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## Casein interaction with lipid membranes: are the phase state or charge density of the phospholipids affecting protein adsorption?

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### Abstract

Casein micelles are ~200 nm electronegative particles that constitute 80 wt% of the milk proteins. During synthesis in the lactating mammary cells, caseins are thought to interact in the form of ~20 nm assemblies, directly with the biological membranes of the endoplasmic reticulum and/or the Golgi apparatus. However, conditions that drive this interaction are not yet known. Atomic force microscopy imaging and force spectroscopy were used to directly observe the adsorption of casein particles on supported phospholipid bilayers with controlled compositions to vary their phase state and surface charge density, as verified by X-ray diffraction and zetametry. At pH 6.7, the casein particles adsorbed onto bilayer phases with zwitterionic and liquid-disordered phospholipid molecules, but not on phases with anionic or ordered phospholipids. Furthermore, the presence of adsorbed caseins altered the stability of the yet exposed bilayer. Considering their respective compositions and symmetry/asymmetry,

these results cast light on the possible interactions of casein assemblies with the organelles' membranes of the lactating mammary cells.

**Key words:** casein proteins, phospholipid membrane, supported lipid bilayer, atomic force microscopy

ACCEPTED MANUSCRIPT

## 1. Introduction

Caseins are a family of natively unfolded and phosphorylated proteins that constitute a distinctive fraction of the milk proteins. The  $\beta$ -casein,  $\kappa$ -casein,  $\alpha_{s1}$ - and  $\alpha_{s2}$ -caseins are 19-25 kDa and represent the four major and well-characterized casein species of bovine milk [1]. Their isoelectric point (pI) is 4.9-5.8 depending on the variant and, unlike other natively unfolded proteins, they bear significant hydrophobicity [2]. Caseins therefore readily associate in aqueous medium, as early as during protein synthesis in the rough endoplasmic reticulum (ER) of the lactating mammary cells [3]. Hydrophobic-mediated casein association is thought to promote transport towards the Golgi apparatus, where caseins form particles of ~20 nm diameter, sometimes bound to the Golgi membranes [4]. At a late stage, phosphorylation of the caseins triggers supra-aggregation into ~200 nm casein micelles through calcium and calcium phosphate bridging, prior to their release into the milk secretion [3,4]. During this process, it has been hypothesized that  $\alpha_{s1}$ -casein acted as an “escort” protein during ER-to-Golgi transport of the early casein aggregates, through its propensity to bind both the other caseins and the membrane of ER microsomes [5]. The association of  $\kappa$ -casein with either  $\alpha_{s1}$ - or  $\beta$ -caseins was also reported to increase the affinity of  $\kappa$ -casein for phospholipid membranes, and to prevent extended amyloid fibrillation of  $\kappa$ -casein that otherwise occurs when interacting alone with membranes [6]. Therefore, the interaction of the early casein aggregates with biological membranes is an important issue to casein trafficking within the lactating cell, and to the control of self-association of the four caseins into casein particles rather than pathogenic fibrils.

Long-range attraction between protein and phospholipid membranes in aqueous media is essentially driven by electrostatic interaction [7,8]. At close distance, ion-pair, hydrophobic effects and van der Waals interactions ensure stable binding of peripheral proteins and, to some extent, the penetration of the protein’s hydrophobic, tryptophan-rich regions into the

phospholipid bilayer [7,9–11]. Discrete or local variations in the protein's structure also affect binding at molecular level [7,12]. Polarization (or dipole) effect may drive efficient adsorption of, e.g., an overall negatively charged protein with positive patches, onto a negatively-charged bilayer, and 4-5 ion pairs may be enough to achieve irreversible binding [7,13]. The presence of phosphate groups or calcium counter-ions, which are both relevant for caseins, can also affect local electrostatic interactions [7]. Finally, bound and organized water molecules at the surface of bilayers with low polarizability and an environment of low dielectric constant repulse proteins from the interface [8,14]. Hence, desolvation inhibits protein-membrane binding, but this effect is modulated by protonation of protein's ionized groups, which itself can depend on the local environment exerted by the ionic nature of the phospholipids [7,15]. Finally, the phase state of the phospholipid bilayer is susceptible to affect protein adsorption and function [16,17]. Therefore, the goal of this study was to evaluate the role of phase and charge of the phospholipid membrane onto its interaction with caseins.

Different methods are available to investigate protein interaction with membranes, among which dissipative quartz crystal microbalance, surface plasmon resonance, isothermal titration calorimetry, neutron or X-ray reflectivity, Fourier-transform infrared reflection-absorption spectroscopy or nuclear magnetic resonance [9,18–21]. Change in the environment of fluorescent probes is also used to monitor protein adsorption onto liposomes [6,17,22]. However, these methods average information from large numbers of individual behaviors. In contrast, high-resolution microscopy such as atomic force microscopy (AFM) allows observations of protein-membrane interactions at the nanoscale, relevant for potentially multiphasic membranes [16,23–26]. To date, the only AFM observations of casein-membrane interaction were on Langmuir films where  $\beta$ -casein was compressed together with the polar lipid monolayer, then dehydrated [27–29]. This approach was relevant to investigate the

behavior of  $\beta$ -casein and phospholipids as emulsifiers, but not to describe the factors that drive interaction of casein particles with biological membranes. In the present study, AFM imaging was used to directly observe the interaction of caseins with model phospholipid bilayers presenting distinct phase or charge states, in aqueous environment at 20°C and at pH 6.7. Sodium caseinate, rather than isolated caseins, was used as means to model the early ~20 nm casein particles present in the ER, which are thought to interact with organelle membranes during the ER-to-Golgi transport, prior to the casein micelle final assembly.

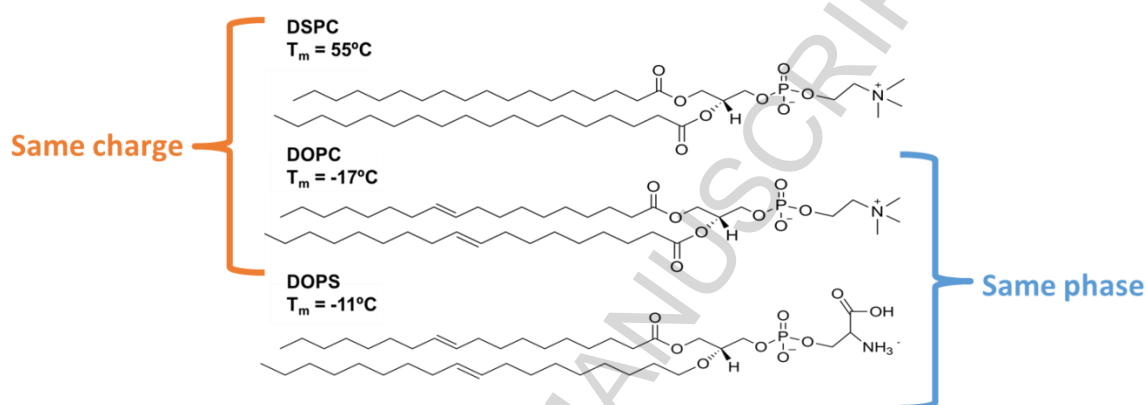
## 2. EXPERIMENTAL METHODS

### 2.1. Materials

Caseinates are prepared from the milk's casein micelles through elimination of the calcium-mediated bridges in order to part the assembly into ~20 nm particles (e.g. [30–32]). The sodium caseinate used in this study was manufactured by Armor Protéines (Saint Brice en Coglès, France). It was prepared through acid-precipitation of milk, exhaustion of calcium phosphate through washing then alkalization with sodium hydroxide. It contained >94 wt.% casein over dry matter, <3.9 wt.% ashes and 0.8 wt.% non-casein nitrogen (peptides, urea...[33]). Sodium caseinate was dispersed at 1 mg.mL<sup>-1</sup> in PIPES (1,4-piperazinediethanesulfonic acid) buffer at ~40°C, then stored overnight at 5°C to ensure complete dispersion and used on the following day.

PIPES buffer was prepared using PIPES 10 mM (purity  $\geq$  99 wt.%; Sigma-Aldrich, Milwaukee, WI, USA), NaCl 50 mM (purity  $\geq$  99 wt.%; Sigma-Aldrich), and CaCl<sub>2</sub> 0.1 mM (purity  $\geq$  99 wt.%; Sigma-Aldrich) dissolved in ultrapure Milli-Q water and adjusted to pH 6.7 using NaOH 5 M. The ionic strength  $\mu$  of the buffer was calculated to be  $\mu = 0.05025$  mol.L<sup>-1</sup>.

Synthetic 1,2-distearoyl-*sn*-glycero-3-phosphocholine (C18:0 DSPC; purity > 99 wt.%), 1,2-dioleoyl-*sn*-glycero-3-phosphocholine (C18:1 DOPC; purity > 99 wt.%) and 1,2-dioleoyl-*sn*-glycero-3-phosphoserine (C18:1 DOPS; purity > 99 wt.%) were purchased from Avanti Polar Lipids (Alabaster, AL, USA). All three phospholipids are 18 carbons in length; DSPC is saturated while DOPS and DOPC exhibit one unsaturation (**Figure 1**).



**Figure 1.** Molecular structures and indicative melting temperatures ( $T_m$ ) of 1,2-distearoyl-*sn*-glycero-3-phosphocholine (DSPC), 1,2-dioleoyl-*sn*-glycero-3-phosphocholine (DOPC) and 1,2-dioleoyl-*sn*-glycero-3-phosphoserine (DOPS - Avanti Polar Lipids).

Therefore, the molecules differ either in their melting temperatures, hence in their phase state at 20°C: both DOPC and DOPS are expected to be in liquid-disordered ( $l_d$ ) phase at 20°C while DSPC is expected to be in the solid-ordered ( $s_o$ ) phase at that temperature. Meanwhile, DOPS bears an anionic polar head while DOPC and DSPC's head is zwitterionic.

## 2.2. Sample preparation

Multilamellar vesicles (MLVs) of the pure DOPC, DSPC or DOPS were required for physical characterization. Small unilamellar vesicles (SUVs) were required for zeta potential measurement or for preparation of supported lipid bilayers (SLBs). SLBs were manufactured with two co-existing phases, differing either in phase state or in charge. Namely,

DOPC/DSPC 50/50 mol.% bilayers were expected to exhibit domains DSPC-rich in the  $s_o$  phase, dispersed in the continuous DOPC-rich  $l_d$  phase. Meanwhile, 0.1 mM calcium in the environment was expected to induce separation in DOPC/DOPS 50/50 mol.% bilayers in distinct enriched phases differing in local charge, as reported for  $s_o$ - $s_o$  phase separation in 1,2-dipalmitoyl-*sn*-glycero-3-phosphocholine/1,2-dipalmitoyl-*sn*-glycero-3-phosphoserine (DPPC/DPPS) bilayers [34].

First, accurate mother solutions of each lipid were prepared in chloroform/methanol (4:1 v/v) into glass vials. Dried lipid films were then prepared by mixing the appropriate volumes of the mother solutions into glass vials, followed by evaporation of the solvent at 40°C under a gentle stream of dry nitrogen, then storage at -20°C. After equilibration at room temperature, the dried lipid films were hydrated with PIPES buffer at 70°C to reach a final concentration of 0.1 wt.%, then thoroughly vortexed to yield microscopic multilamellar vesicles (MLVs). Then, small unilamellar vesicles (SUVs) were produced by sonication at 70°C using a Q700 equipment (Q-sonica, Newtown, CT, USA) and a microtip operating at 50% amplitude (~400 W) for 1h.

### **2.3. Differential scanning calorimetry**

The thermotropic properties of DSPC, DOPC or DOPS were measured on MLVs using a differential scanning calorimetry (DSC) Q1000 apparatus (TA Instruments, New Castle, DE, USA). MLVs were produced by rehydration of the lipid films with PIPES buffer at 70°C to reach a final concentration of 20 wt.% lipids, then thoroughly vortexed and left to equilibrate at room temperature. MLVs accommodate high bilayer concentration; they also allow increased resolution thanks to cooperativity of the molecules [35]. The samples were introduced in 20  $\mu$ L aluminum pans that were then hermetically sealed. An empty pan was used as a reference. The samples were heated at 2°C.min<sup>-1</sup> from - 40°C to 70°C. The



calibration of the calorimeter was performed with indium standard (melting point = 156.66°C,  $\Delta H$  melting = 28.41 J.g<sup>-1</sup>). The thermal measurements were performed in triplicate. Standard parameters were calculated by the TA software (Universal Analysis 2000, v 4.1 D).

#### **2.4. X-ray diffraction**

X-ray diffraction (XRD) experiments were performed on the same MLVs suspensions of DSPC, DOPC or DOPS as in DSC, using the home-made Guinier beamline at IPR [36]. A two-dimensional Pilatus detector with sample to detector distance of 232 mm allowed the recording of XRD patterns in the range 0.013 Å<sup>-1</sup> to 1.742 Å<sup>-1</sup>, thus covering both the small and wide-angles regions of interest to characterize the lamellar structures and to identify the packing of the acyl chains, respectively. Diffraction patterns displayed series of concentric rings as a function of the radial scattering vector  $q = 4\pi\sin\theta/\lambda$ , where  $2\theta$  is the scattering angle and  $\lambda = 1.541$  Å is the wavelength of the incident beam. The channel to scattering vector  $q$  calibration of the detector was carried out with silver behenate [37]. The vesicle suspensions (~10 µL at 20 wt.%) were loaded in thin quartz capillaries of 1.5 mm diameter (GLAS W. Muller, Berlin, Germany) and analyzed at 20 or 70°C.

#### **2.5. Dynamic light scattering**

The hydrodynamic diameter  $D_h$  of the SUVs and of the sodium caseinate suspension was measured by dynamic light scattering (DLS) at 20°C and in PIPES buffer using a Zetasizer Nano ZS (Malvern Instruments, Worcestershire, UK) operating at a scattering angle of 173° and a wavelength of 633 nm. The mean  $D_h$  was calculated from the intensity distribution using the Stokes-Einstein relation assuming spherical objects. Viscosity of the buffer was taken as 1.003 mPa.s at 20°C. The refractive index of the buffer was taken as 1.33. Measurements were performed in triplicate. The mean  $D_h$  of DSPC/DOPC 50/50 mol.% or

DOPC/DOPS 50/50 mol.% SUVs was of 164 or 139 nm ( $\pm 5$  nm), respectively, with a polydispersity index of 0.2.

## 2.6. Zetametry

The zeta potential  $\zeta$  of DOPC, DSPC, DOPS or DOPC/DOPS 50/50 wt.% SUVs, as well as that of the sodium caseinate particles were measured in PIPES buffer at 20°C and at pH 6.7 using the same Zetasizer Nano ZS equipment as for DLS. The electrophoretic mobility  $\mu_e$ , in  $\text{m}^2 \cdot \text{V}^{-1} \cdot \text{s}^{-1}$ , was measured at 50 V. The viscosity  $\eta$  and dielectric constant  $\epsilon_r$  of the buffer were taken as 1.003 mPa.s and 78.5, respectively.  $\zeta$  was calculated from  $\mu_e$  using the Smoluchowski relationship:

$$\mu_e = \epsilon_r \epsilon_0 \zeta / \eta$$

where  $\epsilon_0$  is the dielectric constant of vacuum. This relationship is valid as the Debye length, calculated to be 1.36 nm in PIPES buffer, was negligible with respect to the radius of the particles. From this, the surface charge density  $\sigma$ , in  $\text{C} \cdot \text{m}^{-2}$ , of the bilayers was calculated using the Grahame relationship:

$$\sigma = \epsilon_r \epsilon_0 k \zeta$$

with  $k$  the reciprocal of the Debye length [38]. Measurements were performed in triplicate.

## 2.7. Atomic force microscopy (AFM)

Formation of planar SLBs of either DSPC/DOPC 50/50 mol.% or DOPC/DOPS 50/50 mol.% phospholipid mixtures was performed using vesicle fusion [39]. To do this, 2.7 mL of PIPES buffer were pre-heated at 70°C using open liquid cells (Asylum Research, Oxford Instruments, Santa Barbara, CA, USA) mounted with freshly cleaved mica. Then, 300  $\mu\text{L}$  of the hot SUV suspension were injected into the liquid cell, to reach 0.01 wt.% lipids. The samples were incubated at 70°C for 1 h using an IPP programmed incubator (Memmert,

Büchenbach, Germany) and saturated humidity chambers to prevent evaporation, then slowly cooled down to 20°C with rates sequentially decreasing from  $\sim 1^\circ\text{C}\cdot\text{min}^{-1}$  to  $\sim 0.1^\circ\text{C}\cdot\text{min}^{-1}$  in 3h. The bilayers were then extensively and gently rinsed with PIPES buffer. AFM imaging was performed in contact or AC mode using two MFP-3D Bio AFM (Asylum Research, Santa Barbara, CA, USA) at IBEC or STLO. Silicon MSNL probes (Bruker AFM Probes, Camarillo, CA, USA) were used for contact mode imaging (nominal spring constant  $k \sim 0.03 \text{ N}\cdot\text{m}^{-1}$ ) while silicon nitride SNL probes (Bruker AFM Probes, Camarillo, CA, USA) were used for AC mode imaging (nominal spring constant  $k \sim 0.35 \text{ N}\cdot\text{m}^{-1}$  for DSPC/DOPC bilayers or  $\sim 0.12 \text{ N}\cdot\text{m}^{-1}$  for DOPC/DOPS bilayers) calibrated extemporaneously using the thermal noise method. Imaging loading forces were typically below  $\sim 1 \text{ nN}$ . The typical scan speed was  $15 \mu\text{m}\cdot\text{s}^{-1}$  for  $5 \times 5 \mu\text{m}^2$  ( $256 \times 256$  pixels) images. Images were 3:30 or 4 min to take and were recorded continuously prior to and after injection of 50  $\mu\text{g}$  of sodium caseinate in the 3 mL volume of the liquid cell. No stirring was possible, precluding precise information on the delay until adsorption. Scanning angle was  $90^\circ$  in order to calculate friction from calculation of the trace minus retrace error signals. Final temperature inside the liquid cell was  $22.3 \pm 0.8^\circ\text{C}$ , due to heating by e.g. the AFM laser. The images were typically planefitted at order 0, flattened at order 1 and then planefitted again after masking of the protruding domains. Sections were drawn across images to measure the height difference  $H$  between features of the images.

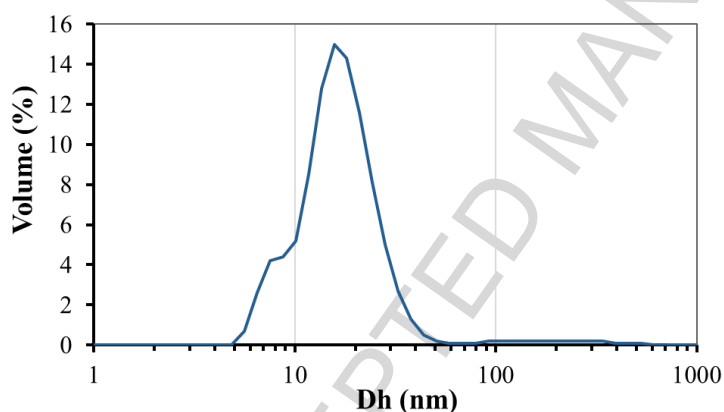
In AFM-based force spectroscopy (AFM-FS) experiments, force-distance curves were recorded after imaging an interesting area, by approaching and retracting the cantilever tip to the sample at constant velocity of  $1 \mu\text{m}\cdot\text{s}^{-1}$ . SNL probes were used, with nominal spring constants of  $0.35 \text{ N}\cdot\text{m}^{-1}$  and  $0.12 \text{ N}\cdot\text{m}^{-1}$ , setting maximal forces of 65 nN and 15 nN, respectively. The cantilever spring constants were individually calibrated by using the

equipartition theorem (thermal noise routine). Force-separation curves were acquired in the force map mode, using an array of  $20 \times 20$  pixels.

### 3. RESULTS & DISCUSSION

#### 3.1. Physicochemical properties of sodium caseinate

Sodium caseinate particles in suspension ranged from 5 to 50 nm in diameter and exhibited an average hydrodynamic diameter  $D_h$  of  $19 \pm 1$  nm (**Figure 2**), in agreement with previous reports [30–32]. Their zeta potential  $\zeta$  in PIPES buffer at pH 6.7 and at  $20^\circ\text{C}$  was  $-12.7 \pm 0.6$  mV, corresponding to a surface charge density of  $-0.0066 \text{ C}\cdot\text{m}^{-2}$ .

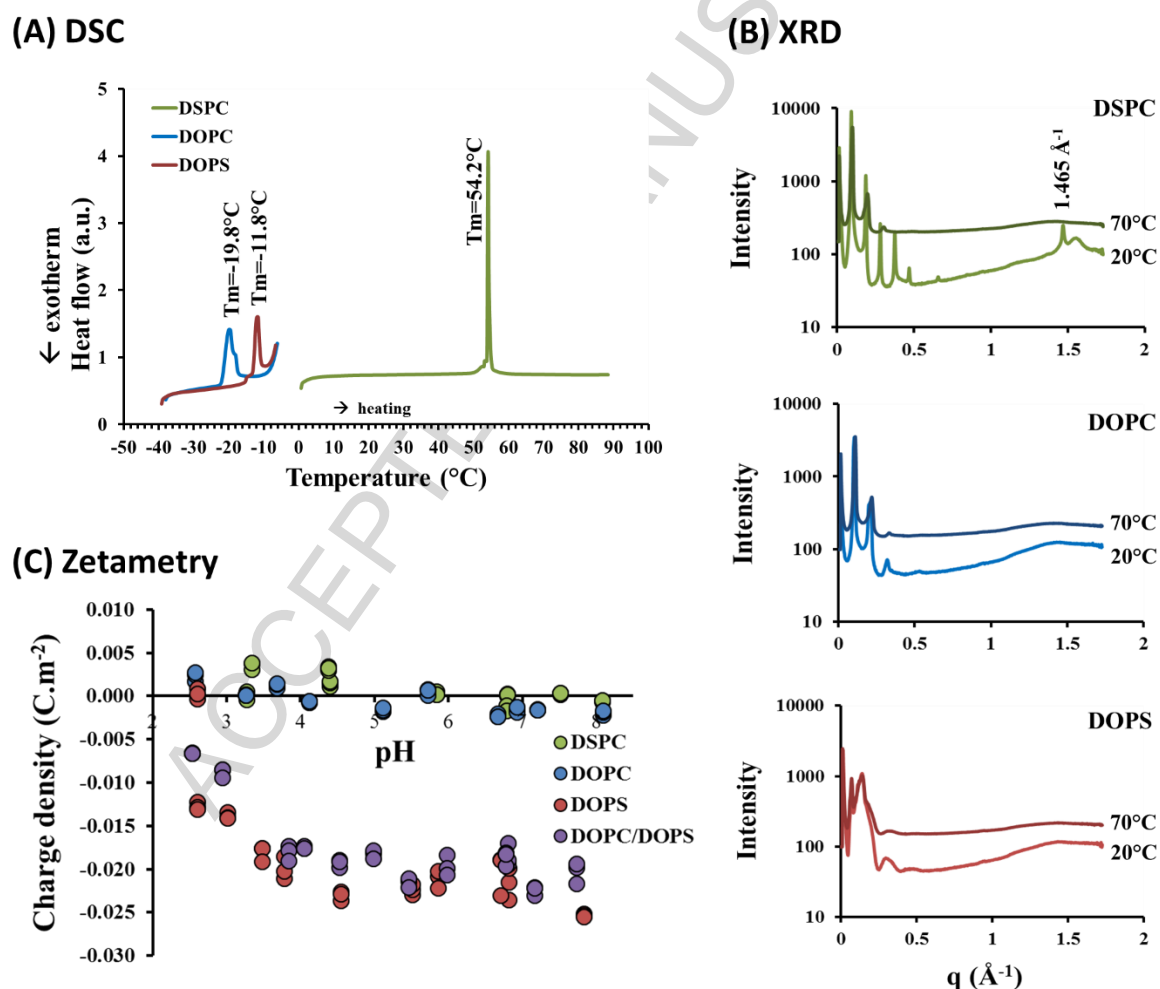


**Figure 2.** Average dynamic light scattering distribution in volume of the hydrodynamic diameter  $D_h$  of  $1 \text{ mg}\cdot\text{mL}^{-1}$  sodium caseinate in PIPES/NaCl/0.1 mM  $\text{CaCl}_2$  buffer at pH 6.7 and at  $20^\circ\text{C}$ .

Considering their pI, individual caseins and their assemblies are expected to bear negative charge at pH 6.7, but amplitude depends on the temperature and presence of counter-ions [40,41]. In pure water or in 10 mM NaCl, commercial sodium caseinate exhibits a value  $\zeta$  of -42 mV [42] or -25 mV [43], respectively. The presence of 50 mM NaCl and 0.1 mM  $\text{CaCl}_2$  in the PIPES buffer probably accounts for the lower absolute  $\zeta$  value determined in the present conditions.

### 3.2. Physicochemical properties of phospholipids assembled into hydrated bilayers

The melting temperatures  $T_m$  recorded with DSC for fully hydrated DSPC, DOPC and DOPS vesicles in PIPES buffer were +54.2, -19.8 and -11.8°C, respectively (**Figure 3A**), in agreement with previous reports [44–46]. For identical acyl chain length, unsaturation is well known to decrease  $T_m$ . Furthermore, PS head-group yields closer packing of the phospholipids in the bilayers and higher  $T_m$  value than for their respective PC counterparts [45,47].



**Figure 3.** Physical properties of bilayers of DSPC, DOPC and DOPS in PIPES/ NaCl/0.1 mM CaCl<sub>2</sub> buffer at pH 6.7. (A) Determination of  $T_m$  by DSC upon heating MLVs at 2°C.min<sup>-1</sup>. (B) X-ray Scattering spectra of MLVs recorded at 20 or 70°C at Wide ( $q > 1 \text{ \AA}^{-1}$ ) and Small angles ( $q < 0.5$

$\text{\AA}^{-1}$ ). (C) Charge density of SUVs calculated from measurement of the zeta potential,  $\zeta$ , at  $20^\circ\text{C}$ , in PIPES/NaCl/0.1 mM  $\text{CaCl}_2$  buffer at pH ranging from 2.5 to 8.

At small angle, X-ray scattering spectra showed Bragg diffraction peaks for DSPC and DOPC due to the multilamellar organization of the neutral phospholipids (**Figure 3B**). Repeat spacing was 6.6-6.7 nm in both types of bilayers; previous reports range 6.3-7 e.g. for DOPC or DSPC, depending on conditions [47–49]. Upon heating to  $70^\circ\text{C}$ , the reciprocal distance between DSPC peaks slightly increased, indicating thinner bilayers upon melting (**Figure 3B**,  $q < 0.5 \text{\AA}^{-1}$ ). At wide angle, XRD spectra are informative on the lateral packing of the phospholipid molecules. Expectedly, DOPC exhibited no sign of lateral order neither at  $20^\circ\text{C}$  nor at  $70^\circ\text{C}$ , indicating disordered liquid-crystalline ( $L_\alpha$  or  $l_d$ ) phase (**Figure 3B**). DSPC exhibited a clear single peak at  $q = 1.465 \text{\AA}^{-1}$  and a diffuse scattering at  $q = 1.552 \text{\AA}^{-1}$  at  $20^\circ\text{C}$ . This is characteristic of a  $s_o$  hexagonal packing of the acyl chains with an important tilt toward the next neighbor [50,51]. The intermolecular distance was  $\sim 4.3 \text{\AA}$ , slightly lower than that previously reported in water [49]. For anionic DOPS, a diffusion peak at small angle overlapped with the diffraction signature, possibly indicating more frequent unilamellar vesicles and/or less bilayer stacking due to electrostatic repulsion between the PS head-groups [47]. As for DOPC, DOPS at wide angle exhibited no sign of lateral order neither at  $20^\circ\text{C}$  nor at  $70^\circ\text{C}$  (**Figure 3B**). In brief, DSC and XRD experiments clearly confirmed that DOPC and DOPS were in liquid-disordered ( $l_d$  or  $L_\alpha$ ) phase at  $20^\circ\text{C}$ , while DSPC assumed a solid-ordered ( $s_o$  or  $L_\beta$ ) phase at the working temperature, in agreement with the respective unsaturation / saturation of their acyl chains.

The surface charge density,  $\sigma$ , of small unilamellar vesicles of DSPC or DOPC dispersed in PIPES buffer at  $20^\circ\text{C}$ , remained around 0 throughout the pH range investigated. Slightly negative values were found at the alkaline end, whereas slightly positive values were found at

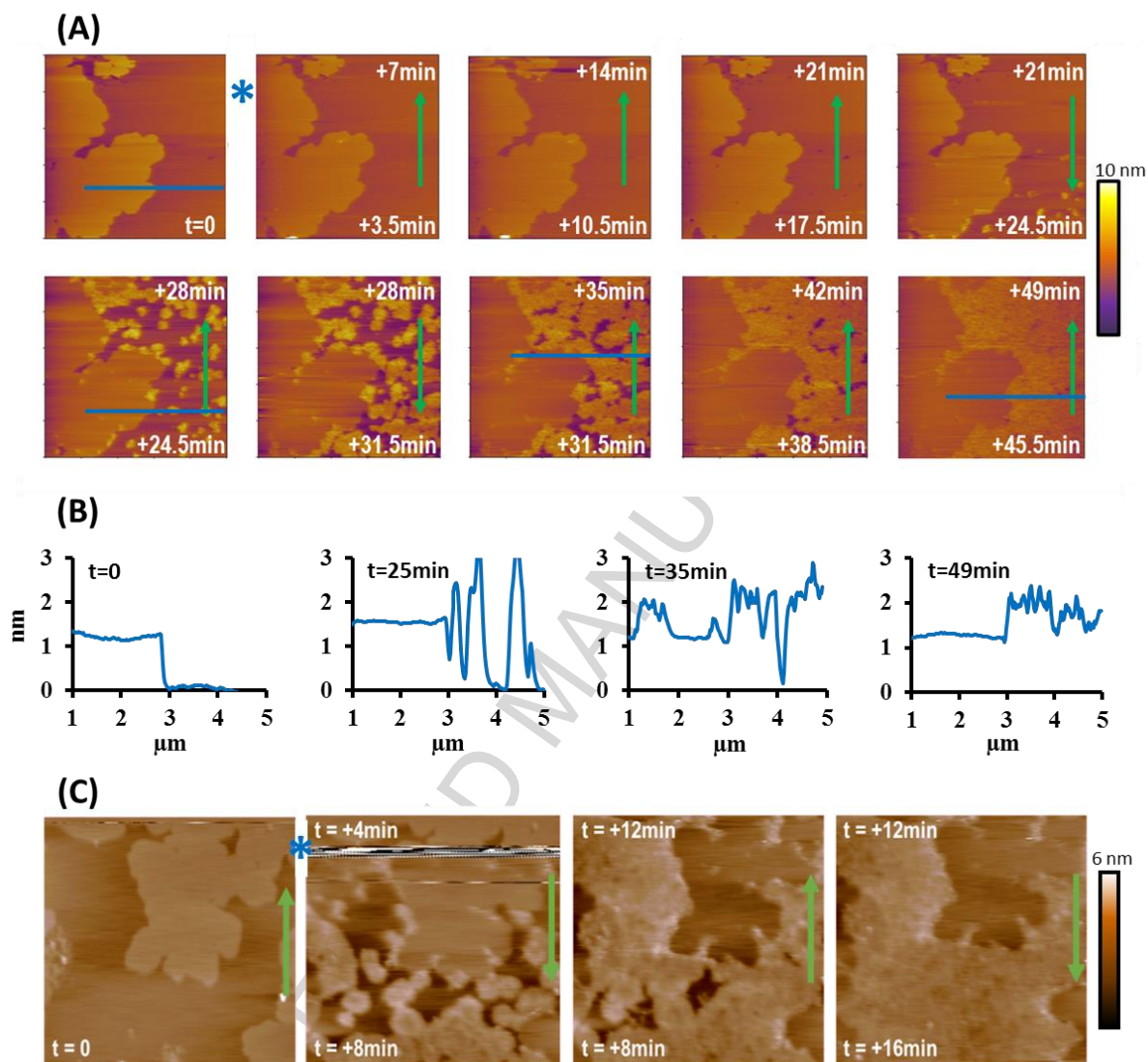
the acidic end, in accordance with increasing protonation (**Figure 3C**). The corresponding zeta potential values,  $\zeta$ , ranged from +5 to -4 mV from pH 2 to 8. In contrast, DOPS vesicles exhibited significant negative charge, with  $\zeta$  values of -40 to -45 mV between pH 4.5 and 8, corresponding to  $\sigma$  of 2 to  $2.5 \times 10^{-2}$  C.m<sup>-2</sup> (**Figure 3C**). Equimolar mixtures of DOPS and DOPC produced vesicles with similar charge response as pure DOPS, indicating that the two lipids were mixed in the individual vesicles and that the larger absolute charge of DOPS overtook that of DOPC. In the literature,  $\sigma$  values of the order of  $10^{-3}$  or  $10^{-4}$  C.m<sup>-2</sup> were reported for 1,2-dimyristoyl-*sn*-glycero-3-phosphocholine (DMPC) vesicles in presence of 25 or 100 mM NaCl, while the  $\zeta$  values of DOPC, DMPC, DPPC or 1,2-dilauroyl-*sn*-glycero-3-phosphocholine (DLPC) vesicles ranged from -5 to -10 mV depending on conditions [38,52,53]. Meanwhile, the  $\zeta$  and  $\sigma$  values of DOPS moved to respectively -44 mV and -0.002 C.m<sup>-2</sup> in water at pH ~6.7 (with Na<sup>+</sup> co-ions – [54,55]). Therefore, bilayers of DOPC, DOPS and DSPC varied in phase state (DOPC vs DSPC) or charge (DOPC vs DOPS) in PIPES buffer at pH 6.7 and at 20°C.

### 3.3. Preferential adsorption of sodium caseinate on SLBs

#### 3.3.1. Preferential adsorption of sodium caseinate onto the fluid phase of DSPC/DOPC bilayers

The interaction of sodium caseinate with DSPC/DOPC 50/50 mol.% SLBs was investigated throughout time using AFM. These bilayers are composed of DSPC in the  $s_o$  phase and DOPC in the  $l_d$  phase at 20°C, while both exhibit similar surface charge densities (**Figure 3**). AFM images of the DSPC/DOPC 50/50 mol.% SLBs clearly evidenced two phases, the thicker phase (Figures **4A and C** at t=0) protruding by  $1.21 \pm 0.07$  nm above the thinner phase. These two phases were respectively interpreted as the  $s_o$  DSPC-rich and the  $l_d$  DOPC-rich phases, in

agreement with the increased thickness of bilayers when the acyl chains are fully stretched in their solid organization [56–58].



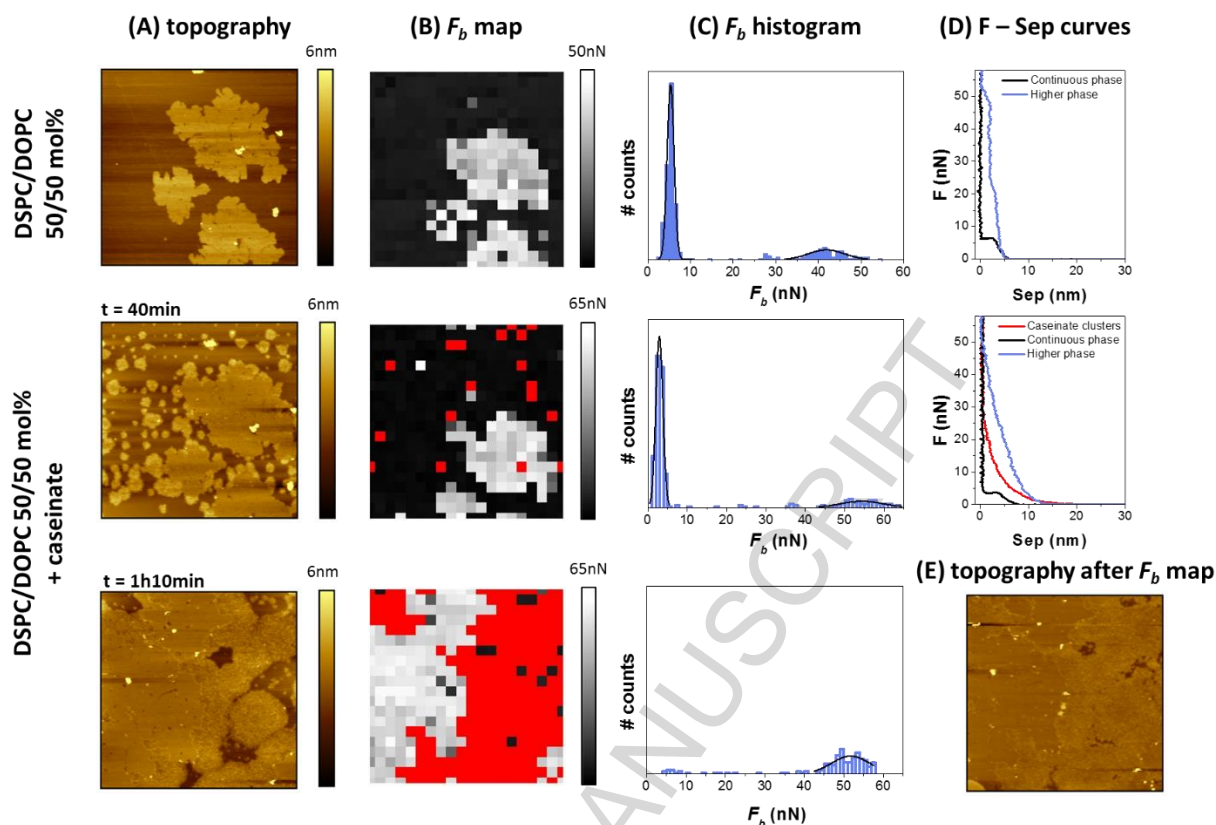
**Figure 4.** Interaction of sodium caseinate with DSPC/DOPC 50/50 mol.% SLBs in PIPES/NaCl/0.1 mM CaCl<sub>2</sub> buffer at pH 6.7 and at 20°C. (A) and (C) fully independent typical time series of AFM 5×5 μm<sup>2</sup> topographical images prior to (t=0) and after (t>0) injection of 50 μg of sodium caseinate (indicated with the blue star symbol). Arrows indicate the slow scan direction for each image. (B) Cross sections of the images, identified by the approximate timing, where indicated by the blue lines in (A). Images in (A) were obtained in contact mode; images in (C) were obtained in AC mode.

Within a short time (< 40 min; N=10 independent observations) after injection of the sodium caseinate into the AFM sample cell, particles appeared to deposit onto the bilayers. The



adsorption first occurred on the  $s_o/l_d$  phase boundary and on defects (holes) in the DOPC-rich  $l_d$  phase (compare images immediately before and after 21 min in **Figure 4A**). The initially adsorbed casein particles were a few nm large and  $\sim 3$  nm thick above the  $l_d$  phase (**Figure 4A, B**), precise measurement of small deformable objects being difficult. Within completion of the next image, the area covered by the adsorbed casein particles seemed to grow (**Figure 4A**), while the thickness of the adsorbed particles remained about 3 nm above the  $l_d$  phase (**Figure 4B**). Then, the growing protein patches fused, up to forming a continuous layer over the  $l_d$  phase,  $1.10 \pm 0.15$  nm higher than the DSPC-rich  $s_o$ -phase (**Figures 4B, 5A, 5E**). No consistent time could be measured in this experiment, as injection of the sodium caseinate was performed at the side of the sample cell, at varying distance to the AFM probe, and without possible agitation. In conclusion, sodium caseinate adsorbed onto DSPC/DOPC 50/50 mol.% supported bilayers where some disorder was present. That is, first, at the phase boundary and on hole defects present in the  $l_d$  phase, and second onto the whole  $l_d$  phase where larger molecular area opens space between the phospholipid molecules [16,57]. Coverage was also recorded onto pure DOPC bilayers, confirming this interpretation (**Figure SI-1**).

The mechanical stability of the lipid bilayers was further evaluated using AFM force spectroscopy (AFM-FS). Upon applying normal force onto the bilayer with the AFM probe, local puncture may be achieved that clearly shows on the force curves as a typical breakthrough event ([59–61]; Fig. **5D**). The corresponding breakthrough force value,  $F_b$ , has long been taken as indicative of the local mechanical stability of a given bilayer in specific environmental conditions[59,62].



**Figure 5.** Change in mechanical stability of DSPC/DOPC 50/50 mol% bilayers as a consequence of adsorption of sodium caseinate, measured by AFM-FS in PIPES/NaCl/0.1 mM CaCl<sub>2</sub> buffer at pH 6.7 and at 20°C. (A) AC mode topography over 8×8 μm<sup>2</sup>, (B) corresponding  $F_b$  maps, (C)  $F_b$  histogram and (D) typical force-separation curves recorded prior to (upper row) or after incubation for 40 min (middle row) or for 1h10min (bottom row) in presence of sodium caseinate. (E) A different location (5×5 μm<sup>2</sup>) after performing the  $F_b$  map. Red pixels on the  $F_b$  maps indicate the absence of a breakthrough event.

$F_b$  maps of DSPC/DOPC 50/50 mol % bilayers exhibited clear mechanical contrast. The DOPC-rich  $l_d$  phase ruptured at  $F_b$  of  $5.9 \pm 0.6$  nN, while higher forces of  $59.3 \pm 1.0$  nN were required to rupture the DSPC-rich  $s_o$  domains (**Figure 5B-C**). This result agreed with previous findings [62,63]. Upon addition of the sodium caseinate, the  $F_b$  values observed on the still appearing  $l_d$  phase slightly decreased to  $3.2 \pm 0.7$  nN. Where protein clusters had deposited, no breakthrough event was visible on the force curves (red pixels on **Figures 5B**;

**Figure 5D**). Instead, the aspect of the force curves exhibited long-range interactions (**Figure 5D**), possibly deformation of a soft polymer layer, as can be recorded over casein assemblies [64]. From the initial point of increasing force to the zero-separation segment of the force curves, a thickness of  $19 \pm 1$  nm was measured over the caseinate clusters, versus  $5.6 \pm 0.4$  nm for the  $s_o$  phospholipid domains and  $5.2 \pm 0.3$  nm for the continuous  $l_d$  phospholipid phase (N=10). Since caseinate was evaluated to be 1.1 nm thicker than the domains (**Figure 4**), electrostatic repulsion between the AFM tip and the proteins probably also occurred. Overall, it seemed that the presence of adsorbed sodium caseinate altered the mechanical stability of the  $l_d$  phase, even at some distance to the actual interaction site, as discussed for protein-membrane interactions [65,66]. It is also possible that casein peptides, which may be present in caseinate either naturally (from milk) or as a result of caseinate production using alkali, penetrate into the  $l_d$  phase and alter the lateral packing of the phospholipid [67]. Finally, the mechanical stability of the  $s_o$  phase after incubation of the bilayers in presence of caseinate did not significantly change, with  $F_b$  values of  $52 \pm 10$  nN (**Figure 5B-C**). This result confirmed that the protein particles did not interfere with the DSPC-rich  $s_o$ -phase.

Early studies using electron microscopy images of co-compressed phospholipid monolayers and milk proteins showed that  $\beta$ -casein or the whey protein  $\beta$ -lactoglobulin ( $\beta$ -lg) preferably interacted with phospholipids in the liquid-expanded phase rather than onto liquid-condensed (or  $s_o$ ) phases [15,68], in agreement with the general picture [69,70]. Later, DLS was used to measure variation of the diameter of liposomes in presence of milk proteins. Interaction of  $\beta$ -casein,  $\alpha_{s1}$ -casein or  $\kappa$ -casein with liposomes of two different phospholipids in  $l_d$  phase was reported, although it depended on specific ionic conditions [71]. In contrast, no interaction was found between sodium caseinate and egg-PC (mainly 1-stearoyl,2-oleoyl-*sn*-glycero-3-phosphocholine) or between sodium caseinate and DPPC vesicles, neither in their  $l_d$  nor in

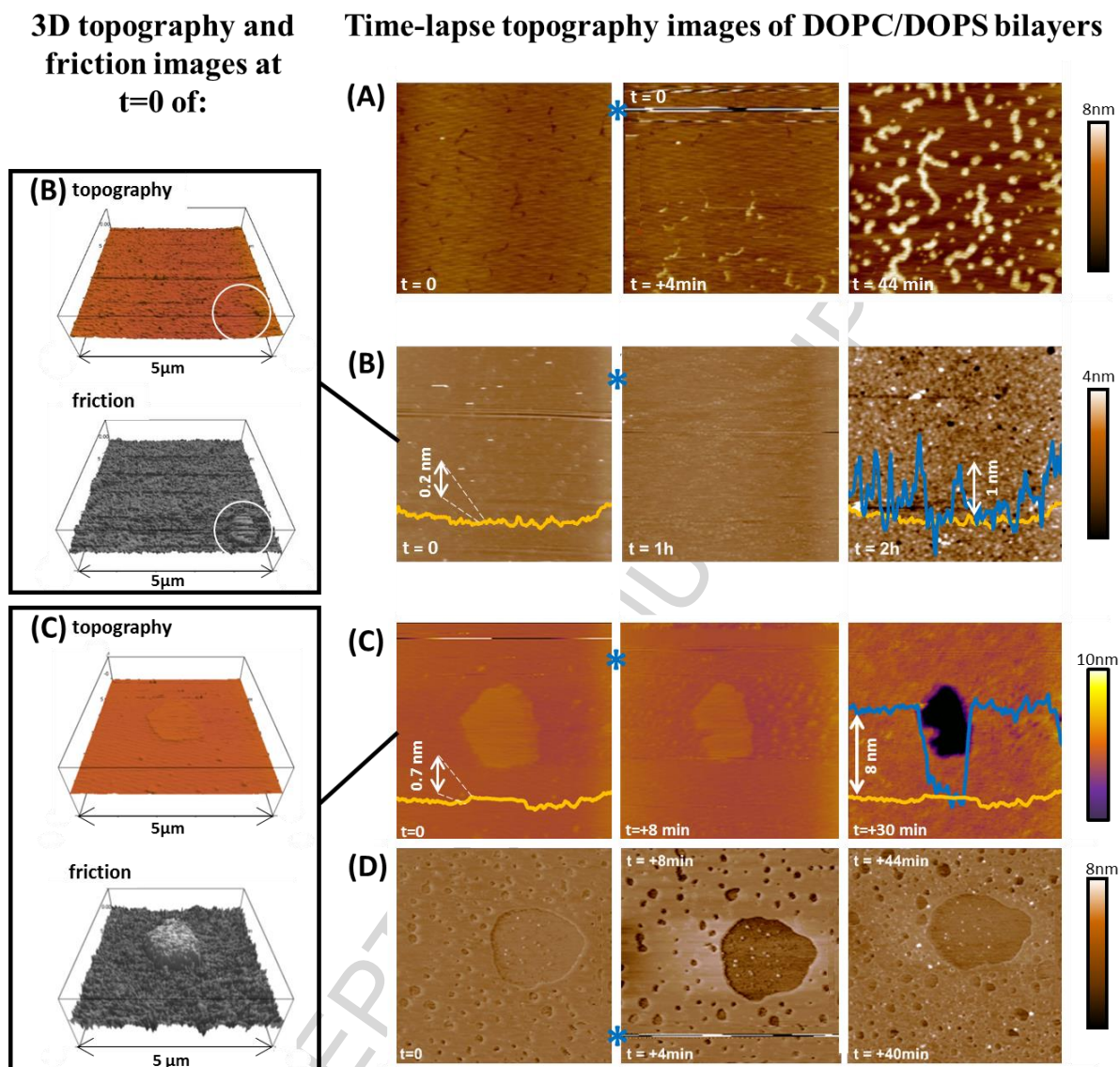
their  $s_o$  phase [22,72]. To the authors' knowledge, only the interaction of  $\beta$ -casein with phospholipid monolayers was investigated in detail. In these studies,  $\beta$ -casein and the phospholipid film were compressed together in a Langmuir trough, which is a relevant model rather for emulsification than protein-membrane interaction. In this approach, penetration of the  $\beta$ -casein increases molecular area of the phospholipids, thereby showing low miscibility [29,73,74]. The  $\beta$ -casein and phospholipid co-exist at the interface for low initial pressures of the lipid film, exhibiting complex organization(s). Results indicate that  $\beta$ -casein can interact with gaseous or liquid-expanded lipid phases but also, in specific conditions, with liquid-condensed (LC) lipid domains due to the higher density for electrostatic pairing [27–29,74]. However, if the initial pressure exceeds  $18 \text{ mN}\cdot\text{m}^{-1}$ ,  $\beta$ -casein is not able to penetrate the already constituted LC phase or is squeezed out the compressed film [28,73,74] and remains adsorbed onto it [27,73].

If initial adsorption of the sodium caseinate particles is driven at least by hydrophobic interactions, marked by the attraction for bilayer edges and height mismatch, cooperative adsorption is clearly also at play, as revealed by the lateral and rapid growth of the protein clusters, up to complete coverage (**Figures 4A, C**). Cooperative adsorption, defined as the enhanced adsorption where already adsorbed protein is present, has also been reported for e.g. ezrin on 1-palmitoyl,2-oleoyl-*sn*-glycero-3-phosphocholine (POPC) bilayers [75] or for annexin V crystals on DOPC/DOPS membranes [76]. In different thermodynamic approaches, attempts were made to describe cooperative adsorption [18]. In a first model, the adsorbing protein would experience either deposition onto the surface, followed by lateral diffusion and accretion to the already present protein cluster, or deposition onto the protein cluster and insertion, or rejection [75,77]. Each protein behavior would result from the balance between these three ways. More recently, Rabe et al. [78] proposed that bulk protein, when it approaches an already adsorbed protein cluster, is tracked to the nearest available binding site,

i.e. to the edge of the cluster, providing that it can be found within a certain distance called the “cooperative radius”. If not, the protein is rejected into the bulk [78]. This model accounts for the heterogeneous coverage of the bilayer by the protein, marked by large clusters, as observed in **Figure 4**. It would also account for the lateral accretion of sodium caseinate particles that are otherwise repulsive to each other, being electronegative (**Figure 2**). Finally, it was suggested that recruitment of specific phospholipids by the adsorbing protein could induce cooperative adsorption through lipid-lipid separation [79], which is unlikely in the present case where mainly DOPC is present in the  $l_d$  phase of the bilayers.

### **3.3.2. Net negative surface charge of DOPC/DOPS bilayers tends to delay adsorption of sodium caseinate**

In this experiment, the interaction of sodium caseinate with DOPC/DOPS 50/50 mol.% SLBs was investigated, where DOPC and DOPS respectively bore a net neutral and a negative surface charge at pH 6.7 and at 20°C (**Figure 3**). The DOPC/DOPS 50/50 mol.% SLBs exhibited different organizations, thereby indicating their metastable nature. Noteworthy, imaging of these fluid bilayers could reveal difficult, where the sometimes-evidenced domains appeared to fluctuate in shape. Domains often appeared in presence of 0.1 mM  $\text{CaCl}_2$ , as expected ([34]; **Figure 6**), and sometimes in absence of calcium ions. No domain was visible in presence of 2 mM  $\text{CaCl}_2$  (Obeid, personal communication; [76]). Calcium ions therefore contribute to the miscibility of PS and PC phospholipids, although conclusions differ [80–82]. These domains protruded above the continuous phase by a height step of only 0.2-0.67 nm ( $\pm 0.11$  nm) depending on preparation. In contrast, they were clearly visible in friction, despite their comparable  $l_d$  phase state. According to the observations by Ross et al. [34], domains were supposed to be adhesive DOPS-rich clusters while the continuous phase was DOPC-rich with dispersed DOPS.

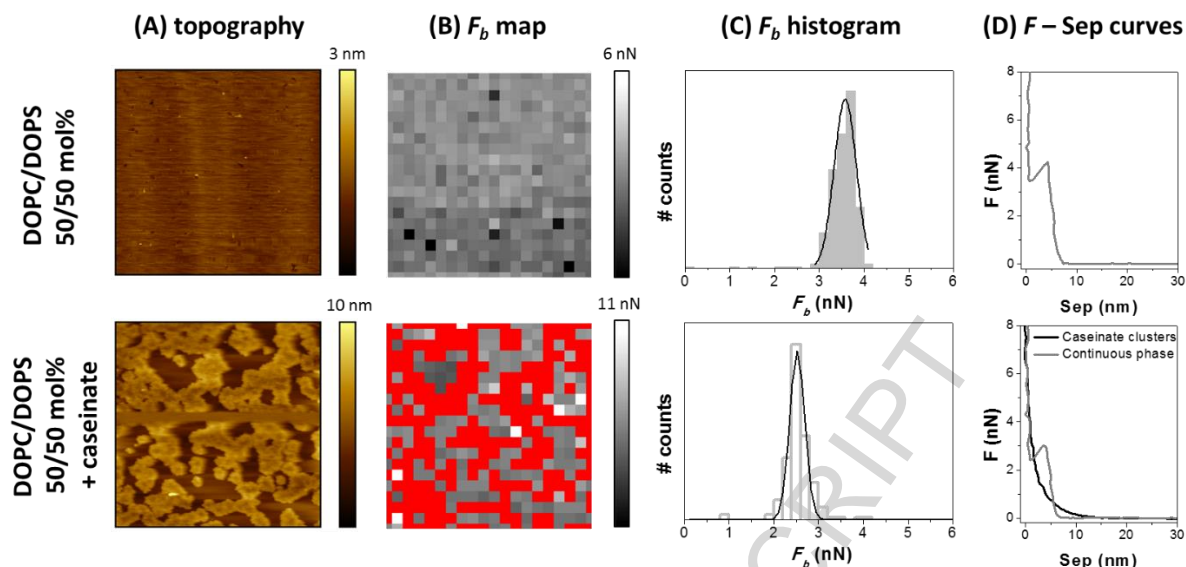


**Figure 6.** Interaction of sodium caseinate with DOPC/DOPS 50/50 mol.% bilayers in PIPES/NaCl/0.1 mM  $\text{CaCl}_2$  buffer at pH 6.7 and at 20°C. The right panel shows typical time series of AFM  $5 \times 5 \mu\text{m}^2$  topographical images prior to ( $t=0$ ) and after ( $t>0$ ) injection of 50  $\mu\text{g}$  of sodium caseinate (indicated with the blue star symbol). (A) Homogeneous bilayer where incomplete SLB formation left cracks: sodium caseinate clearly deposited in the cracks (white strands at  $t=44$  min) but not on the bilayer. (B) Near homogeneous bilayers where small, dispersed domains were visible. (C-D) Fully independent (distinct facilities and operators) examples of DOPC/DOPS 50/50 mol.% bilayers with separated domains. On the left panel, 3D-reconstitutions of images B and C at  $t=0$  show that these domains were barely visible in topography, but highly contrasted in friction (one large domain is shown in the white circle). In time series B and C, the yellow trace is the cross-section taken approximately at the half of

the image at  $t=0$ , while the blue trace is the same cross-section after deposition of the sodium caseinate. They are superimposed in the last image of each series, for better comparison. Images in A, B, D were obtained with AC mode; images in C were obtained in contact mode.

When cracks or defects were present in the DOPC/DOPS bilayers, the injected sodium caseinate readily associated with them, but did not adsorb on the bilayer itself (**Figure 6A**,  $N=5$  independent observations). When the bilayers showed no phase separation or numerous small dispersed domains, adsorption of the caseinate seemed not to occur, or not to occur before extended times  $> 1\text{h}$  (**Figure 6A, B**,  $N=5$  independent observations). The thickness of the protein layer was 1-4 nm, where it was possible to be measured. Finally, when the bilayers showed phase separation, with rather large domains, adsorption of the sodium caseinate occurred within minutes onto the continuous phase, up to full coverage (**Figure 6C, D**,  $N=3$  independent observations) and the thickness of the protein layer reached up to 8 nm.

Prior to injection of the sodium caseinate, the  $F_b$  maps of DOPC/DOPS 50/50 mol % SLBs exhibited homogenous  $F_b$  values of  $3.9 \pm 0.9$  nN, while lower forces of  $2.9 \pm 1.1$  nN sufficed to rupture the bilayers once protein had adsorbed, in places where the bilayer is still visible (**Figure 7A-C**). In agreement with what was observed for DSPC/DOPC 50/50 mol% SLBs (**Figure 5**), the adsorbed sodium caseinate destabilized the underlying membrane. In places already covered with sodium caseinate, no rupture event and deformation of soft material were observed on the force curves (**Figure 7D**), as previously observed (**Figure 5D**).



**Figure 7.** Change in mechanical stability of DOPC/DOPS 50/50 mol% SLBs as a consequence of adsorption of sodium caseinate, measured by AFM-FS in PIPES/NaCl/0.1 mM CaCl<sub>2</sub> buffer at pH 6.7 and at 20°C. (A) AC mode topography over 5×5 μm<sup>2</sup>, (B) corresponding  $F_b$  maps, (C)  $F_b$  histogram and (D) typical force-separation curves recorded prior to (upper row) or after 40 min incubation with sodium caseinate (bottom row). Red pixels on the  $F_b$  maps indicate the absence of a breakthrough event.

In conclusion, sodium caseinate did not adsorb homogeneously onto the surface of DOPC/DOPS 50/50 mol.% bilayers, in spite of their homogeneous  $l_d$  phase state. Instead, sodium caseinate did not seem to adsorb onto the DOPS-rich domains, when phase separation was present, and the presence of dispersed DOPS in the bilayers seemed to delay adsorption onto the miscible bilayers. Electrostatic repulsion between the negative DOPS surface and negative sodium caseinate particles probably accounts for these observations, even though low dielectric constant near the surface of the membrane may slightly increase the pI of the sodium caseinate [83]. Another reason for the better adsorption of sodium caseinate onto DOPC rather than DOPS could be their different molecular area, hence variable inter-molecular space for protein penetration [47]. Using dipalmitoyl phospholipids, Garcia-Manyes et al. [62] reported that a significantly greater force was required to break through a PS bilayer, compared with PC.



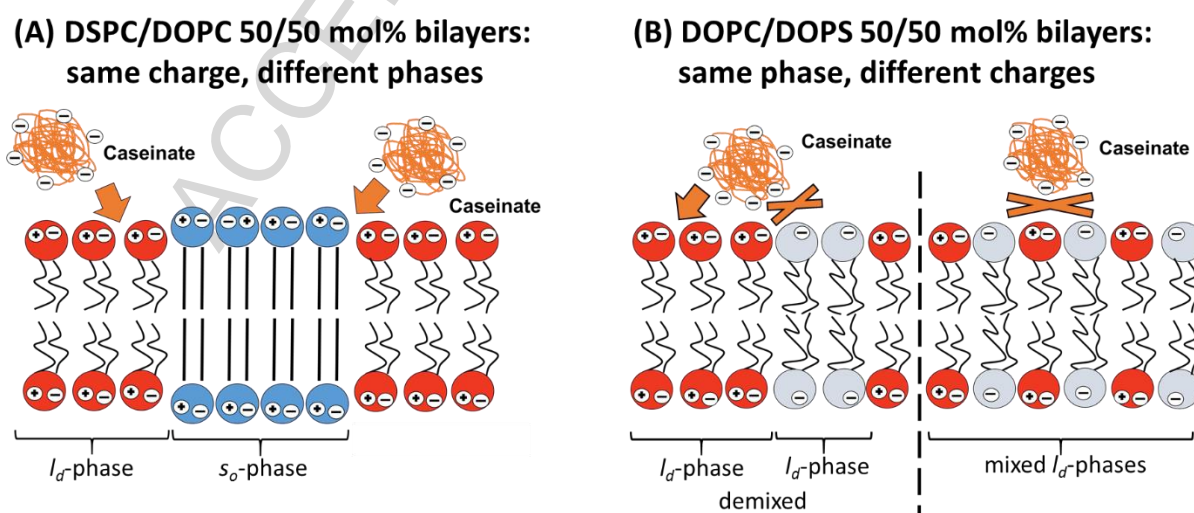
In the literature, dependence of the adsorption of casein proteins or casein particles onto charge is however unclear. At pH 7, sodium caseinate particles were reported not to adsorb neither onto egg-phosphatidylcholine (1-stearoyl,2-oleoyl-*sn*-glycero-3-phosphocholine) nor onto DPPC [22,72]. In contrast, some binding of casein particles,  $\kappa$ -casein,  $\alpha_s$ -casein or  $\beta$ -casein onto DMPC or egg-PC vesicles was reported when NaCl was present in neutral buffer [6,71]. In these conditions, caseins furthermore showed greater affinity for negatively charged phosphatidylglycerol (PG) bilayers, in contrast to our results. At pH 9 where  $\beta$ -casein is largely negatively charged, repulsive electrostatic interaction can hinder penetration of the protein in co-compressed  $\beta$ -casein and DPPC Langmuir films [74,84]. However, these reports lack direct observation of the casein-membrane interaction [71].

### 3.4. Relevance of phase and charge upon interaction of casein particles with membranes

*In-situ* observation of the interaction between dispersed sodium caseinate particles and phospholipid bilayers in hydrated conditions showed that casein particles interacted with bilayers in their  $l_d$  state, in contrast with  $s_o$ -phase bilayers where compact lateral organization of the phospholipids probably prevents penetration of the protein. Furthermore, electrostatic repulsion by PS seemed to inhibit adsorption of the sodium caseinate, even though the bilayer was in the  $l_d$  phase (**Figure 8**). This modulation of adsorption of casein particles by modulation of the polar lipid composition of the membrane could be a key issue of their traffic throughout the lactating cell's organelles and membrane. While the polar lipid compositions of the ER and the Golgi membranes seem quantitatively similar [85], the former and latter bear symmetric and asymmetric leaflets, respectively [86]. Typically, the negative PS, phosphatidylethanolamines (PE) and phosphatidylinositols (PI) are rather found on the cytosolic leaflet of the Golgi membrane, and PC and sphingomyelin on the luminal leaflet, i.e. like for the plasma membrane [86,87]. Whether or not the Golgi vesicles maintain this

asymmetry is unclear, but the repulsive/attractive property of the inner leaflet could be important when carrying the casein micelles prior to secretion into the lactating duct. The same holds true for the ER-to-Golgi transport, where this time adsorption of the casein to membrane is sought to secure transport [5].

Another interesting issue of the present results is the possible impact of the lateral distribution of the polar lipids within the membrane, on the modulation of its repulsive/attractive interaction with sodium caseinate particles. **Figure 6** indeed suggested that when DOPS was homogeneously mixed with DOPC, or when it was dispersed into small scattered domains, binding and spreading of casein clusters onto the DOPC/DOPS 50/50 mol% bilayers was somewhat inhibited. Only when DOPS was recruited and clustered into domains, the net negative phase of the continuous phase was sufficiently neutralized to allow interaction with the caseinate. This observation was in line with the proposition that electrostatic interaction and cooperative adsorption are important mechanisms to direct the behavior of casein particles when they approach lipid membranes. The role of calcium ions in the segregation of PS phospholipids from PC ones remains to be elucidated.



**Figure 8.** Interaction of sodium caseinate particles with hydrated phospholipid bilayers in PIPES/NaCl/0.1 mM CaCl<sub>2</sub> buffer at pH 6.7 and at 20°C. (A) DSPC/DOPC 50/50 mol%: the bilayers

exhibit  $s_o$ - $l_d$  phase separation and the caseinate particles adsorb only on the  $l_d$  phase where intermolecular distance is higher than in the  $s_o$  phase. (B) DOPC/DOPS 50/50 mol%: the bilayers exhibit  $l_d$ - $l_d$  phase separation and the casein particles adsorb only onto the DOPC-rich phase where net electrostatic repulsion is sufficiently reduced compared to that of the DOPS-rich domains or DOPC/DOPS mixed phase.

These results provide new insight on the mechanisms that drive interaction of the casein particles with phospholipid membranes, with possible implication for a better understanding of the synthesis of the casein micelle. Furthermore, interaction between the mature casein micelle and the membrane of the milk fat globule is susceptible to occur in the secreted milk itself, during storage, digestion or dairy processes such as coagulation or homogenization [88,89].

#### 4. CONCLUSION

The use of AFM imaging and force spectroscopy combined with sample stages accommodating liquid samples and *in-situ* modification of the aqueous bulk have permitted the direct observation of the interaction of casein particle with various types of phospholipid bilayers. Sodium caseinate particles could not adsorb onto phospholipids in the  $s_o$  phase. In contrast, they adsorbed onto the  $l_d$  phase, providing that no significant electrostatic repulsion was present. This report illustrates the interest of AFM imaging and force spectroscopy to provide qualitative, direct observation data on protein-membrane interactions.

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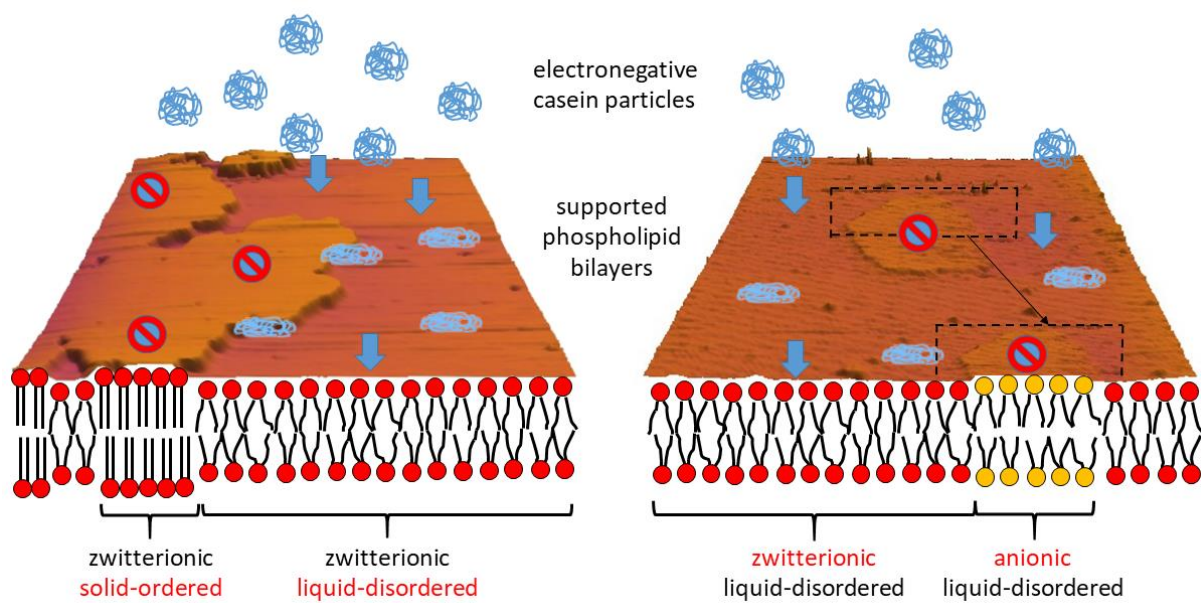
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**Conflict of interest**

Authors declare no conflict of interest. All funders of the research are mentioned in the

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**Graphical abstract**

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**Highlights**

- Negatively charged 20-nm casein particles interact with membranes on milk synthesis
- Adsorption of individual casein particles onto lipid bilayers is observed using AFM
- Casein particles do not adsorb onto densely packed phospholipids in ordered phase
- Casein particles do not adsorb onto anionic phospholipids, even in disordered phase
- Casein particles adsorb onto zwitterionic and disordered phospholipid phase

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