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# Decomposition and Sharing for User-defined Aggregation: from Theory to Practice

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## ABSTRACT

We study the problems of decomposition and sharing for user-defined aggregate functions in distributed and parallel computing. Aggregation usually needs to satisfy the distributive property to compute in parallel, and to leverage optimization in multidimensional data analysis and conjunctive query with aggregation. However, this property is too restricted to allow more aggregation to benefit from these advantages. We propose for user-defined aggregation functions a formal framework to relax the previous condition, and we map this framework to the *MRC*, an efficient computation model in MapReduce, to automatically generate efficient partial aggregation functions. Moreover, we identify the sound conditions for sharing the result of practical user-defined aggregation without scanning base data, and propose a hybrid solution, the symbolic index, pull-up rules, to optimize the sharing process.

## KEYWORDS

MapReduce, large-scale, user-defined aggregation, decomposition of aggregation, sharing computation.

## 1 INTRODUCTION

The ability to summarize information, the intrinsic feature of aggregation, is drawing increasing attention for information analysis [10, 20]. Simultaneously under the progress of data explosive growth, processing aggregate function has to experience a transition to massively distributed and parallel framework, e.g. MapReduce, Spark, Flink etc. The inherent property of aggregation, taking several values as input and generating a single value based on certain criteria, requires a decomposed approach in order to be executed in a distributed architecture. Decomposition for aggregation functions enables to compute partial aggregation which can then be merged together to obtain the final result. These partial aggregation results also require an efficient method manage due to the fact that data scanning is usually costly in distributed and parallel computing. *How to efficiently decompose user-defined aggregation functions and reuse their partial computation results* is a hard nut to crack.

Decomposition of aggregation functions is a long-standing research problem that has been addressed in various fields. In a distributed computing framework like MapReduce, decomposability of aggregate function can push aggregation before the shuffle phase [6, 28]. This is usually called initial reduce, with which the size of data transmission on a network can be substantially reduced. For wireless sensor network, the need to reduce data transmission is more necessary because of the limitation of power supply [25]. In online analytical processing (OLAP), decomposability of aggregate function enables aggregation across multi-dimensions, such that

aggregation queries can be executed on pre-computation results instead of base data to accelerate query answering [26]. An important point of query optimization in relational databases is to reduce input table size of join [17], and decomposable aggregation brings interests [7].

Previous works identify interesting properties for aggregation decomposition. A very relevant classification of aggregation functions, introduced in [20], is based on the size of sub-aggregation (i.e., partial aggregation). This classification distinguishes between distributive and algebraic aggregation, having sub-aggregate with fixed sizes, and holistic functions, where there is no constant bound on the storage size needed to describe a subaggregation. Some algebraic properties, such as associativity and commutativity, are identified as sufficient conditions for aggregation decomposition [6, 28]. Compared to these works, our work provides a generic framework to identify the decomposability of any symmetric aggregation and generate generic algorithms to process it in parallel.

On the other side of sharing aggregation computation, [9, 11, 16, 29] focus on aggregate functions with varying selection predicates and group-by attributes. In dynamic data processing, [15, 19, 22] concentrate on windowed aggregate queries with different ranges and slides. [27] proposes a framework to manage the partial aggregation results, and it has shown performance improvement compared to modern data analysis library e.g. Numpy. Previous works focus on optimizing queries with aggregation functions having different group attributes, predicates, and windows (range and slide), while we concentrate on sharing computation results for completely different aggregation functions without these constraints (aggregation simply runs on input dataset). And our solutions can be trivially extended to relational queries with aggregation functions by exploiting the contribution of previous works.

We focus on designing a generic framework that enables to efficiently process user-defined aggregation functions and exhaustively reuse their computation results. To achieve this goal, we firstly identify a computation model and an associated cost model for parallel algorithms. We consider in our work the MapReduce (*MR*) framework and we use the *MRC* [21] cost model to define 'efficient' *MR* algorithms. Then we rest on the well-formed aggregation [7] as a generic framework for aggregation functions. This formal framework is mapped into the *MRC* model to generate a generic efficient *MR* algorithm for aggregation in section 4, noted by  $MR(\alpha)$ . Moreover, in section 5, we identify the widely used  $\oplus$  functions in practice in the formal framework and analyze their effects on the efficiency of  $MR(\alpha)$ . On the side of sharing aggregation computation, at first, in section 6 we introduce the sharing strategy based on the formal framework. Then in section 7, we identify the sharing conditions for practical user-defined aggregation. In order

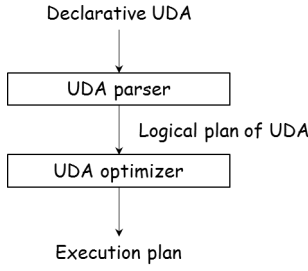


Figure 1: Outline of processing user-defined aggregation.

to improve the sharing process, the symbolic index and pull-up rules are proposed to optimize the execution plan of aggregation.

We aim at providing a systematic approach for processing user-defined aggregation to fit the increasing application of aggregation functions in different fields of data analysis. The general outline can be concluded in figure 1. In this paper, we concentrate on the formal framework of user-defined aggregation and the theoretical aspects of the optimizing phase. All corresponding proofs and algorithms are supplemented in the technical report [2].

## 2 MRC ALGORITHM

Several research works concentrate on the complexity of parallel algorithms. *MUD* [12] algorithm was proposed to transform a symmetric streaming algorithm to a parallel algorithm with decent bounds in terms of communication and space complexity, but without any bound on the time complexity. This disqualifies *MUD* as a possible candidate in our context. The trade-off between round numbers and reducer space has been analyzed in [13], and reducer memory is relaxed to an arbitrary number to solve complex problems e.g. prefix sums and multi-searching. In [5], the massively parallel communication model was proposed to analyze the trade-off between communication load and computation rounds for relational queries. *MRC* [21] is another popular framework that has been used to evaluate whether a MapReduce algorithm is efficient. The constraints enforced by *MRC* w.r.t. to the total bits of input  $n$  can be summarized in the follows:

- machine space:  $O(n^{1-\epsilon})$ ,  $\epsilon > 0$ ;
- local computation time:  $O(n^k)$ , for some constant  $k$ ;
- machine numbers:  $O(n^{1-\epsilon})$ ,  $\epsilon > 0$ ;
- computation round:  $R = O(\log^i(n))$ .

The *MRC* model considers necessary parameters for parallel computing, communication time, computation space and computing time, and makes more realistic assumptions. Hence, a MapReduce algorithm satisfying these constraints is considered as an efficient parallel algorithm and will be called hereafter an *MRC* algorithm.

## 3 FORMAL FRAMEWORK

In this section, first of all, we formally define aggregation function. Then the symmetric (commutative) aggregation functions are introduced. Finally, we provide the formal framework for symmetric aggregation which is going to be used throughout this paper.

**Definition 3.1. (Aggregation function)** Let  $I$  be a domain (i.e., a set of infinite number of values). An aggregation function  $\alpha$  over  $I$  is a function:  $\bigcup_{l \in \mathbb{N}_{>1}} I^l \rightarrow I$ .

According to this definition, corresponding to the notion of extended aggregation function in [14], an aggregation operates on a list of values to compute a single value as a result.

**Definition 3.2. (Symmetric aggregation function)** Let  $I$  be a domain. An aggregation function  $\alpha$  is symmetric iff  $\alpha(X) = \alpha(\sigma(X))$  for any  $X \in I^l$ ,  $l \in \mathbb{N}_{>1}$  and any permutation  $\sigma$ , where  $\sigma(X) = (x_{\sigma(1)}, \dots, x_{\sigma(l)})$ .

Symmetric aggregation does not depend on the order of input data, therefore we consider the input of a symmetric aggregation is a *multiset* instead of an ordered list. For a given domain  $I$ , by noting  $\{\{I\}\}$  as the set of all nonempty finite multisets of elements of  $I$ , a symmetric aggregation function is a function:  $\{\{I\}\} \rightarrow I$ .

To define the generic aggregation framework, we use the notion of well-formed aggregation [7] as a *canonical form*.

**Definition 3.3. (Canonical form of symmetric aggregation function)** Let  $\alpha$  be a symmetric aggregation function defined over a domain  $I$  and let  $D_i$  be a domain, called an intermediate domain. A canonical form of  $\alpha$  using an intermediate domain  $D_i$  is a triple  $(F, \oplus, T)$ , where:

- $F : I \rightarrow D_i$  is a translating function;
- $\oplus$  is a commutative and associative binary operation over  $D_i$ ;
- $T : D_i \rightarrow I$  is a terminating function;

such that for all  $\{d_1, \dots, d_l\} \in \{\{I\}\}$ ,  $\alpha(\{d_1, \dots, d_l\}) = T(F(d_1) \oplus \dots \oplus F(d_l))$ .

$F$  is a tuple at a time function operating on single values of  $I$ . The binary operation  $\oplus$  accumulates results of  $F$  and hence plays the role of an accumulator, and  $T$  operates on the accumulated results of  $\oplus$  to finalize the computation of  $\alpha$ . For instance, the aggregation *average*( $X$ ) with  $X \in \{\{I\}\}$  can be expressed in the following canonical form, called hereafter *canaverage* form:

- $F(d) = (d, 1)$ ,  $\forall d \in X$ ;
- $(d, k) \oplus (d', k') = (d + d', k + k')$ ;
- $T((d, l)) = \frac{d}{l}$ .

More examples are illustrated in table 6 with main SQL built-in aggregation functions available on some commercial and open-source DBMSs (Microsoft SQL Server [3], IBM DB2 [1] and PostgreSQL [4]).

We make the following two observations [7]: (i) a canonical form of an aggregation function is not unique, i.e., for the same function  $\alpha$ , several canonical forms may exist, and (ii) an aggregation function  $\alpha$  can always be expressed in a canonical form: taking  $F$  as the identity function,  $\oplus$  as the multiset union and  $T$  as  $\alpha$  itself. This latter form is called hereafter the *naive canonical form* of  $\alpha$ .

## 4 EFFICIENT DECOMPOSITION OF AGGREGATION

In this section, we firstly decompose aggregation functions using the formal framework, then we illustrate the deficiency of the formal framework, lacking the consideration of computing efficiency.

Therefore, we map the formal framework into the *MRC* algorithm to generate the efficient decomposition framework. After this, we show how the formal framework deals with several algebraic properties of aggregation functions which are commonly used in the decomposition literature, from this we can see that the formal framework is more generic than the previous algebraic properties.

#### 4.1 Mapping symmetric aggregation into *MRC* algorithm

Let  $(F, \oplus, T)$  be a canonical form of an aggregation function  $\alpha$ . The associative and commutative property of  $\oplus$  can be exploited to derive a MapReduce implementation of  $\alpha$ : processing  $F$  and  $\oplus$  at mapper,  $\oplus$  at combiner, and  $\oplus$  and  $T$  at reducer. Table 1 depicts the corresponding generic MapReduce algorithm,  $MR(\alpha)$ , to compute  $\alpha(X)$  with  $X \in \{\{I\}\}$ , where mapper input is a multiset  $X_i \subseteq X$ , the output of the mapper  $i$  is denoted by  $O_i$ , and the symbol  $\sum_{\oplus}$  denotes the summation using  $\oplus$ .

Hence, every symmetric aggregation function  $\alpha$  given in a canonical form  $(F, \oplus, T)$  can be turned into a MapReduce algorithm  $MR(\alpha)$ . However, the generated  $MR(\alpha)$  algorithm is not necessarily an efficient algorithm (i.e., a *MRC* algorithm). For example, the algorithm  $MR(\text{canaverage})$ , derived from the *canaverage* form of the *average* function is a *MRC* algorithm while the algorithm  $MR(\text{naiveaverage})$ , derived from the naive canonical form of *average*, is not a *MRC* algorithm. Indeed, in the naive canonical form of *average*,  $F$  is the identity function and  $\oplus$  is the multiset union. Hence, the total size of output of mappers is equal to  $n$  (the length of the input), and if, in the worst case, all the mapper outputs are sent to only one reducer it will need a space equal to  $n$ . However, *MRC* model requires that a reducer uses a sublinear space in  $n$ .

Therefore, we address in the sequel the following question: given an aggregation function  $\alpha$  expressed in a canonical form, *when the generated  $MR(\alpha)$  algorithm is efficient?* In other words, we are interested in characterizing under which conditions one can ensure that  $MR(\alpha)$  is a *MRC* algorithm.

In order to have conditions to make  $MR(\alpha)$  be a *MRC* algorithm, it is necessary to reason about partial aggregation output length which is related to the bounded space of reducer in *MRC*. Moreover, the extremely worst (EW) case in MapReduce is that all mapper outputs are sent to one reducer, and if the condition of partial aggregation result length can be satisfied in EW case, then the case of a general number of reducers can also be ensured. Note that, in (EW) cases, the communication cost is the space of one machine,  $O(n^{1-\epsilon_2})$ . Our analysis is built on the EW case.

In *MRC* model, machine number  $P$  and machine space  $M$  are both restricted to  $O(n^{1-\epsilon})$ . However, this may bring a restricted condition that the bound of  $P$  and  $M$  must be same or same order of magnitude, which limits the feasibility under EW case of computing even trivial aggregation e.g.  $\text{count}()$ , because under EW case  $P \cdot |O_i| = M$  where  $|O_i|$  is the length of a mapper output  $O_i$  in bits, if  $P$  and  $M$  are same or same order of magnitude,  $|O_i|$  can only be  $O(1)$ . Therefore, we assume machine number:  $P = O(n^{1-\epsilon_1})$ ,  $\epsilon_1 > 0$  and machine space:  $M = O(n^{1-\epsilon_2})$ ,  $\epsilon_2 > 0$ , and both of them are still under the *MRC* sublinear requirement. In the following, we call *MRC environment*  $E = (X, \epsilon_1, \epsilon_2)$  for an input  $X = \{ \langle k_j; v_j \rangle, \text{ for } j \in [1, l] \}$  of  $n = \sum_{j=1}^l (|k_j| + |v_j|)$  bits, and a cluster

**Table 1:**  $MR(\alpha)$ : a generic MR algorithm for symmetric aggregation.

MapReduce phase	operation
mapper	$\sum_{\oplus, d_j \in X_i} F(d_j)$
combiner	$\oplus$
reducer	$T(\sum_{\oplus, i} O_i)$

of  $P = O(n^{1-\epsilon_1})$ ,  $\epsilon_1 > 0$  machines, and each of them having  $M = O(n^{1-\epsilon_2})$ ,  $\epsilon_2 > 0$  space.

**PROPOSITION 4.1.** *Given a MapReduce program  $\mathcal{A}$  with the *MRC* computing environment, then under the EW case,  $\mathcal{A}$  can be computed in the *MRC* environment  $E = (X, \epsilon_1, \epsilon_2)$  iff for each mapper output  $O_i$ ,  $|O_i| = O(n^{1-\zeta})$ ,  $\zeta \geq \max(\epsilon_2, 1 - \epsilon_1 + \epsilon_2)$ .*

Based on proposition 4.1, we propose the following reducible property to categorize when a symmetric aggregation has a *MRC* algorithm.

**Definition 4.2. (Reducible symmetric aggregation functions)**

Let  $\alpha$  be a symmetric aggregation given in a canonical form  $(F, \oplus, T)$  with the *MRC* environment  $E = (X, \epsilon_1, \epsilon_2)$ ,  $\alpha$  is reducible if it satisfies the following two conditions:

- $F, \oplus$  and  $T$  operate in time polynomial in  $n$ ;
- $|\sum_{\oplus, d_j \in X_i} F(d_j)| = O(n^{1-\zeta})$ ,  $\zeta \geq \max(\epsilon_2, 1 - \epsilon_1 + \epsilon_2)$ , where  $X_i$  is a subset of  $X$  with the size of  $O(n^{1-\epsilon_2})$  in bits.

The second condition requires that the output length of partial aggregation is bounded by a precisely sublinear space in  $n$ . This ensures that the length of partial aggregation is smaller enough to make the underlying computation efficient. It is noteworthy that this constraint is more general than the *MRC* parallelizable property in [21].

It is trivial to see that the formal framework is a one-round *MR* algorithm, then with reducible property we can conclude in the follows the  $MR(\alpha)$  for a reducible  $\alpha$  is a *MRC* algorithm, which means  $MR(\alpha)$  can be efficiently computed in *MR* paradigm.

$$MR(\alpha), \alpha \text{ is reducible} \Leftrightarrow \text{MRC algorithm.} \quad (1)$$

#### 4.2 Deriving *MRC* algorithm from algebraic properties

In this subsection, we investigate different algebraic properties of aggregation functions leading to a non-naive canonical form. If an aggregation  $\alpha$  is in one of the following classes and  $\alpha$  is also reducible, then the corresponding  $MR(\alpha)$  is a *MRC* algorithm.

**Associative aggregation.** An aggregate function  $\alpha$  is *associative* [14] if for any multiset  $X = X_1 \cup X_2$ ,  $\alpha(X) = \alpha(\alpha(X_1), \alpha(X_2))$ . Associative and symmetric aggregation function can be transformed in a canonical form  $(F, \oplus, T)$  defined as follows:

$$F = \alpha, \oplus = \alpha, T = \text{id}. \quad (2)$$

where  $\text{id}$  denotes the identity function. If  $\alpha$  is reducible, then  $MR(\alpha)$  is a *MRC* algorithm.

**Distributive aggregation.** An aggregation  $\alpha$  is *distributive* [20] if there exists a combining function  $C$  such that  $\alpha(X) = C(\alpha(X_1), \alpha(X_2))$ .

Distributive and symmetric aggregation can be rewritten in the canonical symmetric aggregation framework  $(F, \oplus, T)$ :

$$F = \alpha, \oplus = C, T = id. \quad (3)$$

Similarly, if  $\alpha$  is reducible then the corresponding  $MR(\alpha)$  is a  $MRC$  algorithm.

**Commutative semigroup aggregation.** Another kind of aggregate function having the same behavior as symmetric and distributive aggregation is the commutative semigroup aggregate function [8]. An aggregation  $\alpha$  is in this class if there exists a commutative semigroup  $(H, \otimes)$ , such that  $\alpha(X) = \otimes_{x_i \in X} \alpha(x_i)$ . The corresponding canonical aggregation  $(F, \oplus, T)$  is illustrated as following:

$$F = \alpha, \oplus = \otimes, T = id. \quad (4)$$

If  $\alpha$  is reducible then  $MR(\alpha)$  is a  $MRC$  algorithm.

**Preassociative and symmetric aggregation.** A more general property than commutative semi-group aggregation is symmetric and preassociative aggregate function. An aggregation  $\alpha$  is *preassociative* [23] if it satisfies  $\alpha(Y) = \alpha(Y') \implies \alpha(XYZ) = \alpha(XY'Z)$ . According to [23], some symmetric and preassociative aggregation functions (unarily quasi-range-idempotent and continuous) can be constructed as  $\alpha(\mathbf{X}) = \psi \left( \sum_{i=1}^n \varphi(x_i) \right)$ ,  $n \geq 1$ , where  $\psi$  and  $\varphi$  are continuous and strictly monotonic unary function. A canonical form  $(F, \oplus, T)$  for this kind of preassociative aggregation can be defined as following:

$$F = \varphi, \oplus = +, T = \psi. \quad (5)$$

The corresponding  $MR(\alpha)$  is also a  $MRC$  algorithm, if  $\alpha$  is reducible. For instance,  $\alpha(X) = \sum_{i=1}^n 2x_i$ , where  $\psi = id$  and  $\varphi(x_i) = 2x_i$ .

**Quasi-arithmetic mean.** An aggregate function  $\alpha$  is barycentrically associative [24] if it satisfies  $\alpha(XYZ) = \alpha(X\alpha(Y)^{|Y|}Z)$ , where  $|Y|$  denotes the number of elements contained in multiset  $Y$  and  $\alpha(Y)^{|Y|}$  denotes  $|Y|$  occurrences of  $\alpha(Y)$ . A well-known class of symmetric and barycentrically associative aggregation is quasi-arithmetic mean:  $\alpha(\mathbf{X}) = f^{-quasi} \left( \frac{1}{l} \sum_{i=1}^l f(x_i) \right)$ ,  $l \geq 1$ , where  $f$  is an unary function and  $f^{-quasi}$  is a quasi-inverse of  $f$ . With different choices of  $f$ ,  $\alpha$  can correspond to different kinds of mean functions, e.g. arithmetic mean, quadratic mean, harmonic mean etc. An immediate canonical form  $(F, \oplus, T)$  of such functions is given by:

$$F = (f, 1), \oplus = (+, +), T = f^{-1} \left( \frac{\sum_{i=1}^l f(x_i)}{l} \right). \quad (6)$$

The corresponding  $MR(\alpha)$  is a  $MRC$  algorithm, if  $\alpha$  is reducible.

## 5 PRACTICAL DECOMPOSITION

In this section, we firstly identify two widely used  $\oplus$  functions, which are adequately generic to construct user-defined aggregation based on the analysis of table 6 (see at the last page). Then we analyze the relationship between these two  $\oplus$  functions and the reducible property.

### 5.1 Efficient $\oplus$ function

According to the canonical forms of most common used aggregation (see table 6), the  $\oplus$  function in the formal framework can be set union, addition, and multiplication, or a tuple of the combination of

them. It is trivial to see that set union is not an efficient  $\oplus$  function, because of materializing all data from slave nodes to master nodes. In practice, for aggregation functions which can only have set union as the  $\oplus$  function, e.g. median, approximated algorithms are usually used to compute them, which is out the scope of this paper.

The reducible property gives the necessary and sufficient condition to identify when an arbitrary aggregation in the canonical form is a  $MRC$  algorithm. From definition 4.2, we observe that partial aggregation output length is bounded, which depends on input value length  $|v|$  in bits and the increasing bits by using  $\oplus$  to accumulate values.

When it comes to practical computing, there is always a trade-off between the length of the result and the computation precision (unbounded-length result). For instance, in Java, the primitive data type double has a fixed length of 64-bit, but the precision of computation result using double is out of control. While, the BigDecimal provides arbitrary precision arithmetic, but the result has an unbounded length depending on precision. For the bounded-length data type, the  $MR(\alpha)$  with  $\oplus = +$  or  $\oplus = \times$  is always a  $MRC$  algorithm, because there is no increase on the size of accumulating values, in other words, the size of the mapper output is always the bounded number of bits, which could be  $O(1)$ . We analyze  $MR(\alpha)$  with the unbounded-length data type in the follows, which is for the cases of arbitrary precision computing and exact computing with unlimited precision.

**5.1.1  $\oplus = +$ .** We show in the following theorem, under the case of unbounded data type, when a  $MR(\alpha)$  with  $\oplus = +$  in the  $MRC$  environment is a  $MRC$  algorithm.

**THEOREM 5.1.** *Let  $\alpha$  be a symmetric aggregation in a canonical form with  $\oplus = +$  and  $F, T$  operating in time polynomial in the length of input, and  $MR(\alpha)$  be the corresponding generic algorithm with the  $MRC$  environment  $E = (X, \epsilon_1, \epsilon_2)$ , when  $|F(v_i) + F(v_j)|, \forall v_i, v_j \in X$  is unbounded,  $MR(\alpha)$  is a  $MRC$  algorithm iff  $|\text{avg}(F(v_i))| = O(n^{1-\zeta})$ ,  $\zeta > \max(\epsilon_2, 1 - \epsilon_1 + \epsilon_2)$ , where  $\text{avg}(F(v_i))$  is the average value of  $\bigcup_{v_i \in X} F(v_i)$ .*

**PROOF.** When  $|F(v_i) + F(v_j)|, \forall v_i, v_j \in X$  is unbounded, then the intermediate size of accumulating values is increasing. Let every  $v$  in  $X$  initially occupy a fixed length denoted as  $|v_{max}|$  bits. Assuming mapper  $i$  receives  $l_i$  values, and the worst case of accumulating values with  $+$  is that every  $v$  has the maximum value of  $|v_{max}|$  bits, then  $l_i \times |F(v_{max})| = M$  ( $M$  is the machine space in the  $MRC$  environment). The encoded value of  $|F(v_{max})|$  bits is  $2^{|F(v_{max})|} - 1$  (for easier discussion assuming it as  $2^{|F(v_{max})|}$ ), such that the result of summing  $l_i$  values is  $2^{|F(v_{max})|} \times l_i$ . Finally, the maximum mapper output length is  $|O_i| = \log(2^{|F(v_{max})|} \times l_i) = |F(v_{max})| + \log(l_i)$ .

According to proposition 4.1,  $|F(v_{max})| + \log(l_i)$  is required to be  $O(n^{1-\zeta})$ ,  $\zeta \geq \max(\epsilon_2, 1 - \epsilon_1 + \epsilon_2)$ . In fact, we have  $\log(l_i) = \log\left(\frac{M}{|F(v_{max})|}\right) \leq \log(M) = \log(O(n^{1-\epsilon_2}))$ , and  $\log(O(n^{1-\epsilon_2}))$  is much smaller than  $O(n^{1-\zeta})$  because it is a lower infinity of  $O(n^{1-\zeta})$ ,  $\lim_{n \rightarrow +\infty} \frac{\log(O(n^{1-\epsilon_2}))}{O(n^{1-\zeta})} = 0$ . Therefore, we can conclude  $MR(\alpha)$  is a  $MRC$  algorithm iff  $|F(v_{max})| = O(n^{1-\zeta})$ ,  $\zeta \geq \max(\epsilon_2, 1 - \epsilon_1 + \epsilon_2)$ .  $\square$

**Table 2: Computation time and total partial result size for  $\sum x$  and  $\prod x$  with unlimited precision.**

	$\sum x$	$\prod x$
Time (s)	12	1560
Size (KB)	$6.8097e + 4$	$3.6793029e + 7$

In fact, the bound of  $|avg(F(v_i))|$  is quite generic in practice. With respect to the *MRC* environment, the bound  $O(n^{1-\xi})$  equals to  $\frac{M}{P}$ , which is the machine space in bits divided by the machine number. And this can be quite large in a practical environment. Therefore, under most reasonable cases,  $MR(\alpha)$  with  $\oplus = +$  is a *MRC* algorithm.

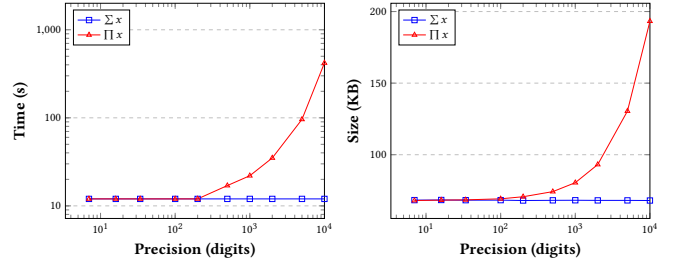
**5.1.2  $\oplus = \times$ .** Generally, in the setting of processing aggregation in distributed systems, if an aggregation function is associative and commutative then the corresponding partial aggregation can be efficiently processed. We show the following counterexample of  $\oplus = \times$ , that this widespread practice is in fact not correct with consideration of *MRC* cost model in the case of the unbounded-length data type.

Given the computation of the product aggregation  $\alpha(X) = \prod_{v_j \in X} v_j$ , let  $X = \{v_j, \forall j \in [1, l]\}$  where  $v_j$  is a binary string. W.o.l.o.g., consider  $v_j > 0$ . The total length of the input for  $\alpha$  is  $\sum_{v_j \in X} |v_j| = \sum_{v_j \in X} \log(v_j)$  bits.  $\alpha$  is indeed commutative and associative. Hence the partial aggregation  $\alpha(X_i)$ , where  $X_i \in X$  containing  $l_i$  values, can be computed at the **Accumulator**. W.o.l.o.g., we ignore the **Combiner** phase since it does not impact our reasoning. The computation results of a partial aggregation  $\alpha(X_i)$  is  $\prod_{v_j \in X_i} v_j$ , of which encoding requires  $\log(\prod_{v_j \in X_i} v_j) = \sum_{v_j \in X_i} \log(v_j)$  bits. In the worst case of MapReduce computing, all the results of the mappers (the case of one mapper at each machine) are sent to a unique reducer. Hence the reducer will need a space equal to  $\sum_{X_i \in X} (\sum_{v_j \in X_i} \log(v_j))$  bits, which is indeed  $\sum_{v_j \in X} \log(v_j)$ . In another sense, the computation of product contains shuffling all input data on all mappers to one reducer.

**5.1.3 Experiments.** Based on the above analysis, we make a simple experiment of computing  $\sum x$  and  $\prod x$  using arbitrary precision. The dataset we use is the store\_sales table from TPC-DS generated by scale 10, and the size of the table is 3.72GB. We program the following two queries in the way of Spark RDD with different predefined precision and unlimited precision and run them on a spark cluster containing one master node and six slave nodes. The experiment results for the predefined precision case is illustrated in figure 2, and table 2 shows the computation time and total partial result size in the case of unlimited precision (exact computing).

```
SELECT Sum(ss_sales_price) FROM store_sales WHERE ss_sales_price != 0;
SELECT Prod(ss_sales_price) FROM store_sales WHERE ss_sales_price != 0;
```

We can see both  $\sum x$  and  $\prod x$  can be efficiently processed with less digits in precision (smaller length of results). When using more digits and even unlimited digits,  $\sum x$  stays on the same performance, while  $\prod x$  will dramatically increase in terms of computation time and result size.


**Figure 2: Computation time and total partial result size for  $\sum x$  and  $\prod x$  with different significant digits.**

**5.1.4 The scope of  $\oplus$ .** The  $\oplus$  function can be a binary function or a tuple of binary function (for the case of not only one partial aggregation). Then, the scope of the  $\oplus$  function is  $\oplus = \cup_{i=1}^n \oplus_i$ ,  $\oplus_i \in (+, \times)$ ,  $i \in (1, \dots, n)$ ,  $n \in \mathbb{N}_{>0}$ .

## 6 SHARING AGGREGATION

In this section, we target the problem of how to reuse aggregation results to avoid data access. Let  $\alpha$  and  $\beta$  be two aggregation functions and  $X$  be an input set, and we try to cache the computation results of  $\alpha(X)$  and reuse them to compute  $\beta(X)$ . We build aggregation pipelines based on the formal framework for  $\alpha$  and  $\beta$  in figure 3, which are compared in the follows based on the size of data scanning and the scope of reusing.

The first one is caching the results of translating function. However, this is not interesting because the size of its output equals to the one of the original dataset such that it still needs a same-scale data scanning.

The second one is caching the results of  $\oplus$  function. Let  $PA_\alpha$  be the total results of  $\oplus$  function in  $\alpha$ , which can be one or a tuple of values, and  $T_\alpha$  be the terminating function of  $\alpha$ , then  $\alpha(X) = T_\alpha \circ PA_\alpha$ . Let  $R$  be one or a tuple of unary functions, then if possible  $\beta$  can be computed as  $\beta(X) = T_\beta \circ R \circ PA_\alpha$ .  $R$  contains only unary functions, then the results of  $R$  only depend on the input  $PA_\alpha$  instead of  $X$ . In fact,  $PA_\alpha$  is a tuple containing aggregation results, and the size of  $PA_\alpha$  is much smaller than the size of  $X$ .

The third one is caching the results of  $\alpha(X)$ , which is a single value because  $\alpha$  is an aggregation. Let  $T'$  be a unary function, then if possible  $\beta$  can be computed as  $\beta(X) = T' \circ \alpha(X)$ . The difference between the second and third caching choice is  $\alpha(X)$  can always be inferred from  $PA_\alpha$ , but if we have  $\alpha(X)$  we cannot always recover  $PA_\alpha$  from it, because it requires the inverse function of  $T_\alpha$  exists or  $T_\alpha$  is a uni-variate injection. This is a quite restricted condition because the terminating function is usually not a univariate function, e.g. the one of average, or an injection, e.g. the one of  $(\sum x)^2$ . This difference determines the reusing scopes of them. If  $\alpha(X)$  can be reused then  $PA_\alpha$  must also be possible, but in the opposite way, it may not be possible. Such that, the reusing scope of the second caching choice always contain the scope of the third one.

Therefore, we choose the second caching and reusing choice. It is noteworthy that the unary functions in  $R$  may not be the identity function, such that  $\alpha$  and  $\beta$  are not necessarily identical.

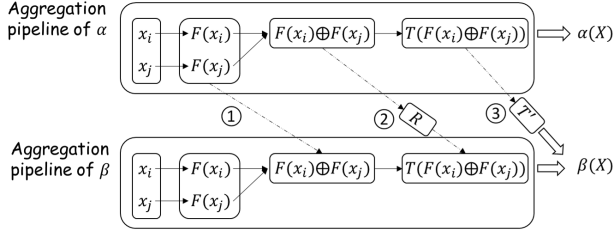


Figure 3: Sharing aggregation pipeline.

## 6.1 Partial aggregation state

First of all, we illustrate the notion of partial aggregation based on the formal framework. Given an aggregation function  $\alpha : \bigcup_{I \in \mathbb{N}_{>1}} I^I \rightarrow I$  with the formal framework  $(F, \oplus, T)$ , then for any input  $X \subseteq I$  the *partial aggregation* is

$$\sum_{\oplus, x_j \in X} F(x_j) = \left( \sum_{\oplus_1, x_j \in X} f_1(x_j), \dots, \sum_{\oplus_m, x_j \in X} f_m(x_j) \right), m \in \mathbb{N}_{>0},$$

where  $f_k(x), k \in (1, \dots, m)$  is a unary function<sup>1</sup>. Partial aggregation can be trivially computed by processing it individually on all subsets of  $X$  and then combining these sub-results by  $\oplus$ . In this setting, the total result is cached and reused instead of sub-results in order to avoid data transferring over networks. As we can see, partial aggregation is a sequence containing aggregate functions. For instance, the partial aggregation of average is  $(\sum_{x_j \in X} x_j, \sum_{x_j \in X} 1)$ .

Moreover, we define a notion having a smaller granularity than partial aggregation, which is named hereafter *partial aggregation state*. A partial aggregation state is just an element in partial aggregation. In the above example, the partial aggregation of  $\alpha$  contains  $m$  partial aggregation states

$$\sum_{\oplus_k, x_j \in X} f_k(x_j), k \in (1, \dots, m).$$

Partial aggregation states can provide a more generic reusing method. We illustrate this benefit by using the previous sharing example between  $\alpha$  and  $\beta$ . Assuming that  $\gamma$  is also an aggregation function, if  $\sum_{\oplus_\gamma} F_\gamma \in (\sum_{\oplus_\alpha} F_\alpha, \sum_{\oplus_\alpha} F_\alpha)$ , then the partial aggregation states of  $\alpha$  and  $\beta$  can be reused for  $\gamma$ . Therefore, we formally define the sharing computation on aggregation state in the follows.

**Definition 6.1. (Sharing partial aggregation states)** Let  $s = \sum_{\oplus} f(x)$  and  $s' = \sum_{\oplus'} f'(x)$  be two partial aggregation states, then  $s'$  shares the result of  $s$  iff there exists a unary function  $r$  such that

$$s' = r \circ s.$$

Note that  $r$  is a unary function, which means it only depends on  $s$  instead of base data. Moreover, in the above sharing equation, if  $s = s'$ , then  $r$  is the identity function. If  $s \neq s'$ , by finding  $r$ , the results of  $s$  can also be reused for  $s'$ .

## 6.2 Non-trivial property: derivable set

Following the sharing definition 6.1, we consider the following decision problem, *given two aggregation states  $s$  and  $s'$ , and an arbitrary input set, whether there exists a unary function  $r$ , such*

<sup>1</sup>In this paper, the term unary function is used to denote a function taking only one argument as input, e.g. an element in an input set.

that the computation results of  $s$  can be reused for  $s'$ , i.e.  $s' = r \circ s$ . In order to answer this decision problem, we firstly propose an abstract structure on partial aggregation states named derivable set. Then, we have that for an aggregation state  $s$ , the derivable set of  $s$  is a non-trivial and semantic property. Finally, we conclude this decision problem is undecidable, according to the Rice's theorem [18].

**Definition 6.2. (Derivable set)** Let  $s$  and  $s'$  be two partial aggregation states with an arbitrary input set, then  $s'$  belongs to the derivable set  $D(s)$ , iff there exists a unary function  $r$  for  $s' = r \circ s$ ,

$$D(s) = \{s' | \exists r(x), s' = r \circ s\}.$$

Every derivable set contains at least one element, which is the case  $r$  can only be the identity function. Also, it is trivial to see the problem of deciding whether  $s'$  can share  $s$  is identical to decide whether  $s' \in D(s)$ .

**THEOREM 6.3.** *Given any two partial aggregation states  $s$  and  $s'$ , whether  $s' \in D(s)$  is undecidable.*

**PROOF.** We show the property of derivable set is non-trivial and semantic. Then according to the Rice's theorem, it is undecidable.

Let  $AGG$  be the set of all partial aggregation states. It is noteworthy that there are infinitive elements in  $AGG$  because of infinitive unary functions for partial aggregation states. For an aggregation state  $s \in AGG$ ,  $D(s) \neq \emptyset$  because  $D(s)$  contains at least one element  $s$ . Moreover, we can also have  $D(s) \neq AGG$ . In fact, deciding whether  $s'$  belongs to  $D(s)$  requires a specific condition that may not be satisfied by arbitrary aggregation states. We explain this in details. Let  $s = \sum_{\oplus} f(x)$  and  $s' = \sum_{\oplus'} f'(x)$ . Assuming  $s' \in D(s)$ , then  $\sum_{\oplus'} f'(x) = r \circ \sum_{\oplus} f(x)$ . Without loss of generality, let  $X_1$  be an input set, and  $\sum_{\oplus, x \in X_1} f(x) = a$  and  $\sum_{\oplus', x \in X_1} f'(x) = b$ , then  $r(a) = b$ . However, because  $\sum_{\oplus} f(x)$  is an aggregation, then it is trivial to have another different input set  $X_2$  such that  $\sum_{\oplus, x \in X_2} f(x) = a$ . In this case,  $\sum_{\oplus', x \in X_2} f'(x)$  must equal to  $b$  otherwise  $r$  is not a function. Therefore, the specific property for  $s'$  is given any two input sets  $X_1$  and  $X_2$ , if  $s$  satisfies  $s(X_1) = s(X_2)$ , then  $s'$  must follow  $s'(X_1) = s'(X_2)$ .

For instance, we want to identify the derivable set of  $\sum x^2$ , that  $D(\sum x^2)$ . First of all,  $D(\sum x^2)$  contains  $\sum x^2$ . Moreover,  $D(\sum x^2)$  also contains aggregation states  $\sum ax^2, \forall a \in \mathbb{R}_{\neq 0}$ . Furthermore, we show that  $D(\sum x^2)$  does not contain  $\sum x$ , that there does not exist a unary function  $r$  for  $\sum x = r \circ \sum x^2$ . Without loss of generality, let (2, 3, 4) and (2, 5) be two simple inputs, we separately have  $r(29) = 9$  and  $r(29) = 7$ . Then  $r$  does not exist because of having different outputs for the same input. Therefore, we have  $D(\sum x^2) \neq \emptyset$  and  $D(\sum x^2) \neq AGG$ .

Such that,  $\forall s \in AGG, D(s) \neq AGG$  and  $D(s) \neq \emptyset$ , then derivable set is a non-trivial property on the total set  $AGG$ . Furthermore, it is trivial to see that if  $s' = s''$  and  $s' \in D(s)$ , then  $s''$  also belongs to  $D(s)$ . Then according to the Rice's theorem, whether  $s' \in D(s)$  is undecidable.  $\square$

## 7 PRACTICAL SHARING

In this section, we firstly present the practical scope of aggregation states. After this, a naive algorithm is proposed to share aggregation state computation. Finally, in order to reduce time complexity, we

**Table 3: Primitive types of unary functions.**

Function name	Formula
Constant function	$f(x) = a, x \in \mathbb{R}, a \in \mathbb{R}$ .
Identity function	$f(x) = x, x \in \mathbb{R}$ .
Linear function	$f(x) = ax, x \in \mathbb{R}, a \in \mathbb{R}_{\neq 0}$ .
Logarithm	$f(x) = \log_a x, x \in \mathbb{R}_{>0}, a \in \mathbb{R}_{>0, \neq 1}$ .
Power function	$f(x) = \begin{cases} x^a, & x \in \mathbb{R}_{>0}, a \in \mathbb{R}_{\neq 0} \\ x^a, & x \in \mathbb{R}_{<0}, a \in \mathbb{Z}_{\neq 0} \end{cases}$ .
Exponential function	$f(x) = a^x, x \in \mathbb{R}, a \in \mathbb{R}_{>0}$ .

propose a hybrid method containing a symbolic index and several pull-up rules to better share aggregation states.

### 7.1 Practical framework

Let  $s = \sum_{\oplus} f(x)$  be a partial aggregation state. We already illustrate the practical scope of the  $\oplus$  function in aggregation states, that  $\oplus \in (+, \times)$ . We introduce the scope of the unary function  $f$  by the following observation.

**Observation** We firstly observe that combining two unary functions by a functional composition or a binary function is also a unary function. For instance, given  $f_1(x) = x^b, b \neq 0$  and  $f_2(x) = ax, a \neq 0$ , both  $f_2 \circ f_1(x) = ax^b$  and  $f_2(x) + f_1(x) = x^b + ax$  are unary functions. Moreover, we also observe from table 6 (see in the appendix) that there are several primitive types of unary functions used in practice, e.g. linear functions and power functions, and the other ones are built on top of these primitive functions.

Based on this observation, we identify several primitive types of unary and binary functions, which we summarize as the primitive unary function set ( $PU$ ) and the primitive binary function set ( $PB$ ).

- $PU$ : let  $p$  be a unary function, then  $p \in PU$  if  $p$  is one of constant functions, the identity function, linear functions, power functions, logarithmic functions, and exponential functions (see table 3 for the primitive functions).
- $PB$ : let  $\odot$  be a binary function, then  $\odot \in PB$  if  $\odot$  is one of arithmetic addition, subtraction, multiplication and division.

According to our observation, two types of unary functions can be built on top of  $PU$  using the functional composition  $\circ$  and the binary functions in  $PB$ , which we summarize as the composing unary function set  $PU^\circ$  and the concatenating unary function set  $PU^\odot$ .

- $PU^\circ$ : let  $g$  be a unary function, then  $g \in PU^\circ$  if  $g = p_l \circ \dots \circ p_1, p_j \in PU, j \in (1, \dots, l), l \in \mathbb{N}_{>0}$ , where  $p_1$  is the first primitive function of  $g$ , and  $p_l$  is the last one, and the length of  $g$  is  $l$ , denoted as  $|g| = l$ .
- $PU^\odot$ : let  $r$  be a unary function, then  $r \in PU^\odot$  if  $r = g_k \odot_{k-1} \dots \odot_1 g_1, g_j \in PU^\circ, j \in (1, \dots, k), \odot_z \in PB, z \in (1, \dots, k-1), k \in \mathbb{N}_{>1}$ .

We illustrated some properties for the elements in  $PU^\circ$  and  $PU^\odot$ , which will be used later to identify sharing conditions. For  $f \in PU^\circ$  and  $f$  is not a constant function, we can have either  $f$  is an injection or  $f$  satisfying  $f(x) = f(-x)$ , because all primitive functions  $p$  besides constant functions are either injection or  $p(x) = p(-x)$ . Moreover, most unary functions in  $PU^\circ$  are not injections because of the binary functions  $\odot$ . However, some specific elements in  $PU^\circ$

can be transformed to  $PU^\circ$  and become injections, e.g.  $a_1x^b + a_2x^b = (a_1 + a_2)x^b = (a_1 + a_2)x \circ x^b$ . These transformations require very specific conditions and can be trivially recognized by exhaustive analysis, such that we implement them but do not cover the details in the following part where we consider they have already been transformed.

With the scope of  $\oplus$  and  $f$ , we present the following scope of user-defined aggregation functions covered by our decomposition and sharing framework.

*Definition 7.1.* Let  $\alpha$  be an aggregation function with the formal framework  $(F^\alpha, \oplus^\alpha, T^\alpha)$  and  $X$  be the input of  $\alpha$ , then  $\alpha$  is in the universe  $U$  if

- $X \subseteq \mathbb{R}^l, l \in \mathbb{N}_{>1}$ ;
- $F^\alpha = (f_1, \dots, f_m), f_i \in PU^\circ \cup PU^\odot, i \in (1, \dots, m), m \in \mathbb{N}_{>0}$ ;
- $\oplus^\alpha = (\oplus_1, \dots, \oplus_m), \oplus_i \in (+, \times), i \in (1, \dots, m), m \in \mathbb{N}_{>0}$ .

$T$  is released to be any function, because  $T$  is the one computed at master node locally, such that no matter in decomposition phase or in sharing phase,  $T$  does not need special consideration.

### 7.2 Sharing practical aggregation states

In this subsection, we mainly propose sound conditions for sharing aggregation states having unary functions in  $PU^\circ$ , and two transforming rules for those having ones in  $PU^\odot$ . Before analyzing unary functions in  $PU^\circ$  or  $PU^\odot$ , we show in the following theorem that the sharing possibility is related to whether unary functions are injections, which will be used to analysis  $PU^\circ$  and  $PU^\odot$ .

*THEOREM 7.2.* Let  $s_1 = \sum_{\oplus_1} f_1(x)$  and  $s_2 = \sum_{\oplus_2} f_2(x)$  be two partial aggregation states, then there exists non-identity unary function  $r_{12}$  for  $s_1 = r_{12} \circ s_2$  only under the following two situations,

1.  $f_2$  is an injection;
2. neither  $f_1$  nor  $f_2$  is an injection.

*PROOF.*  $r_{12}$  is a unary function taking results of  $s_2$  as input and giving the results of  $s_1$ , such that for any two input sets  $X_1$  and  $X'_1$ , if we have  $s_2(X_1) = s_2(X'_1)$ , then we must have  $s_1(X_1) = s_1(X'_1)$ , otherwise  $r_{12}$  will not be a function.

Without loss of generality, let  $X_1 = (x_1, \dots, x_n), n \in \mathbb{N}_{>0}$  be an input for  $s_1$  and  $s_2$ . Because  $\oplus$  is a commutative and associative binary function, then let  $X'_1 = (x'_1, x'_2)$  be another input where  $x'_1 = f_2^{-quasi}(f_2(x_1) \oplus_2 \dots \oplus_2 f_2(x_{n-1})), x'_2 = x_n$ , and  $f_2^{-quasi}$  is a quasi-inverse function<sup>2</sup> of  $f_2$ . Then for  $s_2$ , we indeed have  $s_2(X_1) = s_2(X'_1)$ . For  $s_1$ , we have  $s_1(X_1) = f_1(x_1) \oplus_1 \dots \oplus_1 f_1(x_n)$ , and  $s_1(X'_1) = f_1(x'_1) \oplus_1 f_1(x'_2)$ .  $f_2$  can have only one quasi-inverse function (the inverse function of  $f_2$ ) in the case that  $f_2$  is an injection, otherwise  $f_2$  can have multiple quasi-inverse functions. For the latter case, the value  $x'_1$  is not fixed (there exists more than one  $x'_1$  for  $s_2(X_1) = s_2(X'_1)$ ), and  $s_1(X'_1)$  can equal to several values. Therefore, in order to make  $s_1(X_1) = s_1(X'_1)$ ,  $f_1$  must not be an injection either, otherwise  $r_{12}$  does not exist. Finally, can  $r_{12}$  exist under two situations: (1)  $f_2$  is an injection; (2) neither  $f_2$  nor  $f_1$  is an injection.  $\square$

<sup>2</sup>Every function has a quasi-inverse function by the Axiom of Choice. If  $g$  is a quasi-inverse of  $f$ , then  $f \circ g \circ f = f$  or  $f \circ g =$  the identity function



7.2.1  $f \in PU^\circ$ . If  $f \in PU^\circ$ , we can have either  $f_2$  is an injection or  $f_2$  satisfying  $f_2(x) = f_2(-x)$ . We firstly illustrate complete conditions for the former case, and then transforming the latter case into the former cases by proposing the  $sign(x)$  function. Note that, if  $f_1$  is a constant function, it is trivial to compute it by using the aggregation results of *count*. Such that, we let  $f_1$  be a non-constant function.

**Case 1:  $f_2, f_2 \in PU^\circ$  is an injection.** We analyze the sharing conditions between  $s_1$  and  $s_2$  in an exhaustive way with respect to the practical scope of  $\oplus$  function. Based on the two possible choices of  $\oplus$  functions in aggregation states, there exists four different combinations for  $\oplus_1$  and  $\oplus_2$  in  $s_1$  and  $s_2$ , that (1)  $\oplus_1 = +, \oplus_2 = +$ , (2)  $\oplus_1 = +, \oplus_2 = \times$ , (3)  $\oplus_1 = \times, \oplus_2 = +$ , (4)  $\oplus_1 = \times, \oplus_2 = \times$ . We present the sharing conditions for these four cases in the following four theorems.

**THEOREM 7.3.** *Let  $s_1 = \sum f_1(x)$  and  $s_2 = \sum f_2(x)$  be two partial aggregation states having inputs of a set of real numbers, where  $f_1(x)$  is a continuous non-constant unary function and  $f_2(x)$  is a continuous injection, then there exists a non-identity unary function  $r_{12}$  for  $s_1 = r_{12} \circ s_2$ , if and only if  $f_1 \circ f_2^{-1}(x) = r_{12}(x) = ax, x \in \mathbb{R}, a \in \mathbb{R}_{\neq 0}$ .*

**PROOF.** (Sufficiency) From  $f_1 \circ f_2^{-1}(x) = r_{12}(x) = ax$ , we have  $r_{12} \circ s_2 = ax \circ \sum f_2(x) = \sum ax \circ f_2(x) = \sum f_1 \circ f_2^{-1} \circ f_2(x) = s_1$ .

(Necessity) Let  $f_2^{-1}$  be the inverse function of  $f_2$  and  $g(x) = f_1 \circ f_2^{-1}(x)$ , such that  $\sum g(x) = r_{12} \circ \sum x$ .

Let  $(x_1, \dots, x_n), x_i \in \mathbb{R}, i \in (1, \dots, n), n \in \mathbb{N}_{>1}$  be an input set of the above equation. Then,  $r_{12}(x_1 + \dots + x_n) = g(x_1) + \dots + g(x_n)$ . Moreover, let  $(y_1, y_2)$  be another input set of the above equation where  $y_1 = x_1 + \dots + x_{n-1}$  and  $y_2 = x_n$ . Then,  $r_{12}(y_1 + y_2) = g(y_1) + g(y_2)$ . Furthermore, because of  $x_1 + \dots + x_n = y_1 + y_2$ , then  $r_{12}(x_1 + \dots + x_n) = r_{12}(y_1 + y_2)$ . Therefore, from these three equations we have  $g(x_1) + \dots + g(x_n) = g(y_1) + g(y_2)$ , also  $x_1 + \dots + x_{n-1} = y_1$ , such that

$$g(x_1) + \dots + g(x_{n-1}) = g(x_1 + \dots + x_{n-1}). \quad (a)$$

The following part is benefited from the proof of Cauchy's functional equation. For equation (a), let  $x_i = 0, i \in (1, \dots, n-1)$  we can have  $g(0) = 0$ . When  $x > 0$ , let  $x_i = x, i \in (1, \dots, n-1)$ , we have  $n = 3, 2g(x) = g(2x)$ , such that  $\forall c \in \mathbb{N}_{>0}, cg(x) = g(cx)$ . Let  $x = \frac{u}{c}$  and  $u > 0$ , then we have  $cg(\frac{u}{c}) = g(u)$ . Such that  $\forall d \in \mathbb{N}_{>0}, dg(\frac{u}{c}) = \frac{d}{c}g(u)$ , then  $g(\frac{d}{c}u) = \frac{d}{c}g(u)$ . Finally, let  $q = \frac{d}{c}$  and  $u = 1$ , then  $g(q) = qg(1), q \in \mathbb{Q}_{>0}$ . When  $q \in \mathbb{Q}_{<0}$ , we have  $g(-q) = -g(1)q$ . According to the equation (a), let  $n = 3, x_1 = x, x_2 = -x$ , then  $-g(x) = g(-x)$ . Such that  $g(x) = g(1)x, \forall x \in \mathbb{Q}_{<0}$ . In fact,  $g(1)$  can be any real number besides 0, otherwise  $g(x)$  will be a constant function which contradicts to the condition of non-constant  $f_1$  and  $f_2$ . Moreover,  $g$  is continuous, by the fact of  $\mathbb{Q}$  is dense on  $\mathbb{R}$ , then

$$g(x) = ax, x \in \mathbb{R}, a \in \mathbb{R}_{\neq 0}.$$

Therefore, from  $\sum g(x) = r_{12} \circ \sum x$ , we have  $g \circ \sum x = r_{12} \circ \sum x$ . Such that  $f_1 \circ f_2^{-1}(x) = r_{12}(x) = ax, x \in \mathbb{R}, a \in \mathbb{R}_{\neq 0}$ .  $\square$

**THEOREM 7.4.** *Let  $s_1 = \sum f_1(x)$  and  $s_2 = \prod f_2(x)$  be two partial aggregation states having inputs of a set of real numbers, where  $f_1(x)$  is a continuous non-constant unary function and  $f_2$  is a continuous*

*injection, then there exists a non-identity unary function  $r_{12}(x)$  for  $s_1 = r_{12} \circ s_2$ , if and only if*

$$f_1 \circ f_2^{-1}(x) = r_{12}(x) = \begin{cases} a(\log_b x), & x \in \mathbb{R}_{>0}, b \in \mathbb{R}_{>0, \neq 1}, a \in \mathbb{R}_{\neq 0}; \\ a(\log_b(-x)), & x \in \mathbb{R}_{<0}, b \in \mathbb{R}_{>0, \neq 1}, a \in \mathbb{R}_{\neq 0}. \end{cases}$$

**PROOF.** (Sufficiency) From  $f_1 \circ f_2^{-1}(x) = r_{12}(x) = a(\log_b|x|)$ , we have  $r_{12} \circ s_2 = a(\log_b|x|) \circ \prod f_2(x) = \sum a(\log_b|x|) \circ f_2(x) = \sum f_1 \circ f_2^{-1} \circ f_2(x) = s_1$ .

(Necessity) Similarly, let  $f_2^{-1}$  be the inverse function of  $f_2$  and  $g(x) = f_1 \circ f_2^{-1}(x)$ , then  $\sum g(x) = r_{12} \circ \prod x$ .

Let  $(x_1, \dots, x_n), x_i \in \mathbb{R}, i \in (1, \dots, n), n \in \mathbb{N}_{>1}$  be an input set of the above equation. Then,  $r(x_1 \times \dots \times x_n) = g(x_1) + \dots + g(x_n)$ . Moreover, let  $(y_1, y_2)$  be an another input set of the above equation where  $x_1 \times \dots \times x_{n-1} = y_1$  and  $x_n = y_2$ . Then,  $r(y_1 \times y_2) = g(y_1) + g(y_2)$ . Furthermore, because of  $y_1 \times y_2 = x_1 \times \dots \times x_n$ , then  $r(x_1 \times \dots \times x_n) = r(y_1 \times y_2)$ . Therefore, from these three equations, we have  $g(x_1) + \dots + g(x_n) = g(y_1) + g(y_2)$ , also  $x_1 \times \dots \times x_{n-1} = y_1$ , such that

$$g(x_1 \times \dots \times x_{n-1}) = g(x_1) + \dots + g(x_{n-1}). \quad (b)$$

From equation (b), we can have  $g(x)$  does not define on 0, otherwise  $g(x) = 0$ , which is a contradiction to the condition of non-constant  $f_1$  and  $f_2$ . Such that  $g(x)$  can be defined on either  $\mathbb{R}_{>0}$  or  $\mathbb{R}_{<0}$ .

For  $x > 0$ , let  $x = b^u$  and  $h(x) = g(b^u), u \in \mathbb{R}, b \in \mathbb{R}_{>0, \neq 1}$ , then we have  $h(x_1 + \dots + x_{n-1}) = g(b^{u_1 + \dots + u_{n-1}}) = g(b^{u_1}) + \dots + g(b^{u_{n-1}}) = h(x_1) + \dots + h(x_{n-1})$ . Then, according to the proof of theorem 7.3, we have  $h(x) = h(1)x, x \in \mathbb{R}_{>0}, h(1) \in \mathbb{R}_{\neq 0}$ . Then  $g(b^u) = h(1)u$  and  $u = \log_b x$ , such that

$$g(x) = a(\log_b x), x \in \mathbb{R}_{>0}, b \in \mathbb{R}_{>0, \neq 1}, a \in \mathbb{R}_{\neq 0}.$$

From equation (b), we can have  $g(1) = 0$ , then  $g(-1) = 0$  either, such that  $g(x) = g(-x)$ . Then for  $x < 0$ , we have

$$g(x) = a(\log_b(-x)), x \in \mathbb{R}_{<0}, b \in \mathbb{R}_{>0, \neq 1}, a \in \mathbb{R}_{\neq 0}.$$

Therefore, from  $\sum a(\log_b|x|) = r_{12} \circ \prod x$ , we have  $a(\log_b|x|) \circ \prod x = r_{12} \circ \prod x$ . Such that  $f_1 \circ f_2^{-1}(x) = r_{12}(x) = a(\log_b|x|), x \in \mathbb{R}_{\neq 0}, b \in \mathbb{R}_{>0, \neq 1}, a \in \mathbb{R}_{\neq 0}$ .  $\square$

**THEOREM 7.5.** *Let  $s_1 = \prod f_1(x)$  and  $s_2 = \sum f_2(x)$  be two partial aggregation states having inputs of a set of real numbers, where  $f_1$  is a continuous non-constant unary function and  $f_2$  is a continuous injection, then there exists a non-identity unary function  $r_{12}$  for  $s_1 = r_{12} \circ s_2$ , if and only if  $f_1 \circ f_2^{-1}(x) = r_{12}(x) = b^{ax}, x \in \mathbb{R}, b \in \mathbb{R}_{>0, \neq 1}, a \in \mathbb{R}_{\neq 0}$ .*

**PROOF.** (Sufficiency) From  $f_1 \circ f_2^{-1}(x) = r_{12} = b^{ax}$ , we have  $r_{12} \circ s_2 = b^{ax} \circ \sum f_2(x) = \prod b^{ax} \circ f_2(x) = \prod f_1 \circ f_2^{-1} \circ f_2(x) = s_1$ .

(Necessity) Similarly, let  $f_2^{-1}$  be the inverse function of  $f_2$  and  $g(x) = f_1 \circ f_2^{-1}(x)$ , such that  $\prod g(x) = r_{12} \circ \sum x$ .

Let  $(x_1, \dots, x_n), x_i \in \mathbb{R}, g(x_n) \neq 0, i \in (1, \dots, n), n \in \mathbb{N}_{>1}$  be an input set of the above equation. Then,  $r(x_1 + \dots + x_n) = g(x_1) \times \dots \times g(x_n)$ . Moreover, let  $(y_1, y_2)$  be an another input set of the above equation where  $x_1 + \dots + x_{n-1} = y_1$  and  $x_n = y_2$ . Then,  $r(y_1 + y_2) = g(y_1) \times g(y_2)$ . Furthermore, because of  $y_1 + y_2 = x_1 + \dots + x_n$ , then  $r(x_1 + \dots + x_n) = r(y_1 + y_2)$ . Therefore, from these three equations,

we have  $g(x_1) \times \dots \times g(x_n) = g(y_1) \times g(y_2)$ , also  $x_1 + \dots + x_{n-1} = y_1$ , such that

$$g(x_1 + \dots + x_{n-1}) = g(x_1) \times \dots \times g(x_{n-1}). \quad (c)$$

From equation (c), we can prove that  $\forall x \in \mathbb{R}, g(x) > 0$ . Without loss of generality, let  $n = 3, x_1 = 0, x_2 = x$ , then  $g(x) = g(0) \times g(x)$ , which infers  $g(0) \neq 0$ , otherwise  $g(x) = 0$  and  $g(x)$  is a constant function contradicting to the non-constant  $f_1$  and  $f_2$ . Such that, we have  $g(0) = 1$ . Moreover,  $g(x) \times g(-x) = g(x - x) = g(0) = 1$ , from this we have  $\forall x \in \mathbb{R}, g(x) \neq 0$ . Furthermore, we have  $g(x) = g(\frac{x}{2} + \frac{x}{2}) = g(\frac{x}{2})^2$ . Therefore, we have  $\forall x \in \mathbb{R}, g(x) > 0$ .

Let  $h(x) = \log_b g(x), x \in \mathbb{R}, b \in \mathbb{R}_{>0, \neq 1}$ , then we have  $h(x_1 + \dots + x_{n-1}) = \log_b(g(x_1 + \dots + x_{n-1})) = \log_b(g(x_1)) + \dots + \log_b(g(x_{n-1})) = h(x_1) + \dots + h(x_{n-1})$ . Then, according to the proof of theorem 7.3, we have  $h(x) = h(1)x, x \in \mathbb{R}, h(1) \in \mathbb{R}_{\neq 0}$ , then  $\log_b g(x) = h(1)x$ . Such that

$$g(x) = b^{ax}, x \in \mathbb{R}, b \in \mathbb{R}_{>0, \neq 1}, a \in \mathbb{R}_{\neq 0}.$$

Therefore, from  $\prod b^{ax} = r_{12} \circ \sum x$ , we have  $b^{ax} \circ \sum x = r_{12} \circ \sum x$ . Such that  $f_1 \circ f_2^{-1}(x) = r_{12} = b^{ax}, x \in \mathbb{R}, b \in \mathbb{R}_{>0, \neq 1}, a \in \mathbb{R}_{\neq 0}$ .  $\square$

**THEOREM 7.6.** Let  $s_1 = \prod f_1(x)$  and  $s_2 = \prod f_2(x)$  be two partial aggregation states having inputs of a set of real numbers, where  $f_1$  is a continuous non-constant unary function and  $f_2$  is a continuous injection, then there exists a non-identity unary function  $r_{12}$  for  $s_1 = r_{12} \circ s_2$ , if and only if

$$f_1 \circ f_2^{-1}(x) = r(x) = \begin{cases} 0, & x = 0; \\ x^a, & x \in \mathbb{R}_{>0}, a \in \mathbb{R}; \\ x^a, & x \in \mathbb{R}_{<0}, a \in \mathbb{Z}_{\neq 0}; \\ (-x)^a, & x \in \mathbb{R}_{<0}, a \in \{2k + 1 : k \in \mathbb{Z}\}. \end{cases}$$

**PROOF.** (Sufficiency) From  $f_1 \circ f_2^{-1}(x) = r(x) = x^a$ , we have  $r_{12} \circ s_2 = x^a \circ \prod f_2(x) = \prod x^a \circ f_2(x) = \prod f_1 \circ f_2^{-1} \circ f_2(x) = s_1$ .

(Necessity) Similarly, let  $f_2^{-1}$  be the inverse function of  $f_2$  and  $g(x) = f_1 \circ f_2^{-1}(x)$ , such that  $\prod g(x) = r_{12} \circ \prod x$ .

Let  $(x_1, \dots, x_n), x_i \in \mathbb{R}, g(x_n) \neq 0, i \in (1, \dots, n), n \in \mathbb{N}_{>1}$  be an input set of the above equation. Then,  $r(x_1 \times \dots \times x_n) = g(x_1) \times \dots \times g(x_n)$ . Moreover, let  $(y_1, y_2)$  be an another input set of the above equation where  $x_1 \times \dots \times x_{n-1} = y_1$  and  $x_n = y_2$ . Then,  $r(y_1 \times y_2) = g(y_1) \times g(y_2)$ . Furthermore, because of  $x_1 \times \dots \times x_n = y_1 \times y_n$ , then  $r(x_1 \times \dots \times x_n) = r(y_1 \times y_2)$ . Therefore, from these three equations, we have  $g(x_1) \times \dots \times g(x_n) = g(y_1) \times g(y_2)$ , also  $x_1 \times \dots \times x_{n-1} = y_1$ , such that

$$g(x_1 \times \dots \times x_{n-1}) = g(x_1) \times \dots \times g(x_{n-1}). \quad (d)$$

From equation (c), we can prove that  $\forall x \in \mathbb{R}_{>0}, g(x) > 0$ . Without loss of generality, let  $n = 3, x_1 = 1, x_2 = x$ , then  $g(x) = g(1) \times g(x)$ , which infers  $g(1) \neq 0$ , otherwise  $g(x) = 0$  and  $g$  is a constant function contradicting to the non-constant  $f_1$  and  $f_2$ . Such that we have  $g(1) = 1$ . Moreover,  $\forall x \neq 0$ , we have  $g(x) \times g(\frac{1}{x}) = g(x \times \frac{1}{x}) = g(1) = 1$ , such that  $g(x) \neq 0$ . Furthermore, we have  $g(x^2) = g^2(x)$ . Therefore,  $\forall x \in \mathbb{R}_{>0}, g(x) > 0$ .

Let  $h(u) = \ln(g(e^u)), u \in \mathbb{R}$ , then we have  $h(u_1 + \dots + u_{n-1}) = \ln(g(e^{u_1 + \dots + u_{n-1}})) = \ln(g(e^{u_1})) + \dots + \ln(g(e^{u_{n-1}})) = h(u_1) + \dots + h(u_{n-1})$ . Then, according to the proof of theorem 7.3, we have

$h(u) = h(1)u, u \in \mathbb{R}, h(1) \in \mathbb{R}_{\neq 0}$ , then  $\ln(g(e^u)) = h(1)u$ . Such that, let  $x = e^u, u = \ln(x)$ , we have

$$g(x) = x^a, x \in \mathbb{R}_{>0}, a = h(1) \in \mathbb{R}_{\neq 0}.$$

For  $\forall x \in \mathbb{R}_{<0}$ , we have  $g(x) = g(-1)g(-x) = g(-1)(-x)^a = g(-1)(-1)^a x^a$ . First of all, we have  $a \in \mathbb{Z}_{\neq 0}$ , otherwise  $g(x)$  may equal to a complex number. Moreover, we can prove that  $g(-1)(-1)^a = 1$ . From  $g(x) = g(-1)(-1)^a x^a$ , we have  $g(-1)(-1)^a g(x) = x^a$  because  $g(-1)g(-1) = g(1) = 1$  and  $(-1)^{2a} = 1, a \in \mathbb{Z}_{\neq 0}$ . Therefore,  $g(x)(1 - g(-1)(-1)^a) = x^a(g(-1)(-1)^a - 1)$ , then  $(g(x) + x^a)(1 - g(-1)(-1)^a) = 0$ .

Then, we can have  $g(x) = -x^a$ , or  $g(-1)(-1)^a = 1$ . For the former case, we have  $g(-1)(-1)^a = 1$ , such that

$$g(x) = x^a, x \in \mathbb{R}_{<0}, a \in \mathbb{Z}_{\neq 0}.$$

For the latter case, according to equation (b),  $a$  can only be an odd number, such that

$$g(x) = (-x)^a, x \in \mathbb{R}_{<0}, a \in \{2k + 1 : k \in \mathbb{Z}_{\neq 0}\}.$$

$\square$

**Case 2:**  $f_2, f_2 \in PU^\circ$  satisfies  $f_2(x) = f_2(-x)$ . In this case,  $f_2$  is not an injection, such that the above theorems can not be directly applied. However,  $f_2$  satisfies the property  $f_2(x) = f_2(-x)$ , which can be used to transform  $f_2$  to be an injection. In order to accomplish this, we propose a function called  $sign(x)$  defined in the following. Then we let  $u = sign(x) \times x$ . Then, it is trivial to see that  $u \geq 0$ . Without loss of generality, we take  $u > 0$  because some functions are not defined on 0.

$$sign(x) = \begin{cases} 1, & x > 0; \\ 0, & x = 0; \\ -1, & x < 0. \end{cases}$$

With the  $sign(x)$  function and the variable  $u$ , in order to check the sharing possibility of  $s_1 = \sum_{\oplus_1} f_1(x)$  and  $s_2 = \sum_{\oplus_2} f_2(x)$ , the following two ones are verified  $s'_1 = \sum_{\oplus_1} f_1(u)$  and  $s'_2 = \sum_{\oplus_2} f_2(u)$ . In this case, we indeed have  $f_2(u)$  is an injection, such that the above four theorems can be directly applied.

After transforming the variable from  $x$  to  $u$ , we identify the relation between aggregation states on  $x$  and aggregation states on  $u$ . It is trivial to see that  $s_2 = s'_2$  because of  $f_2(x) = f_2(u), u = sign(x)$ . Then, for the cases  $f_1$  also satisfying  $f_1(x) = f_1(-x)$ , we also have  $s_1 = s'_1$ , such that the sharing problem of  $s'_1$  and  $s'_2$  is equivalent to the one of  $s_1$  and  $s_2$ .

Finally the only left case is  $f_1(x)$  is an injection, and  $f_2(x)$  satisfies  $f_2(x) = f_2(-x)$ . According to theorem 7.2, there does not exist a unary function  $r_{12}$  for  $s_1 = r_{12} \circ s_2$ . However, a precomputation can be proceeded to make  $r_{12}$  to be an 'unary' function. Similarly, we mainly analyze the relation between  $s_1$  and  $s'_1$  because we already have  $s_2 = s'_2$ . We firstly separate the input data sets into positive and negative parts, then we compensate  $\sum_{\oplus_1} f_1(-x^-)$  where  $x^-$  is  $\forall x < 0$ . Then we have

$$s_1 = s'_1 \oplus_1 C,$$

where  $C = (\sum_{\oplus_1} f_1(x^-) \oplus_1^{-1} \sum_{\oplus_1} f_1(-x^-))$ , and  $\oplus_1^{-1}$  is the inverse function  $\oplus_1$ , e.g.  $-$  is the inverse function for  $+$ , or  $/$  is the one for and  $\times$ . Such that,  $s'_1 = s_1 \oplus_1^{-1} C$ . Therefore, if there exists  $r'_{12}$  for  $s'_1 = r'_{12} \circ s'_2$ , then we can have  $s_1 = (r'_{12} \circ s_2) \oplus_1 C$ . It is noteworthy

that,  $C$  is also an aggregation function which only takes the negative part of a input set, and in order to share computation in this case  $C$  requires to be precomputed.

7.2.2  $f \in PU^\circ$ . In this case, generally,  $f$  is not an injection because of the binary function  $\odot$ . We propose the splitting transformations for some cases, with which the previous solution can also be used.

First of all, the binary operators in  $PB$  are classified into two families, the addition family  $ADD = \{+, -\}$  and the multiplication family  $MUL = \{\times, / \}$ . Without loss of generality, let  $f = g_1(x) \odot g_2(x)$ ,  $g_1, g_2 \in PU^\circ$ , then we can have following two splitting rules,

$$\sum g_1(x) \odot g_2(x) = \sum g_1(x) \odot \sum g_2(x), \odot \in ADD, \quad (\text{split1})$$

$$\prod g_1(x) \odot g_2(x) = \prod g_1(x) \odot \sum g_2(x), \odot \in MUL. \quad (\text{split2})$$

With these two rules, the original aggregation state  $\sum_{\oplus} g_1(x) \odot g_2(x)$  is split into two aggregation states  $\sum_{\oplus} g_1(x)$  and  $\sum_{\oplus} g_2(x)$ , for which the previous solution is still feasible. In another sense, the  $\odot$  operator is pushed to the terminating function. For the left cases that are not covered by split rules, the current sharing condition is whether they have the identical expressions.

### 7.3 Equivalent expressions

Let  $f(x) = f_1 \circ f_2^{-1}(x)$ , and  $f = p_l \circ \dots \circ p_1$ ,  $p_i \in PU$ ,  $i \in (1, \dots, l)$ ,  $l \in \mathbb{N}_{\geq 2}$ . Note that every  $p_i$  is not the identity function and constant functions, otherwise it can be trivially removed, or  $f$  becomes a constant function. Such that,  $p_i$  can be one of the four different types of primitive functions,  $(ax, \log_a x, a^x, x^a)$ . The type of the expression  $f$  is verified to check whether sharing is possible between  $s_1$  and  $s_2$ . According to theorem 7.3, 7.4, 7.5, and 7.6, the expression  $f$  needs to be one of the following target types  $(x, ax, a(\log_b |x|), b^{ax}, x^a)$ ,  $a \in \mathbb{R}_{\neq 0}$ ,  $b \in \mathbb{R}_{\neq 0, 1}$ , which is determined by  $\oplus_1$  and  $\oplus_2$  in  $s_1$  and  $s_2$ . We propose a recursive method to answer this problem for the practical framework in this subsection.

In order to answer the question, all equivalent expressions of  $f$  need to be identified. However, generating all arbitrary equivalent expressions for a unary function may not be possible, and not all of them are useful for our question. From all the target types we can have that any of them is either a primitive unary function or a composition of two primitive unary functions, such that a target type has two properties: (1) it is an element in  $PU^\circ$ ; (2) its length is 1 or 2. Therefore, we concentrate on the equivalent expressions of  $f$ , which are also in  $PU^\circ$  and have a length of 1 or 2. We formally define the equivalent expressions in the follows.

**Definition 7.7. (Equivalent expression)** Let  $f$  be a unary function in  $PU^\circ$ , then the equivalent expression of  $f$ ,  $EE(f)$ , is a set containing all unary functions  $f'$  which are also in  $PU^\circ$ , satisfy  $f'(x) = f(x)$ , and have a length  $\leq 2$ ,

$$EE(f) = \{f' | \forall x, f(x) = f'(x), f' \in PU^\circ, 1 \leq |f'| \leq 2\}.$$

We firstly illustrate the algorithm  $G\_EE_2()$  for generating  $EE(f)$ ,  $|f| = 2$ , by using the mathematical transformation of  $f$ , then we propose the recursive algorithm  $G\_EE()$  for generating  $EE(f)$ ,  $|f| \in \mathbb{N}_{> 2}$ .

**Case 1:**  $G\_EE_2(f)$ ,  $|f| = 2$ . Based on the target types, we exhaustively illustrate the mathematical transformations for the composition of a pair of the four types of primitive functions in table 4.

Then, there only exist three different situations for the mathematical transformations: (1) merging transformations; (2) type changing; (3) no transforming. With this table, we define the function  $Trans()$  generating the other equivalent expression for  $f = p_2 \circ p_1$ , and the function  $T\_Trans()$  returning the type of the transformation.  $Trans(p_2 \circ p_1)$  takes the type of  $p_2$  and  $p_1$ , finds the corresponding one in table 4, and returns the other equivalent expression, while  $T\_Trans(p_2 \circ p_1)$  returns the corresponding type of transformation. We take the first column of table 4 as an example for  $Trans()$  and  $T\_Trans()$  to illustrate the three different transforming situations,

- type merging:  $Trans(ax \circ bx) = abx$  and  $T\_Trans(ax \circ bx) = TM$ ;
- type changing:  $Trans(ax \circ x^b) = x^b \circ a^{1/b} x$  and  $T\_Trans(ax \circ x^b) = TC$ ;
- no transforming:  $Trans(ax \circ b^x) = null$  and  $T\_Trans(ax \circ b^x) = NT$ .

With  $Trans()$ , we can have  $G\_EE(f) = \{f, Trans(f)\}$ ,  $|f| = 2$ .

**Case 2:**  $G\_EE(f)$ ,  $|f| \in \mathbb{N}_{> 2}$ . Let  $|f| = l$ , we firstly propose the following recursive equation based on the associative property of functional composition to reduce this problem.

$$EE(p_l \circ \dots \circ p_1) = EE(f'_{l \rightarrow 2} \circ p_1), f'_{l \rightarrow 2} \in EE(p_l \circ \dots \circ p_2). \quad (7)$$

By recursively applying equation 7, the problem of generating  $EE(f)$  in the general situation can be reduced to the case of generating  $EE(p_l \circ p_{l-1})$ , which can be generated by  $G\_EE_2(p_l \circ p_{l-1})$ .

Secondly, we propose the following lemma for the backward phase of the recursive algorithm.

**LEMMA 7.8.** Let  $f(x) = p_l \circ \dots \circ p_1(x)$ ,  $l \in \mathbb{N}_{> 1}$ , if  $\exists g(x), g \circ p_1(x) \in EE(f)$ , then  $EE(g) = EE(p_l \circ \dots \circ p_2)$ .

**PROOF.** Let  $f'(x) \in EE(f)$ , then  $f'(x) = p_l \circ \dots \circ p_1(x)$ . From  $g \circ p_1(x) \in EE(f)$ , we have  $g \circ p_1(x) = f'(x)$ . Such that  $g \circ p_1(x) = p_l \circ \dots \circ p_1(x)$ .  $\square$

Then we present the general reducing steps of  $G\_EE()$  in the follows.

Firstly, for a unary function in  $PU^\circ$ , we define two functions  $getLast()$  getting the last primitive function and  $rmLast()$  removing the last primitive function. For instance, if  $f$  is the input, then  $getLast(f) = p_l$  and after  $rmLast(f)$ ,  $f = p_{l-1} \circ \dots \circ p_1$ .

After having  $EE(p_l \circ p_{l-1})$ , every element  $f'_{l \rightarrow l-1}$  in  $EE(p_l \circ p_{l-1})$  is composed with  $p_{l-2}$  to generate  $EE(f'_{l \rightarrow l-1} \circ p_{l-2})$ , and all of the composition are merged together to have  $EE(p_l \circ p_{l-1} \circ p_{l-2})$ . Through repeating this procedure,  $EE(f)$  will be eventually generated.

A key step in the backward phase of  $G\_EE()$  is how to generate  $EE(f'_{l \rightarrow l-1} \circ p_{l-2})$  with  $f'_{l \rightarrow l-1}$  and  $p_{l-2}$ . We solve this problem by exhaustively analyzing the situations. It is trivial to see that if  $|f'_{l \rightarrow l-1}| = 1$ , then we can use  $G\_EE_2(f'_{l \rightarrow l-1} \circ p_{l-2})$ . For the other case  $|f'_{l \rightarrow l-1}| = 2$ , let  $f'_{l \rightarrow l-1} = p'_l \circ p'_{l-1}$ . Then a first transformation is called, that  $Trans(p'_l \circ p'_{l-1})$ . As illustrated previously, there only exist three different types of transformations. For the case of type merging,  $Trans(p'_l, Trans(p'_{l-1} \circ p_{l-2}))$  can be directly called. For the case of no transforming, we can have  $p'_l \circ p'_{l-1} \circ p_{l-2}$  is the only transformation of  $p'_l \circ p'_{l-1} \circ p_{l-2}$ , and the length is bigger than 2, such that this candidate is deprecated. For the case of type changing, we continue the second transformation, that  $Trans(p'_l \circ$

**Table 4: Mathematical transformations of  $p \circ p'(x), p(x), p'(x) \in PU_{\neq \text{identity, constant}}$ .**

$p'(x) \backslash p(x)$	$ax, a \neq 1, 0$	$x^a, a \neq 0$	$\log_a x, a > 0, a \neq 1$	$a^x, a > 0, a \neq 1$
$bx, b \neq 1, 0$	$ax \circ bx = abx$ , or $x, ab = 1$	$x^a \circ bx = b^a x \circ x^a$	$\log_a x \circ bx = \log_a x \circ bx$	$a^x \circ bx = (a^b)^x$
$x^b, b \neq 0$	$ax \circ x^b = x^b \circ a^{1/b} x, a > 0$	$x^a \circ x^b = x^{ab}$ , or $x, ab = 1$	$\log_a x \circ x^b = bx \circ \log_a x$	$a^x \circ x^b = a^x \circ x^b$
$\log_b x, b > 0, \neq 1$	$ax \circ \log_b x = \log_b x \circ x^a$	$x^a \circ \log_b x = x^a \circ \log_b x$	$\log_a x \circ \log_b x = \log_a x \circ \log_b x$	$a^x \circ \log_b x = a^{\log_b x}$ , or $x, a = b$
$b^x, b > 0, \neq 1$	$ax \circ b^x = ax \circ b^x$	$x^a \circ b^x = (b^a)^x$	$\log_a x \circ b^x = (\log_a b)x$ , or $x, \log_a b = 1$	$a^x \circ b^x = a^x \circ b^x$

$getLast(Trans(p'_{l-1} \circ p_{l-2}))$ , of which based on the different cases of the outputs the above procedure can be repeated. Assuming that both the first and second transformation are type changing and we have an output  $p''_l \circ p'_{l-1} \circ p'_{l-2}$ , then another transformation is called  $Trans(p''_l \circ p'_{l-1})$ . If this transformation is not the type merging case, then the length can not be reduced to 2. Such that, the candidate  $p'_l \circ p'_{l-1} \circ p_{l-2}$  is also deprecated. Finally, if for every  $f'_{l \rightarrow l-1} \in EE(p_l \circ p_{l-1})$ ,  $EE(f'_{l \rightarrow l-1} \circ p_{l-2})$  is still empty, we have  $EE(p_l \circ \dots \circ p_1)$  is empty. Otherwise, the following primitive function  $p_{l-3}$  will be taken.

Theorem 7.3,7.4,7.5,7.6 completely identify the sharing condition. In order to keep the completeness of the sharing solution, we illustrate in the following theorem that  $G\_EE(f)$  completely generates  $EE(f)$ .

**THEOREM 7.9.** *The set of equivalent expressions of a unary function  $f, f \in PU^\circ, |f| \geq 2$ , generated by  $G\_EE(f)$  is complete.*

**PROOF.** We proof this theorem by using the mathematical induction.

For the initial case, that  $|f| = 2$ , we can have  $EE(f) = \{f, Trans(f)\}$ . Based on the exhaustive transformations of primitive functions in table 4,  $EE(f)$  is complete.

For a general case, we assume that  $G\_EE()$  can completely generate the equivalent expression set for an input with a length  $l, l \in \mathbb{N}_{>2}$ . Than we proof  $EE(f \circ p_0), p_0 \in PU$ , generated by  $G\_EE(f \circ p_0)$  is also complete. Without loss of generalities, let  $f' \in EE(f), f' = p'_1$  or  $f' = p'_2 \circ p'_1$ . We exhaustively analyze the following two cases.

If  $f' = p'_1$ , then  $p'_1 \circ p_0$  is still a feasible input for  $G\_EE()$ ;

If  $f' = p'_2 \circ p'_1$ , according to equation 7, we have  $EE(p'_2 \circ p'_1 \circ p_0) = EE(p'_2 \circ f'_{1,0}), f'_{1,0} \in EE(p'_1 \circ p_0)$ , and  $EE(p'_1 \circ p_0) = \{p'_1 \circ p_0, Trans(p'_1 \circ p_0)\}$ . We can have three exhaustive cases for the results of  $Trans(p'_1 \circ p_0)$ . If  $T\_Trans(p'_1 \circ p_0)$  is type merging, then  $p'_2 \circ Trans(p'_1 \circ p_0)$  is also a feasible input for  $G\_EE()$ . If  $T\_Trans(p'_1 \circ p_0)$  is no transforming, then we have the length  $p'_2 \circ p'_1 \circ p_0$  can not be decreased to 2, such that it dose not satisfy the equivalent expression condition, then it is deprecated. The last case is type changing. Let  $Trans(p'_1 \circ p_0) = p'_1 \circ p'_0$ . Then, we have  $EE(p'_2 \circ p'_1 \circ p'_0) = EE(f'_{2,1} \circ p'_0), f'_{2,1} \in EE\{p'_2 \circ p'_1\}$ , and  $EE\{p'_2 \circ p'_1\} = \{p'_2 \circ p'_1, Trans(p'_2 \circ p'_1)\}$ . Based on the results of  $Trans(p'_2 \circ p'_1)$ , we can do another exhaustive analysis. If a merging transformation or no transforming is met, this procedure will be directly stopped. Otherwise, an additional transformation is necessary for the case of two continuous type changing. We explain the reason in the follows.

Firstly, according to table 4, the transformation of type changing is only feasible among three types of primitive functions, linear

functions, logarithmic functions and power functions, and the results of type changing is also inside the three types. Moreover, not any combination of two of the three types have type changing transformation. For instance, two linear functions or two power functions have type merging transformation, and two logarithmic functions do not have transformation. Therefore, we simulate the exhaustive cases for two continuous type changing, then all the exhaustive cases can be classified into two cases, the outputs have type merging transformation, or no transformation. Therefore, another  $Trans()$  on the first two primitive functions in the outputs is proceeded to select the feasible candidate.

Finally, the above procedure is repeated on every  $f' \in EE(f)$  to ensure the completeness.  $\square$

## 7.4 Complexity of sharing

In order to verify the sharing possibilities between a given aggregation state  $s_1$  and a cached aggregation state  $s_2$ , both of them will be given as the input for  $G\_EE()$ . If there are more than one aggregation state cached in memory, they will be verified in turn until one is satisfied. Therefore the sharing complexity is related to two factors: (1) the complexity of  $G\_EE()$ , (2) the number of cached aggregation states,

We firstly analyze the time complexity of  $G\_EE()$ . For  $f = p_l \circ \dots \circ p_1$ , in worst cases, the recursive algorithm  $G\_EE(f)$  proceed one time of  $Trans()$  for one continuous pair of primitive function, which can be  $l - 1$  in total. Such that the complexity of  $G\_EE(f)$  is  $O(l)$ . Secondly, it is trivial to see that if  $m$  aggregation states are cached in memory, then the complexity for the second step is  $O(m)$ . Therefore, in the case that the length of the unary function  $f_1$  in  $s_1$  is  $l_1$ , and  $m$  different aggregation states  $s_2$  cached in memory, where every one of them contains a unary function with the length  $l'_2, i \in (1, \dots, m)$ , then the total sharing complexity can be  $\Omega(l_1 + l'_2)$  in best cases and  $O(ml_1 + \sum_{i=1}^m l'_2)$  in worst cases.

## 7.5 Symbolic index

In this subsection, we propose the symbolic index to organize the cached aggregation states, such that the total sharing complexity can be decreased to  $\Omega(1)$  in best cases and  $O(l_1 + \log l_0 + m)$  in worst cases, where  $l_0$  is the maximum length of aggregation state cached in memory.

**Observation** According to theorem 7.3,7.4,7.5 and 7.6, we mainly need to check whether  $f_1 \circ f_2^{-1}(x)$  or  $f_1 \circ f_2^{-1}(u), u = sign(x)$ , is a specific type of unary functions, and algorithm 2 only needs the class of primitive functions in  $f_1 \circ f_2^{-1}(x)$  or  $f_1 \circ f_2^{-1}(u)$  as input. Therefore, if the type data of unary functions is stored, this type-checking phase can be preprocessed. Moreover, the computation results of aggregation state  $s_2$  can only be reused for the elements in

its derivable set  $D(s_2)$ , and derivable sets can be equivalent because the reusing functions  $r_{12}$  can injections. Therefore, the searching space  $m$  can be decreased by organizing the cached aggregation states using derivable set and equivalent derivable sets.

We firstly propose the notion of symbolic primitive functions to store the type data of primitive functions. Computing every primitive function requires inputs of a variable  $x$  and a parameter  $a$ .  $x$  is given at the time of scanning base data, while  $a$  is received at the time of declaring functions. For instance, linear functions have the shape of  $ax$ , which takes  $x$  and  $a$  and proceeds a simple multiplication on them. We define symbolic primitive function in the follows.

**Definition 7.10. (Symbolic primitive function)** A symbolic primitive function is a function  $p^{(a)}(x)$  taking a parameter  $a$  and a variable  $x$  as the input and return an output, and for a constant  $a$ ,  $p^{(a)}(x) \in PU$ .

Then the symbolic aggregation state is formally defined in the follows.

**Definition 7.11. (Symbolic aggregation state)** A symbolic aggregation state  $sy\_s(X, \bar{a})$  is an aggregation function taking two inputs, a set of variables  $X$  and a sequence of parameters  $\bar{a} = (a_1, \dots, a_l)$ ,  $sy\_s(X, \bar{a}) = \sum_{\oplus, x \in X} p_1^{(a_1)} \circ \dots \circ p_l^{(a_l)}(x)$ ,  $\oplus \in (+, \times)$ ,  $l \in \mathbb{N}_{>1}$ , where  $p_i^{(a_i)}(x)$ ,  $i \in (1, \dots, l)$  is a symbolic primitive function.

A symbolic aggregation state  $sy\_s$  can be generated from a concrete one  $s$ . A concrete aggregation state can be also obtained from a symbolic aggregation state and a sequence  $\bar{a} = (a_1, \dots, a_l)$ . Such that, based on the definition of concrete derivable set, we can have the symbolic derivable set in the follows,

$$D(sy\_s) = \{sy\_s' | \exists \bar{a}', \bar{a}, \forall X, sy\_s'(X, \bar{a}') = r \circ sy\_s(X, \bar{a})\}.$$

For a fixed length  $l_0$ , the following symbolic derivable set of a symbolic aggregation state  $sy\_s$ ,  $|sy\_s| \leq l_0$ , can be built,

$$D_{l_0}(sy\_s) = \{sy\_s' | sy\_s' \in D(sy\_s), |sy\_s'| \leq l_0\}.$$

We explain the reason in the follows. Although there exists infinite concrete aggregation states  $s$ ,  $|s| \leq l_0$ , the symbolic ones  $sy\_s$ ,  $|sy\_s| \leq l_0$  is countable, of which the total number in the practical sharing framework is  $2 \times (4^{l_0} + \dots + 4^1) + 2$ , because two types of  $\oplus$  functions, four types of primitive functions, and two additional ones,  $\sum x$  and  $\prod x$ . Moreover, symbolic aggregation states indeed contain the type information of  $\oplus$  and unary functions. Such that, the sharing possibility of  $sy\_s$  and  $sy\_s'$  can be verified by  $G\_EE()$ . Therefore, for a given  $sy\_s$ ,  $|sy\_s| \leq l_0$ , we take any  $sy\_s'$ ,  $|sy\_s'| \leq l_0$ , and  $sy\_s$  as the input of  $G\_EE()$  to generate  $D_{l_0}(sy\_s)$ . With this intuition, we propose the following symbolic index.

**Index structure.** A symbolic index is a set of tables  $\{T_1, \dots, T_{l_0}\}$ . Every  $T_i$ ,  $i \in (1, \dots, l_0)$ , has five columns (ID, Symbolic Aggregation State, Symbolic Derivable Set, Sharing Candidate, Concrete Aggregation State).  $T_i$  only stores symbolic aggregation states with the length  $i$ . In the column symbolic aggregation state, every row of  $T_i$  stores the information of one symbolic aggregation state  $sy\_s$ ,  $|sy\_s| = i$ . The column ID stores the encoded number of  $sy\_s$ . The column symbolic derivable set stores the  $D_{l_0}(sy\_s)$  of  $sy\_s$ . The column sharing candidate simply store all sharing candidates for

**Table 5:  $T_1$  in the symbolic index.**

ID	$sy\_s$	$D_{l_0}(sy\_s)$	$SC(sy\_s)$	$s$
-2	$\sum x$	$\{\sum x, \sum a_1x, \prod a_1^x, \dots\}$	$\{\sum x\}$	$\{R\}$
-1	$\prod x$	$\{\prod x, \prod x^{a_1}, \sum \log_{a_1}x, \dots\}$	$\{\prod x\}$	$\{R\}$
0	$\sum a_1x$	$\{\sum a_1x, \sum x, \prod a_1^x, \dots\}$	$\{\sum x\}$	null
1	$\sum \log_{a_1}x$	$\{\sum \log_{a_1}x, \dots\}$	$\{\prod x, \dots\}$	null
2	$\sum a_1^x$	$\{\sum a_1^x, \dots\}$	$\{\sum a_1^x\}$	$\{(\bar{a}, R), \dots\}$
3	$\sum x^{a_1}$	$\{\sum x^{a_1}, \dots\}$	$\{\sum x^{a_1}\}$	$\{(\bar{a}, R), \dots\}$
4	$\prod a_1x$	$\{\prod a_1x, \dots\}$	$\{\prod a_1x\}$	$\{(\bar{a}, R), \dots\}$
5	$\prod \log_{a_1}x$	$\{\prod \log_{a_1}x, \dots\}$	$\{\prod \log_{a_1}x\}$	$\{(\bar{a}, R), \dots\}$
6	$\prod a_1^x$	$\{\prod a_1^x, \sum x, \sum a_1x, \dots\}$	$\{\sum x\}$	null
7	$\prod x^{a_1}$	$\{\prod x^{a_1}, \dots\}$	$\{\prod x\}$	null

$sy\_s$ , which is a set  $SC(sy\_s) = \{sy\_s' | sy\_s \in D_{l_0}(sy\_s')\}$ . The last column is a set of pairs  $\{(\bar{a}, R), \dots\}$  for concrete aggregation states, where  $R$  is a computation result of a concrete aggregation state, and  $\bar{a}$  is the a parameter sequence. An example  $T_1$  is illustrated in table 5.

**Index building.** Building a symbolic index contains for every row in every table  $T_i$ ,  $i \in (1, \dots, l_0)$ , generating the four attributes, symbolic aggregation states, ID, symbolic derivable sets and sharing candidates, and sorting rows according to ID in  $T_i$ . Let  $sy\_s(X, \bar{a}) = \sum_{\oplus, x \in X} p_i^{(a_i)} \circ \dots \circ p_1^{(a_1)}(x)$ ,  $i \in (1, \dots, l_0)$ . Firstly, symbolic aggregation states are obtained by selecting one type of  $\oplus$  from  $(+, \times)$  and one type of  $p_j^{(a_j)}$ ,  $j \in (1, \dots, i)$  from linear, logarithm, exponential, power, which will produce  $2 \times 4^i$  rows in  $T_i$ . And in the case of  $i = 1$ , two addition ones are added,  $\sum x$  and  $\prod x$ . Moreover, all the rows in  $T_i$  are classified into two parts with  $\oplus = +$  and  $\oplus = \times$ , and we define  $offset(+)$  = 0 and  $offset(\times)$  =  $4^i$ . Then, for every type of linear, logarithm, exponential, power, a encoded integer is assigned, that  $en(linear) = 0, \dots$  and  $en(power) = 3$ . Then the encoded function  $EN()$  is defined to generate the ID,

$$EN(sy\_s) = en(p_i^{(a_i)}) \times 4^{i-1} + \dots + en(p_1^{(a_1)}) \times 4^0 + offset(\oplus). \quad (8)$$

For the special two cases  $\sum x$  and  $\prod x$ , we assign their ID as  $-2$  and  $-1$ . Thirdly,  $sy\_s$  is added in  $D_{l_0}(sy\_s)$ , and the function  $G\_EE()$  is ran with the input of any combination of  $sy\_s$  and another symbolic aggregation state from  $(T_1, \dots, T_{l_0})$  to generate  $D_{l_0}(sy\_s)$ . At fourth, we iterate on the column of symbolic derivable set to find identical ones, where only the symbolic state with the shortest length will be put in the sharing candidate column. Otherwise, symbolic aggregation state  $sy\_s$  will be registered in the sharing candidate column of every element in  $D_{l_0}(sy\_s)$ . Finally, for every table  $T_i$ , all the rows are sorted based on the ID column.

It is noteworthy that, the sharing candidates column may store one or several symbolic aggregation states. In the latter case, all the sharing candidates are sorted based on two criteria: (1) whether a sharing candidate contains a concrete computation; (2) the length of the sharing candidates. And the first criteria always has the higher priority than the second one. This machinery can help find a sharing candidate that can be feasible to reuse in  $O(1)$  time.

**Index searching.** Given an aggregation state  $s$ , firstly the following three types of data are retrieved from  $s$ , the length of  $|s|$ , symbolic aggregation state  $sy\_s$ , and the sequence  $\bar{a}$ . Then according to  $|s|$ , the corresponding table  $T_i$ ,  $i = |s|$  is located by a first

binary search. Furthermore, based on the encoded formula (equation 8), the ID of  $sy\_s$  is computed. Following this, a second binary search is proceeded to find  $sy\_s$  in  $T_i$ . Afterward, if the first sharing candidate has a concrete computing result, it will be retrieved for computing  $s$ , otherwise, the real computation will be launched. Which concrete computation is launched will depend on the number of sharing candidates for  $sy\_s$ . If  $sy\_s$  contains only one sharing candidate, then the only sharing candidate will be launched to compute, e.g. the row with ID=0 and ID=6 in table 5. Otherwise, the current  $sy\_s$  with the received  $\bar{a}$  will be computed. Finally, the result of  $s$  is returned.

**Index updating.** If a real computation of a concrete aggregation state  $s$  is launched, an index update is proceeded because of the sorting machinery in the sharing candidate column. Updating a symbolic index is simply telling every element in  $D_{l_0}(sy\_s)$  that  $sy\_s$  has a concrete computation, such that  $sy\_s$  will be ranked nearer to the front in the set of sharing candidates of every element in  $D_{l_0}(sy\_s)$ .

**Search complexity.** For an aggregation state  $s$ ,  $|s|=i$ ,  $i \leq l_0$ , the searching time complexity of  $sy\_s$  in the symbolic index can be  $\Omega(1)$  in best cases and  $O(i + \log l_0 + m)$  in worst cases ( $m$  concrete aggregation stated results cached in memory). We explain the analysis in the follows. The first search is locating the table  $T_i$ , which can take  $\Omega(1)$  in best cases and  $O(\log l_0)$  in the worst cases. The second search is finding  $sy\_s$  in  $T_i$ , which can take  $\Omega(1)$  in best cases and  $O(\log |T_i|)$  in worst cases, where  $|T_i|$  is the total number of rows in  $T_i$ ,  $|T_i| = 2 \times 4^i$ , then  $O(\log |T_i|) = O(i)$ . If a sharing candidate contains only one concrete computation result, it can be directly retrieved. While, if all the  $m$  concrete results are cached in the sharing candidate, it will take  $O(m)$  to locate the required one. Therefore, the best cases can take  $\Omega(1)$ , while the worst cases can take  $O(i + \log l_0 + m)$ .

**Building and space complexity.** The building time complexity of the symbolic index is  $O(4^{3l_0})$ . The total number of rows in all tables  $T_i$ ,  $i \in (l_0, \dots, 1)$ , are  $\frac{4^{l_0+1} - 2}{3}$ . Note that, if the practical framework is not extended, the symbolic index will remain unchanged, then it is only necessary to build it one time. Such that, once the symbolic index is built, it can be serialized to disk and be deserialized to memory at the running time.

## 7.6 Pull-up rules

Choosing a bigger length  $l_0$  of the symbolic index may still consume some memory, and the tables with bigger lengths may not be often used based on the composition of realistic functions. Therefore, we propose a hybrid solution, that we fix a reasonable length  $l_0$  for generating the symbolic index, and for aggregation states with length  $l \leq l_0$ , the symbolic index is used to share computation. For the left cases  $l > l_0$ , instead of directly verifying sharing condition with cached elements, we propose pull-up rules to reduce the length  $l$  to  $l'$ , then if  $l' \leq l_0$ , aggregation states will be passed to symbolic index, otherwise the sharing conditions are verified. The purpose of this method can be summarized that reducing aggregation state length and using the symbolic index in an exhaustive way.

The intuition of reducing the length of aggregation states is pushing some unary functions to terminating function in the formal

framework. Specifically, for an aggregation state  $s = \sum_{\oplus} p_l \circ \dots \circ p_1$ ,  $p_i \in PU$ ,  $i \in (1, \dots, l)$ , if  $s$  can be transformed to  $p_l \circ \sum_{\oplus'} p_{l-1} \circ \dots \circ p_1$ , then  $p_l$  can be pulled up to terminating functions and the length of  $s$  is reduced to  $l-1$ . This process can be repeated for  $p_{l-1}$  and the follows if possible, until a minimum length is obtained.

We propose the complete pull-up conditions. From the exhaustive combination of  $\oplus$  and  $\oplus'$  in the practical scope, that  $(\Sigma, \Sigma)$ ,  $(\Sigma, \Pi)$ ,  $(\Pi, \Pi)$ , and  $(\Pi, \Sigma)$ , we propose the following four pull-up rules for each of them to pull one primitive function up.

$$\sum ax \rightarrow ax \circ \sum x, a \in \mathbb{R}_{\neq 1, 0}. \quad (R2)$$

$$\sum \log_a x \rightarrow \log_a x \circ \prod x, a \in \mathbb{R}_{> 0, \neq 1}. \quad (R3)$$

$$\prod x^a \rightarrow x^a \circ \prod x, a \in \mathbb{R}_{\neq 0}. \quad (R4)$$

$$\prod a^x \rightarrow a^x \circ \sum x, a \in \mathbb{R}_{\neq 0, 1}. \quad (R5)$$

From theorem 7.3, 7.4, 7.6 and 7.5, we can have that these four rules are the complete conditions for pulling one primitive function up. For instance, R2 describe the transformation  $\sum ax = ax \circ \sum x$ , which can also be considered as the case of sharing computation between  $\sum ax$  and  $\sum x$ , and from theorem 7.3 we know only  $ax$  is possible.

**Counting for free** If the computation of the aggregation function count is admitted for free, we can have an additional transformation,  $\prod ax = \prod a \times \prod x = a^{count} x \circ \prod x$ . Generally,  $a^{count} x$  is a bivariate function instead of a unary one (this is the reason why it is not identified in theorem 7.6). However, with the stored count value, the function  $a^{count}$  can be computed and  $a^{count} x$  is changed to a linear function because  $a^{count}$  is a constant at this moment. Moreover, due to the fact *count* is necessary for frequently used aggregate functions e.g. *average*, and priori data statistics for query optimization, the aggregation count is computed for free. Then, we propose the following transformation rule R6, which is also a kind of pull-up rules due to its feature of pulling a 'linear function' up.

$$\prod ax = (a^{count} x) \circ \prod x, a \in \mathbb{R}_{\neq 1, 0}. \quad (R6)$$

**Supplementary pull-up rules.** We propose supplementary pull-up rules to transform a non-feasible pull-up candidate to a feasible one. In fact, in the cases that  $s$  contains several primitive functions and the last primitive function  $p_l$  is not a feasible pull-up candidate, the length of  $s$  may be still possible decreased because of the type changing transformation of primitive functions. We exhaustively analyze these supplementary pull-up rules in the following five exhaustive cases.

*Case 1:  $\oplus = +$ , and exploring supplementary transforming candidates for R2.* When  $\oplus = +$ , then if  $p_l$  is linear or logarithm, then R2 and R3 can be applied. Therefore, the non-feasible cases are power and exponential. And we are searching candidates for R2, then the target type is linear.

When  $p_l$  is a power function, we firstly identify that power function and linear function has the reordering feature (see in table 4), that  $x^a \circ bx = b^a x \circ x^a$ . Therefore, we propose the transforming rule R6, which we call the *linear-up* rule due to its linear-type reordering feature,

$$power \circ linear \leftrightarrow linear \circ power. \quad (R7)$$

By applying  $R7$ , a linear function can still be pulled up by  $R2$ . Moreover, power functions can absorb power functions, that  $x^a \circ x^b = x^{ab}$ . Then several continuous power functions and a linear function is also feasible for  $R7$ . According to the second column in table 4,  $R7$  is the only type changing when  $p_l$  is a power function. Therefore, no matter how to transform the following functions, if there does not exist linear functions behind power functions, then the transformations are still not interesting for  $R2$ . We also found two cases that can produce linear functions in table 4, which can be the supplementary rules for  $R7$ . Transforming rules  $R8$  and  $R9$  are proposed to cover these two sub-situations, which we call the *linear-birth* rules due to its feature of two non-linear functions producing a linear function.

$$\text{logarithm} \circ \text{exponential} \rightarrow \text{linear}, \quad (R8)$$

$$\text{logarithm} \circ \text{power} \rightarrow \text{linear} \circ \text{logarithm}. \quad (R9)$$

Such that, sequential applying  $R8, R7, R2$  or  $R9, R7, R2$ , can still pull up some functions. And  $R7, R8, R9$  are the complete supplementary rules for  $R2$ .

When  $p_l$  is an exponential function, according to the fourth column in table 4, no matter how to transform primitive functions, the type of the first function cannot be changed. Therefore, none functions can be pulled up.

*Case 2:  $\oplus = +$ , and exploring supplementary transforming candidates for  $R3$ .* Similarly, we are searching candidates for  $R3$ , then  $p_l$  can be a power or exponential function and the target type is logarithm. However, according to the second and fourth column in table 4, no matter  $p_l$  is an exponential or power function, none transformations can change the type of  $p_l$  to be *logarithm*. Therefore, in this case, it is impossible to have transforming candidates for  $R3$ .

Similarly, in the *case 3:  $\oplus = \times$ , exploring supplementary rules for  $R4$* , and the *case 4:  $\oplus = \times$ , exploring supplementary rules for  $R5$* , there does not exist supplementary transforming candidates.

*Case 5:  $\oplus = \times$ , and exploring supplementary transforming candidates for  $R6$ .* In this case, we are searching candidates for  $R6$ , and  $p_l$  is a logarithmic function and the target type is linear. Two complete cases can be identified based on linear-birth rules  $R8$  and  $R9$  (see the third column of table 4). Moreover, because power functions can absorb power functions and can be reordered with linear functions, therefore these two patterns can be also supplementary transformations of  $R9$  in this case,  $\log_{ax} \circ x^{b_1} \circ x^{b_2} = \log_{ax} \circ x^{b_1 b_2} = b_1 b_2 x \circ \log_{ax}$ , and  $\log_{ax} \circ b_1 x \circ x^{b_2} = \log_{ax} \circ x^{b_2} \circ b_1^{1/b_2} x = b_2 x \circ \log_{ax} \circ b_1^{1/b_2} x$ ,  $b_1 > 0$ . Therefore, in this case, by sequential applying  $R8, R6$ , or  $R9, R6$ , or  $R7, R8, R6$ , the length of aggregation state can still be decreased.

The application of pull-up rules has linear time complexity  $O(l)$ , because it visits each primitive function one time at most.

## 8 CONCLUSION AND ONGOING WORK

We analyze how to efficiently decompose user-defined aggregation functions and reuse their computation results. A generic framework for aggregation functions is firstly identified, which is mapped to the *MRC* model to generate the efficient and generic algorithm  $MR(\alpha)$ . Then, we analyze the effects of two widely used  $\oplus$  functions in practice on  $MR(\alpha)$ ,  $+$  and  $\times$ .

On the side of sharing aggregation computation, we concentrate on reusing partial aggregation results, which is more generic than total aggregation result. Then we illustrate the sharing problem is undecidable for arbitrary partial aggregation. Hereafter, we identify several primitive classes of unary and binary functions that are widely used in practical aggregation functions. Then, for this practical framework, sharing conditions are proposed. Consequently, we propose the symbolic index and pull-up rules to reduce the sharing complexity.

Based on the practical framework of UDA and corresponding theoretical propositions, we are implementing the library DS4Alpha aiming at providing a systematic approach to process user-defined aggregation in distributed computing.

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**Table 6: Symmetric aggregation functions in canonical forms.**

Aggregation	Formula	$F(x_i)$	$F(x_i) \oplus F(x_j)$	$T(S)$
Max	–	$id$	$max$	$id$
Min	–	$id$	$min$	$id$
Sum	$\sum x_i$	$id$	$+$	$id$
Count	$\sum 1$	$id$	$+$	$id$
Avg $\mu$	$\frac{\sum x_i}{n}$	$(id, 1)$	$(+, +)$	$\frac{s_1}{s_2}$
Variance $\sigma^2$	$\frac{\sum(x_i - \mu(X))^2}{n}$	$((x_i - \mu(X))^2, 1)$	$(+, +)$	$\frac{s_1}{s_2}$
Std_variance $\sigma$	$\sqrt{\frac{\sum(x_i - \mu(X))^2}{n}}$	$((x_i - \mu(X))^2, 1)$	$(+, +)$	$\sqrt{\frac{s_1}{s_2}}$
Covariance $cov(X, Y)$	$\frac{\sum(x_i - \mu(X))(y_i - \mu(Y))}{n}$	$((x_i - \mu(X))(y_i - \mu(Y)), 1)$	$(+, +)$	$\frac{s_1}{s_2}$
Correlation $corr(X, Y)$	$\frac{cov(X, Y)}{(\sigma(X)\sigma(Y))}$	$((x_i - \mu(X))^2, (y_i - \mu(Y))^2, (x_i - \mu(X))(y_i - \mu(Y)), 1)$	$(+, +, +, +)$	$\frac{s_3/s_4}{\sqrt{s_1/s_4}\sqrt{s_2/s_4}}$
$corr(X, Y)^2$	$\frac{cov(X, Y)}{(\sigma(X)\sigma(Y))}$	$((x_i - \mu(X))^2, (y_i - \mu(Y))^2, (x_i - \mu(X))(y_i - \mu(Y)), 1)$	$(+, +, +, +)$	$(\frac{s_3/s_4}{\sqrt{s_1/s_4}\sqrt{s_2/s_4}})^2$
Sum of squares	$\frac{\sum x_i^2 - (\sum x_i)^2}{n}$	$(x_i^2, id, 1)$	$(+, +, +)$	$\frac{s_1 - s_2}{s_3}$
Sum of squares(X, Y)	$\frac{\sum x_i \times y_i - (\sum x_i) \times (\sum y_i)}{n}$	$(x_i \times y_i, x_i, y_i, 1)$	$(+, +, +)$	$\frac{s_1 - s_2 \times s_3}{s_4}$
Cumulative distance(r)	$\frac{count_{x_i \leq r}}{count}$	$(x_i \leq r? 1 : 0, 1)$	$(+, +)$	$\frac{s_1}{s_2}$
First_value	–	$id$	First_value()	$id$
Last_value	–	$id$	Last_value()	$id$
Median	–	$id$	$\cup$	$median$
Percentile	–	$id$	$\cup$	$percentile$
Rank	–	$id$	$\cup$	$rank$
Product	$\prod x_i$	$id$	$\times$	$id$
Geometric_mean	$(\prod x_i)^{1/n}$	$(id, 1)$	$(\times, +)$	$(s_1)^{1/s_2}$
Power_mean	$(\frac{\sum(x_i)^p}{n})^{1/p}$	$(x_i^p, 1)$	$(+, +)$	$(\frac{s_1}{s_2})^{1/p}$
Skewness	$\frac{(\sum(x - \mu)^3)/n}{((\sum(x - \mu)^2)/n)^{3/2}}$	$((x_i - \mu(X))^3, (x_i - \mu(X))^2, 1)$	$(+, +, +)$	$\frac{s_1/s_3}{(s_2/s_3)^{3/2}}$
Kurtosis	$\frac{(\sum(x - \mu)^4)/n}{((\sum(x - \mu)^2)/n)^2}$	$((x_i - \mu(X))^4, (x_i - \mu(X))^2, 1)$	$(+, +, +)$	$\frac{s_1/s_3}{(s_2/s_3)^2}$
LogSumExp	$ln(\sum exp(x_i))$	$exp(x_i)$	$+$	$ln$
TF(term:t,doc:d)	$\frac{count_t}{count}$	$(t? 1 : 0, 1)$	$(+, +)$	$\frac{s_1}{s_2}$
IDF(term:t,corpus:D)	$Log(\frac{count}{count_t d})$	$(1, t \in d_j? 1 : 0)$	$(+, +)$	$Log(\frac{s_1}{s_2})$
TF-IDF(t,d,D)	TF $\times$ IDF	$(d : (t? 1 : 0, 1), D : (1, t \in d_j? 1 : 0, 1))$	$(+, +, +, +)$	$\frac{s_1}{s_2} \times Log(\frac{s_3}{s_4})$