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1	Stabilization of polynuclear plutonium(IV) species				
2	by humic acid				
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42 Abstract

43 Although the formation of tetravalent plutonium (Pu(IV)) polymers with natural organic 44 matter was previously observed by spectroscopy, there is no quantitative evidence of such 45 reaction in batch experiments. In the present study, Pu(IV) interaction with humic acid (HA) 46 was investigated at pH 1.8, 2.5 and 3, as a function of HA concentration and for Pu total concentration equal to 6×10^{-8} M. The finally measured Pu(IV) concentrations ([Pu(IV)]_{aq}) are 47 48 below Pu(IV) solubility limit. Pu(IV)-HA interaction can be explained by the complexation of Pu(IV) monomers by HA up to $[Pu(IV)]_{aq} \sim 10^{-8}$ M. However, the slope of the log-log 49 Pu(IV)-HA binding isotherm changes from ~0.7 to ~3.5 for higher $[Pu(IV)]_{aq}$ than ~ 10^{-8} M 50 51 and at any pH. This result suggests the stabilization of hydrolyzed polymeric Pu(IV) species by HA, with a 4:1 Pu:HA stoichiometry. This confirms, for the first time, previous 52 53 observations made by spectroscopy in concentrated systems. The humic-ion binding model, 54 Model VII, was introduced into the geochemical speciation program PHREEQC and was used 55 to simulate Pu(IV) monomers binding to HA. The simulations are consistent with other 56 tetravalent actinides-HA binding data from literature. The stabilization of a Pu tetramer $(Pu_4(OH)_8^{8+})$ by HA was proposed to illustrate the present experimental results for $[Pu(IV)]_{aq}$ 57 $> 10^{-8}$ M. Predictive simulations of Pu(IV) apparent solubility due to HA show that the chosen 58 59 Pu(IV)-polymer has no impact for pH>4. However, the comparison between these predictions 60 and recent spectroscopic results suggest that more hydrolyzed polymeric Pu(IV) species can 61 be stabilized by HA at pH>4. Polymeric Pu(IV)-HA species might significantly enhance 62 Pu(IV) apparent solubility due to humics, which support a colloid-facilitated transport of this 63 low solubility element.

64

65 Keywords

66 Plutonium(IV), humic acid, complexation, polynuclear species, PHREEQC, Model VII.

68

1. INTRODUCTION

69 Humic colloids such as humic (HA) and fulvic (FA) acids are ubiquitous in natural 70 waters and present a high binding capacity for dissolved metal ions. It has been evidenced that, 71 in many cases, the mobility of metals in the environment was associated with colloidal 72 transport (Kretzschmar et al., 2005). This is particularly the case for plutonium (Pu), a highly 73 toxic and radioactive element. Significant amounts of Pu have been introduced into the 74 environment by nuclear weapons tests, for instance. The aqueous chemistry of plutonium is 75 rich and complex owing to its multitude of oxidation states ranging from +3 to +6 under 76 environmental conditions. Among those, Pu(IV) exhibits the largest stability field and is 77 considered as the most relevant oxidation state (Choppin, 2003). Although Pu(IV) was considered as rather immobile due to its extremely low solubility (approximately 10^{-11} - 10^{-10} 78 79 M at pH=7; Neck and Kim, 2001), it shows a colloid-facilitated transport in presence of 80 humic colloids. This behavior was shown in migration experiments of humic-rich ground 81 water spiked with plutonium and other tetravalent metal ions like Th, Hf, and Zr (Artinger et 82 al., 2003a, 2003b). Because of the strong interaction with humic colloids, the concentration of tetravalent trace metal ions in natural ground waters is directly related to the content of 83 84 dissolved organic matter (DOC) (Artinger et al., 2003a). Because humic and fulvic acids are 85 ubiquitous, they can play a significant role in the transport of plutonium to the biosphere 86 wherever plutonium has been released: from final and intermediate repositories, by hazardous 87 incident of nuclear power plants or facilities of the nuclear fuel cycle, or deposits from the 88 atmosphere by atmospheric bomb tests and reentry of artificial satellites containing atomic 89 batteries.

However, there are only a few experimental data about Pu(IV)-HA complexation that can be used for geochemical and transport modeling to assess the hazard of plutonium for the biosphere. Thanks to the very similar chemical properties of the f-elements, it is possible, for

93 a given redox state, to compare the stability constant with a ligand of different actinides (e.g. 94 Th, U, Np, Pu). Reiller et al. (2008) reviewed all the available tetravalent actinide (An(IV)) 95 complexation data with HA and found a high consistency between them. More recent Pu(IV)-96 HA binding studies are in relatively good agreement with the latter observation (Szabó et al., 97 2010; Sasaki et al., 2012). In these references, Pu(IV)-HA interaction is described in terms of 98 complexation of mononuclear Pu with humic acid. However, a recent X-ray absorption 99 spectroscopic (XAFS) study has yielded evidence for the formation of polynuclear Pu(IV) 100 species with HA (Dardenne et al., 2009). This study suggested the formation of small 101 polynuclear species (i.e. a few Pu atoms) rather than large-sized Pu(IV)-(hydr)oxide particles 102 embedded in the organic matrix. Therefore, from the mechanistic point of view, it might be 103 possible to consider these entities as polynuclear complexes with humic acid rather than 104 precipitated nanophases stabilized in solution by organic polyelectrolytes.

105 An(IV) aquo ions have a high tendency to hydrolyse and to form amorphous 106 hydroxide solids e.g. (PuO_{2(am,hvd)}). In the presence of these amorphous solid Pu(IV)-107 (hydr)oxide, Pu(IV) can form eigen colloids (>1-2 nm) that can increase by two orders of magnitude the apparent solubility of plutonium (Neck et al., 2007). Furthermore, in acidic 108 109 conditions, in the absence of solid (i.e. for solutions prepared below the saturation index of 110 PuO_{2(am hvd)}) it has been shown that Th(IV) and Pu(IV) aqueous speciation is controlled by 111 small polynuclear species (i.e. smaller than 1nm) (Walther et al., 2008, 2009). Contrary to 112 Th(IV), where well-defined species could be identified (i.e. from dimers to hexamers), Pu 113 simultaneously forms species of great variety. Therefore, on the one hand, intrinsic chemical 114 properties of Pu(IV) might explain why polynuclear species are formed with HA. On the other 115 hand, Pu(IV) eigen colloids might be stabilized by HA complexing groups. Indeed, it has been 116 shown in the case of Np(IV) that small organic acids can stabilize polynuclear species (Takao 117 et al., 2012), as well as in case of Pu(IV) with glycine (Knope and Soderholm, 2013). Earlier

118 studies have also observed the formation of polynuclear cation-HA species. In case of Al(III), 119 Browne and Driscoll (1993) and Sutheimer and Cabaniss (1997) considered the formation of 120 Al dimers to explain their complexation data. In case of Fe(III), there are spectroscopic 121 evidences for the formation of Fe di- or trimers with HA (Gustafsson et al., 2007; Karlsson 122 and Persson, 2010), although there are few complexation studies evidencing the formation of 123 polynuclear cation-HA in batch experiment. It is interesting to note that trivalent Al and Fe 124 present a high tendency to hydrolyze and to form polynuclear species in undersaturated 125 solutions with respect to solid phases (e.g. Hellman et al., 2006; Sarpola et al., 2013), as it is 126 the case for An(IV).

127 Although the stabilization of polynuclear Pu(IV)-HA species was suggested by 128 spectroscopy and is mechanistically plausible, there is so far no quantification of such 129 reactions in batch experiments. Indeed, previous studies aimed at investigating the interaction 130 of mononuclear An(IV) with HA, and the formation of humic colloids consisting of small 131 polynuclear metal species and HA was not considered. In contrast to all above mentioned 132 studies, the present work aims at verifying the formation of polynuclear-Pu(IV)-HA species. 133 The experiments were performed under acidic conditions in order to be able to study their 134 interaction in a large range of final Pu(IV) aqueous concentrations by minimizing the 135 formation of particulate Pu(IV)-(hydr)oxides or eigen colloids. A semi-mechanistical humic-136 ion binding model (Model VII: Tipping et al., 2011) was introduced into the geochemical speciation program PHREEQC (Parkhurst and Appelo, 1999) and was used as a tool to 137 138 discuss the relevance of polynuclear-Pu(IV)-HA species and their potential impact on Pu(IV) 139 apparent solubility due to HA complexation under acidic to neutral pH conditions.

140

142

2. MATERIALS AND METHODS

143 All chemicals were of pro analytical quality or better and were obtained from Merck 144 (Darmstadt, Germany) or Riedel de Haen (Seelze, Germany). Milli-Q deionized water was 145 used to prepare the solutions. The pH was measured by a pH meter (φ -310, Beckman, 146 Germany) with a combined electrode (Beckman, Germany). The pH-meter was calibrated 147 daily with certified commercial buffers at pH = 1, pH= 2, pH = 4, pH = 6 (Merck, Darmstadt, 148 Germany).

149

150 **2.1. Plutonium solution**

For all the complexation studies, a 99.1 wt% 239 Pu stock solution (6.0x10⁻⁴ M), with 151 small amounts of other isotopes (0.888 wt%²⁴⁰Pu, 0.014 wt%²⁴¹Pu) and known specific 152 153 activities was used. The plutonium solution was prepared by diluting an aliquot of the stock 154 solution with 1 M HClO₄. The solution then consisted of different oxidation states of 155 plutonium. The tetravalent oxidation state of Pu was obtained by potentiostatic electrolysis in 156 1 M HClO₄. For the electrolysis, a homemade glass electrolytic cell with three separated 157 compartments (U-shape) was used. The middle one was used as a working electrode (Pt), the 158 right one contained a Pt counter electrode and the left one contained the reference electrode 159 (Ag/AgCl). These compartments were connected with a potentiostat for obtaining a constant 160 potential at the working electrode. A mixture of Pu oxidation sates was firstly electrolyzed at -0.6 Volt to obtain Pu(III), then Pu(IV) was fastly obtained from Pu(III) at 0.9 Volt. The purity 161 162 of the Pu(IV) oxidation state was controlled by UV-Vis spectrometry (Cohen 1961). About 98 % of Pu(IV) and 2 % of Pu(IV) colloids were in the stock Pu solutions. The radioactivity 163 concentration of ²³⁹Pu was measured by liquid scintillation counting (LSC) using the 164 165 scintillation cocktail Ultima Gold XR (Packard) and LSC Tricab (Hewlett Packard).

167 **2.2. Humic acid (HA)**

The commercially available Aldrich humic acid (AHA) (sodium salt, charge 37 no. 01816-054) was purified and isolated as described in (Kim and Buckau, 1988; Kim et al., 1990). The proton exchange capacity (PEC) is equal to 4.60x10⁻³ eq g⁻¹ (Kim and Buckau, 1988; Kim et al., 1990; Seibert et al., 2001). Although AHA is sometimes criticized as a weak model for humic substances, its ion-binding behavior is rather similar to those of other humic substances (Avena et al., 1999; Milne et al., 2001, 2003; Saito et al., 2005; Pourret et al., 2007).

175

176 **2.3. Complexation experiments**

177 For the complexation experiments, HA and Pu(IV) were brought into contact at 178 different pH values (1.8-3.0). The pH of the samples was adjusted by using a minimum of 0.1 M HClO₄ or 0.1 M NaOH solutions. The Pu(IV) concentration was 6.6x10⁻⁸ M, and the humic 179 180 acid content was varied between 0.01 and 25 mg/L and all mixtures were shaken continuously on an orbital shaker at 25°C. All experiments were performed at I = 0.1 M NaClO₄ under 181 182 aerobic condition at room temperature. Total sample volume was 10 mL in 20 mL vials. The 183 samples were filtrated using ultrafiltration (1 kDa pore size) after 24 hours, 3 days, 1 week, 184 and 2 weeks during preliminary complexation experiments. This preliminary study indicated 185 that complexation equilibrium was established after 1 week, so 1 week for sampling was chosen in subsequent complexation experiments. The free Pu ion concentration was 186 187 determined by liquid scintillation counting (LSC) of the filtrates. In absence of humic acid, 188 the sorption of Pu(IV) on the filter material as well as on the vessel was determined and 189 considered in the evaluations. In the case of humic acid, less than 5 % of HA passed through 190 the 1kDa ultrafilter as measured by UV-Vis, which is being neglected in the present study. 191 Pourret et al. (2007) also investigated AHA complexation properties using 5kDa ultrafilter

and also detected negligible amount of organic matter passing through the membrane by total
organic carbon analysis. All experimental conditions and their results are given in the
supporting information (Table S1).

195

196 2.4. Complexation modeling using PHREEQC and Model VII

197 The Humic Ion-Binding Model VII (Tipping et al., 2011) is an improved version of 198 Model VI (Tipping, 1998). Both are based on the same equations but the total number of 199 different cation binding sites required to describe HA heterogeneity is decreased in Model VII 200 (= 50 versus 80 for Model VI). Model VI and VII are initially coupled with the inorganic 201 speciation code WHAM. It is here coupled with PHREEQC (version 2) developed by 202 Parkhurst and Appelo (1999), using the same strategy as used by Marsac et al. (2011) for 203 Model VI. PHREEQC is a computer code based on an ion-association aqueous model, which 204 was designed to perform speciation and saturation-index calculations in water. 205 Thermodynamic constants are taken from NEA thermodynamic database (Guillaumont et al., 206 2003). Davies equation was used for activity coefficients calculation, being valid up to an 207 ionic strength of 0.1 M.

208 A thorough description of Model VII can be found in Tipping et al. (1998; 2011). The 209 model is a discrete binding site model which takes electrostatic interactions into account. Only the aqueous cation (e.g. Pu^{4+}) and its first hydrolysis products (e.g. $PuOH^{3+}$) are 210 211 considered to bind to HA with the same binding constants. Eight sites are considered and 212 divided into an equal number of type A sites (commonly associated with carboxylic functional 213 groups) and type B sites (commonly associated with phenolic functional groups). There are n_A (mol g⁻¹) type A sites and $n_A/2$ type B sites. An average value for n_A (3.3x10⁻³ eq g⁻¹) was 214 found by Tipping (1998) for various HA, leading to a PEC of 5.25×10^{-3} eq g⁻¹, which is 215 216 relatively similar to the Aldrich HA used here and was not modified. Proton binding is 217 described by the two median intrinsic protonation constants (pK_A or pK_B; negative decadic 218 logarithm of protonation constants K_A or K_B) and two parameters defining the spread of the 219 protonation constants around the median ($\Delta p K_A$ or $\Delta p K_B$). The intrinsic equilibrium constants 220 for cation binding are defined by two median constants (log K_{MA} and log K_{MB}), together with 221 parameters (ΔLK_{1A} and ΔLK_{1B}) that define the spread of the values around the medians. However, satisfactory results are obtained by fixing $\Delta LK_{1A} = \Delta LK_{1B} = 0$. The work of 222 223 Carbonaro and Di Toro (2007) showed that the relative binding strength of a metal cation with 224 monodentate ligands is the same as those for protons. This concept was applied to carboxylic 225 and phenolic HA groups in Model VII by Tipping et al. (2011). It is assumed that

$$\log K_{\rm MB} = \log K_{\rm MA} \cdot (pK_{\rm B}/pK_{\rm A}) \tag{1}$$

227 which reduces the number of adjustable parameters necessary to describe metal complexation by HA. A fraction of the type A and type B monodentate sites can form bi- (f_{bi}) and tridentate 228 229 (ftri) sites. The stability constants of these bi- and tridentate sites are defined by the sum of the 230 log K values of the monodentate sites of which they are constituted. A small part of the 231 stability constants of multidentate groups are increased by the ΔLK_2 parameter, the so-called 232 "strong binding site term" (Tipping, 1998). In Model VII, ΔLK_2 is commonly attributed to the 233 participation of additional HA ligands in the complex, such as the nitrogen-containing groups. 234 However, Marsac et al. (2011) suggested that, in case of hard Lewis acids (e.g. trivalent 235 lanthanides), a high ΔLK_2 value might be attributed to a chelation effect. ΔLK_2 is also the parameter of the HA site heterogeneity. An elevation of ΔLK_2 increases the range of the 236 237 stability constants covered by the HA sites, thus increasing the heterogeneity of HA regarding 238 cations binding.

An electrical double layer, where only counter-ions can accumulate, is defined. The double layer thickness is set by the Debye-Hückel parameter $\kappa = (3.29 \times 10^9 \times I^{1/2})^{-1}$ (Appelo and Postma, 2005), where I is the ionic strength (mol L⁻¹). The distribution of ions between the diffuse layer and the bulk solution is calculated by a Donnan model. The electrostaticcorrection is represented by an empirical equation which mimics the Boltzmann factor:

244
$$\operatorname{Exp}\left(-zF\psi/RT\right) = \exp\left(-2PzZ\log_{10}I\right)$$
(2)

where P is an adjustable parameter, z is the ion charge, Z is the net humic acid charge (eq g⁻¹), I is the ionic strength (mol L⁻¹), ψ is the surface potential (V), T is the temperature (K), F is the Faraday constant and R is the gas constant. In PHREEQC, the surface area (SA) is required:

249 SA = ZF x
$$(0.1174 \text{ x I}^{1/2} \text{ x sinh}(F\psi/2RT))^{-1}$$
 (3)

By combining eq.2 and eq.3, a relationship between SA and P is obtained. For I = 0.1M, P = -196, whatever Z is valued, |PZ log₁₀I| becomes low and the sinh can be approximated by a linear function (Taylor's approximation). Indeed, R² = 0.996 is found when the sinh term versus Z is fitted with a linear function. The following equation is obtained:

254
$$SA = F x (0.1174 x I^{1/2} x P \log_{10}I))^{-1}$$
 (4)

which depends only on the ionic strength. We found SA~20000 m² g⁻¹ for I = 0.1 M. 255 256 Although rather unrealistic, such high surface area is the consequence of translating the 257 empirical electrostatic correction equation used in the initial version of Model VII into the 258 double layer theory. Such high SA were also used in different studies, where Model V 259 (Tipping and Hurley 1992) and VI were introduced into PHREEQC (Appelo and Postma, 260 2005; Liu et al., 2008; Marsac et al., 2011). To our knowledge there is no better solution at 261 present. Note that the present approximation is only valid for any Z when both $|\log_{10} I|$ and |P|262 are low. For lower I than 0.1 M or more negative P values (e.g. -330 as in Model VI), the 263 linearization of the sinh function is only valid for a narrower range of Z values. It means that 264 SA depends also on the pH. In this case, Marsac et al. (2011) simply chose a value of SA in PHREEQC/Model VI that fitted best the simulated HA proton titration simulated with 265

WHAM/Model VI, between pH 2 and 10. A summary of all Model VII parameters are givenin the supporting information (Table S2).

268 As can be deduced from the latter description of Model VII, the description of HA 269 binding properties is comparable with solid surface complexation modeling. Humic acid 270 conformation changes with the physico-chemical conditions are not explicitly described. 271 However, their impact on cation complexation might be included implicitly in the different 272 binding parameters used. The physical state of the humic, i.e. probably coagulated for pH < 2273 in the present study or even voluntarily insolubilized (Weber et al., 2006), is not supposed to 274 impact the determination of cation-HA binding parameters for Model VII. It will be further 275 illustrated in the present study.

276

277 **2.5. Parameter estimation with PhreePlot**

278 PhreePlot (Kinniburgh and Cooper, 2009) contains an embedded version of 279 PHREEQC and is mainly used to produce certain types of high quality geochemical plots 280 using PHREEQC such as pH-Eh diagrams, for instance. However, PhreePlot also includes a 281 parameter optimization procedure, which fits automatically the model to the experimental 282 data by minimizing the weighted sum of squares of the residuals. A modified Marquardt-283 Levenberg procedure (Powel, 1965) was applied, which provides incertitude on the estimated 284 parameters. In the present study, PhreePlot was requested to fit log [Pu]_{aq} by optimizing log 285 $K_{MA}(Pu(IV)), \Delta LK_2(Pu(IV))$ as well as the formation constant of polynuclear Pu(IV) species 286 with HA. Numeric tags can substitute numbers in the PHREEOC section of the PhreePlot 287 input file. In Model VII, every Pu(IV)-HA complexation constants being calculated from log 288 $K_{MA}(Pu(IV))$ and $\Delta LK_2(Pu(IV))$, a readable form of Model VII must be written for PhreePlot 289 using the keyword "numericTags" to make possible the automatic determination of 290 optimizing log $K_{MA}(Pu(IV))$ and $\Delta LK_2(Pu(IV))$ parameters.

3. RESULTS AND DISCUSSION

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291

293 **3.1. Plutonium(IV) interaction with humic acid**

Fig. 1 shows the solubility of Pu(IV) as a function of pH calculated with PHREEQC from the following equation (Guillaumont et al., 2003):

296
$$PuO_{2(am,hyd)} + 2H_2O = Pu^{4+}_{(aq)} + 4 OH^-; log K_s = -58.33 \pm 0.52$$
 (I = 0) (5)

The Pu(IV) concentrations at equilibrium ($[Pu(IV)]_{aq}$) in the present Pu-HA complexation experiments are below the solubility curve. Dotted lines represent the uncertainty on Pu(IV) solubility, as determined from the uncertainties of hydrolysis constants and solubility product. Therefore, no precipitation or Pu(IV)-colloids is expected. This statement might be, however, debatable at pH = 3 because here, $[Pu(IV)]_{aq}$ are higher than the minimum uncertainty limit of the Pu(IV) solubility.

303 Pu(IV)-HA complexation data were first treated as follows:

$$304 n Pu + HA = Pu_n HA (6)$$

305
$$K_{exp} = \frac{[Pu_n HA]}{[Pu]_{eq}^n [HA]} = \frac{[PuHA]/n}{[Pu]_{eq}^n [HA]}$$
 (7)

where K_{exp} is a conditional constant, $[Pu]_{eq}$ (in mol L⁻¹) and [PuHA] (mol g⁻¹ of HA) denotes 306 307 the experimentally determined concentrations of Pu in the filtrate and in the retentate, 308 respectively, and n is the stoichiometry of the reaction normalized to one HA site. [HA] is defined here as the PEC (eq g^{-1}) of HA, i.e. the total site concentration, because it is not 309 310 possible to determine precisely the free HA site concentration due to both HA site 311 heterogeneity and the lack of knowledge about Pu(IV)-HA interaction. This implies that n and K_{exp} are only estimates of the stoichiometry and conditional formation constants of reaction 6. 312 Applying some rearrangements to eq. 7, one obtains a Freundlich-type isotherm equation: 313

314
$$\log \frac{[PuHA]}{[HA]} = \log K_{exp} + \log n + n \log [Pu]_{eq}$$
(8)

Fig. 2 presents the amount of Pu(IV) complexed to HA (in mol eq⁻¹) as a function of 315 Pu(IV) equilibrium concentration ([Pu(IV)]eq), i.e. the Pu(IV)-HA binding isotherm. The 316 317 concentration of plutonium humic complexes (Pu(IV)-HA) increases with pH due to the 318 decrease of proton competition for HA binding groups in the complexation reaction. From low (10⁻⁹ M) to intermediate Pu concentrations (10⁻⁸ M, [Pu(IV)]_{eq}), the slope of the log-log 319 320 isotherm is with a value of 0.73 significantly below 1 (illustrated for pH 3 in Fig. 2). This is 321 explained with the heterogeneity of HA sites for Pu(IV) complexation. The latter pH and 322 metal loading effects have been widely described for many cations complexation by humic 323 substances, and a slope below 1 is commonly observed for cations binding to humic even at low site occupancy (e.g. Benedetti et al., 1995). However, for $[Pu(IV)]_{aq} > 10^{-8}$ M, the mean 324 slope of the isotherm of all pH values drastically increases to a value of 3.5 (3.8 as illustrated 325 326 at pH = 3; Fig. 2). At pH = 3, this observation might be firstly attributed to the precipitation of 327 Pu(IV)-(hydr)oxides or at least to formation of Pu(IV) eigencolloids because experimental [Pu(IV)]_{aq} values are near to the Pu(IV) solubility limit. However, the same high slope (3.94) 328 329 is observed at a more acidic pH value of 1.8, where it is clear that Pu(IV) does not precipitate 330 in the range of [Pu(IV)]_{aq} studied here. It is well established that Pu(IV) is the most stable Pu 331 redox state in the presence of HA even under anaerobic conditions (Marquardt et al., 2004; 332 Dardenne et al., 2009). Furthermore, the present experiments were performed in contact with 333 air preventing the formation of Pu(III). Therefore, the slope of the isotherm being larger than 334 1 is not a result of oxidation state transformation during the experiment, but might be 335 attributed to the presence of Pu(IV) polynuclear species. Because Pu(IV) solubility was 336 determined by ultrafiltration at 3 kDa (pore size: 1-2 nm; Neck and Kim, 2001) to separate 337 Pu(IV)-HA complexes from the solution, the increase of the amount of Pu(IV) in the HA 338 fraction of our experiments can only be attributed to polynuclear Pu(IV) species associated to 339 the HA colloids. Indeed, earlier studies showed no significant difference between 3 kDa and 1

kDa ultrafilter for the separation of Pu(IV)-HA complexes. If we consider a linear binding 340 341 behavior of polynuclear Pu(IV)species to HA, the slope of the isotherm equal to 3.5 suggests 342 the formation of 3:1 or 4:1 Pu-HA complexes, presuming a polynuclear Pu(IV) species binds 343 to one HA site. When considering the 4:1 stoichiometry, at pH=3, a condition constant of log 344 $K_{exp}=27.3$ can be estimated for the humate complexation reaction (6). However, due to HA 345 heterogeneity, binding of polynuclear Pu(IV) species to HA might not be linear, and would 346 imply a higher degree of Pu(IV) polynucleation. This stoichiometry is actually more likely an 347 average value because a large variety of polynuclear species might be formed at the same time 348 with HA, as it has been observed by Walther et al. (2009), in solution under similar conditions, 349 but below the solubility limit.

350

351 **3.2. Modeling with PHREEQC/model VII**

The preceding interpretations are tested with the humic-ion binding model, Model VII. 352 353 Phreeplot was used to calibrate Pu-HA binding parameters in Model VII fitting the present 354 experimental data. This automatic fitting procedure also provides the uncertainty of the 355 determined parameters. The optimized model parameters are presented in Table 1 and are 356 compared with the estimated values determined by Stockdale et al. (2011) that were used here 357 as initial values for the fit. Log K_{MA} (i.e. the main binding parameter) and ΔLK_2 (i.e. the 358 strong sites binding parameter) were found equal to 3.9±0.2 and 1.7±0.5, respectively. These 359 results are not significantly different from the estimated values of Stockdale et al. (2011) and 360 validate the estimation method. For Th(IV), Tipping et al. (2011) have determined log $K_{MA}(Th(IV)) = 3.58$ and $\Delta LK_2(Th(IV)) = 0.23$, which are slightly lower than the presently 361 362 determined values for Pu(IV). But when applying the uncertainties from the Pu(IV) fit on the 363 Th(IV) values, both data set can be regarded as very similar within the range of uncertainties. 364 The simulated results are also illustrated as binding isotherms in Fig. 3 (dotted lines), when 365 considering only the binding of Pu(IV)-monomers to HA. The model can reproduce relatively 366 well the results for $[Pu(IV)]_{aq}$ below approximately 10^{-8} M. Obviously, the model cannot 367 reproduce the change in the slope of the binding isotherm at $[Pu(IV)]_{aq}$ higher than 10^{-8} M, for 368 the reasons explained before.

369 The reaction of polynuclear An(IV) species stabilization by carboxylic ligands was
370 written as follows by Takao et al. (2012):

372
$$K_{poly} = \frac{[(RCOO)_a An_b O_c H_d] [H^+]^{(c+d)}}{[RCOO^-]^a [An^{4+}]^b}$$
(10)

373 where a, b, c, and d are, respectively, equal to 12, 6, 8 and 4 in case of formate (R = H, log $K_{poly} = 42.7$ at I = 0.62 M) and acetate (R = CH₃, log $K_{poly} = 52.0$ at I = 0.66M), for An = Np. 374 375 In case of Pu(IV)-HA complexation, the stoichiometry seems to be approximately 4:1, 376 according to the present experiments. The pH dependence of the reaction is, however, very difficult to evaluate because of HA site heterogeneity, the competition between Pu(IV) and H⁺ 377 378 for these sites and the hydrolysis of Pu(IV) formed with HA. Furthermore, Walther et al. 379 (2009) observed a large variety of the number of Pu atoms in the polynuclear species. As 380 mentioned before, the stabilization of polynuclear Pu(IV) species by HA should probably be 381 described by a distribution of polynuclear Pu(IV) species. Unfortunately, it appears not 382 possible for the moment to provide a more precise description of the formation of polynuclear 383 Pu-HA species by modeling, because the distribution of the polynuclear species is unknown. 384 The modeling exercise in the present paper is based on several assumptions. Although it can 385 be regarded as a rough estimation of this complex system, it will help us as an illustration to 386 discuss the relevance of such polynuclear species under environmental conditions. Because $Pu(OH)_2^{2^+}$ is the dominant species in solution (see Fig. S1) from which polynuclear 387 388 compounds are formed via edge sharing, the formation reaction of tetra-Pu(IV)-HA 389 complexes was introduced in Model VII as follows:

390
$$\text{HA-COO}^{-} + 4 \text{Pu}(\text{OH})_2^{2^+} = \text{HA-COOPu}_4(\text{OH})_8^{7^+}$$
 (11)

391 Only the mono-carboxylic sites defined in Model VII were considered, which 392 represent 43.5% of the total HA carboxylic groups because the fraction of bi- and tridentate 393 sites is fixed. Indeed, the 4:1 stoichiometry of the complexes would not have been respected 394 when considering the complexation of Pu-tetramer to bi- and tridentate HA sites as defined in 395 Model VII. The logarithm of the formation constant of reaction (11) was found to be 31.4 ± 0.4 . 396 This value is higher than the conditional formation constant estimated by the slope analysis 397 (e.g. 27.3 at pH = 3; Fig. 2) because, (i) the latter one did not take into account the HA sites 398 occupancy by Pu and protons, and (ii) only 43.5% of HA sites are involved according to 399 Model VII definition of monodentate sites. Although this tetramer complex presents a high 400 positive charge, it might be compensated by the overall HA negative charge. The 401 complexation of relatively highly charged polynuclear species to humics are generally required to model data obtained in acidic condition: Al₂(OH)₄⁴⁺ (Sutheimer and Cabaniss, 402 1997), Fe_2O^{4+} (Gustafsson et al., 2007) or $Fe_3O_2^{5+}$ (Marsac et al., 2013). Note that in the 403 404 present model, the Pu-tetramer-HA formation reaction suggests HA sites as templates for 405 bridge bonding between hydroxy-Pu(IV) ions, which is consistent with the previous trivalent 406 cation-humics studies. The results of the fit are presented in Fig. 3. The model can reproduce 407 the data at pH 1.8 relatively well whereas more deviations are observed at pH 3. By 408 increasing the pH, the distribution of hydrolyzed polymeric An(IV) species increases 409 (Walther et al., 2009). The deviation observed at pH = 3 might be a direct consequence of the 410 strong simplification of the system in the model by introducing only one type of polynuclear Pu(IV) species. Nevertheless, considering such (over)simplification, this approach can 411 412 reproduce the experimental results relatively well.

413

415 **3.3.** Comparison with An(IV)-HA binding data from literature

Reiller et al. (2008) compiled and re-interpreted the An(IV)-HA (An = Th, U, Pu)
complexation data available in the literature. They recalculated conditional complexation
constants as follows:

$$419 \qquad An^{4+} + HA = AnHA \tag{12}$$

420
$${}^{HA}\beta(An^{4+}) = \frac{[AnHA]}{[An^{4+}]_{aq}[HA]}$$
 (13)

421 where [HA] is calculated from the proton exchange capacity (eq g⁻¹). The concentration of the 422 An⁴⁺aquo cation $[An^{4+}]_{aq}$ are very low and are calculated from the total aqueous An(IV) 423 concentration ($[An(IV)]_{aq}$) measured experimentally and the hydrolysis constants ($\beta_{1,n}$) of the 424 considered An(IV):

425
$$[An^{4+}]_{aq} = [An(IV)]_{aq} \times \left(1 + \sum_{n=1}^{4} \frac{\beta_{1,n}}{[H^{+}]^n}\right) = [An(IV)]_{aq} \alpha_{An}$$
 (14)

426 The site reaction coefficient α_{An} considers all hydrolysis reactions of the An⁴⁺ cation. They 427 found a high consistency between all tetravalent actinides by only using the hydrolysis 428 constants of U(IV) for all An(IV) hydrolysis reactions and they derived the following 429 equation:

430
$$\log^{HA}\beta(An^{4+}) = (3.26\pm0.10)pH + (0.14\pm0.67).$$
 (15)

431 The present experimental results were recalculated with the approach of Reiller et al. for $[Pu(IV)]_{aq} < 10^{-8}$ M (i.e. where Pu(IV) binds to HA as monomeric species) and are plotted 432 in Fig. 4 as well as the results of eq. (15) (Reiller et al., 2008) for different pH. The 433 consistency between the present log ${}^{HA}\beta(Pu^{4+})$ data for $[Pu(IV)]_{aq} < 10^{-8}$ M and eq. 15 shows 434 that our results are in excellent agreement with literature. In at least part of our experiments 435 436 (i.e. for pH<2), HA has coagulated. This result suggests that the physical state of the humic 437 has no significant impact on its binding properties, which was already observed in earlier studies for An(III) or Fe(III) (Kim et al., 1993; Weber et al., 2006). Note that because of the 438

439 low solubility of An(IV), experiments performed at high pH had low [An(IV)]_{tot}. Therefore,
440 the slope of eq. (15) takes also into account, implicitly, the effect of low metal loading of HA.

441 Simulations with PHREEQC/Model VII were performed as a function of the pH using 10 mg/L of HA and [Pu(IV)]tot 10 times below the solubility limit. Such conditions are 442 443 commonly investigated to avoid An(OH)_{4(am)} precipitation or colloid formation in the sample. 444 The contribution of Pu(IV) tetramer is also negligible. The results of the simulations were 445 recalculated according to eq. (13) - (14) and taking into account the default PEC value of HA 446 in Model VII. These results are illustrated together with the results according to eq. (15) 447 (Reiller et al., 2008) in Fig. 4. Although Pu(IV)-HA binding parameters in Model VII were 448 calibrated only with our experimental results obtained under acidic conditions (i.e. $pH \le 3$), the evolution of log $^{HA}\beta(Pu^{4+})$ with pH is well described up to pH=7, attributing to Model VII 449 some efficiency as a predictive tool. The uncertainty of Model VII parameters presently 450 determined (bold dotted lines) are comparable with the uncertainty of log ${}^{HA}\beta(An^{4+})$ 451 determined by Reiller et al. (2008). As mentioned earlier, only the binding of Pu⁴⁺ and 452 PuOH³⁺ to HA were considered. This finding is opposed to the Charge Neutralization Model 453 454 (CNM), commonly used to describe actinides complexation by humic acids (Kim and 455 Czerwinski, 1996). This model requires the binding of several hydrolysis products of Pu(IV) to HA to simulate the pH dependence of $\log^{HA}\beta(Pu^{4+})$. However, the CNM does not take into 456 457 account the heterogeneity of HA and cannot reproduce the effect of the metal loading, for 458 instance. Model VII considers the presence of strong chelating sites in low amount. Due to the low solubility of PuO_{2(am,hvd)}, [Pu(IV)]_{aq} becomes very low under near neutral conditions. 459 460 Therefore, in such conditions, Pu(IV) is considered to be complexed by chelating sites of HA. 461 It has been shown that chelating ligands can inhibit An(IV) hydrolysis (e.g. Jeanson et al., 462 2010) even under alkaline conditions. The description of Pu(IV)-HA interaction provided by Model VII might be considered as relatively consistent from the mechanistic point of view. 463

Model VII underestimates log ${}^{HA}\beta(Pu^{4+})$ for pH>7, suggesting that more hydrolyzed Pu(IV) 464 species, such as $Pu(OH)_2^{2+}$, might have to be considered as species bound to HA. However, 465 preliminary tests (not presented here) showed that $Pu(OH)_2^{2+}$ Model VII parameters had to be 466 optimized independently from PuOH³⁺, which lead to an increase in the number of adjustable 467 468 parameters. Furthermore, a binding isotherm up to high loading cannot be obtained 469 experimentally for pH>7 because of the low solubility of PuO_{2(am.hyd)}. Therefore, it is not possible to constrain Log K_{MA} and ΔLK_2 for $Pu(OH)_2^{2+}$ independently. Predictive modeling 470 471 of Pu(IV) solubility in the presence of HA would give very different results depending on the choice of the couple Log $K_{MA}/\Delta LK_2$. Furthermore, it does not seem very clear if additional 472 473 parameters (i.e. the complexation of more hydrolyzed Pu(IV) monomers) have to be 474 considered in the calculations. Indeed, cation complexation constants with HA multidentate 475 sites in Model VII are subjected to a large uncertainty. For instance, the uncertainty associated 476 with the formation of a bidentate complex is twice the sum of the corresponding monodentate ones. The strong sites are additionally subjected to the incertitude associated to ΔLK_2 . This 477 can somewhat be seen, for instance on figure 4: at low pH, the simulation was performed at 478 higher $[Pu(IV)]_{tot}$ than at high pH. Therefore, at low pH, the incertitude on log ^{HA} $\beta(Pu^{4+})$ is 479 480 almost not visible on figure 4 because Pu(IV) speciation with HA is dominated by lower 481 denticity sites than at higher pH, where the strong HA multidentate sites are dominant. A 482 slight modification of already existing parameters describing the strong sites in Model VII 483 might improve significantly the simulation for An(IV) complexation to HA without 484 considering additional parameters. However, the present study does not aim at revising Model 485 VII. Therefore, the present discussion will be limited to pH<7, where only the complexation of Pu⁴⁺ and PuOH³⁺ binding to HA can reproduce relatively well the experimental results. 486

487

489 **3.4. Relevance of poly-Pu(IV)-HA species under circumneutral conditions**

490 Dardenne et al. (2009) have studied the Pu(IV)-HA formation by XAFS in a humicrich groundwater (~70 mgC L⁻¹) at pH~7 and a Pu concentration of 1.4×10^{-4} M. In presence of 491 HA, this Pu(IV) concentration is approximately 10^6 times higher than Pu(IV) solubility limit 492 493 in 0.1 M NaCl aqueous solution at pH = 7. In the experiment, a precipitation of potential 494 Pu(IV)-(hydr)oxide was not observed and the good quality of the data confirms that a 495 significant fraction of the Pu(IV) remained in solution. Indeed, to be able to record an Extended X-ray Absorption Fine Structure (EXAFS) spectrum up to $k = 11 \text{ Å}^{-1}$, Pu(IV) 496 concentrations of ~100ppm are typically required in the solution, which corresponds to ~ 10^{-4} 497 498 M of Pu(IV). The EXAFS showed a significant Pu-Pu signal. Furthermore, the Pu L3-edge 499 XANES (X-ray Absorption Near Edge Structure) was very similar to aqueous Pu(IV) (i.e. in a solution at pH = 0) and differed strongly from solid $PuO_{2(am,hyd)}$ or from colloidal Pu(IV) in 500 501 solution (Walther et al., 2009). From the mechanistic point of view, it might be possible to 502 consider these entities as polynuclear complexes with humic rather than precipitated 503 nanophases. In neutral to alkaline pH conditions, when the solution is in contact with solid PuO_{2(am,hyd)}, the formation of Pu(IV) eigencolloids can only increase Pu(IV) apparent 504 solubility up to $10^{-8.3\pm0.5}$ M (Neck et al., 2007). These results evidenced that natural organic 505 506 matter can inhibit the precipitation of PuO_{2(am.hvd)} by the formation of small polynuclear 507 Pu(IV) humate species. Although there is no available systematic solubility study of Pu(IV) in 508 the presence of HA, the large amount of Pu remaining in solution in the experiments of 509 Dardenne et al. (2009) suggests also that HA can enhance Pu apparent solubility. This might 510 also impact Pu mobility in organic-rich environments, provided that a significant amount of 511 Pu-HA does not aggregate.

512 The Pu(IV) apparent solubility in presence of HA was simulated with 513 PHREEQC/Model VII for 2<pH<7, i.e. in a range of pH conditions where Model VII gave

satisfactory results, in a 0.1 M NaCl solution containing 140 mg L⁻¹ of HA. This [HA] 514 corresponds to 70 mg L⁻¹ of dissolved organic carbon (DOC), considering that carbon 515 516 represents 50 wt.% of HA. These conditions reproduce roughly the Gorleben groundwater 517 (Marguardt et al., 2004) and they were investigated here as an illustration rather than in sense 518 of a prediction. Aqueous Pu(IV) and Pu(IV)-HA species were considered as dissolved species. 519 Results are presented in Fig. 5. When considering only the formation of monomeric Pu(IV)-520 HA species, HA can significantly enhance Pu(IV) apparent solubility for pH>2.5. At pH=7, 521 the apparent solubility in presence of HA is underestimated by more than 3 orders of 522 magnitude, compared with the sample studied by XAFS by Dardenne et al. (2009). Note also 523 that the precipitation of metallic (hydr)oxides in the presence of HA might lead to highly 524 amorphous or nanometric (hydr)oxides with an enhanced solubility. This was the case, for 525 instance, for Fe(III) whose (hydr)oxides formed in the presence of HA had a higher solubility 526 than ferrihydrite, an amorphous Fe(III)-hydroxide (Weber et al., 2006; Marsac et al., 2013). 527 However, the increase of Fe(III) solubility in presence of HA did not exceed one order of 528 magnitude. When considering additionally the formation of polynuclear Pu(IV)-HA species, 529 Pu(IV) apparent solubility increases in presence of HA by 1.5 log units at pH=3. The 530 arbitrarily chosen Pu(IV) tetramer to illustrate the present experimental results between pH 1 531 and 3 has no impact for pH > 4 and cannot explain the XAFS results of Dardenne et al. (2009). 532 Actually, the fact that the Pu(IV) tetramer has only an impact in conditions guite similar to the 533 present experiments is rather comforting because its occurrence at pH > 5 would have been 534 highly questionable. Nevertheless, these simulations show that the stabilization of a more hydrolyzed $Pu_n(OH)_m$ species than $Pu_4(OH)_8^{8+}$ by HA should be considered in the model to 535 536 produce simulations consistent with spectroscopy.

537 To our best knowledge, the only experimental An(IV) solubility data in the presence 538 of organic matter were performed with U(IV) in the presence of carbonates (Cachoir et al., 539 2003; Delécaut et al., 2004). Such data are relevant for performance assessment of nuclear 540 waste disposal but it is difficult to determine precisely which chemical mechanisms hide 541 behind the observed behavior. Under these conditions, U(IV) can easily be oxidized to U(VI) 542 and carbonates can compete with organic matter. Indeed, Reiller et al. (2008) analyzed the 543 data by geochemical speciation modeling and discussed the factors that could have an 544 influence on uranium concentration in the HA-containing solution. The finally presented 545 simulated results, although very promising, still underestimated U solubility data. However, 546 the stabilization of polynuclear U(IV)-HA species could not be tested because there was no 547 clear evidence of such species in the literature. By comparison with the present results for 548 Pu(IV), the formation of polynuclear actinide(IV)-HA might be an alternative explanation for 549 the deviation observed between experimental and modeling results. However, it is very 550 difficult to estimate precisely the thermodynamic parameters of such reaction yet, especially 551 under near neutral pH conditions.

552

553

4. CONCLUSION

554 The present study on Pu(IV) humate complexation at pH < 3 yields evidence for the 555 complexation of both mono- and polynuclear Pu(IV) species to HA, at conditions where no 556 Pu(IV) precipitation is expected. Model VII is used to simulate Pu(IV) monomers binding to 557 HA. The simulations are consistent with other tetravalent actinides-HA binding data from literature (Reiller et al., 2008). The stabilization of a Pu tetramer ($Pu_4(OH)_8^{8+}$) by HA is 558 559 proposed to illustrate Pu(IV)-HA interaction in conditions where polynuclear Pu(IV)-HA 560 species are formed. Although a robust model considering both the formation of mono- and 561 polynuclear Pu(IV) complexes with HA cannot be derived from the present and previous 562 studies, such polynuclear species are expected to have an impact under relevant conditions in the environment. They significantly increase the Pu(IV) apparent solubility due to HA 563

564 complexation, more than by humate complexation of monomeric Pu(IV) species. Due to their 565 similar chemical properties, comparable mechanisms are also expected for other tetravalent 566 actinides, such as U(VI) and Np(IV). Such a mechanism of humate or fulvate complexation strongly supports a transport of An(IV) as a humic colloid-borne species as observed in 567 568 migration experiments (Artinger et al., 2003a,b). Therefore, further studies should be 569 dedicated to the colloidal stability of An(IV)-HA (i.e. mono- and polynuclear) complexes. 570 More generally, the stabilization of polynuclear cations by HA seems to be a relevant 571 mechanisms at least for cations presenting a high tendency for hydrolysis such as An(IV), 572 Al(III) (Browne and Driscoll, 1993; Sutheimer and Cabaniss, 1997) or Fe(III) (Gustafsson et 573 al., 2007; Karlsson and Persson, 2010). This might impact on the fate of these elements in the 574 environment but might also affect other elements through the competition for organic matter 575 binding sites.

576

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581

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716 **Table and Figure caption**

Table 1. Pu(IV)-HA binding parameters in Model VII (log K_{MA} and ΔLK_2) presently determined. Estimated values by Stockdale et al. (2011) and fitted parameters for Th(IV)-HA complexation (Tipping et al., 2011) are also shown for comparison.

- Figure 1. Comparison between Pu(IV) concentration determined experimentally at equilibrium in the presence of HA at pH=1.8, 2.5 and 3 ($[Pu]_{tot}\sim 6\ 10^{-8}M$, 0.025<[HA]<25 mg L⁻¹) and Pu(IV) solubility limit calculated from Pu(IV) hydrolysis and solubility product given in Guillaumont et al. (2003) (plain line) with the corresponding incertitude (dashed lines), in 0.1M of NaClO₄.
- Figure 2. Pu(IV) binding to HA as function of log [Pu(IV)]_{aq} at pH 1.8, 2.5 and 3. A "break"
- in the binding isotherm is observed and is illustrated at pH 3 by fitting the data with two linear functions, [HA] = 0.025-25 mg/L, I= 0.1M NaClO₄, contact time = 1 week, Ultrafiltration (1kDa).
- Figure 3. Comparison between experimental and modeling results of Pu(IV)-HA binding
 considering or not the stabilization of Pu(IV) tetramer by HA.
- Figure 4. Evolution An⁴⁺-HA conditional stability constant versus pH as calculated from eq.
 15 (Reiller et al. 2008; solid line within the incertitude presented as dashed lines). The present
 experimental data (grey squares) and simulated results with Model VII (bold line) are also
 presented for comparison, associated with the incertitude on Model VII parameters (bold
 dotted lines.
- Figure 5. Simulated Pu(IV) solubility versus pH in a 0.1M of NaCl solution containing
 140mg L⁻¹ of HA or no HA. The experimental condition studied by XAFS (Dardenne et al.,
- 739 2009), where polynuclear Pu(IV)-HA species were evidenced, is also shown for comparison.
- 740
- 741

		Pu(IV)	Pu(IV)	Th(IV)
		(present study)	(estimated)	
	Log K _{MA}	3.9±0.2	4.08	3.58
	ΔLK_2	$1.7{\pm}0.5$	1.85	0.23
742				
743		Table 1		
744				













Figure 2









Figure 5