

On the search for representative characteristics of PV systems: Data collection and analysis of PV system azimuth, tilt, capacity, yield and shading

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[On the search for representative characteristics of PV systems Data collection](https://www.researchgate.net/publication/327279306_On_the_search_for_representative_characteristics_of_PV_systems_Data_collection_and_analysis_of_PV_system_azimuth_tilt_capacity_yield_and_shading?enrichId=rgreq-1939f7af61d6551aec104c923d6506a8-XXX&enrichSource=Y292ZXJQYWdlOzMyNzI3OTMwNjtBUzo2NjQ3NzkyMjQwMDY2NTZAMTUzNTUwNzEyNjU5MA%3D%3D&el=1_x_3&_esc=publicationCoverPdf) and analysis of PV system azimuth, tilt, capacity, yield and shading

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Some of the authors of this publication are also working on these related projects:

localization and parameterization of existing PV systems using artificial neural networks and image recognition techniques [View project](https://www.researchgate.net/project/localization-and-parameterization-of-existing-PV-systems-using-artificial-neural-networks-and-image-recognition-techniques?enrichId=rgreq-1939f7af61d6551aec104c923d6506a8-XXX&enrichSource=Y292ZXJQYWdlOzMyNzI3OTMwNjtBUzo2NjQ3NzkyMjQwMDY2NTZAMTUzNTUwNzEyNjU5MA%3D%3D&el=1_x_9&_esc=publicationCoverPdf)

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On the search for representative characteristics of PV systems: Data collection and analysis of PV system azimuth, tilt, capacity, yield and shading

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Abstract

Knowledge of PV system characteristics is needed in different regional PV modelling approaches. It is the aim of this paper to provide that knowledge by a twofold method that focuses on (1) metadata (tilt and azimuth of modules, installed capacity and specific annual yield) as well as (2) the impact of shading.

Metadata from 2,802,797 PV systems located in Europe, USA, Japan and Australia, representing a total capacity of 59 GWp (14.8% of installed capacity worldwide), is analysed. Visually striking interdependencies of the installed capacity and the geographic location to the other parameters tilt, azimuth and specific annual yield motivated a clustering on a country level and between systems sizes. For an eased future utilisation of the analysed metadata, each parameter in a cluster was approximated by a distribution function. Results show strong characteristics unique to each cluster, however, there are some commonalities across all clusters. Mean tilt values were reported in a range between 16.1[°] (Australia) and 35.6[°] (Belgium), average specific annual yield values occur between 786 kWh/kWp (Denmark) and 1,426 kWh/kWp (USA South). The region with smallest median capacity was the UK (2.94 kWp) and the largest was Germany (8.96 kWp). Almost all countries had a mean azimuth angle facing the equator.

PV system shading was considered by deriving viewsheds for $\approx 48,000$ buildings in Uppsala, Sweden (all ranges of solar angles were explored). From these viewsheds, two empirical equations were derived related to irradiance losses on roofs due to shading. The first expresses the loss of beam irradiance as a function of the solar elevation angle. The second determines the view factor as a function of the roof tilt including the impact from shading and can be used to estimate the losses of diffuse and reflected irradiance.

Keywords: PV system characteristics, Metadata, Shading, Data analysis

1. Introduction

 With 402.5 GW of installed photovoltaic (PV) capacity globally (IEA, 2018), the integration of the large amounts of energy generated by the numerous distributed solar power systems into the electricity supply system is an issue ever gaining in importance. Modelling of the power generated by those decentralised solar systems is of utmost importance for several issues ranging from energy trading to network flow control. The estimation and forecast of PV power is made difficult by the fact that only a minority of systems continuously report their gen-eration and are publicly accessible.

 Different strategies have been proposed to over- come the lack of reporting (e.g. upscaling ap- proaches or power simulations based on satellite de- rived irradiance); an extensive literature overview is provided in Bright et al. (2017b). Within this pa- per, the estimation of the aggregated power gen- erated in a given region by a fleet of unknown PV systems is referred to as regional PV power modelling. Knowledge of PV system characteris- tics is required in the different regional PV mod- elling approaches to reconstruct the missing power measurements (Lorenz et al., 2011; Saint-Drenan et al., 2016). Some studies assign simplified as- sumptions of the PV system characteristics. This can result in over-exaggerated grid impacts (Bright et al., 2017a). Unfortunately in most cases, charac-teristics from PV systems are either unknown or

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 only accessible for a small number of stakehold- ers (inverter manufacturers, monitoring solutions providers, etc.). As a result, progress in the area of regional PV power estimation or forecasting can be considered sub-optimal as potential contributors like universities or small companies are partially ex- cluded from access to larger datasets of measure- ments or metadata. This is still the case despite grid integration of solar energy being considered a strategic societal issue. Therefore, it is the aim of this paper to offer any stakeholders the possibility to develop activities on this research field by col- lecting, analysing and disseminating metadata on millions of PV systems installed worldwide. To be- gin, we must establish which metadata are the most important.

 Saint-Drenan (2015) carried out a sensitivity analysis and found that the four most influen- tial characteristics impacting PV output genera- tion are: (1) tilt angle and (2) azimuth angle of PV modules, (3) installed capacity and (4) total ef- ficiency (represented herein as the specific annual yield). Furthermore, (5) shading is of crucial in- fluence on the PV power generation but is not ac- cessible from PV system metadata. The impact of shading can only be accessed with considerable effort, e.g. simulations that consider digital elevation models (DEM) including buildings, trees and other obstacles, by analysing PV power profiles or even weekly performance ratios (see Paulescu et al. (2012); Freitas et al. (2015); Lingfors et al. (2018); Tsafarakis et al. (2017) for further reading). Due to its significant influence, a shading analysis com-plements the focus of this study.

These five identified characteristics are the cen-

 tral focus of this paper because of their general importance for regional PV modelling approaches. The overall aim of this paper is to achieve a full re- producibility of the five characteristics so that they can be used in regional PV power modelling appli- cations such as nowcasting or forecasting, but also γ_2 in power simulations that are used for energy sys- tem analysis, studying the grid impact, defining the PV power potential etc.

⁷⁵ 1.1. Related work

⁷⁶ The relevant literature for this research has three π prominent categories: (1) metadata analysis with π ⁷⁸ intention to improve regional PV power simula- τ ⁹ tions, (2) PV performance due to specific yield, and τ ¹¹⁴ ⁸⁰ (3) models that consider shading analysis.

81 Category 1: Examples of literature using meta- α data to improve regional PV power simulations. 83 Schubert (2012) provides a useful guidebook for $\frac{1}{18}$ $_{84}$ the simulation of PV power that sketches impor- $\frac{1}{25}$ tant parts of the simulation chain and delivering $\frac{1}{20}$ 86 assumptions for characteristics. An overview of dif- $\frac{1}{87}$ ferent characteristics of tilt, azimuth, the module $\frac{1}{122}$ α and installation type are given together with sug- α $\frac{89}{124}$ gested weights. However, these weights seem to be $\frac{1}{124}$ ⁹⁰ assumptions with no datasets being cited as an em-91 pirical basis and so using these weights in PV sim-⁹² ulations raise questions of trust.

93 Datasets are used by Lorenz et al. (2011) , who 128 ⁹⁴ evaluated the representativeness of a set of ref-95 erence PV systems to predict regional PV power 130 ⁹⁶ by analysing the orientation and module types of $97 \approx 8,000$ systems in Germany. The authors note 132 ⁹⁸ that their dataset seem to have a disproportionate share of large PV systems and so do not fully represent a larger portfolio.

The problem of poor representativeness was bypassed in Saint-Drenan (2015); Saint-Drenan et al. (2017) by feeding a PV model with metadata statistics from a larger sample of PV systems as opposed to a smaller and unrepresentative subset. They derived joint probabilities of azimuth and tilt from ¹⁰⁷ 35,000 systems and clustered them by their sys-¹⁰⁸ tem size and geographic location. These empiric ¹⁰⁹ distributions where then used to estimate the char-¹¹⁰ acteristics of all 1,500,000 PV systems installed in Germany at that time. Saint-Drenan et al. (2018) complemented their earlier research by reproduc-¹¹³ ing it for more European countries using statistical distributions from $35,000$ PV systems in Germany ¹¹⁵ and 20,000 in France. This demonstrates the significant potential of generating representative statistical distributions with intended use in regional PV power simulations.

Kühnert (2016, pp. 80-85) followed a similar approach and derived statistical distributions for tilt and azimuth from $\approx 1,300$ PV systems in Germany. Based on this portfolio, the author evaluated the ¹²³ representativeness should PV systems be clustered into different geographic regions and system sizes. The authors quantitatively derived recommendations between the two extremes of (1) a portfolio ¹²⁷ covering all PV systems and (2) a high number of subclasses with a very small number of PV systems. From this, we observe that there must be a well ¹³⁰ considered clustering approach in order to derive representative subclasses.

Killinger et al. (2017c) detailed a regional PV ¹³³ power upscaling approach which estimated the 134 power of $\approx 2,000$ target PV systems based on 45 169 continuously measured PV systems in Freiburg, Germany. Whereas the azimuth and tilt of the 45 ¹³⁷ measured systems were known in their case, both ¹⁷² parameters were derived through a geographic in- formation system (GIS) based approach for the tar-get PV systems.

¹⁴¹ Furthermore, Pfenninger and Staffell (2016) use 176 ¹⁴² PV power measurements and incorporate metadata¹⁷⁷ from 1,029 systems in 25 European countries to de- rive empirical correction factors for PV power sim- ulations. A comparison between the analysed tilt and latitude showed an trend towards steeper an- gles at higher latitudes, indicating that metadata might vary with the geographic location.

 PV system metadata is thus used to successfully improve regional PV power upscaling across Europe in Pfenninger and Staffell (2016); Killinger et al. (2017c); Saint-Drenan (2015); Saint-Drenan et al. (2017, 2018); Kühnert (2016). These works applied 188 information of azimuth, tilt, installed capacity and the geographic location from PV systems to esti- mate the power output of a larger PV fleet for sim- ilar geographies and different countries. They stand as an powerful and excellent example for how rep- resentative metadata distribution statistics can be employed. It is these examples that guide the first usage of our vast dataset towards deriving repre-sentative metadata distributions.

163 Category 2: Excerpts of literature that analyse 198 ¹⁶⁴ the performance of PV systems. Performance is ¹⁶⁵ more complex than just tilt and azimuth as it is 166 inherently influenced by other components, such as 201 ¹⁶⁷ soiling and meteorology.

168 Nordmann et al. (2014) found a positive correla-

tion between specific annual yield and incoming irradiance, as well as an observed negative correlation between system performance and ambient temperature. Their data was obtained via web-scraping of 15 Solar-Log $(2,914$ systems in the Netherlands, Germany, Belgium, France and Italy) and collected by $_{175}$ participants of the IEA task ($>60,000$ systems in the USA).

Moraitis et al. (2015) observed an increasing yield with decreasing latitude from $\approx 20,000$ systems in Netherlands, Germany, Belgium, France and Italy, also achieved using web-scraping techniques. We therefore expect to observe geographical differences due to latitude and climate.

¹⁸³ Taylor (2015) explored the generation of 4,369 distributed systems in the UK to derive the performance ratio and degradation rate. To allow reproducibility, the analysis of the performance ratio was enriched by approximating it with distribution functions. We intend to extend this style of analysis to PV system metadata.

Leloux et al. $(2012a)$ examined data from residential PV systems in Belgium; Leloux et al. $(2012b)$ focused on France. In Belgium, specific annual yield was analysed for 158 systems in 2009 and normalised by a factor which compared the incoming irradiance in this year to a 10 year average. The mean value was 836 kWh/kWp . The same ap-¹⁹⁷ proach led to a mean value of 1,163 kWh/kWp for 1,635 systems in 2010 in France. Weibull distributions were used throughout both papers to approximate the specific yield and performance indicators; Weibull distributions were selected for visual simi- 202 larity and not for robustness of fit — we aim to use a more statistically rigorous approach to distribution

 type selection. Furthermore, a relative distribution was provided for combinations of tilt and azimuth. Additionally, the installed capacity was analysed in $_{207}$ France, showing a high number of systems with 3 $_{241}$ kWp or slightly less. The reason for this is due to $_{209}$ tax credits being denied for system sizes $> 3kWD$ $_{243}$ and a strongly increased VAT for such system sizes (Leloux et al., 2012b). The legal framework can thus have a strong influence on characteristics of PV systems. Further studies exist which analyse the specific energy of PV systems. However, most of these studies are limited to a particular region and less of them propose a parametric approxima-tion of the data studied.

 $_{218}$ Category 3 — the impact of shading in many ar- ticles is only considered in a highly simplified man- ner, e.g. by setting irradiance values zero above $_{221}$ a certain solar zenith angle (Lingfors and Widén, $_{255}$ $222 \quad 2016$, restricting simulations and analyses to time 256 steps with certain solar zenith angles (Elsinga and van Sark, 2015; Elsinga et al., 2017; Jamaly et al., 2013; Killinger et al., 2016; Saint-Drenan et al., 2017; Yang et al., 2014; Bright et al., 2015), ap- plying constant losses (Mainzer et al., 2017) or as- suming a linear decrease in the PV power values (Schubert, 2012). Several authors expect improve- ments in their results, when the influence of shading 231 is better represented (Bright et al., 2017a, b; Pareek $_{265}$ et al., 2017)

²³³ 1.2. Contribution

 Considering the lessons and outcomes of the dif- ferent studies described in our literature review, we see a clear need for the production of a represen-tative set of distributions to appropriately repre-

sent PV system metadata. Currently, further advancements in regional PV power models in the absence of significant knowledge of metadata is hindered due to several reasons Firstly, implementation is hindered due to lack of access to PV system datasets. Empirically derived distributions of these PV system parameters could replace this need, though currently are only provided for performance indicators $(Tavlor, 2015)$ and the specific annual yield (Leloux et al., 2012a). Secondly, with exception of a few studies (e.g., Saint-Drenan et al. (2015) , the issue of sample representativeness is often omitted. This is a major omission, for exam-²⁵¹ ple, a studied dataset including a majority of roofmounted PV system has to be generalised in order to represent a fleet of systems encompassing a lot ²⁵⁴ of rack-mounted PV systems. Thirdly, most of the identified studies focused on particular PV system ²⁵⁶ characteristics; an integrated analysis encompassing all five key characteristics is required. Furthermore, the influence of shading is in most articles excessively simplified or more commonly excluded. Lastly, studies are mostly limited to a specific country and it is currently difficult to make comparisons between countries to assess applicability. A holistic ²⁶³ overview of important parameters of metadata for multiple countries is clearly missing.

The objective of this paper is to address the afore-²⁶⁶ mentioned limitations by following the goals below:

²⁶⁷ 1. To collect and process as many data sources as feasible of four identified key metadata parameters (tilt, azimuth, installed capacity and specific annual yield) for PV systems installed worldwide (section 2),

- 2. To explore the characteristics of these key pa- rameters and their associated interdependen-cies (section 3.1),
- 3. To propose a a clustering approach to allow representative generalisation of our datasets (section 3.2),
- 4. To provide an eased access to the character- istics of each key parameter by fitting distri- bution functions to the observed probabilities 281 (section 4),
- 5. To propose a method that evaluates the im- pact of shading (section 5.1) and which derives generalised findings for improved consideration and implementation (section 5.2).

 The influence of meteorological conditions, panel degradation and soiling are not considered within this research, beyond those losses that are inher- ently and statically contained within the specific annual yield. Whilst they are highly interesting topics and research avenues that could be explored, we are more keenly interested in comparisons and parametrisations of PV system metadata and re- serve such topics for future research, more ideas of which are presented in section 6. A summary of the paper is then given in section 7. In the Appendix A, the forms of the distributions used in this paper are defined and their fitted variables provided.

2. Collection and processing of PV system metadata

 An intensive effort has been conducted to iden- tify, collect and prepare good sources of PV sys- tem metadata. Some of the major monitoring companies and inverter manufacturers have been

 contacted. In parallel, free information on sev- eral solar portals have also been used to gather our dataset either by downloading or web-scraping techniques. Ultimately, we obtained a dataset containing 2,802,797 PV systems located in Europe, USA, Japan and Australia, which represents a total capacity of 59 GWp $(14.8\% \text{ of} \text{ installed capacity})$ worldwide). Every system in our records reported an installed capacity. However, the other param- eters were not always reported. The systems in our database that reported a valid tilt/azimuth only have a relative share from the worldwide installed capacity of 1.7%. Geographic position was almost as often reported as installed capacity and the rel- ative share is 14.5%. The specific annual yield has a relative share of 11%. Further detail of the pa- rameter shares and subsequent quality filtering are found in Table A.5.

³²³ An overview of the regions covered by our study, the characteristics of the datasets and their sources are provided in Table 1. For some countries, data is derived from multiple sources. It shouldn't be ruled out that systems could be listed multiple times, leading to duplicates in the analysis. Due to the nature of reporting, a single PV system may not have the same metadata in different datasets and so it is accepted that this is an inherent error. The inhomogeneous nature of the datasets motivated us to apply some preprocessing operations to ensure that only valid system measurements are considered in our analysis and all datasets are in a consistent format. Some of these operations act as quality filters. They were developed based on our empiric experiences with the datasets and are shortly justified where presented.

Table 1: Regions, parameters and data sources. "Rest of Europe" contains different European countries not already listed with less than 1,000 systems each. The cumulated capacity is given in MWp and, where available, as a relative share of the total installed capacity in a region (own calculations based on IEA (2018) with data from 2016 and National Grid UK (2018) in case of UK with data from 2018).

Region	No. systems	Tilt & azi.	Capacity	Spec. ann. yield	Cumulated Capacity	Source
		(°)	(kW/kWp)	(kWh/kWp)	(MWp) / $%$ of total	$\qquad \qquad -$
Australia	4,055	\checkmark	\checkmark	×	30 / 0.42	pvoutput.org
Austria	385	\checkmark	\checkmark	2012-2016	4 / 0.33	solar-log.com
	280	✓	✓	\times	2 / 0.14	suntrol-portal.com
	268	✓	✓	2015-2017	2 / 0.17	sonnenertrag.eu
	112	✓	✓	2015-2017	1 / 0.04	pvoutput.org
Belgium	4,535	\checkmark	\checkmark	2012-2016	149 / 3.93	solar-log.com
	3,365	✓	\checkmark	2015-2017	17/0.45	bdpv.fr
	541	✓	✓	2015-2017	12 / 0.32	sonnenertrag.eu
Denmark	933	\checkmark	\checkmark	2012-2016	7/0.80	solar-log.com
	630	✓	\checkmark	\times	4/0.42	suntrol-portal.com
	542	✓	\checkmark	2015-2017	2 / 0.27	pvoutput.org
France	20,935	\checkmark	\checkmark	2015-2017	93 / 1.17	bdpv.fr
	558	\checkmark	\checkmark	2012-2016	8 / 0.10	solar-log.com
Germany	1,664,967	X	✓	2012-2016	41,478 / 98.76	bundesnetzagentur.de
	23,536	✓	✓	2012-2016	547 / 1.30	solar-log.com
	6,561	✓	\checkmark	\times	124 / 0.29	suntrol-portal.com
	6,447	✓	\checkmark	2015-2017	112 / 0.27	sonnenertrag.eu
Italy	2,506	✓	\checkmark	2012-2016	30 / 0.15	solar-log.com
	1,068	✓	\checkmark	2015-2017	9 / 0.04	pvoutput.org
	358	✓	✓	2015-2017	11 / 0.06	sonnenertrag.eu
Japan	5,233	\checkmark	\checkmark	2012-2017	42/0.09	jyuri.co.jp
Netherlands	7,180	\checkmark	✓	2015-2017	31 / 1.08	pvoutput.org
	1,115	✓	✓	2012-2016	14 / 0.49	solar-log.com
	917	✓	✓	2015-2017	9 / 0.31	sonnenertrag.eu
	290	✓	\checkmark	×	2 / 0.07	suntrol-portal.com
Rest of	1,191	✓	\checkmark	2012-2016	$23/-$	solar-log.com
Europe	566	✓	\checkmark	2015-2017	$5/-$	pvoutput.org
	133	✓	\checkmark	2015-2017	$2/-$	sonnenertrag.eu
UK	18,543	\checkmark	\checkmark	2015-2016	58 / 0.45	microgen-
						database.sheffield.ac.uk
	2,286	✓	\checkmark	2015-2017	9.5 / 0.07	pvoutput.org
USA	1,020,585	✓	\checkmark	2017	16,521 / 32.39	openpv.nrel.gov
	2,176	✓	\checkmark	2015-2017	17/0.03	pvoutput.org

340 Longitude and latitude: In cases where this 375 information was not provided, the geographical co-342 ordinates were derived from OpenStreetMap using 377 other given information such as the zip-code, city name, state name, etc. Erroneous locations outside the specific region are set NA. For confidentiality reasons geographic information was provided sep- arately from the other parameters in case of the 382 18,543 systems from Sheffield Solar. The derived 349 longitude and latitude are not required to be highly 384 accurate to suit the needs of this paper as they are purely used for trend analysis when studying rough relationships to other parameters and for visualiza- tion purposes. The ability to allocate a PV system to a specific country is certain in all cases.

355 Tilt and azimuth: Unfortunately, this impor- 390 tant metadata is not available from all sources. In case of Australia the provided data was imprecise (45 \degree steps in the azimuth) and thus estimated by the approach described in Killinger et al. (2017b) and improved in Killinger et al. (2017a) as the PV power data was available. As Australia is on the southern hemisphere, azimuth angles were trans- formed to normalise the angles expressed for both hemispheres. Within this paper, we consider $-90°$ 399 364 365 to be east, 90° to be west, with 0° representing south in the Northern hemisphere and north in the Southern hemisphere. Multi-array systems are not considered in this paper. In a few of the listed datasets, an excessive amount of tilt values with ³⁷⁰ O^o or 1[°] and azimuth values of −180[°] are reported. E.g. the Australian dataset reported 36\% of all sys- 406 $_{372}$ tems having a tilt angle $\leq 1^{\circ}$. Visual inspections based on aerial images and results from the afore-mentioned parametrisation, however, showed that

such small tilt angles were very rare and regularly incorrectly reported. From previous work with various datasets, we know that such boundary values are sometimes used as a default when data is missing. As we have no quality control measures on the data, the validity of the data at these boundary values is in question and so are removed from consideration. Tilt $\leq 1^{\circ}$ or $> 89^{\circ}$ and azimuth $<-179^{\circ}$ 383 or $> 179^\circ$ are thus set NA.

Specific annual yield: There are many instances of systems reporting a specific annual yield of 0 kWh/kWp. Without further information from the datasets, it is not possible to distinguish whether this is a default value for missing data or a ³⁸⁹ valid measurement. We expect that both cases regularly occur and so we must remove any input of 0 kWh/kWp from our analysis. Furthermore, the specific yield of a system is set NA if it was installed within the year of consideration to ensure that a full year of generation is the basis for the annual yield. In order to compensate annual meteorological fluctuations within a dataset of a country, all values within a year are divided by the ratio between the mean value from all systems in this year and the average of the mean values from all reported years. A similar approach is applied in Leloux et al. $(2012a)$. In datasets reporting a continuous time series, the specific annual yield was derived by the summation of the normalised PV power values. Only systems which have less than 10 days / $\approx 2.7\%$ of missing time steps in their generation data are considered. The vast minority of systems in the datasets reported specific annual yield values that significantly exceed any meteorological potential. We believe that such values are either erroneous reports of either yield or the installed capacity, as the lat- ter is used in some datasets to derive the former through division. Whereas Taylor (2015) applied 447 a statistical based upper limit for outliers, a fixed limit of 2,000 kWh/kWp was used in this paper. The fixed value was chosen to ensure a reliable fil-⁴¹⁶ tering even though the quality and range of values ⁴⁵¹ may differ for the various datasets. A threshold 418 value of 2,000 kWh/kWp acknowledges the increas- $_{452}$ ing risk of erroneous data beyond this value and is a very cautious limit with the aim of avoiding any erroneous filtering. In fact, this limit was only ex-422 ceeded in 2.65% of all systems that reported a yield 455 value from openpv.nrel.gov where we observed the $_{424}$ largest values within the study and only for 0.084 $\%$ $_{457}$ of all systems in this study.

 Please note that, regarding the installed capac- ity, no pattern was recognised that led us to be- lieve that there were any systematic quality issues. ⁴²⁹ The same applies for the other parameters that were ⁴⁶² only available for some datasets, such as informa-⁴³¹ tion about the network connection for Germany as ⁴⁶⁴ visualised in Figure 2. Hence, the data was taken on an as-is basis in these cases.

⁴³⁴ A summary of the impact of our proposed qual-⁴³⁵ ity control criteria is provided in the appendix in 436 Table A.5 where percentages of removed data are 469 437 presented. Data from pvoutput.org were strongly 470 ⁴³⁸ affected by the filtering of the low tilt values and ⁴⁷¹ ⁴³⁹ justify the need of such a quality control. There ⁴⁴⁰ is a significantly higher share of systems filtered $_{441}$ by $<-179^{\circ}$ when compared to the filter for az- $_{442}$ imuths > 179 $^{\circ}$. This is because south is defined as 180◦ ⁴⁴³ in some datasets and are therefore transformed by subtracting 180◦ ⁴⁴⁴ . Invalid entries in the

 445 same datasets were defined as $0°$ and subsequently filtered post-transformation by the lower threshold value for azimuth values. Insufficient information was given to derive the exact location for PV systems, mostly from pvoutput.org. All valid parameter entries that have passed the quality control are used for the analysis in the next two sections.

3. Analysis of PV system metadata

⁴⁵³ 3.1. Analysis of parameters and dependencies

The datasets presented in section 2 are very inhomogeneous with large differences in the number ⁴⁵⁶ of systems in each region and the availability of ⁴⁵⁷ parameters. Before starting to explore individual ⁴⁵⁸ clusters, typical ranges of these parameters and potential dependencies between them shall be studied ⁴⁶⁰ on a global dataset. The general principle of the ⁴⁶¹ global dataset is that every region has the same weight. Consider Table 1, should all data be used to make global statistics, the results would be biased towards the countries with more data (USA and Germany). Therefore, a normalisation method ⁴⁶⁶ must be employed to weight countries equally. Its derivation follows the following procedure:

 (1) Specific annual yield is the only parameter that exists multiple times for each PV system. To evenly weight all systems, only one normalised specific yield value per system is considered by randomly selecting a year. This procedure was preferred to others such as e.g. taking the mean value for all values of a system in order to conserve sys- $-$ tem specific variability between years. (2) For each combination of two parameters (e.g. tilt and specific annual yield) the algorithm counts the number

Figure 1: Hybrid graphic with plots of the different parameter pairs from the global dataset. The plots below the diagonal are scatter plots with the 25%, 50% and 75% quantiles as coloured lines. Plots on the diagonal are 1D-histograms of that parameter. Plots above the diagonal are 2D-histograms of the parameter pairs; the change in colour from white to red is an indication of probability and its distribution. The 2D-histograms and scatter plots have the parameter of their column on the x-axis and the parameter of their row on the y-axis. Note that each scatter and 2D-histogram pair have opposite axes but are identical data. 1D-histograms are the only exception with having displayed the density on the y-axis. For reasons of simplification the absolute value of the latitude is taken in these plots to make results from the northern and southern hemisphere comparable. The bold number in each plot shows the number of countries (n) which are considered in the plot as well as the sampling size (si).

⁴⁷⁸ of couples per region that have valid reports in both ⁴⁸² 479 parameters that have passed the quality control in 483 ⁴⁸⁰ section 2. Only regions with a sample size of at ⁴⁸¹ least 500 complete couples are considered to ensure statistical relevance. (3) The smallest number of complete couples from all regions is taken to define the sample size for the global dataset. This way, the same number of complete couples is taken

 from each region. Therefore, all of the data is con-487 sidered for the region with the smallest number of complete couples. In all other regions, the same number of couples is randomly selected. To avoid under-representation of larger systems, the selec- tion probability is linearly weighted with installed capacity, not frequency.

 The significant advantage of this procedure is that regional characteristics are evenly weighted 495 and the availability for each pair of parameters is individually considered. The disadvantage is that many systems are randomly banned due to the re- gion with least availability. We applied different methods of sub-sampling the data, however, the re- sulting global data was quite insensitive to differ- ent sampling procedures indicating the robustness of our approach.

 Results from the global dataset are displayed in Figure 1 and the following observations can be made:

 Latitude: To have a robust quantity of data, PV systems in latitudes between $30°$ and $55°$ are studied. Latitude does not show any obvious in- fluence to the installed capacity or azimuth angle. The tilt angle shows a tendency to increase with an increasing latitude, corroborating the same ob- servation by Pfenninger and Staffell (2016) between the latitude ranges in the study. This finding agrees with studies showing that systems should have a smaller tilt closer to the equator in order to opti- $_{516}$ mise their annual yield (\check{S} úri et al., 2007). It is still $_{551}$ surprising since many systems in our analysis are installed on roofs and strongly depend on the roofs' inclination. It can be suggested that the roof pitch has a tendency to be steeper at higher latitudes in our datasets and agrees with similar observations in Europe (McNeil, 1990, p. 883). Furthermore, a lin- ear decline in the specific annual yield is observed with an increasing latitude. This occurs in accordance to the tendency of a higher solar potential in regions closer to the equator ($\tilde{\rm S}$ *u*ri et al., 2007).

Installed capacity: Within the plot, only sys $tems < 100$ kWp are displayed for ease of visualisation. Even though the sampling weights in preference of larger systems, there is a clear concentration of smaller system sizes. There is a visual trend towards smaller tilts with an increasing installed capacity. Furthermore, there is a clear observation that larger capacity systems are consistently ori- ented towards the equator whereas smaller systems have a much broader range of orientation. A depen- dency between the installed capacity and the specific annual yield cannot be observed in the global dataset. Despite that, we would expect that the efficiency of larger systems is usually higher and systems better maintained. Most likely, this trend is invisible here since data from many geographic regions were sampled. This hypothesis is checked in section 4. The finding that PV system size has interdependencies on the other parameters can be reaffirmed with everyday observations; smaller systems are in most cases mounted on the roof of residential buildings, medium systems are typically found on farming houses or industrial buildings, and large systems are mounted on a rack on the ground.

Tilt: Tilt in the dataset mainly occurs in a range μ ₅₅₃ up to 50 \degree and is often reported in steps of 5 \degree , $_{554}$ though reporting steps of 10° are also common. No discernible trend between tilt and azimuth is ob-

Figure 2: The installed capacity and its relationship to the relative share of systems for different countries (left). The line width and colours vary to simplify the differentiation. The cumulative installed capacity in case of Germany is shown in the right plot represented by the coloured line (colouration indicating the network connection) whereas the black line represents the cumulative number of systems. The dashed line indicates 25 kWp, which is used to sub-categorise the data in section 3.2.

 served, however the 2D-histogram shows a signifi- cant density peak around the most frequent combi- nation of azimuth and tilt with a radially decreasing ₅₅₉ probability, this was also observed by Saint-Drenan ⁵⁷⁹ (2015); Killinger et al. (2017c). A decrease in the 580 specific annual yield can be seen with an increas-

 ing tilt. This might be caused by the finding that tilt is usually smaller for decreasing latitudes which occur in combination with an increased specific an-nual yield.

Azimuth: There is a significant peak of azimuth 587 angles pointing south (north in Australia). It is probable that this distinct peak is due to the tar- geted approach of solar installers who favour equa- torial orientated rooftops due to performance ben- efits. Indeed, azimuth angles tend towards reach- ing a higher specific annual yield with systems oriented towards 0◦ ⁵⁷³ . In general, outliers reach a range of $+/- 100^{\circ}$ with discrete reporting intervals being visible in the 1D-histogram and scatter plot, e.g. databases only requiring azimuth reported to near-

 577 est 15° .

Specific annual yield: The 1D-histogram of yield shows the most distinct shape of all parameters with a peak around $1,000$ kWh/kWp. Furthermore, there is a small peak at $1,650$ kWh/kWp which is caused by PV systems in southern regions of the USA. It is not possible with the limited latitude study area to infer that the regression ⁵⁸⁵ of specific yield with latitude will extend towards ⁵⁸⁶ the equator; climatic regions are expected to be far more influential on the specific yield whereby around the equator there is a significant presence of clouds, and around the tropics there tends to be desert. It is probable that the secondary peak above 1.650 kWh/kWp is for systems installed in particularly arid regions found in southern USA, however, climatic influence is outside the scope of this paper and is reserved for future study. The specific annual yield has the most visually recognisable trends ⁵⁹⁶ to all other parameters, demonstrating the strong ⁵⁹⁷ inter-relationship. There is a need for a more de tailed multi-variable analysis between specific an- nual yield and the other parameters. However, due to its extra complexity it falls outside the scope of 634 this paper.

⁶⁰² 3.2. Representativeness of clusters

 In the previous section, important characteris- tics of PV systems and their dependencies were de- rived. With exception of the annual specific yield and installed capacity in Germany, metadata of all the installed systems within the different regions $\frac{608}{1000}$ is not known (e.g. we have access to 4,055 systems $\frac{643}{1000}$ from Australia when there are an estimated 1.8 mil- lion installed). This restriction questions the rep- resentativeness and re-usability of our observations when using the statistics of a subset of systems to 647 infer the statistics of the remainder because some characteristics could be over- or underrated in our datasets. To achieve representation, a solution is to sub-categorise metadata from the PV systems into 651 smaller and more homogeneous clusters. By doing 652 so, an end-user can use the statistics of the clusters 653 and weight them individually by the probability of occurrence. Prior to an approximation of metadata ϵ_{21} in section 4, it is the objective within this section to ϵ_{55} define groupings or clusters of PV system that allow 657 the derivation of representative characteristics.

⁶²⁴ The interdependency analysis reveals two domi- nating parameters which show multiple dependen- ϵ_{26} cies to others: (1) The installed capacity and (2) ϵ_{61} a geographical influence (c.f. absolute latitudes 662 are used to account for hemispheres). These two findings are in accordance with Kühnert (2016) ; 664 Saint-Drenan (2015); Saint-Drenan et al. (2017) who analysed azimuth and tilt for different classes

of installed capacity and multiple regions. Such a separation has the benefit to acknowledge the impact from these two dominating parameters on ⁶³⁵ others, while still allowing us to derive meaning-636 ful statistics within a chosen cluster. As Kühnert ϵ_{0} (2016) evaluates, a balance must be found between the number and size of the clusters, in order to guarantee that each class includes a sufficient number of data to be representative.

The left plot in Figure 2 provides further insights into the system size and its relative share for different countries in this paper. Differences can be observed between countries but all show a heightened concentration towards small scale systems with a relative share between 60% (Germany) and 99% (WK) of systems < 10 kWp. Whereas most datasets ⁶⁴⁸ only cover a selection of systems within a country, the dataset in case of Germany (bundesnetzagentur.de) covers the vast majority of systems and is detailed on the right plot. Almost one million out of the 1.6 millions German systems are smaller than ⁶⁵³ 10 kWp but in total, with an aggregated capacity of \approx 5 GWp, they only represent \approx 12% of the ⁶⁵⁵ installed capacity. Another 650,000 systems occur in a range between 10 and 100 kWp and cover additional ≈17.5 GWp. Only 35,000 systems are > ⁶⁵⁸ 100 kWp yet are responsible for half of the total installed capacity.

⁶⁶⁰ On the search of a threshold value to split the datasets into representative clusters, a system size of 25 kWp was chosen by considering: (1) An installed capacity of 25 kWp is an adequate size between typical roof mounted systems and larger ⁶⁶⁵ plants, particularly as larger capacities are linked ⁶⁶⁶ to larger physical space requirements. (2) So even

Figure 3: Maps for Australia (top) and Europe (bottom). The left column shows systems ≤ 25 kWp and the right column systems > 25 kWp. Systems which do not report tilt are in grey colour.

 though the number of larger systems is rather low in most countries, their strong contribution to the to- tal power generation and the knowledge that char- acteristics change with the system size justify a con- sideration in a separate cluster. The threshold value of 25 kWp is displayed as a dashed vertical line in Figure 2. If the threshold value were higher, only a small number of systems would be left in the upper cluster and the derivation of representative statis- tics impeded. (3) Several threshold values were tri- alled in our analysis. A value of 25 kWp was finally decided upon as it satisfied the aforementioned cri-teria and passed visual inspection by producing dis-

tinct distribution curves.

 Both the impact of system size and the geograph- ical influence can be studied in respect to the tilt angle of systems in Figure 3 and Figure 4. All re- gions show a tendency towards smaller tilt angles for system sizes > 25 kWp. Especially for systems $_{686}$ < 25 kWp in Europe, the dependency between lat- itude and tilt can be observed by an increasing tilt angle from Italy to Denmark. However, it should be noted that the spatial influence is not only lim- ited to a pure geographical relationship; the spatial impact depends on regulations and incentives which often occur on a national level. The policy situation

Figure 4: Maps for Japan (top) and the USA (bottom). The left column shows systems ≤ 25 kWp and the right column systems > 25 kWp. Systems which do not report tilt are in grey colour.

 in France leads to a high number of 3 kWp systems ϵ_{694} (see Leloux et al. (2012b) in section 1.1). In Ger- τ_{67} many, there are changing regulations and feed-in $\frac{696}{200}$ tariffs for systems > 30 kWp resulting in an in- crease in the black line of the right plot in Figure 2. Furthermore, the UK had a higher feed-in tariff for 699 systems ≤ 4 kWp up until January 2016 and has π 12 $\frac{700}{200}$ since moved to ≤ 10 kWp (ofgem, 2018). These are $\frac{713}{200}$ such examples of significant policy-specific regional influence that can impact upon the characteristics of PV systems.

⁷⁰⁴ There are many opportunities as to how we sub-⁷⁰⁵ categorise the data into clusters. Many of which

could be explored in order to derive meaningful information depending on the approach. Options include separating by climatic region or grouping by policy similarities. However with respect to the aforementioned aspects, a clustering at a country level seems advisable for the following reasons: (1) National regulations and incentives have a visually evident impact on the occurrence of different system sizes which may itself influence other metadata. (2) A geographical influence was observed on mul-⁷¹⁶ tiple parameters. Countries limit this influence by ⁷¹⁷ their size. The only exception of this strategy is the ⁷¹⁸ USA. The enormous geographic area of this country

 results in a inhomogeneous pattern of the specific annual yield. This is a direct consequence of the heterogeneity of the solar resource within a country. T_{722} The USA was thus split at 37.5 \degree N into a northern and a southern component. The same approach could be applied to Australia, however, the sample size of available data is too low. Further subdivi- sions e.g. by the latitude for systems ≤ 25 kWp in France (see tilt in Figure 4), could be considered but exceed the scope of this paper and is a focus of future work. (3) There is a certain convenience to clustering by countries. Many of the studies pre- vious focused mainly on a single country, this is indicative of a researchers interests and data avail- ability. We feel that, whilst there are many options of clustering that can be explored, a preliminary study at a country level is of most interest.

 The region ``Rest of Europe" is not be consid- ered further due to its inhomogeneous portfolio of systems across different countries in Europe. The clusters, defined by their belonging to a region and system size, are used in the next section to derive representative distributions for the metadata.

for between the scatter of real data in the histogram against the fitted probability density distribution, the number of data points considered for that cluster (n) , and the Pearson correlation coefficient (ρ) of the linear regression. The mean value μ is shown in place of ρ for Yield. All y-axes are scaled between 0% and 50% probability except where Figure 5: Histograms of real data (bar) with approximated probability distributions (line) for the different clusters (columns) and parameters (rows), where capacity Figure 5: Histograms of real data (bar) with approximated probability distributions (line) for the different clusters (columns) and parameters (rows), where capacity \leq 25 kWp, see appendix for the same plot for 25 kWp. Within each of the axes is reported the name of the best fitting distribution type (see section 4.1 for detail), the root mean squared error (RMSE) between n), and the Pearson μ is shown in place of ρ for Yield. All y-axes are scaled between 0% and 50% probability except where is the installed capacity and yield is the specific annual yield. All systems reported within this figure have a capacity ≤ 25 kWp, see appendix for the same plot is $>$ 25 kWp. Within each of the axes is reported the name of the best fitting distribution type (see section 4.1 for detail), the root mean squared error (RMSE) the scatter of real data in the histogram against the fitted probability density distribution, the number of data points considered for that cluster (is the installed capacity and yield is the specific annual yield. All systems reported within this figure have a capacity correlation coefficient (ρ) of the linear regression. The mean value a bold red value is assigned to the individual axis. a bold red value is assigned to the individual axis.

4. Approximation of parameters in clusters

 The intentions of parametrisation are twofold. Firstly, we want to discover whether or not the pa- rameters (tilt, azimuth, capacity and yield) can be represented with simple parametric distributions. Secondly, we want to explore the relative differ- ences between clusters through comparison between probability distributions. We concede that simple distribution fitting has weaknesses such as not ap- propriately capturing a more complex relationship offered by non-parametric fitting, however, repro- ducibility of the statistics is encumbered with added complexity. For our first presentation of the sub- stantial volume of PV system data collected, we focus on simple distribution fitting as interesting comparisons and individual cluster insights can be drawn, and we are able to comment on the ability for these complex parameters to be represented as such.

4.1. Methodology of fitting the distributions

 In order to enable the utilisation of the aggre- gated statistics of each cluster (defined in sec- tion 3.2) and for each parameter (defined in sec- τ ⁶⁵ tion 3.1), individual distributions are fitted to the τ real-world probability density histograms. The re- sults are presented in Figure 5 (\leq 25 kWp) and Fig- ure A.10 (> 25 kWp). The total number of avail- able data varies between clusters and parameters; there is no further processing beyond the criteria described in section 2; all possible data available is used. Differences in data within a cluster are due to some PV sites not reporting one or more parameters. There are up to 6 years of reported

 specific annual yield (2012-2017). The normalised value within each year is taken as an individual sample and so there are up to $6n$ more samples for this parameter.

 For each cluster and for each parameter, many different distribution types were fitted to the probability density. Distributions were fit us- ing the inbuilt fitdist function of the software π ⁸³ Matlab \mathcal{R} (Matlab, 2018). There are 23 parametric distribution types available, of which all are fitted to the data. Where distribution types require only positive values (for parameters with negative bins) or values between 0 and 1, the data is scaled to satisfy the distribution requirements and allowed to re- scale so that as many distributions could be tested; note that no distribution requiring this treatment was found to be best fitting, and so no further discussion is made regarding this normalising pro- cess. Probability density functions are then scaled to only exist between the x-axes limits as indicated in the figure, for example, the tilt distributions are γ ⁹⁶ only relevant between 0° and 90° . This means that the sum of all probabilities between the prescribed x-axis range must be equal to 1. This is important as some distributions facilitate values way outside of the bin limits resulting in the sum of probabilities between the bins of interest $\neq 1$, and so would not fit the histogram. The disclaimer is, therefore, that these distributions *must* be scaled before use and not be extrapolated beyond the specified bin ranges else risk persisting an under/overestimation about the scaling factor, defined as

$$
s = \frac{1}{\sum_{a}^{b} p_{a:b}},\tag{1}
$$

 $\frac{807}{807}$ where s is the scaling factor, p is the probability ⁸⁰⁸ at each bin between the lower and upper bin limit, α and b, respectively. The resultant fitted distribu-⁸¹⁰ tion is then plotted against the real probability den-⁸¹¹ sity and tested for linear fit; the root mean squared ⁸¹² error (RMSE, percentage) and Pearson correlation 813 coefficient ($ρ$, dimensionless) are derived. A per-⁸¹⁴ fectly fitted distribution would result in $y = x$ with 815 $\rho = 1$ and an RMSE= 0%. The distribution type 816 with the lowest RMSE was selected for the plot. ⁸¹⁷ Should there be more than one distribution type ⁸¹⁸ that has the same RMSE, then the type with low-819 est ρ is selected. Should there still be more than ⁸²⁰ one distribution type after this, one of the remain-⁸²¹ ing types is selected at random.

822 The exact parameterisation for each distribution 841 823 presented in Figure 5 and Figure A.10 are detailed 842 $_{824}$ in Table A.4. Each distribution has up to 4 co- $_{843}$ 825 efficients and are employed using different equa-826 tions, not all 23 parametric distributions are de-827 tailed, only those that featured within the study. 846 828 Whilst Table A.4 details the parameterisation of $\frac{1}{2}$ 829 the coefficients, it is Table A.3 that explains how 848 830 to use those values to form the distribution. Fur-849 ⁸³¹ thermore, the mean or median values of the whole 832 dataset, exclusive of the 25 kWp separation, are 850 ⁸³³ presented in Table 2.

⁸³⁴ 4.2. Discussion of the distributions

835 The following discussion about clusters and dis-836 tributions mainly refers to Figure 5 with systems 855 $_{837}$ < 25 kWp unless explicitly noted otherwise. The $_{856}$ 838 reason for this is that the vast majority of systems 857 839 are within the ≤ 25 kWp category, and so are of 858 ⁸⁴⁰ most interest. However, important differences to

Table 2: Mean or median value extracted from entire data set (without separation by capacity size) for each of the parameters of tilt angle, azimuth angle, system capacity and specific annual yield.

	Tilt	Azi.	Cap.	Yield
Country	$^{\circ}$) ($^{\circ}$) ((kWp)	(kWh/kWp)
	mean	mean	median	mean
Australia	16.1	8.58	5.00	
Austria	31.1	-0.34	5.15	1,040
Belgium	35.6	-1.69	5.20	921.5
Denmark	30.0	0.48	6.00	786.0
France	28.7	-0.28	2.96	1,101
Germany	31.6	-2.46	8.96	870.2
Italy	19.8	-15.9	5.88	1,142
Japan	23.8	-1.20	4.92	1,222
Netherlands	32.5	0.77	3.30	855.2
UK	31.8	-1.07	2.94	896.7
USA North	25.2	0.42	5.81	1,005
USA South	19.9	9.33	5.26	1,426

system sizes > 25 kWp are mentioned and can be observed in Figure A.10.

It is important to note that only rough dependencies between parameters, regions and system sized can be considered with this clustering approach. The more intricate and established interdependencies have not been explored within this paper as it is beyond the scope of the initial objective. The authors reserve this for future work.

$4.2.1.$ Azimuth angle

⁸⁵¹ The most noticeable feature of the azimuth ob-⁸⁵² servations is the significant probability of an equa-⁸⁵³ torial facing PV system. This is unsurprising as it offers the best annual specific yield by receiving maximum system efficiency at peak solar position. The topic of extreme probability of an equatorial orientated system was discussed in section 3.1 ; the $_{858}$ prevalence of 0° is true of all sites for both < 25 and > 25 kWp. The Japan and Netherlands clusters

 h ₈₆₀ have exaggerated angles of -45° or $+45^{\circ}$, assumed ⁸⁶¹ to be a result of overly simplified reporting.

862 The distributions could not capture the probabil-863 ity of 0° with exception of the Netherlands where 864 a Stable distribution fitted best. Even with large 898 865 sample sizes for the USA North and south clusters, 899 866 a distribution could not be fitted that satisfied the 900 867 observed probability for an azimuth angle of 0°. 868 Perhaps a more complex or bespoke distribution 902 ⁸⁶⁹ type is needed to suitably express the probability 870 distribution of azimuth angle with reproducible ac- μ curacy. This large proportionality of $0°$ was also 872 observed by Saint-Drenan et al. (2018), who fitted 906 873 a normal distribution in similar magnitudes to the 907 ⁸⁷⁴ logistical distribution fitted in this article. That sz₅ said, there is an argument that the significant $0°$ az-876 imuth feature is exaggerated when considering the 910 877 UK cluster. The majority of the data within the UK 911 878 cluster is from Sheffield Solar. Their users report 912 879 the system metadata, however, there is a feedback 913 880 to the user reporting system performance analysis 914 881 on a monthly basis, inclusive of a nearest-neighbour 915 882 performance analysis of a system of similar meta-883 data. Users are encouraged to verify their reported 917 884 metadata and is often double checked with satellite 918 885 imagery; the result is much more accurate report-886 ing of metadata for the UK cluster leading to the 920 887 smoothness of distribution fit. With improved PV 921 ⁸⁸⁸ system metadata reporting, we see a wider spread 889 of azimuth about 0° .

⁸⁹⁰ 4.2.2. Tilt angle

891 The tilt angle across all clusters is rather unique 926 ⁸⁹² per cluster with 7 different distribution types be-893 ing found as the best fitting among 10 clusters. We 928

⁸⁹⁴ previously discussed the gentle increase of tilt an-⁸⁹⁵ gle with latitude. Solar installers can mount the PV panels with a steeper tilt angle to that of the roof at higher latitudes through arrangement of the mounting brackets; this is not expected to be overly common practise. The predominant factor for smaller roof integrated systems is expected to be the physical roof angle, which is influenced by local architectural styles. We suspect this is the case, particularly when considering France in Figure 3 where there exists a distinct change in the tilt for the ≤ 25 kWp systems at roughly 47.5◦ ⁹⁰⁵ latitude. Note that France and Denmark have similar distributions despite France having a significant number of systems 908 south of that 47.5° roof tilt feature. Furthermore, Belgium and the Netherlands share similar climate and latitude yet feature distinctive distributions.

Interestingly, the Australian cluster consisting of the second lowest number of observations has the second most accurate fit after USA South. This is in part due to the smoothness of the distributions and accuracy of method in which the tilt is obtained (see section 2). The USA cluster has excellently fitted distributions suggesting accurate measurement, particularly for the USA South cluster where the tilt distribution is fitted with $\rho = 1$ and $RMSE=0.7\%$. The Japan cluster evidently suffers $\frac{1}{221}$ from reporting to the nearest 10° , and so we suggest to avoid using a best-guess approach to collecting ⁹²³ metadata as it leads to biased distributions. The ⁹²⁴ tendency for larger system sizes having smaller tilt ⁹²⁵ angles, introduced in section 3.1, can be confirmed when comparing Figure 5 and Figure A.10. The only exception is Denmark, which reports only a small number of systems > 25 kWp.

929 Within the distributions, the smallest mean tilt $_{963}$ 930 angles were reported in Australia (16.07[°]), Italy $(19.81°)$ and USA South $(19.89°)$. The largest 932 mean tilt values were reported in Belgium (35.58[°]) ⁹³³ and closely followed by the UK, Germany and Aus- $_{934}$ tria $(31°)$.

⁹³⁵ 4.2.3. Installed capacity

936 The most obvious observation from the installed $_{971}$ 937 capacity is the extreme peak within the French clus- $_{972}$ 938 ter. Of all 20.6k systems (\leq 25 kWp and $>$ 25 $_{973}$ 939 kWp), 73.74% of them report an installed capacity $_{974}$ 940 of 3 kWp when rounded to nearest integer, though $_{975}$ 941 note that the French dataset reported to a high dec- $_{976}$ ⁹⁴² imal precision. The best fitting distribution cannot 943 appropriately represent this extreme despite a very $_{978}$ 944 high $\rho = 0.99$; the RMSE value of 5% is indicative $_{979}$ ⁹⁴⁵ of the Stable distribution assigning 100% probabil-946 ity to 3 kWp. This distribution is, therefore, very 980 947 limited even if it does most accurately capture the $_{981}$ 948 data for France. As discussed when defining the 982 ⁹⁴⁹ clusters, this peak in capacity is a direct response 950 to regulations within that country. This is further 98 ⁹⁵¹ observed in the UK database, with the vast major-952 ity of systems being ≤ 5 kWp due to the nature of 986 953 the feed-in tariff rate. The north and south USA 987 ⁹⁵⁴ clusters demonstrate the power of a larger and con-955 sistent sample size reporting RMSE 0.5% and 0.3% , 989 956 respectively, with both reporting $\rho = 1$. Interest-957 ingly, the distribution type between USA clusters 991 ⁹⁵⁸ are distinct from each other, with a slightly in-959 creased probability of smaller systems in the south. 993 960 This is expected to be a result of more rooftop solar $_{994}$ ⁹⁶¹ in the sunnier States, though this is speculation. ⁹⁶² The shape of the distribution functions for sys-

tem sizes > 25 kWp (Figure A.10) differ to systems \leq 25 kWp and show an heightened concentration of s ystems < 50 kWp. Australia is an exception and reports many systems with an installed capacity of 967 ≈ 100 kWp.

⁹⁶⁸ The mean values of capacity are too heavily in- $\frac{969}{100}$ fluenced by the presence of large systems (cf. 2b), ⁹⁷⁰ and so the median value is reported to reduce bias. From the distributions, the country with smallest capacity median is the UK (2.94 kWp) and the largest is Germany (8.96 kW) . The fact that the German data reveals such a high median is reflective of the thorough nature of data collection whereby nearly all systems are reported; we have ⁹⁷⁷ very few large systems reported from the UK as the database is primarily used for rooftop solar and so this statistic is not overly representative.

⁹⁸⁰ 4.2.4. Specific annual yield

The most noticeable detail of the specific annual yield distribution fits is the smoothness of the histograms of raw data. This is perceived to be of two reasons. Firstly, the sample size is typically much larger ($n = 5.885$ m for the German cluster). Secondly, the data is digitally recorded and not reliant on human reporting. The mean μ is presented in place of the correlation coefficient so as not to over busy the plot, though for completeness, all sites reported $\rho > 0.98$ except USA South with $\rho = 0.93$. Recall that the specific annual yield is normalised for inter-annual differences and so we can directly compare clusters. Each cluster exhibits reasonably unique subtle traits, it is expected that the larger the share of equatorial orientated systems ⁹⁹⁶ with more optimal tilts, the larger the specific yield,

997 however, it is also a function of local meteorology 1032 and climate local to the systems and not just lat- itude, orientation and tilt. More questions can be 1000 derived from these distributions than are really an- 1034 swered. For example, consider the German cluster. There is a substantial tail towards lower specific annual yields that is not observed in other clusters. Germany is known to be a mature market when it comes to PV, and so is this tail indicative of age- ing systems, or perhaps all clusters would present this pattern given as large a sample size? The USA South cluster has an unexplainable peak at exactly 1009 1650 kWp/kWh. The only other country within our 1043 study that is comparable to southern USA in terms of climate and land availability is Australia, alas, we have no data for this cluster to gain insight to this peak. We would expect to observe much higher yields in Australia akin to southern USA.

 Leloux et al. (2012a) found that of 158 sys- tems in Belgium,the mean specific annual yield was 836 kWh/kWp. Our analysis of 15k specific an- nual yields finds the mean to be 921.5 kWh/kWp. 1019 Leloux et al. (2012b) applied the same approach 1053 for 1,635 systems in France resulting in a mean of 1,163 kWh/kWp. Our analysis of 23.3k systems $_{1022}$ places the mean value at 1,101 kWh/kWp. When $_{1056}$ comparing Figure 5 and Figure A.10, the expected trend towards higher specific annual yield values for $_{1025}$ larger systems (see section 3.1) can be confirmed for $_{1059}$ Denmark, France, Germany, Japan, Netherlands, UK and USA North. The other four countries in- stead show a decrease in the mean specific annual yield for systems > 25 kWp.

¹⁰³⁰ From the distributions, we find the smallest ¹⁰³¹ mean specific annual yield is in Denmark (786.0 kWh/kWp) and largest in USA south $(1.426$ kWh/kWp).

$4.3.$ Using the distributions

As we have observed that each cluster and parameter can be generally represented by a probability distribution, our discussion can shift towards the usage of these statistics in regional PV power modelling approaches. Generally, the cited publications in section 1.1 (category 1) not only emphasize the practical relevance of statistical distributions in regional PV power modelling approaches, but also sketch the procedure of how these statistics can be used and therefore serve as good examples. One of these publication is Saint-Drenan et al. (2018) , which provides detailed information about how fitted distributions can be applied in a regional power ¹⁰⁴⁸ simulation and therefore serves as a good example.

We foresee that the fitted distributions from the previous section can be used to randomly sample the desired metadata of a portfolio of systems in a specific cluster. As pointed out in section 3.2, this cluster can then be weighted individually by the probability of occurrence (as can be derived e.g. from Figure 2. To reproduce the distributions, one must extract the appropriate distribution variables from Table A.4, apply them in the expression from Table A.3, and scale the result according to Eq. (1) . We state that the data we have is not representative enough to derive global distributions as there are too many features that can influence the PV system characteristics from regions we do not have access ¹⁰⁶³ to. The derived distribution functions should only be used for their specific clusters, or for clusters with particularly similar climates and policies.

¹⁰⁶⁶ The usage of the fitted distributions is sketched ¹⁰⁶⁷ in a practical example, assuming that the PV power ¹⁰⁶⁸ generation in Germany is of interest.

¹⁰⁶⁹ 1) In Germany, the installed capacity, geographic 1070 location and specific annual yield of all PV systems 1105 $_{1071}$ is known (see section 2) and should not been sam- $_{1106}$ ¹⁰⁷² pled if one wishes realistic outputs, though may be ¹⁰⁷³ sampled for theoretical purposes.

 2) For each PV system, tilt and azimuth is as- 1109 signed by sampling the fitted distribution from the relevant cluster. E.g. a system with 10 kWp will 1077 use the distributions from the cluster for systems \leq 1112 25 kWp. In this example we can extract the data 1079 from Table A.4 such that the German cluster has a 1114 Logistic distribution for azimuth with location co-1081 efficient of -0.1366 and scale parameter of 20.6455. 1116 The distribution can be recreated using the logis- tic function defined in Table A.3. Please note that there is a high risk that the sampled characteristics won't accurately predict the metadata for a specific PV system. It is the objective to use these distribu- tions to simulate larger PV portfolios and we expect to derive representative characteristics for that ap-plication.

 3) The direct usage of yield is more complicated for two reasons. Firstly, in contrary to azimuth, tilt and installed capacity, it is not an input param- eter in the simulation chain but instead indicates the power generation, which is the typical output of a simulation. Secondly per definition, the spe- cific annual yield sums the PV power generation over a whole year. In many application however, simulations may cover a different time span. How can yield be used in simulating the regional PV power generation then? When making the simplify-

ing assumption that the meteorological conditions are relatively similar within a cluster, the observed ¹¹⁰³ specific annual yield can be interpreted as a measure that expresses relative performance differences between PV systems. For instance, a PV system with a yield of 600 kWh/kWp can be said to be less efficient than a PV system with a yield of 800 ¹¹⁰⁸ kWh/kWp. For usage in a simulation, a conversion from yield into an performance factor is therefore necessary. A potential method of conversion is to take the range of yields $(0 \text{ to } 2000 \text{ kWh/kWp})$ and align it to typical ranges of the performance ratio, though taking care to centre the mean yield against the mean performance ratio (said to have a wide distribution centred about 0.74 as derived from $5,000$ systems in the Netherlands (Tsafarakis et al., 2017 ; Reich et al., 2012). A direct linear conversion could then be applied. For example, a system in Germany with yield 870.2 kWh/kWp (the mean for this cluster) could be assigned a performance ratio of 0.74. This performance ratio can then be applied as a correction factor to either the output power or the system capacity, and therefore ¹¹²⁴ facilitating representative differences between systems.

4) The individual PV power generation for each system can be simulated by considering the sampled system characteristics as well as the known installed capacity, efficiency of the specific system and its geographic location. Within such a simulation, other inputs will be needed such as the local irradiance or ambient temperature. Please note, if considering such a large number of systems is too computational intense, instead a smaller number could be randomly chosen and then used within an upscaling ¹¹³⁶ approach.

¹¹³⁷ 5) Finally, the total power in Germany can then ¹¹³⁸ be derived by aggregating the simulated power from ¹¹³⁹ all systems.

¹¹⁴⁰ 5. Shading on roofs

¹¹⁴¹ 5.1. Methodology of the shading analysis

 An objective in this paper is to derive gener- alised findings of how to consider the impact of shading. We aim to achieve that in a more so- $_{1145}$ phisticated manner than the overly simplified man- 1179 ner presented in category 3 from section 1.1. It is, however, not the aim to study differences of shad- $_{1148}$ ing in rural or urban areas all over the world in 1182 this paper. This shading analysis is performed on $_{1150}$ \approx 48,000 buildings in the city of Uppsala, Swe- 1184 $_{1151}$ den $(N59.9°, E17.6°)$. Uppsala provides a variety of different buildings (44% residential, 2.2% indus-1153 tries, 5.7% commercial and services, 49% other) and 1187 therefore allows studying differences in the impact of shading. The average height of the buildings 1156 studied here are 6.4 ± 4.1 m, which may be com-¹¹⁹⁰ pared to a study on 12 US cities of various size (29,498 to 1,066,354 buildings) with average build- 1192 $_{1159}$ ing height ranging from 4.1 to 9.7 m (Schläpfer 1193) $_{1160}$ et al., 2015). The analysis is not limited to any $_{1194}$ country specific influences because all combination of solar angles are considered; climate does not in- fluence this shading study. The above reasons em- phasise the general representativeness of Uppsala and were reason for its selection.

¹¹⁶⁶ The shading analysis is realised by using the $_{1167}$ method in Lingfors et al. (2017), which was cross- $_{1201}$ ¹¹⁶⁸ validated in Lingfors et al. (2018). Inputs to

¹¹⁶⁹ the model are low-resolution LiDAR data (0.5-1 $_{1170}$ pts/m²) and building footprints, provided by the Swedish Land Survey $(2015, 2016)$. The model does ¹¹⁷² the following:

- ¹¹⁷³ 1. Finds a simple roof shape from a template of ¹¹⁷⁴ roof types using linear regression on LiDAR ¹¹⁷⁵ data;
- ¹¹⁷⁶ (a) within the footprint of the examined building and,
	- (b) within building footprints of similar shape in its proximity,
	- 2. Each roof now consists of 1-4 facets depending on the roof type $(1 \text{ for flat or shed}, 2 \text{ for gabeled})$ and 4 for hipped or pyramidal). If LiDAR data are insufficient, the roof type cannot be determined and the building is excluded from further analysis. The number of roof facets are $>90,000$ (cf. number of buildings). However, around 1,000 facets which are > 20 m above ground are excluded, as there is an increased risk of these roofs being misrepresented due to noise in the LiDAR data.
	- 3. After some filtering of the LiDAR data surrounding the building, a triangulated irregu- lar network (TIN) is produced representing objects, predominantly trees and other buildings that may shade the roof.
- 4. Using the TIN as input, a viewshed (a map showing what parts of the sky are visible from the perspective of a point on the roof) at every ¹¹⁹⁹ 0.5 m \times 0.5 m section of the roof is calculated to determine whether there are objects blocking the direct solar path. The resolution of the viewshed is limited to solar elevation angles,

 α_s , of 2.5, 7.5,..., 87.5°, and solar azimuth an-1204 gles, γ_s , of -180, -170,..., 170° where 0° is due south. Since the sky sectors are angular-equal, they are not equal in size (see dotted lines in Figure 6). Hence, the contribution of diffuse irradiance from each sky sector depends on its size and the angle-of-incidence of the irradiance from the sky sector onto the plane.

1211 5. For each combination of α_s and γ_s the mean shading of the whole roof facet is calculated, noting that roofs can be partially shaded. This is illustrated in the viewsheds of Figure 6. For a discrete point of the roof, each element of the sky would be either shaded or not shaded cor- responding to black or white $(0 \text{ or } 1)$, respec- tively, in the left panel of Figure 6. However, if the mean of all points of the roof are con- sidered, the viewshed would be blurred (grey) as illustrated in the right panel of Figure 6. The mean viewshed displayed on the right of $_{1223}$ Figure 6 is only for illustrative purposes and $_{1239}$ can be considered to gain understanding as to how the beam, diffuse and reflected irradiance subcomponents are affected by shading for the general region of all facets within this study. Results of the shading analysis are presented in section 5.2.

5.2. Deriving a simplified shading model

 The main results from the shading analysis on $_{1232}$ \approx 48,000 buildings in Uppsala, Sweden, are pre- $_{1250}$ sented in Figure 7. The colour of each bin in the $_{1234}$ left panel of Figure 7 represents the average ratio of $_{1252}$ all roof facets being visible to a sky sector, defined

Figure 6: Polar diagrams of viewsheds, where the displayed angles represent the azimuth angle, and the radius the elevation angle. Left) illustrates the viewshed of a single point on the roof. Right) the mean viewshed of all the points on the roof is illustrated. The dotted lines mark the sky sectors for which the viewshed analysis was conducted from their respective centre points. Note that the right plot is purely illustrative and not used within any of the modelling stages.

 by the corresponding solar elevation angle and so- lar azimuth intervals. This visibility is here referred to as the beam shade index, $k_{sB} \in [0,1]$ (see Figure 6), where 0 means the roof facet is fully shaded. The dashed lines illustrate the solar path for Up- psala, Sweden. However, the corresponding solar path could be over-layered for an arbitrary site to visualise the implication of shading for that site. From the left panel of Figure 7 it is also clear that the solar azimuth has very little importance, which is logical as shading should be as likely from any direction when a large portfolio of buildings is con-sidered.

In the right panel of Figure 7, the average $(\times$ marked) and percentiles (dashed) of k_{sB} for all roofs are presented as a function of only the solar elevation angle, hence it differs from the left panel by not considering the azimuth angle. The thin red lines

Figure 7: To the left, the mean shade index of all studied roof facets are presented at bins of a viewshed defined by the solar elevation angle and solar azimuth. To the right, the shade index is plotted against the solar elevation angle. Every shade index profile from each studied facet are indicated with a red solid line. The mean shade index of all facets is indicated with crosses, with fitted curve represented by a dotted line as presented in Eq. (2). The RMSE between the means and fitted curve is 0.021. The dashed lines represent the different percentiles.

 represent 10,000 individual roofs. Many of these $_{1255}$ lines jumps from 0 to 1 when going from one ele- $_{1270}$ vation angle to the next, meaning that from being entirely obscured, the roof becomes entirely visible when the elevation angle is increased by $5°$. The 1259 mean beam shade index, \bar{k}_{sB} , of all the roof facets 1274 can be represented by a fitted curve (dotted), de-1261 rived as a function of the solar elevation angle, α_s : 1276

$$
\bar{k}_{sB} = 1 - e^{-\alpha_s/17.5}.
$$
 (2) ¹²

¹²⁶² The average beam irradiance that will fall on a ¹²⁶³ tilted roof, if shading is considered, could then be ¹²⁶⁴ calculated as:

$$
B_T = \bar{k}_{sB} \frac{\cos \theta}{\cos \theta_Z} B_H, \qquad (3)
$$

 1265 where B_H is the unshaded beam irradiance on the 1285 1266 horizontal plane, θ is the angle between the incident 1286 1267 irradiance and the normal of the roof plane and θ_{Z} 1287 ¹²⁶⁸ is the solar zenith angle.

Assuming similar shading properties, i.e., vegetation and urban density, as in Uppsala, this function may be used in any area to determine the impact of shading on roofs as a function of the solar elevation angle. It gives a better estimation than solely assuming a cut-off solar elevation angle for the beam irradiance, which is a method commonly used for PV potential studies.

1277 On the other hand, the red lines of figure in the right panel, representing individual buildings, re- veals the variation in shading among the buildings. Thus, studies of higher detail where, for instance, the implications in a low-voltage grid due to shad- ing on PV modules are studied require a method that reproduces these variations.

¹²⁸⁴ If the global irradiance on a shaded roof is of interest, one also needs to consider the diffuse (D_T) and reflected (R_T) irradiance subcomponents on the tilted plane, which both depend on the view 1288 factor (visible fraction of the sky, $f_{sky} \in [0, 1]$) from

Figure 8: The mean f_{sky} as a function of the roof tilt (marked with x) and a fitted curve (dotted) presented in Eq. (4) . The solid line represents the first term of Eq. (4)

 the perspective of the roof. I.e., the viewshed of the $_{1290}$ roof should be considered (see Figure 6). The view $_{1291}$ factor f_{sky} represents the ratio of the isotropic dif- fuse irradiance from the sky hemisphere and reaches a value of 1 for a horizontal surface if there are no shading objects.

1295 The values of f_{sky} for $> 90,000$ studied roof 1322 1296 facets are presented in Figure 8. A fitted curve 1323 $_{1297}$ (with an RMSE of 0.043 with respect to the means, 1324 ¹²⁹⁸ indicated by black crosses) was derived with the ¹²⁹⁹ function:

$$
\bar{f}_{sky} = \frac{1 + \cos(\beta)}{2} - C,\tag{4}
$$

1300 where the first term is the view factor for a free sky $_{1330}$ $_{1301}$ and C is a constant representing the contribution $_{1301}$ $_{1302}$ from shading objects, here found to be 0.162. This $_{1332}$ ¹³⁰³ equation may be used to calculate the diffuse and 1304 reflected irradiance following equations (6) and (10) 1334 ¹³⁰⁵ in Lingfors et al. (2017), respectively.

¹³⁰⁶ In Figure 9 the losses due to shading are pre-¹³⁰⁷ sented for the three irradiance subcomponents (cal-

 culated individually for each roof facet), sorted with respect to decreasing diffuse irradiance losses. In this analysis, hourly instantaneous Global Hori- zontal Irradiance (GHI) and Direct Normal Irradi- ance (DNI) data from 2014 for Uppsala were used 1313 (SMHI, 2015). B_T was calculated through Eq. (3), ¹³¹⁴ if we let \bar{k}_{sB} here represent the mean value of k_{sB} 1315 for all points on the individual roof facet. D_T , as well as R_T , were calculated through equations $_{1317}$ (6) and (10) in Lingfors et al. (2017), respectively, $_{1318}$ using the f_{sky} derived for each roof facet. These equations, adapted for the conventions used in the present paper, can be expressed as:

$$
D_T = D_H \left[(1 - A_i) f_{sky} + \frac{\cos \theta}{\cos \theta_Z} A_i \right], \qquad (5)
$$

and

$$
R_T = (B_H + D_H)\rho (1 - f_{sky}),
$$
 (6)

1322 where A_i is the anisotropy index and ρ is the surface albedo, here assumed to be 0.2 for all surfaces (i.e., ground, trees, buildings etc.).

Hence, Eqs. (2) and (4) in the present paper ¹³²⁶ were not used here, but could be valuable in future ¹³²⁷ studies where, for instance, the level of detail of the ¹³²⁸ building topography in a city is unknown or the ¹³²⁹ time for making detailed simulations is limited, yet the impact of shading on solar power generation is of interest." The in-fold figure illustrates the negative correlation between diffuse (D_T) and reflected (R_T) irradiance. The diffuse irradiance decreases (i.e., the losses increase) with a decreasing f_{sky} , ¹³³⁵ while instead the reflected irradiance increases (i.e., negative losses in Figure 9). From Figure 8, it is clear that f_{sky} decreases with an increasing roof tilt,

 leading to a higher contribution of reflected irradi- ance for a highly tilted roof. The mean losses due to shading (expressed in relation to the unshaded global irradiance) for the whole building portfolio are 7.3%, 3.6%, 6.3% and −2.7% for the global, beam, diffuse and reflected irradiance, respectively, where the minus sign is indicative of an added con- tribution to the total irradiance, since trees, build- ings etc. adds to the total reflective area seen by the roof when shading is considered. Hence, dif- fuse losses contribute the most for Uppsala, which has an annual clear-sky index of 0.63 (calculated as the global horizontal irradiation for 2014 divided by the clear-sky irradiation for the same period (Ine- ichen and Perez, 2002)). One should also remem- ber that all roofs in Uppsala were considered. If only roofs with installed PV systems on them were considered, the losses would most likely be lower. It is likely that the present method over-estimates the reflected irradiance at clear conditions as all trees and buildings seen by a roof could also be themselves shaded, therefore, offering reduced re- flected irradiance. To consider this is a complex matter and needs extensive research. For instance ray-tracing could be incorporated in the model but at a computational cost.

6. Future advancements beyond the scope of 1383 this work

 The main objective of the paper was to fit dis- tributions to selected metadata and approximate functions that describe the impact of shading. This enables replication of these characteristics and al-lows a usage in regional PV power modelling ap-

Figure 9: Beam and diffuse irradiance losses and the added contribution from reflected irradiance to the global irradiance considering shading on the $> 90,000$ studied roof facets. The in-folded figure illustrates the negative correlation between the losses of diffuse and reflected irradiance when shading is considered.

 proaches with suitable representativeness. The un- derlying basis for the approximations are numerous datasets with metadata and simulated results from a model in the case of shading. Background infor- mation and references for further reading are pro- vided in the related sections. Furthermore, the level of accordance of the fitted distributions and func- tions with the original data is expressed by error metrics and limitations of the procedure are criti- cally discussed. Naturally, with such a considerable and detailed database of information, we cannot cover all aspects in a single paper. We have opted to present an overview in a manner that enables the user to engage with the findings. That said, we have identified several interesting topics during our work that we would like to study in more de- tail, however are beyond the scope of this paper's objectives.

• Focus on specific parameters: The whole

 dataset offers so much information that it is im- possible to evaluate all specific parameters in detail within one paper. This data could po- tentially be used to study various performance indicators, e.g. by including irradiance infor- mation in specific regions and the age of the systems (provided for most systems).

- 1397 Dependencies between parameters: In 1431 ¹³⁹⁸ this paper we have qualitatively discussed pair-¹³⁹⁹ wise dependencies between parameters. Fur-1400 thermore, we have applied a quantitative ap- 1434 ¹⁴⁰¹ proach to individual parameters by fitting dis-¹⁴⁰² tribution functions. The next step will be ¹⁴⁰³ to quantitatively incorporate dependencies be-¹⁴⁰⁴ tween multiple (two and more) parameters, e.g. ¹⁴⁰⁵ by joint distributions, multivariate models, etc. 1439 ¹⁴⁰⁶ By that, the complex relations should be better ¹⁴⁰⁷ represented.
- 1408 Complex distributions: The azimuth an-1442 ¹⁴⁰⁹ gle presented irregularities with a wide base $_{1410}$ and tall $0°$ peak and on occasion presented ¹⁴¹¹ a trimodality that is certainly not-able to be ¹⁴¹² captured by standard parametric distribution ¹⁴¹³ types with satisfaction. Whilst we dispute the ¹⁴¹⁴ validity of much of the measured data due ¹⁴¹⁵ to reporting simplifications, there is scope to 1416 analyse the distributions in a more statistically 1450 ¹⁴¹⁷ rigorous manner. There is scope to combine ¹⁴¹⁸ distributions and to enable multi-modal, non-¹⁴¹⁹ parametric definition of the non-conformal pa-¹⁴²⁰ rameters, notably the tilt and azimuth. We ¹⁴²¹ intend to make available the actual probability ¹⁴²² distribution for the reader to draw their own ¹⁴²³ conclusions, see our invitation for collaboration

helow.

- $_{1425}$ Cluster refinement: Influence of climatic re-¹⁴²⁶ gion may influence certain parameters, partic-¹⁴²⁷ ularly the specific annual yield. It is probable ¹⁴²⁸ that the specific annual yield is not only a func-¹⁴²⁹ tion of latitude (as we have demonstrated with ¹⁴³⁰ a general regression between 30° and 50° of latitude), however, it is a function of the climatic region where those sites are situated. There ¹⁴³³ is a lack of data within the $0°$ to $30°$ latitude band with which to successfully analyse this hypothesis. Further steps could be to replace the clusters by country with clusters by climate region using maps such as the Köppen-Geiger classifications, or perhaps by mean irradiance using a dataset such as NASA SSE.
- ¹⁴⁴⁰ Shading: As mentioned in section 5.2 the re-¹⁴⁴¹ sults on shading from the present study can be used on a large portfolio of buildings, while for smaller areas one may want to produce realistic viewsheds for a few buildings to study the impact from shading. One simple approach would be to provide a database of viewsheds such as the one produced in this study, from which samples could be randomly drawn. To avoid the need of a database, another approach could be to design a model that can reproduce the distribution of shading profiles, perhaps stochastically using Markov chains to create statistically appropriate skylines. While the solar elevation angle is probably the most influential parameter, other factors such as the type of roof or height of the building would most likely also have an impact. Hence, a set

 of building specific parameters would satisfy as inputs to such a model.

 • Invitation for collaboration and data access: This work would not have been possible without support from many sides mentioned in the acknowledgements. Gath- ering this data has proven difficult at times, and finding the correct person to approach was not straight forward. Therefore, we would like to extend an invitation to the reader. Should you have good ideas of how to use this data, or have large data itself, particularly in countries that we have not detailed, we en- courage you to get in contact with either Sven Killinger (sven.killinger@ise.fraunhofer.de, svnkllngr@gmail.com), Jamie Bright (jamie.bright@anu.edu.au, jamiebright1@gmail.com) or Nicholas En- gerer (nicholas.engerer@anu.edu.au). Much of the data is confidential and so we cannot share it, however, the aggregated statistics are available. Should you wish to have access to the real distributions presented in the work, they are available on request, should they be publicly released, all communications will be made through our ResearchGate project. You are encouraged to follow that project for updates and communications (Bright et al., 2018).

7. Summary

1488 Knowledge of PV system characteristics is needed 1523 in the different regional PV modelling approaches but are either unknown or only accessible for a small

number of stakeholders. The aim of this paper was to provide knowledge of PV system characteristics through data collection, analysis and distribution fitting of PV system characteristics. The structure presented was twofold and focused on (1) metadata (tilt and azimuth of modules, installed capacity and specific annual yield) as well as (2) the impact of shading.

1499 We considered 2,802,797 PV systems located in Europe, USA, Japan and Australia, which repre- sented a total capacity of 59 GWp (14.8% of in- stalled capacity worldwide). Interdependencies of the installed capacity and the geographic location to the other parameters tilt, azimuth and specific annual yield were observed. To acknowledge the impact from these two dominating parameters (in- stalled capacity and geographic location) on others and to allow a derivation of meaningful statistics, a clustering of systems on a country-basis with ad-1510 ditional separation by systems sizes ≤ 25 kWp and $_{1511}$ > 25 kWp was introduced. For eased future utili- sation of the analysed metadata, each parameter in a cluster was approximated by a distribution func- tion. Results show strong characteristics unique to each cluster, however, there are some commonali- ties across all clusters. The smallest mean tilt val- $_{1517}$ ues were reported in Australia $(16.1°)$, USA South 1518 and Italy (19.8 and 19.9°, respectively). The largest 1519 mean tilt values were reported in Belgium (35.6°) , $_{1520}$ the UK $(31.8°)$ and Germany $(31.6°)$. We find the smallest mean specific annual yield is in Den- mark (786.0 kWh/kWp) and largest in south USA $(1,426 \text{ kWh/kWp})$, this corresponds well to the climatic differences between 30 and $50°$ latitude within the study. The region with smallest me dian capacity was UK (2.94 kWp) and the largest was Germany (8.96 kWp). Almost all countries had a mean azimuth angle normal to the equa- tor. The number of equatorially-orientated sys- tems was significantly higher than any other ori- entation, such that no distribution type could ap- propriately capture this characteristic. That said, it is expected that the number of systems with az- $_{1534}$ imuth of $0°$ are exaggerated due to lacking preci- sion of PV system metadata reporting, and per- haps the statistical distributions are more realistic than the data suggests, particularly when consid- ering the reduced peak from higher accuracy meta- data, such as that from the UK. Capacity demon- strated the most cluster-unique characteristics. As each cluster represented a country, it also captures national policy incentives that clearly influence the overall capacity distributions. The feed-in tariffs of France, Germany and the UK have clear impact on the PV system size. The shape of the distributions of specific annual yield offered the most similarity between clusters, with the location/mean being pri- marily a function of climate through latitude. Dis- semination of clusters by climate may reveal more insightful differences. All of the distributions that are presented in the paper can be obtained from the tables in the appendix.

 Shading was considered by computing the view-1554 shed of individual roof facets of $\approx 48,000$ buildings 1586 $_{1555}$ in Uppsala, Sweden, which meant that $> 90,000$ $_{1587}$ facets were analysed. Two empirical equations were derived and presented. The first represents the beam irradiance subcomponent, describing the mean ratio of a roof that is shaded as a function of the solar elevation angle. The second determines the view factor as a function of the roof tilt including the impact from shading and can be used to estimate the losses of diffuse and reflected irradiance. These equations are believed to better take shading into consideration than the coarse estimates used today. For the specific meteorological conditions of Uppsala, we also showed in this study that losses of diffuse irradiance due to shading are higher than that of beam on an annual basis and should not be neglected for sites of similar cloudiness as in Uppsala (annual clear-sky index of 0.63).

Several interesting research topics beyond the scope of this paper were sketched and the offer for future collaborations expressed.

8. Conflict of interest

The authors declare no conflicts of interest.

1577 Acknowledgement

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Appendix A. Approximation of parameters in clusters: continued

 The appendix is a continuation of section 4 and provides histograms together with fitted distribu-

 tions of azimuth, tilt, specific annual yield and in-1838 stalled capacity for system sizes > 25 kWp in Fig- ure A.10. Table A.4 presents the coefficients of the fitted distributions, while distinguishing between $_{1841}$ systems \leq 25 kWp and $>$ 25 kWp. All distri- bution functions are defined in Table A.3 and can be replicated with help of the parametrised coeffi- cients. It is important that the user reads carefully section 4 in order to appropriately use the distribu- tions. A summary of the impact of our proposed quality control criteria from section 2 is provided in the appendix in Table A.5 where percentages of removed data are presented.

Table A.3: Definition of the probability density distributions used in the research. The coefficients correspond to those presented in Table A.4. The distribution name corresponds to the same Matlab®distribution names and readers are encourage to read the detailed descriptions at WWW.MATHWORKS.COM/HELP/STATS/CONTINUOUS-DISTRIBUTIONS.HTML. Each coefficient is defined. The equation is provided from the Matlab®documentation. Note that the Stable distribution is not explicitly a probability density function, but a characteristic function.

Distribution Name	Coeff. 1	Coeff. 2	Coeff.3	Coeff. 4	Probability Density Function, $f(x)$
Burr type XII	α Scale	\overline{c} Shape 1	\boldsymbol{k} Shape 2	÷.	$f(x \alpha, c, k) = \frac{\frac{kc}{\alpha} \left(\frac{x}{\alpha}\right)^{c-1}}{\left(1+\left(\frac{x}{\alpha}\right)^{c}\right)^{k+1}}$
Extreme Value	μ Location	σ Scale	$\overline{}$	$\overline{}$	$f(x \mu,\sigma) = -\sigma^{-1} \exp\left(\frac{x-\mu}{\sigma}\right) \exp\left(-\exp\left(\frac{x-\mu}{\sigma}\right)\right)$
Gamma	\overline{a} Shape	\boldsymbol{b} Scale	$\overline{}$	٠	$f(x a,b) = \frac{1}{b^a \Gamma(a)} x^{a-1} \exp\left(\frac{-x}{b}\right)$
Generalized	\mathbf{k}	σ	μ		$f(x k, \mu, \sigma) =$
Extreme Value	Shape	Scale	Location		$\left(\frac{1}{\sigma}\right) \exp \left(-\left(1+k\frac{(x-\mu)}{\sigma}\right)^{-\frac{1}{k}}\right) \left(1+k\frac{(x-\mu)}{\sigma}\right)^{-1-\frac{1}{k}}$
Inverse Gaussian	μ Scale	λ Shape	$\overline{}$	$\overline{}$	$f(x \mu,\lambda) = \sqrt{\frac{\lambda}{2\pi x^3}} \exp\left(-\frac{\lambda}{2\mu^2 x}(x-\mu)^2\right)$
Logistic	μ Location	σ Scale	$\overline{}$	$\overline{}$	$f(x \mu,\sigma) = \frac{\exp\left(\frac{x-\mu}{\sigma}\right)}{\sigma(1+\exp\left(\frac{x-\mu}{\sigma}\right)^2}$
Loglogistic	μ Log Loc.	σ Log Scale	÷	$\overline{}$	$f(x \mu,\sigma) = \frac{1}{\sigma} \frac{1}{x} \frac{\exp\left(\frac{\log(x)-\mu}{\sigma}\right)}{\left(1+\exp\left(\frac{\log(x)-\mu}{\sigma}\right)\right)^2} \frac{1}{\Gamma(\mu)} x^{2\mu-1}$
Lognormal	μ Log Loc.	σ Log. Scale	$\overline{}$	$\overline{}$	$f(x \mu,\sigma) = \frac{1}{x\sigma\sqrt{2\pi}}\exp\left(\frac{-(\ln x-\mu)^2}{2\sigma^2}\right)$
Nakagami	μ Shape	ω Scale	$\overline{}$	$\overline{}$	$f(x \mu,\omega) = 2\left(\frac{\mu}{\omega}\right)^{\mu}\frac{1}{\Gamma(\mu)}x^{(2\mu-1)}\exp\left(\frac{-\mu x^2}{\omega}\right)$
Normal	μ Location	σ Scale		$\overline{}$	$f(x \mu,\sigma) = \frac{1}{\sigma\sqrt{2\pi}}\exp\left(\frac{-(x-\mu)^2}{2\sigma^2}\right)$
Stable	α Shape 1	β Shape 2	γ Scale	δ Location	$E(e^{itX}) =$ $\exp\left(-\gamma^{\alpha} t ^{\alpha}(1+i\beta\text{sgn}(t)\text{tan}\frac{\pi\alpha}{2}((\gamma t)^{1-\alpha}-1)i\delta t\right)$
t Location-Scale	μ Location	σ Scale	ν Deg. of Freedom	$\overline{}$	$f(x \mu,\sigma,\nu)=\frac{\Gamma(\frac{\nu+1}{2})}{\sigma\sqrt{\nu\pi}\Gamma(\frac{\nu}{2})}\left(\frac{\nu+(\frac{x-\mu}{\sigma})^2}{\nu}\right)^{-\left(\frac{\nu+1}{2}\right)}$
Weibull	\boldsymbol{a} Scale	\boldsymbol{b} Shape		$\overline{}$	$f(x a, b) = \frac{b}{a} \left(\frac{x}{a}\right)^{b-1} \exp\left(-\left(\frac{x}{a}\right)^b\right)$

Table A.4: The distribution coefficients corresponding to the definitions in Table A.3. The left side is for capacities \leq 25 kWp and the right side is for > 25 kWp. Table A.4: The distribution coefficients corresponding to the definitions in Table A.3. The left side is for capacities ≤ 25 kWp and the right side is for > 25 kWp.

Table A.5: Percentages of reported (Rep.) and filtered data due to the quality control procedure sketched in section 2 for tilt, azimuth, installed capacity (Capa.), geographic location (Loca.) and specific amual yield. Numbers are given as percentage and in relation to the total number of systems that were available in each geographic location (Loca.) and specific annual yield. Numbers are given as percentage and in relation to the total number of systems that were available in each Table A.5: Percentages of reported (Rep.) and filtered data due to the quality control procedure sketched in section 2 for tilt, azimuth, installed capacity (Capa.), dataset.

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