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## ON SPECIAL L-VALUES OF t-MODULES

#### BRUNO ANGLÈS, TUAN NGO DAC, AND FLORIC TAVARES RIBEIRO

ABSTRACT. We show that Taelman's conjecture on special *L*-values of Anderson *t*-modules holds for a large class of *t*-modules. This class contains all mixed *A*-finite and uniformizable *t*-modules whose Hodge-Pink weights are at least 1. As a consequence, we deduce various log-algebraicity identities for tensor powers of the Carlitz module, generalizing the work of Anderson-Thakur.

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### INTRODUCTION

Let  $\mathbb{F}_q$  be a finite field having q elements, q being a power of a prime number p. Let  $A = \mathbb{F}_q[\theta]$  with  $\theta$  an indeterminate over  $\mathbb{F}_q$ ,  $K = \mathbb{F}_q(\theta)$ ,  $K_{\infty} = \mathbb{F}_q((\frac{1}{\theta}))$  and let  $v_{\infty}$  be the discrete valuation on K corresponding to the place  $\infty$  normalized such that  $v_{\infty}(\theta) = -1$ . We denote by  $\mathbb{C}_{\infty}$  the completion of a fixed algebraic closure of  $K_{\infty}$ . The unique valuation of  $\mathbb{C}_{\infty}$  which extends  $v_{\infty}$  will still be denoted by  $v_{\infty}$ . For  $d \in \mathbb{N}$ ,  $A_{+,d}$  denotes the set of monic elements in A of degree d.

In 1930's, Carlitz [11] introduced the Carlitz zeta values given by

$$\zeta_A(n) := \sum_{d \ge 0} \sum_{a \in A_{+,d}} \frac{1}{a^n} \in K_{\infty}, \quad n \in \mathbb{Z}.$$

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In 1979, Goss [18] introduced a new type of *L*-functions in the arithmetic of function fields over finite fields and showed that Carlitz zeta values can be realized as special values of such *L*-functions (see [19], Chapter 8). The zeta values  $\zeta_A(n)$  are related to the so-called Carlitz module which is defined as the  $\mathbb{F}_q$ -algebra homomorphism  $C: A \to \mathbb{C}_{\infty}\{\tau\}$  given by  $C_{\theta} = \theta + \tau$ . Here  $\mathbb{C}_{\infty}\{\tau\}$  is the non-commutative ring with the rules  $\tau a = a^q \tau$  for  $a \in \mathbb{C}_{\infty}$ . The Carlitz exponential  $\exp_C \in \mathbb{C}_{\infty}\{\{\tau\}\}$  is defined by  $\exp_C = \sum_{j\geq 0} \frac{\tau^j}{D_j}$  where  $D_0 = 1$  and for  $j \geq 1$ ,  $D_j = (\theta^{q^j} - \theta)D_{j-1}^q$ . It satisfies the functional equation

$$\exp_C(\theta z) = C_\theta(\exp_C(z)), \quad \text{ for all } z \in \mathbb{C}_\infty.$$

The Carlitz logarithm is the inverse series  $\log_C \in \mathbb{C}_{\infty}\{\{\tau\}\}$  of the Carlitz exponential. Further, one can define the special *L*-values  $L(C/A, n) \in K_{\infty}$  for any integer  $n \in \mathbb{Z}$  and show that  $L(C/A, n) = \zeta_A(n)$ .

The following remarkable identity was discovered by Carlitz:

$$\exp_C(\zeta_A(1)) = 1$$

or equivalently

$$\zeta_A(1) = \log_C(1)$$

The first equality is an example of *log-algebraicity identities* for C while the second one is the class formula for C.

In 1970's, Drinfeld [15, 16] made a breakthrough by introducing the notion of Drinfeld modules which generalizes the Carlitz module. Several years later, Anderson [1] developed the theory of t-modules (or t-motives) which extends that of Drinfeld modules to higher dimensions. An important example of such objects is the n-th tensor power  $C^{\otimes n}$  of the Carlitz module for any  $n \in \mathbb{N}$  (see [4]).

Recently, Taelman [24, 25] defined fundamental objects attached to a Drinfeld module: the unit module and the class module. He proved a class formula which states that the special value of the Goss *L*-function attached to a Drinfeld module at s = 1 is the product of a regulator term arising from the unit module and an algebraic term arising from the class module. It is a vast generalization of the above-mentioned class formula for the Carlitz module. Taelman's class formula has been extended to *t*-modules by Fang [17] and to *t*-modules with variables by Demeslay [13, 14].

However, we should mention that very few log-algebraicity results are known. Inspired by examples of Carlitz [11] and Thakur [27], Anderson [2, 3] proved further log-algebraicity identities for C (and signed-normalized rank 1 Drinfeld modules in general). His fundamental results are extended and revisited by various works ([4, 5, 6, 7, 9, 12] and [28], Chapter 8). In a work in progress, Papanikolas [22] suggests to extend Anderson's method to develop a theory of log-algebraicity on tensor powers  $C^{\otimes n}$  of the Carlitz module.

The present paper grew from an attempt to understand the possible connection between the class formula and the log-algebraicity identities for t-modules. It turned out that for A-finite and uniformizable t-modules, it has been already formulated as a conjecture by Taelman [23]. By Taelman's class formula [25], this conjecture holds for Drinfeld modules. In this paper, we succeed in proving Taelman's conjecture for a large class of t-modules. This class contains all mixed A-finite and uniformizable *t*-modules whose Hodge-Pink weights are at least 1. As an application, we obtain various log-algebraicity results for tensor powers of the Carlitz module, generalizing the work of Anderson-Thakur [4] and rediscovering that of Papanikolas [22].

Let us give now more precise statements of our results.

Let F be a finite extension of K and let  $O_F$  be the integral closure of A in F. We set  $F_{\infty} := F \otimes_K K_{\infty}$ . Let E be a *t*-module of dimension d defined over  $O_F$  which consists of an  $\mathbb{F}_q$ -algebra homomorphism  $E : A \longrightarrow M_{d \times d}(O_F)\{\tau\}$  such that for all  $a \in A$ , if we write

$$E_a = \partial_E(a) + E_{a,1}\tau + \dots,$$

then we have  $(\partial_E(a) - aI_d)^d = 0.$ 

We set  $\operatorname{Lie}(E)(F_{\infty}) := F_{\infty}^d$ ,  $W_E(F_{\infty}) := \operatorname{Lie}(E)(F_{\infty})/(\partial_E(\theta) - \theta I_d)\operatorname{Lie}(E)(F_{\infty})$ and write w for the projection  $\operatorname{Lie}(E)(F_{\infty}) \longrightarrow W_E(F_{\infty})$ . The following statement is a slightly modified version of a conjecture formulated by Taelman in 2009 ([23], Conjecture 1).

**Conjecture A** (Taelman's conjecture). With the above notation, suppose that E is A-finite and uniformizable. Then there exist an element  $a \in A \setminus \{0\}$  and a sub-A-module  $Z \subset \text{Lie}(E)(F_{\infty})$  of rank  $r := \dim_{K_{\infty}} W_E(F_{\infty})$  such that

- 1)  $\exp_E(Z) \subset \operatorname{Lie}(E)(O_F),$
- 2)  $\bigwedge_{A}^{r} w(Z) = a \cdot L(\phi/O_F) \cdot \bigwedge_{A}^{r} W_E(O_F).$

For Drinfeld modules, Conjecture A follows from the class formula of Taelman [25]. More generally, it is true for t-modules satisfying  $\partial_E(\theta) = \theta I_d$  by the class formula for t-modules proved by Fang [17]. Further, when F = K and E is a tensor power of the Carlitz module, Taelman's conjecture holds by the pioneer work of Anderson and Thakur [4]. However, Conjecture A is not always true (see Proposition A.2).

In this paper, we prove that Conjecture A holds for a large class of t-modules (see Theorem 4.4, Corollaries 4.5 and 4.6).

**Theorem B** (Theorem 4.4). Let  $E/O_F$  be an A-finite t-module such that  $\sigma N \subset (t - \theta)N$  where N is its associated dual t-motive. Then Conjecture A holds for  $E/O_F$ .

Surprisingly, in the above theorem, we do not require the uniformizable assumption for t-modules. For a mixed A-finite and uniformizable t-module E, one can define the Hodge-Pink structure and the Hodge-Pink weights associated to E (by [20], Definition 5.32) and one can show that the condition  $\sigma N \subset (t - \theta)N$  is equivalent to the condition that every Hodge-Pink weight of E is at least 1.

The proof of Theorem B is based on two key ingredients: the dictionary between t-modules and dual t-motives ([1, 10, 20]) and the notion of Stark units introduced in our previous papers ([5, 9] and Section 3.2). As an immediate application, Theorem B implies:

**Theorem C** (Corollaries 4.5 and 4.6).

1) Let  $E/O_F$  be an A-finite t-module. Then Conjecture A holds for  $E \otimes C/O_F$ .

2) Let  $E/O_F$  be a mixed A-finite and uniformizable t-module whose Hodge-Pink weights are at least 1. Then Conjecture A holds for E.

Finally, we deal with tensor powers of the Carlitz module  $C^{\otimes n}$   $(n \in \mathbb{N}^*)$ . As a special case of Theorem B, Taelman's conjecture is true for these *t*-modules over any finite extension F of K (see Theorem 5.2). Then we apply our techniques to deduce various log-algebraicity results for  $C^{\otimes n}$  (see Theorem 5.3 and Proposition 5.5). These results extend the work of Anderson-Thakur [4] and rediscover that of Papanikolas [22] (see Section 5.3).

**Theorem D** (Theorem 5.3). Let  $n \ge 1$  be an integer and let  $C^{\otimes n}/A$  be the n-th tensor power of the Carlitz module. Then we have:

1) There exists a nonzero vector  $x_n \in M_{n \times 1}(A)$  such that

$$\exp_{C^{\otimes n}}\left(\left(\sum_{d\geq 0}\sum_{a\in A_{+,d}}\frac{1}{\partial_{C^{\otimes n}}(a)^n}\right)x_n\right)\in M_{n\times 1}(A).$$

2) There exists a nonzero vector  $X_n \in M_{n \times 1}(A[z])$  such that

$$\exp_{\widetilde{C^{\otimes n}}}\left(\left(\sum_{d\geq 0}\sum_{a\in A_{+,d}}\frac{1}{\partial_{C^{\otimes n}}(a)^n}z^d\right)X_n\right)\in M_{n\times 1}(A[z]).$$

We also prove an equivariant log-algebraicity theorem for tensor powers of the Carlitz module over cyclotomic field extensions.

**Theorem E** (Theorem 5.7). Let  $n \ge 1$  be an integer and let  $a \in A$  be a monic polynomial which is square-free. We denote by F the a-th cyclotomic extension of K whose Galois group is  $\Delta_a$  and by  $O_F$  the integral closure of A in L.

Then there exists a free  $A[\Delta_a]$ -module of rank one  $M \subset M_{n \times 1}(O_F)$  of the form  $M = A[\Delta_a]L(n, \Delta_a)X_n$  for some vector  $X_n \in M_{n \times 1}(O_F)$  such that

1)  $\exp_{C^{\otimes n}}(L(n,\Delta_a)X_n) \in M_{n \times 1}(O_F).$ 

2) If  $\iota: M_{n \times 1}(O_F) \to O_F$  denotes the projection on the last coordinate, then

$$\iota(M) = b(\Delta_a)L(n, \Delta_a)O_F$$

for some  $b(\Delta_a) \in A[\Delta_a] \cap K[\Delta_a]^{\times}$ .

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#### 1. BACKGROUND

We recall the notion of Anderson t-modules and dual t-motives. We refer the reader to excellent papers [1, 10, 20] for more details.

**Definition 1.1.** Let  $L \subset \mathbb{C}_{\infty}$  be a field containing K.

1) An Anderson *t*-module E/L of dimension  $d \ge 1$  defined over L (a *t*-module for short) consists of an  $\mathbb{F}_q$ -algebra homomorphism  $E: A \longrightarrow M_{d \times d}(L)\{\tau\}$  such that for all  $a \in A$ , if we write

$$E_a = E_{a,0} + E_{a,1}\tau + \dots, \quad E_{a,i} \in M_{d \times d}(L),$$

we will set  $\partial_E(a) := E_{a,0}$  and require

$$(\partial_E(a) - aI_d)^d = 0_d.$$

2) A Drinfeld module is a *t*-module of dimension 1.

3) Let E and E' be two *t*-modules over L of dimension d and d' respectively. Then a morphism  $f: E \to E'$  over L is a morphism  $f: L^d \to L^{d'}$  over L commuting with the actions of A.

Let E/L be a *t*-module of dimension *d*. Note that  $M_{d\times 1}(L)$  is equipped with two structures of *A*-module: the first one is induced by *E* and we denote by E(L)the corresponding *A*-module, the second one is induced by  $\partial_E : A \to M_{d\times d}(L), a \mapsto E_{a,0}$ , and we denote by Lie(E)(L) the corresponding *A*-module.

Until the end of this section, we suppose further that L is perfect and for  $c \in L$ , we set  $c^{(-1)} = c^{1/q}$ . We introduce the non-commutative ring  $L[t, \sigma]$  with

$$tc = ct, \quad t\sigma = \sigma t, \quad \sigma c = c^{(-1)}\sigma, \quad c \in L.$$

#### Definition 1.2.

1) A dual *t*-motive N(L) over L is a left  $L[t, \sigma]$ -module which is free and finitely generated over  $L\{\sigma\}$  such that there exists an integer  $d \in \mathbb{N}$  with  $(t-\theta)^d(N(L)/\sigma N(L)) = 0$ .

2) Morphisms of dual *t*-motives are morphisms of left  $L[t, \sigma]$ -modules.

There is an explicit correspondence between t-modules and dual t-motives as follows. Let E/L be a t-module of dimension d defined over L. We define the map  $*: L\{\tau\} \longrightarrow L\{\sigma\}$  by  $(\sum a_i\tau^i)^* := \sum \sigma^i a_i = \sum a_i^{(-i)}\sigma^i$ . For any matrix  $B \in M_{d \times d}(L\{\sigma\})$ , we put  $B^* \in M_{d \times d}(L\{\sigma\})$  given by  $B_{ij}^* := (B_{ji})^*$ . We set  $N(L) := M_{1 \times d}(L\{\sigma\})$ . It is equipped with an action of  $\mathbb{F}_q[t]$  given by

$$a(t) \cdot h := hE_a^* = h\left(\sum_{i \ge 0} \sigma^i E_{a,i}^*\right), \quad h \in N(L), a \in A.$$

Then N(L) is a dual *t*-motive and the functor  $E \mapsto N(L)$  is covariant. And erson proved that it is in fact an equivalence of categories between the category of dual *t*-motives and that of *t*-modules ([10], Theorem 4.4.1).

Let 
$$\delta_1: N(L) \to E(L)$$
 be the homomorphism of A-modules defined by

$$\delta_1(h) := (\delta_1(a_1), \dots, \delta_1(a_d))^t$$

where  $h = (a_1, \ldots, a_d) \in N(L)$  and for  $b = \sum_j b_j \sigma^j = \sum_j \sigma^j b_j^{(j)} \in L\{\sigma\}$ , we set  $\delta_1(b) = \sum_j b_j^{(j)}$ . This map induces a commutative diagram

$$\begin{array}{ccc} N(L)/(\sigma-1)N(L) & \stackrel{\delta_1}{\longrightarrow} & E(L) \\ & & & & \downarrow^{E_a} \\ N(L)/(\sigma-1)N(L) & \stackrel{\delta_1}{\longrightarrow} & E(L). \end{array}$$

We set

$$N_0(L) := M_{1 \times d}(L) \subset N(L).$$

Observe that in general,  $N_0(L)$  is not stable under the action of  $\mathbb{F}_q[t]$ .

Now we define another canonical map of A-modules

$$\delta_0: N(L) \longrightarrow \operatorname{Lie}(E)(L).$$

For any  $h \in N(L)$ , we put  $h = (a_1, \ldots, a_d)$  with  $a_i \in L\{\sigma\}$ . Then we define  $\delta_0(h) := (\delta_0(a_1), \ldots, \delta_0(a_d))^t$  where for  $b = \sum_j b_j \sigma^j \in L\{\sigma\}$ , we set  $\delta_0(b) = b_0$ . The map  $\delta_0$  induces an isomorphism of A-modules

$$\delta_0: N(L)/\sigma N(L) \xrightarrow{\sim} \operatorname{Lie}(E)(L).$$

We observe that  $\delta_0$  induces an isomorphism of L-vector spaces

$$\psi: N_0(L) \xrightarrow{\sim} \operatorname{Lie}(E)(L)$$

Thus we get an induced structure of A-module on  $N_0(L)$  defined by

$$\partial_E(a) \cdot h_0^t := \psi^{-1}(\partial_E(a) \cdot \psi(h_0)), \quad a \in A, h_0 \in N_0(L).$$

In particular,  $N_0(\widehat{LK_{\infty}})$  is a  $K_{\infty}$ -vector space equipped with an action of A via  $\partial_E$ .

#### 2. Inverse of the Frobenius

We keep the notation of Section 1. Recall that  $L \subset \mathbb{C}_{\infty}$  is an extension field of K which is perfect and E/L is a t-module whose corresponding dual t-motive is denoted by N(L).

We set  $P_0(t) = 1$  and for  $j \ge 1$ , we choose  $P_j(t) \in K[t]$  such that

$$P_j(t)(t-\theta^{q^j})^d \equiv 1 \pmod{(t-\theta)^d K[t]}.$$

Recall that  $(t - \theta)^d N(L) \subset \sigma N(L)$ . For  $j \in \mathbb{N}$ , we define the *j*-th inverse of the Frobenius  $\varphi_j : N(L) \to N_0(L)$  as follows. Let  $h \in N(L)$ , then there exists a unique  $y \in N(L)$  such that

$$\prod_{k=0}^{j-1} (t - \theta^{q^{-k}})^d h = \sigma^j y.$$

We set

$$\varphi_j(h) := \psi^{-1}(\delta_0(P_0(t)P_1(t)\cdots P_j(t)y)).$$

One easily verifies that  $\varphi_j$  is well-defined.

Lemma 2.1. We have the following properties:

i)  $\varphi_j(h_1 + \lambda h_2) = \varphi_j(h_1) + \lambda^{q^j} \varphi_j(h_2)$  for all  $h_1, h_2 \in N(L)$  and  $\lambda \in L$ , ii)  $\varphi_j(t \cdot h) = \partial_E(\theta) \cdot \varphi_j(h)$  for all  $h \in N(L)$ , iii)  $\varphi_j(\sigma^k h) = \varphi_{j-k}(h)$  for all  $j, k \in \mathbb{N}$  and  $h \in N(L)$  where we put  $\varphi_n = 0$  if n < 0.

*Proof.* Left to the reader.

We also recall that there exist two series  $\exp_E, \log_E \in I_d + \tau M_{d \times d}(L)\{\{\tau\}\}$  such that

 $\exp_E \partial_E(a) = E_a \exp_E, \quad \text{for all } a \in A,$  $\log_E E_a = \partial_E(a) \log_E, \quad \text{for all } a \in A,$  $\exp_E \log_E = \log_E \exp_E = I_d.$ 

Furthermore,  $\exp_E$  converges on  $\operatorname{Lie}(E)(\mathbb{C}_{\infty})$  and therefore induces a homomorphism of A-modules  $\exp_E : \operatorname{Lie}(E)(\mathbb{C}_{\infty}) \to E(\mathbb{C}_{\infty})$ .

**Proposition 2.2.** We write  $\log_E = \sum_{k\geq 0} L_k \tau^k$  with  $L_k \in M_{d\times d}(L)$ . Then for all  $k \geq 0$ , we have the following identity

 $\varphi_k(h) = \tau^k(h) L_k^*, \quad \text{for all } h \in N_0(L)$ where  $\tau^k(a_1, \dots, a_d) := (a_1^{q^k}, \dots, a_d^{q^k}) \text{ for } a_1, \dots, a_d \in L.$ 

*Proof.* Let  $k \in \mathbb{N}$  be an integer. By Lemma 2.1, Part i), there exists  $Q_k \in M_{d \times d}(L)$  such that for  $h \in N_0(L)$ , we have

$$\varphi_k(h) = \tau^k(h) \, Q_k$$

In particular,  $Q_0 = I_d$ . Let  $h \in N_0(L)$ , then by Lemma 2.1, Part ii), we have

$$\varphi_k(t \cdot h) = \tau^k(h) Q_k \left(\partial_E(\theta)\right)^*.$$

Recall that  $t \cdot h := hE_{\theta}^* = h\left(\sum_{i \ge 0} \sigma^i E_{\theta,i}^*\right)$ , then Lemma 2.1, Part iii) implies that

$$\varphi_k(t \cdot h) = \tau^k(h) \sum_{i \ge 0} \tau^{k-i}(E^*_{\theta,i}) Q_{k-i}.$$

It follows that for all  $k \ge 0$ , we have

$$Q_k \left(\partial_E(\theta)\right)^* = \sum_{i \ge 0} \tau^{k-i}(E_{t,i}^*) Q_{k-i}$$

Recall that  $\log_E$  is the unique series in  $I_d + \tau M_{d \times d}(L) \{\{\tau\}\}$  such that  $\log_E E_{\theta} = \partial_E(\theta) \log_E$ . We deduce that  $Q_k = L_k^*$  for all  $k \ge 0$ .

**Remark 2.3.** Similarly, we can calculate the coefficients of the exponential series  $\exp_E$  using the *t*-motive attached to E (see [20], Chapter 5).

#### 3. Statement of Taelman's conjecture

Let F be a finite extension of K and let  $O_F$  be the integral closure of A in F. We set  $F_{\infty} := F \otimes_K K_{\infty}$ . Let E be a t-module defined over  $O_F$ , i.e. for all  $a \in A$ , we have  $E_a \in M_{d \times d}(O_F) \{\tau\}$ .

Recall that for an  $O_F$ -algebra B, E(B) denotes the A-module  $B^d$  equipped with the action of A via E and the tangent space Lie(E)(B) denotes the A-module  $B^d$ equipped with the action of A via the map  $\partial_E : A \to M_{d \times d}(O_F)$ . By [17], Lemma 1.7, the latter map can be uniquely extended to a map  $\partial_E : K_{\infty} \to M_{d \times d}(F_{\infty})$ given by

$$\partial_E\left(\sum_{i\geq m}a_i\theta^{-i}\right) = \sum_{i\geq m}a_i\partial_E(\theta)^{-i}.$$

#### 3.1. The class formula à la Taelman.

Following Taelman [24], we define the class module by

$$H(E/O_F) := \frac{E(F_{\infty})}{\exp_E(\operatorname{Lie}(E)(F_{\infty})) + E(O_F)}.$$

This is an A-module which is finitely generated and of torsion (see [24] for Drinfeld modules and [17] for t-modules). We denote by  $[H(E/O_F)]_A \in A$  the monic generator of the Fitting ideal of  $H(E/O_F)$ . More generally, for any finitely generated and torsion A-module M, we denote by  $[M]_A \in A$  the monic generator of the Fitting ideal of M.

We set

$$U(E/O_F) := \{ x \in \operatorname{Lie}(E)(F_{\infty}) : \exp_E(x) \in E(O_F) \}.$$

Then  $U(E/O_F)$  is an A-lattice in  $\text{Lie}(E)(F_{\infty})$ , i.e.  $U(E/O_F)$  is discrete and cocompact in  $\text{Lie}(E)(F_{\infty})$  (see [24] for Drinfeld modules and [17] for t-modules). This module is called the unit module attached to  $E/O_F$ . We denote by  $[\text{Lie}(E)(O_F) :$  $U(E/O_F)]_A \in K_{\infty}$  the co-volume of two lattices  $\text{Lie}(E)(O_F)$  and  $U(E/O_F)$ .

Here is the statement of the class formula à la Taelman:

**Theorem 3.1** (The class formula). The infinite product

$$L(E/O_F) := \prod_{\mathfrak{p}} \frac{[\operatorname{Lie}(E)(O_F/\mathfrak{p})]_A}{[E(O_F/\mathfrak{p})]_A}$$

where  $\mathfrak{p}$  runs through the set of maximal ideals of  $O_F$  converges in  $K_{\infty}$ . Further, we have

$$L(E/O_F) = [\operatorname{Lie}(E)(O_F) : U(E/O_F)]_A \cdot [H(E/O_F)]_A.$$

Theorem 3.1 was first proved by Taelman [25] for Drinfeld modules. Shortly after, the class formula was extended to t-modules by Fang [17] and to t-modules with variables by Demeslay [13]. While the proof of Demeslay follows closely the original proof of Taelman, that of Fang is based on the work of V. Lafforgue [21] using shtukas and the theory of Fontaine in equal characteristics.

#### 3.2. Stark units for *t*-modules.

The module of Stark units attached to a Drinfeld module was introduced in [9] and developed further in [5]. In this section, we extend this notion for t-modules.

Let z be an indeterminate over  $K_{\infty}$  and let  $\mathbb{T}_{z}(K_{\infty}) := \mathbb{F}_{q}[z]((\frac{1}{\theta}))$  be the Tate algebra in the variable z with coefficients in  $K_{\infty}$ . We set

$$\mathbb{T}_z(F_\infty) = F_\infty \otimes_{K_\infty} \mathbb{T}_z(K_\infty).$$

We still denote by  $\tau : \mathbb{T}_z(F_\infty) \to \mathbb{T}_z(F_\infty)$  the continuous  $\mathbb{F}_q[z]$ -algebra homomorphism such that  $\tau(x) = x^q$  for all  $x \in F_\infty$ .

Let  $\widetilde{E}: \mathbb{F}_q(z)[\theta] \to M_{d \times d}(F(z))\{\tau\}$  be the  $\mathbb{F}_q(z)$ -algebra homomorphism given by

$$\widetilde{E}_{\theta} = \sum_{i \ge 0} z^i E_{\theta, i} \tau^i.$$

We also denote by  $\partial_{\widetilde{E}} : \mathbb{F}_q(z)((\frac{1}{\theta})) \to M_{d \times d}(F_{\infty} \otimes_{K_{\infty}} \mathbb{F}_q(z)((\frac{1}{\theta})))$  the continuous homomorphism of  $\mathbb{F}_q(z)$ -algebras given by

$$\partial_{\widetilde{E}}\left(\sum_{i\geq i_0} x_i \theta^{-i}\right) = \sum_{i\geq i_0} x_i \partial_E(\theta)^{-i}, \quad x_i \in \mathbb{F}_q(z), i_0 \in \mathbb{Z}.$$

There exists a unique element  $\exp_{\widetilde{E}} \in I_d + \tau M_{d \times d}(F(z))\{\{\tau\}\}$  such that

$$\exp_{\widetilde{E}}\partial_{\widetilde{E}}(\theta) = \widetilde{E}_{\theta}\exp_{\widetilde{E}}.$$

If  $\exp_E = \sum_{i\geq 0} E_i \tau^i$  with  $E_i \in M_{d\times d}(F)$ , then we have  $\exp_{\widetilde{E}} = \sum_{i\geq 0} z^i E_i \tau^i$ . In particular,  $\exp_{\widetilde{E}}$  converges on  $\operatorname{Lie}_{\widetilde{E}}(F_\infty \otimes_{K_\infty} \mathbb{F}_q(z)((\frac{1}{\theta})))$  and induces a homomorphism of A-modules  $\operatorname{Lie}_{\widetilde{E}}(\mathbb{T}_z(F_\infty)) \to \widetilde{E}(\mathbb{T}_z(F_\infty))$ .

Let  $ev : \operatorname{Lie}_{\widetilde{E}}(\mathbb{T}_z(F_\infty)) \to \operatorname{Lie}(E)(F_\infty)$  be the evaluation at z = 1. We observe that ev induces a short exact sequence of A-modules:

$$0 \to (z-1)\operatorname{Lie}_{\widetilde{E}}(\mathbb{T}_z(F_\infty)) \to \operatorname{Lie}_{\widetilde{E}}(\mathbb{T}_z(F_\infty)) \to \operatorname{Lie}(E)(F_\infty) \to 0.$$

**Definition 3.2.** The module of z-units and the module of Stark units attached to E are defined by

$$U(\widetilde{E}/O_F[z]) := \{ x \in \operatorname{Lie}_{\widetilde{E}}(\mathbb{T}_z(F_\infty)) \mid \exp_{\widetilde{E}}(x) \in \widetilde{E}(O_F[z]) \}, U_{\operatorname{St}}(E/O_F) := \operatorname{ev}(U(\widetilde{E}/O_F[z])).$$

We observe that  $U_{\mathrm{St}}(E/O_F) \subset U(E/O_F)$ .

**Theorem 3.3.** The module of Stark units  $U_{St}(E/O_F)$  is an A-lattice in  $\text{Lie}(E)(F_{\infty})$ and we have

$$L(E/O_F) = [\operatorname{Lie}(E)(O_F) : U_{St}(E/O_F)]_A.$$

*Proof.* The proof uses similar arguments to those employed in [9], Section 2. We give a detailed proof for the convenience of the reader.

1) We set

$$H := \frac{E(\mathbb{T}_z(F_\infty))}{\widetilde{E}(O_F[z]) + \exp_{\widetilde{E}}(\operatorname{Lie}_{\widetilde{E}}(\mathbb{T}_z(F_\infty)))}$$

Thus *H* is an A[z]-module via  $\widetilde{E}$ . Let  $u_1, \ldots, u_m$  be an *A*-basis of  $O_F$  where m := [L:K]. We set  $\mathfrak{M} := \frac{1}{\theta} \mathbb{F}_q[z][[\frac{1}{\theta}]]$  and get a direct sum of  $\mathbb{F}_q[z]$ -modules

$$\mathbb{T}_{z}(F_{\infty})^{d} = O_{F}[z]^{d} \oplus (\bigoplus_{i=1}^{m} u_{i}\mathfrak{M}^{d}).$$

By [1], Proposition 2.1.4, there exists an integer  $N \geq 1$  such that  $\exp_{\widetilde{E}}$  induces an automorphism of  $\mathbb{F}_q[z]$ -modules on  $\bigoplus_{i=1}^m u_i \frac{1}{\theta^N} \mathfrak{M}^d$ . Thus H is a finitely generated  $\mathbb{F}_q[z]$ -module. Since  $\exp_{\widetilde{E}} \equiv I_d \pmod{z}$ , we finally obtain

$$\frac{H}{zH} = \{0\}$$

Consequently, H is a finitely generated and torsion  $\mathbb{F}_q[z]$ -module.

2) Let  $\alpha : \mathbb{T}_z(F_\infty)^d \to H$  be the homomorphism of  $\mathbb{F}_q[z]$ -modules given by

$$\alpha(x) \equiv \frac{1}{z-1} (\exp_{\widetilde{E}}(x) - \exp_E(x)) \pmod{O_F[z]^d} + \exp_{\widetilde{E}}(\mathbb{T}_z(F_\infty)^d)).$$

We observe that

$$\alpha(U(E/O_F)) \subset H[z-1] := \{h \in H, (z-1)h = 0\}$$

and for all  $x \in U(E/O_F)$ , we have

$$\alpha(\partial_E(\theta)x) \equiv \widetilde{E}_{\theta}(\alpha(x)) \pmod{O_F[z]^d} + \exp_{\widetilde{E}}(\mathbb{T}_z(F_{\infty})^d)).$$

We deduce that  $\alpha$  induces a homomorphism of A-modules still denoted by  $\alpha$ :  $U(E/O_F) \to H[z-1]$ . Let  $x \in U_{\mathrm{St}}(E/O_F)$ , then there exist  $u, v \in \mathbb{T}_z(F_\infty)^d$  such that

$$\exp_{\widetilde{E}}(u) \in O_F[z]^d,$$
$$x = u + (z - 1)v.$$

We observe that  $\exp_{\widetilde{E}}(u) - \exp_{E}(x) \in (z-1)O_{F}[z]^{d}$ . It implies  $\alpha(x) = 0$ . Therefore  $\alpha$  induces an A-module homomorphism still denoted by  $\alpha : \frac{U(E/O_{F})}{U_{\text{St}}(E/O_{F})} \to H[z-1]$ .

3) Let  $x \in U(E/O_F)$  such that  $\exp_{\widetilde{E}}(x) \in O_F[z]^d + (z-1) \exp_{\widetilde{E}}(\mathbb{T}_z(F_\infty)^d)$ . Then we have

$$x \in \{y \in \operatorname{Lie}_{\widetilde{E}}(\mathbb{T}_z(F_\infty)) \mid \exp_{\widetilde{E}}(y) \in E(O_F[z])\} + (z-1)\mathbb{T}_z(F_\infty)^d.$$

Thus we get

$$x = \operatorname{ev}(x) \in U_{\operatorname{St}}(E/O_F).$$

We conclude that the map  $\alpha$  is injective. It follows that  $\frac{U(E/O_F)}{U_{\text{St}}(E/O_F)}$  is a finite A-module and  $U_{\text{St}}(E/O_F)$  is an A-lattice in  $\text{Lie}(E)(F_{\infty})$ .

4) Finally, let  $x \in \mathbb{T}_z(F_\infty)^d$  such that

$$(z-1)x = a + \exp_{\widetilde{E}}(b), \quad a \in O_F[z]^d, b \in \mathbb{T}_z(F_\infty)^d.$$

Thus  $\exp_E(\operatorname{ev}(b)) = -\operatorname{ev}(a) \in O_F$ . It follows that  $\operatorname{ev}(b) \in U(E/O_F)$ .

Let  $c \in O_F[z]^d$  and  $d \in \mathbb{T}_z(F_\infty)^d$  such that a - ev(a) = (z-1)c and b - ev(b) = (z-1)d. We have

$$(z-1)(x-c-\exp_{\widetilde{E}}(d)) = \exp_{\widetilde{E}}(\operatorname{ev}(b)) - \exp_{E}(\operatorname{ev}(b)).$$

In other words,

$$\alpha(\operatorname{ev}(b)) \equiv x \pmod{O_F[z]^d + \exp_{\widetilde{E}}(\mathbb{T}_z(F_\infty)^d)}$$

Therefore we have an isomorphism of A-modules

$$\frac{U(E/O_F)}{U_{\rm St}(E/O_F)} \simeq H[z-1].$$

We have another isomorphism of A-modules

$$\frac{H}{(z-1)H} \simeq H(E/O_F)$$

Therefore

$$[H(E/O_F)]_A = [H/(z-1)H]_A = [H[z-1]]_A.$$

We conclude

$$[H(E/O_F)]_A = [U(E/O_F)/U_{\mathrm{St}}(E/O_F)]_A.$$

The Theorem follows immediately from this equality and the class formula for t-modules (see for example [17], Theorem 1.7).

#### 3.3. Taelman's conjecture.

Definition 3.4. We keep the notation of Sections 3.1 and 3.2. We define

$$W_E := \operatorname{Lie}(E) / (\partial_E(\theta) - \theta I_d) \operatorname{Lie}(E)$$

We denote by w the projection  $\operatorname{Lie}(E) \longrightarrow W_E$  and set  $r := \dim_{K_{\infty}} W_E(F_{\infty})$ .

The following conjecture for A-finite and uniformizable t-modules is a slightly modified version of that formulated by Taelman in 2009 ([23], Conjecture 1).

**Conjecture 3.5** (Taelman's conjecture). Let E be an A-finite and uniformizable t-module defined over  $O_F$  with  $r = \dim_{K_{\infty}} W_E(F_{\infty})$  (see Definition 3.4). Then there exist an element  $a \in A \setminus \{0\}$  and a sub-A-module  $Z \subset \text{Lie}(E)(F_{\infty})$  of rank r such that

1) 
$$\exp_E(Z) \subset \operatorname{Lie}(E)(O_F),$$
  
2)  $\bigwedge_A^r w(Z) = a \cdot L(E/O_F) \cdot \bigwedge_A^r W_E(O_F).$ 

#### Remark 3.6.

1) We refer the reader to [20], Sections 5.2 and 5.5 (see also [10, 23]) for the definition of A-finite and uniformizable t-modules.

2) We should mention that Drinfeld modules are always A-finite and uniformizable. For Drinfeld modules, Conjecture 3.5 follows immediately from the class formula of Taelman [25] (see Theorem 3.1).

3) The original form of Taelman's conjecture ([23], Conjecture 1) requires a stronger condition that a = 1. If  $\partial_E(\theta) = \theta I_d$ , then by Theorem 3.3, Taelman's original conjecture is true. However, we provide below a counterexample for this strong form, see Proposition 3.7.

**Proposition 3.7.** Let n = q+1 and let  $C^{\otimes n}$  be the n-th tensor power of the Carlitz module. We denote by  $\exp_{C^{\otimes n}}$  the exponential series attached to  $C^{\otimes n}$ . Then there does not exist  $x = (x_1, \ldots, x_n)^t \in M_{n \times 1}(K_{\infty})$  such that  $\exp_{C^{\otimes n}}(x) \in M_{n \times 1}(A)$  and  $x_n = \zeta_A(n)$ . *Proof.* It is clear that  $C^{\otimes n}$  is A-finite and uniformizable. Suppose that there exists  $x = (x_1, \ldots, x_n)^t \in M_{n \times 1}(K_\infty)$  such that  $\exp_{C^{\otimes n}}(x) \in M_{n \times 1}(A)$  and  $x_n = \zeta_A(n)$ .

Anderson and Thakur ([4], Section 3.8) proved that there exists  $z = (z_1, \ldots, z_n) \in K_{\infty}^n$  such that

$$\exp_{C^{\otimes n}}(z) = \begin{pmatrix} 1\\0\\\vdots\\0 \end{pmatrix} + C^{\otimes n}_{\theta^q - \theta} \begin{pmatrix} 0\\\vdots\\0\\1 \end{pmatrix} \in M_{n \times 1}(A)$$

and

$$z_n = (\theta^q - \theta)\zeta_A(n).$$

By a theorem of Yu ([30], Theorem 2.3), it follows that  $z = (\theta^q - \theta)x$ . Thus

$$\begin{pmatrix} 1\\0\\\vdots\\0 \end{pmatrix} + C_{\theta^q - \theta}^{\otimes n} \begin{pmatrix} 0\\\vdots\\0\\1 \end{pmatrix} = \exp_{\phi}(z) = C_{\theta^q - \theta}^{\otimes n} \exp_{C^{\otimes n}}(x).$$

Since  $\exp_{\phi}(x) \in M_{n \times 1}(A)$ , there exists  $y = (y_1, \dots, y_n)^t \in M_{n \times 1}(A)$  such that

$$C_{\theta}^{\otimes n}(y) = \begin{pmatrix} 1\\0\\\vdots\\0 \end{pmatrix}.$$

We obtain a contradiction since the last equation has no solutions in  $M_{n\times 1}(A)$ . The proof is finished.

#### 4. TAELMAN'S CONJECTURE FOR A CLASS OF t-modules

In this section, we prove Taelman's conjecture for a large class of t-modules which states that if  $E/O_F$  is an A-finite t-module such that  $\sigma N \subset (t - \theta)N$  where N is its associated dual t-motive, then Taelman's Conjecture is true for  $E/O_F$  (see Theorem 4.4).

**Remark 4.1.** Let *E* be a mixed *A*-finite and uniformizable *t*-module (see [20], Sections 5.4 and 5.5). By [20], Definition 5.32, one can associate to *E* its Hodge-Pink structure and its Hodge-Pink weights. By the exact sequence (5.35) of [20], Section 5.6, the assumption  $\sigma N(L) \subset (t - \theta)N(L)$  is equivalent to the condition that every Hodge-Pink weight of *E* is at least 1.

#### 4.1. Setup.

Let F be a finite extension of K and let  $O_F$  be the integral closure of A in F. We set  $F_{\infty} := F \otimes_K K_{\infty}$ . Let E be a t-module defined over  $O_F$ , i.e. for all  $a \in A$ , we have  $E_a \in M_{d \times d}(O_F) \{\tau\}$ .

We denote by L the perfection of F and by  $L_{\infty}$  the completion of  $L \otimes_K K_{\infty}$ . Let  $\tau : L_{\infty} \to L_{\infty}$  be the map which sends x to  $x^q$ . It is an isomorphism of  $\mathbb{F}_q$ -algebras.

Let  $\sigma: L_{\infty} \to L_{\infty}$  be the map which maps x to  $x^{1/q}$ . Finally, we assume that E is A-finite, i.e. N(L) is a free L[t]-module of finite rank. We write

$$N(L) = \bigoplus_{i=1}^{n} L[t]v_i,$$

and we say that E is of rank n, i.e.  $\operatorname{rk} E = n$ . Recall that

$$N(L) = \oplus_{i=1}^d L\{\sigma\}e_i,$$

where  $(e_1^t, \ldots, e_d^t)$  is the canonical basis of  $\operatorname{Lie}(E)(L_{\infty})$ . We also have

$$t \cdot e_i = e_i \left( \sum_{i=0}^r \sigma^i E_{\theta,i}^* \right),$$

where  $E_{\theta} = \sum_{i=0}^{r} E_{\theta,i} \tau^{i}, E_{\theta,i} \in M_{d \times d}(O_{F})$ , and  $\partial_{E}(\theta) = E_{\theta,0}$ .

We have set  $N_0(L_\infty) = \bigoplus_{i=1}^d L_\infty e_i$ . By Section 2, for all  $k \ge 0$ , we have constructed the maps  $\varphi_k : N(L_\infty) \to N_0(L_\infty)$  verifying the following properties:

i)  $\varphi_j(h_1 + \lambda h_2) = \varphi_j(h_1) + \lambda^{q^j} \varphi_j(h_2)$  for all  $h_1, h_2 \in N(L_\infty)$  and  $\lambda \in L_\infty$ ,

ii) 
$$\varphi_j(t \cdot h) = \partial_E(\theta)\varphi_j(h)$$
 for all  $h \in N(L_\infty)$ 

iii)  $\varphi_j(\sigma^k h) = \varphi_{j-k}(h)$  for all  $j,k \in \mathbb{N}$  and  $h \in N(L_\infty)$  where we put  $\varphi_n = 0$  if n < 0,

iv)  $\varphi_k(h) = \tau^k(h) L_k^*$  for all  $k \ge 0$  and  $h \in N_0(L_\infty)$  where  $\log_E = \sum_{k\ge 0} L_k \tau^k$  with  $L_k \in M_{d\times d}(L_\infty)$ .

#### 4.2. A stabilization result.

**Proposition 4.2.** With the notation of Section 4.1, there exists a sub- $K_{\infty}$ -vector space V' of  $N_0(F_{\infty})$  verifying the following properties:

1) We have  $\dim_{K_{\infty}} V' \leq nm = \operatorname{rk} E \cdot [F:K].$ 

2) For all k sufficiently large, the sub- $K_{\infty}$ -vector space of  $N_0(F_{\infty})$  generated by  $\varphi_k(N_0(F_{\infty}))$  is always contained in V'.

*Proof.* We choose elements  $x_1, \ldots, x_m$  such that  $F = \bigoplus_{l=1}^m K x_l$ . For  $i = 1, \ldots, d$ , we put

$$e_i = \sum_{j=1}^n f_{i,j}(t)v_j, \quad f_{i,j}(t) \in L[t].$$

Then there exists an integer  $k_0 \in \mathbb{N}$  such that for all i, j, we have  $f_{i,j}(t)^{(k_0)} \in F[t]$ . It follows that if  $k \geq k_0$ , we get

$$f_{i,j}(t)^{(k)} \in F^{q^{k-k_0}}[t], \quad \text{for all } i, j.$$

Therefore, if  $q^{k-k_0} \ge d$ , then

$$\varphi_k(e_i) \in \sum_{l=1}^m \sum_{j=1}^n \partial_E(K_\infty) x_l^{q^{k-k_0}} \varphi_k(v_j).$$

Next for  $i = 1, \ldots n$ , we put

$$\sigma v_i = \sum_{j=1}^n g_{i,j}(t) v_j, \quad g_{i,j}(t) \in L[t].$$

Then there exists an integer  $k_1 \in \mathbb{N}$  such that for all  $i, j, g_{i,j}(t)^{(k_1)} \in F[t]$ . Therefore, if  $q^{k-k_1} \geq d$ , then

$$\varphi_{k-1}(v_i) = \varphi_k(\sigma v_i) \in \sum_{l=1}^m \sum_{j=1}^n \partial_E(K_\infty) x_l^{q^{k-k_1}} \varphi_k(v_j).$$

Now observe that in  $M_{d \times d}(F_{\infty})$ , if  $q^k \ge d$ , we have

$$\sum_{l=1}^{m} \partial_E(K_{\infty}) x_l^{q^k} I_d = \partial_E(K_{\infty}) F^{q^k} I_d.$$

Let s be the least integer such that  $s \ge \max\{k_0, k_1\}$  and  $q^{s-k_0} \ge d$ ,  $q^{s-k_1} \ge d$ . We set  $k_2 := \inf\{s - k_0, s - k_1\}$ . Then by the previous discussion, for all  $k \ge s$ , we get

$$\varphi_k(e_i) \in \sum_{l=1}^m \sum_{j=1}^n \partial_E(K_\infty) x_l^{q^{k_2}} \varphi_k(v_j),$$

and

$$\varphi_{k-1}(v_i) = \varphi_k(\sigma v_i) \in \sum_{l=1}^m \sum_{j=1}^n \partial_E(K_\infty) x_l^{q^{k_2}} \varphi_k(v_j).$$

For  $k \geq s$ , we set

$$V'_k := \sum_{l=1}^m \sum_{j=1}^n \partial_E(K_\infty) x_l^{q^{k_2}} \varphi_k(v_j), \quad V' := \bigcup_{k \ge s} V'_k,$$
$$V_k := \partial_E(K_\infty) \varphi_k(N_0(F_\infty)) \subset N_0(F_\infty), \quad V := \bigcup_{k \ge s} V_k.$$

It follows that for all  $k \geq s$ , we have the inclusions  $V_k \subset V'_k$ , and  $V'_{k-1} \subset V'_k$ . Since  $\dim_{K_{\infty}} V'_k \leq nm = \operatorname{rk} E[F:K]$ , we deduce that for k sufficiently large,  $V' = V'_k$  and

$$\dim_{K_{\infty}} V' \le nm.$$

Since  $V_k \subset V \subset V'$ , the Theorem follows.

#### 4.3. An injectivity result.

We will give a stronger version of Proposition 4.2 under the assumption that  $\sigma N(L) \subset (t - \theta)N(L)$ .

**Proposition 4.3.** Suppose further that  $\sigma N(L) \subset (t - \theta)N(L)$ . Then there exists a sub- $K_{\infty}$ -vector space W of  $N_0(F_{\infty})$  verifying the following properties:

1) We have  $\dim_{K_{\infty}} W = nm = \operatorname{rk} E \cdot [F:K].$ 

2) For all k sufficiently large, the sub- $K_{\infty}$ -vector space of  $N_0(F_{\infty})$  generated by  $\varphi_k(N_0(F_{\infty}))$  is always contained in W.

3) The projection  $w : N_0(F_\infty) \to \frac{N_0(F_\infty)}{(\partial_E(\theta) - \theta I_d)N_0(F_\infty)}$  induces an isomorphism of  $K_\infty$ -vector spaces

$$W \simeq \frac{N_0(F_\infty)}{(\partial_E(\theta) - \theta I_d)N_0(F_\infty)}.$$

*Proof.* Recall that  $\{v_1, \ldots, v_n\}$  is an L[t]-basis of the free L[t]-module N(L). Recall also that  $w: N_0(F_\infty) \to \frac{N_0(F_\infty)}{(\partial_E(\theta) - \theta I_d)N_0(F_\infty)}$  and for k sufficiently large,

$$V' = \sum_{l=1}^{m} \sum_{j=1}^{n} \partial_E(K_\infty) x_l^{q^{k_2}} \varphi_k(v_j),$$

where  $(x_1, \ldots, x_m)$  be a K-basis of F.

We claim that  $w \mid_{V'}$  is injective. In fact, for j = 1, ..., n we write

$$(t-\theta)^d \cdots (t-\theta^{q^{1-k}})^d v_j = \sigma^k y_j, \quad y_j \in N(L).$$

Recall that  $\ell_k = (\theta - \theta^q) \cdots (\theta - \theta^{q^k})$ , it follows that

$$\varphi_k(v_j) \equiv \frac{1}{\ell_k^d} y_j \pmod{(t-\theta)N(L_\infty)}.$$

Suppose that there exist elements  $\delta_{j,l} \in K_{\infty}$  such that

$$\sum_{l=1}^{m} \sum_{j=1}^{n} \delta_{j,l} x_l^{q^{k_2}} w(y_j) = 0$$

In other words,

$$\sum_{l=1}^{m}\sum_{j=1}^{n}\delta_{j,l}x_l^{q^{k_2}}y_j \in (t-\theta)N(L_{\infty}).$$

It implies that

$$\sigma^k \left( \sum_{l=1}^m \sum_{j=1}^n \delta_{j,l} x_l^{q^{k_2}} y_j \right) \in (t - \theta^{q^{-k}}) N(L_\infty).$$

Hence

$$\sum_{j=1}^{n} \left( \sum_{l=1}^{m} \delta_{j,l}^{q^{-k}} x_{l}^{q^{k_{2}-k}} \right) (t-\theta)^{d} \cdots (t-\theta^{q^{1-k}})^{d} v_{j} \in (t-\theta^{q^{-k}}) N(L_{\infty}).$$

We deduce that for  $j = 1, \ldots, n$ ,

$$\sum_{l=1}^{m} \delta_{j,l} x_l^{q^{k_2}} = 0.$$

If F/K is a separable extension, then  $(x_1^{q^{k_2}}, \ldots, x_m^{q^{k_2}})$  is still a  $K_{\infty}$ -basis of  $F_{\infty}$ . We get immediately that  $\delta_{j,l} = 0$  for all j, l. Thus  $\dim_{K_{\infty}} w(V') = nm$ . Since  $\dim_{K_{\infty}} V' \leq nm$ , it follows that  $w \mid_{V'}$  is injective and  $\dim_{K_{\infty}} V' = nm$ . We set W := V'.

Now suppose that F/K is not separable. We have already observed that

$$\sum_{l=1}^{m} \partial_E(K_{\infty}) x_l^{q^{k_2}} I_d = \partial_E(K_{\infty}) F^{q^{k_2}} I_d.$$

We choose  $k_2$  sufficiently large such that every element of  $F^{q^{k_2}}$  is separable over K. Thus  $F^{\text{sep}} := KF^{q^{k_2}}$  is the maximal separable extension of K contained in F. We rearrange  $x_1, \ldots, x_m$  such that for some  $1 \le r \le m$ , we have

$$F^{\rm sep} = \oplus_{l=1}^r K x_l^{q^{\kappa_2}}.$$

It follows that for all  $k \ge k_2$ ,  $F^{\text{sep}} = \bigoplus_{l=1}^r K x_l^{q^k}$ . Further, for  $i = r + 1, \ldots, m$ , we write

$$x_i^{q^{k_2}} = \sum_{l=1}^r a_{i,j} x_l^{q^{k_2}}, \quad a_{i,j} \in K.$$

It implies that for k sufficiently large,

$$\sum_{l=1}^{m} \partial_E(K_{\infty}) x_l^{q^k} = \sum_{l=1}^{r} \partial_E(K_{\infty}) x_l^{q^k}.$$

Thus for  $k_2$  chosen sufficiently large, we deduce again that  $w \mid_{V'}$  is injective and  $\dim_{K_{\infty}} V' = n[F:K]_{sep}$ . We choose  $y_1, \ldots, y_h \in F$  such that  $F = F^{sep} \oplus \bigoplus_{l=1}^h Ky_l$  and we set

$$W := V' + \sum_{l=1}^{h} \sum_{j=1}^{n} \partial_E(K_{\infty}) y_l \varphi_k(v_j).$$

By similar arguments, we show that  $w \mid_W$  is injective and

$$\dim_{K_{\infty}} W = nm$$

Now we claim that

$$N_0(F_\infty) \cap (t-\theta)N(L_\infty) = (\partial_E(\theta) - \theta I_d)N_0(F_\infty).$$

In fact, for  $x \in N_0(F_\infty)$ , we have  $(t - \theta)x \equiv (\partial_E(\theta) - \theta I_d)x \pmod{\sigma}$ . It implies the inclusion

$$(\partial_E(\theta) - \theta I_d)N_0(F_\infty) \subset N_0(F_\infty) \cap (t - \theta)N(L_\infty).$$

On the other hand, let  $x \in N_0(F_\infty)$  such that  $x = (t - \theta)y$  for some  $y \in N(L_\infty)$ . We have  $y \in \bigoplus_{j=1}^n F_\infty[t] v_j$ . We know that  $y \equiv y' \pmod{\sigma}$  for some  $y' \in N_0(F_\infty)$ . It follows that

$$(t-\theta)y \equiv (\partial_E(\theta) - \theta I_d)y' \pmod{\sigma}.$$

Hence

$$x = (\partial_E(\theta) - \theta I_d)y' \in (\partial_E(\theta) - \theta I_d)N_0(F_\infty).$$

From the above discussion, it follows that the natural map of  $K_{\infty}$ -vector spaces  $w: N_0(F_{\infty}) \to \frac{N_0(F_{\infty})}{(\partial_E(\theta) - \theta I_d)N_0(F_{\infty})}$  induces an isomorphism of  $K_{\infty}$ -vector spaces

$$W \simeq \frac{N_0(F_\infty)}{(\partial_E(\theta) - \theta I_d)N_0(F_\infty)}.$$

The proof is finished.

#### 4.4. Main result.

Recall that the map  $\delta_0 : N(L)/\sigma N(L) \to \text{Lie}(E)(L)$  induces an isomorphism of *A*-modules  $N_0(F_\infty) \simeq \text{Lie}(E)(F_\infty)$  (see Section 1).

**Theorem 4.4.** Let  $E/O_F$  be an A-finite t-module such that  $\sigma N(L) \subset (t-\theta)N(L)$ . Then there exists a sub- $K_{\infty}$ -vector space W of  $\text{Lie}(E)(F_{\infty})$  such that the following properties hold:

1) The natural map of  $K_{\infty}$ -vector spaces  $\operatorname{Lie}(E)(F_{\infty}) \to \frac{\operatorname{Lie}(E)(F_{\infty})}{(\partial_{E}(\theta) - \theta I_{d})\operatorname{Lie}(E)(F_{\infty})}$  induces an isomorphism of  $K_{\infty}$ -vector spaces

$$W \simeq \frac{\operatorname{Lie}(E)(F_{\infty})}{(\partial_E(\theta) - \theta I_d)\operatorname{Lie}(E)(F_{\infty})}$$

2) We have the following inclusion

 $U(E/O_F) \subset \operatorname{Lie}(E)(F) + W.$ 

Moreover,  $U(E/O_F) \cap W$  and  $\operatorname{Lie}(E)(O_F) \cap W$  are A-lattices in W and

$$\left[ \left( \text{Lie}(E)(O_F) \cap W \right) : \left( U(E/O_F) \cap W \right) \right]_{A} = \alpha L(E/O_F)$$

for some  $\alpha \in K^{\times}$ .

In particular, Conjecture 3.5 holds for  $E/O_F$ .

*Proof.* We set  $\log_E = \sum_{k\geq 0} L_k \tau^k$ ,  $L_k \in M_{d\times d}(F)$ . By Proposition 4.3 there exist a sub- $K_\infty$ -vector space W of  $\operatorname{Lie}(E)(F_\infty)$  and an integer  $k_2 \geq 0$  such that i) for all  $k \geq k_2$ ,  $L_k \tau^k(\operatorname{Lie}(E)(F_\infty)) \subset W$ ,

ii) the natural map of  $K_{\infty}$ -vector spaces  $\operatorname{Lie}(E)(F_{\infty}) \to \frac{\operatorname{Lie}(E)(F_{\infty})}{(\partial_{E}(\theta) - \theta I_{d})\operatorname{Lie}(E)(F_{\infty})}$  induces an isomorphism of  $K_{\infty}$ -vector spaces  $W \simeq \frac{\operatorname{Lie}(E)(F_{\infty})}{(\partial_{E}(\theta) - \theta I_{d})\operatorname{Lie}(E)(F_{\infty})}$ .

Let  $u \in U_{St}(E/O_F)$ . Then there exists a polynomial  $\sum_{k=0}^{N} b_k z^k \in M_{d,1}(O_F[z])$  such that

$$\sum_{i\geq 0}\sum_{k+l=i}z^iL_l\tau^l(b_k)\in M_{d,1}(F_\infty\otimes_{K_\infty}\mathbb{T}_z(K_\infty)),$$

and

$$u = \sum_{i \ge 0} \sum_{k+l=i} z^i L_l \tau^l(b_k) \mid_{z=1}$$

For  $i \ge N + q^{k_2}$ , we have

$$\sum_{l=i} L_l \tau^l(b_k) \in W.$$

Since  $\frac{U(E/O_F)}{U_{st}(E/O_F)}$  is a finite A-module, it implies that  $U(E/O_F) \subset \operatorname{Lie}(E)(E) + W$ 

 $_{k}$ 

$$U(E/O_F) \subset \operatorname{Lie}(E)(F) + W.$$

It is clear that  $\operatorname{Lie}(E)(O_F) \cap W$  is an A-lattice in W.

Finally, we have

$$\dim_{K_{\infty}} \frac{\operatorname{Lie}(E)(F_{\infty}) + W}{W} = (d - n)[F : K],$$

where  $n[F:K] = \dim_{K_{\infty}} \frac{\operatorname{Lie}(E)(F_{\infty})}{(\partial_E(\theta) - \theta I_d)\operatorname{Lie}(E)(F_{\infty})}$ . Therefore  $U(E/O_F) \cap W$  is an Alattice in W. The last assertions follow immediately from the class formula (Theorem 3.1).

As an immediate consequence, we obtain the following result:

**Corollary 4.5.** Let  $E/O_F$  be an A-finite t-module. Then Conjecture 3.5 is true for the tensor product  $E \otimes C$ .

*Proof.* We denote by  $N_C$  the dual *t*-motive attached to C. It is isomorphic to L[t] where  $\sigma$  acts as follows: for  $h = \sum_{k=0}^{n} \alpha_i t^i \in L[t]$ ,

$$\sigma h = \sigma \left( \sum_{k=0}^{n} \alpha_i t^i \right) = (t - \theta) \sum_{k=0}^{n} \alpha_i^{\frac{1}{q}} t^i.$$

Let N(L) be the dual *t*-motive associated to E. Then the dual *t*-motive  $N_{E\otimes C}$  associated to  $E \otimes C$  is defined to be the tensor product  $N(L) \otimes_{L[t]} N_C$  as an L[t]-module on which  $\sigma$  acts diagonally. Note that  $E \otimes C$  is also A-finite because E is A-finite. Further, we see that  $\sigma(N_{E\otimes C}) \subset (t-\theta)N_{E\otimes C}$ . Therefore we can apply Theorem 4.4 to  $E \otimes C$  to obtain the Corollary.

By Remark 4.1, the above Theorem implies:

**Corollary 4.6.** Let  $E/O_F$  be a mixed A-finite and uniformizable t-module whose Hodge-Pink weights are at least 1. Then Conjecture 3.5 holds for E.

5. A detailed example: tensor powers of the Carlitz module

In this section, we study in details the case of tensor powers of the Carlitz module over any finite extension of K. As an application, we obtain various log-algebraicity theorems on tensor powers of the Carlitz module.

#### 5.1. Taelman's conjecture for tensor powers of the Carlitz module.

Let F be a finite extension of K and  $O_F$  be the integral closure of A in F. Let  $x_1, \ldots, x_m \in F$  such that  $F = \bigoplus_{j=1}^m K x_j$ . We fix a K-embedding of F in  $\mathbb{C}_{\infty}$ . Let  $n \geq 1$  be an integer. We define the *n*-th tensor power of the Carlitz module  $C^{\otimes n} : A \to M_{n \times n}(\mathbb{C}_{\infty})\{\tau\}$  by

$$C_{\theta}^{\otimes n} = \begin{pmatrix} \theta & 1 & \cdots & 0 \\ \theta & \ddots & \vdots \\ & \theta & 1 \\ & & & \theta \end{pmatrix} + \begin{pmatrix} 0 & 0 & \cdots & 0 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \cdots & 0 \\ 1 & 0 & \cdots & 0 \end{pmatrix} \tau.$$

The map  $\partial_{C^{\otimes n}} : A \to M_{n \times n}(\mathbb{C}_{\infty})$  is given by

$$\partial_{C^{\otimes n}}(\theta) = \begin{pmatrix} \theta & 1 & \cdots & 0 \\ & \theta & \ddots & \vdots \\ & & \theta & 1 \\ & & & \theta \end{pmatrix}.$$

We observe that  $C^{\otimes n}$  is defined over  $O_F$ .

The corresponding dual t-motive of  $C^{\otimes n}$  is  $N = \mathbb{C}_{\infty}[t]$  whose action of  $\sigma$  is given by  $\sigma \cdot h := (t - \theta)^h h^{(-1)}$  for all  $h \in N$ . In other words, if we write  $h = \sum_{i \geq 0} a_i t^i$ with  $a_i \in \mathbb{C}_{\infty}$ , then

$$\sigma\left(\sum_{i\geq 0}a_it^i\right) = (t-\theta)^n\left(\sum_{i\geq 0}a_i^{1/q}t^i\right).$$

We observe that  $\{(t-\theta)^i\}_{0\leq i\leq n-1}$  is a basis of N as a  $\mathbb{C}_{\infty}\{\sigma\}$ -module

$$N = \sum_{i=0}^{n-1} \mathbb{C}_{\infty} \{\sigma\} (t-\theta)^i.$$

We set

$$N_0 := \sum_{i=0}^{n-1} \mathbb{C}_{\infty} (t-\theta)^i.$$

Recall that the canonical map of A-modules  $\delta_0 : N \longrightarrow \operatorname{Lie}(C^{\otimes n})(\mathbb{C}_{\infty})$  which maps  $\sum_{i=0}^{n-1} (\sum_{j\geq 0} \sigma^j a_{i,j})(t-\theta)^i$  to  $(a_{d-1,0},\ldots,a_{1,0})^t$  induces an isomorphism of A-modules  $N/\sigma N \simeq \operatorname{Lie}(C^{\otimes n})(\mathbb{C}_{\infty})$ .

Let v be the place of F above  $\infty$  that corresponds to the K-embedding of F into  $\mathbb{C}_{\infty}$ , then  $F_v = FK_{\infty} \subset \mathbb{C}_{\infty}$ . Furthermore, we have

$$N_0(F_v) = \sum_{i=0}^{n-1} \sum_{j=1}^m K_{\infty} x_j (t-\theta)^i.$$

Then as a consequence of the proof of Proposition 4.2, we get:

**Proposition 5.1.** We set  $\log_{C^{\otimes n}} = \sum_{k\geq 0} L_k \tau^k$  with  $L_k \in M_{d\times d}(K)$ . Let  $k_0$  be the smallest integer such that  $q^{k_0} \geq n$ . For  $k \in \mathbb{N}$ , we denote by  $V_k(F_{\infty}) \subset$  $\operatorname{Lie}(C^{\otimes n})(F_{\infty})$  the  $K_{\infty}$ -vector space (via  $\partial_{C^{\otimes n}}$ ) generated by  $L_k \tau^k(x)$  where x runs through the set  $\operatorname{Lie}(C^{\otimes n})(F_{\infty})$ .

Then for  $k \geq k_0$ , we have

$$V_k(F_\infty) \subset V_{k_0}(F_\infty) =: W(F_\infty).$$

By Theorem 4.4, Taelman's conjecture is true for  $C^{\otimes n}/O_F$ :

**Theorem 5.2.** With the above notation, we have

$$U(C^{\otimes n}/F) \subset \operatorname{Lie}(C^{\otimes n})(F) + W(F_{\infty}).$$

Furthermore,  $U(C^{\otimes n}/O_F) \cap W(F_{\infty})$  and  $\operatorname{Lie}(C^{\otimes n})(O_F) \cap W(F_{\infty})$  are A-lattices in  $W(F_{\infty})$ , and

$$\frac{\left[\left(\operatorname{Lie}(C^{\otimes n})(O_F) \cap W(F_{\infty})\right) : \left(U(C^{\otimes n}/O_F) \cap W(F_{\infty})\right)\right]_A}{L(C^{\otimes n}/O_F)} \in K^{\times}.$$

In particular, Conjecture 3.5 holds for  $C^{\otimes n}/O_F$ .

#### 5.2. Log-algebraicity for tensor powers of the Carlitz module.

In this section, we apply our techniques to obtain log-algebraicity identities for  $C^{\otimes n}/A$ .

We take F = K and consider  $C^{\otimes n}/A$  as a *t*-module defined over A. The *L*-value of  $C^{\otimes n}/A$  at 1 is known to be equal to the Calitz zeta value at n given by

$$L(C^{\otimes n}/A) = \zeta_A(n) := \sum_{d \ge 0} \sum_{a \in A_{+,d}} \frac{1}{a^n} \in K_{\infty}.$$

We also set

$$\zeta_A(n,z) := \sum_{d \ge 0} \sum_{a \in A_{+,d}} \frac{1}{a^n} z^d \in \mathbb{T}_z(K_\infty).$$

Recall that  $k_0$  be the smallest integer such that  $q^{k_0} \ge n$ . We define  $u_n := (y_{n-1}, \ldots, y_0)^t \in M_{n \times 1}(K)$  by

(5.1) 
$$u_n := \varphi_{k_0}(1) = \frac{1}{((t-\theta^q)\dots(t-\theta^{q^{k_0}}))^n} \equiv \sum_{i=0}^{n-1} y_i(t-\theta)^i \pmod{(t-\theta)^n}.$$

We deduce that

$$W(K_{\infty}) = \partial_{C^{\otimes n}}(K_{\infty})u_n.$$

We are ready to state a log-algebraicity theorem for  $C^{\otimes n}/A$ .

#### Theorem 5.3.

1) There exists  $b \in A \setminus \{0\}$  such that

$$\partial_{C^{\otimes n}}(b\,\zeta_A(n))\cdot u_n\in U_{St}(C^{\otimes n}/A).$$

In particular,

$$\exp_{C^{\otimes n}}\left(\left(\sum_{d\geq 0}\sum_{a\in A_{+,d}}\frac{1}{\partial_{C^{\otimes n}}(a)^n}\right)\cdot\partial_{C^{\otimes n}}(b)\,u_n\right)\in M_{n\times 1}(A).$$

2) There exists  $b(z) \in A[z] \setminus \{0\}$  such that

$$\partial_{\widetilde{C^{\otimes n}}}(b(z)\,\zeta_A(n,z))\cdot u_n\in U(\widetilde{C^{\otimes n}}/A[z])$$

where  $U(\widetilde{C^{\otimes n}}/A[z])$  is defined in Definition 3.2. In particular, we have

$$\exp_{\widetilde{C^{\otimes n}}}\left(\partial_{\widetilde{C^{\otimes n}}}(\zeta_A(n,z)) \cdot \partial_{\widetilde{C^{\otimes n}}}(b(z))u_n\right) \in M_{n \times 1}(A[z]).$$

Proof.

1) Theorem 5.2 implies that

$$U_{\mathrm{St}}(C^{\otimes n}/A) \subset \mathrm{Lie}(C^{\otimes n})(K) + W(K_{\infty}) = \mathrm{Lie}(C^{\otimes n})(K) + \partial_{C^{\otimes n}}(K_{\infty})u_n.$$

We observe that  $\dim_{K_{\infty}} W(K_{\infty}) = 1$ . Combining with the class formula for  $C^{\otimes n}/A$  (Theorem 3.1), we deduce that there exists  $b \in A \setminus \{0\}$  such that

$$\partial_{C^{\otimes n}}(b\,L(C^{\otimes n}/A))u_n = \partial_{C^{\otimes n}}(b\,\zeta_A(n))u_n \in U_{\mathrm{St}}(C^{\otimes n}/A).$$

Thus we get Part 1.

2) By similar arguments, Part 2 follows from the class formula for  $C^{\otimes n}/A$  with an extra variable proved by Demeslay (see [14]).

#### 5.3. Relations with the works of Anderson-Thakur and Papanikolas.

We keep the notation of Section 5.2. Using Anderson's method ([2, 3]), Papanikolas ([22], Theorem 7.3.3) obtained an explicit log-algebraicity theorem for tensor powers of the Carlitz module which generalizes the fundamental theorem of Anderson and Thakur ([4], Theorem 3.8.3). In this section, we will present another proof of Papanikolas' theorem as a direct consequence of Theorem 5.3.

Recall that (see Definition 3.2)

$$U(\overline{C^{\otimes n}}/A[z]) = \{ x \in \operatorname{Lie}_{\widetilde{C^{\otimes n}}}(\mathbb{T}_z(K_\infty)) \mid \exp_{\widetilde{C^{\otimes n}}}(x) \in C^{\otimes n}(A[z]) \}.$$

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**Lemma 5.4.** Let  $x, y \in U(\widehat{C^{\otimes n}}/A[z])$ . Suppose that  $\iota(x) = \iota(y)$  where  $\iota$  is the projection on the last coordinate. Then x = y.

*Proof.* We set  $u := x - y \in U(\widetilde{C^{\otimes n}}/A[z])$ . Then  $\iota(u) = 0$ . We have to show that u = 0.

We recall that  $\operatorname{ev} : \operatorname{Lie}_{\widetilde{C^{\otimes n}}}(\mathbb{T}_z(K_\infty)) \to \operatorname{Lie}(C^{\otimes n})(K_\infty)$  is the evaluation at z = 1. Since  $u \in U(\widetilde{C^{\otimes n}}/A[z])$ , it follows that  $\operatorname{ev}(u) \in U(C^{\otimes n}/A)$ . Since  $\iota(\operatorname{ev}(u)) = 0$ , by [30], Theorem 2.3, we deduce that  $\operatorname{ev}(u) = 0$ . Thus u = (z - 1)v, and

$$(z-1)\exp_{\widetilde{C^{\otimes n}}}(v) \in \widetilde{C^{\otimes n}}(A[z]).$$

Therefore

$$v \in U(\widetilde{C^{\otimes n}}/A[z]).$$

Furthermore  $\iota(v) = 0$ . We can then continue with the same arguments applied to v. Since z - 1 is irreducible in  $\mathbb{T}_z(K_\infty)$ , we deduce that u = 0.

Following Anderson and Thakur ([4],Section 3.8), we set

$$\gamma_0(t) = 1; \quad \gamma_j(t) = \prod_{l=1}^j (\theta^{q^j} - t^{q^l}), \quad j \ge 1.$$

Then the Anderson-Thakur polynomials  $\alpha_n(t) \in A[t]$   $(n \in \mathbb{N})$  is defined by the generating series

$$\sum_{n\geq 1} \frac{\alpha_n(t)}{\Gamma_n} x^n = x \left(1 - \sum_{j\geq 0} \frac{\gamma_j(t)}{D_j} x^{q^j}\right)^{-1}$$

where  $\Gamma_n \in A$  is the factorial of n introduced by Carlitz (see [4], Section 3 for details). For  $n \ge 1$ , we put

$$\alpha_n(t) = \sum_{j=0}^m g_j t^j, \quad g_j \in A,$$

and we set

$$\mathfrak{Z}_n(z) := \sum_{j=0}^m C_{g_j}^{\otimes n} \begin{pmatrix} 0\\ \vdots\\ 0\\ \theta^j z \end{pmatrix} \in \widetilde{C^{\otimes n}}(A[z]).$$

And erson and Thakur proved a fundamental theorem ([4], Theorem 3.8.3) which states that there exists  $\mathfrak{z}_n(z) \in U(\widetilde{C^{\otimes n}}/A[z])$  such that  $\iota(\mathfrak{z}_n(z)) = \Gamma_n \zeta_A(n, z)$  and

$$\exp_{\widetilde{C^{\otimes n}}}(\mathfrak{z}_n(z)) = \mathfrak{Z}_n(z).$$

As an immediate consequence of Theorem 5.2 and Lemma 5.5, we get the following Proposition which is one of the main theorems of [22] (see [22], Theorem 7.3.3).

**Proposition 5.5.** We have

$$\mathfrak{z}_n(z) = \partial_{\widetilde{C^{\otimes n}}} \left( \zeta_A(n,z) \frac{\Gamma_n}{\iota(u_n)} \right) \cdot u_n$$

### 5.4. Tensor powers of the Cartlitz module over cyclotomic extensions.

For every  $\zeta \in \overline{\mathbb{F}}_q$ , we denote by  $P \in A_+$  the prime such that  $P(\zeta) = 0$ . Then the  $\mathbb{F}_q$ -algebra homomorphism  $\chi_{\zeta} : A \to \overline{\mathbb{F}}_q, b(\theta) \mapsto b(\zeta)$  induces a group isomorphism

$$\chi_{\zeta} : (A/PA)^{\times} \simeq \mathbb{F}_{q^{\deg P}}^{\times}.$$

Let  $a \in A$  be a monic polynomial which is square-free. We set  $\lambda_a := \exp_C(\tilde{\pi}/a) \in \mathbb{C}_{\infty}$  and denote by  $F := K_a = K(\lambda_a)$  the *a*th cyclotomic extension of K. In this section, we consider  $C^{\otimes n}$  as a *t*-module over  $O_F$ .

We recall that  $K_a/K$  is a finite abelian extension unramified outside A and  $\infty$  whose Galois group  $\Delta_a = \text{Gal}(K_a/K)$  is isomorphic to  $(A/aA)^{\times}$ . This isomorphism is given as follows: if  $b \in A$  is prime to A, there exists a unique element  $\sigma_b \in \Delta_a$  such that

$$\sigma_b(\lambda_a) = C_b(\lambda_a).$$

We set  $\widehat{\Delta}_a = \operatorname{Hom}(\Delta_a, \overline{\mathbb{F}}_q) \simeq \Delta_a$ . For every character  $\chi \in \widehat{\Delta}_a \setminus \{1\}$ , there exist unique elements  $\zeta_1, \ldots, \zeta_l \in \overline{\mathbb{F}}_q$ , and unique integers  $n_1, \ldots, n_l \in \{1, \ldots, q-1\}$  such that for any  $b \in A$  prime to A, we have

$$\chi(\sigma_b) = \prod_{k=1}^l \chi_{\zeta_k}(b)^{n_k}.$$

For  $1 \leq k \leq l$ , we denote by  $P_k$  the prime of A such that  $P_k(\zeta_k) = 0$ . By [26], Section 9.8, the Gauss-Thakur sum attached to the character  $\chi$  is defined by

$$g(\chi) = \prod_{k=1}^{l} \left( \sum_{\substack{b \in A \setminus P_k A \\ \deg b < \deg P_k}} \chi_{\zeta_k}(b)^{-1} C_b(\lambda_{P_k}) \right)^{n_k}$$

Finally, we set g(1) = 1.

We set

$$\eta_a := \sum_{\chi \in \widehat{\Delta}_a} g(\chi) \in O_F,$$

then we have  $O_F = A[\Delta_a]\eta_a$ .

We put  $\mathbb{F} = \mathbb{F}_q(\chi(\Delta_a), \chi \in \widehat{\Delta}_a)$  and denote by  $\tau : F_{\infty} \otimes_{\mathbb{F}_q} \mathbb{F} \to F_{\infty} \otimes_{\mathbb{F}_q} \mathbb{F}$  the  $\mathbb{F}$ -algebra homomorphism such that for all  $x \in F_{\infty}, \tau(x) = x^q$ . Then for  $\chi \in \widehat{\Delta}_a$ , we write  $\chi = \prod_{k=1}^l \chi_{\zeta_k}^{n_k}$  with  $\zeta_1, \ldots, \zeta_l \in \mathbb{F}$  and  $n_1, \ldots, n_l \in \{1, \ldots, q-1\}$  and we have

$$\tau(g(\chi)) = \prod_{k=1}^{l} (\zeta_k - \theta)^{n_k} g(\chi),$$
$$\delta(g(\chi)) = \chi(\delta)g(\chi), \quad \delta \in \Delta_a \simeq \operatorname{Gal}(L(\mathbb{F})/K(\mathbb{F})).$$

The *L*-values attached to the character  $\chi$  are defined by

$$L(n,\chi) = \sum_{d\geq 0} \sum_{a\in A_{d,+}} \frac{\prod_{k=1}^{l} (\chi_{\zeta_k}(a))^{n_k}}{a^n} \in K_{\infty} \otimes_{\mathbb{F}_q} \mathbb{F}, \quad n\geq 1,$$

where  $\chi = \prod_{k=1}^{l} \chi_{\zeta_k}^{n_k}$ .

We define  $e_{\chi} = \frac{1}{|\Delta_a|} \sum_{\delta \in \Delta_a} \chi(\delta)^{-1} \delta \in \mathbb{F}[\Delta_a]$ . The equivariant *L*-values are given by

$$L(n, \Delta_a) = \sum_{\chi \in \widehat{\Delta}_a} L(n, \chi) e_{\chi} \in K_{\infty}[\Delta_a]^{\times}, \quad n \ge 1.$$

Recall that  $\iota : \operatorname{Lie}(C^{\otimes n})(F_{\infty}) \to F_{\infty}$  be the projection on the last coordinate.

We recall the equivariant class formula for  $C^{\otimes n}/O_F$ .

**Theorem 5.6.** Let  $n \ge 1$  be an integer and recall that  $L = K(\lambda_a)$ . We have the following equality in  $K_{\infty}[\Delta_a]$ :

$$L(n, \Delta_a) = [\operatorname{Lie}(C^{\otimes n})(O_F) : U_{\operatorname{St}}(C^{\otimes n}/O_F)]_{A[\Delta_a]}.$$

*Proof.* Using similar methods to those introduced in [8], one can prove (see [14] and [13], Theorem 4.15):

$$L(n, \Delta_a) = [\operatorname{Lie}(C^{\otimes n})(O_F) : U(C^{\otimes n}/O_F)]_{A[\Delta_a]} \cdot \operatorname{Fitt}_{A[\Delta_a]} H(C^{\otimes n}/O_F).$$

By similar arguments to the proof of Theorem 3.3, we have

$$\operatorname{Fitt}_{A[\Delta_a]} H(C^{\otimes n}/O_F) = \operatorname{Fitt}_{A[\Delta_a]} \frac{U(C^{\otimes n}/O_F)}{U_{\operatorname{St}}(C^{\otimes n}/O_F)}.$$

The Theorem follows immediately.

We are ready to prove an equivariant generalization of Theorem 5.3.

**Theorem 5.7.** Let  $n \ge 1$  be an integer. Then there exists a free  $A[\Delta_a]$ -module of rank one  $M \subset U_{\text{St}}(C^{\otimes n}/O_F)$  such that  $M = A[\Delta_a]L(n, \Delta_a)X_n$  for some vector  $X_n \in M_{n \times 1}(O_F)$ .

In particular,  $\exp_{C^{\otimes n}}(L(n, \Delta_a)X_n) \in M_{n \times 1}(O_F).$ 

*Proof.* Recall that  $k_0$  be the smallest integer such that  $q^{k_0} \ge n$ . By Theorem 5.2, we have

$$U_{\rm St}(C^{\otimes n}/O_F) \subset {\rm Lie}(C^{\otimes n})(L) + K_{\infty}[\Delta_a]L_{k_0} \begin{pmatrix} 0\\ \vdots\\ 0\\ \tau^{k_0}(\eta_a) \end{pmatrix}.$$

Let  $\chi \in \widehat{\Delta}_a$  be a character. We still denote by  $\tau$  the continuous homomorphism of  $\mathbb{F}$ -vector spaces  $\tau \otimes I_{\mathbb{F}}$ : Lie $(C^{\otimes n})(F_{\infty}) \otimes_{\mathbb{F}_q} \mathbb{F} \to \text{Lie}(C^{\otimes n})(F_{\infty}) \otimes_{\mathbb{F}_q} \mathbb{F}$ . It follows that

$$e_{\chi}(U_{\mathrm{St}}(C^{\otimes n}/O_F)\otimes_{\mathbb{F}_q}\mathbb{F})\subset \mathrm{Lie}(C^{\otimes n})(g(\chi)(K\otimes_{\mathbb{F}_q}\mathbb{F}))+(K_{\infty}\otimes_{\mathbb{F}_q}\mathbb{F})L_{k_0}\begin{pmatrix}0\\\vdots\\0\\\tau^{k_0}(g(\chi))\end{pmatrix}.$$

Theorem 5.6 implies

$$L(n,\chi) = [\operatorname{Lie}(C^{\otimes n})(O_F \otimes_{\mathbb{F}_q} \mathbb{F}) : e_{\chi}(U_{\operatorname{St}}(C^{\otimes n}/O_F) \otimes_{\mathbb{F}_q} \mathbb{F})]_{A \otimes_{\mathbb{F}_q} \mathbb{F}}$$

We conclude that there exists  $X_n(\chi) \in e_{\chi}(\operatorname{Lie}(C^{\otimes n})(O_F) \otimes_{\mathbb{F}_q} \mathbb{F})$  such that

1) 
$$Z_n(\chi) := L(n,\chi)X_n(\chi) \in e_{\chi}(U_{\mathrm{St}}(C^{\otimes n}/O_F) \otimes_{\mathbb{F}_q} \mathbb{F}),$$

2)  $\iota(X_n(\chi)) = b(\chi)g(\chi)$  for some  $b(\chi) \in A \otimes_{\mathbb{F}_q} \mathbb{F} \setminus \{0\}$  where we recall that  $\iota$  is the projection on the last coordinate.

Further, we can choose elements  $X_n(\chi)$  such that  $X_n(\chi^{\sigma}) = \sigma(X_n(\chi))$  for any  $\sigma \in \Delta_a$ . We set

$$X_n = \sum_{\chi \in \widehat{\Delta}_a} X_n(\chi) \in \operatorname{Lie}(C^{\otimes n})(O_F)$$

and

$$Z_n = \sum_{\chi \in \widehat{\Delta}_a} Z_n(\chi) \in U_{\mathrm{St}}(C^{\otimes n}/O_F).$$

It follows that

1)  $Z_n = L(n, \Delta_a) X_n$  and  $M = A[\Delta]_a Z_n \subset U_{\mathrm{St}}(C^{\otimes n}/O_F)$  is a free  $A[\Delta_a]$ -module of rank one,

2) 
$$\iota(M) = b(\Delta_a)L(n, \Delta_a)O_F$$
 where  $b(\Delta_a) = \sum_{\chi \in \widehat{\Delta}_a} b(\chi)e_{\chi} \in A[\Delta_a] \cap K[\Delta_a]^{\times}$ .  
The proof is finished.

As an immediate corollary, if we project on the last coordinate, we obtain an equivariant generalization of Anderson-Thakur's theorem ([4], Theorem 3.8.3):

**Corollary 5.8.** Let  $n \ge 1$  be an integer. Then there exists a free  $A[\Delta_a]$ -module of rank one  $M \subset U_{\text{St}}(C^{\otimes n}/O_F)$  such that

$$\iota(M) = b(\Delta_a)L(n, \Delta_a)$$

for some  $b(\Delta_a) \in A[\Delta_a] \cap K[\Delta_a]^{\times}$ .

#### APPENDIX A. A COUNTEREXAMPLE TO TAELMAN'S CONJECTURE

In general, Taelman's conjecture is not always true. In this section, we will present a simple counterexample which is a non-trivial extension of the Carlitz module by itself, i.e. we have an exact sequence of t-modules  $0 \to C \to E \to C \to 0$  that does not split. We should mention that this counterexample was independently constructed by Taelman <sup>1</sup>.

Let F/K be a finite extension and let  $O_F$  be the integral closure of A in F. Let  $E: A \to M_{2 \times 2}(O_F)\{\tau\}$  be the *t*-module given by

$$E_{\theta} = \begin{pmatrix} \theta & \alpha \\ 0 & \theta \end{pmatrix} + \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \tau, \quad \alpha \in O_F \setminus \{0\}.$$

Thus  $\partial_E(\theta) = \begin{pmatrix} \theta & \alpha \\ 0 & \theta \end{pmatrix}$ .

Let  $\iota : \operatorname{Lie}(E)(F_{\infty}) \to F_{\infty}$  be the projection on the second coordinate, then  $\iota$  induces an exact sequence of  $K_{\infty}$ -vector spaces:

$$0 \to (\partial_E(\theta) - \theta I_2) \operatorname{Lie}(E)(F_\infty) \to \operatorname{Lie}(E)(F_\infty) \to F_\infty \to 0.$$

<sup>&</sup>lt;sup>1</sup>L. Taelman, private communication, Sep. 2018.

#### A.1. The dual t-motive attached to E.

We write down explicitly the dual *t*-motive attached N over  $\mathbb{C}_{\infty}$  to E. Then  $N = \mathbb{C}_{\infty} \{\sigma\} e_1 \oplus \mathbb{C}_{\infty} \{\sigma\} e_2$  where  $e_1 = (1, 0)$  and  $e_2 = (0, 1)$  where t acts via right multiplication by the matrix  $\begin{pmatrix} \theta + \sigma & 0 \\ \alpha & \theta + \sigma \end{pmatrix}$ . It follows that  $(t - \theta)e_1 = \sigma e_1$ ,

$$(t-\theta)e_2 = \alpha e_1 + \sigma e_2.$$

Thus we obtain  $N = \mathbb{C}_{\infty}[t]e_1 \oplus \mathbb{C}_{\infty}[t]e_2$ ,  $N_0 = \mathbb{C}_{\infty}e_1 \oplus \mathbb{C}_{\infty}e_2$ . As a consequence, the *t*-module *E* is *A*-finite. We observe that  $\sigma e_2 \notin (t - \theta)N$  since  $\alpha \neq 0$ .

Further, one sees that E is pure of weight 1 and the Hodge-Pink weights of E are 0 and 2. In fact, the calculations are done with the dual *t*-motive N and follow the same lines as those given in [20], Example 4.32.

#### A.2. Computation of $L(E/O_F)$ .

Let  $\mathfrak{P}$  be a maximal ideal of  $O_F$  and let  $P \in A$  be the monic generator of the maximal ideal  $\mathfrak{P} \cap A$  of A. We put  $f_{\mathfrak{P}} = [O_F/\mathfrak{P} : A/P]$ . The projection on the second coordinate induces two exact sequences:

$$0 \to \operatorname{Lie}(C)(\frac{O_F}{\mathfrak{P}}) \to \operatorname{Lie}(E)(\frac{O_F}{\mathfrak{P}}) \to \operatorname{Lie}(C)(\frac{O_F}{\mathfrak{P}}) \to 0,$$
$$0 \to C(\frac{O_F}{\mathfrak{P}}) \to E(\frac{O_F}{\mathfrak{P}}) \to C(\frac{O_F}{\mathfrak{P}}) \to 0.$$

Thus we obtain

$$[\operatorname{Lie}(E)(\frac{O_F}{\mathfrak{P}})]_A = P^{2f_{\mathfrak{P}}},$$
$$[E(\frac{O_F}{\mathfrak{P}})]_A = (P^{f_{\mathfrak{P}}} - 1)^2.$$

It follows that

$$L(E/O_F) = \zeta_{O_F}(1)^2 \in K_{\infty}.$$

#### A.3. Computation of units and Taelman's conjecture.

We put  $\exp_E = \sum_{i\geq 0} E_i \tau^i, E_i \in M_{2\times 2}(F)$  and  $E_0 = I_2$ . Recall that  $\exp_C = \sum_{j\geq 0} \frac{1}{D_j} \tau^j$  where  $D_0 = 1$  and for  $j \geq 1, D_j = (\theta^{q^j} - \theta) D_{j-1}^q$ . From the functional equation  $\exp_E \partial_E(\theta) = E_\theta \exp_E$ , it follows that for  $i \geq 1$ , we have

$$E_i \partial_E(\theta)^{(i)} - \partial_E(\theta) E_i = E_{i-1}^{(1)}.$$

We deduce that

$$E_i = \begin{pmatrix} \frac{1}{D_i} & e_i \\ 0 & \frac{1}{D_i} \end{pmatrix} \quad \text{for some } e_i \in F.$$

Thus

$$\exp_E = \begin{pmatrix} \exp_C & G_\alpha \\ 0 & \exp_C \end{pmatrix},$$

where  $G_{\alpha} \in F\{\{\tau\}\}$  converges on  $F_{\infty}$ . Thus  $\exp_E$  is surjective on  $M_{2\times 1}(\mathbb{C}_{\infty})$ . Therefore, the *t*-module *E* is uniformizable. **Remark A.1.** As the referee pointed out, the fact that the *t*-module E is uniformizable can follow directly from [20]. We are grateful to the referee to share the ideas with us and present below his proof.

In fact, we denote by  $N_C$  the dual *t*-motive attached to C. Then we have a short exact sequence of dual *t*-motives  $0 \rightarrow N_C \rightarrow N \rightarrow N_C \rightarrow 0$ . By [20], Lemma 4.20, N is uniformizable since  $N_C$  is uniformizable. Thus the *t*-module E is also uniformizable by [20], Theorem 5.28.

Note also that, if  $\begin{pmatrix} x \\ y \end{pmatrix} \in U(E/O_F)$ , then we have  $\exp_C(y) \in O_F$ . Recall that the map of  $K_{\infty}$ -vector spaces  $w : \operatorname{Lie}(E)(F_{\infty}) \to \frac{\operatorname{Lie}(E)(F_{\infty})}{(\partial_E(\theta) - \theta I_2)\operatorname{Lie}(E)(F_{\infty})}$  can be identified with the projection on the last coordinate  $\iota$ . In particular,  $w \mid_{U(E/O_F)}$  is not injective.

For simplicity, we identify w and  $\iota$ . Suppose that Taelman's conjecture (Conjecture 3.5) holds for  $E/O_F$ . It means that there exists  $Z \subset U(E/O_F)$  of A-rank [F:K] such that in  $F_{\infty}$ , we have

$$[O_F : \iota(Z)]_A = \beta L(E/O_F), \quad \beta \in K^{\times}.$$

However, we have seen that  $\iota(Z) \subset U(C/O_F)$ . It implies that

$$[U(C/O_F):\iota(Z)]_A \in A \setminus \{0\}.$$

By Taelman's class formula for  $C/O_F$  (Theorem 3.1), we obtain

$$[O_F: U(C/O_F)]_A = \beta' L(C/O_F), \quad \beta' \in K^{\times}.$$

Therefore,

$$[O_F : \iota(Z)] = \beta'' L(C/O_F), \quad \beta'' \in K^{\times}.$$

Since  $L(C/O_F) = \zeta_{O_F}(1)$  and  $L(E/O_F) = \zeta_{O_F}(1)^2$ , we deduce

$$\zeta_{O_F}(1) \in K^{\times},$$

which is conjecturally a contradiction.

For F = K, it is known that  $\zeta_A(1)$  is transcendental over K (see [29, 30]). We have proved:

**Proposition A.2.** Let  $\alpha \in A \setminus \{0\}$ . Let  $E : A \to M_{2 \times 2}(A)\{\tau\}$  be the t-module defined over A defined by

$$E_{\theta} = \begin{pmatrix} \theta & \alpha \\ 0 & \theta \end{pmatrix} + \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \tau.$$

Then Conjecture 3.5 is false for E/A.

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