),
5 are shown. In the closed state the main peak of the M2-M3 loop/Cys-loop distance is at
6 12.5 Å, to be compared with 10 Å in the open case, suggesting that the M2-M3 loop is
7 repositioning with respect to the Cys-loop. The distribution of the distances between the
8 M2-M3 loop and the β 1- β 2 tip is broad, reflecting heterogeneous features for the five sub-
9 units, but the main peak is clearly shifted by 2 Å with respect to the open form. A similar
10 difference was observed for the closed (strychnine-bound) glycine receptor with respect to
11 active conformations.²⁰ As discussed for other pLGICs,⁵⁸ this indicates that the M2-M3 is
12 sliding through β 1- β 2 tip, displacing inward towards the ion pore. According to Calimet et
13 al.⁵⁸ this conformation change occurs via the up re-position of the β 1- β 2 tip. We observe a
14 similar feature, as shown in Fig. 2, lower right panel, where the blue arrows indicates these
15 putative directions of motion. The concerted motion of the M2M3 tip and the β 1- β 2 tip
16 results in M2M3/ β 1- β 2 distances larger than in the open form. This lets the pore to assume
17 a inverted V-shape as also testified by the behavior of the pore profile shown in the Section
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36 M2 helices orientation, pore structure and hydration

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39 The motion of the M2-M3 loop induces ternary changes in the TMD, and in particular a
40 tilting of the TMD M2 helices toward the pore.^{12,13,28,54,64–66}
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43 The distributions of the tilt angles (both polar and azimuthal components) are shown in
44 Fig. 3. These distributions are broad and bimodal in the active conformation, revealing an
45 asymmetrical, non-concerted motion of the helices. In the closed case, distributions present
46 clear peaks. We observe that the polar tilt changes from positive values in the open channel
47 (main peak at 2.2°) to negative values (main peak at -2°) in the closed-locked conformation.
48 This variation confirms that the channel pore shape is changing from a V-shaped to an
49 inverted V-shape pore in the closed channel (see also in Fig. 2, lower right panel). The 4°
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variation is in good agreement with what was found in GluCl simulations,⁵⁸ where the polar tilt angle decreases from $\sim 9^\circ$ in native GluCl stabilized in the open form with ivermectin to $\sim 7^\circ$ in the absence of ivermectin.⁵⁸ In simulations of prokaryote proteins,⁵⁸ the polar tilt angle was $\sim 7^\circ$ in the GLIC open channel and $\sim 1^\circ$ in the closed channel of ELIC.

As for the azimuthal tilts, in the open case two populations are observed centered at -2.5° and 0° ; in the closed case, the distribution is peaked at 0° . In this case, the comparison points out that the M2 helices are not only radially tilted inward but undergo, to a minor extent, also a tangential reorientation (about 2°).

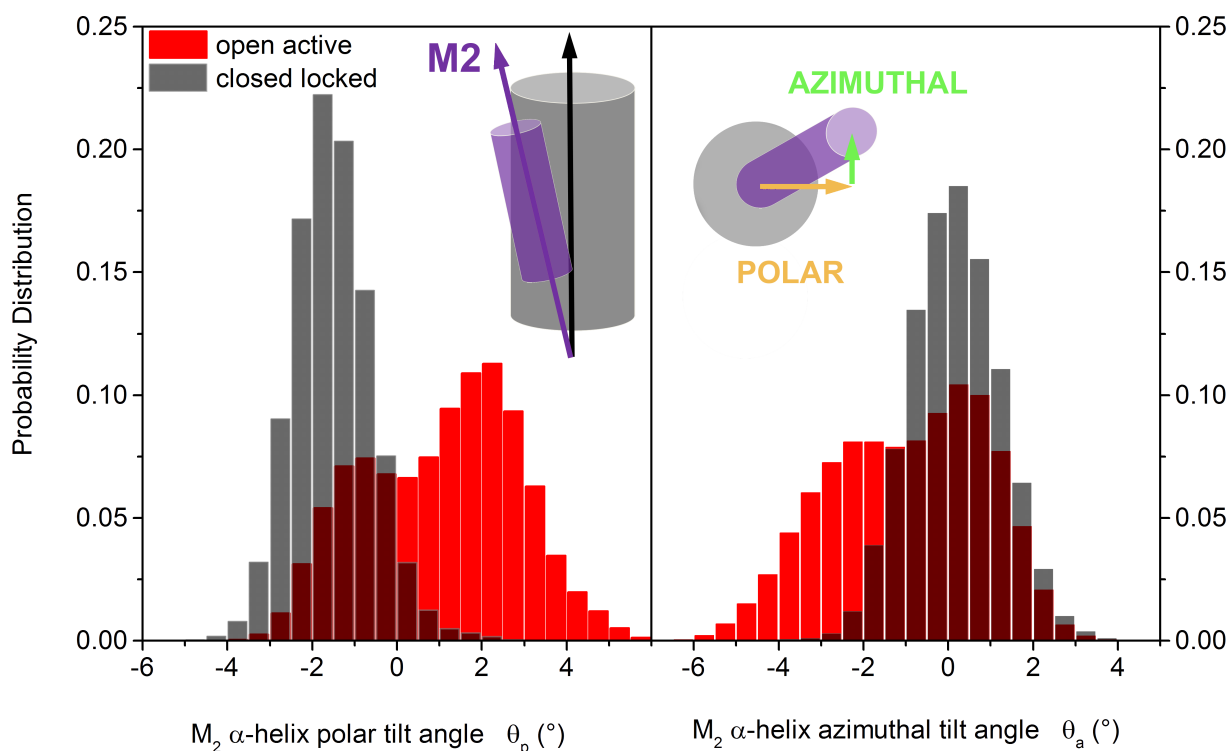


Figure 3: Left panel: distribution of polar tilt angles. Right panel: distribution of azimuthal tilt angles. Data averaged over the five subunits. Gray bars: closed-locked; red bars: open active. A cartoon is shown in the inset, schematically representing: the protein (gray cylinder) and its principal axis (black line); the M2 helix (magenta cylinder), its principal axis (magenta line) and the radial and lateral tilts (orange and green arrow, respectively).

In Fig. 4, upper panel, we report the pore radius profiles along the protein axis, in the TMD region where the gating occurs. In almost all the pore region, the radius of the closed

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3 channel is smaller than in the open active case. In particular, the closed conformation is also
4 tighter than the desensitized one at the 16' position, where one of the hydrophobic rings is
5 located. At the bottom of the pore (towards the intra cellular side, region 100-110 Å in Fig.
6 4) the radius is larger than in the open and desensitized conformations modeled, hinting for
7 a inverted V-shape of the pore lumen lined by the M2 helices, as already visible in the tilt
8 angle distribution.
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15 Fig. 4, lower panel, provides a comparison with pore profiles from X-ray structures for
16 different putative closed channels, both pH gated and ligand gated. We remind that the
17 only closed-locked case so far reported from experiments is a Gly receptor complexed with
18 strychnine. Deep minima at 16', 13', 9' are present in most of the shown closed structures;
19 the $\alpha 7$ profile is superimposed to that of strychnine channel at positions 20', 13' and 9'.
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25 In Fig. 5, a representation of the pore through the molecular structure of the closed-
26 locked $\alpha 7$ (left panel) and of the closed Glycine receptor²⁰ (middle panel) is shown as a dot
27 surface, along with the pore residues at the constriction points. In $\alpha 7$ a constriction point
28 is also present in the LBD, due to loop conformations extending towards the center of the
29 channel, thus shrinking the pore size.
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35 We remind here that the TMD of closed-locked structure was modeled on the TMD
36 portion of the GluCl structure in the apo resting state.³⁰ The original X-ray structure of
37 the GluCl apo conformation has a broad constriction region centered at Leu9', plus two less
38 tight constriction regions at -2' and 2', while the pore lumen is wide at 13', 16' and 20'
39 (see in Fig. 5, right panel). The modeled $\alpha 7$ structure, at variance, spontaneously relaxes
40 to a conformation where the pore is much more similar to that of the strychnine-bound
41 Gly receptor, confirming the efficiency of the procedure used to build the antagonist-bound
42 model.
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51 A quantitative description of the pore structure at the level of residue rings is provided by
52 the distribution of the crossed distances shown in Figure 6. Notably, also for these quantities,
53 the distributions are peaked at very different values in the closed and open conformation.
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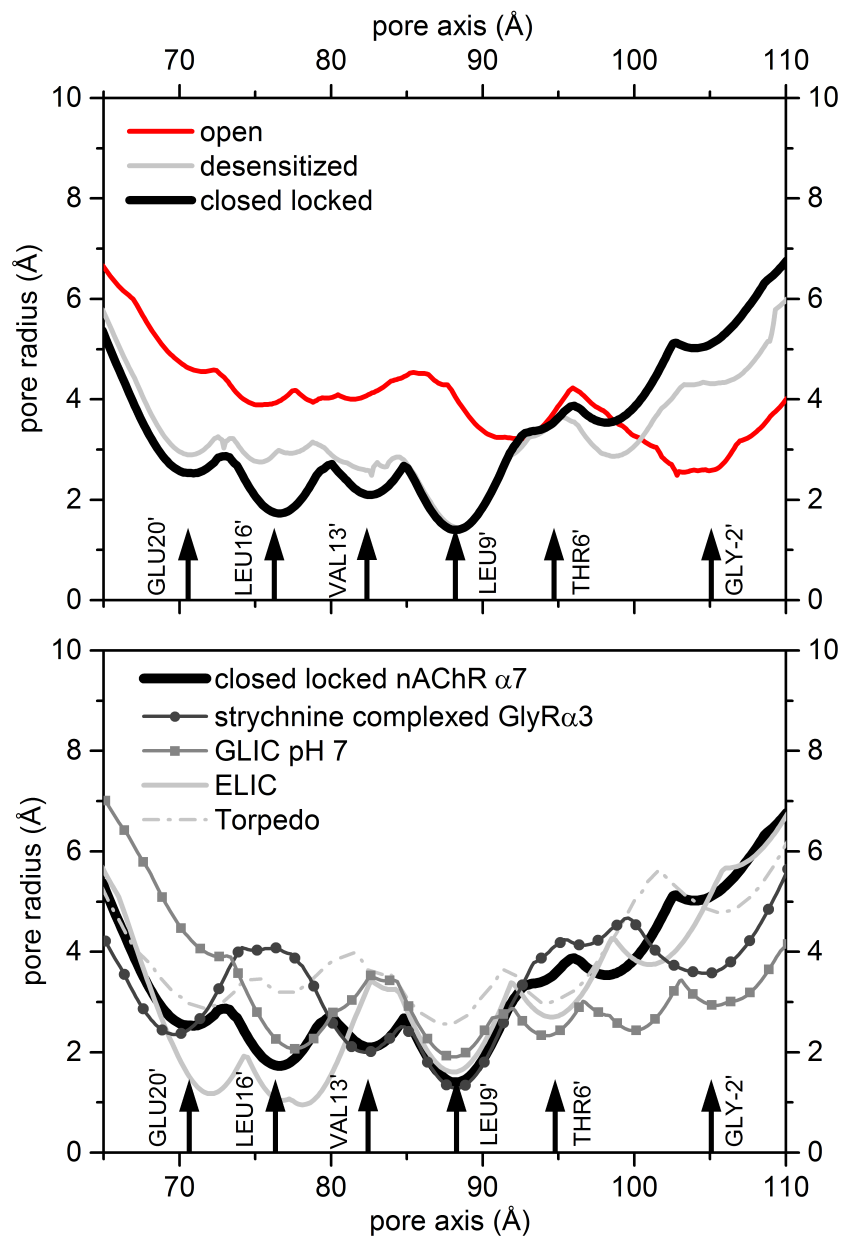


Figure 4: Upper panel: pore radius profiles along the channel axis in the TMD of the modeled $\alpha 7$, in the closed-locked state (black curve), in the desensitized state (gray curve)¹⁹ and in the open active state (red curve),¹⁸ calculated on structures averaged over the last 200 ns of each trajectory. Lower panel: comparison with pore radius profiles for putative closed channels (*Torpedo* nAChR from cryo-EM, PDB ID: 2BG9; from X-ray: ELIC, PDB ID: 2VL0; GLIC at pH 7, PDB ID: 4NPQ; Glycine receptor bound to strychnine, PDB ID: 5CFB). Pore profiles are calculated with HOLE.⁶⁷ The black arrows, from left to right, indicate the positions of relevant pore-lining residues of the $\alpha 7$ channel.

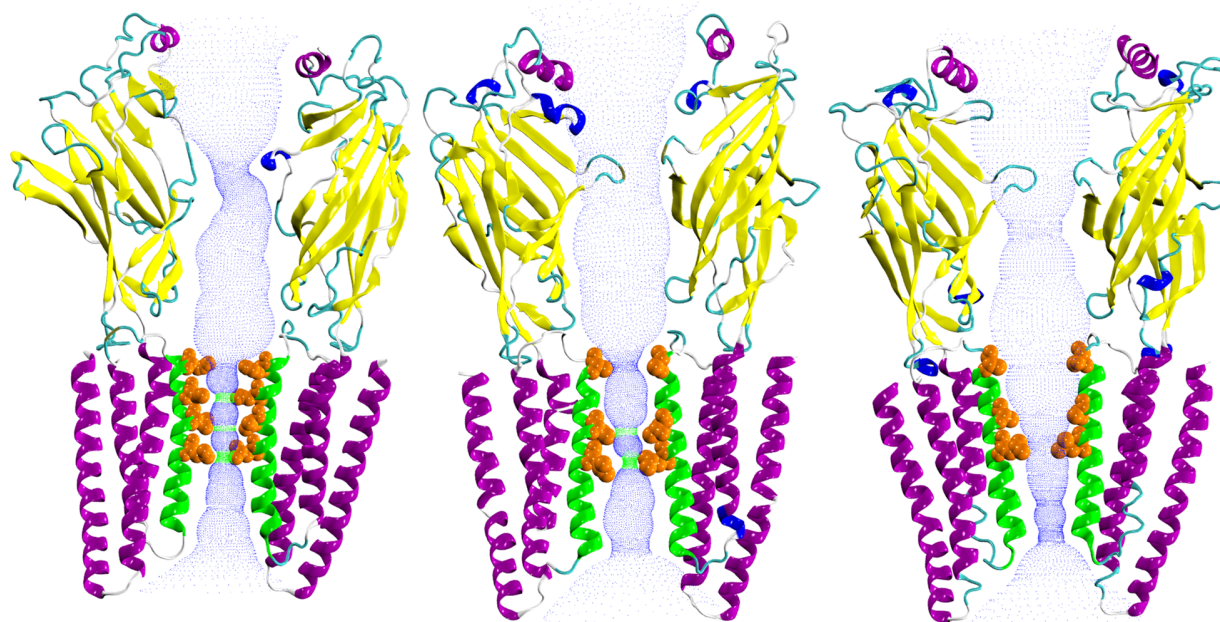


Figure 5: Dot surface of the closed channel pore in the structure averaged along the last 200 ns portion of the trajectory (left panel); in the X-ray structure of the Glycine receptor bound to strychnine (middle panel, PDB ID: 5CFB); in the X-ray structure of GluCl apo (right panel, PDB ID: 4TNV). Subunits P1–P3 are sketched in cartoon representation and colored according to the secondary structure. The M2 helices of both subunits are colored in green. Residues at the constriction points are highlighted in vdW representation and colored in orange. From top to bottom: 20', 16', 13', 9', in the $\alpha 7$ channel; 20', 13', 9' in the Gly and GluCl apo receptors, respectively. In the surface, green and blue dots indicate where the pore radius allows room for a single water and where the radius is double the minimum for a single water, respectively.⁶⁷

As already observed in the case of other structural quantities, distributions of key distances are extremely sharp in the locked structure, denoting less conformational heterogeneity. The highly localized and peaked feature of the probability distribution in the closed state is a clear fingerprint of a structure with very small fluctuations and variability. Conversely, in the open state, the broadening of the distributions reflects the heterogeneity of conformations needed for function.

The extent of hydration of the pore is strongly correlated to the permeation of ions, hence to receptor's function. Indeed, ion conduction can occur only through hydrated pores. In Fig. 7 we show the time series of the number of water molecules inside the TMD pore, and

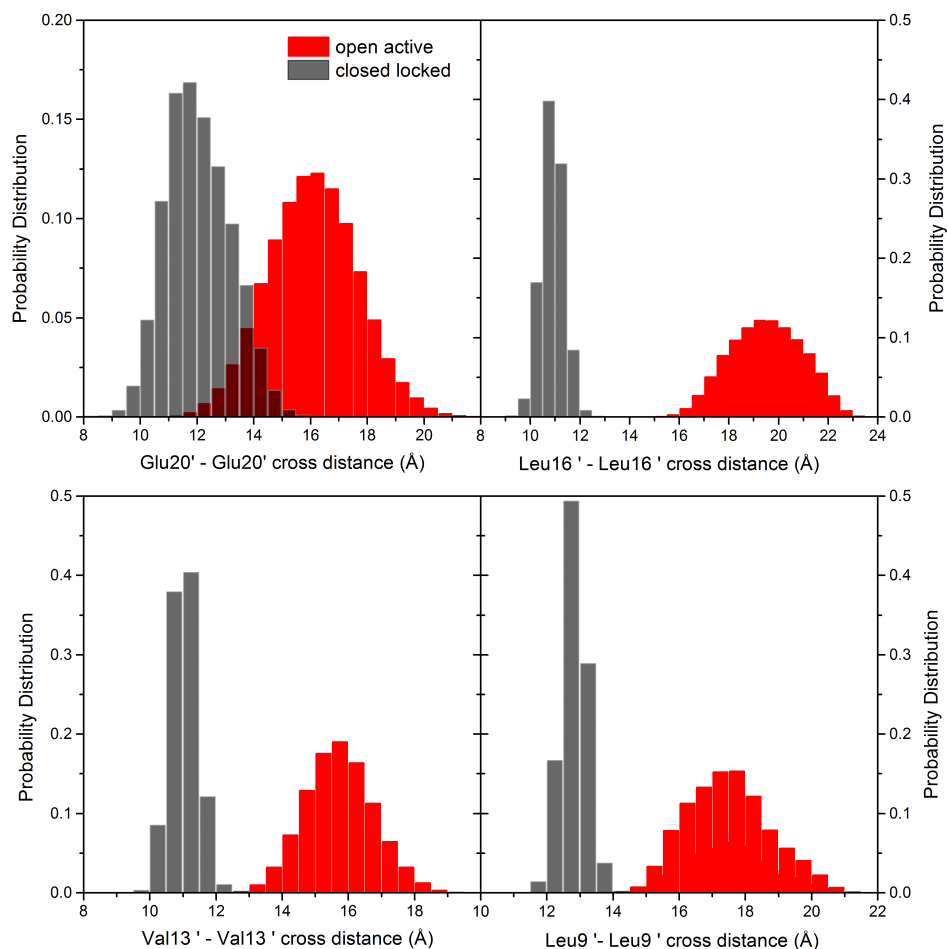


Figure 6: Distributions of crossed distances between pairs of non-adjacent subunits for Leu9', Val13', Leu16' and Glu20'; data collected from the five subunits. Gray bars: closed channel; red bars: open active channel.

in a smaller volume close to the Val13' ring, for all the modeled structures compared in this work.

Focusing only on the comparison between the closed-locked and the open conformations, the number of water molecules in the full channel, 30 Å long, is stationary at ~ 55 along the whole simulation of the closed-locked, to be compared with the value of 105 in the open case. In the region Leu9'-Leu16', 10 Å long, the average number of water molecules is about 3 in the closed-locked, and about 30 in the open structure. No re-wetting events are observed, contrary to the putative desensitized structure.¹⁹

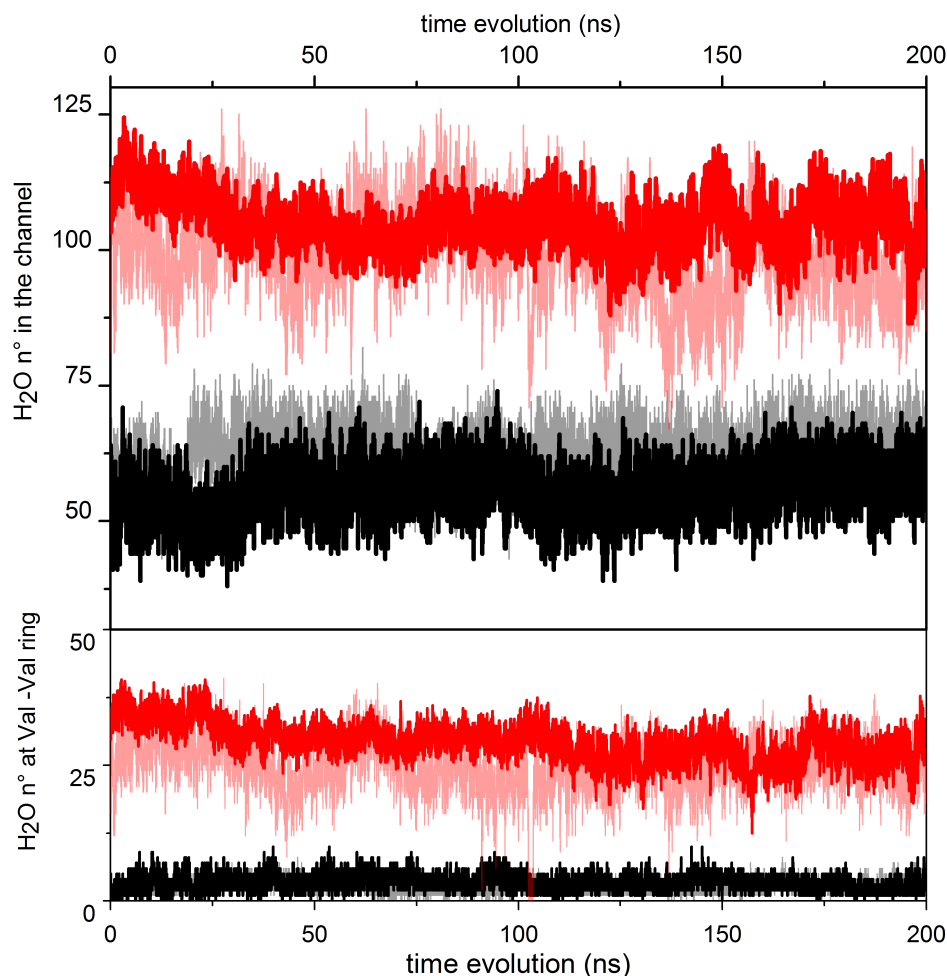


Figure 7: Upper panel: time evolution of water count in the pore lumen lined by the M2 helices. Lower panel: time evolution of water count in a region of 10 Å length, centered at the constriction point 13' (Val246). Black curve: closed-locked; red curve: open active; gray curve: apo-closed; light red curve: apo-open.

Intra protein hydrogen bonding analysis

Many efforts have been done in the past in finding specific interactions, in particular electrostatic interactions, that could exert a precise control over the gating process.⁶¹ In the present work, the analysis of the intra protein hydrogen bonding distances (or H-bond distances) points out relevant differences between the closed and the open active structures. In order to mark these differences we start by reporting the bonding pattern characterizing the open structure we proposed in Chiodo et al.,¹⁸ which we re-analyzed here. In such open state, H-

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3 bond distances are persistently observed in time at the TMD/LBD interface between residues
4 in the principal and complementary subunits (Fig. 8, upper panels). For example, two H-
5 bond distances are present at all times between P4Ser259 in the M2-M3 loop on the principal
6 subunit P4 and P3Asn165 or P3Gly166 in the F loop of the complementary P3(-) subunit;
7 with almost the same persistence in time, we found a H-bond distance between P1Ser259 in
8 the M2-M3 loop in P1 and P5Gly166 in the F loop in P5(-); between P3Ala257 in the M2-M3
9 loop of P3 and P2Glu167 in the loop F in P2(-); four H-bond distances between P3Asp260
10 in the M2-M3 loop of P3 and P2Arg199 in the β 1- β 2 in P2(-). A H-bond distance is also
11 found between P3Thr258 and P3Met255 in the M2-M3 loop in P3 and P2Tyr204 in the M1
12 helix of P2(-); P2Ser259 in the M2-M3 loop of P2 and P1Tyr204 in the M1 helix of P1(-).
13 Seven intra-subunits H-bond distances are also quite often observed in the open state, as in
14 the case of residues at β 1 vs. residues in the F loop regions, in almost all subunits.
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27 The LBD/TMD inter-subunit H-bond distances found in the open case are absent in the
28 closed-locked structure. The inter-subunit are replaced by a larger number of intra-subunit
29 H-bond distances, in all subunits. In particular in β 1-F loop pairs, residues in the β 1 and
30 in the F loop are at a smaller relative distance, due to the outward protrusion of the C-loop
31 capping the conotoxin (Fig. 8, central panels, for the P2 subunit). Visual inspection of the
32 structure suggests that this is also the reason why M2-M3/F loop pairs are too far away to
33 form hydrogen bonds as in the open case.
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41 Moreover, in the open form, H-bond distances are also present in the TMD domain (Fig.
42 8, lower panels) among different helices of the same or even different subunits. This is the
43 case of P4Ser230 and P3Ser230 or P4Ser235 and P3Glu232 (M2 helices in P4-P3(-)). H-bond
44 distances are observed between: P4Gln267 and P4Ser326 (M3-M4 helices in P4); P2Tyr268
45 and P2Ser217 (M1-M3 helices in P2), P1Ser 217 and P1Tyr268 (M1-M3 helices in P1). In
46 particular, H-bond distances are persistently observed between the helices M2 and M1 of
47 principal and complementary subunits; between P3Ala252 in P3 and P2Tyr204 in P2(-);
48 between P2Ala180 in P2 and P1Tyr204 in P1(-). Remarkably, these interactions are absent
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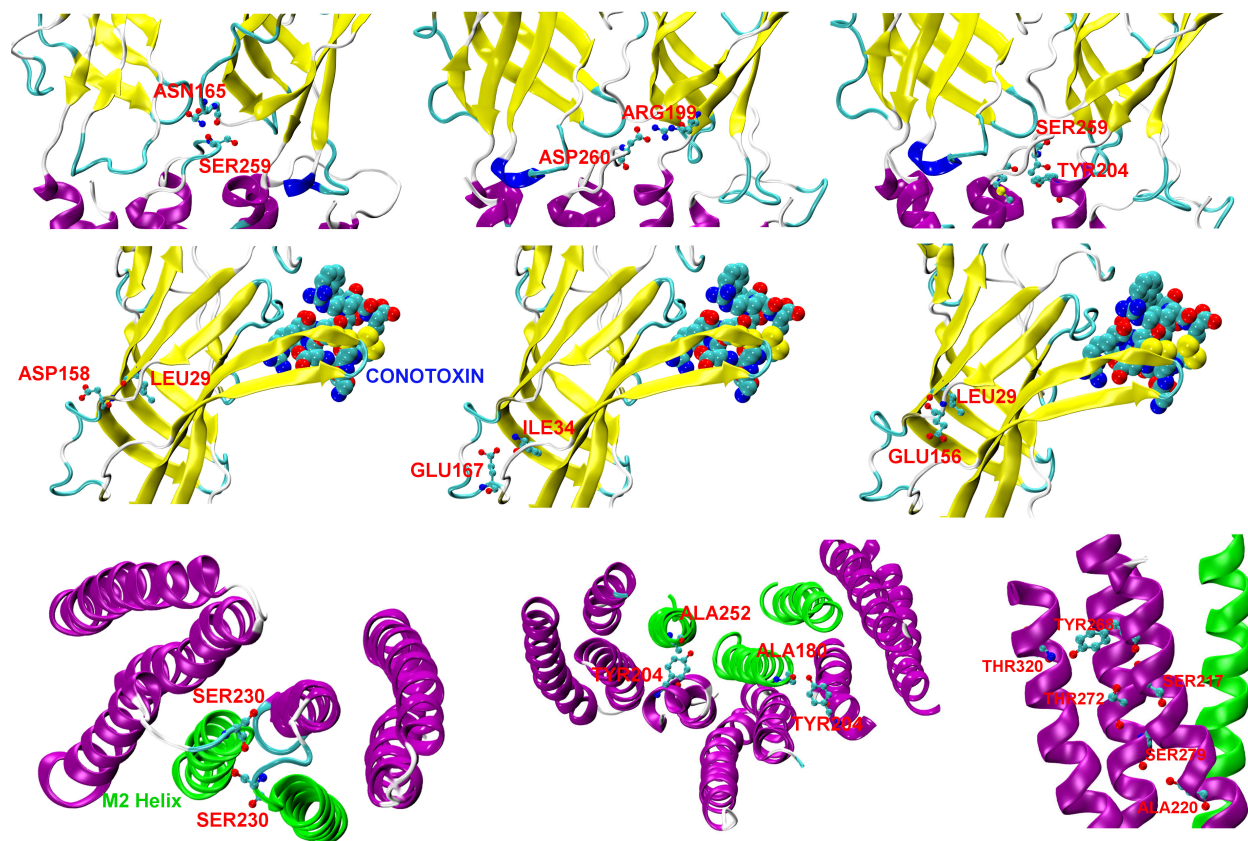


Figure 8: Upper row: selected residues (in ball and stick) in suitable H-bond distances in the open form, at the LBD/TMD interface. Left panel): P4Ser259 (M2-M3)-P3Asn165 (F-loop) in P4-P3(-); central panel) P3Asp260 (M2-M3)-P2Arg199 (β 10) in P3-P2(-); right panel): P2Ser259 (M2-M3)-P1Tyr204 (M1) in P2-P1(-). Central row: selected residues in intra-subunit H-bond distances in the closed form, subunit P2. Left panel): P2Leu29 (F-loop)-P2Asp158 (β 1); central panel): P2Glu167 (F-loop)-P2Ile34 (β 1); right panel): P2Glu156 (F-loop)-P2Leu29(β 1). Lower row: selected residues in H-bond distances in the TMD M1-M4 helices in the open (left and central panels) and in the closed-locked forms (right panel). Green helices: M2; magenta helices: M1, M3, M4. Left panel): P4Ser230-P3Ser230 in the M2 helices P4-P3(-); central panel): P3Ala252-P2Tyr204 in P3-P2(-) and P2Ala180-P1Tyr204 in P2-P1(-); right panel): P1Thr320-P1Tyr268 in the M4 and M3 helices in P1, respectively; P1Ser217-P1Thr272 and P1Ala220-P1Ser279 in the M1 and M3 helices in P1, respectively. The protein is in cartoon and colored according the secondary structure. Conotoxin in the ligand pocket is also shown in vdW representation.

in the closed state. This is in agreement with Lev et al.,⁵⁷ where it was found that the M2-M1(-) bond is a good variable defining pore gating, “undergoing a sizable change that involves loss of helical contacts between subunits” in the open-to-close transition.

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3 In place of inter-subunit pairs, in the closed state we observe the presence of more intra-
4 subunit H-bond distances between M1-M4 TMD helices with respect the open form (Fig. 8,
5 lower right panel). H-bond distances are found: between P1Ala220 and P1Ser279, P1Ser217
6 and P1Thr272 in the M1-M3 helices in P1; between P1Thr320 and P1Tyr268 in the M4-
7 M3 helices of subunit P1; P3Thr320 and P3Tyr268 in the M4-M3 helices in P3; between
8 P4Thr320 and P4Tyr268 in the M4-M3 of subunit P4; P5Thr320 and P5Tyr268 in the
9 M4-M3 helices in P5.
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17 These results suggest that the open-to-closed transition could be described in terms
18 of the evolution of a network of key hydrogen bonding interactions. In particular, the
19 comparison of the bond pattern between the closed-locked and the open structure points out
20 that subunits communication, both at the interface and in the TMD region, is required for
21 function (binding-to-gating and ion translocation), while it is completely lost in the inactive
22 state.
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31 **The apo conformations**

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33 We now report results for the two apo conformations we simulated in this work starting
34 from the closed-locked structure here generated and from the open conformation in¹⁸. The
35 differences between these two structures are already evident from the time evolution of the
36 RMSDs with respect to their starting structures (see Figure S2 in Supplementary Material,
37 central and lower panels). In the apo-closed form, the TMD RMSD averaged over the five
38 subunits reaches a stationary value around 1.5 Å after the first 100 ns of the simulation.
39 The RMSD of the LBD in subunits P4 (blue) and P5 (yellow) appear still increasing on
40 the time scale simulated. We believe that this rise in conformational freedom comes from
41 loops of the binding site that are not bound to the conotoxin molecule anymore, and are
42 thus free to explore more conformations. Indeed, while in subunit P4, the increase is mostly
43 brought about by motions of the $\alpha 1\beta 1$ and F loops, in subunit P5 is mostly contributed by
44 motions of the C loop, as also testified by the corresponding RMSFs profiles in Figure S3
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(dark yellow curve). These loops are tightly bound to conotoxin when this is present, while there are free to fluctuate in the apo system. This observation is in agreement with results from another MD simulation of a model of the $\alpha 7$ LBD bound to α -conotoxin ImI.⁶⁸ A tight correspondence between the RMDS increasing of one subunit and the higher peak of the F loop in the corresponding RMSF profile is observed in the apo form (see cyan curve in Figure 3A and 3C in⁶⁸). Something similar is also observed in⁷⁶ where a model of the LBD of the chicken $\alpha 7$ is simulated both bound to cobratoxin and in the apo form on the 60 ns time scale (see orange curve in Figure 3A and 3C in⁷⁶ for the apo conformation).

As for the apo-open form, the TMD RMSDs appear stationary (below 2 Å) over the last 200 ns time interval, with the exception of the P2 subunit (red) where the local M3-M4 motion mostly contribute to the RMSD behavior. In the LBD, the non stationary behavior of the P4 subunit (blue) is contributed by local motions of the $\beta 2\beta 3$ and F loops, as shown in the RMSFs profiles in Figure S3. The peak amplitude of the F-loop is higher than in the case of proteins bound to ligands and also with respect to the apo-closed case.

The ligand pocket conformation in the apo structures was investigated by describing the behavior of the C-loop at the orthosteric site. Distributions of the quantity d_C^{intra} are shown in Figure S4 in Supplementary Material. In the apo systems, the distributions cover values assumed by the corresponding ligand-bound proteins. Moreover, while in the apo-open protein the distribution is shifted towards values proper of the closed-locked form (i.e. the C loop can be at larger distance from the protein axis), in the apo-closed case it shifts to values proper of the open conformation (i.e. the C loop can be at shorter distance from the protein axis). The variability of conformations assumed by the C loop is reflected by the high RMSFs peaks shown in Figure S3 and contributes to the RMSD drifting shown in Figure S2.

As for the water occupancy of the pore, results in Fig. 7 reveal that in the apo-open structure there are larger fluctuations with respect to the epibatidine-bound one, while the apo-closed structure is mostly similar to the conotoxin-bound one. Indeed, along the apo-

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3 open trajectory, several attempts of de-wetting at the Val-Val ring are observed, as for
4 example in the time-intervals 80–100 ns and 125–150 ns. Remarkably, in the 102–104 ns
5 interval the water content drops to zero (see Fig. 7, lower panel). The pore profiles (Fig. 9)
6 are superimposed in the closed-locked (black curve) and its apo (grey curve) form, while the
7 apo-open (light red curve) is clearly moving apart the starting holo protein (red curve), in
8 the hundred of nanoseconds time scale. With respect to the open active structure, the pore
9 widens at the intracellular side, in the range between Gly-2' and Thr6', while shrinks in the
10 portion between Thr6' and Glu20'.

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13 The pore profile calculated in a representative snapshot, in the time interval at which
14 water drops to zero (at 102 ns), is also shown (Fig. 9, magenta curve). This profile is
15 narrower than the average (light red curve) over the full trajectory, in particular in the
16 region around Thr6', and in the region 20'–13'. At the two minima, the pore radius in this
17 particular apo-open conformation is about 3 Å. We do not observe a constriction at Leu9'
18 as in closed structures and apo-GluCl. However, at the intracellular side, the profile is wider
19 than the average, approaching values of the closed states. Overall, the profile resembles the
20 one of *Torpedo*, also shown in Fig. 9.

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23 In Fig. 10 a representation of the pore through the molecular structure is shown as a dot
24 surface, along with the pore residues at the observed constriction regions. With respect to
25 the open active state, the reduction of the pore radius at Thr6' is evident.

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28 This peculiar feature of the apo-open structure is confirmed by the distributions of crossed
29 distances in the hydrophobic rings (see Figure S6 in Supplementary Material, for Thr6',
30 Leu9', Val13', Leu16'). The distributions in the apo case become bimodal. This structural
31 variability is expected in an apo conformation,^{41,59} and indicates the coexistence of many
32 different conformations. In the case of the Val13' rings, the distances values approach the ones
33 in the closed-locked case. Of particular interest is the distribution of the distances between
34 non-adjacent Thr6' residues. The analysis over the short segment of the trajectory in which
35 de-wetting is observed (102–104 ns) confirms that the Thr6' crossed distances explore typical

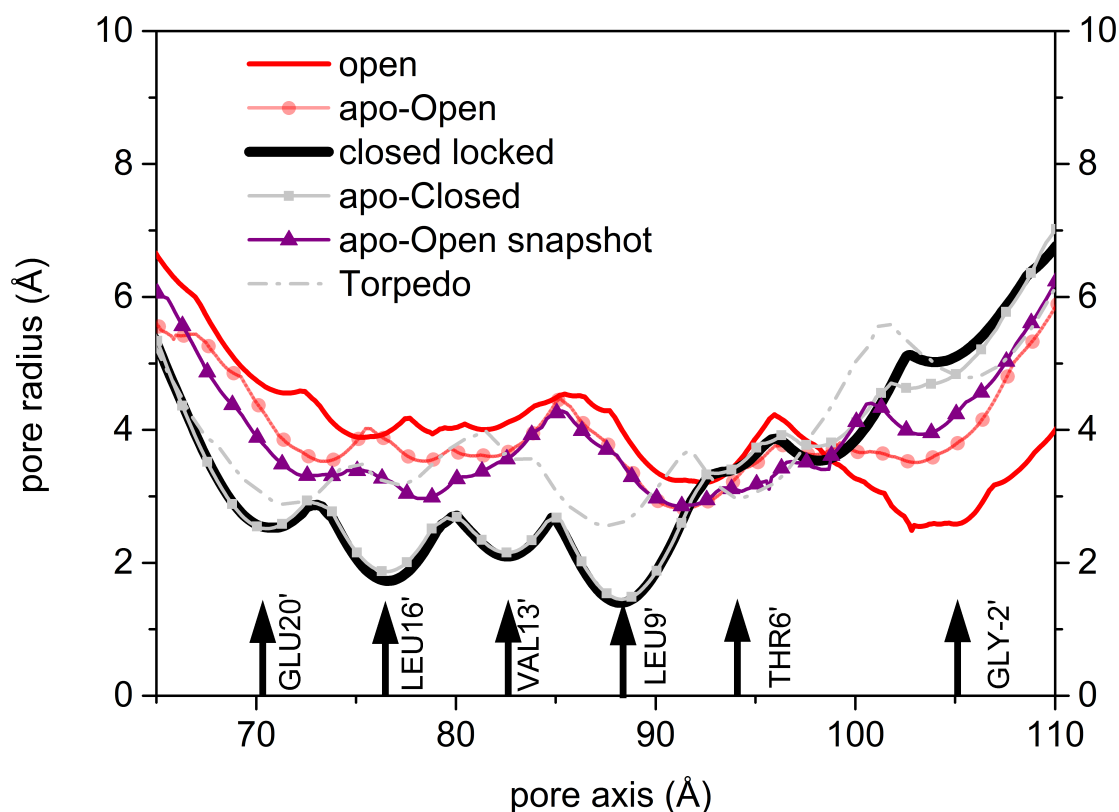


Figure 9: Pore radius profiles along the channel axis in the TMD region, in the apo-closed (gray curve) and apo-open (light red curve) conformations compared with the ones in the open/closed-locked state (red and black curves). Pore profiles are calculated, with HOLE,⁶⁷ on structures averaged over the last 200 ns of the trajectories. The curve in magenta represents the profile in the apo-open in a frame selected in the time interval in which the water content drops to zero (102 ns, see Figure 7, main text). The black arrows, from left to right, indicate the positions of relevant pore-lining residues in the $\alpha 7$.

values found in the closed-locked conformation, even more that the 13' ring.

As already suggested in procaryotic proteins,⁷⁷ dehydration cannot be considered the only driving force behind pore closure. We performed an analysis of the global quaternary and ternary structural descriptors along the apo-open simulation. Results suggest that removing the ligand induces protein relaxation towards a closed-pore conformation in the time-window observed, although the transition is not complete. Indeed, in the apo-open conformation the global twist, shown in Figure S7 in Supplementary Material, upper left panel, is clearly

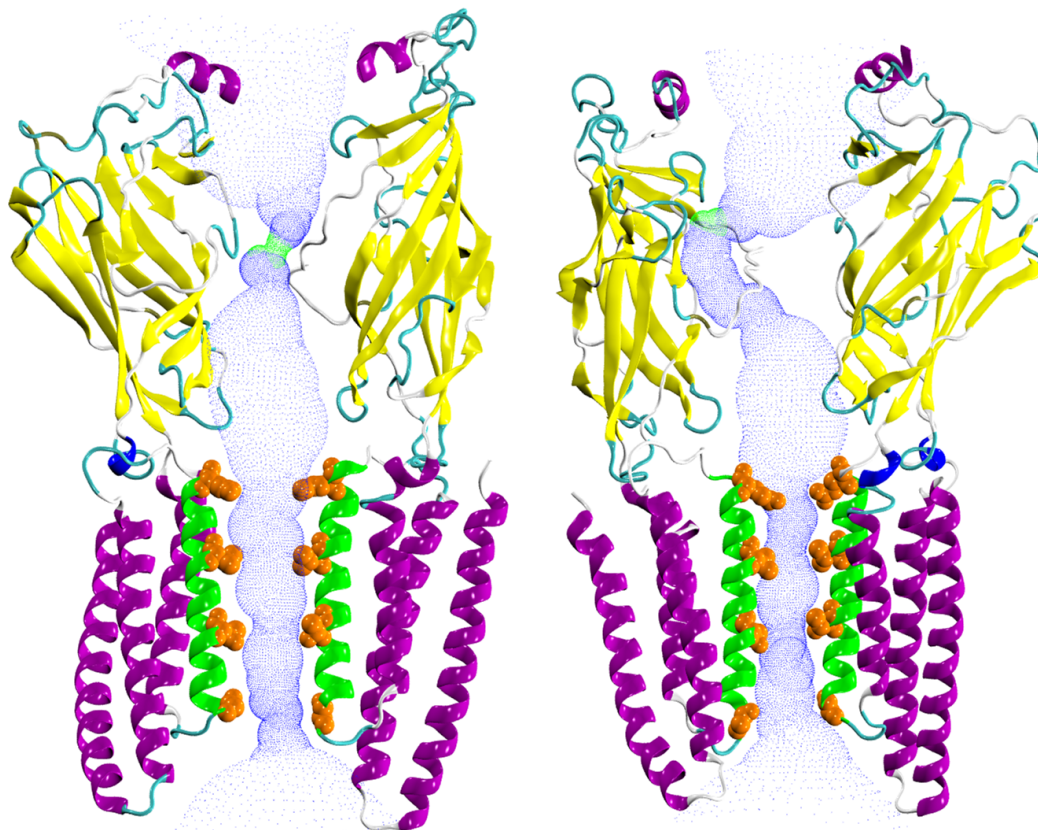


Figure 10: Dot surface of the open (left panel) and apo-open (right panel) channel pores, the latter in a representative snapshot in the time interval in which the pore water content drops to zero (see Figure 7, main text, in the 100–104 ns range). Residues at constriction points are highlighted and colored in orange (from bottom to top, Gly-2', Thr6', Val13', Glu20'). Protein, residues and surface representation as in Figure 5, main text. Constriction points are present in the LBD due to loop conformations from the other subunits (not represented here) extending toward the center of the channel, thus shrinking the pore size.

peaked at intermediate values with respect to the open and closed-locked structures. The blooming distances distribution is shown in Figure S7, upper right panel. Values for both the open and closed-locked conformations were sampled in time. It should be mentioned, however, that these values were found quite similar in the open and closed-locked structures, in a broad range.

Yet the change in the twist angle is not enough to trigger a tangential motion of the M2 helices (see in Figure S7, lower right panel, in Supplementary Material). This is in agreement

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3 with what reported in⁵⁸ on the comparison of GluCl with and without ivermectin. However,
4 the twist induces the M2 helices polar tilting in the proper direction, as shown in Figure S7,
5 lower left panel, in Supplementary Material.
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9 A change occurs in the direction of closure also at the LBD/TMD interface. Results are
10 shown in Figure S8 in Supplementary Material. We observe that: i) for the Cys-loop/M2-
11 M3 distance the distribution is bimodal in the apo-open case, with two peaks in the range
12 of values for open and closed structures; ii) the M2-M3 loop/ β 1- β 2 distance clearly shifts
13 towards the value in the closed state or even beyond.
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19 At variance, in the apo-closed no remarkable change is observed with respect the cono-
20 toxin bound structure, besides the higher peak amplitude of the C-loop RMSFs in the absence
21 of ligands, in agreement with results in.⁶⁸ The C-loop is moving inward, as confirmed by
22 the distribution of the distance of the C-loop tip from the backwall in the LBD (see Figure
23 S4 in Supplementary Material, lower panel, light gray curve). On the simulated time scale,
24 pore structure and water behavior of apo-closed structure are most similar to those of the
25 closed-locked one, bound to toxins; moreover, no re-wetting events are observed, as in a
26 putative desensitized structure.¹⁹
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37 Discussion

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40 The different states along the activation cycle of nicotinic receptors are generally described
41 based on results of electrophysiology experiments: open (conductive, active with bound
42 agonists), closed-locked (in presence of antagonists), resting (or apo, non conductive, without
43 ligands), and desensitized (inactive, even in presence of bound agonists). The determination
44 of structural and dynamical descriptors to unambiguously distinguish these states is however
45 still a largely debated and unsolved issue, in particular for the inactive states. Indeed, no
46 clear correspondence between the physiological observations and simple structural criteria is
47 apparent, with possibly the single exception of the C loop opening in the LBD.³⁷ However,
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3 even this feature has been questioned by results on a AChBP structure bound to dihydro-
4 beta-erythroidine (DHE),⁷⁸ which is an antagonist, but so small that the C loop does not
5 open more than in presence of an agonist.
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9 The presence of a hydrated, ion-conductive TMD pore is generally considered a marker
10 for the active state. The situation for non-conductive states (closed-locked, resting and
11 desensitized) is quite more complex, since they all show a fully or partially de-hydrated pore.
12 Structural markers might only be identified in fine details of the TMD (relative orientation
13 of the helices and inter-helices loops flexibility), and possibly at the TMD-LBD interface, to
14 reconcile a closed channel structure with different conformations of the LBD. Most difficulties
15 in defining the structural determinants of non-conductive states stem from the paucity of
16 closed-locked, antagonist-bound experimental structures. Only recently a member of the
17 pLGICs family (the anion selective glycine binding channel) has been crystallized in a closed-
18 locked structure, complexed with strychnine.²⁰
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22 In the present work, we investigated different structural models of non-conductive states
23 for the human $\alpha 7$ channel. We first built a homology model of a closed-locked conformation
24 in complex with conotoxin. Then, using extensive MD simulations, we relaxed the struc-
25 ture and assessed its stability. From the analysis of the simulated trajectory, we identified
26 the structural properties that characterize this conformation as closed, using as reference
27 available experimental data for pLGICs in closed-locked,²⁰ apo resting²⁶ and desensitized
28 states,^{23,25} as well as our previously published open-state¹⁸ and desensitized $\alpha 7$ structures.¹⁹
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32 The model structure is stable on the hundreds of nanosecond time scale, as revealed by
33 the time behavior of the $C\alpha$ RMSD with respect to the starting point of the simulation.
34 Features of the $C\alpha$ RMSFs profile along the protein chain are in good agreement with those
35 reported in literature for a $\alpha 7$ structure modeled on the *Torpedo* in the closed/desensitized
36 state.¹¹
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40 We analyzed quaternary and tertiary structural descriptors, invoked to describe func-
41 tional changes in nAChRs in response to agonist/antagonist binding. Specifically, we moni-
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3 tored the global twist angle and the blooming of the LBD. The twist angle value is expected
4 to increase during the open-to-close transition, as it describes a rotation of the LBD and
5 TMD in opposite direction around the pore axis, which closes the channel.⁵²⁻⁵⁵ As for the
6 blooming, it has been reported that, with respect to open conformation, a closed channel
7 should expand the LBD radially with respect the channel axis.^{2,30,41,57-59} A comparison of
8 the values of these two descriptors in our model structure and in our open active model¹⁸
9 points out that the former is a closed conformation. This conclusion is also reinforced by the
10 observation of consistent conformational changes at the LBD/TMD interface and M2 helices
11 tilting.

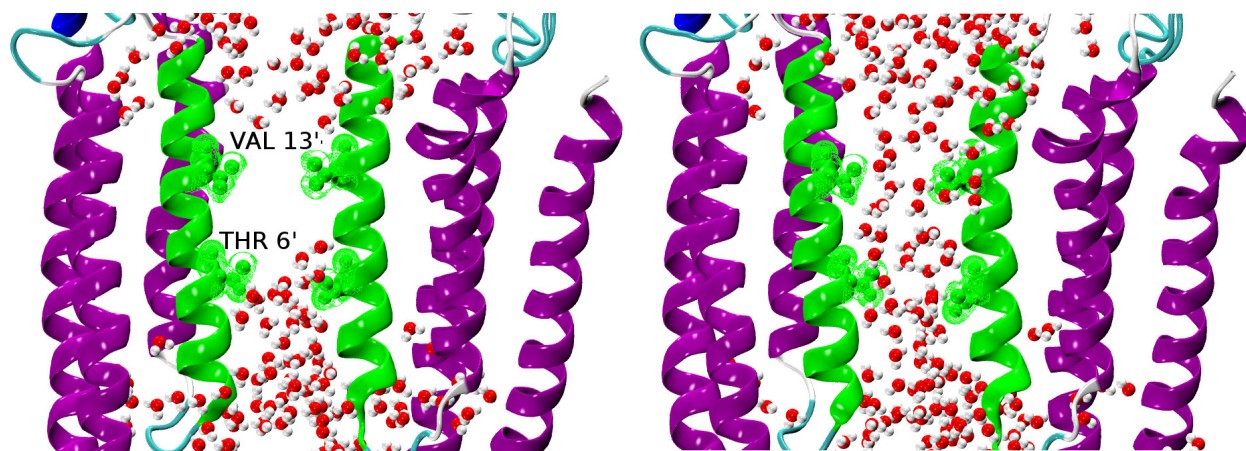
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13 We also monitored the pore geometry, the time-dependence of its hydration, and the
14 local details of the hydrophobic rings. The pore profile is very similar to the one observed
15 in the strychnine-bound, closed structure of the Gly receptor.²⁰ No pore re-wetting is occur-
16 ring at any time, contrary to what observed, for example, in our simulation of a putative
17 desensitized state on the same time scale.¹⁹ Moreover, analysis of intra-protein hydrogen
18 bonding distances revealed the absence of inter-subunit interactions at the LBD-TMD in-
19 terface and within the TMD, which are otherwise necessary to establish the communication
20 among subunits underlying ligand-triggered channel gating.⁷⁹ Based on all these observa-
21 tions, we propose this structure as representative of the physiologically inactive state of the
22 human $\alpha 7$ nicotinic receptor.

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24 We then simulated two more structures, with the purpose of observing spontaneous re-
25 laxation of the channel from ligand-complexed states, after removing the drug. The first
26 structure is obtained from the conotoxin-bound, closed conformation presented in this work,
27 while the second from the epibatidine-bound, active conformation we proposed earlier.¹⁸

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29 Our results showed that in the apo-closed structure, during the whole simulated trajec-
30 tory, the pore remains similar to the one of the original structure from which apo-closed
31 is derived, consistent with a high stability of the closed conformation bound to conotoxin.
32 Moreover, this similarity is also testified by the RMSD behavior of the TMD in the apo-

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3 closed conformation. On average over the five subunits the TMD RMSD is stationary around
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5 1.5 Å over the last 200 ns segment.
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8 At variance, the apo structure from the active, epibatidine-bound channel displays more
9 heterogeneous features. During the simulation, it spontaneously evolves towards a non-
10 conductive conformation, as evidenced by the analysis of the pore hydration. Several de-
11 wetting events occur along the simulation. High conformational heterogeneity is observed at
12 the channel hydrophobic girdles and Thr6', especially when compared to the closed-locked
13 trajectory, where the reduced flexibility could be associated to a loss of physiological function.
14 According to our results, it seems that Thr6' is also regulating the pore hydration/dehydration
15 mechanism. Visual inspection of the apo-open trajectory reveals that the shrinking of the
16 Thr6' ring causes the de-wetting observed in the water time series shown in Fig. 7. In Fig.
17 11, two water configurations in the pore are shown: i) a case of zero water content in the
18 region around 13' (left panel), and ii) at subsequent time, when water fills again the pore
19 from the bottom (right panel). Such water arrangement is reminiscent of what observed in
20 liquid-vapor transition which occurs in hydrophobic nanopores (hydrophobic gating).⁸⁰
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48 Figure 11: Water configuration in two representative snapshots in the portion 100–104 ns of
49 the apo-open trajectory (see in Figure 7, main text). TMD of the subunits P1, P3 are shown
50 in cartoon, colored coded according the secondary structure. M2 helices are highlighted in
51 green. Water molecules are in vdW representation. Thr6' and Val13' in subunits P1, P3 are
52 represented in vdW surrounded by a mesh, in green. Left panel: at 102 ns; right panel: few
53 frames later, after water molecules fill the pore.
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3 A key role for the Thr6' ring in maintaining a conductive $\alpha 7$ structure was apparent from
4 our simulations of the active state model of the receptor.¹⁸ In that study, we used the open
5 GluCl structure³¹ as template, removing the positive allosteric modulator ivermectin present
6 in the original structure. To avoid the pore collapse after ivermectin deletion, observed
7 also by other authors,^{32,58,81} we employed an initial restraint on the hydrophobic rings to
8 pull apart the hydrophobic sidechains, thus creating a water-accessible volume in the pore.
9 As a consequence, water molecules were able to fill the pore and a hydrated, open pore
10 conformation was stably observed during the subsequent extended simulations we performed
11 shutting off the restraint. Water was found to play an active role in stabilizing the pore from
12 collapse successive to the restraint removal, by strongly coordinating to the Thr6' hydrophilic
13 rings and by preventing, in this way, hydrophobic residues to rotate back towards the pore. In
14 support of the importance of polar residues at position 6' in the ion translocation process, an
15 active role of Ser6' has been inferred from the crystal structure of an open form of GLIC, and
16 confirmed by electrophysiology measurements and MD simulations of native and mutants
17 forms.²⁹

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33 In summary, according to our analysis, it appears that the apo-open conformation is
34 intermediate between the active and inactive states. This emerges from features at both
35 the LBD and the TMD, as evidenced by the bimodal distributions of key distances at the
36 LBD/TMD interface. For other descriptors, the probability distributions clearly overlap
37 with the closed state. Remarkably, in the portion of the apo-open trajectory when pore
38 closure is observed, all inter-subunit H-bond distances at the TMD/LBD interface, markers
39 of the open active state, are not formed. This conformation is also quite different from the
40 desensitized form we presented recently¹⁹ for the same channel, thus we suggest it could be
41 associated to the resting apo state of the $\alpha 7$ human receptor.
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Conclusions

In this work, we provide two new all-atom structural models of the human $\alpha 7$ nicotinic receptor in different states. The first model, which recapitulates the features of a closed-locked state, was generated by homology modeling and relaxed via extensive MD simulations. We used as templates the structure of *Aplysia* AChBP bound to α -conotoxin ImI for the LBD, and the GluCl apo crystal for the TMD. The non-conductive features of the structure were assessed based on the analysis of quaternary and ternary structure descriptors and pore geometry and hydration. This closed-locked conformation and our previous model of the open active conformation bound to the agonist epibatidine provide thermodynamically stable end-points of the gating transition, which, at variance with procaryotic channels, are currently not available for the human channel from high-resolution experimental techniques. Our models could be used to investigate computationally the thermodynamics and kinetics of the conformational cycle using path-sampling algorithms, where the reliability of end-point structures is a fundamental requirement.

In this perspective, in the present work we also destabilized both our ligand-bound structure models and investigated their response on the short time-scale. Indeed, we were interested in capturing any possible early event of the gating transition in the human $\alpha 7$. Remarkably, in the apo structure from the agonist-bound (apo-open), features of a non-conductive conformation such as a reduced pore hydration emerge over few hundreds of nanoseconds. Interestingly, this is consistent with previous claims that the pore hydration is a direct indicator of the channel functional state providing meaningful information already on the sub- microsecond time scale.⁸² We associate the apo-open conformation to the resting-apo form of $\alpha 7$.

In summary, a structural characterization of two non-active states of the human $\alpha 7$ nicotinic receptor is provided, establishing reliable references for the correspondence between structural features and the states of the channel. The availability of structural models for the active and different inactive states of the human nicotinic receptor provides new valuable

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3 tools to characterize different pLGICs states and to interpret results from experiments or
4 simulations. In particular key interactions at the interface between the extracellular domain
5 and the transmembrane domain are identified, that could be critical to receptor function.
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10 11 **Acknowledgement**

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18 puting and Networking center (PSNC), under the DECI-tier 1 initiative (grant ID 336 336
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20 port.
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30 31 **Supporting Information Available**

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34 Supporting information are available: Sequence alignment, $C\alpha$ Root Mean Square Deviation
35 (in Å) of individual subunits calculated from the starting conformations (after equilibra-
36 tion); $C\alpha$ RMSFs (in Å) in the individual subunits with respect to the average structure
37 calculated along the final 100 ns of each trajectory; distribution of d_C^{intra} in the closed-locked,
38 open active, apo-open and apo-closed structures; ligand pocket configuration and interaction
39 network; distributions of crossed distances between pairs of non-adjacent subunits; distribu-
40 tion of twist angles, blooming distances, polar and azimuthal tilt angles; distributions of the
41 distances between the C_α atom of Pro256 on the M2-M3 loop and the center of mass of the
42 Cys-loop C_α atoms.
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52 This material is available free of charge via the Internet at <http://pubs.acs.org/>.
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Contributions

TEM, GC, LC, LM conceived and designed the study; LC, GC performed the simulations;
LC, GC, TEM, SG analyzed the data. All authors wrote the paper.

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Graphical TOC Entry

