

Characterization of the Effective Orifice Areas of Mitral Prosthetic Heart Valves: An In Vitro Study

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- 1 Characterization of the Effective Orifice Areas of Mitral Prosthetic Heart
- 2 Valves: An In Vitro Study.

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23

25 **Abstract**

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Objectives:

- Reference values of hemodynamic parameters for the assessment of prosthetic heart valves are 27
- 28 necessary and ideally need to be provided by entities independent of valve manufacturers. The aim of
- 29 this in vitro study was thus to provide normal reference values of effective orifice area (EOA) for
- different models and sizes of mitral prosthetic valves and to assess the determinants of EOA and mean 30
- 31 transvalvular pressure gradient (mTPG).

Methods: 32

- We tested 4 models of mechanical prostheses (1 mono-leaflet and 3 bi-leaflet) and 4 models of 33
- 34 bioprostheses (2 bovine pericardial and 2 porcine) on a double activation pulsed duplicator specifically
- designed and optimized for the assessment of the hemodynamic performance of mitral prosthetic 35
- 36 valves. The hemodynamic conditions were standardized and included for bioprostheses: two mitral
- 37 flow volumes, three mean aortic pressure, two heart rates and three E/A ratios. The EOAs were
- 38 measured by Doppler-echocardiography using the same method (continuity equation) as the one used
- in the clinical setting. Overestimation in term of EOA was defined according with guidelines as > 39
- 0.25cm^2 . 40

41 **Results:**

- 42 EOA reference values were: for mono leaflet prosthesis (Medtronic Hall 7700, size 25 to 31mm): 2.29
- and 3.49, for bi-leaflet prosthesis (St. Jude Medical Master and Master HP, sizes 25 to 33mm, On-X 43
- valve, sizes 27-29mm): 1.34 and 4.74 cm²; for porcine bioprostheses (Medtronic Mosaic CINCH, sizes 44
- 25 to 31mm, St. Jude Epic 100, sizes 25 to 33mm): 1.35 and 3.56 cm²; for bovine pericardial 45

 - bioprosthetic valves (Edwards Perimount 6900P and Magna Ease 7300, sizes 25 to 33mm): 1.67 and
- 2.36 cm². There were some discrepancies between the normal reference EOAs measured in this study 47
- versus those provided by prosthesis manufacturers or by literature. The bioprosthesis EOAs were 48
- 49 found lower than the manufacturers' values in 32% by 0.57±0.28cm² in average vs in 7% when
- compared to values presented in the literature by 0.43±0.17cm². The relationship between EOA and 50
- 51 the internal orifice area (IOA) varied according to the type of prosthesis. The EOA was close to the

- 52 IOA in mechanical valves (regression slopes 0.87 to 0.99) but much smaller than IOA in bioprosthetic
- valves (slopes 0.25-0.30). The EOA was influenced by prosthesis diameters, prosthesis stent diameter
- and height and mTPG was influenced by EOA and heart rate.

Conclusion:

- This study provides normal reference values of EOAs for several frequently used mitral prostheses.
- 57 This information may be helpful to identify and quantify a prosthetic valve dysfunction and prosthesis-
- patient mismatch.

Introduction

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The valve effective orifice area (EOA) is a key parameter to assess the hemodynamic function of native and prosthetic mitral valves ¹. While mean transvalvular pressure gradient (mTPG) is also often measured, the clinical utility of this parameter is more limited due to its high dependence on heart rate and flow rate. EOA can be accurately measured by Doppler-echocardiography with the use of the continuity equation method. The presence of prosthetic valve stenosis can be assessed by comparing the EOA measured by echocardiography with the normal reference value of EOA². A measured EOA that is significantly smaller than the normal EOA suggests pathologic obstruction of the prosthetic valve 1. However, the normal value of EOA differs markedly depending on the model and size or prosthetic valve. It is thus crucial to establish normal reference values for the models and sizes of prosthetic valves that are used clinically. Furthermore, the normal reference values of EOA can be used to calculated the predicted indexed EOA (i.e. normal EOA for the model and size implanted in the patient divided by the patient's body surface area), which in turn allows identification and quantitation of prosthesis-patient mismatch³. The primary objective of this in vitro study was to provide normal EOA reference values for some of the most frequently implanted mitral prostheses using highly standardized hemodynamic conditions. The secondary objective of this study was to assess the effects of prosthesis geometric parameters and of the hemodynamic parameters on the EOA and mTPG.

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Methods

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In	vitro	simul	ation

The pulse duplicator used in this study is a double activation duplicator in which left ventricle and atrium are anatomically shaped and has been previously described ⁴. Left cavities flow is generated by gear pumps inside the two activation boxes control the contraction and dilatation of both the LA and LV cavities. The aortic and pulmonary native valves are simulated by two Biocor 23mm valves. Right ventriclar flow is generated by a third pump. Compliance and resistance assemblies enable to model both pulmonary and systemic circulation using a hybrid Windkessel and lumped element modeling approach. Validation of pressure volume loop as well as a harmonic analysis have been previously performed for general validation of the duplicator ⁵. Previous results show that regurgitation volumes remain within sensors precision range (±0.1L/min, range 0.5mL/min to 10L/min).

Tested Prosthetic Valves

Nine types of bioprostheses and mechanical heart valves (MHV) from 4 manufacturers were tested in this study: 1) Mono-leaflet mechanical valve Medtronic Hall 7700 (sizes 25/27/29/31mm), 2) Bileaflet mechanical valves: St. Jude Medical Master (sizes 25/29/33mm); St-Jude Medical Master HP (sizes 25/27mm); On-X (sizes 27-29mm). 3) Porcine bioprosthetic valves: Medtronic Mosaic CINCH (sizes 25/27/29/31mm); St. Jude Epic 100 (sizes 25/27/29/31/33mm). 4) Bovine pericardial bioprostheses: Edwards Perimount 6900P (sizes 25/27/29/31mm); Edwards Magna Ease 7300 (sizes 25/27/29/31/33mm).

Measurements of Prosthesis Geometric Parameters

Figure 1, A illustrates how the internal diameter, height and opening angle of MHVs were measured. For bioprostheses, the reference measurement of internal orifice diameter provided by the manufacturer corresponds to the internal diameter of the metal structure of the stent without consideration of the space occupied by the leaflets and sutures attaching those leaflets (Figure 1,B). Measurements performed by the authors are also provided in Supplement Table 1.In brief, the internal

diameters at three levels of the prosthesis using a contactless measurement system (Smart Scope,
Optical Gaging Pty Ltd, Singapore) (Figure 1). The internal orifice area (IOA) of the prosthesis was
calculated from the internal diameter measured at the inflow level of the prosthesis (Figure 2).

Hemodynamic Conditions for Prostheses Testing
We tested all prostheses under the following hemodynamic conditions: sinus rhythm, heart rate 70
bpm, mitral flow volume (MFV) of 70mL, a systolic time interval of 35% of the cardiac cycle, E
wave/A wave velocities ratio of 1.5, aortic pressure of 100mmHg. These parameters have been

previously validated elsewhere ⁵.

For bioprostheses, we tested using additional conditions in order to assess the effect of different hemodynamic factors on the valve EOA and mTPG: MFVs of 70 and 90mL; heart rates of 45, 70 and

118 120 beats per minute; E/A ratio of 0.5, 1.0 and 1.5.

Pressure, Flow and Doppler Measurements

An electromagnetic flowmeter was placed immediately before the prosthesis. Its internal diameter did not interfere with flow (Carolina Medical Probe 95, internal diameter 30.24mm). Pressure measurements were acquired in left atrium, left ventricle, pulmonary artery and in aorta using Millar MPR 500 catheters (accuracy full range $\pm 0.5\%$, -50 to 300 mmHg). Both systems, flow meter and pressure acquisition chains, have been previously calibrated. Closing, leakage and regurgitant volumes were calculated for each prosthesis using the flow signal variations⁶.

The mTPG was measured by continuous wave Doppler using the Bernoulli formula as described in our previous study ⁴. EOA was calculated using the continuity equation by dividing flow meter derived MFV by the velocity time integral of the transprosthetic velocity obtained by continuous-wave Doppler. Catheter measurements of transvalvular pressure were also obtained.

Statistical analyses

All described values are given as mean value ± standard deviation and a Wilcoxon test was performed to test statistical significance between groups when appropriate using R software. Univariate and

multivariate linear regression analyses were performed to evaluate which parameters are associated with EOA and mTPG. Statistical significant was defined as p<0.05.

Results

EOA Reference Values

140	EOA reference values are reported in Table 1: 1) Mono-leaflet mechanical valves: the EOA was
141	between 2.29 and 3.49 cm ² for Medtronic Hall 7700 (sizes 25/27/29/31mm); 2) Bileaflet mechanical
142	valves, EOA was between: 1.34 and 2.18 cm ² for the St. Jude Medical Master (sizes 25/29/33mm),
143	2.11 and 4.74 cm ² for the St-Jude Medical Master HP (sizes 25/27mm) and 2.53 cm ² for the On-X
144	valve (sizes 27-29mm);3) Porcine bioprosthetic valves: the EOA was between 1.35 and 1.94 cm ² for
145	the Medtronic Mosaic CINCH (sizes 25/27/29/31mm); 3.08 and 3.56 cm ² for St. Jude Epic 100 (sizes
146	25/27/29/31/33mm); 4) Bovine pericardial bioprosthetic valves: EOA was between 1.69 and 2.36 cm ²
147	for the Edwards Perimount 6900P (sizes 25/27/29/31mm); 1.67 and 2.25 cm ² for the Edwards Magna
148	Ease 7300 (sizes 25/27/29/31/33mm). Additionally, regurgitant volumes were reported in Table 2 and
149	found below 10% of the SV in all tests.
150	We compared the values of EOAs obtained in this standardized in vitro study with the normal values
151	of EOAs reported by valve manufacturers and also with those reported in the literatures (see Table 3).
152	Manufacturers EOAs and literature-derived EOAs overestimated the EOAs obtained in the present
153	study by >0.25 cm ² (difference considered significant in 2016 EACVI guidelines) in 32% and 7% of
154	the cases, respectively and with an average overestimation of respectively 0.57±0.28cm ² and
155	0.43±0.17cm ² . When the analysis restricted to bioprostheses, the manufacturer and literature EOAs
156	overestimated the present EOAs by > 0.25 cm ² in 44 % and 4% of the cases, respectively and with an
157	average overestimation of respectively 0.48±0.16cm ² and 0.55cm ² . Underestimation (>0.25 cm ²)
158	compared to the reported EOA values happened in all case of bi-leaflet valves with manufacturer
159	values (average value 1.5±0.62cm²) and in 6% of bioprostheses (average value 0.67cm²). Respectively
160	in literature, EOA was underestimated (>0.25 cm²) the reported EOA values by in all case of bi-leaflet
161	valves (average value 1.2±1.1cm²) and in 44% of bioprostheses (average value 0.28±0.38cm²).

Effect of hemodynamic and prosthesis design parameters on EOA and mTPG

Prosthetic valve EOA increased and mTPG decreased with increasing internal prosthesis diameter or IOA (Figure 3). EOA also increased and mTPG decreased with increasing prosthesis height (Figure 3). With regards to hemodynamic parameters, EOA increased with increasing mitral flow volume and decreases with mean aortic pressure without reaching significance, whereas mTPG increased with increasing mitral flow volume (ns) and heart rate (p<0.05) (Figure 4).

Relationship between EOA, IOA, and mTPG

Figure 5 shows the relationship between EOA and IOA (A) and and between EOA mTPG (B). The correlation between EOA and IOA was stronger in mechanical valves than in bioprosthetic valves. The regression slopes were much steeper in mechanical valves than in prosthetic valves: pericardial bioprostheses: slope=0.253 (unit), r=0.94, porcine bioprostheses: slope=0.297 (unit), r=0.91, vs. mono-leaflet mechanical valves: slope=0.866 (unit), r=0.95, bi-leaflet mechanical valves: slope=0.99, r=0.79. There was a modest relationship between EOA and mTPG =-1.44 + 12.42*exp(-0.599*EOA) (mean square error=2.305, r=0.62).

Discussion

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This in-vitro study reports for the first time normal reference values of EOAs for several models and sizes of mitral prosthetic valves. These in vitro EOA values were close to the in vivo values reported in the literature but smaller when compared with normal EOAs reported by prostheses manufacturers. mTPG was found to be more sensitive than the EOA to patient hemodynamic conditions. Both mTPG and EOA were influenced by prosthesis diameters and heights.

In-vitro EOA as a reference values for prosthesis valve function assessment

The graphs of EOA according to body surface area (BSA) have the advantage to take into consideration patient specific parameters related to hemodynamic through cardiac index. Such graphs do not take into consideration neither pressure conditions neither mitral flow profile (as a surrogate of diastolic function), and in-vitro EOA appears to give a full image of mitral valvular prostheses performance when properly tested. The results of this study showed the influence of hemodynamic conditions (heart rate, mean aortic pressure and E/A ratio) on continuity equation EOA and mTPG (Figure 4). As such, it seems indispensable to complete the EOA/BSA graphs have with minimum and maximum range of EOA for various heart rates, mean aortic pressures, mitral flow profiles and mitral flow volumes (for identical cardiac index). Such parameters as IOA should be given to the clinician knowledge for a complete assessment of valvular prostheses. Internal diameters provided by manufacturers are usually internal diameters of the prosthesis structure without additional part (and measurement levels of such measurements are mostly not provided). Internal diameter at the entry level of the prosthesis should be preferred as constraining the flow without depending of the leaflet opening. The prosthesis height, limitedly studied and reported so far, as duration of the flow constriction through the valve, is reported here to influence both EOA and mTPG.

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EOA influenced by prosthesis type, design and hemodynamic conditions

Correlations between EOA and IOA have been showed to be significantly different between bioprostheses and MHV: reduction between IOA and EOA is much higher in bioprostheses than in

MHV (slopes of 0.87 and 0.99 for MHV vs 0.25 and 0.3 for bioprosthesis). Reduction of the area between IOA to EOA was more pronounced for bioprostheses and EOA values were found smaller than in MHV (Figure 5). Difference between the IOA/EOA correlation slopes between pericardial and porcine prostheses may be related to prosthesis design differences. Indeed, prosthesis parameters influences on EOA and mTPG were highlighted in the results (Figure 3).

Regarding mTPG, the relationship between EOA and mTPG is described by Gorlin relation in aortic position for EOA calculation: EOA=Qrms/ (50.4*sqrt(mTPG)). Thus, model to be expected to fit was an inverse root squared model, however best results were found with exponential model when express through Gorlin. Such exponential model are more adaptive and in-vitro results presented here are consistent with clinically reported values ^{7,8}. Such relationship between EOA and mTPG, even though non-linear, could explain the higher sensitivity of mTPG to hemodynamic conditions

Discrepancies between manufacturers, literature and in-vitro EOA

prostheses.

literature when overestimation was considered as > 0.25cm² (32% for manufacturers' values and 7% for literature, respectively by 0.57±0.28cm² and 0.43±0.17cm² in average). Those differences were significantly influenced by prosthesis types. The lack of reference values for mechanical prostheses and the inability of the in-vitro values to be used in patients were underlined. Whenever MHV are concerned, guidelines advice careful diagnosis on changes in EOA values between two echocardiographic prosthesis assessments rather than comparison to provided MHV reference values. Furthermore, in the context of MHV assessment, the use of continuity equation could be questioned as mentioned in guidelines ².

Manufacturers provide internal diameters of the different prosthesis sizes they produce. In some cases, they sometime described reference values of EOAi in relation to patient BSA, while in vitro testing setting and testing conditions are rarely provided. As the present work showed that EOA values change with hemodynamic conditions (Figure 4), description of testing systems and conditions as well as results of one reference prosthesis in this setting should be a norm requirement for mitral valvular

Reference EOA values provided here were found lower than EOA values from manufacturer and

The main objection to the use of in-vitro values are based on that EOA values obtained clinically would generally be preferred for patient prosthesis mismatch (PPM) assessment and prospective preventive strategy⁹. However as literature values are derived from clinical studies, characteristics of the studied population may wronged reference values. Differences between in vitro values and the literature ones can be explained by the finite number of patients compare to the larger panel of testing conditions which simulate a probably larger range of hemodynamic conditions. The advantages of in vitro testing are to enable the test of the whole range of hemodynamic conditions possibly found in patients who underwent mitral valve replacement.

Consequences on echocardiographic criteria

The threshold values for prosthesis dysfunction diagnosis will depend of the prosthesis model and size and is defined for a value lower than « reference EOA value » - 1SD for a probable dysfunction and « reference EOA value » - 2SD for a most probable prosthesis dysfunction. The EOA values provided here are averaged on all testing conditions that depict the wide range of hemodynamic conditions in which a patient could be. The SDs provide information on what could be the differences from one patient to another with the same prosthesis model and size.

For prognostic and diagnosis, EOAi and mTPG comparison with reference values aims to avoid PPM resulting of prejudiced prosthesis choice. Hemodynamic reference values provided here enable to anticipate post-surgery EOA and geometric reference values could be used to determine which transcatheter valve size should be implanted whenever a valve-in-valve procedure is considered.

Limitations

Further study on MHV EOA could investigate the relationship with mTPG and leads to correction of EOA formulation by continuity equation. Moreover tissue characterization of each prosthesis leaflet type could complete and explain difference between porcine and pericardial prostheses presented here (influence on opening, partial closing and closing time of the prosthesis). Design prosthesis influence could be studied with numerical models simulating similar testing conditions. Other echocardiographic

diagnosis criteria include maximal velocity, Doppler velocity index (DVI,) acceleration time and PHT.

Those parameters were not described here.

Conclusion

Objective in-vitro reference values are given here to clinicians to avoid PPM and improve prosthesis dysfunction diagnostic. Geometric parameters of the prosthesis have been additionally provided enabling best choice of the prosthesis by the clinician and in view of future valve-in-valve procedure in mitral prosthesis. This work highlighted an >0.25cm² overestimation of the in-vitro EOA in comparison with current manufacturer's and literature provided values in respectively 32% and 7% of the bioprosthesis tests (0.57 ± 0.28 cm² and 0.43 ± 0.17 cm² in average). Hemodynamic conditions and prosthesis design were found to influence EOA values. This implies necessity to detail testing conditions as well as testing set up in reference EOA reports. Additionally, provided SD EOA values depict the whole possible hemodynamic conditions find for patients with the given prostheses.

Acknowledgements

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272 Figure Legends

- 273 [Figure 1] Geometric Measurements Acquired Bi-leaflet Mechanical Heart Valves (A) and
- 274 Bioprostheses (B).
- 275 Legend:
- 276 (A): bileaflet mechanical heart valve: D, internal diameter at the outflow side; H, height of the sewing
- 277 ring without the hinges; a, distance between leaflet when fully opened at the outflow side (maximum
- distance); b, at the entry side (smallest). (B-C): Bioprosthesis: d, outfow diameter at the post edges;
- D, internal diameter at the base of the leaflets; h, height of the sewing ring; H, height of the struts,
- 280 IOD, internal orifice diameter at the entry level. (D): Medtronic Mosaic Cinch (E): St Jude Epic (F):
- Edwards Perimount (G): St Jude Masters (501 and 505) (H): OnX (I): Medtronic Hall.
- [Figure 2] Representation of the prosthetic valve EOA and IOA.
- 283 Legend: LA: Left atrium; LV: Left ventricle; IOA: internal orifice area; EOA: effective orifice area;
- 284 [Figure 3] EOA (A) and TPG (B) according to Bioprosthesis Type, Internal Diameter and
- 285 Height.
- 286 Legend: Type: prosthesis type (pericardial versus porcine); Diam: prosthesis diameter (mm); Height
- 287 (prosthesis height (mm). Mean values are depicted in red. Red (plain and dashed) and blue lines depict
- 288 respectively 2cm² EOA threshold, 1cm² EOA threshold and 5 mmHg mTPG threshold. p values were
- depicted by * when p<0.05, ** when p<0.01 and *** when p<0.001.
- 290 [Figure 4] EOA by Continuity Equation (A) and TPG (B) According to Mitral Flow Volume
- 291 (MFV), E/A ratio, Mean Aortic Pressure (Pao), Heart Rate (HR).
- 292 Legend: MFV: Mitral flow volume (mL); E/A: E wave/A wave velocities; mPao: mean aortic
- pressure (mmHg); HR: Heart rate (bpm). Mean values are depicted in red. Red (plain and dashed)
- and blue lines depict respectively 2cm² EOA threshold, 1cm² EOA threshold and 5mmHg mTPG
- threshold. p values were depicted by * when p<0.05, ** when p<0.01 and *** when p<0.001.

[Figure 5] Relationship between EOA, IOA, and mTPG for all Tested Prostheses.

Legend: A: Relationship between EOA and IOA for pericardial bioprostheses (red symbols and lines)

and porcine bioprostheses (pink), mono-leaflet mechanical prostheses (green), bileaflet mechanical

prostheses (blue) for the standard test condition (MFV=70mL, HR=70bpm, E/A=0.5 and

mPao=100mmHg). B: Relationship between EOA and mTPG.

304	Table Legends
305 306	[Table 1] Normal Values of EOAs and mTPGs Measured by Doppler Echocardiography for the Tested Mitral Valve Prostheses.
307	[Table 2] Transvalvular Regurgitation of the Mitral Valve Bioprostheses.
308	[Table 3] Reference values for Effective Orifice Area Used in the Difference Computation from
309	In-vitro Results.
310	[Supplement Table 1] Measurements of the Geometric Parameters of the Tested Prostheses.