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1	Stress responses, outer membrane permeability control and antimicrobial resistance in
2	Enterobacteriaceae
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25	(sRNA).
26	

27 Abstract

Bacteria have evolved several strategies to survive a myriad of harmful conditions in the 28 environment and in hosts. In Gram-negative bacteria, responses to nutrient limitation, 29 oxidative or nitrosative stress, envelope stress, exposure to antimicrobials and other growth-30 31 limiting stresses have been linked to the development of antimicrobial resistance. This results from the activation of protective changes to cell physiology (decreased outer membrane 32 33 permeability), resistance transporters (drug efflux pumps), resistant lifestyles (biofilms, persistence) and/or resistance mutations (target mutations, production of antibiotic 34 modification/degradation enzymes). In targeting and interfering with essential physiological 35 mechanisms, antimicrobials themselves are considered as stresses to which protective 36 responses have also evolved. In this review, we focus on envelope stress responses that affect 37 the expression of outer membrane porins and their impact on antimicrobial resistance. We 38 also discuss evidences that indicate the role of antimicrobials as signaling molecules in 39 activating envelope stress responses. 40

41 Introduction

Antimicrobial resistance (AMR) is broadly recognized as a growing threat for human health 42 [1, 2, 3]. As such, increasing antibiotic treatment failures due to multidrug resistant (MDR) 43 bacteria have stirred the urgent need to better understand the underlying molecular 44 mechanisms and promote innovation with the development of new antibiotics and alternative 45 therapies [4, 5]. The efficacy of antibacterial compounds depends on their capacity to reach 46 47 inhibitory concentrations at the vicinity of their target. This is particularly challenging for drugs directed against Gram-negative bacteria, which exhibit a complex envelope comprising 48 two membranes and transmembrane efflux pumps [6, 7]. The Gram-negative envelope 49 comprises an inner membrane (IM), which is a symmetric phospholipid bilayer; a thin 50 peptidoglycan (PG) layer ensuring the cell shape; and an outer membrane (OM) that is an 51 asymmetric bilayer, composed of an inner leaflet of phospholipids and an outer leaflet of 52 lipopolysaccharide (LPS) [8]. The OM is a barrier to both hydrophobic and hydrophilic 53 compounds, including necessary nutrients, metabolic substrates and antimicrobials, but 54 access is provided by the water filled β -barrel channels called porins [6, 9, 10, 11, 12]. In 55 Escherichia coli, the channels of the general porins OmpF and OmpC are size restricted and 56 show a preference for passage of hydrophilic charged compounds, including antibiotics such 57 as β -lactams and fluoroquinolones. These porins are conserved throughout the phylum of γ -58 59 proteobacteria [13]. Additionally, tripartite RND (Resistance-Nodulation-cell Division) efflux pumps, such as AcrAB-TolC in *E. coli*, play a major role in removing antibiotics from 60 the periplasm [7, 12]. Not surprisingly, MDR clinical isolates of Enterobacteriaceae 61 generally exhibit porin loss and/or increased efflux, which act in synergy to reduce the 62 63 intracellular accumulation of antibiotics below the threshold that would be efficient for activity [10]. 64

Given the importance of the OM in controlling the uptake of beneficial as well as toxic 65 compounds, one can expect that the expression of porins depends on environmental stresses 66 and is well-coordinated at the transcriptional and post-transcriptional levels [10, 14-17]. In 67 this review, we will address the porin-mediated influx of antibiotics and give a perspective on 68 the factors, including major regulatory pathways and antibiotic stresses, which control porin 69 expression in E. coli and closely relative Enterobacteriaceae. Additionally, we will discuss 70 the recent clinical data that illustrate the bacterial strategies using porins modifications to 71 limit antibiotic entry. 72

74 Antibiotic stresses

Bacteria are present in a wide range of environments in which they are exposed to diverse 75 toxic compounds or growth-limiting conditions. These include antibiotics used in the medical 76 environment and agricultural settings. The last few decades have been marked by the constant 77 increase of (multi)drug resistant clinical isolates to which we responded by increasing 78 antibiotic dosing. Therefore, antibiotics are present almost everywhere at different 79 80 concentrations [18]. Although MDR still emerges from bacterial exposure to antibiotic concentrations that are higher than the minimal inhibitory concentrations (MIC, defined as 81 the lowest concentration of a drug that inhibit bacterial growth in defined laboratory 82 conditions), the effects of subinhibitory concentrations on bacterial physiology and AMR was 83 mostly disregarded. Importantly, studies in this field have shown that low antibiotic 84 concentrations affect bacteria at least at four different levels: as selectors of resistance (by 85 enriching resistant bacteria within populations and selecting for *de novo* resistance mutations) 86 [19]; (ii) as contributors of genetic and phenotypic heterogeneity [20]; (iii) as intercellular 87 signals [21]; (iv) as inducers of persistence [22]. In this regard, Viveiros and colleagues have 88 demonstrated the induction of high-level resistance to tetracycline (TET) in susceptible E. 89 coli K12 obtained by gradual, step-wise increase exposure to subinhibitory concentrations of 90 91 the antibiotic [23]. Increased expression of the AcrAB efflux pump was found responsible for resistance to TET, which could also be reversed by the use of the efflux pump inhibitor 92 phenylalanine-arginine- β -naphthylamide (PA β N). Interestingly, the TET-resistant strain also 93 exhibited MDR due to repression of OmpF and OmpC expression [24]. Important questions 94 95 arise from this and other related studies. First is whether the target for signaling resistance is the same as the target that is inhibited by the antibiotic. In case the antibiotic itself but not a 96 secondary metabolite is the signaling molecule, this could be determined by examining 97 whether the response is alleviated by a target mutation that prevents drug binding. Second is 98 99 whether and how the antibiotic (or a secondary metabolite) interferes with the ESRs described above. Here, comparative transcriptomics between susceptible and resistant strains 100 would be a valuable tool to answer this question. 101

102

103 Global regulators

In *Enterobacteriaceae*, the development of MDR is under positive regulation by global
transcriptional activators that include members of Ara/XylS superfamily such as MarA,
RamA (absent in *E. coli*) and Rob as well as the oxidative stress regulon SoxSR [10, 25-29].
Mutations in the corresponding genes are well-documented and induce the overproduction of

efflux pumps with concomitant repression of porin expression both directly and indirectly 108 [10]. These mechanisms are reviewed in details in Davin *et al.* [10]. Negative regulation by 109 repressors of porins also plays a major role. OmpX is a small OM channel [30], of which 110 overexpression is associated with a decreased expression of Omp36 (the OmpC ortholog of 111 *Enterobacter aerogenes*) and a decreased susceptibility to β-lactams [31, 32]. Studies have 112 indicated that expression OmpX itself is controlled by a number of environmental factors 113 114 including salicylate via MarA and paraquat via SoxS [33] A very rapid MarA-dependent response pathway for upregulation of *ompX* has been shown to occur within 60-120 min upon 115 cell exposure to salicylate [32]. This work by Dupont et al. identified dramatic decrease in 116 OmpF levels, as a first line of defense, with simultaneous development of resistance to β-117 lactams and fluoroquinolones by altering OM permeability. 118

119

120 Envelope stress responses

All living organisms have stress responses that allow them to sense and respond to 121 environmental damaging conditions by remodeling gene expression. As such, Gram-negative 122 bacteria possess stress responses that are uniquely targeted to the cell envelope, including 123 membranes and cell wall. These envelope stress responses (ESRs) are the EnvZ/OmpR, 124 125 CpxAR (Cpx), BaeRS, and Rcs phosphorelays, the stress responsive alternative sigma factor σ^{E} , and the phage shock response [34-37] in *E. coli* and closely related *Enterobacteriaceae*. 126 Each of these ESRs is activated following the perturbation of particular components of the 127 envelope or exposure to particular environmental stresses. Although ESRs are important for 128 reacting to damaging conditions, stress proteins also play important roles in the maintenance 129 of basic cellular physiology [38, 39]. This is particularly true for the σ^{E} -dependent stress 130 response in *E. coli*, as the *rpoE* gene, which encodes σ^{E} , is essential for viability [40]. Here, 131 we will essentially focus on ESRs that impact on AMR by regulating porin expression 132 together with many other targets (regulons) — namely EnvZ/OmpR, Cpx and σ^{E} (see below 133 and key figure). Additionally, with the recent highlights and advances in RNA-based 134 techniques [41], the repertoire of small regulatory RNAs (sRNAs) has vastly increased so as 135 to and their impact on the OM is continuously emerging [15, 17]. sRNAs alter gene 136 expression, allowing fast adjustment to different growth conditions [42]. Noteworthy, ESRs 137 are often interconnected, regulate and are regulated by sRNAs in order control target genes 138 both at the transcriptional and post-transcriptional levels [15-17, 43, 44] (see below and key 139 figure). 140

Osmolarity was one of the earliest stresses described to influence OmpF and OmpC 141 expression via the EnvZ/OmpR two-component system (TCS) [45, 46]. EnvZ is a membrane-142 bound sensor kinase, and OmpR is a cytosolic response regulator, which binds to the 143 promoter region of the porin genes. Upon activation, EnvZ autophosphorylates and the high 144 energy phosphoryl group from EnvZ is subsequently transferred to a conserved Asp residue 145 on OmpR. Phosphorylated OmpR (OmpR~P) serves as a transcription factor that 146 147 differentially modulates the expression of the ompF and ompC porin genes [45]. At low osmolarity, high levels of OmpR~P activates ompF transcription, whereas at high osmolarity, 148 low levels of OmpR~P represses *ompF* transcription and activates *ompC* transcription [47]. 149 This differential production of OmpF and OmpC is consistent with that in high osmolarity 150 environments, such as in the hosts where nutrients are abundant the least permeable pore 151 channel OmpC is predominant, thus limiting the uptake of toxic bile salts; whereas in low 152 osmolarity environments where nutrients are scarce, the most permeable pore channel OmpF 153 is expressed [6]. OmpF and OmpC transcriptional regulation by EnvZ/OmpR is also triggered 154 by local anesthetics, pH, and nutrient limitation [46]. 155

Accumulation of misfolded OM proteins in the periplasm, presumably reflecting problems in 156 protein assembly or transport across the IM, can be detected by regulatory sensors that 157 activate either the Cpx TCS or the alternative sigma factor σ^{E} . σ^{E} and Cpx are the two major 158 regulation pathways that control the envelop integrity with overlapping regulon members 159 [48-51] but respond to different inducing cues [35]. It is possible that these poorly defined 160 signals (see below) act by causing accumulation of misfolded proteins. However, misfolded 161 proteins are not the inducing signal *per se*, as some induce σ^{E} but not Cpx and *vice versa*. 162 Recent studies rather suggest that Cpx responds to IM perturbations, while σ^{E} is activated by 163 signals at the OM. The Cpx system comprises the CpxA sensor kinase and response regulator 164 CpxR. Envelope stresses including alkaline pH, periplasmic protein misfolding, IM 165 abnormalities such as misfolded transporters or accumulation of the lipid II precursor, induce 166 the dissociation of the accessory protein CpxP from CpxA, trigger CpxA-mediated 167 phosphorylation of CpxR, and altered expression of protein foldases and proteases, 168 respiratory complexes, IM transporters, and cell wall biogenesis enzymes [37, 48, 49], all of 169 which affect resistance to a number of antibiotics, particularly aminoglycosides and β-170 171 lactams [37, 49, 52-54]. The Cpx-mediated regulation of porins occurs at several levels. At the transcriptional level, $CpxR \sim P$ has been shown to bind directly the *ompF* and *ompC* 172 promoters [55]. More recently, it has been found that the small IM protein MzrA connects 173

Cpx and EnvZ/OmpR [56]. In this pathway and upon the activation of Cpx, MzrA interacts 174 directly with EnvZ, which in turn, stabilizes OmpR~P [57]. In sensing different signals, the 175 interconnection between Cpx and EnvZ/OmpR allows cells to adapt to diverse environmental 176 stresses. Finally, although Cpx contributes to AMR by regulating a number of genes [37, 49, 177 52-54], its precise role and that of other TCSs in the development of MDR in clinical isolates 178 are still poorly documented [58]. On the other hand, the stress responsive sigma factor σ^{E} is 179 induced by stresses that disturb the OM and its regulon members comprise genes that 180 facilitate the biogenesis of OM components, including proteins, lipoproteins and LPS [59-181 67]. In the absence of inducing signals, σ^{E} is held at the cytoplasmic side of the IM by the 182 anti-sigma factor RseA. At the periplasmic side of the IM, RseB binds to RseA, thus 183 enhancing the inhibition of σ^{E} . Upon activation, σ^{E} is released from RseA by a proteolytic 184 cascade that starts with the sequential degradation of the periplasmic and transmembrane 185 domains of RseA by DegS and RseP, respectively, followed by the degradation of the 186 cytoplasmic domain of RseA by ClpXP [68]. Interestingly, proteolysis of RseA is triggered 187 by the binding of a conserved peptide found at the C-terminus of OM proteins, which is 188 normally buried in folded porin trimers, to DegS in conjunction with the release of RseB 189 from RseA upon binding of LPS intermediates [69, 70]. Of note, the σ^{E} -dependent repression 190 of porin synthesis only occurs at the post-transcriptional level, wherein base-paring sRNAs 191 inhibits translation of *omp* mRNAs (see below) in order to maintain the envelope homeostasis 192 under stress conditions, as porins are major abundant proteins under normal growth 193 conditions [6]. 194

The post-transcriptional repression of OmpF by the sRNA MicF has been discovered in 1984 195 196 [71-73]. This 93-nucleotide (nt) RNA is transcribed in the opposite direction to the ompCgene and acts by direct base-pairing to a region that encompasses the ribosome binding site 197 198 (RBS) and the start codon of the *ompF* mRNA, thus preventing translation initiation [74]. The expression of the MicF sRNA itself is subjected to multiple signals and regulatory 199 pathways [75]. Positive regulation includes EnvZ/OmpR in high osmolarity conditions [76], 200 SoxS in response to oxidative stress [77] and MarA in response to antibiotic stress [25]. The 201 202 109-nt MicC sRNA has been identified more recently and shown to repress OmpC by direct base-pairing to a 5' untranslated region of the ompC mRNA [78]. Interestingly, micC is 203 204 transcribed in the opposite direction to the ompN gene that encodes a quiescent porin homologous to OmpF and OmpC [79]. We have recently shown that ompN and micC are 205 subjected to dual regulation upon exposure to certain antimicrobials such as β -lactams in a 206

 σ^{E} -dependent manner [80]. This is consistent with that *ompN-micC* and *ompC-micF* share 207 similar genetic organization and that ompC and micF are co-induced under specific 208 conditions (i. e. high osmolarity via EnvZ/OmpR). The last decade has been marked by the 209 210 identification and characterization of several sRNAs. These are differentially expressed and have been assigned to various important regulatory pathways in E. coli and Salmonella. 211 Interestingly, many pathways regulate and are regulated by sRNAs [43, 44]. A prime 212 example is EnvZ/OmpR, which activates the expression of MicF (that target *ompF*), OmrA 213 214 and OmrB (that target *ompT* and mRNA of OM channels for iron-siderophore complexes) [81]; OmrA and OmrB, in turn, repress the translation of the ompR mRNA, creating a 215 negative feedback loop [82]. Others examples include the well-conserved σ^{E} -regulated 216 sRNAs RybB (that target *ompC* and *lamB* in *E. coli*; *ompN* and *ompW* in *Salmonella*), MicA 217 (*ompA*), RseX (*ompC* and *ompA*), CyaR (*ompX*) and MicL (that represses translation of the 218 major OM lipoprotein Lpp) [43, 66, 83-90] (key figure). Of note all these sRNAs are trans-219 acting, function by imperfect base pairing with multiple mRNA targets and require the help 220 of the RNA chaperone Hfq [15-17]. 221

222

223 **Porin alterations in clinical isolates**

Combined regulations contributed by different stressors leads to hampering of the drug 224 accumulation inside cells under the threshold for bacterial death. In one such study in K. 225 226 pneumoniae, preferential expression of OmpK37 was detected in porin-deficient strains [92]. Amino acid sequencing showed that OmpK37 is highly homologous to quiescent porins 227 OmpS2 from Salmonella enterica serovar Typhimurium and OmpN from E. coli. Liposome 228 swelling assay with purified porins determined that OmpK37 also has a narrower pore, which 229 was responsible for higher MICs of cefotaxime and cefoxitin antibiotics because of lower 230 drug diffusion. A very recent study identified mutation in the *pho* regulon of an extensively 231 drug resistant strain of K. pneumoniae demonstrating downregulation of phoE gene by 232 mutations in *phoR* and *phoB*. Here the PhoE porin, which is normally involved in phosphate 233 transport, promotes restoration of cefoxitin and carbapenem resistance [93]. This is an 234 interesting example of a regulatory mutation that effects porin expression, and clinically 235 236 favors AMR under antibiotic therapy.

A wide array of chemicals including disinfectants and antibiotics has been shown to modulate
the OM permeability including expression of porins [94]. In addition, several studies have

described the effect of imipenem on porin loss or loss of function mutations in clinical
isolates of *Enterobacteriaceae* [58, 95-100].

Porins are trimers of 16-stranded β -barrels, each monomer formed of a central channel 241 constricted by loop 3 that folds inward, thereby restricting the size of the channel. The 242 presence of acidic residues in loop 3 facing a cluster of basic residues on the opposite side of 243 the pore creates a strong transversal electric field [6, 101, 102]. This so-called eyelet or 244 245 constriction region determines the channel size and ion selectivity, with OmpF being more permeable than OmpC. This latter observation was first attributed to the OmpC pore being 246 slightly more constricted in this porin compared to OmpF [101, 102]. Although the two 247 porins share high sequence similarity, the pore interior is more negative in OmpC than in 248 OmpF [102]. This can also account for the low permeability of OmpC for anionic β -lactams 249 [103, 104]. Moreover, the replacement of all ten titratable residues that differ between OmpC 250 and OmpF in the pore-lining region leads to the exchange of antibiotic permeation properties 251 [105]. Together, these structural and functional data clearly demonstrate that the charge 252 distribution at pore linings, but not pore size, is a critical parameter that physiologically 253 distinguishes OmpC from OmpF. 254

Functional mutations in porin genes leading to reduced permeability are another strategy 255 256 found in MDR bacteria. In two documented cases, β -lactam-resistant clinical isolates of E. aerogenes contained Omp36 (an OmpC homologue) that carried the mutation G112D in L3 257 [106, 97]. The homologous mutation G119D in OmpF of E. coli narrows the size of the 258 channel as the large side chain of Asp protrudes into the channel lumen and confers a drastic 259 260 reduction in β -lactam susceptibility [107]. Consistently, the Omp36 G112D mutant of E. aerogenes was characterized by a 3-fold decrease in ion conductance and a significant 261 decrease in cephalosporin sensitivity (e. g. MICs of cefotaxime, cefpirome, cefepime and 262 ceftazidime were 7 to 9 fold higher in the clinical isolate as compared to that in a sensitive 263 reference strain) and a cross resistance to carbapenems [106, 97]. Recent studies also found a 264 series of OmpC mutants that were isolated from a patient with chronic E. coli infection and 265 additive mutations that conferred increased resistance to a variety of antibiotics, including 266 cefotaxime, ceftazidime, imipenem, meropenem and ciprofloxacin [108, 109]. Low et al. 267 demonstrated that subtle changes in OmpC in clinical isolates of E. coli altered antibiotic 268 permeability and thus cell viability [108]. Seven isolates collected over a two year clinical 269 treatment exhibited increased levels of antibiotic resistance. These isolates exhibited the same 270 two mutations (D18E and S274F) in the OmpC porin with increased levels of antibiotic 271

resistance, thus pointing towards the possible functional role of these mutations in antibioticinflux.

It is worthwhile to note that from our knowledge, porin mutations causing reduced permeability have only been described in OmpC-type porins in *E coli* and *E aerogenes*. Interestingly, this type of porin is expressed under high osmolarity, the same environment the bacteria encounters the hosts. This gives an essential outlook on the host induced modifications that possibly occurs in these pathogens during infection. Heeding to this sort of information can be highly beneficial for designing drugs with an improved diffusion across the bacterial outer membrane.

281

282 Conclusion

It is noteworthy that the sRNA-mediated stress response mechanism has multiple benefits for bacteria as compared to regulation by protein. Since sRNAs are produced during transcription, the later stages of translation and post translational modification processes in the cell is completely skipped proving to be time and energy efficient for the cell. Not to forget the energy saved in porin assembly and discarding of misfolded proteins, which in itself can induce another stress response mechanism.

289 Decreased porin expression has been observed as a rapid response to toxic molecules and antibiotics within less than 60 minutes. Many sRNAs act at the post-transcriptional level, 290 which ensures a rapid response to stressful conditions. In addition, the versatility of sRNAs 291 ensures another level of gene regulation along with protein transcriptional regulators, thus 292 293 contributing to an additional layer of tighter regulation. Taking into account the major role of the CpxAR and EnvZ/OmpR regulators in response to stressors such as antibiotics, it will be 294 interesting to develop some assays allowing the detection of these kinds of mutations inside 295 clinical isolate. This original diagnostic maybe used for determining the prevalence of these 296 297 regulation events in clinical strain that have undergone antibiotic stress.

Targeting the early transcriptional step of antibiotic stress response regulatory mechanism is 298 much more logical, especially when we have reports of OMP expression being regulated 299 (both up and downregulation) within 60 minutes of stress appearance [32]. This will 300 especially promote bypassing of aforementioned mutations in porins in clinical strains that 301 are selected during antibiotic treatment. Targeting of sRNA or sRNA regulators such as 302 MicF or Hfq can rejuvenate failing antimicrobial therapies in regards with membrane 303 304 impermeability. They can be original targets for increasing the efficiency of existing drugs by providing fitness reduction in bacteria. As of now, a cyclic peptide RI20 has been identified 305

to inhibit Hfq-mediated repression of gene, by binding with proximal binding site of Hfq [110]. Another approach will be to inhibit sRNA interfering with porin expression that is involved in drug translocation. Recently, a small molecule was used to target human microRNA (miR)-525 precursors as an anti-cancer strategy [111]. This promising discovery can be repeated in bacteria for manipulating sRNA levels, which may save the failing antibiotic therapies.

Predictability of an efficient drug based on the SICAR (Structure Intracellular Concentration Activity Relationship) concept, is a step up to efficient drug designing. Briefly, SICAR connects the physicochemical drug properties to the efficacy of translocation through the bacterial membrane and the resulting intracellular accumulation. To achieve this goal, an extensive knowledge of the OM permeability control, including the contribution of sRNAs, is required. 318 Funding information: The research leading to the discussions presented here was conducted as part of the Marie Curie Initial Training Network TRANSLOCATION consortium and has 319 received support from the ITN-2013-607694-Translocation (SD). This work was also 320 supported by Aix-Marseille Univ. and Service de Santé des Armées. 321 322 Acknowledgments: We thank all the members of the UMR MD1, especially Estelle Dumont 323 and Julia Vergalli, for helpful discussions throughout this work. 324 325 Conflicts of interest: The authors declare no conflict of interest. The founding sponsors had 326 no role in the design of the study; in the collection, analyses, or interpretation of data; in the 327 writing of the manuscript, and in the decision to publish the results. 328

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608 Key figure: Major regulatory pathways of porin regulation in E. coli: EnvZ/OmpR [46], CpxAR and sigma E (σ^{E}) [35] stress response systems are shown, along with known inducing 609 610 cues and targets relevant to porin regulation. The upregulation is shown with thick green arrows, while the downregulation is shown with red lines. In the EnvZ/OmpR TCS, 611 activation of the response regulator OmpR results in phosphorylated and OmpR~P 612 downregulates the expression of OmpF both at the transcriptional and post-transcriptional 613 levels, the latter via the MicF sRNA. The mar-sox-rob regulons also downregulate OmpF 614 expression via MicF. Both the CpxAR and σ^{E} responses are activated by a variety of 615 envelope stresses. For clarity, only periplasmic misfolded OMPs are represented here. On one 616 617 hand, CpxR~P alters expression of multiple genes, including that of *micF*. On the other hand, the anti-sigma factor RseA is degraded by the successive action of two proteases, DegS and 618 RseP at the periplasmic and the cytoplasmic site. Another protease, ClpXP specifically 619 degrades the cytoplasmic RseA portion bound to σ^{E} , leading to its release. A number of σ^{E} -620 regulated sRNAs are indicated: MicC [78] downregulates OmpC and is coupled with ompN 621 upregulation [80]; sRNA regulation of porins via CyaR [90], IpeX [91], RseX [86] and RybB 622 [84, 88] are shown accompanied by their activators and porin targets; CyaR negatively 623 regulates the expression of single channeled porin OmpX [30], which in turn negatively 624 regulates the major porin OmpC. The details of all these interconnected pathways are 625 626 discussed thoroughly in the text.

