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MEAN VALUES FOR A CLASS OF ARITHMETIC FUNCTIONS IN SHORT INTERVALS

JIE WU AND QIANG WU

ABSTRACT. In this paper, we shall establish a rather general asymptotic formula in short intervals for a classe of arithmetic functions and announce two applications about the distribution of divisors of square-full numbers and integers representable as sums of two squares.

1. Introduction

This is the third paper of our series on the Selberg-Delange method for *short intervals*. Roughly speaking this method applies to evaluate mean values of arithmetic functions whose associated Dirichlet series are close to *complex* powers of the Riemann ζ -function. In the first part, by using a suitable contour (located to the left of the Korobov-Vinogradov zero-free region of $\zeta(s)$) instead of Hankel's contour used in the original version of the Selberg-Delange method, Cui and Wu [2] extended this method to handle mean values of arithmetic functions over a short interval, when their corresponding Dirichlet series is close to a positive power of ζ -function. In the second one, Cui, Lü and Wu [3] treated the complex power case with the help of the well-known Hooley-Huxley-Motohashi contour. Some similar results have were appeared in Ramachandra's paper [14]. In this paper, we shall consider a more general case and give some arithmetic applications.

1.1. Assumptions.

Let us fix some notation:

- $-\zeta(s)$ is the Riemann ζ -function,
- $L(s,\chi)$ is the Dirichlet L-function of χ .
- $-\varepsilon$ is an arbitrarily small positive constant,
- $-r \in \mathbb{N}, \ \alpha > 0, \ \delta \geqslant 0, \ A \geqslant 0, \ M > 0 \ (constants),$
- $-\boldsymbol{z} := (z_1, \ldots, z_r) \in \mathbb{C}^r$ and $\boldsymbol{w} := (w_1, \ldots, w_r) \in \mathbb{C}^r$,
- $-\kappa := (\kappa_1, \dots, \kappa_r) \in (\mathbb{R}^{+*})^r \text{ with } 1 \leqslant \kappa_1 < \dots < \kappa_r \leqslant 2\kappa_1,$
- $-\boldsymbol{\chi}:=(\chi_1,\ldots,\chi_r)$ with χ_i non principal Dirichlet characters,
- $-B := (B_1, \dots, B_r) \in (\mathbb{R}^{+*})^r$ and $C := (C_1, \dots, C_r) \in (\mathbb{R}^{+*})^r$,
- The notation $|z| \leq B$ means that $|z_i| \leq B_i$ for $1 \leq i \leq r$.

Let $f: \mathbb{N} \to \mathbb{C}$ be an arithmetic function and its corresponding Dirichlet series is given by

(1.1)
$$\mathcal{F}(s) := \sum_{n=1}^{\infty} f(n)n^{-s}.$$

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We say that this Dirichlet series $\mathcal{F}(s)$ is of type $\mathcal{P}(\kappa, z, w, B, C, \alpha, \delta, A, M)$ if the following conditions are verified:

(a) For any $\varepsilon > 0$ we have

$$(1.2) |f(n)| \ll_{\varepsilon} Mn^{\varepsilon} (n \geqslant 1),$$

where the implied constant depends only on ε :

(b) We have

$$\sum_{n=1}^{\infty} |f(n)| n^{-\sigma} \leqslant M(\sigma - 1/\kappa_1)^{-\alpha} \qquad (\sigma > 1/\kappa_1);$$

(c) The Dirichlet series $\mathcal{F}(s)$ has the expression

(1.3)
$$\mathfrak{F}(s) = \boldsymbol{\zeta}(\boldsymbol{\kappa}s)^{\boldsymbol{z}} \boldsymbol{L}(\boldsymbol{\kappa}s; \boldsymbol{\chi})^{\boldsymbol{w}} \mathfrak{G}(s),$$

where

(1.4)
$$\zeta(\kappa s)^{z} := \prod_{1 \le i \le r} \zeta(\kappa_{i} s)^{z_{i}},$$

(1.5)
$$L(\kappa s; \chi)^{w} := \prod_{1 \leq i \leq r} L(\kappa_{i} s, \chi_{i})^{w_{i}}$$

and the Dirichlet series $\mathcal{G}(s)$ is a holomorphic function in (some open set containing) $\sigma \geqslant (2\kappa_1)^{-1}$ and, in this region, $\mathcal{G}(s)$ satisfies the bound

(1.6)
$$|\mathcal{G}(s)| \leq M(|\tau|+1)^{\max\{\delta(1-\kappa_1\sigma),0\}} \log^A(|\tau|+3)$$

uniformly for $|z| \leq B$ and $|w| \leq C$, where and in the sequel we implicitly define the real numbers σ and τ by the relation $s = \sigma + i\tau$ and choose the principal value of the complex logarithm.

As usual, we denote by $N(\sigma,T)$ and $N_{\chi}(\sigma,T)$ the number of zeros of $\zeta(s)$ and $L(s,\chi)$ in the region $\Re e \, s \geqslant \sigma$ and $|\Im m \, s| \leqslant T$, respectively. It is well known that there are two constants ψ and η such that

(1.7)
$$N(\sigma, T), \ N_{\chi}(\sigma, T) \ll T^{\psi(1-\sigma)}(\log T)^{\eta}$$

for $\frac{1}{2} \leqslant \sigma \leqslant 1$ and $T \geqslant 2$. Huxley [9] showed that

$$\psi = \frac{12}{5} \quad \text{and} \quad \eta = 9$$

are admissible for $\zeta(s)$. It is not difficult to extend it for $L(s,\chi)$. The zero density hypothesis is stated as

$$\psi = 2.$$

1.2. Set-up and main results.

Our main aim of this paper is to establish, under the previous assumptions, an asymptotic formula for the summatory function

$$(1.10) \qquad \sum_{x < n \leqslant x + x^{1-1/\kappa_1} y} f(n)$$

where $y := x^{\vartheta}$ with $\vartheta \in (0,1]$ as small as possible. In order to state our result, it is necessary to introduce some notation. Obviously the function $Z(\kappa s; z) := \{(\kappa s - 1)\zeta(\kappa s)\}^z$

is holomorphic in the disc $|s-1/\kappa| < 1/\kappa$, and admits, in the same disc, the Taylor series expansion

$$Z(\kappa s; z) = \sum_{j=0}^{\infty} \frac{\gamma_j(z, \kappa)}{j!} (s - 1/\kappa)^j,$$

where the $\gamma_i(z,\kappa)$'s are entire functions of z satisfying the estimate

(1.11)
$$\frac{\gamma_j(z,\kappa)}{j!} \ll_{B,\kappa,\varepsilon} (1+\varepsilon)^j \qquad (j \geqslant 0, |z| \leqslant B)$$

for all B > 0, κ and $\varepsilon > 0$. Write $\kappa_* = (\kappa_2, \dots, \kappa_r)$ and $z_* = (z_2, \dots, z_r)$. Under our hypothesis, the function

$$\mathfrak{G}(s)Z(\kappa_1 s; z_1)\boldsymbol{\zeta}(\boldsymbol{\kappa}_* s)^{\boldsymbol{z}_*}\boldsymbol{L}(\boldsymbol{\kappa} s; \boldsymbol{\chi})^{\boldsymbol{w}}$$

is holomorphic in the disc $|s-1/\kappa_1| < 1/\kappa_1 - 1/\kappa_2$ and

$$(1.12) |Z(\kappa_1 s; z_1) \boldsymbol{\zeta}(\boldsymbol{\kappa}_* s)^{\boldsymbol{z}_*} \boldsymbol{L}(\boldsymbol{\kappa} s; \boldsymbol{\chi})^{\boldsymbol{w}} \boldsymbol{\mathcal{G}}(s)| \ll_{\boldsymbol{A}, \boldsymbol{B}, \boldsymbol{C}, \delta, \varepsilon} M$$

for $|s-1/\kappa_1| < 1/\kappa_1 - 1/\kappa_2 - \varepsilon$, $|z| \leq B$ and $|w| \leq C$. Thus we can write

(1.13)
$$Z(\kappa_1 s; z_1) \boldsymbol{\zeta}(\boldsymbol{\kappa}_* s)^{\boldsymbol{z}_*} \boldsymbol{L}(\boldsymbol{\kappa} s; \boldsymbol{\chi})^{\boldsymbol{w}} \boldsymbol{\mathcal{G}}(s) = \sum_{\ell=0}^{\infty} g_{\ell}(\boldsymbol{\kappa}, \boldsymbol{z}, \boldsymbol{w}, \boldsymbol{\chi}) (s - 1/\kappa_1)^{\ell}$$

for $|s - 1/\kappa_1| \le \frac{1}{2}(1/\kappa_1 - 1/\kappa_2)$, where

$$(1.14) g_{\ell}(\boldsymbol{\kappa}, \boldsymbol{z}, \boldsymbol{w}, \boldsymbol{\chi}) := \sum_{j=0}^{\ell} \frac{\gamma_{j}(z_{1}, \kappa_{1})}{(\ell - j)! j!} \cdot \frac{\partial^{\ell - j} (\boldsymbol{\zeta}(\boldsymbol{\kappa}_{*} s)^{\boldsymbol{z}_{*}} \boldsymbol{L}(\boldsymbol{\kappa} s; \boldsymbol{\chi})^{\boldsymbol{w}} \boldsymbol{G}(s))}{\partial s^{\ell - j}} \bigg|_{s = 1/\kappa_{1}}.$$

The main result of this paper is as follows.

Theorem 1.1. Let $r \in \mathbb{N}$, $\kappa \in (\mathbb{R}^{+*})^r$, $\mathbf{z} \in \mathbb{C}^r$, $\mathbf{w} \in \mathbb{C}^r$, $\mathbf{B} \in (\mathbb{R}^{+*})^r$, $\mathbf{C} \in (\mathbb{R}^{+*})^r$, $\mathbf{\chi}$, $\alpha > 0$, $\delta \geqslant 0$, $A \geqslant 0$, M > 0 be given as before. Suppose that the Dirichlet series $\mathcal{F}(s)$ defined as in (1.1) is of type $\mathcal{P}(\kappa, \mathbf{z}, \mathbf{w}, \mathbf{B}, \mathbf{C}, \mathbf{\chi}, \alpha, \delta, A, M)$. Then for any $\varepsilon > 0$, we have

(1.15)
$$\sum_{x < n \le x + x^{1-1/\kappa_1} y} f(n) = y' (\log x)^{z-1} \left\{ \sum_{\ell=0}^{N} \frac{\lambda_{\ell}(\boldsymbol{\kappa}, \boldsymbol{z}, \boldsymbol{w}, \boldsymbol{\chi})}{(\log x)^{\ell}} + O(MR_N(x)) \right\}$$

uniformly for

$$x \ge 3$$
, $x^{(1-1/(\psi+\delta))/\kappa_1+\varepsilon} \le y \le x^{1/\kappa_1}$, $N \ge 0$, $|z| \le B$, $|w| \le C$.

where

$$y' := \kappa_1((x + x^{1-1/\kappa_1}y)^{1/\kappa_1} - x^{1/\kappa_1}),$$

$$\lambda_{\ell}(\boldsymbol{\kappa}, \boldsymbol{z}, \boldsymbol{w}, \boldsymbol{\chi}) := \kappa_1^{-z_1} g_{\ell}(\boldsymbol{\kappa}, \boldsymbol{z}, \boldsymbol{w}, \boldsymbol{\chi}) / \Gamma(z_1 - \ell),$$

$$R_N(x) := \left(\frac{c_1 N + 1}{\log x}\right)^{N+1} + \frac{(c_1 N + 1)^{N+1}}{e^{c_2(\log x/\log_2 x)^{1/3}}} + \frac{y}{x^{1/\kappa_1} \log x}$$

for some constants $c_1 > 0$ and $c_2 > 0$ depending only on $\mathbf{B}, \mathbf{C}, \delta$ and ε . The implied constant in the O-term depends only on $\kappa, \mathbf{B}, \mathbf{C}, \chi, A, \alpha, \delta$ and ε . In particular, $\psi = \frac{12}{5}$ is admissible.

The admissible length of short intervals in Theorem 1.1 depends only on the zero density constant ψ of $\zeta(s)$ and δ in (1.6) (for which we take $\delta = 0$ in most applications). Its independence from the power z of $\zeta(s)$ in the representation of $\mathcal{F}(s)$ seems interesting. Theorem 1.1 generalizes and improves [2, Theorem 1] to the case of complex powers and intervals of shorter length.

Taking N=0 in Theorem 1.1, we obtain readily the following corollary.

Corollary 1.1. Under the conditions of Theorem 1.1, for any $\varepsilon > 0$, we have

(1.16)
$$\sum_{x < n \leq x + x^{1-1/\kappa_1}y} f(n) = y'(\log x)^{z-1} \left\{ \lambda_0(\boldsymbol{\kappa}, \boldsymbol{z}, \boldsymbol{w}, \boldsymbol{\chi}) + O\left(\frac{M}{\log x}\right) \right\}$$

uniformly for

$$x \geqslant 3$$
, $x^{(1-1/(\psi+\delta))/\kappa_1+\varepsilon} \leqslant y \leqslant x^{1/\kappa_1}$, $|z| \leqslant B$, $|w| \leqslant C$,

where

$$\lambda_0(\boldsymbol{\kappa},\boldsymbol{z},\boldsymbol{w},\boldsymbol{\chi}) := \frac{\mathcal{G}(1/\kappa_1)}{\kappa_1^{z_1}\Gamma(z_1)} \prod_{2 \leqslant i \leqslant r} \zeta(\kappa_i/\kappa_1)^{z_i} \prod_{1 \leqslant i \leqslant r} L(\kappa_i/\kappa_1,\chi_i)^{w_i}$$

and the implied constant in the O-term depends only on A, B, C, α, δ and ε . Note that $\psi = \frac{12}{\varepsilon}$ is admissible.

Takning r = 2, $\kappa = (1, 2)$, z = (z, w), w = (0, 0) in Theorem 1.1 and Corollary 1.1, we can obtain Theorem 1.1 and Corollary 1.2 of Cui, Lü & Wu [3].

1.3. Distribution of divisors de certains integers.

In order to study the distribution of divisors of integers, Deshouillers, Dress & G. Tenenbaum [5] introduced the random variable D_n , which takes the value $(\log d)/\log n$, as d runs through the set of the $\tau(n)$ divisors of n, with the uniform probability $1/\tau(n)$, and consider its distribution function

$$F_n(t) = \operatorname{Prob}(D_n \leqslant t) = \frac{1}{\tau(n)} \sum_{d|n, d \leqslant n^t} 1 \qquad (0 \leqslant t \leqslant 1).$$

It is clear that the sequence $\{F_n\}_{n\geqslant 1}$ does not converge pointwisely on [0,1]. However Deshouillers, Dress & Tenenbaum ([5] or [19, Theorem II.6.7]) proved that its Cesàro mean converges uniformly to the arcsin law. More precisely, they showed that the asymptotic formula

(1.17)
$$\frac{1}{x} \sum_{n \le x} F_n(t) = \frac{2}{\pi} \arcsin \sqrt{t} + O\left(\frac{1}{\sqrt{\log x}}\right)$$

holds uniformly for $x \ge 2$ and $0 \le t \le 1$. Here we shall announce two applications of Corollary 1.1: the distribution of divisors of square-full numbers and of integers representable as sums of two squares. The proof will be given in another paper [22].

A. Beta law on divisors of square-full numbers in short intervals

An integer n is called square-full if $p \mid n \Rightarrow p^2 \mid n$. Denote by $\mathbb{1}_{sf}(n)$ the characteristic function of such integers and define

(1.18)
$$U(x,y) := \sum_{x < n \leqslant x + x^{1/2}y} \mathbb{1}_{sf}(n).$$

Our first result is as follows.

Theorem 1.2. For any $\varepsilon \in (0, \frac{5}{48})$, we have

$$(1.19) \quad \frac{1}{U(x,y)} \sum_{x < n \le x + x^{1/2}y} \mathbb{1}_{sf}(n) F_n(t) = B(\frac{2}{3}, \frac{1}{3})^{-1} \int_0^t w^{-\frac{1}{3}} (1-w)^{-\frac{2}{3}} dw + O\left(\frac{1}{\sqrt[3]{\log x}}\right)$$

uniformly for $x \ge 3$ and $x^{19/48+\varepsilon} \le y \le x^{1/2}$, where B(u,v) is the beta function defined by

(1.20)
$$B(u,v) := \int_0^1 w^{u-1} (1-w)^{v-1} dw$$

for u > 0 and v > 0.

B. Beta law on divisors of integers representable as sums of two squares

Define

(1.21)
$$\mathbb{1}_{\Box+\Box}(n) := \begin{cases} 1 & \text{if } n = \Box+\Box \\ 0 & \text{otherwise} \end{cases} \quad \text{and} \quad V(x) := \sum_{n \leqslant x} \mathbb{1}_{\Box+\Box}(n).$$

They proved that the mean of $F_n(t)$ over integers representable as sum of two squares converges to the beta law [7, Theorem 1]: For $x \to \infty$, we have

$$(1.22) \frac{1}{V(x)} \sum_{n \le x} \mathbb{1}_{\Box + \Box}(n) F_n(t) = B(\frac{1}{4}, \frac{1}{4})^{-1} \int_0^t w^{-\frac{3}{4}} (1 - w)^{-\frac{3}{4}} dw + O\left(\frac{1}{\sqrt[4]{\log x}}\right).$$

Here we shall generalise (1.22) to the short interval case. Put

$$(1.23) V(x,y) := \sum_{x < n \leqslant x+y} \mathbb{1}_{\square+\square}(n).$$

Our result is as follows.

Theorem 1.3. For any $\varepsilon \in (0, \frac{5}{12})$, we have

$$(1.24) \quad \frac{1}{V(x,y)} \sum_{x < n \leq x+y} \mathbb{1}_{\Box + \Box}(n) F_n(t) = B(\frac{1}{4}, \frac{1}{4})^{-1} \int_0^t w^{-\frac{3}{4}} (1-w)^{-\frac{3}{4}} dw + O\left(\frac{1}{\sqrt[4]{\log x}}\right)$$

uniformly for $x \geqslant 3$ and $x^{19/24+\varepsilon} \leqslant y \leqslant x$.

2. The Hooley-Huxley-Motohashi contour

The Hooley-Huxley-Motohashi contour appeared in Ramachandra [14] and Motohashi [13], independently. In [8], Hooley stated, without proof, his joint result with Huxley:

$$\sum_{x < n \leqslant x + x^{\theta}} \mathbb{1}_{\Box + \Box}(n) \sim 2^{-1/2} \prod_{p \equiv 1 \pmod{4}} (1 - p^{-2})^{-1/2} \frac{x^{\theta}}{\sqrt{\log x}}$$

for $x \to \infty$, provided $\theta > \frac{7}{12}$. According to Ramachandra [14, page 314], Hooley and Huxley have educated him on their method and allowed him make some comments about their method. A key point of this method is to use an ingeneous contour, which is called the

Huxley-Hooley contour by Ramachandra. In [13], Motohashi constructed a essential same contour to prove

$$\sum_{x < n \leqslant x + x^{\theta}} \mu(n) = o(x^{\theta}) \qquad (x \to \infty)$$

provided $\theta > \frac{7}{12}$. Here we shall follow Motohashi's argument and construct a Hooley-Huxley-Motohashi contour for our purpose.

2.1. Notation.

Let (κ, χ) be as before. First we write

(2.1)
$$\boldsymbol{\zeta}(\boldsymbol{\kappa}s)\boldsymbol{L}(\boldsymbol{\kappa}s,\boldsymbol{\chi}) := \prod_{1 \leq i \leq r} \zeta(\kappa_i s)L(\kappa_i s,\chi_i) =: \sum_{n \geq 1} \tau_{\boldsymbol{\kappa}}(n;\boldsymbol{\chi})n^{-s},$$

$$(2.2) \qquad (\boldsymbol{\zeta}(\boldsymbol{\kappa}s)\boldsymbol{L}(\boldsymbol{\kappa}s,\boldsymbol{\chi}))^{-1} := \prod_{1 \le i \le r} (\boldsymbol{\zeta}(\kappa_i s)L(\kappa_i s,\chi_i))^{-1} =: \sum_{n \ge 1} \tau_{\boldsymbol{\kappa}}^{\langle -1 \rangle}(n;\boldsymbol{\chi})n^{-s}$$

for $\sigma > 1/\kappa_1$, respectively. Here and in the sequel, we define implicitly the real numbers σ and τ by the relation $s = \sigma + i\tau$. A simple computation shows that

(2.3)
$$\tau_{\kappa}(n; \boldsymbol{\chi}) = \sum_{m_1^{\kappa_1} \cdots m_r^{\kappa_r} n_1^{\kappa_1} \cdots n_r^{\kappa_r} = n} \prod_{1 \leq i \leq r} \chi_i(n_i),$$

(2.4)
$$\tau_{\kappa}^{\langle -1 \rangle}(n; \boldsymbol{\chi}) = \sum_{m_1^{\kappa_1} \cdots m_r^{\kappa_r} n_1^{\kappa_1} \cdots n_r^{\kappa_r} = n} \prod_{1 \leq i \leq r} \mu(m_i) \mu(n_i) \chi_i(n_i).$$

From these, we deduce that

$$(2.5) |\tau_{\kappa}(n;\boldsymbol{\chi})| \leqslant \tau_{\kappa,\kappa}(n), |\tau_{\kappa}^{\langle -1 \rangle}(n;\boldsymbol{\chi})| \leqslant \tau_{\kappa,\kappa}(n), \tau_{\kappa} * \tau_{\kappa}^{\langle -1 \rangle} = \mathbb{1}_{\{1\}}$$

for all $n \ge 1$, where

(2.6)
$$\tau_{\kappa,\kappa}(n) := \sum_{\substack{m_1^{\kappa_1} \cdots m_r^{\kappa_r} n_1^{\kappa_1} \cdots n_r^{\kappa_r} = n}} 1$$

and $\mathbb{1}_{\{1\}}$ is the unit with respect to the Dirichlet convolution.

2.2. Upper bounds for $\zeta(s)^{\pm 1}$ and $L(s,\chi)^{\pm 1}$ in their zero-free regions.

It is well known that there is an absolute positive constant c such that $\zeta(s) \neq 0$ for

(2.7)
$$\sigma \geqslant 1 - c(\log|\tau|)^{-2/3}(\log_2|\tau|)^{-1/3}, \quad |\tau| \geqslant 3$$

(the zero-free region, due to Korobov and Vinogradov) and in this region we have

(2.8)
$$\zeta(s)^{\pm 1} \ll (\log |\tau|)^{2/3} (\log_2 |\tau|)^{1/3}$$

(see [21, page 135] or [19, page 162]). For the Dirichlet L-functions, Richert [15] has established similar results.

Lemma 2.1. Let χ be a non-principal Dirichlet character module q and let $L(s,\chi)$ be the corresponding Dirichlet L-function. Then we have

(2.9)
$$|L(s,\chi)| \ll \begin{cases} |\tau|^{100(1-\sigma)^{3/2}} (\log \tau)^{4/3} & \text{if } \frac{1}{2} \leqslant \sigma \leqslant 1 \text{ and } |\tau| \geqslant 3, \\ \log |\tau| & \text{if } \sigma \geqslant 1 \text{ and } |\tau| \geqslant 3. \end{cases}$$

Further there is a positive constant c_{γ} depending on χ such that

$$(2.10) |L(s,\chi)|^{-1} \ll (\log|\tau|)^{2/3} (\log_2|\tau|)^{1/3}$$

for $\sigma \geqslant 1 - 500c_{\chi}(\log |\tau|)^{-2/3}(\log_2 |\tau|)^{-1/3}$ and $|\tau| \geqslant 3$. Here the implied constants depend on χ only.

Proof. Let $s = \sigma + i\tau$. Without loss of generality, we can suppose that $\tau \ge 2$. For $\sigma := \Re e \, s > 1$ and $0 < w \le 1$, the Hurwitz ζ -function is defined by

$$\zeta(s, w) := \sum_{n=0}^{\infty} (n+w)^{-s}.$$

This function can be extended to a meromorphic function over $\mathbb{C}\setminus\{1\}$. According to [15, Satz], there is a absolute constant c>0 such that we have

$$|\zeta(s,w) - w^{-s}| \leqslant c|\tau|^{100(1-\sigma)^{3/2}} (\log|\tau|)^{2/3}$$

uniformly for $0 < w \le 1$, $\frac{1}{2} \le \sigma \le 1$ and $|\tau| \ge 3$.

Since $\chi(n)$ is of period q, we can write, for $\sigma > 1$,

$$L(s,\chi) = \sum_{a=1}^{q} \sum_{n=0}^{\infty} \chi(a+nq)(nq+a)^{-s}$$
$$= q^{-s} \sum_{a=1}^{q} \chi(a) \{ \zeta(s,a/q) - (a/q)^{-s} \} + \sum_{a=1}^{q} \chi(a) a^{-s}.$$

This relation also holds for all $s \in \mathbb{C} \setminus \{1\}$ by analytic continuation. Inserting (2.11), we immediately get the first inequality in (2.9). The second one is classical.

In view of (2.9), we can prove (2.10) exactly as [21, Theorem 3.11] with the choice of

$$\theta(\tau) = \left(\frac{\log_2 \tau}{100 \log \tau}\right)^{2/3}$$
 and $\phi(\tau) = \log_2 \tau$.

This completes the proof of Lemma 2.1.

2.3. Definition of the Hooley-Huxley-Motohashi contour \mathcal{L}_T .

Let $(\varepsilon, \kappa, \chi)$ be as before and let $T_0 = T_0(\varepsilon, \kappa, \chi)$, $c_0 = c_0(\kappa, \chi)$ be two large constants and let $C_0 = C_0(\kappa, \chi)$ be a suitable positive constant. For $T \geqslant T_0$, put

(2.12)
$$\delta_T := C_0(\log T)^{-2/3}(\log_2 T)^{-1/3}.$$

According to (2.8), (2.9) and (2.10) where such that

$$(2.13) \qquad (\log |\tau|)^{-c_0 r} \ll |\boldsymbol{\zeta}(\boldsymbol{\kappa} s) \boldsymbol{L}(\boldsymbol{\kappa} s, \boldsymbol{\chi})| \ll (\log |\tau|)^{c_0 r}$$

for $\sigma \geqslant (1 - 100\delta_T)/\kappa_1$ and $3 \leqslant |\tau| \leqslant 100T$, where the implied constants depend on (κ, χ) . For $T \geqslant T_0$, write

(2.14)
$$J_T := \left[\left(\frac{1}{2} - \delta_T \right) \log T \right] \quad \text{and} \quad K_T := \left[T (\log T)^{-1} \right].$$

For each pair of integers (j, k) with $0 \le j \le J_T$ and $0 \le k \le K_T$, we define

(2.15)
$$\sigma_j := (\frac{1}{2} + j(\log T)^{-1})/\kappa_1, \qquad \tau_k := (1 + k \log T)/\kappa_1$$

and

(2.16)
$$\Delta_{i,k} := \{ s = \sigma + i\tau : \sigma_i \leqslant \sigma < \sigma_{i+1} \text{ and } \tau_k \leqslant \tau < \tau_{k+1} \}.$$

Define

(2.17)
$$M_x(s) = M_x(s; \boldsymbol{\kappa}, \boldsymbol{\chi}) := \sum_{n \le x} \frac{\tau_{\boldsymbol{\kappa}}^{\langle -1 \rangle}(n; \boldsymbol{\chi})}{n^s},$$

(2.18)
$$\mathfrak{M}(\varsigma, T) = \mathfrak{M}(\varsigma, T; \kappa) := \max_{\substack{\sigma \geqslant \varsigma \\ 1 \le |\tau| \le T}} |\zeta(\kappa s)|^2.$$

Let A' be a fix large integer, and put

(2.19)
$$N_j := \left(A'(\log T)^5 \mathfrak{M}(4\sigma_j - 3/\kappa_1, 8T) \right)^{1/2(1/\kappa_1 - \sigma_j)}.$$

We divide $\Delta_{j,k}$ into two classes (W) and (Y) as follows.

• The case of $\sigma_i \leq (1-\varepsilon)/\kappa_1$.

 $\Delta_{j,k} \in (W)$ if $\Delta_{j,k}$ contains at least one zero of $\zeta(\kappa s)L(\kappa s,\chi)$, and $\Delta_{j,k} \in (Y)$ otherwise.

• The case of $(1 - \varepsilon)/\kappa_1 < \sigma_j \le (1 - \delta_T)/\kappa_1$.

We write

(2.20)
$$\Delta_{j,k} \in (W) \iff \exists s \in \Delta_{j,k} \text{ such that } |\zeta(\kappa s) L(\kappa s, \chi) M_{N_j}(s)| < \frac{1}{2}$$

and

(2.21)
$$\Delta_{j,k} \in (Y) \iff \left| \boldsymbol{\zeta}(\boldsymbol{\kappa}s) \boldsymbol{L}(\boldsymbol{\kappa}s, \boldsymbol{\chi}) M_{N_j}(s) \right| \geqslant \frac{1}{2} \text{ for all } s \in \Delta_{j,k}.$$

For each k, we define $j_k := \max\{j : \Delta_{j,k} \in (W)\}$ and put

$$(2.22) \mathscr{D}' := \bigcup_{0 \le k \le K_T} \bigcup_{0 \le j \le j_k} \Delta_{j,k} \quad \text{and} \quad \mathscr{D}_0 := \bigcup_{0 \le k \le K_T} \bigcup_{j_k < j \le J_T} \Delta_{j,k}.$$

Clearly \mathcal{D}_0 consists of $\Delta_{j,k}$ of class (Y) only.

The Hooley-Huxley-Motohashi contour $\mathscr{L}_T = \mathscr{L}_T(\varepsilon, \kappa, \chi)$ is symmetric about the real axe. Its supérieur part is the path in \mathscr{D}_0 consisting of horizontal and vertical line segments whose distances away from \mathscr{D}' are respectively d_h and d_v , where d_h and d_v are defined by

(2.23)
$$d_{\mathbf{v}} := \begin{cases} \varepsilon^2/\kappa_1 & \text{if } \sigma \leqslant (1-\varepsilon)/\kappa_1, \\ (\log T)^{-1}/\kappa_1 & \text{if } (1-\varepsilon)/\kappa_1 < \sigma < (1-\delta_T)/\kappa_1. \end{cases}$$

Clearly \mathcal{L}_T is symmetric about the real axis. The following figure shows its upper part [from the point $((1-\varepsilon)/\kappa_1, 0)$ to the point $((1-\delta_T)/\kappa_1, T)$].

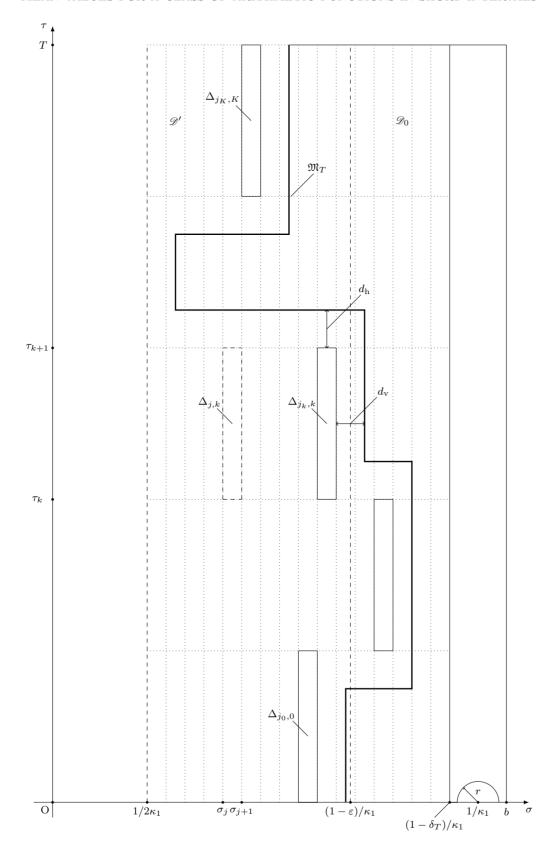


Figure 1 – Superieur part of the contour \mathcal{L}_T

3. Lower and upper bounds of $|\zeta(\kappa s)L(\kappa s,\chi)|$ on \mathscr{L}_T

The aim of this section is to prove the following proposition.

Proposition 3.1. Under the previous notation, we have

$$(3.1) T^{-544\sqrt{2\varepsilon}(1-\kappa_1\sigma)}(\log T)^{-4} \ll |\boldsymbol{\zeta}(\boldsymbol{\kappa}s)\boldsymbol{L}(\boldsymbol{\kappa}s,\boldsymbol{\chi})| \ll T^{136\sqrt{2\varepsilon}(1-\kappa_1\sigma)}(\log T)^4.$$

for all $s \in \mathcal{L}_T$, where the implied constants depend on $(\varepsilon, \kappa, \chi)$.

First we establish two preliminary lemmas.

Lemma 3.1. Under the previous notation, we have

(3.2)
$$e^{-(\log T)^{1-\varepsilon^2}} \ll |\boldsymbol{\zeta}(\boldsymbol{\kappa}s)\boldsymbol{L}(\boldsymbol{\kappa}s,\boldsymbol{\chi})| \ll e^{(\log T)^{1-\varepsilon^2}}$$

for $s \in \mathcal{L}_T$ with $\sigma \leqslant (1-\varepsilon)/\kappa_1$, or s with $(1-\varepsilon)/\kappa_1 < \sigma \leqslant \frac{1}{\kappa_1}(1-\varepsilon+\varepsilon^2)$ on the horizontal segments in \mathcal{L}_T that intersect the vertical line $\Re e s = (1-\varepsilon)/\kappa_1$. Here the implied constant depends only on ε .

Proof. Let $s = \sigma + i\tau$ satisfy the conditions in this lemma. Without loss of generality, we can suppose that $\tau \geqslant T_0(\varepsilon, \kappa, \chi)$. Let us consider the four circles \mathscr{C}_1 , \mathscr{C}_2 , \mathscr{C}_3 and \mathscr{C}_4 , all centered at $s_0 := \log_2 \tau + i\tau$, with radii

$$r_1 := \log_2 \tau - (1+\eta)/\kappa_1,$$

$$r_2 := \log_2 \tau - \sigma,$$

$$r_3 := \log_2 \tau - \sigma + \varepsilon^2/(2\kappa_1),$$

$$r_4 := \log_2 \tau - \sigma + \varepsilon^2/\kappa_1,$$

respectively. Here $\eta > 0$ is a parameter to be chosen later. We note that these four circles pass through the points $(1 + \eta)/\kappa_1 + i\tau$, $\sigma + i\tau$, $\sigma - \varepsilon^2/(2\kappa_1) + i\tau$ and $\sigma - \varepsilon^2/\kappa_1 + i\tau$, respectively.

Clearly $\zeta(\kappa s)L(\kappa s, \chi) \neq 0$ in a region containing the disc $|s - s_0| \leq r_4$. Thus we can unambiguously define $\log(\zeta(\kappa s)L(\kappa s, \chi))$ in this region. We fix a branch of the logarithm throughout the remaining discussion.

Let M_i denote the maximum of $|\log(\boldsymbol{\zeta}(\boldsymbol{\kappa}s)\boldsymbol{L}(\boldsymbol{\kappa}s,\boldsymbol{\chi}))|$ on \mathscr{C}_i relative to this branch. By Hadamard's three-circle theorem and the fact that $s = \sigma + i\tau$ is on \mathscr{C}_2 , we have

(3.3)
$$|\log(\boldsymbol{\zeta}(\boldsymbol{\kappa}s)\boldsymbol{L}(\boldsymbol{\kappa}s,\boldsymbol{\chi}))| \leqslant M_2 \leqslant M_1^{1-\phi}M_3^{\phi},$$

where

$$\phi = \frac{\log(r_2/r_1)}{\log(r_3/r_1)}$$

$$= \frac{\log(1 + (1 + \eta - \kappa_1 \sigma)/(\kappa_1 \log_2 \tau - 1 - \eta))}{\log(1 + (1 + \eta - \kappa_1 \sigma + \varepsilon^2/2)/(\kappa_1 \log_2 \tau - 1 - \eta))}$$

$$= \frac{1 + \eta - \kappa_1 \sigma}{1 + \eta - \kappa_1 \sigma + \varepsilon^2/2} + O\left(\frac{1}{\log_2 \tau}\right).$$

On taking $\eta = \kappa_1 \sigma - \frac{1}{2} \left(1 + \varepsilon^2 + \frac{\varepsilon^2}{1 + \varepsilon^2} \right)$ $(\eta \geqslant \frac{\varepsilon^4}{2(1 + \varepsilon^2)}, \text{ since } \sigma \geqslant \frac{1}{\kappa_1} (\frac{1}{2} + \varepsilon^2)), \text{ we have }$

(3.4)
$$\phi = 1 - \varepsilon^2 - \varepsilon^4 + O((\log_2 \tau)^{-1}).$$

On the circle \mathscr{C}_1 , we have

$$(3.5) M_1 \leqslant \max_{\Re e \, s \geqslant (1+\eta)/\kappa_1} \sum_{n=2}^{\infty} \left| \frac{\Lambda(n)}{n^{\kappa_1 s} \log n} \right| \leqslant \sum_{n=2}^{\infty} \frac{1}{n^{1+\eta}} \ll \frac{1}{\eta},$$

where $\Lambda(n)$ is the von Mangoldt function.

In order to bound M_3 , we shall apply the Borel-Carathéodory theorem to the function $\log(\boldsymbol{\zeta}(\boldsymbol{\kappa}s)\boldsymbol{L}(\boldsymbol{\kappa}s,\boldsymbol{\chi}))$ on the circles \mathscr{C}_3 , \mathscr{C}_4 . On the circle \mathscr{C}_4 , it is well known that

$$\Re e\left(\log(\boldsymbol{\zeta}(\boldsymbol{\kappa}s)\boldsymbol{L}(\boldsymbol{\kappa}s,\boldsymbol{\chi}))\right) = \log|\boldsymbol{\zeta}(\boldsymbol{\kappa}s)\boldsymbol{L}(\boldsymbol{\kappa}s,\boldsymbol{\chi})| \ll \log \tau$$

thanks to the convexity bounds of $\zeta(s)$ and of $L(s,\chi)$. Hence the Borel-Carathéodory theorem gives

$$(3.6) M_{3} \leqslant \frac{2r_{3}}{r_{4} - r_{3}} \max_{|s-s_{0}| \leqslant r_{4}} \log |\boldsymbol{\zeta}(\boldsymbol{\kappa}s)\boldsymbol{L}(\boldsymbol{\kappa}s, \boldsymbol{\chi})| + \frac{r_{4} + r_{3}}{r_{4} - r_{3}} |\log(\boldsymbol{\zeta}(\boldsymbol{\kappa}s_{0})\boldsymbol{L}(\boldsymbol{\kappa}s_{0}, \boldsymbol{\chi}))|$$

$$\ll \frac{2(\log_{2}\tau - \sigma + \varepsilon^{2}/(2\kappa_{1}))}{\varepsilon^{2}/(2\kappa_{1})} \log \tau + \frac{2(\log_{2}\tau - \sigma + \varepsilon^{2}/(2\kappa_{1}))}{\varepsilon^{2}/(2\kappa_{1})}$$

$$\ll (\log_{2}\tau) \log \tau.$$

From (3.3), (3.4), (3.5) and (3.6), we deduce that

$$|\log(\boldsymbol{\zeta}(\boldsymbol{\kappa}s)\boldsymbol{L}(\boldsymbol{\kappa}s,\boldsymbol{\chi}))| \ll (\eta^{-1})^{1-\phi}((\log_2\tau)\log\tau)^{\phi}$$

$$\ll_{\varepsilon} ((\log_2\tau)\log\tau)^{1-\varepsilon^2-\varepsilon^4}$$

$$\ll_{\varepsilon} (\log\tau)^{1-\varepsilon^2}.$$

This leads to the required estimates.

Lemma 3.2. Under the previous notation, we have

$$(3.7) T^{-544r(1-\kappa_1\sigma_j)^{3/2}}(\log T)^{-c_0r} \ll |\zeta(\kappa s)L(\kappa s, \chi)| \ll T^{136r(1-\kappa_1\sigma_j)^{3/2}}(\log T)^{c_0r}$$

for $s \in \mathcal{L}_T$ with $(1 - \varepsilon)/\kappa_1 < \sigma_j \leq \sigma < \sigma_{j+1}$. Here the implied constants depend on (κ, χ) . In particular we have

$$(3.8) T^{-544r\sqrt{2\varepsilon}(1-\kappa_1\sigma_j)}(\log T)^{-c_0r} \ll |\boldsymbol{\zeta}(\boldsymbol{\kappa}s)\boldsymbol{L}(\boldsymbol{\kappa}s,\boldsymbol{\chi})| \ll T^{136r\sqrt{2\varepsilon}(1-\kappa_1\sigma_j)}(\log T)^{c_0r}$$

for $s \in \mathcal{L}_T$ with $(1 - \varepsilon)/\kappa_1 < \sigma_j \leqslant \sigma < \sigma_{j+1}$. All the implied constants are absolute.

Proof. By (2.11) with w = 1 and Lemma 2.1, we have

(3.9)
$$|\boldsymbol{\zeta}(\boldsymbol{\kappa}s)\boldsymbol{L}(\boldsymbol{\kappa}s,\boldsymbol{\chi})| \ll \tau^{100r(1-\kappa_1\sigma)^{3/2}}(\log \tau)^{c_0r} \qquad (\frac{1}{2\kappa_1} \leqslant \sigma \leqslant \frac{1}{\kappa_1}, \, \tau \geqslant 2).$$

This immediately implies the second inequality in (3.7).

Next we consider the first inequality in (3.7). Let $s \in \mathcal{L}_T$ with $(1-\varepsilon)/\kappa_1 < \sigma_j \leqslant \sigma < \sigma_{j+1}$. Since $1/\kappa_1 \geqslant 4\sigma_j - 3/\kappa_1 \geqslant (1-4\varepsilon)/\kappa_1 \leqslant 1/2\kappa_1$, the inequality (2.11) with w = 1 allows us to derive that

(3.10)
$$N_j^{2(1/\kappa_1 - \sigma_j)} = A'(\log T)^5 \max_{\substack{\sigma \geqslant 4\sigma_j - 3/\kappa_1 \\ 1 \leqslant |\tau| \leqslant 8T}} |\boldsymbol{\zeta}(\boldsymbol{\kappa}s)|^2 \\ \ll T^{200\sqrt{2}r(1-\kappa_1\sigma_j)^{3/2}} (\log T)^{c_0 r}.$$

According to the definition of \mathscr{L}_T , there is an integer k such that $s \in \Delta_{j,k}$ and this $\Delta_{j,k}$ must be in (Y) and (2.21) holds for all s of this $\Delta_{j,k}$. On the other hand, (2.5) and (3.10) imply that for $\sigma_j \leq \sigma < \sigma_{j+1}$,

$$|M_{N_j}(s)| \leqslant \sum_{n \leqslant N_j} \tau_{\kappa,\kappa}(n) n^{-\sigma_j} \ll (1 - \kappa_1 \sigma_j)^{-2} N_j^{1/\kappa_1 - \sigma_j}$$
$$\ll T^{544(1 - \kappa_1 \sigma_j)^{3/2}} (\log T)^{c_0 r}.$$

Combining this with (2.21) immediately yields

$$|\zeta(\kappa s)L(\kappa s,\chi)| \geqslant (2|M_{N_i}(s)|)^{-1} \gg T^{-544(1-\kappa_1\sigma_j)^{3/2}}(\log T)^{-c_0r}$$

for $s \in \mathcal{L}_T$ with $(1 - \varepsilon)/\kappa_1 < \sigma_i \leqslant \sigma < \sigma_{i+1}$.

Finally we note (3.8) is a simple consequence of (3.7) since $(1 - \varepsilon)/\kappa_1 < \sigma_j$ implies that $(1 - \kappa_1 \sigma_j)^{1/2} \leq \sqrt{\varepsilon}$.

Now we are ready to prove Proposition 3.1.

Proof. When $s \in \mathcal{L}_T$ with $|\tau| \leq 1$, the estimations (3.1) are trivial.

Next suppose that $s \in \mathcal{L}_T$ with $|\tau| > 1$. Then there is a j such that $\sigma_j \leq \sigma < \sigma_{j+1}$. We consider the three possibilities.

- The case of $(1 \varepsilon)/\kappa_1 < \sigma_j$. The inequality (3.1) follows immediately from (3.8) of Lemma 3.2.
- The case of $\sigma_j \leqslant \sigma \leqslant (1 \varepsilon)/\kappa_1$.

In this case, the first part of Lemma 3.1 shows that (3.1) holds again since $\sqrt{\varepsilon}(1-\kappa_j\sigma) \ge \varepsilon^{3/2} \ge (\log T)^{-\varepsilon^2}$ for $T \ge T_0(\varepsilon, \kappa, \chi)$.

• The case of $\sigma_j \leqslant (1 - \varepsilon)/\kappa_1 < \sigma$.

In this case, s must be on the horizontal segment in \mathcal{L}_T , because the vertical segment keeps the distance ε^2 from the line $\Re e \, s = \sigma_j$ and $\sigma_j < \sigma < \sigma_{j+1}$. Thus we can apply the second part of Lemma 3.1 to get (3.1) as before.

4. Montgomery's method

4.1. Montgomery's mean value theorem.

Let $\tau_{\kappa,\kappa}(n)$ and $\mathfrak{M}(\varsigma,T)$ be defined as in (2.6) and (2.18), respectively. The following proposition is a variant of [12, Theorem 8.4] for our purpose, which will play a key role in the proof of Proposition 5.1 below.

Proposition 4.1. Let $\sigma_0 > 0$, $\varrho > 0$ and $T \geqslant 2$ three real numbers. Let $r \in \mathbb{N}$ and κ be as before. Let $S = S_T(\sigma_0, \varrho)$ be a finite set of complex numbers $s = \sigma + i\tau$ such that

$$(4.1) \sigma \geqslant \sigma_0, 1 \leqslant |\tau| \leqslant T$$

for all $s \in S$ and

$$(4.2) |\tau - \tau'| \geqslant \varrho$$

for any distinct points $s = \sigma + i\tau$ and $s' = \sigma' + i\tau'$ in S. For any sequence of complex numbers $\{a_n\}$ verifying

$$(4.3) a_n \neq 0 \Rightarrow \tau_{\kappa,\kappa}(n) \geqslant 1,$$

real numbers $\theta \in (1/\kappa_2, 1/\kappa_1)$ and $N \geqslant 1$, we have

(4.4)
$$\sum_{s \in \mathbb{S}} \left| \sum_{n \leq N} a_n n^{-s} \right|^2$$

$$\ll \left\{ (1 + \varrho^{-1}) N^{1/\kappa_1} + \left(\mathfrak{M}(\theta, 4T) + \frac{1}{(1 - \kappa_1 \theta)(\kappa_2 \theta - 1)} \right) N^{\theta} |\mathbb{S}| \right\} \sum_{n \leq N} \frac{|a_n|^2}{n^{2\sigma_0}},$$

where the implied constant depends on κ only.

First we prove two preliminary lemmas. The first one is due to Bombieri (see also [12, Lemma 5.1]). For the convenience of reader, we give a direct proof.

Lemma 4.1. Let S be a finite set of complex numbers s. For any $\{a_n\}_{1\leqslant n\leqslant N}\subset \mathbb{C}$, we have

$$(4.5) \qquad \sum_{s \in \mathbb{S}} \left| \sum_{n \leq N} a_n n^{-s} \right|^2 \leqslant \left(\sum_{n \leq N} |a_n|^2 b_n^{-1} \right) \max_{s \in \mathbb{S}} \sum_{s' \in \mathbb{S}} |B(\overline{s} + s')|$$

where

$$B(s) := \sum_{n \geqslant 1} b_n n^{-s}$$

is absolutely convergent at the points $\overline{s} + s'$, and the b_n are non-negative real numbers for which $b_n > 0$ whenever $a_n \neq 0$. Here the implied constant is absolute.

Proof. According our hypothesis on a_n and b_n , we can write $a_n = a_n b_n^{-1/2} b_n^{1/2}$ for all $n \leq N$. Introducing the notation

$$A(s) := \sum_{n \le N} a_n n^{-s},$$

then we can write

$$\sum_{s \in \mathbb{S}} |A(s)|^2 = \sum_{s \in \mathbb{S}} \sum_{n \leqslant N} a_n b_n^{-1/2} b_n^{1/2} n^{-s} \sum_{m \leqslant N} \overline{a_m} m^{-\overline{s}}$$
$$= \sum_{n \leqslant N} (a_n b_n^{-1/2}) \left(b_n^{1/2} \sum_{s \in \mathbb{S}} n^{-s} \sum_{m \leqslant N} \overline{a_m} m^{-\overline{s}} \right).$$

By the Cauchy inequality, it follows that

$$\left(\sum_{s \in \mathcal{S}} |A(s)|^2\right)^2 \leqslant \left(\sum_{n \leqslant N} |a_n|^2 b_n^{-1}\right) \Upsilon,$$

where

$$\Upsilon := \sum_{n \le N} b_n \Big| \sum_{s \in \mathbb{S}} n^{-s} \sum_{m \le N} \overline{a_m} m^{-\overline{s}} \Big|^2.$$

Since B(s) is absolutely convergent at the points $\overline{s} + s'$, we can deduce

$$\Upsilon \leqslant \sum_{n\geqslant 1} b_n \left| \sum_{s\in\mathbb{S}} n^{-s} \sum_{m\leqslant N} \overline{a_m} m^{-\overline{s}} \right|^2 \\
= \sum_{n\geqslant 1} b_n \sum_{s'\in\mathbb{S}} n^{-s'} \sum_{d\leqslant N} \overline{a_d} d^{-\overline{s'}} \sum_{s\in\mathbb{S}} n^{-\overline{s}} \sum_{m\leqslant N} a_m m^{-s} \\
= \sum_{s\in\mathbb{S}} \sum_{s'\in\mathbb{S}} \sum_{d\leqslant N} \overline{a_d} d^{-\overline{s'}} \sum_{m\leqslant N} a_m m^{-s} B(\overline{s} + s').$$

On the other hand, the trivial inequality $|ab| \leq \frac{1}{2}(|a|^2 + |b|^2)$ allows us to write

$$\left| \sum_{d \le N} \overline{a_d} d^{-\overline{s'}} \sum_{m \le N} a_m m^{-s} \right| \le \frac{1}{2} \left(|A(s)|^2 + |A(s')|^2 \right).$$

Thus

$$\Upsilon \leqslant \sum_{s \in \mathbb{S}} \sum_{s' \in \mathbb{S}} \frac{1}{2} \Big(|A(s)|^2 + |A(s')|^2 \Big) |B(\overline{s} + s')|$$

$$\leqslant \frac{1}{2} \sum_{s \in \mathbb{S}} \sum_{s' \in \mathbb{S}} |A(s)|^2 |B(\overline{s} + s')| + \frac{1}{2} \sum_{s \in \mathbb{S}} \sum_{s' \in \mathbb{S}} |A(s')|^2 |B(\overline{s} + s')|$$

$$\leqslant \frac{1}{2} \sum_{s \in \mathbb{S}} |A(s)|^2 \max_{s \in \mathbb{S}} \sum_{s' \in \mathbb{S}} |B(\overline{s} + s')| + \frac{1}{2} \sum_{s' \in \mathbb{S}} |A(s')|^2 \max_{s' \in \mathbb{S}} \sum_{s \in \mathbb{S}} |B(\overline{s} + s')|.$$

Noticing that

$$|B(\overline{s}+s')| = |\overline{B(\overline{s}+s')}| = |B(\overline{s}+s')| = |B(s+\overline{s'})|,$$

the precede inequality becomes

$$\Upsilon \leqslant \sum_{s \in \mathbb{S}} |A(s)|^2 \max_{s \in \mathbb{S}} \sum_{s' \in \mathbb{S}} |B(\overline{s} + s')|.$$

Inserting it into (4.6), we get the required result.

The next lemma is an analogue of [12, page 157, Theorem II.2].

Lemma 4.2. Let r, κ , $\tau_{\kappa,\kappa}(n)$ and $\mathfrak{M}(\theta,T)$ be as before. For any $s = \sigma + i\tau$ with $\sigma \geqslant 0$ and $|\tau| \geqslant 1$, real numbers $\theta \in (1/\kappa_2, 1/\kappa_1)$ and $N \geqslant 1$, we have

(4.7)
$$\left| \sum_{n \geqslant 1} \frac{\tau_{\kappa,\kappa}(n)}{n^s} \left(e^{-n/(2N)} - e^{-n/N} \right) \right| \\ \ll N^{1/\kappa_1} e^{-|\tau|} + \left\{ \mathfrak{M}(\theta, 2|\tau|) + (1 - \kappa_1 \theta)^{-1} (\kappa_2 \theta - 1)^{-1} \right\} N^{\theta},$$

where the implied constant depends on κ only.

Proof. Denote by $\mathscr{S}_N(s) = \mathscr{S}_N(s; \boldsymbol{\kappa}, \boldsymbol{\chi})$ the series on the left-hand side of (4.7). By the Perron formula [21, page 151, Lemma], we can write

(4.8)
$$\mathscr{S}_N(s) = \frac{1}{2\pi i} \int_{2/\kappa_1 - i\infty}^{2/\kappa_1 + i\infty} \boldsymbol{\zeta}(\boldsymbol{\kappa}(w+s))^2 \Gamma(w) ((2N)^w - N^w) dw,$$

where $\zeta(\kappa s)$ is defined as in (2.1) above. We take the contour to the line $\Re e \, w = \theta - \sigma$ with $1/\kappa_2 - \sigma < \theta - \sigma < 1/\kappa_1 - \sigma$, and in doing so we pass a simple pole at $w = 1/\kappa_1 - s$. Put

$$G(w) := \prod_{2 \le j \le r} \zeta(\kappa_j(w+s))^2 \Gamma(w) ((2N)^w - N^w).$$

The residue of the integrand at this pole is, in view of the hypothesis $\sigma \geq 0$.

$$\operatorname{Res}_{w=1/\kappa_1-s} \boldsymbol{\zeta}(\boldsymbol{\kappa}(w+s))^2 \Gamma(w) ((2N)^w - N^w) = (\gamma G(1/\kappa_1 - s) + G'(1/\kappa_1 - s)/\kappa_1)/\kappa_1$$

$$\ll N^{1/\kappa_1} e^{-|\tau|},$$

where we have used the Stirling formula [20, page 151]:

$$(4.9) \qquad |\Gamma(s)| = \sqrt{2\pi} \,\mathrm{e}^{-(\pi/2)|\tau|} |\tau|^{\sigma - 1/2} \left\{ 1 + O\left(\frac{|\tan(\frac{\arg s}{2})|}{|\tau|} + \frac{|a|^2 + |b|^2}{|\tau|^2} + \frac{|a|^3 + |b|^3}{|\tau|^3}\right) \right\}$$

valable uniformly for $a, b \in \mathbb{R}$ with $a < b, a \leq \sigma \leq b$ and $|\tau| \geq 1$, where the implied O-constant is absolute.

On the other hand, by using the Stirling formula again it is easy to see that for $\Re e \, w = \theta - \sigma$ and $|\Im m \, w| \leq |\tau|$, the integrand in (4.8) is

$$\ll \{\mathfrak{M}(\theta, 2|\tau|) + (1 - \kappa_1 \theta)^{-1} (\kappa_2 \theta - 1)^{-1} \} N^{\theta - \sigma} e^{-|\Im m w|}$$

while otherwise the Stirling formula and the convexity bounds of ζ -function imply that

$$\ll N^{\theta-\sigma} e^{-|\Im m w|} \ll (\mathfrak{M}(\theta, 2|\tau|) + (1 - \kappa_1 \theta)^{-1} (\kappa_2 \theta - 1)^{-1}) N^{\theta-\sigma} e^{-|\Im m w|}.$$

The required result follows from this.

Now we are ready to prove Proposition 4.1.

Proof. We shall apply Lemma 4.1 with the choice of

$$b_n := \tau_{\kappa,\kappa}(n) n^{2\sigma_0} \left(e^{-n/(2N)} - e^{-n/N} \right).$$

In view of the simple fact that $e^{-n/(2N)} - e^{-n/N} \approx 1$ for $N \leq n \leq 2N$ and the hypothesis (4.3), we have

$$(4.10) \qquad \sum_{s \in \mathbb{S}} \left| \sum_{N \leq n \leq 2N} a_n n^{-s} \right|^2 \ll \left(\sum_{N \leq n \leq 2N} |a_n|^2 n^{-2\sigma_0} \right) \max_{s \in \mathbb{S}} \sum_{s' \in \mathbb{S}} |B(\overline{s} + s')|,$$

where

$$B(s) := \sum_{n \ge 1} \frac{\tau_{\kappa,\kappa}(n)}{n^{s-2\sigma_0}} \left(e^{-n/(2N)} - e^{-n/N} \right).$$

In view of (4.1) and (4.2), we can apply Lemma 4.2 to deduce

$$|B(\overline{s} + s')| = \left| \sum_{n \geqslant 1} \frac{\tau_{\kappa,\kappa}(n)}{n^{\sigma + \sigma' - 2\sigma_0 + i(\tau' - \tau)}} \left(e^{-n/(2N)} - e^{-n/N} \right) \right|$$

$$\ll N^{1/\kappa_1} e^{-|\tau' - \tau|} + \left\{ \mathfrak{M}(\theta, 2|\tau' - \tau|) + (1 - \kappa_1 \theta)^{-1} (\kappa_2 \theta - 1)^{-1} \right\} N^{\theta}$$

for any distinct points $s = \sigma + i\tau \in S$ and $s' = \sigma' + i\tau' \in S$. By (4.2), the contribution of the term $N^{1/\kappa_1}e^{|\tau'-\tau|}$ to the sum $\sum_{\substack{s' \in S \\ s' \neq s}} |B(\overline{s}+s')|$ is

$$\ll N^{1/\kappa_1} \sum_{\substack{s' \in \mathbb{S} \\ |\tau - \tau'| \geqslant \varrho}} e^{-|\tau - \tau'|} \ll N^{1/\kappa_1} \sum_{n \geqslant 0} e^{-n} \sum_{\substack{s' \in \mathbb{S} \\ n < |\tau - \tau'| \leqslant n+1}} 1 \ll (1 + \varrho^{-1}) N^{1/\kappa_1}.$$

Thus

(4.11)
$$\sum_{s' \in \mathcal{S}, s' \neq s} |B(\overline{s} + s')| \\
\ll (1 + \varrho^{-1}) N^{1/\kappa_1} + \{\mathfrak{M}(\theta, 2|\tau' - \tau|) + (1 - \kappa_1 \theta)^{-1} (\kappa_2 \theta - 1)^{-1}\} N^{\theta} |\mathcal{S}|.$$

When s = s', we have

$$(4.12) B(\overline{s} + s') = B(2\sigma) \leqslant \sum_{n \ge 1} \tau_{\kappa,\kappa}(n) \left(e^{-n/(2N)} - e^{-n/N} \right) \ll N^{1/\kappa_1}.$$

Now the required result follows from (4.10), (4.11) and (4.12).

5. Density estimation of small value points

In [12], Montgomery developed a new method for studying zero-densities of the Riemann ζ -function and of the Dirichlet L-functions. Subsequently by modifying this method, Huxley [9] established his zero-density estimation (1.8). In [13], Motohashi noted that Montgomery's method can be adapted to estimate the density of "small value points" of $\zeta(s)$ (see [3, Section 2.3] for a detail description). Here we shall adapt this method to prove the following proposition.

Proposition 5.1. Under the previous notation, for $j = 0, 1, ..., J_T$ we have

(5.1)
$$\left|\left\{k \leqslant K_T : \Delta_{j,k} \in (W)\right\}\right| \ll T^{\psi(1-\kappa_1\sigma_j)} (\log T)^{\eta}$$

if $\kappa_1 \sigma_i \leq 1 - \varepsilon$, and

(5.2)
$$\left| \left\{ k \leqslant K_T : \Delta_{j,k} \in (W) \right\} \right| \ll T^{500r(1-\kappa_1 \sigma_j)^{3/2}} (\log T)^{10r}$$

if $1 - \varepsilon \leqslant \kappa_1 \sigma_j \leqslant 1 - \delta_T$. Here the implied constants depend on κ and ε only.

Proof. When $\kappa_1 \sigma_j \leq 1 - \varepsilon$, the number of $\Delta_{j,k}$ of type (W) does not exceed the number of non-trivial zeros of $\boldsymbol{\zeta}(\boldsymbol{\kappa}s)\boldsymbol{L}(\boldsymbol{\kappa}s,\boldsymbol{\chi})$. Thus

$$|\{k \leqslant K_T : \Delta_{j,k} \in (W)\}| \leqslant \sum_{1 \leqslant i \leqslant r} (N(\kappa_i \sigma_j, 2T) + N_{\chi_i}(\kappa_i \sigma_j, 3T)).$$

Now the required bound follows from (1.7).

Next we suppose $1 - \varepsilon \leqslant \kappa_1 \sigma_j \leqslant 1 - \delta_T$.

Let $\mathcal{K}_j(T)$ be a subset of the set $\{\log T \leq k \leq K_T : \Delta_{j,k} \in (W)\}$ such that the difference of two distinct integers of $\mathcal{K}_j(T)$ is at least 3A', where A' is the large integer specified in (2.17). Obviously

$$|\{(\log T)^2 \leqslant k \leqslant K_T : \Delta_{j,k} \in (W)\}| \leqslant 3A'|\mathcal{K}_j(T)|.$$

Therefore it suffices to show that

(5.3)
$$|\mathcal{K}_{i}(T)| \ll_{\varepsilon} T^{170(1-\kappa_{1}\sigma_{j})^{3/2}} (\log T)^{13}$$

for $T \geqslant T_0(\varepsilon, \kappa, \chi)$.

Let $M_x(s)$ be defined as in (2.17) and write

(5.4)
$$\Phi_x(s) := \zeta(\kappa s) L(\kappa s, \chi) M_x(s).$$

Let $\phi_x(n)$ be the nth coefficient of the Dirichlet series $\Phi_x(s)$, then

(5.5)
$$\phi_x(n) = \sum_{d|n, d \leqslant x} \tau_{\kappa}^{\langle -1 \rangle}(d; \boldsymbol{\chi}) \tau_{\kappa}(n/d; \boldsymbol{\chi}).$$

By the Perron formula [21, Lemma, page 151], we can write

$$\sum_{n\geq 1} \frac{\phi_x(n)}{n^s} e^{-n/y} = \frac{1}{2\pi i} \int_{2/\kappa_1 - i\infty}^{2/\kappa_1 + i\infty} \Phi_x(w+s) \Gamma(w) y^w dw$$

for $y > x \geqslant 3$ and $s = \sigma + i\tau \in \mathbb{C}$ with $\sigma_j \leqslant \sigma < \sigma_{j+1}$. We take the contour to the line $\Re e \, w = \alpha_j - \sigma < 0$ with $\alpha_j := 4\sigma_j - 3/\kappa_1 < \sigma_j < 1/\kappa_1$, and in doing so we pass two simple poles at w = 0 and $w = 1/\kappa_1 - s$. Our equation becomes

$$\sum_{n>1} \frac{\phi_x(n)}{n^s} e^{-n/y} = \Phi_x(s) + \Psi_{x,y}(s) + I(s; x, y),$$

where

$$\Psi_{x,y}(s) := \prod_{2 \leqslant j \leqslant r} \zeta(\kappa_j/\kappa_1) \boldsymbol{L}(\boldsymbol{\kappa}/\kappa_1, \boldsymbol{\chi}) M_x(1/\kappa_1) \Gamma(1/\kappa_1 - s) y^{1/\kappa_1 - s},$$

$$I(s; x, y) := \frac{1}{2\pi} \int_{-\infty}^{+\infty} \Phi_x(\alpha_j + i\tau + iu) \Gamma(\alpha_j - \sigma + iu) y^{\alpha_j - \sigma + iu} du.$$

In view of (5.5) and (2.5), we have

(5.6)
$$\phi_x(n) = \begin{cases} 1 & \text{if } n = 1, \\ 0 & \text{if } 1 < n \leqslant x, \end{cases}$$

and

$$|\phi_x(n)| \leqslant (\tau_{\kappa,\kappa} * \tau_{\kappa,\kappa})(n) \quad (n > x).$$

where $\tau_{\kappa,\kappa}(n)$ is defined as in (2.6) above. It is easy to see that

$$\sum_{n \leqslant t} (\tau_{\kappa,\kappa} * \tau_{\kappa,\kappa})(n) \ll t^{1/\kappa_1} (\log t)^3.$$

By a simple partial integration, we can deduce that

$$\left| \sum_{n>y^2} \frac{\phi_x(n)}{n^s} e^{-n/y} \right| \leqslant \int_{y^2}^{\infty} t^{-\sigma} e^{-t/y} d\left(\sum_{n \leqslant t} (\tau_{\kappa,\kappa} * \tau_{\kappa,\kappa})(n) \right)$$

$$\ll e^{-y} y^{1-2\sigma} (\log y)^3 + y^{-1} \int_{y^2}^{\infty} e^{-t/y} t^{1/\kappa_1 - \sigma} (\log t)^3 dt$$

$$\ll e^{-y/2}$$

for $\sigma \geqslant 1/(2\kappa_1)$. Inserting it into the precedent relation, we find that

(5.8)
$$e^{-1/y} + \sum_{x < n \le y^2} \frac{\phi_x(n)}{n^s} e^{-n/y} + O(e^{-y/2}) = \Phi_x(s) + \Psi_{x,y}(s) + I(s; x, y)$$

for $s \in \mathbb{C}$ with $\sigma_i \leqslant \sigma < \sigma_{i+1}$ and $y > x \geqslant 3$.

If $k \in \mathcal{K}_i(T)$, then there is at least a $s_k := v_k + \mathrm{i} t_k \in \Delta_{i,k}$ such that

(5.9)
$$|\Phi_{N_j}(s_k)| = |\boldsymbol{\zeta}(\boldsymbol{\kappa}s_k)\boldsymbol{L}(\boldsymbol{\kappa}s_k;\boldsymbol{\chi})M_{N_j}(s_k)| \leqslant \frac{1}{2}.$$

By the definition of $\mathcal{K}_i(T)$, we have

$$\sigma_j \leqslant v_k \leqslant \sigma_{j+1}$$
, $(\log T)^2 \leqslant t_k \leqslant T$ and $|t_{k_1} - t_{k_2}| \geqslant 3A' \log T$ $(k_1 \neq k_2)$.

Since $|t_k| \ge (\log T)^2$, the Stirling formula (4.9) allows us to deduce

$$|\Psi_{x,y}(s_k)| = \left| \prod_{2 \leqslant j \leqslant r} \zeta(\kappa_j/\kappa_1) \boldsymbol{L}(\boldsymbol{\kappa}/\kappa_1, \boldsymbol{\chi}) M_x(1/\kappa_1) \Gamma(1/\kappa_1 - s_k) y^{1/\kappa_1 - s_k} \right|$$

$$\ll (\log x) y^{1/2 - v_k} e^{-(\pi/2)|t_k|} |t_k|^{1/2 - v_k}$$

$$\leqslant \frac{1}{10}$$

for all $3 \leqslant x \leqslant y \leqslant T^{100}$.

Similarly, using the estimates

$$\zeta(\kappa(\alpha_j + it_k + iu)) \ll (T + |u|)^r,
L(\kappa(\alpha_j + it_k + iu), \chi) \ll (T + |u|)^r,
M_x(\alpha_j + it_k + iu) \ll x^{1/\kappa_1 - \alpha_j} \log x \ll T^{100}$$

and the Stirling formula (4.9), we derive that

(5.11)
$$\int_{|u| \ge A' \log T} \left| \Phi_x(\alpha_j + it_k + iu) \Gamma(\alpha_j - v_k + iu) \right| y^{\alpha_j - v_k} du \leqslant \frac{1}{10}$$

for all $3 \leqslant x \leqslant y \leqslant T^{100}$.

Taking $(s, x) = (s_k, N_j)$ in (5.8) and combining with (5.9), (5.10) and (5.11), we easily see that

(5.12)
$$\left| \sum_{N_{k} < n \le n^{2}} \frac{\phi_{N_{j}}(n)}{n^{s_{k}}} e^{-n/y} \right| \geqslant \frac{1}{6}$$

or

(5.13)
$$\left| \int_{-A' \log T}^{A' \log T} \Phi_{N_j}(\alpha_j + it_k + iu) \Gamma(\alpha_j - v_k + iu) y^{\alpha - v_k + iu} du \right| \geqslant \frac{1}{6}$$

or both.

Let $\mathcal{K}'_j(T)$ and $\mathcal{K}''_j(T)$ be the subsets of $\mathcal{K}_j(T)$ for which (5.12) and (5.13) hold respectively. Then

$$|\mathcal{K}_i(T)| \leq |\mathcal{K}_i'(T)| + |\mathcal{K}_i''(T)|.$$

First we bound $|\mathcal{K}'_{j}(T)|$. By a dyadic argument, there is a $U \in [N_{j}, y^{2}]$ such that

(5.15)
$$\left| \sum_{U < n \le 2U} \frac{\phi_{N_j}(n)}{n^{s_k}} e^{-n/y} \right| \geqslant \frac{1}{18 \log y}$$

holds for $\gg |\mathcal{K}'_j(T)|(\log y)^{-1}$ integers $k \in \mathcal{K}'_j(T)$. Let \mathcal{S}' be the set of corresponding points s_k . With the help of (5.6), it is easy to see that $\phi_{N_j}(n) \neq 0 \Rightarrow \tau_{\kappa,\kappa}(n) \geqslant 1$. Thus we can apply Proposition 4.1 with $a_n = \phi_{N_j}(n)$ and $\theta = \alpha_j := 4\sigma_j - 3/\kappa_1$. In view of the bound

$$\sum_{U < n \leqslant 2U} \frac{(\tau_{\kappa,\kappa} * \tau_{\kappa,\kappa})(n)^2}{n^{2\sigma_j}} e^{-2n/y} \ll e^{-2U/y} \int_U^{2U} t^{-2\sigma_j} d\left(\sum_{U < n \leqslant t} (\tau_{\kappa,\kappa} * \tau_{\kappa,\kappa})(n)^2\right)$$
$$\ll U^{1/\kappa_1 - 2\sigma_j} (\log T)^3 e^{-2U/y},$$

it follows that

(5.16)
$$\sum_{s_k \in \mathcal{S}'} \left| \sum_{U < n \leq 2U} \frac{\phi_{N_j}(n)}{n^{s_k}} e^{-n/y} \right|^2 \\ \ll \left(U^{2(1/\kappa_1 - \sigma_j)} + |\mathcal{S}'| U^{-2(1/\kappa_1 - \sigma_j)} \mathfrak{M}(\alpha_j, 4T) \right) e^{-2U/y} (\log T)^3.$$

Since $U \geqslant N_i$, we have

$$U^{-2(1/\kappa_1 - \sigma_j)}(\log T)^3 \mathfrak{M}(\alpha_j, 4T) \leqslant A'^{-1}(\log T)^{-2}.$$

On the other hand, the inequality (5.15) implies that the member on the left-hand side of (5.16) is

$$\geqslant |\mathcal{S}'|(18\log y)^{-2} \geqslant |\mathcal{S}'|(1800\log T)^{-2}.$$

Since A' is a fixed large integer, the last term on the right-hand side of (5.16) is smaller than this lower bound. Thus it can be simplified as

$$|S'|(\log T)^{-2} \ll U^{2(1/\kappa_1 - \sigma_j)}(\log T)^3 e^{-2U/y}$$

for all $N_i \leqslant y \leqslant T^{100}$ and some $U \in [N_i, y^2]$. Noticing that

$$|\mathcal{S}'| \gg |\mathcal{K}'_j(T)|(\log T)^{-1},$$

we obtain

(5.17)
$$|\mathcal{K}'_j(T)| \ll y^{2(1/\kappa_1 - \sigma_j)} (\log T)^6 \quad \text{(for all } N_j \leqslant y \leqslant T^{100})$$

$$\ll N_j^{(10/3)(1/\kappa_1 - \sigma_j)} (\log T)^{7/3} \quad \text{(for } y \text{ given by } (5.19)).$$

Next we bound $|\mathcal{K}''_i(T)|$. Let $u_k \in [-A' \log T, A' \log T]$ such that

$$\Phi_{N_J}(s_k') = \max_{|u| \leqslant A \log T} |\Phi_{N_J}(\alpha_j + i(t_k + u))|$$

where $s'_k := \alpha_j + it'_k$ and $t'_k := t_k + u_k$. Thus from (5.13) we deduce that

$$\frac{1}{6} \leqslant \left| \int_{-A' \log T}^{A' \log T} \Phi_{N_j}(\alpha_j + i(t_k + u)) \Gamma(\alpha_j - v_k + iu) y^{\alpha_j - v_k + iu} du \right|
\leqslant y^{\alpha_j - v_k} \left| \Phi_{N_j}(s_k') \right| \int_{-A' \log T}^{A' \log T} \left| \Gamma(\alpha_j - v_k + iu) \right| du.$$

Since $\Gamma(s)$ has a simple pole at s = 0 and $|\alpha_j - v_k| \gg (\log T)^{-1}$, we can derive, via (4.9), that

$$\int_{-A'\log T}^{A'\log T} \left| \Gamma(\alpha_j - v_k + iu) \right| du \ll \log T$$

and thus

$$1 \ll y^{\alpha_j - \sigma_j} |M_{N_i}(s_k')| \mathfrak{M}(\alpha_j, 8T) \log T,$$

or equivalently

$$|M_{N_i}(s_k')| \gg y^{\sigma_j - \alpha} (\mathfrak{M}(\alpha_j, 8T) \log T)^{-1}.$$

Hence there is a $V \in [1, N_i]$ such that

$$\left| \sum_{V < n \le 2V} \tau_{\kappa}^{\langle -1 \rangle}(n; \boldsymbol{\chi}) n^{-s'_{k}} \right| \gg y^{\sigma_{j} - \alpha} \mathfrak{M}(\alpha_{j}, 8T)^{-1} (\log T)^{-2}$$

holds for $\gg |\mathcal{K}_j''(T)|(\log T)^{-1}$ integers $k \in \mathcal{K}_j''(T)$. Let \mathcal{S}'' be the corresponding set of points s_k' . We note $|t_k'| \leq 2T$ and

$$|t'_{k_1} - t'_{k_2}| \ge |t_{k_1} - t_{k_2}| - |u_{k_1} - u_{k_2}| \ge A' \log T.$$

Using Proposition 4.1 with $\theta = \alpha_j := 4\sigma_j - 3/\kappa_1$ and $a_n = \tau_{\kappa}^{\langle -1 \rangle}(n; \boldsymbol{\chi})$ and in view of the bound

$$\sum_{V < n \leqslant 2V} \tau_{\kappa,\kappa}(n)^2 n^{-2\alpha_j} \ll V^{1/\kappa_1 - 2\alpha_j} (\log V)^3 \ll V^{7/\kappa_1 - 8\sigma_j} (\log V)^3,$$

it follows that

$$(5.18) \sum_{s_k' \in \mathcal{S}''} \left| \sum_{V < n \leqslant 2V} \tau_{\kappa}^{\langle -1 \rangle}(n; \boldsymbol{\chi}) n^{-s_k'} \right|^2 \ll \left(V^{8(1/\kappa_1 - \sigma_j)} + |\mathcal{S}''| \mathfrak{M}(\alpha_j, 8T) V^{4(1/\kappa_1 - \sigma_j)} \right) (\log V)^3.$$

Take y such that

(5.19)
$$y^{2(\sigma_j - \alpha_j)} = A'^3 N_j^{4(1/\kappa_1 - \sigma_j)} \mathfrak{M}(\alpha_j, 8T)^3 (\log T)^4$$
$$= N_j^{10(1/\kappa_1 - \sigma_j)} (\log T)^{-11}.$$

The left-hand side of (5.18) is

$$\geqslant |S''|y^{2(\sigma_j-\alpha_j)}\mathfrak{M}(\alpha_j, 8T)^{-2}(\log T)^{-4}.$$

Hence the inequality (5.18) can be simplified as

$$|S''|y^{2(\sigma_j-\alpha_j)}\mathfrak{M}(\alpha_j, 8T)^{-2}(\log T)^{-4} \ll N_i^{8(1/\kappa_1-\sigma_j)}.$$

With

$$|\mathcal{S}''| \gg |\mathcal{K}_i''(T)|(\log T)^{-1},$$

we deduce that

(5.20)
$$|\mathcal{K}_{j}''(T)| \ll N_{j}^{8(1/\kappa_{1}-\sigma_{j})} y^{2(\alpha_{j}-\sigma_{j})} \mathfrak{M}(\alpha_{j}, 8T)^{2} (\log T)^{5}$$
$$\ll N_{j}^{2(1/\kappa_{1}-\sigma_{j})} (\log T)^{7}.$$

On combining (5.14), (5.17) and (5.20), it follows that

$$|\mathcal{K}_j(T)| \ll N_j^{(10/3)(1/\kappa_1 - \sigma_j)} (\log T)^3.$$

Now the required inequality follows from (3.10). This completes the proof.

6. Proof of Theorem 1.1

We shall conserve the notation in Section 2. First we prove a lemma.

Lemma 6.1. Let $r \in \mathbb{N}$, $\boldsymbol{\kappa} := (\kappa_1, \dots, \kappa_r) \in \mathbb{N}^r$ with $1 \leqslant \kappa_1 < \dots < \kappa_r \leqslant 2\kappa_1$, $\boldsymbol{z} := (z_1, \dots, z_r) \in \mathbb{C}^r$, $\boldsymbol{B} := (B_1, \dots, B_r) \in (\mathbb{R}^{+*})^r$, and let $\alpha > 0$, $\delta \geqslant 0$, $A \geqslant 0$, M > 0 be some constants. Suppose that the Dirichlet series

$$\mathcal{F}(s) := \sum_{n=1}^{\infty} f(n) n^{-s}$$

is of type $\mathfrak{P}(\kappa, \mathbf{z}, \mathbf{w}, \mathbf{B}, \mathbf{C}, \alpha, \delta, A, M)$. Then there is an absolute positive constant D and a constant $B = B_1 + \cdots + B_r + C_1 + \cdots + C_r$ such that we have

(6.1)
$$\mathfrak{F}(s) \ll MD^B T^{(\delta + B\sqrt{\varepsilon})(1 - \kappa_1 \sigma)} (\log T)^{A+B}$$

for all $s \in \mathcal{L}_T$, where the implied constant depends only on ε .

Proof. Since we have chosen the principal value of complex logarithm, we can write

$$\begin{aligned} \left| \boldsymbol{\zeta}(\boldsymbol{\kappa}s)^{\boldsymbol{z}} \boldsymbol{L}(\boldsymbol{\kappa}s, \boldsymbol{\chi})^{\boldsymbol{w}} \right| &= \prod_{1 \leq i \leq r} \left| \zeta(\kappa_{i}s) \right|^{\Re e \, z_{i}} \left| L(\kappa_{i}s, \chi_{i}) \right|^{\Re e \, w_{i}} \mathrm{e}^{-(\Im m \, z_{i}) \arg \zeta(\kappa_{i}s) - (\Im m \, w_{i}) \arg L(\kappa_{i}s, \chi_{i})} \\ &\leq \mathrm{e}^{\pi(B_{1} + \dots + B_{r} + C_{1} + \dots + C_{r})} \prod_{1 \leq i \leq r} \left| \zeta(\kappa_{i}s) \right|^{\Re e \, z_{i}} \left| L(\kappa_{i}s, \chi_{i}) \right|^{\Re e \, w_{i}} \end{aligned}$$

for all $s \in \mathbb{C}$ verifying $\prod_{1 \leq i \leq r} \zeta(\kappa_i s) L(\kappa_i s, \chi_i) \neq 0$. Invoking Proposition 3.1, we see that there is a suitable absolute constant D and a constant $B = B(\boldsymbol{B}, \boldsymbol{C})$ depending on $(\boldsymbol{B}, \boldsymbol{C})$ such that

(6.2)
$$\left| \boldsymbol{\zeta}(\boldsymbol{\kappa}s)^{\boldsymbol{z}} \boldsymbol{L}(\boldsymbol{\kappa}s, \boldsymbol{\chi})^{\boldsymbol{w}} \right| \ll_{\varepsilon, \boldsymbol{\chi}} D^{B} T^{B\sqrt{\varepsilon}(1-\kappa_{1}\sigma)} (\log T)^{B}$$

for all $s \in \mathcal{L}_T$, where the implied constant depends only on (ε, χ) .

Finally the required bound (6.1) follows from (6.2) and the hypothesis (1.6).

Now we are ready to prove Theorem 1.1.

Since the Dirichlet series $\mathcal{F}(s)$ is of type $\mathcal{P}(\kappa, z, w, B, C, \alpha, \delta, A, M)$, we can apply [19, Corollary II.2.2.1] with the choice of parameters $\sigma_a = 1/\kappa_1$, $\alpha = \alpha$, $\sigma = 0$ to write

$$\sum_{x < n \leqslant x + x^{1-1/\kappa_1}y} f(n) = \frac{1}{2\pi i} \int_{b-iT}^{b+iT} \mathcal{F}(s) \frac{(x + x^{1-1/\kappa_1}y)^s - x^s}{s} ds + O_{\varepsilon} \left(M \frac{x^{1/\kappa_1 + \varepsilon}}{T}\right),$$

where $b = 1/\kappa_1 + 1/\log x$ and $e^{\sqrt{\log x}} \leqslant T \leqslant x$ is a parameter to be chosen later.

Denote by Γ_T the path formed from the circle $|s-1/\kappa_1| = r_0 := 1/(2\kappa_1 \log x)$ excluding the point $s = 1/\kappa_1 - r_0$, together with the segment $[(1 - \delta_T)/\kappa_1, 1/\kappa_1 - r_0]$ traced out twice with respective arguments $+\pi$ and $-\pi$. By the residue theorem, the path [b - iT, b + iT] is deformed into $\Gamma_T \cup [(1 - \delta_T)/\kappa_1 - iT, (1 - \delta_T)/\kappa_1 + iT] \cup [(1 - \delta_T)/\kappa_1 \pm iT, b \pm iT]$. In view of Lemma 6.1, for any $a \in (1/(2\kappa_1), 1/\kappa_1)$, the integral over the horizontal segments

$$[a \pm iT, b \pm iT]$$
 is

$$\begin{split} & \int_{a\pm \mathrm{i}T}^{b\pm \mathrm{i}T} \left| \mathcal{F}(s) \frac{(x+x^{1-1/\kappa_1}y)^s - x^s}{s} \right| |\, \mathrm{d}s| \\ & \ll \frac{MD^C (\log T)^{A+B}}{T} \int_a^b T^{\max\{(\delta+B\sqrt{\varepsilon})(1-\kappa_1\sigma),0\}} x^\sigma \, \mathrm{d}\sigma \\ & \ll MD^C \frac{x^{1/\kappa_1}}{T} (\log T)^{A+B} \bigg(\int_a^{1/\kappa_1} \bigg(\frac{x^{1/\kappa_1}}{T^{\delta+B\sqrt{\varepsilon}}} \bigg)^{\kappa_1\sigma-1} \, \mathrm{d}\sigma + 1 \bigg) \\ & \ll MD^C \frac{x^{1/\kappa_1}}{T} (\log T)^{A+B}, \end{split}$$

provided

$$(6.3) T^{\delta + B\sqrt{\varepsilon}} \leqslant x^{1/\kappa_1}$$

Thus

(6.4)
$$\sum_{x < n \leqslant x + x^{1-1/\kappa_1} y} f(n) = I + O\left(MD^C \frac{x^{1/\kappa_1 + \varepsilon}}{T}\right),$$

where

$$I := \frac{1}{2\pi i} \int_{\Gamma_{T} \cup [(1-\delta_T)/\kappa_1 - iT]} \mathcal{F}(s) \frac{(x + x^{1-1/\kappa_1}y)^s - x^s}{s} \, \mathrm{d}s.$$

and the implied constant depends on (ε, χ) only.

Let \mathcal{L}_T be the Motohashi contour defined as in Section 2. Consider the two symmetric simply connected regions bounded by \mathcal{L}_T , the segment $[(1-\delta_T)/\kappa_1 - iT, (1-\delta_T)/\kappa_1 + iT]$ and the two line segments $[\sigma_{j_0+1} + d_v, (1-\delta_T)/\kappa_1]$ with respective arguments $+\pi$ and $-\pi$ measured from the real axis on the right of $1-\delta_T$. It is clear that $\mathcal{F}(s)$ is analytic in these two simply connected regions. Denote by Γ_T^* the path joining (the two end-points of) Γ_T with the two line segments $[\sigma_{j_0+1} + d_v, (1-\delta_T)/\kappa_1]$ of the symmetric regions. Thanks to the residue theorem, we can write

$$(6.5) I = I_1 + I_2,$$

with

$$I_{1} := \frac{1}{2\pi i} \int_{\Gamma_{T}^{*}} \mathcal{F}(s) \frac{(x + x^{1-1/\kappa_{1}}y)^{s} - x^{s}}{s} ds,$$
$$I_{2} := \frac{1}{2\pi i} \int_{\mathscr{L}_{T}} \mathcal{F}(s) \frac{(x + x^{1-1/\kappa_{1}}y)^{s} - x^{s}}{s} ds.$$

A. Evaluation of I_1

According to our hypothesis, the function $s \mapsto Z(\kappa_1 s; z_1) \boldsymbol{\zeta}(\boldsymbol{\kappa}_* s)^{\boldsymbol{z}_*} \boldsymbol{L}(\boldsymbol{\kappa} s, \boldsymbol{\chi})^{\boldsymbol{w}} \boldsymbol{\mathcal{G}}(s)$ is holomorphic in the disc $|s-1/\kappa_1| < 1/\kappa_1 - 1/\kappa_2$. In view of (1.12), the Cauchy integral formula implies that

(6.6)
$$g_{\ell}(\boldsymbol{\kappa}, \boldsymbol{z}, \boldsymbol{w}, \boldsymbol{\chi}) \ll Mc^{-\ell} \qquad (\ell \geqslant 0, |\boldsymbol{z}| \leqslant |\boldsymbol{B}|, |\boldsymbol{w}| \leqslant |\boldsymbol{C}|),$$

where $g_{\ell}(\boldsymbol{\kappa}, \boldsymbol{z}, \boldsymbol{w}, \boldsymbol{\chi})$ is defined as in (1.14) and $c := \frac{2}{3}(1/\kappa_1 - 1/\kappa_2)$. From this and (1.13), we deduce that for any integer $N \ge 0$ and $|s - 1/\kappa_1| \le \frac{1}{2}(1/\kappa_1 - 1/\kappa_2)$,

$$Z(\kappa_1 s; z_1) \boldsymbol{\zeta}(\boldsymbol{\kappa}_* s)^{\boldsymbol{z}_*} \boldsymbol{L}(\boldsymbol{\kappa} s, \boldsymbol{\chi})^{\boldsymbol{w}} \boldsymbol{\mathcal{G}}(s) = \sum_{\ell=0}^N g_{\ell}(\boldsymbol{\kappa}, \boldsymbol{z}, \boldsymbol{w}, \boldsymbol{\chi}) \left(s - \frac{1}{\kappa_1}\right)^{\ell} + O\left(M(|s - \frac{1}{\kappa_1}|/c)^{N+1}\right).$$

Thus we have

(6.7)
$$I_1 = \sum_{\ell=0}^{N} \kappa_1^{-\mathbf{z}_1} g_{\ell}(\boldsymbol{\kappa}, \boldsymbol{z}, \boldsymbol{w}, \boldsymbol{\chi}) M_{\ell}(x, y) + O(Mc^{-N} E_N(x, y)),$$

where

$$M_{\ell}(x,y) := \frac{1}{2\pi i} \int_{\Gamma_T^*} (s - 1/\kappa_1)^{\ell - z_1} \frac{(x + x^{1 - 1/\kappa_1}y)^s - x^s}{s} \, \mathrm{d}s,$$

$$E_N(x,y) := \int_{\Gamma_T^*} \left| (s - 1/\kappa_1)^{N + 1 - z_1} \frac{(x + x^{1 - 1/\kappa_1}y)^s - x^s}{s} \right| | \, \mathrm{d}s|.$$

Firstly we evaluate $M_{\ell}(x,y)$. Using the formula

(6.8)
$$\frac{(x+x^{1-1/\kappa_1}y)^s - x^s}{s} = \int_x^{x+x^{1-1/\kappa_1}y} t^{s-1} dt$$

and Corollary II.5.2.1 of [19], we write

$$M_{\ell}(x,y) = \int_{x}^{x+x^{1-1/\kappa_{1}y}} \left(\frac{1}{2\pi i} \int_{\Gamma_{T}^{*}} (s-1/\kappa_{1})^{\ell-z_{1}} t^{s-1} ds \right) dt$$
$$= \int_{x}^{x+x^{1-1/\kappa_{1}y}} t^{1/\kappa_{1}-1} (\log t)^{z_{1}-1-\ell} \left\{ \frac{1}{\Gamma(\kappa_{1}-\ell)} + O\left(\frac{(c_{1}\ell+1)^{\ell}}{t^{\delta_{T}/2}}\right) \right\} dt,$$

where we have used the following inequality

$$47^{|z_1-\ell|}\Gamma(1+|z_1-\ell|) \ll_{B_1} (c_1\ell+1)^{\ell} \quad (\ell \geqslant 0, |z_1| \leqslant B_1).$$

The constant c_1 and the implied constant depend at most on B_1 . Besides for $|z_1| \leq B_1$, an elementary computation shows that

$$\int_{x}^{x+x^{1-1/\kappa_{1}y}} t^{1/\kappa_{1}-1} (\log t)^{z_{1}-1-\ell} dt = \int_{0}^{x^{1-1/\kappa_{1}y}} (x+t)^{1/\kappa_{1}-1} (\log(x+t))^{z_{1}-1-\ell} dt$$
$$= y'(\log x)^{z_{1}-1-\ell} \left\{ 1 + O_{B_{1}} \left(\frac{(\ell+1)y}{x^{1/\kappa_{1}} \log x} \right) \right\}.$$

Inserting this into the preceding formula, we obtain

(6.9)
$$M_{\ell}(x,y) = y'(\log x)^{z_1 - 1 - \ell} \left\{ \frac{1}{\Gamma(z_1 - \ell)} + O_{B_1} \left(\frac{(\ell + 1)y}{\Gamma(z_1 - \ell)x^{1/\kappa_1} \log x} + \frac{(c_1\ell + 1)^{\ell}}{x^{\delta_T/2}} \right) \right\}$$

for $\ell \geqslant 0$ and $|z_1| \leqslant B_1$.

Next we estimate $E_N(x,y)$. In view of the trivial inequality

(6.10)
$$\left| \frac{(x + x^{1-1/\kappa_1}y)^s - x^s}{s} \right| \ll yx^{\sigma - 1/\kappa_1},$$

we deduce that

$$E_{N}(x,y) \ll \int_{1/2\kappa_{1}+\varepsilon^{2}}^{1/\kappa_{1}-1/\log x} (1/\kappa_{1}-\sigma)^{N+1-\Re e \, z_{1}} x^{\sigma-1/\kappa_{1}} y \, d\sigma + \frac{y}{(\log x)^{N+2-\Re e \, z_{1}}}$$

$$\ll \frac{y}{(\log x)^{N+2-\Re e \, z_{1}}} \left(\int_{1}^{\infty} t^{N+1-\Re e \, z_{1}} e^{-t} \, dt + 1 \right)$$

$$\ll y (\log x)^{\Re e \, z_{1}-1} \left(\frac{c_{1}N+1}{\log x} \right)^{N+1}$$

uniformly for $x \ge y \ge 2$, $N \ge 0$ and $|z_1| \le B_1$, where the constant $c_1 > 0$ and the implied constant depends only on B_1 .

Inserting (6.9) and (6.11) into (6.7) and using (6.6) and the fact that $y' \approx y$, we find that

(6.12)
$$I_1 = y'(\log x)^{z-1} \left\{ \sum_{\ell=0}^{N} \frac{\lambda_{\ell}(\boldsymbol{\kappa}, \boldsymbol{z}, \boldsymbol{w}, \boldsymbol{\chi})}{(\log x)^{\ell}} + O_{B_1}(E_N^*(x, y)) \right\},$$

where

$$E_N^*(x,y) := \frac{y}{x^{1/\kappa_1}} \sum_{\ell=1}^{N+1} \frac{\ell |\lambda_{\ell-1}(\boldsymbol{\kappa}, \boldsymbol{z}, \boldsymbol{w}, \boldsymbol{\chi})|}{(\log x)^{\ell}} + \frac{(c_1N+1)^{N+1}}{x^{\delta_T/2}} + M \left(\frac{c_1N+1}{\log x}\right)^{N+1}.$$

B. Evaluation of I_2

Let \mathscr{L}'_T be the union of those vertical line segments of \mathscr{L}_T whose real part is equal to $\frac{1}{2\kappa_1} + \varepsilon^2$ and $\mathscr{L}''_T := \mathscr{L}_T \setminus \mathscr{L}'_T$. Denote by I'_2 and I''_2 the contribution of \mathscr{L}'_T and \mathscr{L}''_T to I_2 , respectively. Using the trivial inequality

$$\left| \frac{(x + x^{1 - 1/\kappa_1} y)^s - x^s}{s} \right| \ll \frac{x^{1/2\kappa_1 + \varepsilon^2}}{|\tau| + 1} \qquad (s \in \mathcal{L}_T')$$

and Lemma 6.1, we can deduce

(6.13)
$$I_2' \ll MD^B x^{1/2\kappa_1 + \varepsilon^2} T^{(\delta + B\sqrt{\varepsilon})(1/2 - \kappa_1 \varepsilon^2)} (\log T)^{A + 4B + 1}$$
$$\ll M x^{(1/2 + \delta/2(\psi + \delta))/\kappa_1 + \sqrt{\varepsilon}}$$
$$\ll M x^{(1 - 1/(\psi + \delta))/\kappa_1 + \sqrt{\varepsilon}}$$

with the value of T given by (6.15) below and $\psi \geqslant 2$.

Next we bound I_2'' . In view of (6.10), we can write that

(6.14)
$$I_2'' \ll y \int_{\mathscr{L}_T''} |\mathcal{F}(s)| x^{\sigma - 1/\kappa_1} | ds |$$

$$\ll y \sum_{0 \leqslant j \leqslant J_T} \sum_{\substack{0 \leqslant k \leqslant K_T \\ \Delta_{j,k} \in (W)}} \int_{\mathscr{L}_T^{[j,k]}} |\mathcal{F}(s)| x^{\sigma - 1/\kappa_1} | ds |,$$

where $\mathscr{L}_{T}^{[j,k]}$ is the vertical line segment of \mathscr{L}_{T}'' around $\Delta_{j,k}$ and the horizontal line segments with $\sigma \leqslant \sigma_{j} + d_{v}$. Clearly the length of $\mathscr{L}_{T}^{[j,k]}$ is $\ll \log T$. Thus by Lemma 6.1, it follows

$$\int_{\mathscr{L}_{x}^{[j,k]}} |\mathfrak{F}(s)| x^{\sigma-1} |\,\mathrm{d}s| \ll M D^{B} (\log T)^{A+4B+1} T^{(\delta+B\sqrt{\varepsilon})(1-\kappa_{1}(\sigma_{j}+d_{\mathrm{v}}))} x^{\sigma_{j}+d_{\mathrm{v}}-1/\kappa_{1}}$$

for all $0 \le k \le K_T$. Inserting it into (6.14) and using Proposition 5.1, we can deduce, with the notation $J_{T,0} := \left[\left(\frac{1}{2} - \varepsilon \right) \log T \right]$, that

$$I_2'' \ll MD^B y(\log T)^{A+4B+18+\eta} (I_{2,*}'' + I_{2,\dagger}''),$$

where

$$I_{2,*}'' := \sum_{0 \leqslant j \leqslant J_{T,0}} T^{(\delta+B\sqrt{\varepsilon})(1-\kappa_1(\sigma_j+d_{\mathbf{v}}))} x^{\sigma_j+d_{\mathbf{v}}-1/\kappa_1} \cdot T^{\psi(1-\kappa_1\sigma_j)},$$

$$I_{2,\dagger}'' := \sum_{J_{T,0} < j \leqslant J_T} T^{(\delta+B\sqrt{\varepsilon})(1-\kappa_1(\sigma_j+d_{\mathbf{v}}))} x^{\sigma_j+d_{\mathbf{v}}-1/\kappa_1} \cdot T^{100\sqrt{\varepsilon}(1-\sigma_j)}.$$

Taking

(6.15)
$$T := x^{(1-\kappa_1\sqrt{\varepsilon})/\kappa_1(\psi+\delta+B\sqrt{\varepsilon})}$$

and in view of (2.23), it is easy to check that

$$I_{2,*}'' \ll x^{\varepsilon^2/\kappa_1} \sum_{0 \leqslant j \leqslant J_{T,0}} \left(x^{1/\kappa_1} / T^{\psi + \delta + B\sqrt{\varepsilon}} \right)^{-(1-\kappa_1 \sigma_j)} \log x \ll x^{2\varepsilon^2/\kappa_1 - \varepsilon^{3/2}} \ll x^{-\varepsilon^2}$$

and

$$I_{2,\dagger}'' \ll \sum_{J_{T,0} < j \leq J_{T}} \left(x/T^{\delta + 100(B+1)\sqrt{\varepsilon}} \right)^{-(1-\kappa_{1}\sigma_{j})} \ll e^{-2c_{2}(\log x)^{1/3}(\log_{2} x)^{-1/3}}.$$

Inserting it into the preceding estimate for I_2'' , we conclude that

(6.16)
$$I_2'' \ll_{\mathbf{B}} M y e^{-c_2(\log x)^{1/3}(\log_2 x)^{-1/3}}.$$

Now from (6.4), (6.5), (6.12), (6.13) and (6.16). we deduce that

$$\sum_{x < n \le x + x^{1-1/\kappa_1} y} f(n) = y'(\log x)^{z-1} \left\{ \sum_{\ell=0}^{N} \frac{\lambda_{\ell}(\boldsymbol{\kappa}, \boldsymbol{z}, \boldsymbol{w}, \boldsymbol{\chi})}{(\log x)^{\ell}} + O(R_N^*(x, y)) \right\}$$

uniformly for $x \geqslant 3$, $x^{(1-1/(\psi+\delta))/\kappa_1+\varepsilon} \leqslant y \leqslant x^{1/\kappa_1}$, $N \geqslant 0$, $|z| \leqslant B$ and $|w| \leqslant C$, where

$$R_N^*(x,y) := \frac{y}{x^{1/\kappa_1}} \sum_{\ell=1}^{N+1} \frac{\ell |\lambda_{\ell-1}(\boldsymbol{\kappa},\boldsymbol{z},\boldsymbol{w},\boldsymbol{\chi})|}{(\log x)^{\ell}} + M \left\{ \left(\frac{c_1N+1}{\log x} \right)^{N+1} + \frac{(c_1N+1)^{N+1}}{\mathrm{e}^{c_2(\log x)^{1/3}(\log_2 x)^{-1/3}}} \right\}$$

for some constants $c_1 > 0$ and $c_2 > 0$ depending only on \boldsymbol{B} , \boldsymbol{C} , δ and ε .

It remains to prove that the first term on the right-hand side can be absorbed by the third. In view of (1.12), the Cauchy formula allows us to write $g_{\ell}(\kappa, z, w, \chi) \ll_{A,B,C,\delta} M3^{\ell}$ for $|z| \leq B$, $|w| \leq C$ and $\ell \geq 1$. Combining this with the Stirling formula, we easily derive $\lambda_{\ell}(\kappa, z, w, \chi) \ll_{A,B,C,\delta} M(9/\ell)^{\ell}$ for $|z| \leq B$, $|w| \leq C$ and $\ell \geq 1$. This implies that

$$\frac{y}{x^{1/\kappa_1}} \sum_{\ell=1}^{N+1} \frac{\ell |\lambda_{\ell-1}(\boldsymbol{\kappa}, \boldsymbol{z}, \boldsymbol{w}, \boldsymbol{\chi})|}{(\log x)^{\ell}} \ll_{A, \boldsymbol{B}, \boldsymbol{C}, \delta} \frac{My}{x^{1/\kappa_1} \log x}$$

holds uniformly for $x \geqslant 3$, $x^{(1-1/(\psi+\delta))/\kappa_1+\varepsilon} \leqslant y \leqslant x^{1/\kappa_1}$, $N \geqslant 0$, $|z| \leqslant B$ and $|w| \leqslant C$. This completes the proof.

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JIE WU, DEPARTMENT OF MATHEMATICS, SOUTHWEST UNIVERSITY OF CHINA, 2 TIANSHENG ROAD, BEIBEI, 400715 CHONGQING, CHINA

Current address: CNRS, UMR 8050, Laboratoire d'Analyse et de Mathématiques Appliquées, Université Paris-Est Créteil, 61 Avenue du Général de Gaulle, 94010 Créteil cedex, France

E-mail address: jie.wu@math.cnrs.fr

Qiang Wu, Department of Mathematics, Southwest University of China, 2 Tiansheng Road, Beibei, 400715 Chongqing, China

E-mail address: qiangwu@swu.edu.cn