

On the search for representative characteristics of PV systems: Data collection and analysis of PV system azimuth, tilt, capacity, yield and shading

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On the search for representative characteristics of PV systems Data collection and analysis of PV system azimuth, tilt, capacity, yield and shading

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Solar charge 2020 View project

On the search for representative characteristics of PV systems: Data collection and analysis of PV system azimuth, tilt, capacity, yield and shading

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Abstract

Knowledge of PV system characteristics is needed in different regional PV modelling approaches. It is the aim of this paper to provide that knowledge by a twofold method that focuses on (1) metadata (tilt and azimuth of modules, installed capacity and specific annual yield) as well as (2) the impact of shading.

Metadata from 2,802,797 PV systems located in Europe, USA, Japan and Australia, representing a total capacity of 59 GWp (14.8% of installed capacity worldwide), is analysed. Visually striking interdependencies of the installed capacity and the geographic location to the other parameters tilt, azimuth and specific annual yield motivated a clustering on a country level and between systems sizes. For an eased future utilisation of the analysed metadata, each parameter in a cluster was approximated by a distribution function. Results show strong characteristics unique to each cluster, however, there are some commonalities across all clusters. Mean tilt values were reported in a range between 16.1° (Australia) and 35.6° (Belgium), average specific annual yield values occur between 786 kWh/kWp (Denmark) and 1,426 kWh/kWp (USA South). The region with smallest median capacity was the UK (2.94 kWp) and the largest was Germany (8.96 kWp). Almost all countries had a mean azimuth angle facing the equator.

PV system shading was considered by deriving viewsheds for $\approx 48,000$ buildings in Uppsala, Sweden (all ranges of solar angles were explored). From these viewsheds, two empirical equations were derived related to irradiance losses on roofs due to shading. The first expresses the loss of beam irradiance as a function of the solar elevation angle. The second determines the view factor as a function of the roof tilt including the impact from shading and can be used to estimate the losses of diffuse and reflected irradiance.

Keywords: PV system characteristics, Metadata, Shading, Data analysis

1. Introduction 1

With 402.5 GW of installed photovoltaic (PV) capacity globally (IEA, 2018), the integration of the 3 large amounts of energy generated by the numerous 4 distributed solar power systems into the electricity supply system is an issue ever gaining in impor-6 tance. Modelling of the power generated by those decentralised solar systems is of utmost importance 8 for several issues ranging from energy trading to 9 network flow control. The estimation and forecast 10 of PV power is made difficult by the fact that only a 11 minority of systems continuously report their gen-12 eration and are publicly accessible. 13

Different strategies have been proposed to over-14 come the lack of reporting (e.g. upscaling ap-15 proaches or power simulations based on satellite de-16 rived irradiance); an extensive literature overview is 17 provided in Bright et al. (2017b). Within this pa-18 per, the estimation of the aggregated power gen-19 erated in a given region by a fleet of unknown 20 Ρ V systems is referred to as regional PV power 21 modelling. Knowledge of PV system characteris-22 tics is required in the different regional PV mod-23 elling approaches to reconstruct the missing power 24 measurements (Lorenz et al., 2011; Saint-Drenan 25 et al., 2016). Some studies assign simplified as-26 sumptions of the PV system characteristics. This 27 can result in over-exaggerated grid impacts (Bright 28 et al., 2017a). Unfortunately in most cases, charac-29 teristics from PV systems are either unknown or 30

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only accessible for a small number of stakeholders (inverter manufacturers, monitoring solutions providers, etc.). As a result, progress in the area of regional PV power estimation or forecasting can 34 be considered sub-optimal as potential contributors like universities or small companies are partially excluded from access to larger datasets of measurements or metadata. This is still the case despite grid integration of solar energy being considered a strategic societal issue. Therefore, it is the aim of this paper to offer any stakeholders the possibility to develop activities on this research field by collecting, analysing and disseminating metadata on millions of PV systems installed worldwide. To begin, we must establish which metadata are the most important.

Saint-Drenan (2015) carried out a sensitivity 47 analysis and found that the four most influential characteristics impacting PV output genera-49 tion are: (1) tilt angle and (2) azimuth angle of 50 PV modules, (3) installed capacity and (4) total ef-51 ficiency (represented herein as the specific annual yield). Furthermore, (5) shading is of crucial influence on the PV power generation but is not ac-54 cessible from PV system metadata. The impact of shading can only be accessed with considerable 56 effort, e.g. simulations that consider digital elevation models (DEM) including buildings, trees and other obstacles, by analysing PV power profiles or 59 even weekly performance ratios (see Paulescu et al. 60 (2012); Freitas et al. (2015); Lingfors et al. (2018); Tsafarakis et al. (2017) for further reading). Due to its significant influence, a shading analysis complements the focus of this study.

These five identified characteristics are the cen-

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tral focus of this paper because of their general 99 66 importance for regional PV modelling approaches. 100 67 The overall aim of this paper is to achieve a full re- 101 68 producibility of the five characteristics so that they 102 69 can be used in regional PV power modelling appli- $_{103}$ 70 cations such as nowcasting or forecasting, but also 104 71 in power simulations that are used for energy sys- 105 72 tem analysis, studying the grid impact, defining the 106 73 PV power potential etc. 74 107

1.1. Related work 75

The relevant literature for this research has three 76 prominent categories: (1) metadata analysis with $_{112}$ 77 intention to improve regional PV power simula-78 tions, (2) PV performance due to specific yield, and 114 79 (3) models that consider shading analysis. 80

Category 1: Examples of literature using meta- 116 81 data to improve regional PV power simulations. 117 82 Schubert (2012) provides a useful guidebook for 118 83 the simulation of PV power that sketches impor-84 tant parts of the simulation chain and delivering 120 85 assumptions for characteristics. An overview of dif-86 ferent characteristics of tilt, azimuth, the module 122 87 and installation type are given together with sug-88 gested weights. However, these weights seem to be 124 89 assumptions with no datasets being cited as an em-90 pirical basis and so using these weights in PV sim- 126 91 ulations raise questions of trust. 92

Datasets are used by Lorenz et al. (2011), who 128 93 evaluated the representativeness of a set of ref- 129 94 erence PV systems to predict regional PV power 130 95 by analysing the orientation and module types of 131 96 $\approx 8,000$ systems in Germany. The authors note 132 97 that their dataset seem to have a disproportionate 133 98

share of large PV systems and so do not fully represent a larger portfolio.

The problem of poor representativeness was bypassed in Saint-Drenan (2015); Saint-Drenan et al. (2017) by feeding a PV model with metadata statistics from a larger sample of PV systems as opposed to a smaller and unrepresentative subset. They derived joint probabilities of azimuth and tilt from 35,000 systems and clustered them by their system size and geographic location. These empiric distributions where then used to estimate the characteristics of all 1,500,000 PV systems installed in Germany at that time. Saint-Drenan et al. (2018) complemented their earlier research by reproducing it for more European countries using statistical distributions from 35,000 PV systems in Germany and 20,000 in France. This demonstrates the significant potential of generating representative statistical distributions with intended use in regional PV power simulations.

Kühnert (2016, pp. 80-85) followed a similar approach and derived statistical distributions for tilt and azimuth from $\approx 1,300$ PV systems in Germany. Based on this portfolio, the author evaluated the representativeness should PV systems be clustered into different geographic regions and system sizes. The authors quantitatively derived recommendations between the two extremes of (1) a portfolio covering all PV systems and (2) a high number of subclasses with a very small number of PV systems. From this, we observe that there must be a well considered clustering approach in order to derive representative subclasses.

Killinger et al. (2017c) detailed a regional PV power upscaling approach which estimated the

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power of $\approx 2,000$ target PV systems based on 45 169 134 continuously measured PV systems in Freiburg, 170 135 Germany. Whereas the azimuth and tilt of the 45 171 136 measured systems were known in their case, both 172 137 parameters were derived through a geographic in- 173 138 formation system (GIS) based approach for the tar-139 get PV systems. 175 140

Furthermore, Pfenninger and Staffell (2016) use 176 141 PV power measurements and incorporate metadata 177 142 from 1,029 systems in 25 European countries to de- 178 143 rive empirical correction factors for PV power sim- 179 144 ulations. A comparison between the analysed tilt 180 145 and latitude showed an trend towards steeper an- 181 146 gles at higher latitudes, indicating that metadata 182 147 might vary with the geographic location. 148

PV system metadata is thus used to successfully 184 149 improve regional PV power upscaling across Europe 185 150 in Pfenninger and Staffell (2016); Killinger et al. 186 151 (2017c); Saint-Drenan (2015); Saint-Drenan et al. 187 152 (2017, 2018); Kühnert (2016). These works applied 188 153 information of azimuth, tilt, installed capacity and 189 154 the geographic location from PV systems to esti- 190 155 mate the power output of a larger PV fleet for sim- 191 156 ilar geographies and different countries. They stand 192 157 as an powerful and excellent example for how rep- 193 158 resentative metadata distribution statistics can be 194 159 employed. It is these examples that guide the first 195 160 usage of our vast dataset towards deriving repre-196 161 sentative metadata distributions. 162

Category 2: Excerpts of literature that analyse 198 163 the performance of PV systems. Performance is 199 164 more complex than just tilt and azimuth as it is 200 165 inherently influenced by other components, such as 201 166 soiling and meteorology. 167

Nordmann et al. (2014) found a positive correla- 203 168

tion between specific annual yield and incoming irradiance, as well as an observed negative correlation between system performance and ambient temperature. Their data was obtained via web-scraping of Solar-Log (2,914 systems in the Netherlands, Germany, Belgium, France and Italy) and collected by participants of the IEA task (>60,000 systems in the USA).

Moraitis et al. (2015) observed an increasing yield with decreasing latitude from $\approx 20,000$ systems in Netherlands, Germany, Belgium, France and Italy, also achieved using web-scraping techniques. We therefore expect to observe geographical differences due to latitude and climate.

Taylor (2015) explored the generation of 4,369distributed systems in the UK to derive the performance ratio and degradation rate. To allow reproducibility, the analysis of the performance ratio was enriched by approximating it with distribution functions. We intend to extend this style of analysis to PV system metadata.

Leloux et al. (2012a) examined data from residential PV systems in Belgium; Leloux et al. (2012b) focused on France. In Belgium, specific annual yield was analysed for 158 systems in 2009 and normalised by a factor which compared the incoming irradiance in this year to a 10 year average. The mean value was 836 kWh/kWp. The same approach led to a mean value of 1,163 kWh/kWp for 1,635 systems in 2010 in France. Weibull distributions were used throughout both papers to approximate the specific yield and performance indicators; Weibull distributions were selected for visual similarity and not for robustness of fit — we aim to use a more statistically rigorous approach to distribution

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type selection. Furthermore, a relative distribution 238 204 was provided for combinations of tilt and azimuth. 239 205 Additionally, the installed capacity was analysed in 240 206 France, showing a high number of systems with 3 241 207 kWp or slightly less. The reason for this is due to 242 208 tax credits being denied for system sizes > 3 kWp ²⁴³ 209 and a strongly increased VAT for such system sizes 244 210 (Leloux et al., 2012b). The legal framework can 245 211 thus have a strong influence on characteristics of 246 212 PV systems. Further studies exist which analyse 247 213 the specific energy of PV systems. However, most 248 214 of these studies are limited to a particular region 249 215 and less of them propose a parametric approxima- ²⁵⁰ 216 tion of the data studied. 217 251

Category 3 — the impact of shading in many ar- 252 218 ticles is only considered in a highly simplified man- 253 219 ner, e.g. by setting irradiance values zero above 254 220 a certain solar zenith angle (Lingfors and Widén, 255 221 2016), restricting simulations and analyses to time ²⁵⁶ 222 steps with certain solar zenith angles (Elsinga and 257 223 van Sark, 2015; Elsinga et al., 2017; Jamaly et al., 258 224 2013; Killinger et al., 2016; Saint-Drenan et al., 259 225 2017; Yang et al., 2014; Bright et al., 2015), ap- 260 226 plying constant losses (Mainzer et al., 2017) or as- 261 227 suming a linear decrease in the PV power values 262 228 (Schubert, 2012). Several authors expect improve- 263 229 ments in their results, when the influence of shading 264 230 is better represented (Bright et al., 2017a,b; Pareek 231 et al., 2017) 232

1.2. Contribution 233

Considering the lessons and outcomes of the dif- ²⁶⁸ 234 ferent studies described in our literature review, we 269 235 see a clear need for the production of a represen- 270 236 tative set of distributions to appropriately repre- 271 237

sent PV system metadata. Currently, further advancements in regional PV power models in the absence of significant knowledge of metadata is hindered due to several reasons Firstly, implementation is hindered due to lack of access to PV system datasets. Empirically derived distributions of these PV system parameters could replace this need, though currently are only provided for performance indicators (Taylor, 2015) and the specific annual yield (Leloux et al., 2012a). Secondly, with exception of a few studies (e.g., Saint-Drenan et al. (2015)), the issue of sample representativeness is often omitted. This is a major omission, for example, a studied dataset including a majority of roofmounted PV system has to be generalised in order to represent a fleet of systems encompassing a lot of rack-mounted PV systems. Thirdly, most of the identified studies focused on particular PV system characteristics; an integrated analysis encompassing all five key characteristics is required. Furthermore, the influence of shading is in most articles excessively simplified or more commonly excluded. Lastly, studies are mostly limited to a specific country and it is currently difficult to make comparisons between countries to assess applicability. A holistic overview of important parameters of metadata for multiple countries is clearly missing.

The objective of this paper is to address the aforementioned limitations by following the goals below:

1. To collect and process as many data sources as feasible of four identified key metadata parameters (tilt, azimuth, installed capacity and specific annual yield) for PV systems installed worldwide (section 2),

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- 2. To explore the characteristics of these key pa- 305 272 rameters and their associated interdependen- 306 273 cies (section 3.1), 274 307
- 3. To propose a a clustering approach to allow ³⁰⁸ 275 representative generalisation of our datasets 309 276 (section 3.2),277
- 4. To provide an eased access to the character- ³¹¹ 278 istics of each key parameter by fitting distri-³¹² 279 bution functions to the observed probabilities ³¹³ 280 (section 4),281
- 5. To propose a method that evaluates the im-315 282 pact of shading (section 5.1) and which derives 316 283 317 generalised findings for improved consideration 284 and implementation (section 5.2). 285

The influence of meteorological conditions, panel 286 degradation and soiling are not considered within 287 this research, beyond those losses that are inher-288 ently and statically contained within the specific 289 annual yield. Whilst they are highly interesting 290 topics and research avenues that could be explored, 291 we are more keenly interested in comparisons and 292 parametrisations of PV system metadata and re-293 serve such topics for future research, more ideas of 294 which are presented in section 6. A summary of the 295 paper is then given in section 7. In the Appendix 296 A, the forms of the distributions used in this paper 297 are defined and their fitted variables provided. 298

2. Collection and processing of PV system 299 metadata 300

An intensive effort has been conducted to iden- 336 301 tify, collect and prepare good sources of PV sys- 337 302 tem metadata. Some of the major monitoring 338 303 companies and inverter manufacturers have been 339 304

contacted. In parallel, free information on several solar portals have also been used to gather our dataset either by downloading or web-scraping techniques. Ultimately, we obtained a dataset containing 2,802,797 PV systems located in Europe, USA, Japan and Australia, which represents a total capacity of 59 GWp (14.8% of installed capacity worldwide). Every system in our records reported an installed capacity. However, the other parameters were not always reported. The systems in our database that reported a valid tilt/azimuth only have a relative share from the worldwide installed capacity of 1.7%. Geographic position was almost as often reported as installed capacity and the relative share is 14.5%. The specific annual yield has a relative share of 11%. Further detail of the parameter shares and subsequent quality filtering are found in Table A.5.

An overview of the regions covered by our study, the characteristics of the datasets and their sources are provided in Table 1. For some countries, data is derived from multiple sources. It shouldn't be ruled out that systems could be listed multiple times, leading to duplicates in the analysis. Due to the nature of reporting, a single PV system may not have the same metadata in different datasets and so it is accepted that this is an inherent error. The inhomogeneous nature of the datasets motivated us to apply some preprocessing operations to ensure that only valid system measurements are considered in our analysis and all datasets are in a consistent format. Some of these operations act as quality filters. They were developed based on our empiric experiences with the datasets and are shortly justified where presented.

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Table 1: Regions, parameters and data sources. "Rest of Europe" contains different European countries not already listed with less than 1,000 systems each. The cumulated capacity is given in MWp and, where available, as a relative share of the total installed capacity in a region (own calculations based on IEA (2018) with data from 2016 and National Grid UK (2018) in case of UK with data from 2018).

Region	No. systems	Tilt & azi.	Capacity	Spec. ann. yield	Cumulated Capacity	Source				
_	_	(°)	(kW/kWp)	(kWh/kWp)	(MWp) / % of total	_				
Australia	4,055	~	~	×	30 / 0.42	pvoutput.org				
Austria	385	\checkmark	\checkmark	2012-2016	4 / 0.33	solar-log.com				
	280	 ✓ 	✓	×	2 / 0.14	suntrol-portal.com				
	268	✓	\checkmark	2015-2017	2 / 0.17	sonnenertrag.eu				
	112	\checkmark	\checkmark	2015-2017	1 / 0.04	pvoutput.org				
Belgium	4,535	~	\checkmark	2012-2016	149 / 3.93	solar-log.com				
	3,365	 ✓ 	\checkmark	2015-2017	17 / 0.45	bdpv.fr				
	541	 ✓ 	\checkmark	2015-2017	12 / 0.32	sonnenertrag.eu				
Denmark	933	✓	~	2012-2016	7 / 0.80	solar-log.com				
	630	 ✓ 	\checkmark	×	4 / 0.42	suntrol-portal.com				
	542	 ✓ 	✓	2015-2017	2 / 0.27	pvoutput.org				
France	20,935	~	\checkmark	2015-2017	93 / 1.17	bdpv.fr				
	558	~	\checkmark	2012-2016	8 / 0.10	solar-log.com				
Germany	1,664,967	×	~	2012-2016	41,478 / 98.76	bundesnetzagentur.de				
	$23,\!536$	~	\checkmark	2012-2016	547 / 1.30	solar-log.com				
	6,561	~	\checkmark	×	124 / 0.29	suntrol-portal.com				
	6,447	~	\checkmark	2015-2017	112 / 0.27	sonnenertrag.eu				
Italy	2,506	~	\checkmark	2012-2016	30 / 0.15	solar-log.com				
	1,068	~	\checkmark	2015-2017	9 / 0.04	pvoutput.org				
	358	 ✓ 	\checkmark	2015-2017	11 / 0.06	sonnenertrag.eu				
Japan	5,233	~	\checkmark	2012-2017	42 / 0.09	jyuri.co.jp				
Netherlands	7,180	~	~	2015-2017	31 / 1.08	pvoutput.org				
	1,115	 ✓ 	\checkmark	2012-2016	14 / 0.49	solar-log.com				
	917	✓	\checkmark	2015-2017	9 / 0.31	sonnenertrag.eu				
	290	~	\checkmark	×	2 / 0.07	suntrol-portal.com				
Rest of	1,191	 ✓ 	\checkmark	2012-2016	23 / -	solar-log.com				
Europe	566	 ✓ 	\checkmark	2015-2017	5 / -	pvoutput.org				
	133	 ✓ 	✓	2015-2017	2 / -	sonnenertrag.eu				
UK	18,543	 ✓ 	\checkmark	2015-2016	58 / 0.45	microgen-				
						database.sheffield.ac.uk				
	2,286	~	~	2015-2017	9.5 / 0.07	pvoutput.org				
USA	1,020,585	✓	√	2017	16,521 / 32.39	openpv.nrel.gov				
	2,176	 ✓ 	✓	2015-2017	17 / 0.03	pvoutput.org				

Longitude and latitude: In cases where this 375 340 information was not provided, the geographical co- 376 341 ordinates were derived from OpenStreetMap using 377 342 other given information such as the zip-code, city 378 343 name, state name, etc. Erroneous locations outside 379 34 the specific region are set NA. For confidentiality 380 345 reasons geographic information was provided sep- 381 346 arately from the other parameters in case of the 382 347 18,543 systems from Sheffield Solar. The derived 383 348 longitude and latitude are not required to be highly 384 349 accurate to suit the needs of this paper as they are 385 350 purely used for trend analysis when studying rough 386 351 relationships to other parameters and for visualiza- 387 352 tion purposes. The ability to allocate a PV system 388 353 to a specific country is certain in all cases. 389 354

Tilt and azimuth: Unfortunately, this impor- 390 355 tant metadata is not available from all sources. In 391 356 case of Australia the provided data was imprecise 392 357 $(45^{\circ} \text{ steps in the azimuth})$ and thus estimated by 393 358 the approach described in Killinger et al. (2017b) 394 359 and improved in Killinger et al. (2017a) as the PV 395 360 power data was available. As Australia is on the 396 361 southern hemisphere, azimuth angles were trans- 397 362 formed to normalise the angles expressed for both 398 363 hemispheres. Within this paper, we consider -90° 399 364 to be east, 90° to be west, with 0° representing 400 365 south in the Northern hemisphere and north in the 401 366 Southern hemisphere. Multi-array systems are not 402 367 considered in this paper. In a few of the listed 403 368 datasets, an excessive amount of tilt values with 404 369 0° or 1° and azimuth values of -180° are reported. 405 370 E.g. the Australian dataset reported 36% of all sys-371 tems having a tilt angle $\leq 1^{\circ}$. Visual inspections 407 372 based on aerial images and results from the afore-408 373 mentioned parametrisation, however, showed that 409 374

ious datasets, we know that such boundary values are sometimes used as a default when data is missing. As we have no quality control measures on the data, the validity of the data at these boundary values is in question and so are removed from consideration. Tilt $\leq 1^{\circ}$ or $> 89^{\circ}$ and azimuth $< -179^{\circ}$ or $> 179^{\circ}$ are thus set NA. **Specific annual yield:** There are many instances of systems reporting a specific annual yield

such small tilt angles were very rare and regularly

incorrectly reported. From previous work with var-

of 0 kWh/kWp. Without further information from the datasets, it is not possible to distinguish whether this is a default value for missing data or a valid measurement. We expect that both cases regularly occur and so we must remove any input of 0 kWh/kWp from our analysis. Furthermore, the specific yield of a system is set NA if it was installed within the year of consideration to ensure that a full year of generation is the basis for the annual yield. In order to compensate annual meteorological fluctuations within a dataset of a country, all values within a year are divided by the ratio between the mean value from all systems in this year and the average of the mean values from all reported years. A similar approach is applied in Leloux et al. (2012a). In datasets reporting a continuous time series, the specific annual yield was derived by the summation of the normalised PV power values. Only systems which have less than 10 days / $\approx 2.7\%$ of missing time steps in their generation data are considered. The vast minority of systems in the datasets reported specific annual yield values that significantly exceed any meteorological potential. We believe that such values are either erroneous reports

of either yield or the installed capacity, as the lat- 445 410 ter is used in some datasets to derive the former 446 411 through division. Whereas Taylor (2015) applied 447 412 a statistical based upper limit for outliers, a fixed 448 413 limit of 2,000 kWh/kWp was used in this paper. 449 414 The fixed value was chosen to ensure a reliable fil- 450 415 tering even though the quality and range of values 451 416 may differ for the various datasets. A threshold 417 value of 2,000 kWh/kWp acknowledges the increas- $_{452}$ 418 ing risk of erroneous data beyond this value and is 419 very cautious limit with the aim of avoiding any а 420 erroneous filtering. In fact, this limit was only ex- 454 421 ceeded in 2.65% of all systems that reported a yield $_{455}$ 422 value from openpy.nrel.gov where we observed the 456 423 largest values within the study and only for 0.084% 457 424 of all systems in this study. 458 425

Please note that, regarding the installed capac- 459 426 ity, no pattern was recognised that led us to be- 460 427 lieve that there were any systematic quality issues. 461 428 The same applies for the other parameters that were 462 429 only available for some datasets, such as informa- 463 430 tion about the network connection for Germany as 464 431 visualised in Figure 2. Hence, the data was taken 465 432 on an as-is basis in these cases. 433

A summary of the impact of our proposed qual- 467 434 ity control criteria is provided in the appendix in 468 435 Table A.5 where percentages of removed data are 469 436 presented. Data from pyoutput.org were strongly 470 437 affected by the filtering of the low tilt values and 471 438 justify the need of such a quality control. There 472 439 is a significantly higher share of systems filtered 473 440 by $< -179^{\circ}$ when compared to the filter for az-474 441 imuths $> 179^{\circ}$. This is because south is defined 475 442 as 180° in some datasets and are therefore trans- $_{476}$ 443 formed by subtracting 180°. Invalid entries in the 477 444

same datasets were defined as 0° and subsequently filtered post-transformation by the lower threshold value for azimuth values. Insufficient information was given to derive the exact location for PV systems, mostly from pvoutput.org. All valid parameter entries that have passed the quality control are used for the analysis in the next two sections.

3. Analysis of PV system metadata

3.1. Analysis of parameters and dependencies

The datasets presented in section 2 are very inhomogeneous with large differences in the number of systems in each region and the availability of parameters. Before starting to explore individual clusters, typical ranges of these parameters and potential dependencies between them shall be studied on a global dataset. The general principle of the global dataset is that every region has the same weight. Consider Table 1, should all data be used to make global statistics, the results would be biased towards the countries with more data (USA and Germany). Therefore, a normalisation method must be employed to weight countries equally. Its derivation follows the following procedure:

(1) Specific annual yield is the only parameter that exists multiple times for each PV system. To evenly weight all systems, only one normalised specific yield value per system is considered by randomly selecting a year. This procedure was preferred to others such as e.g. taking the mean value for all values of a system in order to conserve system specific variability between years. (2) For each combination of two parameters (e.g. tilt and specific annual yield) the algorithm counts the number



Figure 1: Hybrid graphic with plots of the different parameter pairs from the global dataset. The plots below the diagonal are scatter plots with the 25%, 50% and 75% quantiles as coloured lines. Plots on the diagonal are 1D-histograms of that parameter. Plots above the diagonal are 2D-histograms of the parameter pairs; the change in colour from white to red is an indication of probability and its distribution. The 2D-histograms and scatter plots have the parameter of their column on the x-axis and the parameter of their row on the y-axis. Note that each scatter and 2D-histogram pair have opposite axes but are identical data. 1D-histograms are the only exception with having displayed the density on the y-axis. For reasons of simplification the absolute value of the latitude is taken in these plots to make results from the northern and southern hemisphere comparable. The bold number in each plot shows the number of countries (\mathbf{n}) which are considered in the plot as well as the sampling size (\mathbf{si}).

478 of couples per region that have valid reports in both
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479 parameters that have passed the quality control in
483 section 2. Only regions with a sample size of at
484 least 500 complete couples are considered to ensure
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statistical relevance. (3) The smallest number of complete couples from all regions is taken to define the sample size for the global dataset. This way, the same number of complete couples is taken

from each region. Therefore, all of the data is con- 521 486 sidered for the region with the smallest number of 522 487 complete couples. In all other regions, the same 523 488 number of couples is randomly selected. To avoid 524 489 under-representation of larger systems, the selec- 525 490 tion probability is linearly weighted with installed 526 491 capacity, not frequency. 527 492

The significant advantage of this procedure is 528 493 that regional characteristics are evenly weighted 529 494 and the availability for each pair of parameters is 530 495 individually considered. The disadvantage is that 531 496 many systems are randomly banned due to the re- 532 497 gion with least availability. We applied different 533 498 methods of sub-sampling the data, however, the re- 534 499 sulting global data was quite insensitive to differ- 535 500 ent sampling procedures indicating the robustness 536 501 of our approach. 502 537

Results from the global dataset are displayed 538 503 in Figure 1 and the following observations can be 539 504 made: 505

Latitude: To have a robust quantity of data, 541 506 PV systems in latitudes between 30° and 55° are $_{542}$ 507 studied. Latitude does not show any obvious in- 543 508 fluence to the installed capacity or azimuth angle. 544 509 The tilt angle shows a tendency to increase with 545 510 an increasing latitude, corroborating the same ob- 546 511 servation by Pfenninger and Staffell (2016) between 547 512 the latitude ranges in the study. This finding agrees 548 513 with studies showing that systems should have a 549 514 smaller tilt closer to the equator in order to opti-550 515 mise their annual yield (Šúri et al., 2007). It is still 551 516 surprising since many systems in our analysis are 552 517 installed on roofs and strongly depend on the roofs' 553 518 inclination. It can be suggested that the roof pitch 554 519 has a tendency to be steeper at higher latitudes in 555 520

our datasets and agrees with similar observations in Europe (McNeil, 1990, p. 883). Furthermore, a linear decline in the specific annual yield is observed with an increasing latitude. This occurs in accordance to the tendency of a higher solar potential in regions closer to the equator (Súri et al., 2007).

Installed capacity: Within the plot, only systems < 100 kWp are displayed for ease of visualisation. Even though the sampling weights in preference of larger systems, there is a clear concentration of smaller system sizes. There is a visual trend towards smaller tilts with an increasing installed capacity. Furthermore, there is a clear observation that larger capacity systems are consistently oriented towards the equator whereas smaller systems have a much broader range of orientation. A dependency between the installed capacity and the specific annual yield cannot be observed in the global dataset. Despite that, we would expect that the efficiency of larger systems is usually higher and systems better maintained. Most likely, this trend is invisible here since data from many geographic regions were sampled. This hypothesis is checked in section 4. The finding that PV system size has interdependencies on the other parameters can be reaffirmed with everyday observations; smaller systems are in most cases mounted on the roof of residential buildings, medium systems are typically found on farming houses or industrial buildings, and large systems are mounted on a rack on the ground.

Tilt: Tilt in the dataset mainly occurs in a range up to 50° and is often reported in steps of 5° , though reporting steps of 10° are also common. No discernible trend between tilt and azimuth is ob-



Figure 2: The installed capacity and its relationship to the relative share of systems for different countries (left). The line width and colours vary to simplify the differentiation. The cumulative installed capacity in case of Germany is shown in the right plot represented by the coloured line (colouration indicating the network connection) whereas the black line represents the cumulative number of systems. The dashed line indicates 25 kWp, which is used to sub-categorise the data in section 3.2.

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served, however the 2D-histogram shows a signifi- 577 est 15° . 556

cant density peak around the most frequent combi-557

nation of azimuth and tilt with a radially decreasing 578 558 probability, this was also observed by Saint-Drenan 579 559 (2015); Killinger et al. (2017c). A decrease in the 580 560 specific annual yield can be seen with an increas- 581 561 ing tilt. This might be caused by the finding that 582 562 tilt is usually smaller for decreasing latitudes which 583 563 occur in combination with an increased specific an- 584 564 nual yield. 565

Azimuth: There is a significant peak of azimuth 587 566 angles pointing south (north in Australia). It is 588 567 probable that this distinct peak is due to the tar-568 geted approach of solar installers who favour equa- 590 569 torial orientated rooftops due to performance ben- 591 570 efits. Indeed, azimuth angles tend towards reach- 592 571 ing a higher specific annual yield with systems ori- 593 572 ented towards 0°. In general, outliers reach a range 594 573 of $+/-100^{\circ}$ with discrete reporting intervals being 595 574 visible in the 1D-histogram and scatter plot, e.g. 596 575 databases only requiring azimuth reported to near-597 576

Specific annual yield: The 1D-histogram of yield shows the most distinct shape of all parameters with a peak around 1,000 kWh/kWp. Furthermore, there is a small peak at 1,650 kWh/kWp which is caused by PV systems in southern regions of the USA. It is not possible with the limited latitude study area to infer that the regression of specific yield with latitude will extend towards the equator; climatic regions are expected to be far more influential on the specific yield whereby around the equator there is a significant presence of clouds, and around the tropics there tends to be desert. It is probable that the secondary peak above 1,650 kWh/kWp is for systems installed in particularly arid regions found in southern USA, however, climatic influence is outside the scope of this paper and is reserved for future study. The specific annual yield has the most visually recognisable trends to all other parameters, demonstrating the strong inter-relationship. There is a need for a more detailed multi-variable analysis between specific an- 632
nual yield and the other parameters. However, due 633
to its extra complexity it falls outside the scope of 634
this paper. 635

602 3.2. Representativeness of clusters

In the previous section, important characteris- 638 603 tics of PV systems and their dependencies were de- 639 604 rived. With exception of the annual specific yield 640 605 and installed capacity in Germany, metadata of all 641 606 the installed systems within the different regions 642 607 is not known (e.g. we have access to 4,055 systems 643 608 from Australia when there are an estimated 1.8 mil- 644 609 lion installed). This restriction questions the rep- 645 610 resentativeness and re-usability of our observations 646 611 when using the statistics of a subset of systems to 647 612 infer the statistics of the remainder because some 648 613 characteristics could be over- or underrated in our 649 614 datasets. To achieve representation, a solution is to 650 615 sub-categorise metadata from the PV systems into 651 616 smaller and more homogeneous clusters. By doing 652 617 so, an end-user can use the statistics of the clusters 653 618 and weight them individually by the probability of 654 619 occurrence. Prior to an approximation of metadata 655 620 in section 4, it is the objective within this section to 656 621 define groupings or clusters of PV system that allow 657 622 the derivation of representative characteristics. 623 658

The interdependency analysis reveals two domi- 659 624 nating parameters which show multiple dependen- 660 625 cies to others: (1) The installed capacity and (2) ⁶⁶¹ 626 a geographical influence (c.f. absolute latitudes 662 627 are used to account for hemispheres). These two 663 628 findings are in accordance with Kühnert (2016); 664 629 Saint-Drenan (2015); Saint-Drenan et al. (2017) 665 630 who analysed azimuth and tilt for different classes 666 631

of installed capacity and multiple regions. Such a separation has the benefit to acknowledge the impact from these two dominating parameters on others, while still allowing us to derive meaningful statistics within a chosen cluster. As Kühnert (2016) evaluates, a balance must be found between the number and size of the clusters, in order to guarantee that each class includes a sufficient number of data to be representative.

The left plot in Figure 2 provides further insights into the system size and its relative share for different countries in this paper. Differences can be observed between countries but all show a heightened concentration towards small scale systems with a relative share between 60% (Germany) and 99%(UK) of systems < 10 kWp. Whereas most datasets only cover a selection of systems within a country, the dataset in case of Germany (bundesnetzagentur.de) covers the vast majority of systems and is detailed on the right plot. Almost one million out of the 1.6 millions German systems are smaller than 10 kWp but in total, with an aggregated capacity of ≈ 5 GWp, they only represent $\approx 12\%$ of the installed capacity. Another 650,000 systems occur in a range between 10 and 100 kWp and cover additional ≈ 17.5 GWp. Only 35,000 systems are > 100 kWp yet are responsible for half of the total installed capacity.

On the search of a threshold value to split the datasets into representative clusters, a system size of 25 kWp was chosen by considering: (1) An installed capacity of 25 kWp is an adequate size between typical roof mounted systems and larger plants, particularly as larger capacities are linked to larger physical space requirements. (2) So even

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Figure 3: Maps for Australia (top) and Europe (bottom). The left column shows systems ≤ 25 kWp and the right column systems > 25 kWp. Systems which do not report tilt are in grey colour.

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though the number of larger systems is rather low in 680 667 most countries, their strong contribution to the to-66 tal power generation and the knowledge that char-669 acteristics change with the system size justify a con-670 sideration in a separate cluster. The threshold value 671 of 25 kWp is displayed as a dashed vertical line in 672 Figure 2. If the threshold value were higher, only a 673 small number of systems would be left in the upper 674 cluster and the derivation of representative statis-675 tics impeded. (3) Several threshold values were tri-676 alled in our analysis. A value of 25 kWp was finally 677 decided upon as it satisfied the aforementioned cri-678 teria and passed visual inspection by producing dis-679

tinct distribution curves.

Both the impact of system size and the geographical influence can be studied in respect to the tilt angle of systems in Figure 3 and Figure 4. All regions show a tendency towards smaller tilt angles for system sizes > 25 kWp. Especially for systems ≤ 25 kWp in Europe, the dependency between latitude and tilt can be observed by an increasing tilt angle from Italy to Denmark. However, it should be noted that the spatial influence is not only limited to a pure geographical relationship; the spatial impact depends on regulations and incentives which often occur on a national level. The policy situation



Figure 4: Maps for Japan (top) and the USA (bottom). The left column shows systems ≤ 25 kWp and the right column systems > 25 kWp. Systems which do not report tilt are in grey colour.

in France leads to a high number of 3 kWp systems 706 693 (see Leloux et al. (2012b) in section 1.1). In Ger- 707 694 many, there are changing regulations and feed-in 708 695 tariffs for systems > 30 kWp resulting in an in- 709 696 crease in the black line of the right plot in Figure 2. 710 697 Furthermore, the UK had a higher feed-in tariff for 711 698 systems \leq 4 kWp up until January 2016 and has $_{\rm 712}$ 699 since moved to ≤ 10 kWp (ofgem, 2018). These are 713 700 such examples of significant policy-specific regional 714 701 influence that can impact upon the characteristics 715 702 of PV systems. 703 716

There are many opportunities as to how we subcategorise the data into clusters. Many of which

could be explored in order to derive meaningful information depending on the approach. Options include separating by climatic region or grouping by policy similarities. However with respect to the aforementioned aspects, a clustering at a country level seems advisable for the following reasons: (1) National regulations and incentives have a visually evident impact on the occurrence of different system sizes which may itself influence other metadata. (2) A geographical influence was observed on multiple parameters. Countries limit this influence by their size. The only exception of this strategy is the USA. The enormous geographic area of this country

results in a inhomogeneous pattern of the specific 719 annual yield. This is a direct consequence of the 720 heterogeneity of the solar resource within a country. 721 The USA was thus split at 37.5° N into a northern 722 and a southern component. The same approach 723 could be applied to Australia, however, the sample 724 size of available data is too low. Further subdivi-725 sions e.g. by the latitude for systems ≤ 25 kWp in 726 France (see tilt in Figure 4), could be considered 727 but exceed the scope of this paper and is a focus 728 of future work. (3) There is a certain convenience 729 to clustering by countries. Many of the studies pre-730 vious focused mainly on a single country, this is 731 indicative of a researchers interests and data avail-732 ability. We feel that, whilst there are many options 733 of clustering that can be explored, a preliminary 734 study at a country level is of most interest. 735

The region ``Rest of Europe" is not be considered further due to its inhomogeneous portfolio of systems across different countries in Europe. The clusters, defined by their belonging to a region and system size, are used in the next section to derive representative distributions for the metadata.



 \mathbf{for} Figure 5: Histograms of real data (bar) with approximated probability distributions (line) for the different clusters (columns) and parameters (rows), where capacity > 25 kWp. Within each of the axes is reported the name of the best fitting distribution type (see section 4.1 for detail), the root mean squared error (RMSE) between the scatter of real data in the histogram against the fitted probability density distribution, the number of data points considered for that cluster (n), and the Pearson correlation coefficient (ρ) of the linear regression. The mean value μ is shown in place of ρ for Yield. All y-axes are scaled between 0% and 50% probability except where All systems reported within this figure have a capacity ≤ 25 kWp, see appendix for the same plot is the installed capacity and yield is the specific annual yield. a bold red value is assigned to the individual axis.

742 4. Approximation of parameters in clusters 775

The intentions of parametrisation are twofold. 743 Firstly, we want to discover whether or not the pa-744 rameters (tilt, azimuth, capacity and yield) can be 745 represented with simple parametric distributions. 746 Secondly, we want to explore the relative differ-747 ences between clusters through comparison between 748 probability distributions. We concede that simple 749 distribution fitting has weaknesses such as not ap-750 propriately capturing a more complex relationship 751 offered by non-parametric fitting, however, repro-752 ducibility of the statistics is encumbered with added 753 complexity. For our first presentation of the sub-754 stantial volume of PV system data collected, we 755 focus on simple distribution fitting as interesting 756 comparisons and individual cluster insights can be 757 drawn, and we are able to comment on the ability 758 for these complex parameters to be represented as 759 such. 760

761 4.1. Methodology of fitting the distributions

In order to enable the utilisation of the aggre-762 707 gated statistics of each cluster (defined in sec-763 tion 3.2) and for each parameter (defined in sec-764 700 tion 3.1), individual distributions are fitted to the 765 real-world probability density histograms. The re-766 sults are presented in Figure 5 (≤ 25 kWp) and Fig-767 803 ure A.10 (> 25 kWp). The total number of avail- $_{802}$ 768 able data varies between clusters and parameters; 769 there is no further processing beyond the criteria 805 770 described in section 2; all possible data available 771 is used. Differences in data within a cluster are 772 due to some PV sites not reporting one or more 773 parameters. There are up to 6 years of reported 774

specific annual yield (2012-2017). The normalised value within each year is taken as an individual sample and so there are up to 6n more samples for this parameter.

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For each cluster and for each parameter, many different distribution types were fitted to the probability density. Distributions were fit using the inbuilt FITDIST function of the software Matlab^(R)(Matlab, 2018). There are 23 parametric distribution types available, of which all are fitted to the data. Where distribution types require only positive values (for parameters with negative bins) or values between 0 and 1, the data is scaled to satisfy the distribution requirements and allowed to rescale so that as many distributions could be tested; note that no distribution requiring this treatment was found to be best fitting, and so no further discussion is made regarding this normalising process. Probability density functions are then scaled to only exist between the x-axes limits as indicated in the figure, for example, the tilt distributions are only relevant between 0° and 90° . This means that the sum of all probabilities between the prescribed x-axis range must be equal to 1. This is important as some distributions facilitate values way outside of the bin limits resulting in the sum of probabilities between the bins of interest $\neq 1$, and so would not fit the histogram. The disclaimer is, therefore, that these distributions must be scaled before use and not be extrapolated beyond the specified bin ranges else risk persisting an under/overestimation about the scaling factor, defined as

$$s = \frac{1}{\sum_{a}^{b} p_{a:b}},\tag{1}$$

where s is the scaling factor, p is the probability 807 at each bin between the lower and upper bin limit, 808 a and b, respectively. The resultant fitted distribu-809 tion is then plotted against the real probability den-810 sity and tested for linear fit; the root mean squared 811 error (RMSE, percentage) and Pearson correlation 812 coefficient (ρ , dimensionless) are derived. A per-813 fectly fitted distribution would result in y = x with 814 = 1 and an RMSE = 0%. The distribution type D 815 with the lowest RMSE was selected for the plot. 816 Should there be more than one distribution type 817 that has the same RMSE, then the type with low-818 est ρ is selected. Should there still be more than 819 one distribution type after this, one of the remain-820 ing types is selected at random. 821

The exact parameterisation for each distribution 841 822 presented in Figure 5 and Figure A.10 are detailed 842 823 in Table A.4. Each distribution has up to 4 co- 843 824 efficients and are employed using different equa-825 tions, not all 23 parametric distributions are de- 845 826 tailed, only those that featured within the study. 846 827 Whilst Table A.4 details the parameterisation of 847 828 the coefficients, it is Table A.3 that explains how 848 829 to use those values to form the distribution. Fur- 849 830 thermore, the mean or median values of the whole 831 dataset, exclusive of the 25 kWp separation, are ⁸⁵⁰ 832 presented in Table 2. 851 833

834 4.2. Discussion of the distributions

The following discussion about clusters and distributions mainly refers to Figure 5 with systems 854 ≤ 25 kWp unless explicitly noted otherwise. The reason for this is that the vast majority of systems 857 are within the ≤ 25 kWp category, and so are of most interest. However, important differences to 859

Table 2: Mean or median value extracted from entire data set (without separation by capacity size) for each of the parameters of tilt angle, azimuth angle, system capacity and specific annual yield.

	Tilt	Azi.	Cap.	Yield
Country	(°)	(°)	(kWp)	(kWh/kWp)
	mean	mean	median	mean
Australia	16.1	8.58	5.00	-
Austria	31.1	-0.34	5.15	1,040
Belgium	35.6	-1.69	5.20	921.5
Denmark	30.0	0.48	6.00	786.0
France	28.7	-0.28	2.96	1,101
Germany	31.6	-2.46	8.96	870.2
Italy	19.8	-15.9	5.88	1,142
Japan	23.8	-1.20	4.92	1,222
Netherlands	32.5	0.77	3.30	855.2
UK	31.8	-1.07	2.94	896.7
USA North	25.2	0.42	5.81	1,005
USA South	19.9	9.33	5.26	1,426

system sizes > 25 kWp are mentioned and can be observed in Figure A.10.

It is important to note that only rough dependencies between parameters, regions and system sized can be considered with this clustering approach. The more intricate and established interdependencies have not been explored within this paper as it is beyond the scope of the initial objective. The authors reserve this for future work.

4.2.1. Azimuth angle

The most noticeable feature of the azimuth observations is the significant probability of an equatorial facing PV system. This is unsurprising as it offers the best annual specific yield by receiving maximum system efficiency at peak solar position. The topic of extreme probability of an equatorial orientated system was discussed in section 3.1; the prevalence of 0° is true of all sites for both < 25 and > 25 kWp. The Japan and Netherlands clusters

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have exaggerated angles of -45° or $+45^{\circ}$, assumed ⁸⁹⁴ to be a result of overly simplified reporting. ⁸⁹⁵

The distributions could not capture the probabil-862 ity of 0° with exception of the Netherlands where 897 863 a Stable distribution fitted best. Even with large *** 864 sample sizes for the USA North and south clusters, 899 865 a distribution could not be fitted that satisfied the 900 866 observed probability for an azimuth angle of 0° . 901 867 Perhaps a more complex or bespoke distribution 902 868 type is needed to suitably express the probability 903 869 distribution of azimuth angle with reproducible ac- 904 870 curacy. This large proportionality of 0° was also 905 871 observed by Saint-Drenan et al. (2018), who fitted 906 872 a normal distribution in similar magnitudes to the 907 873 logistical distribution fitted in this article. That 908 874 said, there is an argument that the significant 0° az-875 imuth feature is exaggerated when considering the 910 876 UK cluster. The majority of the data within the UK 911 877 cluster is from Sheffield Solar. Their users report 912 878 the system metadata, however, there is a feedback 913 879 to the user reporting system performance analysis 914 880 on a monthly basis, inclusive of a nearest-neighbour 915 881 performance analysis of a system of similar meta- 916 882 data. Users are encouraged to verify their reported 917 883 metadata and is often double checked with satellite 918 884 imagery; the result is much more accurate report- 919 885 ing of metadata for the UK cluster leading to the 920 886 smoothness of distribution fit. With improved PV 921 887 system metadata reporting, we see a wider spread 922 888 of azimuth about 0° . 923 889

890 4.2.2. Tilt angle

The tilt angle across all clusters is rather unique 926 per cluster with 7 different distribution types be- 927 ing found as the best fitting among 10 clusters. We 928 previously discussed the gentle increase of tilt angle with latitude. Solar installers can mount the PV panels with a steeper tilt angle to that of the roof at higher latitudes through arrangement of the mounting brackets; this is not expected to be overly common practise. The predominant factor for smaller roof integrated systems is expected to be the physical roof angle, which is influenced by local architectural styles. We suspect this is the case, particularly when considering France in Figure 3 where there exists a distinct change in the tilt for the ≤ 25 kWp systems at roughly 47.5° latitude. Note that France and Denmark have similar distributions despite France having a significant number of systems south of that 47.5° roof tilt feature. Furthermore, Belgium and the Netherlands share similar climate and latitude yet feature distinctive distributions.

Interestingly, the Australian cluster consisting of the second lowest number of observations has the second most accurate fit after USA South. This is in part due to the smoothness of the distributions and accuracy of method in which the tilt is obtained (see section 2). The USA cluster has excellently fitted distributions suggesting accurate measurement, particularly for the USA South cluster where the tilt distribution is fitted with $\rho = 1$ and RMSE=0.7%. The Japan cluster evidently suffers from reporting to the nearest 10° , and so we suggest to avoid using a best-guess approach to collecting metadata as it leads to biased distributions. The tendency for larger system sizes having smaller tilt angles, introduced in section 3.1, can be confirmed when comparing Figure 5 and Figure A.10. The only exception is Denmark, which reports only a small number of systems > 25 kWp.

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Within the distributions, the smallest mean tilt $_{963}$ angles were reported in Australia (16.07°), Italy $_{964}$ (19.81°) and USA South (19.89°). The largest $_{965}$ mean tilt values were reported in Belgium (35.58°) $_{966}$ and closely followed by the UK, Germany and Aus- $_{967}$ tria (31°). $_{968}$

935 4.2.3. Installed capacity

The most obvious observation from the installed ₉₇₁ 936 capacity is the extreme peak within the French clus-937 ter. Of all 20.6k systems (≤ 25 kWp and > 25 ₉₇₃ 938 kWp), 73.74% of them report an installed capacity ₉₇₄ 030 of 3 kWp when rounded to nearest integer, though 975 940 note that the French dataset reported to a high dec-941 imal precision. The best fitting distribution cannot 977 942 appropriately represent this extreme despite a very 978 943 high $\rho = 0.99$; the RMSE value of 5% is indicative ₉₇₉ 944 of the Stable distribution assigning 100% probabil-945 ity to 3 kWp. This distribution is, therefore, very 980 946 limited even if it does most accurately capture the 981 947 data for France. As discussed when defining the 982 948 clusters, this peak in capacity is a direct response 983 949 to regulations within that country. This is further 984 950 observed in the UK database, with the vast major- 985 951 ity of systems being < 5 kWp due to the nature of $_{986}$ 952 the feed-in tariff rate. The north and south USA 987 953 clusters demonstrate the power of a larger and con-954 sistent sample size reporting RMSE 0.5% and 0.3%, ₉₈₉ 955 respectively, with both reporting $\rho = 1$. Interest-956 ingly, the distribution type between USA clusters 991 957 are distinct from each other, with a slightly in- 992 958 creased probability of smaller systems in the south. 993 959 This is expected to be a result of more rooftop solar 994 960 in the sunnier States, though this is speculation. 961 The shape of the distribution functions for sys-996 962

tem sizes > 25 kWp (Figure A.10) differ to systems ≤ 25 kWp and show an heightened concentration of systems < 50 kWp. Australia is an exception and reports many systems with an installed capacity of ≈ 100 kWp.

The mean values of capacity are too heavily influenced by the presence of large systems (cf. 2b), and so the median value is reported to reduce bias. From the distributions, the country with smallest capacity median is the UK (2.94 kWp) and the largest is Germany (8.96 kWp). The fact that the German data reveals such a high median is reflective of the thorough nature of data collection whereby nearly all systems are reported; we have very few large systems reported from the UK as the database is primarily used for rooftop solar and so this statistic is not overly representative.

4.2.4. Specific annual yield

The most noticeable detail of the specific annual yield distribution fits is the smoothness of the histograms of raw data. This is perceived to be of two reasons. Firstly, the sample size is typically much larger (n = 5.885m) for the German cluster). Secondly, the data is digitally recorded and not reliant on human reporting. The mean μ is presented in place of the correlation coefficient so as not to over busy the plot, though for completeness, all sites reported $\rho \geq 0.98$ except USA South with $\rho = 0.93$. Recall that the specific annual yield is normalised for inter-annual differences and so we can directly compare clusters. Each cluster exhibits reasonably unique subtle traits, it is expected that the larger the share of equatorial orientated systems with more optimal tilts, the larger the specific yield,

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however, it is also a function of local meteorology 1032 997 and climate local to the systems and not just lat- 1033 998 itude, orientation and tilt. More questions can be 999 derived from these distributions than are really an- $^{1034}\,$ 1000 swered. For example, consider the German cluster. 1035 1001 There is a substantial tail towards lower specific 1036 1002 annual yields that is not observed in other clusters. 1037 1003 Germany is known to be a mature market when it 1038 1004 comes to PV, and so is this tail indicative of age- 1039 1005 ing systems, or perhaps all clusters would present 1040 1006 this pattern given as large a sample size? The USA 1041 1007 South cluster has an unexplainable peak at exactly 1042 1008 1650 kWp/kWh. The only other country within our 1043 1009 study that is comparable to southern USA in terms 1044 1010 of climate and land availability is Australia, alas, 1045 1011 we have no data for this cluster to gain insight to 1046 1012 this peak. We would expect to observe much higher 1047 1013 yields in Australia akin to southern USA. 1014 1048

Leloux et al. (2012a) found that of 158 sys- 1049 1015 tems in Belgium, the mean specific annual yield was 1050 1016 836 kWh/kWp. Our analysis of 15k specific an- 1051 1017 nual yields finds the mean to be 921.5 kWh/kWp. 1052 1018 Leloux et al. (2012b) applied the same approach 1053 1019 for 1,635 systems in France resulting in a mean of 1054 1020 1,163 kWh/kWp. Our analysis of 23.3k systems 1055 1021 places the mean value at 1,101 kWh/kWp. When 1056 1022 comparing Figure 5 and Figure A.10, the expected 1057 1023 trend towards higher specific annual yield values for 1058 1024 larger systems (see section 3.1) can be confirmed for 1059 1025 Denmark, France, Germany, Japan, Netherlands, 1060 1026 UK and USA North. The other four countries in- 1061 1027 stead show a decrease in the mean specific annual 1062 1028 yield for systems > 25 kWp. 1029 1063

From the distributions, we find the smallest 1064 mean specific annual yield is in Denmark (786.0 1065 kWh/kWp) and largest in USA south (1,426 kWh/kWp).

4.3. Using the distributions

As we have observed that each cluster and parameter can be generally represented by a probability distribution, our discussion can shift towards the usage of these statistics in regional PV power modelling approaches. Generally, the cited publications in section 1.1 (category 1) not only emphasize the practical relevance of statistical distributions in regional PV power modelling approaches, but also sketch the procedure of how these statistics can be used and therefore serve as good examples. One of these publication is Saint-Drenan et al. (2018), which provides detailed information about how fitted distributions can be applied in a regional power simulation and therefore serves as a good example.

We foresee that the fitted distributions from the previous section can be used to randomly sample the desired metadata of a portfolio of systems in a specific cluster. As pointed out in section 3.2, this cluster can then be weighted individually by the probability of occurrence (as can be derived e.g. from Figure 2. To reproduce the distributions, one must extract the appropriate distribution variables from Table A.4, apply them in the expression from Table A.3, and scale the result according to Eq. (1). We state that the data we have is not representative enough to derive global distributions as there are too many features that can influence the PV system characteristics from regions we do not have access to. The derived distribution functions should only be used for their specific clusters, or for clusters with particularly similar climates and policies.

The usage of the fitted distributions is sketched 1101 in a practical example, assuming that the PV power 1102 generation in Germany is of interest. 1103

1) In Germany, the installed capacity, geographic 1104 location and specific annual yield of all PV systems 1105 is known (see section 2) and should not been sam- 1106 pled if one wishes realistic outputs, though may be 1107 sampled for theoretical purposes. 1108

2) For each PV system, tilt and azimuth is as- 1109 1074 signed by sampling the fitted distribution from the 1110 1075 relevant cluster. E.g. a system with 10 kWp will 1111 1076 use the distributions from the cluster for systems ≤ 1112 1077 25 kWp. In this example we can extract the data 1113 1078 from Table A.4 such that the German cluster has a 1114 1079 Logistic distribution for azimuth with location co- 1115 1080 efficient of -0.1366 and scale parameter of 20.6455. 1116 1081 The distribution can be recreated using the logis- 1117 1082 tic function defined in Table A.3. Please note that 1118 1083 there is a high risk that the sampled characteristics 1119 1084 won't accurately predict the metadata for a specific 1120 1085 PV system. It is the objective to use these distribu- 1121 1086 tions to simulate larger PV portfolios and we expect 1122 1087 to derive representative characteristics for that ap- 1123 1088 plication. 1124 1089

3) The direct usage of yield is more complicated 1125 1090 for two reasons. Firstly, in contrary to azimuth, tilt 1126 1091 and installed capacity, it is not an input param-1127 1092 eter in the simulation chain but instead indicates 1128 1093 the power generation, which is the typical output 1129 1094 of a simulation. Secondly per definition, the spe-1130 1095 cific annual yield sums the PV power generation 1131 1096 over a whole year. In many application however, 1132 1097 simulations may cover a different time span. How 1133 1098 can yield be used in simulating the regional PV 1134 1099 power generation then? When making the simplify- 1135 1100

ing assumption that the meteorological conditions are relatively similar within a cluster, the observed specific annual yield can be interpreted as a measure that expresses relative performance differences between PV systems. For instance, a PV system with a yield of 600 kWh/kWp can be said to be less efficient than a PV system with a yield of 800 kWh/kWp. For usage in a simulation, a conversion from yield into an performance factor is therefore necessary. A potential method of conversion is to take the range of yields (0 to 2000 kWh/kWp) and align it to typical ranges of the performance ratio, though taking care to centre the mean yield against the mean performance ratio (said to have a wide distribution centred about 0.74 as derived from 5,000 systems in the Netherlands (Tsafarakis et al., 2017; Reich et al., 2012)). A direct linear conversion could then be applied. For example, a system in Germany with yield 870.2 kWh/kWp (the mean for this cluster) could be assigned a performance ratio of 0.74. This performance ratio can then be applied as a correction factor to either the output power or the system capacity, and therefore facilitating representative differences between systems.

4) The individual PV power generation for each system can be simulated by considering the sampled system characteristics as well as the known installed capacity, efficiency of the specific system and its geographic location. Within such a simulation, other inputs will be needed such as the local irradiance or ambient temperature. Please note, if considering such a large number of systems is too computational intense, instead a smaller number could be randomly chosen and then used within an upscaling 1136 approach.

5) Finally, the total power in Germany can then 1170 be derived by aggregating the simulated power from 1171 all systems.

1140 5. Shading on roofs

¹¹⁴¹ 5.1. Methodology of the shading analysis

1176 An objective in this paper is to derive gener-1142 alised findings of how to consider the impact of $^{\scriptscriptstyle 1177}$ 1143 shading. We aim to achieve that in a more so- $^{1178}\,$ 1144 phisticated manner than the overly simplified man- $^{\scriptscriptstyle 1179}$ 1145 ner presented in category 3 from section 1.1. It is, ¹¹⁸⁰ 1146 however, not the aim to study differences of shad-¹¹⁸¹ 1147 ing in rural or urban areas all over the world in ¹¹⁸² 1148 this paper. This shading analysis is performed on ¹¹⁸³ 1149 \approx 48,000 buildings in the city of Uppsala, Swe-¹¹⁸⁴ 1150 den (N59.9°, E17.6°). Uppsala provides a variety ¹¹⁸⁵ 1151 of different buildings (44% residential, 2.2% indus-1186 1152 tries, 5.7% commercial and services, 49% other) and ¹¹⁸⁷ 1153 therefore allows studying differences in the impact ¹¹⁸⁸ 1154 of shading. The average height of the buildings ¹¹⁸⁹ 1155 studied here are 6.4 ± 4.1 m, which may be com-¹¹⁹⁰ 1156 pared to a study on 12 US cities of various size 1191 1157 (29,498 to 1,066,354 buildings) with average build- 1192 1158 ing height ranging from 4.1 to 9.7 m (Schläpfer 1193 1159 et al., 2015). The analysis is not limited to any 1194 1160 country specific influences because all combination ¹¹⁹⁵ 1161 of solar angles are considered; climate does not in- 1196 1162 fluence this shading study. The above reasons em- 1197 1163 phasise the general representativeness of Uppsala 1198 1164 and were reason for its selection. 1165 1199

The shading analysis is realised by using the 1200 method in Lingfors et al. (2017), which was cross- 1201 validated in Lingfors et al. (2018). Inputs to 1202 the model are low-resolution LiDAR data (0.5-1 pts/m^2) and building footprints, provided by the Swedish Land Survey (2015, 2016). The model does the following:

- Finds a simple roof shape from a template of roof types using linear regression on LiDAR data;
 - (a) within the footprint of the examined building and,
 - (b) within building footprints of similar shape in its proximity,
- 2. Each roof now consists of 1-4 facets depending on the roof type (1 for flat or shed, 2 for gabled and 4 for hipped or pyramidal). If LiDAR data are insufficient, the roof type cannot be determined and the building is excluded from further analysis. The number of roof facets are >90,000 (cf. number of buildings). However, around 1,000 facets which are > 20 m above ground are excluded, as there is an increased risk of these roofs being misrepresented due to noise in the LiDAR data.
- 3. After some filtering of the LiDAR data surrounding the building, a triangulated irregular network (TIN) is produced representing objects, predominantly trees and other buildings that may shade the roof.
- 4. Using the TIN as input, a viewshed (a map showing what parts of the sky are visible from the perspective of a point on the roof) at every 0.5 m × 0.5 m section of the roof is calculated to determine whether there are objects blocking the direct solar path. The resolution of the viewshed is limited to solar elevation angles,

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 α_s , of 2.5, 7.5,..., 87.5°, and solar azimuth an-1203 gles, γ_s , of -180, -170,..., 170° where 0° is due 1204 south. Since the sky sectors are angular-equal, 1205 they are not equal in size (see dotted lines in 1206 Figure 6). Hence, the contribution of diffuse 1207 irradiance from each sky sector depends on its 1208 size and the angle-of-incidence of the irradiance 1209 from the sky sector onto the plane. 1210

5. For each combination of α_s and γ_s the mean 1211 shading of the whole roof facet is calculated, 1212 noting that roofs can be partially shaded. This 1213 is illustrated in the *viewsheds* of Figure 6. For 1214 a discrete point of the roof, each element of the 1215 sky would be either shaded or not shaded cor-1216 responding to black or white (0 or 1), respec-1217 tively, in the left panel of Figure 6. However, 1218 if the mean of all points of the roof are con-1219 sidered, the viewshed would be blurred (grey) 1236 1220 as illustrated in the right panel of Figure 6. $^{\scriptscriptstyle 1237}$ 1221 The mean viewshed displayed on the right of $^{\scriptscriptstyle 1238}$ 1222 Figure 6 is only for illustrative purposes and 1239 1223 can be considered to gain understanding as to $^{\scriptscriptstyle 1240}$ 1224 how the beam, diffuse and reflected irradiance $^{^{1241}}$ 1225 1242 subcomponents are affected by shading for the 1226 1243 general region of all facets within this study. 1227 Results of the shading analysis are presented 1244 1228 1245 in section 5.2. 1229

¹²³⁰ 5.2. Deriving a simplified shading model

The main results from the shading analysis on $_{1249}$ $\approx 48,000$ buildings in Uppsala, Sweden, are pre- $_{1250}$ sented in Figure 7. The colour of each bin in the $_{1251}$ left panel of Figure 7 represents the average ratio of $_{1252}$ all roof facets being visible to a sky sector, defined $_{1253}$



Figure 6: Polar diagrams of viewsheds, where the displayed angles represent the azimuth angle, and the radius the elevation angle. Left) illustrates the viewshed of a single point on the roof. Right) the mean viewshed of all the points on the roof is illustrated. The dotted lines mark the sky sectors for which the viewshed analysis was conducted from their respective centre points. Note that the right plot is purely illustrative and not used within any of the modelling stages.

by the corresponding solar elevation angle and solar azimuth intervals. This visibility is here referred to as the *beam shade index*, $k_{sB} \in [0, 1]$ (see Figure 6), where 0 means the roof facet is fully shaded. The dashed lines illustrate the solar path for Uppsala, Sweden. However, the corresponding solar path could be over-layered for an arbitrary site to visualise the implication of shading for that site. From the left panel of Figure 7 it is also clear that the solar azimuth has very little importance, which is logical as shading should be as likely from any direction when a large portfolio of buildings is considered.

In the right panel of Figure 7, the average (×marked) and percentiles (dashed) of k_{sB} for all roofs are presented as a function of only the solar elevation angle, hence it differs from the left panel by not considering the azimuth angle. The thin red lines

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Figure 7: To the left, the mean shade index of all studied roof facets are presented at bins of a viewshed defined by the solar elevation angle and solar azimuth. To the right, the shade index is plotted against the solar elevation angle. Every shade index profile from each studied facet are indicated with a red solid line. The mean shade index of all facets is indicated with crosses, with fitted curve represented by a dotted line as presented in Eq. (2). The RMSE between the means and fitted curve is 0.021. The dashed lines represent the different percentiles.

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represent 10,000 individual roofs. Many of these 1269 1254 lines jumps from 0 to 1 when going from one ele- 1270 1255 vation angle to the next, meaning that from being 1271 1256 entirely obscured, the roof becomes entirely visible 1272 1257 when the elevation angle is increased by 5° . The 1273 1258 mean beam shade index, \bar{k}_{sB} , of all the roof facets 1274 1259 can be represented by a fitted curve (dotted), de- 1275 1260 rived as a function of the solar elevation angle, α_s : 1276 1261

$$\bar{k}_{sB} = 1 - e^{-\alpha_s/17.5}.$$
 (2) 1278

The average beam irradiance that will fall on a tilted roof, if shading is considered, could then be calculated as:

$$B_T = \bar{k}_{sB} \frac{\cos\theta}{\cos\theta_Z} B_H, \qquad (3)$$

where B_H is the unshaded beam irradiance on the 1285 horizontal plane, θ is the angle between the incident 1286 irradiance and the normal of the roof plane and θ_Z 1287 is the solar zenith angle. 1288 Assuming similar shading properties, i.e., vegetation and urban density, as in Uppsala, this function may be used in any area to determine the impact of shading on roofs as a function of the solar elevation angle. It gives a better estimation than solely assuming a cut-off solar elevation angle for the beam irradiance, which is a method commonly used for PV potential studies.

On the other hand, the red lines of figure in the right panel, representing individual buildings, reveals the variation in shading among the buildings. Thus, studies of higher detail where, for instance, the implications in a low-voltage grid due to shading on PV modules are studied require a method that reproduces these variations.

If the global irradiance on a shaded roof is of interest, one also needs to consider the diffuse (D_T) and reflected (R_T) irradiance subcomponents on the tilted plane, which both depend on the view factor (visible fraction of the sky, $f_{sky} \in [0, 1]$) from



Figure 8: The mean f_{sky} as a function of the roof tilt 1318 (marked with x) and a fitted curve (dotted) presented in Eq. (4). The solid line represents the first term of Eq. (4)1319

the perspective of the roof. I.e., the viewshed of the 1289 roof should be considered (see Figure 6). The view 1290 factor f_{sky} represents the ratio of the isotropic dif-1291 fuse irradiance from the sky hemisphere and reaches 1292 a value of 1 for a horizontal surface if there are no 1293 shading objects. 1294

The values of f_{sky} for > 90,000 studied roof ¹³²² 1295 facets are presented in Figure 8. A fitted curve ¹³²³ 1296 (with an RMSE of 0.043 with respect to the means, ¹³²⁴ 1297 indicated by black crosses) was derived with the $^{\scriptscriptstyle 1325}$ 1298 1326 function: 1299

$$\bar{f}_{sky} = \frac{1 + \cos(\beta)}{2} - C,$$
 (4)

where the first term is the view factor for a free sky 1330 1300 and C is a constant representing the contribution $_{1331}$ 1301 from shading objects, here found to be 0.162. This ₁₃₃₂ 1302 equation may be used to calculate the diffuse and 1333 1303 reflected irradiance following equations (6) and (10) $_{1334}$ 1304 in Lingfors et al. (2017), respectively. 1305 1335

In Figure 9 the losses due to shading are pre-1336 1306 sented for the three irradiance subcomponents (cal- 1337 1307

culated individually for each roof facet), sorted with respect to decreasing diffuse irradiance losses. In this analysis, hourly instantaneous Global Horizontal Irradiance (GHI) and Direct Normal Irradi-1311 ance (DNI) data from 2014 for Uppsala were used (SMHI, 2015). B_T was calculated through Eq. (3), if we let \bar{k}_{sB} here represent the mean value of k_{sB} for all points on the individual roof facet. D_T , as well as R_T , were calculated through equations (6) and (10) in Lingfors et al. (2017), respectively, using the f_{sky} derived for each roof facet. These equations, adapted for the conventions used in the present paper, can be expressed as:

$$D_T = D_H \Big[(1 - A_i) f_{sky} + \frac{\cos \theta}{\cos \theta_Z} A_i \Big], \qquad (5)$$

and

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$$R_T = (B_H + D_H)\rho(1 - f_{sky}),$$
 (6)

where A_i is the anisotropy index and ρ is the surface albedo, here assumed to be 0.2 for all surfaces (i.e., ground, trees, buildings etc.).

Hence, Eqs. (2) and (4) in the present paper were not used here, but could be valuable in future studies where, for instance, the level of detail of the building topography in a city is unknown or the time for making detailed simulations is limited, yet the impact of shading on solar power generation is of interest." The in-fold figure illustrates the negative correlation between diffuse (D_T) and reflected (R_T) irradiance. The diffuse irradiance decreases (i.e., the losses increase) with a decreasing f_{sky} , while instead the reflected irradiance increases (i.e., negative losses in Figure 9). From Figure 8, it is clear that f_{sky} decreases with an increasing roof tilt,

leading to a higher contribution of reflected irradi-1338 ance for a highly tilted roof. The mean losses due 1339 to shading (expressed in relation to the unshaded 1340 global irradiance) for the whole building portfolio 1341 are 7.3%, 3.6%, 6.3% and -2.7% for the global, 1342 beam, diffuse and reflected irradiance, respectively, 1343 where the minus sign is indicative of an added con-1344 tribution to the total irradiance, since trees, build-1345 ings etc. adds to the total reflective area seen by 1346 the roof when shading is considered. Hence, dif-1347 fuse losses contribute the most for Uppsala, which 1348 has an annual clear-sky index of 0.63 (calculated as 1349 the global horizontal irradiation for 2014 divided by 1350 the clear-sky irradiation for the same period (Ine-1351 ichen and Perez, 2002)). One should also remem-1352 ber that all roofs in Uppsala were considered. If 1353 1371 only roofs with installed PV systems on them were 1354 1372 considered, the losses would most likely be lower. 1355 1373 It is likely that the present method over-estimates 1356 1374 the reflected irradiance at clear conditions as all 1357 1375 trees and buildings seen by a roof could also be 1358 1376 themselves shaded, therefore, offering reduced re-1359 1377 flected irradiance. To consider this is a complex 1360 1378 matter and needs extensive research. For instance 1361 1379 ray-tracing could be incorporated in the model but 1362 1380 at a computational cost. 1363 1381

6. Future advancements beyond the scope of 1383 this work

The main objective of the paper was to fit distributions to selected metadata and approximate functions that describe the impact of shading. This enables replication of these characteristics and allows a usage in regional PV power modelling ap- 1389



Figure 9: Beam and diffuse irradiance losses and the added contribution from reflected irradiance to the global irradiance considering shading on the > 90,000 studied roof facets. The in-folded figure illustrates the negative correlation between the losses of diffuse and reflected irradiance when shading is considered.

proaches with suitable representativeness. The underlying basis for the approximations are numerous datasets with metadata and simulated results from a model in the case of shading. Background information and references for further reading are provided in the related sections. Furthermore, the level of accordance of the fitted distributions and functions with the original data is expressed by error metrics and limitations of the procedure are critically discussed. Naturally, with such a considerable and detailed database of information, we cannot cover all aspects in a single paper. We have opted to present an overview in a manner that enables the user to engage with the findings. That said, we have identified several interesting topics during our work that we would like to study in more detail, however are beyond the scope of this paper's objectives.

• Focus on specific parameters: The whole

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dataset offers so much information that it is im- 1424 1390 possible to evaluate all specific parameters in 1391 detail within one paper. This data could po-1392 1426 tentially be used to study various performance 1393 1427 indicators, e.g. by including irradiance infor-1394 1428 mation in specific regions and the age of the 1395 1429 systems (provided for most systems). 1396 1430

- Dependencies between parameters: In 1431 1397 this paper we have qualitatively discussed pair- 1432 1398 wise dependencies between parameters. Fur- 1433 1399 thermore, we have applied a quantitative ap-1434 1400 proach to individual parameters by fitting dis-1435 1401 tribution functions. The next step will be 1436 1402 to quantitatively incorporate dependencies be- 1437 1403 tween multiple (two and more) parameters, e.g. 1438 1404 by joint distributions, multivariate models, etc. 1439 1405 By that, the complex relations should be better 1406 1440 represented. 1407 1441
- Complex distributions: The azimuth an- 1442 1408 gle presented irregularities with a wide base 1443 1409 and tall 0° peak and on occasion presented 1444 1410 a trimodality that is certainly not-able to be 1445 1411 captured by standard parametric distribution 1446 1412 types with satisfaction. Whilst we dispute the 1447 1413 validity of much of the measured data due 1448 1414 to reporting simplifications, there is scope to 1449 1415 analyse the distributions in a more statistically 1450 1416 rigorous manner. There is scope to combine 1451 1417 distributions and to enable multi-modal, non- 1452 1418 parametric definition of the non-conformal pa- 1453 1419 rameters, notably the tilt and azimuth. We 1454 1420 intend to make available the actual probability 1455 1421 distribution for the reader to draw their own 1456 1422 conclusions, see our invitation for collaboration 1457 1423

below.

- Cluster refinement: Influence of climatic region may influence certain parameters, particularly the specific annual yield. It is probable that the specific annual yield is not only a function of latitude (as we have demonstrated with a general regression between 30° and 50° of latitude), however, it is a function of the climatic region where those sites are situated. There is a lack of data within the 0° to 30° latitude band with which to successfully analyse this hypothesis. Further steps could be to replace the clusters by country with clusters by climate region using maps such as the Köppen-Geiger classifications, or perhaps by mean irradiance using a dataset such as NASA SSE.
- Shading: As mentioned in section 5.2 the results on shading from the present study can be used on a large portfolio of buildings, while for smaller areas one may want to produce realistic viewsheds for a few buildings to study the impact from shading. One simple approach would be to provide a database of viewsheds such as the one produced in this study, from which samples could be randomly drawn. To avoid the need of a database, another approach could be to design a model that can reproduce the distribution of shading profiles, perhaps stochastically using Markov chains to create statistically appropriate skylines. While the solar elevation angle is probably the most influential parameter, other factors such as the type of roof or height of the building would most likely also have an impact. Hence, a set

1458 1459 of building specific parameters would satisfy as 1491 inputs to such a model. 1492

1493 • Invitation for collaboration and data 1460 1494 access: This work would not have been 1461 1495 possible without support from many sides 1462 1496 mentioned in the acknowledgements. Gath-1463 1497 ering this data has proven difficult at times, 1464 1498 and finding the correct person to approach 1465 1499 was not straight forward. Therefore, we would 1466 1500 like to extend an invitation to the reader. 1467 1501 Should you have good ideas of how to use this 1468 1502 data, or have large data itself, particularly in 1469 1503 countries that we have not detailed, we en-1470 1504 courage you to get in contact with either Sven 1471 1505 (sven.killinger@ise.fraunhofer.de, Killinger 1472 1506 svnkllngr@gmail.com), Jamie 1473 1507 Bright (jamie.bright@anu.edu.au, 1474 1508 jamiebright1@gmail.com) or Nicholas En-1475 1509 gerer (nicholas.engerer@anu.edu.au). Much 1476 1510 of the data is confidential and so we cannot 1477 1511 share it, however, the aggregated statistics are 1478 1512 available. Should you wish to have access to 1479 1513 the real distributions presented in the work, 1480 1514 they are available on request, should they 1481 1515 be publicly released, all communications will 1482 1516 be made through our ResearchGate project. 1483 1517 You are encouraged to follow that project for 1484 1518 updates and communications (Bright et al., 1485 1519 2018). 1486 1520

1487 **7. Summary**

Knowledge of PV system characteristics is needed 1523
in the different regional PV modelling approaches 1524
but are either unknown or only accessible for a small 1525

number of stakeholders. The aim of this paper was to provide knowledge of PV system characteristics through data collection, analysis and distribution fitting of PV system characteristics. The structure presented was twofold and focused on (1) metadata (tilt and azimuth of modules, installed capacity and specific annual yield) as well as (2) the impact of shading.

We considered 2,802,797 PV systems located in Europe, USA, Japan and Australia, which represented a total capacity of 59 GWp (14.8% of installed capacity worldwide). Interdependencies of the installed capacity and the geographic location to the other parameters tilt, azimuth and specific annual yield were observed. To acknowledge the impact from these two dominating parameters (installed capacity and geographic location) on others and to allow a derivation of meaningful statistics, a clustering of systems on a country-basis with additional separation by systems sizes ≤ 25 kWp and > 25 kWp was introduced. For eased future utilisation of the analysed metadata, each parameter in a cluster was approximated by a distribution function. Results show strong characteristics unique to each cluster, however, there are some commonalities across all clusters. The smallest mean tilt values were reported in Australia (16.1°), USA South and Italy (19.8 and 19.9°, respectively). The largest mean tilt values were reported in Belgium (35.6°) . the UK (31.8°) and Germany (31.6°) . We find the smallest mean specific annual yield is in Denmark (786.0 kWh/kWp) and largest in south USA (1,426 kWh/kWp), this corresponds well to the climatic differences between 30 and 50° latitude within the study. The region with smallest me-

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dian capacity was UK (2.94 kWp) and the largest 1561 1526 was Germany (8.96 kWp). Almost all countries 1562 1527 had a mean azimuth angle normal to the equa-1528 tor. The number of equatorially-orientated sys- 1564 1529 tems was significantly higher than any other ori- 1565 1530 entation, such that no distribution type could ap- 1566 1531 propriately capture this characteristic. That said, 1567 1532 it is expected that the number of systems with az- 1568 1533 imuth of 0° are exaggerated due to lacking preci-1534 sion of PV system metadata reporting, and per- 1570 1535 haps the statistical distributions are more realistic 1571 1536 than the data suggests, particularly when consid-1572 1537 ering the reduced peak from higher accuracy meta- 1573 1538 data, such as that from the UK. Capacity demon- 1574 1539 strated the most cluster-unique characteristics. As 1540 each cluster represented a country, it also captures 1575 1541 national policy incentives that clearly influence the 1542 1576 overall capacity distributions. The feed-in tariffs of 1543 France, Germany and the UK have clear impact on 1544 1577 the PV system size. The shape of the distributions 1545 of specific annual yield offered the most similarity 1578 1546 between clusters, with the location/mean being pri- 1579 1547 marily a function of climate through latitude. Dis- 1580 1548 semination of clusters by climate may reveal more 1581 1549 insightful differences. All of the distributions that 1582 1550 are presented in the paper can be obtained from the 1583 1551 tables in the appendix. 1552 1584

Shading was considered by computing the view-1585 1553 shed of individual roof facets of $\approx 48,000$ buildings 1586 1554 in Uppsala, Sweden, which meant that > 90,000 ¹⁵⁸⁷ 1555 facets were analysed. Two empirical equations 1588 1556 were derived and presented. The first represents 1589 1557 the beam irradiance subcomponent, describing the 1590 1558 mean ratio of a roof that is shaded as a function 1591 1559 of the solar elevation angle. The second determines 1592 1560

the view factor as a function of the roof tilt including the impact from shading and can be used to estimate the losses of diffuse and reflected irradiance. These equations are believed to better take shading into consideration than the coarse estimates used today. For the specific meteorological conditions of Uppsala, we also showed in this study that losses of diffuse irradiance due to shading are higher than that of beam on an annual basis and should not be neglected for sites of similar cloudiness as in Uppsala (annual clear-sky index of 0.63).

Several interesting research topics beyond the scope of this paper were sketched and the offer for future collaborations expressed.

8. Conflict of interest

The authors declare no conflicts of interest.

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Appendix A. Approximation of parameters in clusters: continued

The appendix is a continuation of section 4 and provides histograms together with fitted distribu-

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tions of azimuth, tilt, specific annual yield and in-1837 stalled capacity for system sizes $>25~\mathrm{kWp}$ in Fig-1838 ure A.10. Table A.4 presents the coefficients of the 1839 fitted distributions, while distinguishing between 1840 systems ≤ 25 kWp and > 25 kWp. All distri-1841 bution functions are defined in Table A.3 and can 1842 be replicated with help of the parametrised coeffi-1843 cients. It is important that the user reads carefully 1844 section 4 in order to appropriately use the distribu-1845 tions. A summary of the impact of our proposed 1846 quality control criteria from section 2 is provided 1847 in the appendix in Table A.5 where percentages of 1848 removed data are presented. 1849





Table A.3: Definition of the probability density distributions used in the research. The coefficients correspond to those presented in Table A.4. The distribution name corresponds to the same Matlab®distribution names and readers are encourage to read the detailed descriptions at WWW.MATHWORKS.COM/HELP/STATS/CONTINUOUS-DISTRIBUTIONS.HTML. Each coefficient is defined. The equation is provided from the Matlab®documentation. Note that the Stable distribution is not explicitly a probability density function, but a characteristic function.

Distribution Name	Coeff. 1	Coeff. 2	Coeff. 3	Coeff. 4	Probability Density Function, $f(x)$
Burr type XII	α Scale	c Shape 1	k Shape 2	-	$f(x \alpha, c, k) = \frac{\frac{kc}{\alpha} \left(\frac{x}{\alpha}\right)^{c-1}}{\left(1 + \left(\frac{x}{\alpha}\right)^{c}\right)^{k+1}}$
Extreme Value	μ Location	σ Scale	-	-	$f(x \mu,\sigma) = -\sigma^{-1} \exp\left(\frac{x-\mu}{\sigma}\right) \exp\left(-\exp\left(\frac{x-\mu}{\sigma}\right)\right)$
Gamma	a Shape	b Scale	-	-	$f(x a,b) = \frac{1}{b^{a}\Gamma(a)}x^{a-1}\exp\left(\frac{-x}{b}\right)$
Generalized	k	σ	μ		$f(x k,\mu,\sigma) =$
Extreme Value	Shape	Scale	Location	-	$\left(\frac{1}{\sigma}\right)\exp\left(-\left(1+k\frac{(x-\mu)}{\sigma}\right)^{-\frac{1}{k}}\right)\left(1+k\frac{(x-\mu)}{\sigma}\right)^{-1-\frac{1}{k}}$
Inverse Gaussian	μ Scale	λ Shape	-	-	$f(x \mu,\lambda) = \sqrt{\frac{\lambda}{2\pi x^3}} \exp\left(-\frac{\lambda}{2\mu^2 x}(x-\mu)^2\right)$
Logistic	μ Location	σ Scale	-	-	$f(x \mu,\sigma) = \frac{\exp\left(\frac{x-\mu}{\sigma}\right)}{\sigma(1+\exp\left(\frac{x-\mu}{\sigma}\right)^2}$
Loglogistic	μ Log Loc.	σ Log Scale	-	-	$f(x \mu,\sigma) = \frac{1}{\sigma} \frac{1}{x} \frac{\exp\left(\frac{\log(x)-\mu}{\sigma}\right)}{\left(1+\exp\left(\frac{\log(x)-\mu}{\sigma}\right)\right)^2} \frac{1}{\Gamma(\mu)} x^{2\mu-1}$
Lognormal	μ Log Loc.	σ Log. Scale	-	-	$f(x \mu,\sigma) = \frac{1}{x\sigma\sqrt{2\pi}} \exp\left(\frac{-(\ln x - \mu)^2}{2\sigma^2}\right)$
Nakagami	μ Shape	ω Scale	-	-	$f(x \mu,\omega) = 2\left(\frac{\mu}{\omega}\right)^{\mu} \frac{1}{\Gamma(\mu)} x^{(2\mu-1)} \exp\left(\frac{-\mu x^2}{\omega}\right)$
Normal	μ Location	σ Scale	-	-	$f(x \mu,\sigma) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left(\frac{-(x-\mu)^2}{2\sigma^2}\right)$
Stable	α Shape 1	β Shape 2	γ Scale	δ Location	$E(e^{itX}) = \exp\left(-\gamma^{\alpha} t ^{\alpha}(1+i\beta\operatorname{sgn}(t)\tan\frac{\pi\alpha}{2}((\gamma t)^{1-\alpha}-1)i\delta t\right)$
t Location-Scale	μ Location	σ Scale	u Deg. of Freedom	-	$f(x \mu,\sigma,\nu) = \frac{\Gamma\left(\frac{\nu+1}{2}\right)}{\sigma\sqrt{\nu\pi}\Gamma\left(\frac{\nu}{2}\right)} \left(\frac{\nu + \left(\frac{x-\mu}{\sigma}\right)^2}{\nu}\right)^{-\left(\frac{\nu+1}{2}\right)}$
Weibull	a Scale	b Shape	-	-	$f(x a,b) = \frac{b}{a} \left(\frac{x}{a}\right)^{b-1} \exp\left(-\left(\frac{x}{a}\right)^{b}\right)$

Table A.4: The distribution coefficients corresponding to the definitions in Table A.3. The left side is for capacities ≤ 25 kWp and the right side is for > 25 kWp.

Cluster	Parameter	Dist. Type	Coeff. 1	Coeff. 2	Coeff. 3	Coeff. 4	Dist. Type	Coeff. 1	Coeff. 2	Coeff. 3	Coeff. 4
	Azimuth	Logistic	8.3472	34.2603			Nakagami	28.0028	0.2537		
Australia	Tilt	Logistic	15.0891	6.0136			Normal	14.6875	8.4183		
	Capacity	tLocationScale	4.9355	1.4855	3.2434		Lognormal	4.3491	0.8328		
	Azimuth	Logistic	0.4566	17.6159			Logistic	0.6107	19.9366		
Austria	Tilt	Weibull	34.6425	3.6381			Weibull	26.2683	2.7083		
	Capacity	GeneralizedExtremeValue	0.1633	2.9111	4.8713		GeneralizedExtreme Value	0.4337	8.5691	33.5998	
	FLH	tLocationScale	1.0668	0.0971	2.0439		Logistic	1.0144	0.1090		
	A_{zimuth}	Logistic	-1.2365	24.3969			Logistic	-3.5828	19.3034		
Beløium	Tilt	ExtremeValue	39.8100	7.8703			Logistic	29.6932	5.1091		
TIMISION	Capacity	Stable	1.4734	0.9578	1.3688	4.8772	Loglogistic	3.3900	0.0905		
	FLH	Burr	0.5814	9.2775	5.8277		tLocationScale	0.8570	0.1623	3.8904	
	Azimuth	Logistic	1.5526	20.6455			GeneralizedExtremeValue	-0.3684	49.6733	-13.0930	
Dommol	Tilt	Loglogistic	-1.1441	0.2256			Stable	0.9410	-1.0000	6.5572	41.6962
Lenmark	Capacity	tLocationScale	5.7414	1.5014	2.3622		Stable	0.9767	1.0000	2.8140	28.6026
	FLH	Stable	1.2818	-0.8035	0.0948	0.8767	Logistic	0.9500	0.0778		
	Azimuth	tLocationScale	0.3346	34.5161	5.4215		Logistic	-2.2831	19.1336		
ц. Постор	Tilt	Lognormal	-1.2139	0.4144			Burr	16.5673	5.0314	0.6793	
LIANCE	Capacity	Stable	0.4000	0.5250	0.0345	3.0118	Burr	27.9585	19.1798	0.0934	
	FLH	tLocationScale	1.1011	0.1416	7.6129		tLocationScale	1.1194	0.1111	2.9295	
	Azimuth	Logistic	-0.1366	23.0048			Stable	1.0309	-0.0002	9.9430	-0.2506
C	Tilt	ExtremeValue	38.0648	9.6547			Nakagami	1.1890	0.0887		
Germany	Capacity	GeneralizedExtremeValue	0.1143	3.5745	6.2413		Stable	0.7373	1.0000	5.2331	30.8089
	FLH	Stable	1.6611	-0.9423	0.1152	0.9086	Stable	1.5803	-0.8322	0.0902	0.9530
	A_{zimuth}	tLocationScale	-5.2371	32.9151	2.1254		ExtremeValue	4.4388	46.3326		
	Tilt	Stable	1.8917	1.0000	5.5359	19.0462	Nakagami	0.6147	0.0448		
Arphi	Capacity	Stable	0.9088	0.9411	1.5334	4.4130	Burr	37.2635	8.0048	0.1480	
	FLH	tLocationScale	1.1774	0.1415	2.5624		Logistic	1.1096	0.1246		
	Azimuth	Logistic	-0.7254	15.9481			Stable	0.4045	0.0010	0.2121	0.0007
TaraT	Tilt	$\operatorname{ExtremeValue}$	27.6791	6.4206			Weibull	18.9055	2.6245		
Tredee	Capacity	Stable	1.3094	0.9774	1.0384	4.4144	tLocationScale	48.0538	7.9913	1.4353	
	FLH	Burr	1.3730	11.1707	2.7755		Burr	1.4833	13.7688	5.1025	
	Azimuth	Stable	1.0309	0.0001	11.2285	0.0294	Logistic	-0.3912	15.7031		
Netherlands	Tilt	$\operatorname{ExtremeValue}$	38.8465	11.6153			Loglogistic	3.0459	0.2311		
	Capacity	tLocationScale	3.2381	1.3462	1.6898		Stable	0.6078	1.0000	3.7179	28.2348
	FLH	Stable	1.3553	-0.8354	0.0893	0.9334	Stable	1.4819	-0.9477	0.0760	0.9577
	A_{zimuth}	Logistic	0.2461	26.0886			Logistic	-0.8287	16.4894		
1112	Tilt	tLocationScale	31.5952	4.6591	3.3818		Gamma	3.9484	4.5939		
40	Capacity	Stable	1.8937	-0.0544	0.5898	2.9504	${ m GeneralizedExtremeValue}$	0.5210	10.8742	35.6490	
	FLH	\mathbf{Stable}	1.6851	0.0000	0.0776	0.9035	Stable	1.3744	-0.8038	0.0638	0.9395
	Azimuth	Logistic	0.4600	28.1468			tLocationScale	0.1686	12.6681	1.2295	
ITC A Nouth	Tilt	Loglogistic	-1.2944	0.2061			Stable	1.3001	1.0000	4.8192	10.6480
HIJON NCO	Capacity	GeneralizedExtremeValue	0.0868	2.5493	4.6450		${ m GeneralizedExtremeValue}$	1.1806	31.0335	45.3525	
	FLH	Stable	1.3201	-0.7411	0.0666	1.0628	Logistic	1.0679	0.0575		
	A_{zimuth}	Logistic	8.5676	29.3711			Stable	0.4000	0.4410	0.2829	0.0822
ITC A South	Tilt	tLocationScale	20.4150	3.8106	3.0306		Weibull	0.1647	1.3876		
UJDOC NCU	Capacity	Burr	0.3092	2.5724	2.2109		GeneralizedExtremeValue	1.2834	38.6717	49.6038	
	FLH	ExtremeValue	1.4898	0.0963			Logistic	1.4035	0.0579		

Table A.5: Percentages of reported (Rep.) and filtered data due to the quality control procedure sketched in section 2 for tilt, azimuth, installed capacity (Capa.), geographic location (Loca.) and specific annual yield. Numbers are given as percentage and in relation to the total number of systems that were available in each dataset.

View publication stats

Source		pvoutput.org	solar-log.com	suntrol-portal.com	sonnenertrag.eu	pvoutput.org	solar-log.com	bdpv.fr	sonnenertrag.eu	solar-log.com	suntrol-portal.com	pvoutput.org	bdpv.fr	solar-log.com	bundesnetzagentur.de	solar-log.com	suntrol-portal.com	sonnenertrag.eu	solar-log.com	pvoutput.org	sonnenertrag.eu	iyuri.co.jp	pvoutput.org	solar-log.com	sonnenertrag.eu	suntrol-portal.com	solar-log.com	pvoutput.org	sonnenertrag.eu	microgen-database.sheffield.ac.uk	pvoutput.org	openpv.nrel.gov	pvoutput.org
	> 2000	0.00	0.00	0.00	0.00	0.30	0.00	0.03	0.00	0.00	0.00	0.00	0.16	0.00	0.01	0.00	0.00	0.00	0.00	0.06	0.00	0.00	0.03	0.00	0.00	0.00	0.00	0.12	0.00	0.38	0.00	0.53	0.12
Yield	=0	0.00	0.00	0.00	39.93	0.60	0.00	67.74	41.47	0.00	0.00	0.74	60.23	0.00	0.26	0.00	0.00	39.36	0.00	1.03	41.15	0.00	0.35	0.00	52.02	0.00	0.00	3.06	36.09	0.21	0.28	0.00	0.44
	Rep.	0.00	27.64	0.00	95.65	24.11	49.36	98.08	97.41	25.70	0.00	54.98	97.38	23.26	90.75	39.31	0.00	98.89	33.49	28.40	98.14	35.56	13.43	43.14	96.04	0.00	18.19	21.61	97.24	100.00	4.33	20.03	7.23
Loca.	Rep.	96.40	100.00	100.00	100.00	67.86	95.77	100.00	99.63	100.00	100.00	68.45	100.00	63.44	99.78	100.00	100.00	99.97	86.91	78.84	100.00	100.00	55.06	100.00	100.00	100.00	72.88	62.37	100.00	100.00	62.07	94.51	65.53
Capa.	Rep.	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00
	$> 179^{\circ}$	0.00	00.00	0.71	0.00	0.00	0.00	0.00	0.00	00.00	1.27	0.00	0.17	0.00	00.00	0.10	1.01	0.03	0.00	0.00	0.00	0.00	0.00	0.09	0.00	0.34	00.00	0.00	0.00	0.00	0.00	0.03	0.00
Azimuth	$< -179^{\circ}$	0.00	2.60	0.00	1.12	6.25	0.99	0.48	0.74	2.57	0.00	4.43	0.61	5.02	0.00	2.77	0.00	1.13	4.71	4.59	0.56	0.32	7.08	1.79	0.55	0.00	13.18	21.38	5.26	0.08	4.64	0.00	7.54
	Rep.	26.95	100.00	100.00	100.00	93.75	100.00	100.00	100.00	100.00	100.00	98.34	66.66	100.00	0.00	100.00	100.00	100.00	100.00	96.35	100.00	100.00	97.09	100.00	100.00	100.00	100.00	96.29	100.00	100.00	97.16	35.59	98.30
	$> 89^{\circ}$	0.00	0.00	1.07	0.00	0.89	0.44	0.06	00.00	0.11	0.00	0.00	0.10	0.36	0.00	0.49	0.06	0.09	0.56	0.09	0.00	0.00	0.10	0.45	0.22	0.34	1.76	0.00	0.00	0.00	0.00	0.01	0.00
Tilt	$\leq 1^{\circ}$	0.00	2.34	0.71	1.87	28.57	1.65	0.48	1.48	2.25	0.63	11.62	0.25	4.84	0.00	2.30	0.76	1.72	3.63	26.12	1.40	0.00	18.44	1.26	0.55	0.69	7.30	32.33	3.76	0.06	16.23	0.05	20.63
	Rep.	26.95	100.00	100.00	100.00	83.04	100.00	100.00	100.00	100.00	100.00	93.54	100.00	100.00	0.00	100.00	100.00	100.00	100.00	86.42	100.00	100.00	92.08	100.00	100.00	100.00	100.00	88.16	100.00	99.15	81.71	37.56	93.57
Region		Australia	Austria				Belgium			Denmark			France		Germany				Italy			Japan	Netherlands				Rest of	Europe		UK		\mathbf{USA}	