

Two points of the boundary of toric geometry Bernard H Teissier

▶ To cite this version:

Bernard H Teissier. Two points of the boundary of toric geometry. Singularities, Algebraic Geometry, Commutative Algebra, and Related Topics, In press. hal-01835867

HAL Id: hal-01835867 https://hal.science/hal-01835867

Submitted on 11 Jul 2018

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Two points of the boundary of toric geometry

Bernard Teissier

To Antonio Campillo, on the occasion of his 65th Birthday

Abstract This note presents two observations which have in common that they lie at the boundary of toric geometry. The first one because it concerns the deformation of affine toric varieties into non toric germs in order to understand how to avoid some ramification problems arising in the study of local uniformization in positive characteristic, and the second one because it uses limits of projective systems of equivariant birational maps of toric varieties to study the space of additive preorders on \mathbb{Z}^r for $r \ge 2$.

1 Using toric degeneration to avoid wild ramification

In his book [1], Campillo introduces and studies a notion of characteristic exponents for plane branches over an algebraically closed field of positive characteristic. One of the definitions he gives is that the characteristic exponents are those of a plane branch in characteristic zero having the same process of embedded resolution of singularities. His basic definition is given in terms of Hamburger-Noether expansion¹ but we shall not go into this here. He then gives an example to show that even if you do have a Puiseux-type parametrization for a branch in positive characteristic, which is not always the case, the exponents you see in the parametrization are *not* in general the characteristic exponents. His example (see [1, Chap. III, §5, Example 3.5.4]) is this:

Let *p* be a prime number. Let us choose a field *k* of characteristic *p* and consider the plane branch defined parametrically by $x = t^{p^3}$, $y = t^{p^3+p^2} + t^{p^3+p^2+p+1}$, and im-

Institut mathématique de Jussieu-Paris Rive Gauche, e-mail: bernard.teissier@imj-prg.fr

¹ The Hamburger-Noether expansion is an algorithm extracting in any characteristic a description of the resolution process by point blowing-ups from a parametric representation x(t), y(t).

plicitly by a unitary polynomial of degree p^3 in y with coefficients in k[[x]]. Campillo computes by a Hamburger-Noether expansion the characteristic exponents and finds $\beta_0 = p^3, \beta_1 = p^3 + p^2, \beta_2 = p^3 + 2p^2 + p, \beta_3 = p^3 + 2p^2 + 2p + 1$. Note that there are four characteristic exponents while the parametrization exhibits three exponents in all. In [13, Remark 7.19], the author had computed directly from the parametrization the generators of the semigroup of values of the *t*-adic valuation of k[[t]] on the subring $k[[t^{p^3}, t^{p^3+p^2} + t^{p^3+p^2+p+1}]]$ and found the numerical semigroup with minimal system of generators:

$$\Gamma = \langle p^3, \ p^3 + p^2, \ p^4 + p^3 + p^2 + p, \ p^5 + p^4 + p^3 + p^2 + p + 1 \rangle.$$

We can verify that Campillo's characteristic exponents and the generators of the semigroup satisfy the classical relations of Zariski, in accordance with [1, Proposition 4.3.5].

This example is very interesting because it shows that in positive characteristic, even if a Puiseux-type expansion exists, its exponents do not determine the resolution process. In thinking about resolution in positive characteristic, one should keep away from ideas inspired by Puiseux exponents. The semigroup, however, does determine the resolution process in all characteristics. It is shown in [13] and [15] that in the case of analytically irreducible curves one can obtain embedded resolution by studying the embedded resolution of the monomial curve corresponding to the minimal system of generators of the numerical semigroup Γ of the values taken on the algebra of the curve by its unique valuation. Another, more classical, reason is that the semigroup determines the Puiseux exponents of the curve in characteristic zero having the same resolution process, and therefore this resolution process (see [1, Chap. IV, §3]).

The polynomial $f(x,y) \in k[[x]][y]$ defining our plane branch can be obtained by eliminating u_2, u_3 between three equations which are:

$$y^{p} - x^{p+1} - u_{2} = 0, \ u_{2}^{p} - x^{p(p+1)}y - u_{3} = 0, \ u_{3}^{p} - x^{p^{2}(p+1)}u_{2} = 0.$$

This makes it apparent that our plane branch is a flat deformation of the curve defined by $y^p - x^{p+1}$, $u_2^p - x^{p(p+1)}y = 0$, $u_3^p - x^{p^2(p+1)}u_2 = 0$, which is the monomial curve C^{Γ} in $\mathbf{A}^4(k)$ given parametrically by $x = t^{p^3}$, $y = t^{p^3+p^2}$, $u_2 = t^{p^4+p^3+p^2+p}$, $u_3 = t^{p^5+p^4+p^3+p^2+p+1}$. That is, the monomial curve whose affine algebra is the semigroup algebra $k[t^{\Gamma}]$. The binomial equations correspond to a system of generators of the arithmetical relations between the generators of the semigroup. Compare with [15, Remark 7.19]; we have chosen here the canonical system of relations between the generators of the semigroup, where all the exponents in the second monomial of the equations except the exponent of *x* are < p.

Moreover, if we give to each of the variables x, y, u_2, u_3 a weight equal to the exponent of *t* for this variable in the parametrization of C^{Γ} , we see that to each binomial is added a term of higher weight. This is an *overweight deformation* of a prime binomial ideal in the sense of [15, §3].

Eliminating u_2 and u_3 between the three equations gives the equation of our plane curve with semigroup Γ :

$$(y^{p} - x^{p+1})^{p^{2}} - 2x^{p^{2}(p+1)}y^{p} + x^{(p^{2}+1)(p+1)} = 0.$$

If p = 2 this reduces to $(y^2 - x^3)^4 - x^{15} = 0$, which looks like it should be the overweight deformation $y^2 - x^3 - u_2 = 0, u_2^4 - x^{15} = 0$ of the monomial curve with equations $y^2 - x^3 = 0, u_2^4 - x^{15} = 0$. However, such is not the case because the two binomials $y^2 - x^3, u_2^4 - x^{15} = 0$. However, such is not the case because the two binomials $y^2 - x^3, u_2^4 - x^{15} = 0$. However, such is not the case because the two binomials $y^2 - x^3, u_2^4 - x^{15}$ do not generate a prime ideal in $k[[x, y, u_2]]$. One verifies that $u_2^2 - x^6 y$ is not in the ideal but its square is. The binomials do not define an integral monomial curve, but in fact the non reduced curve given parametrically by $x = t^8, y = t^{12}, u_2 = t^{30}$ with non coprime exponents, while we know that the semigroup of our curve is $\Gamma = \langle 8, 12, 30, 63 \rangle$. The equation of our plane curve is indeed irreducible, but it is *not* an overweight deformation of a integral monomial curve in $\mathbf{A}^3(k)$. One has to embed our plane curve in $\mathbf{A}^4(k)$ to view it as an overweight deformation of a monomial curve.

The reader can verify that the same phenomenon occurs in any positive characteristic. For $p \neq 2$ our curve looks like an overweight deformation of the curve in $\mathbf{A}^3(k)$ defined by the binomials $y^p - x^{p+1}, u_2^{p^2} - 2x^{p^2(p+1)}y^p$ but these binomials do not generate a prime ideal: the binomial $u_2^p - 2x^{p(p+1)}y$ is not in the ideal, but its *p*-th power is by Fermat's little theorem. So appearances can be deceptive also from the equational viewpoint.

We expect the same result in characteristic zero, and this is true as soon as the field *k* contains the *p*-th roots of 2. In this case, by (the proof of) [4, Theorem 2.1] the ideal *I* generated by $y^p - x^{p+1}, u_2^{p^2} - 2x^{p^2(p+1)}y^p$ is not prime because the lattice \mathscr{L} in \mathbb{Z}^3 generated by (-(p+1), p, 0) and $(-p^2(p+1), -p, p^2)$ is not saturated; the vector w = (-p(p+1), -1, p) is not in \mathscr{L} , but *pw* is. Here the argument is that if *I* was a prime ideal, at least one of the factors of the product $\prod_{\zeta p=2} (u_2^p - \zeta x^{p(p+1)}y) = r^2$

 $u_2^{p^2} - 2x^{p^2(p+1)}y^p$ should be in *I*, which is clearly impossible.

This raises the following question: Given an algebraically closed field k, assuming that we have a Puiseux expansion $x = t^n$, $y = \sum_j a_j t^j$, $a_j \in k$, can one predict, from the set $\{j/a_j \neq 0\}$, useful information about the semigroup of the corresponding plane curve, even only a bound on the number of generators? More generally, denoting by $k[[t^{\mathbf{Q}\geq 0}]]$ the Hahn ring of series whose exponents are non negative rational numbers forming a well-ordered set, and given a series $y(t) \in k[[t^{\mathbf{Q}\geq 0}]]$ which is integral over k[[t]], can one deduce from the knowledge about the exponents and coefficients of y(t) provided by the work of Kedlaya in [7] useful information about the semigroup of the plane branch whose equation is the integral dependence relation?

This example has another interesting feature. We note that no linear projection of our plane curve to a line in the plane can be tamely ramified. However, our curve is a deformation of the monomial curve, for which the projection to the u_3 -axis is tamely ramified. More precisely, the inclusion of *k*-algebras $k[u_3] \subset k[t^{\Gamma}]$ corresponding to $u_3 \mapsto t^{p^5+p^4+p^3+p^2+p+1}$ gives rise to an extension $\mathbf{Z} \subset \mathbf{Z}$ of value groups, for the

 u_3 -adic and *t*-adic valuations respectively, whose index is $p^5 + p^4 + p^3 + p^2 + p + 1$ and hence prime to *p*, while there is no residue field extension. This is related to what we saw in the first part of this section; it is precisely the coordinate u_3 missing in $\mathbf{A}^3(k)$ which provides the tame projection.

It is a general fact that if $\Gamma \subset \mathbf{Z}^r$ is a finitely generated semigroup generating \mathbf{Z}^r as a group, given a system of generators $\Gamma = \langle \gamma_1, \ldots, \gamma_N \rangle$ and an algebraically closed field k, there always exist r of the generators, say $\gamma_{i_1}, \ldots, \gamma_{i_r}$ such that the inclusion of k-algebras $k[u_{i_1}, \ldots, u_{i_r}] \subset k[t^{\Gamma}]$ defined by $u_{i_\ell} \mapsto t^{\gamma_{\ell_\ell}}$ defines a tame extension of the fraction fields. In fact, the corresponding map π : Speck $[t^{\Gamma}] \to \mathbf{A}^r(k)$ is étale on the torus of Speck $[t^{\Gamma}]$. Note that the subset $\{i_1, \ldots, i_r\} \subset \{1, \ldots, N\}$ depends in general on the characteristic of the field k. This is immediately visible in the case of a monomial curve, where the result follows directly from the fact that the generators of the semigroup are coprime since the semigroup generates \mathbf{Z} as a group.

In the general case, as explained in [15, Proof of Proposition 3.20 and Proof of Proposition 7.4], modulo a Gale-type duality this fact is an avatar of the fact that the *relative torus* Spec $\mathbb{Z}[t^{\mathbb{Z}'}]$ of Spec $\mathbb{Z}[t^{\Gamma}]$ is smooth over Spec \mathbb{Z} while of course the whole toric variety is not. This implies, for each prime number p, the non-vanishing on the torus of certain jacobian determinants which are exactly those whose non vanishing is needed to ensure the étaleness of the map π . We note that the map π is not finite in general; it is finite if and only if the vectors $\gamma_{i_1}, \ldots, \gamma_{i_r}$ generate the cone of \mathbb{R}^r generated by Γ .

More precisely, recall the description found in [13], before Prop. 6.2, of the jacobian ideal of an *r*-dimensional affine toric variety defined by a prime binomial ideal $P \subset k[U_1, \ldots, U_N]$. The jacobian determinant $J_{G,\mathbf{L}'}$ of rank c = N - r of the generators $(U^{m^{\ell}} - \lambda_{\ell} U^{n^{\ell}})_{\ell \in \{1,\ldots,L\}}$ of P, associated to a sequence $G = (k_1, \ldots, k_c)$ of distinct elements of $\{1, \ldots, N\}$ and a subset $\mathbf{L}' \subseteq \{1, \ldots, L\}$ of cardinality c, satisfies the congruence

$$U_{k_1} \dots U_{k_c} J_{G,\mathbf{L}'} \equiv \left(\prod_{\ell \in \mathbf{L}'} U^{m^{\ell}}\right) \operatorname{Det}_{G,\mathbf{L}'}(\langle m-n \rangle) \ \text{mod.} P,$$

where $(\langle m - n \rangle)$ is the matrix of the vectors $(m^{\ell} - n^{\ell})_{\ell \in \{1,...,L\}}$, and $\text{Det}_{G,\mathbf{L}'}$ indicates the minor in question. If the field *k* is of characteristic *p*, choosing a minor which is not divisible by *p* amounts to choosing *r* of the coordinates such that the corresponding projection to $\mathbf{A}^{r}(k)$ of a certain binomial variety containing the toric variety as one of its irreducible components (see [4, Corollary 2.3]) is étale outside of the coordinate hyperplanes.

It is shown in [15, Proof of Proposition 3.20] that for any prime p there exist minors $\text{Det}_{G,\mathbf{L}'}(\langle m-n \rangle)$ which are not divisible by p. As mentioned above, this is the equational aspect of the smoothness over SpecZ of the torus $\text{SpecZ}[t^{Z'}]$ of the affine toric variety over Z corresponding to the subsemigroup Γ of \mathbf{Z}^r .

The next step is to realize that an overweight deformation preserves the non vanishing. Let us illustrate this on our example:

The jacobian matrix of our three binomial equations over a field of characteristic p is

Two points of the boundary of toric geometry

$$egin{pmatrix} -x^p & 0 & 0 & 0 \ 0 & -x^{p(p+1)} & 0 & 0 \ 0 & 0 & -x^{p^2(p+1)} & 0 \end{pmatrix}$$

We see that there is only one minor which is non zero on the torus, where $x \neq 0$, corresponding to the inclusion $k[u_3] \subset k[t^{\Gamma}]$. After the overweight deformation which produces our plane branch, the jacobian matrix becomes

$$egin{pmatrix} -x^p & 0 & -1 & 0 \ 0 & -x^{p(p+1)} & 0 & -1 \ 0 & 0 & -x^{p^2(p+1)} & 0 \end{pmatrix}$$

and we see that the same minor is $\neq 0$. The facts we have just mentioned imply that for each characteristic p, some of these minors are non zero modulo p. But the duality implies that if u_{i_1}, \ldots, u_{i_r} are the variables not involved in the derivations producing one such minor, then the absolute value of this minor, which is not divisible by p, is the index of the extension of groups $\mathbb{Z}\gamma_{i_1} \oplus \cdots \oplus \mathbb{Z}\gamma_{i_r} \subset \mathbb{Z}^r$. This explains the tameness result we have just seen, and also why it is preserved by overweight deformation. In our example the matrix $(\langle m - n \rangle)$ after reduction modulo p is

$$\begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \end{pmatrix}$$

In conclusion the method which we can apply to our curve, which is that if we embed it in the affine space spanned by the monomial curve associated to its semigroup, in any characteristic we are certain to find a tame projection to a coordinate axis, will work for any finitely generated semigroup Γ in \mathbb{Z}^r generating \mathbb{Z}^r as a group and provide tame projections to $\mathbb{A}^r(k)$ of the affine toric variety $\operatorname{Speck}[t^{\Gamma}]$, which will remain tame after an overweight deformation. In order to obtain tame projections for the space whose valuation we want to uniformize, we have to first re-embed it in the space where the associated toric variety lives so that it can appear as an overweight deformation. This is an important element in the proof of local uniformization for rational Abhyankar valuations (those with value group $\mathbb{Z}^{\dim R}$) on equicharacteristic excellent local domains *R* with an algebraically closed residue field given in [15]. The reason is that the local uniformization of Abhyankar valuations on an equicharacteristic excellent local domain with algebraically closed residue field can be reduced to that of rational valuations of complete local domains whose semigroup is a finitely generated subsemigroup of \mathbb{Z}^r and then the complete local domain is an

overweight deformation of an affine toric variety by [15, Proposition 5.1].

2 Additive preorders and orders on Z^r and projective limits of toric varieties

In this section the space of additive preorders on \mathbb{Z}^r with a topology defined by Kuroda and Sikora (see [8], [11]) is presented as homeomorphic to the projective limit of finite topological spaces which are spaces of orbits on toric varieties with the topology induced by the Zariski topology, and the space of additive orders as the closed subspace corresponding to the projective limit of the subsets of closed points of the preceding finite topological spaces. We use this to give a toric proof of a theorem of Sikora in [11] showing that the space of additive orders on \mathbb{Z}^r with $r \ge 2$ is homeomorphic to the Cantor set. The relation between toric geometry and preorders on \mathbb{Z}^r is due to Ewald-Ishida in [3] where they build the analogue in toric geometry of the Zariski-Riemann space of an algebraic variety. This relation was later developed by Pedro González Pérez and the author in [5], which contains in particular the results used here. In that text we quoted Sikora's result without realizing that we could give a direct proof in our framework. We begin with the:

Proposition 1. Let I be a directed partially ordered set and $(b_{t',t}:X_{t'} \rightarrow X_t \text{ for } t' > t)$ a projective system indexed by I of surjective continuous maps between finite topological spaces. Then:

a) The projective limit \mathscr{X} of the projective system, endowed with the projective limit topology, is a quasi-compact space.

b) In each X_1 consider the subset D_1 of closed points, on which the induced topology is discrete. If we assume that:

- 1. The maps $b_{1',1}$ map each $D_{1'}$ onto D_1 in such a way that the inverse image in the projective limit \mathscr{D} of the D_1 by the canonical map $b_{\infty,1}: \mathscr{D} \to D_1$ of any element of a D_1 is infinite.
- 2. There exists a map $h: I \to \mathbb{N} \setminus \{0\}$ such that $h(\iota') \ge h(\iota)$ if $\iota' > \iota$ and $h^{-1}([1,m])$ is finite for all $m \in \mathbb{N} \setminus \{0\}$, where $[1,m] = \{1,\ldots,m\}$.

Then \mathcal{D} is closed in \mathcal{X} and homeomorphic to the Cantor set.

Proof. Statement a) is classical, see [6, 2-14] and [11]. The compactness comes from Tychonoff's theorem and the definition of the projective limit topology. To prove b) we begin by showing that \mathscr{D} can be endowed with a metric compatible with the projective limit topology. Given $w, w' \in \mathscr{D}$ with $w \neq w'$, define r(w, w') to be the smallest integer n such that $b_{\infty,t}(w) \neq b_{\infty,t}(w')$ for some $t \in h^{-1}([1,n])$. This is the smallest element in a non-empty set of integers since if $w \neq w'$, by definition of the projective limit there is an index t_0 such that $b_{\infty,t_0}(w) \neq b_{\infty,t_0}(w')$. Thus, our set contains $h(t_0)$. It follows from the definition that given three different w, w', w'' we have that $r(w, w'') \geq \min(r(w, w'), r(w', w''))$, and that r(w, w') = r(w', w). We can define a distance on \mathscr{D} by setting d(w, w) = 0 and $d(w, w') = r(w, w')^{-1}$ for $w' \neq w$. By the definition of the projective limit topology on \mathscr{D} we see that it is totally discontinuous because the D_t are and that every ball $\mathbf{B}(w, \eta) = \{w'/d(w', w) \leq \eta\}$ is the intersection $\bigcap_{t \in h^{-1}([1, \lfloor \eta^{-1}]])} b_{\infty,t}^{-1}(w)$ of finitely many open sets . The first assumption implies that every ball of positive radius centered in a point of \mathscr{D} is infinite, so

that \mathscr{D} is perfect. Finally, our space \mathscr{D} is a perfect compact and totally disconnected metric space and thus homeomorphic to the Cantor set by [6, Corollary 2-98]. The fact that \mathscr{D} is closed in \mathscr{X} follows from the fact that each D_i is closed in X_i .

Remark 1. In [6, Theorem 2-95] it is shown that a compact totally disconnected metric space is homeomorphic to the projective limit of a projective system of finite discrete spaces. The authors then show that if two such spaces are perfect, the projective systems can be chosen so that their projective limits are homeomorphic.

We recall some definitions and facts of toric geometry that are needed for our purpose, referring to [2] for proofs. Let $M = \mathbb{Z}^r$ be the lattice of integral points in \mathbb{R}^r and $N = \text{Hom}_{\mathbb{Z}}(M, \mathbb{Z})$ its dual, a lattice in $N_{\mathbb{R}} = \check{\mathbb{R}}^r$. We assume that $r \ge 2$.

A (finite) fan is a finite collection $\Sigma = (\sigma_{\alpha})_{\alpha \in A}$ of rational polyhedral strictly convex cones in $N_{\mathbf{R}}$ such that if τ is a face of a $\sigma_{\alpha} \in \Sigma$, then τ is a cone of the fan, and the intersection $\sigma_{\alpha} \cap \sigma_{\beta}$ of two cones of the fan is a face of each. A rational polyhedral convex cone is by definition the cone positively generated by finitely many vectors of the lattice N, called integral vectors. It is strictly convex if it does not contain any non zero vector space. Given a rational polyhedral cone σ , its convex dual $\check{\sigma} = \{u \in \mathbf{R}^r / \langle u, v \rangle \ge 0 \ \forall v \in \sigma\}$, where $\langle u, v \rangle = v(u) \in \mathbf{R}$, is again a rational polyhedral convex cone, which is strictly convex if and only if the dimension of σ , that is, the dimension of the smallest vector subspace of $\check{\mathbf{R}}^r$ containing σ is *r*. A refinement Σ' of a fan Σ is a fan such that every cone of Σ' is contained in a cone of Σ and the union of the cones of Σ' is the same as that of Σ . We denote this relation by $\Sigma' \prec \Sigma$.

By a theorem of Gordan (see [2, Chap. V, §3, Lemma 3.4]), for every rational strictly convex cone in $N_{\mathbf{R}}$ the semigroup $\check{\sigma} \cap M$ is a finitely generated semigroup generating M as a group. If we fix a field k the semigroup algebra $k[t^{\check{\sigma}\cap M}]$ is finitely generated and corresponds to an affine algebraic variety T_{σ} over k, which may be singular but is normal because the semigroup $\check{\sigma} \cap M$ is saturated in the sense that if for some $k \in \mathbf{N}_{>0}$ and $m \in M$ we have $km \in \check{\sigma} \cap M$, then $m \in \check{\sigma} \cap M$. To each fan Σ is associated a normal algebraic variety T_{Σ} obtained by glueing up the affine toric varieties Speck $[t^{\check{\sigma}\cap M}]$, $\sigma \in \Sigma$, along the affine varieties corresponding to faces that are the intersections of two cones of the fan. A refinement Σ' of a fan Σ gives rise to a proper birational map $T_{\Sigma'} \to T_{\Sigma}$.

Each toric variety admits a natural action of the torus $T_{\{0\}} = (k^*)^r = (k - \text{points of})$ Speck $[t^M]$ which has a dense orbit corresponding to the cone $\sigma = \{0\}$ of the fan. There is an inclusion reversing bijection between the cones of the fan and the orbits of the action of the torus on T_{Σ} . In an affine chart T_{σ} , the traces of the orbits of the torus action correspond to faces F_{τ} of the semigroup $\check{\sigma} \cap M$: they are the intersections of the semigroup with the linear duals τ^{\perp} of the faces $\tau \subset \sigma$. The monomial ideal of $k[t^{\check{\sigma}\cap M}]$ generated by the monomials $t^{\delta}; \delta \notin F_{\tau}$ is prime and defines the closure of the orbit corresponding to τ . When it is of maximal dimension the cone σ itself corresponds to the zero dimensional orbit in T_{σ} and all zero dimensional orbits are obtained in this way. A prime monomial ideal of $k[t^{\check{\sigma}\cap M}]$ defines the intersection with T_{σ} of an irreducible subvariety invariant by the torus action. A preorder on *M* is a binary relation \leq with the properties that for any $m, n, o \in M$ either $m \leq n$ or $n \leq m$ and $m \leq n \leq o$ implies $m \leq o$.

An additive preorder on M is a preorder \leq such that if $m \leq m'$ then $m + n \leq m' + n$ for all $n \in M$. It is a fact (see [10], [8], [3]) that given any additive preorder \leq on M there exist an integer s, $1 \leq s \leq r$ and s vectors v_1, \ldots, v_s in $N_{\mathbf{R}}$ such that

 $m \leq n$ if and only if $(\langle m, v_1 \rangle, \dots, \langle m, v_s \rangle) \leq_{lex} (\langle n, v_1 \rangle, \dots, \langle n, v_s \rangle)$,

where *lex* means the lexicographic order. An additive order is an additive preorder which is an order. This means that the vector subspace of $N_{\mathbf{R}}$ generated by v_1, \ldots, v_s is not contained in any rational hyperplane.

In accordance with the notations of [5] we denote by *w* a typical element of $ZR(\Sigma)$ and by $m \leq_w n$ the corresponding binary relation on \mathbb{Z}^r . Following Ewald-Ishida in [3] we define a topology on the set of additive preorders as follows :

Definition 1. Let σ be a rational polyhedral cone in $N_{\mathbf{R}}$. Define \mathscr{U}_{σ} to be the set of additive preorders w of M such that $0 \preceq_{w} \check{\sigma} \cap M$. The \mathscr{U}_{σ} are a basis of open sets for a topology on the set ZR(M) of additive preorders on M. Given a fan Σ the union $ZR(\Sigma) = \bigcup_{\sigma \in \Sigma} \mathscr{U}_{\sigma} \subset ZR(M)$ endowed with the induced topology is defined by Ewald-Ishida as the Zariski-Riemann manifold of the fan Σ . By [3, Proposition 2.10], it depends only on the support $|\Sigma| = \bigcup_{\sigma \in \Sigma} \sigma$ and if we assume $|\Sigma| = N_{\mathbf{R}}$, it is equal to ZR(M).

This topology is the same as the topology defined in [8] and [11], where a prebasis of open sets is as follows: given two elements $a, b \in M$ a set of the pre-basis is the set $\mathscr{U}_{a,b}$ of preorders w for which $a \leq_w b$. Indeed, to say that a preorder wis in the intersection $\bigcap_i \mathscr{U}_{a_i,b_i}$ of finitely many such sets is the same as saying that $\check{\sigma} \cap M \subset \{m \in M/0 \leq_w m\}$ where $\sigma \subset N_{\mathbf{R}}$ is the rational polyhedral cone dual to the cone $\check{\sigma}$ in $M_{\mathbf{R}}$ generated by the vectors $b_i - a_i$. If $\check{\sigma} = \mathbf{R}^r$, the intersection is the trivial preorder, where all elements are equivalent.

Theorem 1. (Ewald-Ishida in [3, Theorem 2.4]) *The space* ZR(M) *is quasi-compact, and for any finite fan* Σ *the space* $ZR(\Sigma)$ *is quasi-compact.*

It is shown in [3, Proposition 2.6] that to any fan Σ and any preorder $w \in ZR(\Sigma)$ we can associate a cone $\sigma \in \Sigma$. It is the unique cone with the properties $0 \leq_w \check{\sigma} \cap M$ and $\sigma^{\perp} \cap M = \{m \in \check{\sigma} \cap M/m \leq_w 0 \text{ and } m \succeq_w 0\}$. Following Ewald-Ishida, we say that *w* dominates σ . If *w* is an order, σ is of maximal dimension *r*.

It is not difficult to verify that if Σ' is a refinement of Σ we have $ZR(\Sigma') = ZR(\Sigma)$ and moreover, given $w \in ZR(\Sigma)$ the corresponding cones σ', σ verify $\sigma' \subset \sigma$, so that we have a torus-equivariant map $T_{\sigma'} \to T_{\sigma}$ of the corresponding toric affine varieties.

Given a fan Σ its finite refinements, typically denoted by Σ' , form a directed partially ordered set. It is partially ordered by the refinement relation $\Sigma' \prec \Sigma$. It is a directed set because any two finite fans with the same support have a common finite refinement (see [9, Chap. III] or [2, Chap. VI]). We are going to study three projective systems of sets indexed by it. The set $\{T_{\Sigma'}\}$ of torus-invariant irreducible subvarieties of $T_{\Sigma'}$, with the topology induced by the Zariski topology, the set $O_{\Sigma'}$ of the torus orbits of $T_{\Sigma'}$, again endowed with the Zariski topology, and finally the set of 0-dimensional orbits, which is the set of closed points of $O_{\Sigma'}$. The first two sets are in fact equal because a torus invariant irreducible subvariety of T_{Σ} is the closure of an orbit. This is the meaning of [5, Lemma 3.3]: a prime monomial ideal of $k[t^{\check{\sigma}\cap M}]$ is generated by the monomials that are not in a *face* of the semigroup, and the Lemma states that faces are the $\tau^{\perp} \cap \check{\sigma} \cap M$, where τ is a face of σ and so corresponds to an orbit.

The sets $\{T_{\Sigma'}\} = O_{\Sigma'}$ are finite since our collection of cones in each Σ' is finite. The topology induced by the Zariski topology of $T_{\Sigma'}$ on $\{T_{\Sigma'}\}$ is such that the closure of an element of $\{T_{\Sigma'}\}$ is the set of orbits contained in the closure of the corresponding orbit of the torus action.

Thus, the closed points of $\{T_{\Sigma'}\}$ are the zero dimensional orbits of the torus action on $T_{\Sigma'}$ and are in bijective correspondence with the cones of maximal dimension of Σ' .

Given a refinement $\Sigma' \prec \Sigma$, the corresponding proper birational equivariant map $T_{\Sigma'} \rightarrow T_{\Sigma}$ maps surjectively $\{T_{\Sigma'}\}$ to $\{T_{\Sigma}\}$ and zero dimensional orbits to zero dimensional orbits. The map induced on zero dimensional orbits is surjective because every orbit contains zero dimensional orbits in its closure.

Given an additive preorder $w \in ZR(\Sigma)$ it dominates a unique cone σ' in each refinement Σ' of Σ and defines a unique torus-invariant irreducible subvariety of $T_{\Sigma'}$ corresponding to the prime ideal of $k[t^{\check{\sigma}' \cap M}]$ generated by the monomials whose exponents are $\succeq_w 0$. This defines a map

$$Z: ZR(\Sigma) \longrightarrow \varprojlim_{\Sigma' \prec \Sigma} \{T_{\Sigma'}\}.$$

We now quote two results from [5]:

Theorem 2. (González Pérez-Teissier in [5, Proposition 14.8])

For any finite fan Σ , the map Z is a homeomorphism between $ZR(\Sigma)$ with its Ewald-Ishida topology and $\varprojlim_{\Sigma' \prec \Sigma} \{T_{\Sigma'}\}$ with the projective limit of the topologies induced by the Zariski topology.

Corollary 1. (González Pérez-Teissier in [5, Section 13]) If $|\Sigma| = N_{\mathbf{R}}$ the map Z is a homeomorphism between the space ZR(M) of additive preorders on \mathbf{Z}^r and $\lim_{\Sigma' \to \Sigma} \{T_{\Sigma'}\}$ and induces a homeomorphism between the space of additive orders on M and the projective limit of the discrete sets $\{T_{\Sigma'}\}_0$ of 0-dimensional orbits.

Definition 2. The height $h(\Sigma)$ of a finite fan Σ in $N_{\mathbf{R}}$ is the maximum absolute value of the coordinates of the primitive vectors in N generating the one-dimensional cones of Σ . There are only finitely many fans of height bounded by a given integer.

We note that the fan consisting of the 2^r quadrants of $N_{\mathbf{R}}$ and their faces has height one.

Let us show that we can apply Proposition 1 to obtain the conjunction of Sikora's result in [11, Proposition 1.7] and Ewald-Ishida's in [3, Proposition 2.3]:

Proposition 2. For $r \ge 2$ the space of additive preorders on Z^r is quasi-compact. It contains as a closed subset the space of additive orders, which is homeomorphic to the Cantor set.

Proof. To apply Proposition 1, our partially ordered directed set is the set of finite fans in $N_{\mathbf{R}}$ with support $N_{\mathbf{R}}$. The set of additive preorders is quasi-compact by Proposition 1. Concerning the set of orders, we apply the second part of the proposition. Our discrete sets are the sets $\{T_{\Sigma'}\}_0$ of zero dimensional torus orbits of the toric varieties $T_{\Sigma'}$. Each refinement of a cone of maximal dimension contains cones of maximal dimension and since $r \ge 2$ each cone $\sigma' \in \Sigma'$ can be refined into infinitely many fans with support σ' which produce as many refinements of Σ' . To see this, one may use the fact that each cone of maximal dimension, given any integral vector in its interior, can be refined into a fan whose cones are all regular and which contains the cone generated by the given integral vector (see [9, Chap. III] or [2, Chap. VI]). Our function $h(\Sigma') \ge h(\Sigma)$ if $\Sigma' \prec \Sigma$ because the one dimensional cones of Σ are among those of Σ' .

Remark 2. There are of course many metrics compatible with the topology of the space of orders induced by that of ZR(M) and another one is provided following [8, §1] and [11, Definition 1.2]: Let $\mathbf{B}(0,D)$ be the ball centered at 0 and with radius D in \mathbf{R}' . Define the distance $\tilde{d}(w,w')$ to be 0 if w = w' and otherwise $\frac{1}{D}$, where D is the largest integer such that w and w' induce the same order on $\mathbf{Z}' \cap \mathbf{B}(0,D)$. It would be interesting to verify directly, perhaps using Siegel's Lemma (see [12]), that the distances d(w,w') and $\tilde{d}(w,w')$ define the same topology.

Remark 3. The homeomorphism Z of Theorem 2 is the toric avatar of Zariski's homeomorphism between the space of valuations of a field of algebraic functions and the projective limit of the proper birational models of this field. As explained in [5, $\S13$] the space of orders is the analogue for the theory of preorders of zero dimensional valuations in the theory of valuations.

Acknowledgements I am grateful to Hussein Mourtada for interesting discussions of the first topic of this note and for calling my attention to the phenomenon described in the case p = 2.

References

- A. Campillo, Algebroid Curves in Positive Characteristic, Springer Lecture Notes in Math., No. 813, Springer 1980.
- G. Ewald, *Combinatorial convexity and algebraic geometry*, Graduate Texts in Mathematics, vol. 168, Springer-Verlag, New York, 1996.
- 3. G. Ewald and M-N. Ishida, Completion of real fans and Zariski-Riemann spaces, *Tohôku Math. J.*, (2), 58, (2006), 189-218.
- 4. D. Eisenbud and B. Sturmfels, Binomial ideals, Duke Math. J., 84, (1996), 1-45.
- P. González Pérez and B. Teissier, Toric Geometry and the Semple-Nash modification, *Revista de la Real Academia de Ciencias Exactas, Físicas y Naturales, Seria A, Matemáticas*, 108, (1) (2014), 1-46. Available at http://webusers.imj-prg.fr/ bernard.teissier/

- 6. J. G. Hocking and G. S. Young, Topology, Dover 1988 ISBN 0-486-65676-4
- K. Kedlaya, On the algebraicity of generalized power series, *Beitr. Algebra Geom.*, 58 (2017), No. 3, 499-527.
- O. Kuroda, The infiniteness of the SAGBI bases for certain invariant rings, Osaka J. Math., 39, (2002), 665-680.
- 9. G. Kempf, F. Knudsen, D. Mumford, B. Saint-Donat, *Toroidal embeddings I*, Springer Lecture Notes in Math. No. 339, Springer 1973.
- 10. L. Robbiano, On the theory of graded structures, J. Symb. Comput., 2 (1986), 139-170.
- 11. A. Sikora, Topology on the spaces of orderings of groups, *Bull. London Math. Soc.*, 36 (2004), no. 4, 519-526.
- 12. W. M. Schmidt. "Chapter I: Siegel's Lemma and Heights" (pages 133), in: Masser, D.; Nesterenko, Yu. V.; Schlickewei, H. P.; Schmidt, W. M.; Waldschmidt, M., *Diophantine approximation*, Lectures from the C.I.M.E. Summer School held in Cetraro, June 28-July 6, 2000. Edited by F. Amoroso and U. Zannier, Fondazione CIME/CIME Foundation Subseries, Springer Lecture Notes in Mathematics No. 1819. Springer Verlag 2000.
- 13. B. Teissier, Valuations, deformations, and toric geometry. In *Valuation Theory and its applications, Vol. II*, Fields Inst. Commun. 33, AMS., Providence, RI., 2003, 361-459. Available at http://webusers.imj-prg.fr/ bernard.teissier/
- B. Teissier, A viewpoint on local resolution of singularities, Oberwolfach Workshop on Singularities, September 2009. *Oberwolfach Reports*, Vol. 6, No. 3, 2009. European Math. Soc. Publications. Available at http://webusers.imj-prg.fr/ bernard.teissier/
- 15. B. Teissier, Overweight deformations of affine toric varieties and local uniformization, in: Valuation theory in interaction, Proceedings of the second international conference on valuation theory, Segovia–El Escorial, 2011., Edited by A. Campillo, F-V. Kuhlmann and B. Teissier. European Math. Soc. Publishing House, Congress Reports Series, Sept. 2014, 474-565. Available at http://webusers.imj-prg.fr/ bernard.teissier/