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chemistry**

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NEAR-INFRARED SPECTROSCOPY OF THE Y0 WISEP J173835.52+273258.9 AND THE Y1 WISE J035000.32–565830.2: THE IMPORTANCE OF NON-EQUILIBRIUM CHEMISTRY

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ABSTRACT

We present new near-infrared spectra, obtained at Gemini Observatory, for two Y dwarfs: WISE J035000.32–565830.2 (W0350) and WISEP J173835.52+273258.9 (W1738). A FLAMINGOS-2 $R = 540$ spectrum was obtained for W0350, covering $1.0 < \lambda \mu\text{m} < 1.7$, and a cross-dispersed Gemini near-infrared spectrograph $R = 2800$ spectrum was obtained for W1738, covering $0.993\text{--}1.087 \mu\text{m}$, $1.191\text{--}1.305 \mu\text{m}$, $1.589\text{--}1.631 \mu\text{m}$, and $1.985\text{--}2.175 \mu\text{m}$, in four orders. We also present revised YJH photometry for W1738, using new NIRI Y and J imaging, and a re-analysis of the previously published NIRI H -band images. We compare these data, together with previously published data for late-T and Y dwarfs, to cloud-free models of solar metallicity, calculated both in chemical equilibrium and with disequilibrium driven by vertical transport. We find that for the Y dwarfs, the non-equilibrium models reproduce the near-infrared data better than the equilibrium models. The remaining discrepancies suggest that fine-tuning the CH_4/CO and NH_3/N_2 balance is needed. Improved trigonometric parallaxes would improve the analysis. Despite the uncertainties and discrepancies, the models reproduce the observed near-infrared spectra well. We find that for the Y0, W1738, $T_{\text{eff}} = 425 \pm 25 \text{ K}$, and $\log g = 4.0 \pm 0.25$, and for the Y1, W0350, $T_{\text{eff}} = 350 \pm 25 \text{ K}$, and $\log g = 4.0 \pm 0.25$. W1738 may be metal-rich. Based on evolutionary models, these temperatures and gravities correspond to a mass range for both Y dwarfs of 3–9 Jupiter masses, with W0350 being a cooler, slightly older, version of W1738; the age of W0350 is 0.3–3 Gyr, and the age of W1738 is 0.15–1 Gyr.

Key words: brown dwarfs – molecular processes – stars: atmospheres – stars: individual
 (WISE J035000.32–565830.2, WISEP J173835.52+273258.9)

1. INTRODUCTION

The atmospheres of giant gaseous planets and brown dwarfs (objects with a mass below that required for stable nuclear fusion, mass $\lesssim 80$ Jupiter masses) are molecule-rich and chemically complex. The deep atmosphere is fully convective; there can be detached convection zones above the radiative-convective boundary if the pressure and composition are such that there is strong absorption at the wavelength typical of the flux being emitted at the temperature of that particular layer. The reader is referred to the review by Marley & Robinson (2015) for further discussion. The brown dwarf atmospheres are turbulent, and chemical species are mixed vertically through the atmosphere. Mixing occurs in the convective zones, but may also occur in the nominally quiescent radiative zone by processes such as gravity waves (e.g., Freytag et al. 2010). If mixing occurs faster than local chemical reactions can return the species to local equilibrium, then abundances can be very different from those expected for a gas in equilibrium. Species whose abundances are significantly impacted by mixing in brown dwarf atmospheres are CH_4 , CO , CO_2 , N_2 , and NH_3 (e.g., Noll et al. 1997; Saumon et al. 2000; Golimowski et al. 2004; Leggett et al. 2007; Visscher & Moses 2011; Zahnle & Marley 2014).

Also, various species condense, forming cloud decks in the photosphere. For L dwarfs with effective temperature

$1300 \lesssim T_{\text{eff}} \text{ K} \lesssim 2300$ (e.g., Stephens et al. 2009) the condensates are composed of iron and silicates, and for T dwarfs with $500 \lesssim T_{\text{eff}} \text{ K} \lesssim 1300$ (e.g., Morley et al. 2014) they consist of chlorides and sulphides (e.g., Tsuji et al. 1996; Ackerman & Marley 2001; Helling et al. 2001; Burrows et al. 2003; Knapp et al. 2004; Saumon & Marley 2008; Stephens et al. 2009; Marley et al. 2012; Morley et al. 2012; Radigan et al. 2012; Faherty et al. 2014). As T_{eff} decreases further, the next species to condense are calculated to be H_2O for $T_{\text{eff}} \approx 350 \text{ K}$ and NH_3 for $T_{\text{eff}} \approx 200 \text{ K}$ (Burrows et al. 2003; Morley et al. 2014).

It had been hoped that the chemistry of the atmospheres of very cold brown dwarfs, those with $400 \lesssim T_{\text{eff}} \text{ K} \lesssim 800$, would be simpler than it is for the warmer L and T dwarfs, as many species will have condensed out, and water clouds will not yet have formed. Twenty-three brown dwarfs are now known with effective temperature $T_{\text{eff}} \leq 500 \text{ K}$, and these have been classified as Y dwarfs (e.g., Kirkpatrick et al. 2012; Leggett et al. 2013). It turns out that modeling their atmospheres is not simple (e.g., Leggett et al. 2015, hereafter L15).

All but one of the known Y dwarfs have been found using the *Wide-field Infrared Survey Explorer* (WISE; Wright et al. 2010) by: Cushing et al. (2011, 2014), Kirkpatrick et al. (2012), Liu et al. (2012), Luhman (2014), Pinfield et al. (2014), Schneider et al. (2015), Tinney et al. (2012). The remaining object was discovered by Luhman et al. (2011) as a

Table 1
Observational Data and Estimated Properties

Property	WISE J035000.32 −565830.2		WISEP J173835.52 +273258.9	
	Value	Reference	Value	Reference
Spectral Type	Y1	K12	Y0	C11
$M - m(\text{err})$	2.32(0.37) ^a	M13	0.54(0.17)	B14
$Y_{\text{MKO}}(\text{err})$	21.62(0.12)	L15	19.79(0.07)	this work
$J_{\text{MKO}}(\text{err})$	22.09(0.12)	L15	19.63(0.05)	this work
$H_{\text{MKO}}(\text{err})$	22.51(0.20)	L15	20.24(0.08)	this work
$K_{\text{MKO}}(\text{err})$	20.58(0.10)	L13
Ch.1(3.6 μm) _{IRAC}	17.84(0.03)	L15	16.87(0.03)	L13
Ch.2(4.5 μm) _{IRAC}	14.61(0.03)	L15	14.42(0.03)	L13
W1(3.4 μm) _{WISE}	17.71(0.16)	AllWISE
W2(4.6 μm) _{WISE}	14.75(0.04)	AllWISE	14.50(0.04)	AllWISE
W3(12 μm) _{WISE}	12.33(0.28)	AllWISE	12.45(0.40)	AllWISE
T_{eff} K	350 \pm 25	this work	425 \pm 25	this work
$\log g$ cm s ^{−2}	4.00 \pm 0.25	this work	4.00 \pm 0.25	this work
Mass Jupiter	3–9	this work	3–9	this work
Age Gyr	0.3–3	this work	0.15–1	this work

Notes.

^a This preliminary parallax results in an unrealistically faint absolute magnitude; the spectral type and model fits shown here suggest that $M - m = 1.00 \pm 0.40$.

References. Beichman et al. (2014), Cushing et al. (2011), Kirkpatrick et al. (2012, 2013), Leggett et al. (2013, 2015), Marsh et al. (2013).

companion to a white dwarf, using images from the Infrared Array Camera onboard the *Spitzer Space Telescope* (Werner et al. 2004). L15 studied the properties of seventeen Y dwarfs using near-infrared photometry and spectroscopy, together with *WISE* and *Spitzer* mid-infrared photometry. The observations were compared to spectra and colors generated from model atmospheres with a variety of cloud cover—cloud-free models from Saumon et al. (2012, hereafter S12), models with homogeneous layers of chloride and sulphide clouds from Morley et al. (2012), and patchy cloud models from Morley et al. (2014). The models include updated opacities for NH₃ and pressure-induced H₂. It was found that the models qualitatively reproduced the trends seen in the observed colors, and that the cloud layers are thin to non-existent for these brown dwarfs with $T_{\text{eff}} \approx 400$ K. However the model fluxes were a factor of two low at the *Y*, *H*, *K*, [3.6], and W3(12 μm) bands. The models used in L15 all assumed equilibrium chemistry, and it was suggested that much of the discrepancy could be resolved by significantly reducing the NH₃ abundance, perhaps by vertical mixing.

In this work, we compare observed Y dwarf photometry and spectroscopy to models by Tremblin et al. (2015, hereafter T15) which include non-equilibrium chemistry, as well as an updated line list for CH₄ absorption. We also present new near-infrared spectroscopy for two Y dwarfs, and revised near-infrared photometry for one of these.

2. OBSERVATIONS

2.1. WISE J035000.32−565830.2

The discovery of WISE J035000.32−565830.2 (W0350) was published by Kirkpatrick et al. (2012), who classified it as a Y1 dwarf. Table 1 gives photometry and astrometry for this target, with source references.

We observed W0350 at Gemini South, via program GS-2014B-Q-17, using the FLAMINGOS-2 imager and spectrometer (Eikenberry et al. 2004). We obtained a $1.0 < \lambda \mu\text{m} < 1.7$ spectrum using the JH grism with JH blocking filter, and the 0".72 slit. The resulting resolving power is $R = 540$.

On 2014 November 7, fifty-two 300 s frames were obtained over an approximately five hour period in photometric conditions and 0".7 seeing. An “ABBA” offset pattern was used with offsets of $\pm 10''$ along the slit. On 2014 December 4 and 2015 January 3, sixteen and fourteen frames, respectively, were obtained in similar conditions with the same instrument configuration. The data from 2015 January 3 were taken at a significantly higher airmass (1.5–1.9, compared to 1.2–1.3 on the earlier two nights), and were not combined with the other data sets due to the lower signal-to-noise ratio (S/N). The 68 frames from November and December were reduced in a standard manner using calibration lamps on the telescope for flat fielding and wavelength calibration. The sixty-eight 300 s images were combined using the *gemcombine* IRAF routine, providing 5.7 hours on this source.

Bright F3 and F7 dwarf stars were observed on each night, to remove telluric absorption features and flux calibrate the spectra. The stars used on 2014 November 7 were HD 13517 and HD 30526, and on 2014 December 4 HD 36636. Template spectra for these spectral types were obtained from the spectral library of Rayner et al. (2009), and used to determine a one-dimensional sensitivity function. A one-dimensional spectrum for the target was extracted from the combined image using the trace of the standard stars for reference. The sensitivity spectrum was then used to correct the shape of the target spectrum, and a final flux calibration was done on the target spectrum using the observed *J*-band photometry for the source (*Y*-band coverage was incomplete, and the *H* band was noisy). Figure 1 shows our spectrum smoothed with a 3-pixel boxcar. The uncertainty in the spectrum was determined by the sky noise, and is shown in Figure 1; S/N across the *J*-band peak is ~ 10 , while across the *H* band it is ~ 4 .

Schneider et al. (2015) used the *Hubble Space Telescope* (*HST*) Wide Field Camera 3 to obtain near-infrared slitless grism spectroscopy of W0350. The G141 grism was used, covering 1.10–1.70 μm at $R \sim 130$. Figure 1 shows both our Gemini spectrum and the *HST* spectrum, where the latter has been multiplied by a factor of 1.07 to bring it into agreement with our *J*-band photometry. The agreement is good across the *J* band but not as good across the *H* band, where the flux peaks differ by $\sim 30\%$. Synthesizing the *H*-band photometry and comparing it to the measured value suggests that our spectrum is too bright, while the Schneider spectrum is too faint. The discrepancy is likely due to the faintness of the source and the resulting low S/N, although variability cannot be excluded. The compilation of photometric variability of brown dwarfs by Crossfield (2014) shows that T dwarfs can be variable at the $\sim 10\%$ level. We compare our spectrum to models in Section 3.3.

2.2. WISEP J173835.52+273258.9

The discovery of WISEP J173835.52+273258.9 (W1738) was published by Cushing et al. (2011), who classified it as a Y0 dwarf. Table 1 gives photometry and astrometry for this target, with source references.

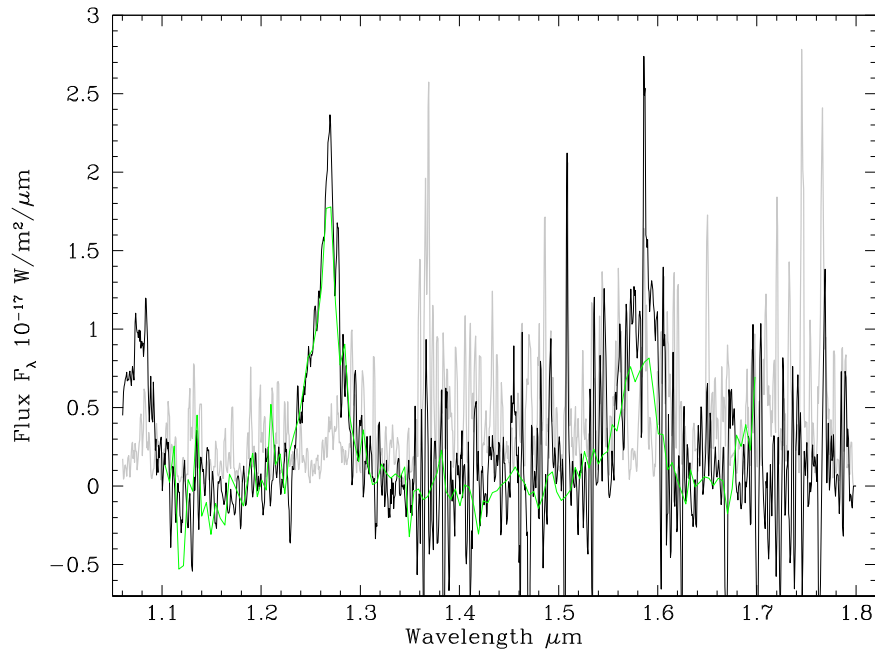


Figure 1. Black line is the spectrum of WISE J035000.32–565830.2 obtained using Gemini and presented in this work, and the green line is a lower-resolution spectrum obtained by Schneider et al. (2015) using *HST*. The gray line is the uncertainty in the Gemini observational data.

The *YJH* photometry for W1738 presented in Table 1 differs from that published by L15. As part of the Gemini North program GN-2013A-Q-21, W1738 was observed for several hours with the Gemini near-infrared imager (NIRI; Hodapp et al. 2003) in *Y* and *J* in a search for variability. The variability result will be published elsewhere; here, we present improved values of *Y* and *J*. The *J*-band result is significantly different from that previously published. We re-examined the *H*-band photometry obtained on the same night as the previously published data, which was a night of poor seeing. Re-reducing the earlier *H*-band data set paying closer attention to instances of very poor seeing, and therefore detections of low significance, produces the revised *H* value given in Table 1. The new *J* and *H* values are now in better agreement (within 2σ) with the synthetic values determined by Schneider et al. (2015) from slitless *HST* spectra.

We obtained spectroscopy for W1738 on Gemini North, via program GN-2014A-Q-64, using the Gemini near-infrared spectrograph (GNIRS; Elias et al. 2006). GNIRS was used in cross-dispersed mode with the 111 l/mm grating, the short camera and the $0''.675$ slit, giving $R = 2800$. A central wavelength of $1.56\ \mu\text{m}$ resulted in wavelength coverage for orders 3–6 of $1.985\text{--}2.175\ \mu\text{m}$, $1.589\text{--}1.631\ \mu\text{m}$, $1.191\text{--}1.305\ \mu\text{m}$ and $0.993\text{--}1.087\ \mu\text{m}$. This setting nicely sampled the flux peaks (Figure 2).

A total of seventy-five 300 s frames were obtained over five nights: 2014 March 17 and 22, 2014 May 18, 2014 July 11 and 13. Data were typically taken through thin clouds with $0''.7$ seeing. An “ABBA” offset pattern was used with offsets of $3''$ along the slit. The Gemini IRAF routines are not designed for this higher resolution cross-dispersed mode and so reduction was carried out manually. AB image pairs were subtracted from each other to form an image with a positive and negative spectrum. Pattern noise artefacts were then removed using a *python* script designed for the purpose. Each image resulting from a subtracted pair was visually inspected, and some

removed because of guider issues or low signal when at high airmass. To form the final coadded image, a total of 36 images with positive and negative spectral traces were combined, for a total of six hours on target. The IRAF *apall* routine was used to extract spectra for each order, using the locations of the standard star spectral orders as a reference. One-dimensional multi-order spectra were extracted from the flat field and arc images obtained from the calibration lamp on the telescope using the locations of the science apertures as references. The one-dimensional multi-order spectra for science and standards were divided by the corresponding one-dimensional flat field spectrum and the positive and negative spectra for each order were then averaged, after multiplying the negative spectra by -1 . The uncertainty in the spectrum was estimated from the difference between the positive and negative spectra. A wavelength solution was determined graphically from the arc spectrum and applied.

The standard stars observed to remove telluric absorption features and flux calibrate the spectra were HD 149803, an F7V star, and HD 173494, an F6V star. Template spectra for these spectral types were obtained from the spectral library of Rayner et al. (2009), and used to determine a one-dimensional sensitivity function. The sensitivity spectrum was then used to correct the shape of the target spectrum, in each order.

Cushing et al. (2011) present an *HST* Wide Field Camera 3 G141 grism spectrum of W1738. We flux calibrated this spectrum using our revised *J* and *H* photometry, and scaled our higher resolution spectrum to match. We could not calibrate our spectrum directly because the spectral orders do not completely span the filter bandpasses. Figure 2 shows both our Gemini spectrum and the Cushing et al. *HST* spectrum. Our spectrum has been smoothed with a 9-pixel boxcar. The uncertainty in the spectrum is also shown; S/N across the *YJH*-band peaks is ~ 10 , while across the *K* band it is ~ 5 . We compare our spectrum to models in Section 3.3.

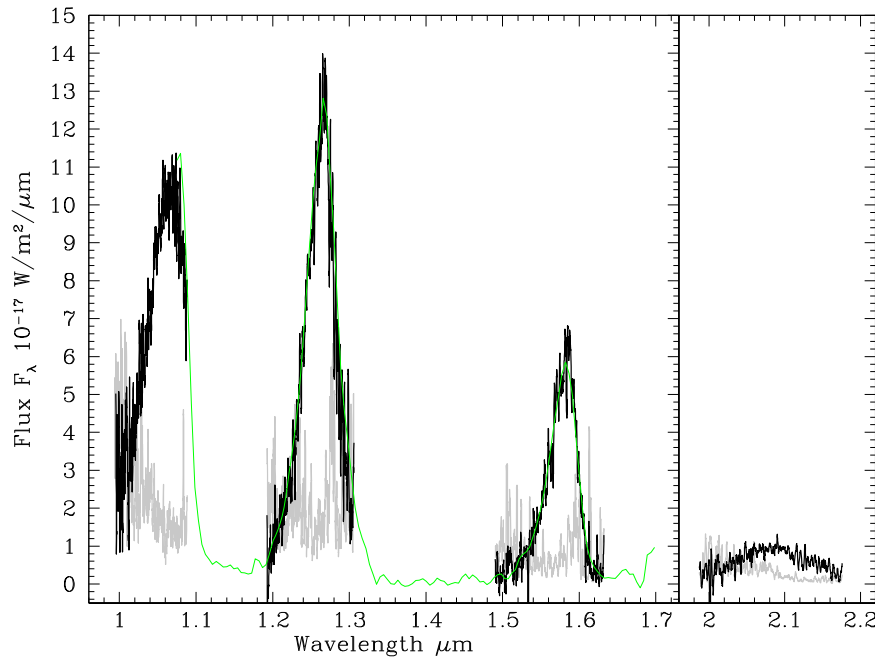


Figure 2. Black line is the spectrum of WISEP J173835.52+273258.9 obtained using Gemini and presented in this work, and the green line is a lower-resolution spectrum obtained by Cushing et al. (2011) using *HST*. The gray line is the uncertainty in the Gemini observational data.

3. COMPARISON OF MODELS AND DATA

3.1. Models

In this work, we use [S12](#) and [T15](#) cloud-free models only. Although chloride and sulphide clouds are important for T dwarfs with T_{eff} as low as 600 K (Morley et al. 2012), our focus is the 400 K Y dwarfs, and it has been shown that cloud-free atmospheres reproduce observations better than existing cloudy models for such objects ([L15](#)). We also use [T15](#) models without the ad hoc modifications to the pressure–temperature gradient which they considered, although such modifications can improve the agreement with near-infrared data for mid-type T dwarfs. The modifications were motivated by the possibility of atmospheric fingering convection induced by species condensation, which is ignored here.

The known Y dwarfs are, of necessity, solar-neighborhood objects, due to their intrinsic faintness. The majority of M dwarf stars in the local neighborhood have near-solar metallicity and age (e.g., Burgasser et al. 2015; Terrien et al. 2015) and the same is likely to be true of the Y dwarfs. We restrict the models to surface gravities given by $\log g = 4.0, 4.5,$ and 4.8 because evolutionary models show that these values correspond to an age range of 0.4–10 Gyr at $T_{\text{eff}} \approx 400$ K (Saumon & Marley 2008). The corresponding mass range is around 5–20 Jupiter masses based on the evolutionary models.

Our analysis also uses [T15](#) models whose nitrogen and carbon chemistry is driven out of equilibrium by vertical mixing which is parametrized with an eddy diffusion coefficient K_{zz} $\text{cm}^2 \text{s}^{-1}$. The departure of the nitrogen chemistry from equilibrium abundances is quite insensitive at these temperatures to the value of K_{zz} , however the carbon chemistry remains sensitive to mixing (Zahnle & Marley 2014). The [T15](#) models show that, at $T_{\text{eff}} = 400$ K, models with $K_{zz} = 10^8 \text{ cm}^2 \text{s}^{-1}$ produce a $\sim 30\%$ stronger CO absorption at $\lambda \approx 4.7 \mu\text{m}$ than those with $K_{zz} = 10^6 \text{ cm}^2 \text{s}^{-1}$, as CO is

enhanced at the expense of CH_4 . We used the well-studied $T_{\text{eff}} = 600$ K T dwarf ULAS J003402.77–005206.7 (Warren et al. 2007) to constrain the value of the mixing coefficient. This object is the coolest brown dwarf with a *Spitzer* mid-infrared spectrum and, combined with other data and an accurate trigonometric parallax, its properties are well determined (Leggett et al. 2009; Smart et al. 2010). We find that the [T15](#) models with appropriate T_{eff} and $\log g$ (550–600 K, 4.5 dex) give a [4.5] mag that is too faint by 0.4 mag if $K_{zz} = 10^8 \text{ cm}^2 \text{s}^{-1}$, but is within 0.1 mag of the observed value if $K_{zz} = 10^6 \text{ cm}^2 \text{s}^{-1}$. Mixing may vary from object to object, and depend on T_{eff} , $\log g$ or metallicity. Previous studies have determined K_{zz} values of 10^2 – $10^6 \text{ cm}^2 \text{s}^{-1}$ for L and T dwarfs (Leggett et al. 2007, 2008, 2010; Geballe et al. 2009; Stephens et al. 2009) and for Jupiter $K_{zz} \approx 10^8 \text{ cm}^2 \text{s}^{-1}$ (Lewis & Fegley 1983). Hence a value of $K_{zz} = 10^6 \text{ cm}^2 \text{s}^{-1}$ is reasonable for Y dwarfs and we adopt that value here.

The [T15](#) models used here contain some improvements over those described in the [T15](#) publication. The thermochemical data for H_2 were updated to be compatible with the JANAF database (Chase 1998) and the Saumon et al. (1995) equation of state. For the disequilibrium models, the pressure–temperature profile was re-converged more frequently to ensure that the total flux is consistent with the effective temperature. Finally, the post-processed low-resolution spectra were computed with the correlated- k method and the high-resolution spectra were computed using line-by-line opacities at a resolution of at least 1 cm^{-1} (a higher resolution than used by [T15](#), see also Amundsen et al. 2014).

Apart from inclusion of non-equilibrium chemistry, the [S12](#) and [T15](#) models differ due to the inclusion by [T15](#) of an updated CH_4 line list (Yurchenko & Tennyson 2014), and the omission by [T15](#) of PH_3 . Also, although condensation is included in the [T15](#) models, the removal of the condensed species from the local gas is not. At these temperatures rain-out species are not important opacity sources, however their

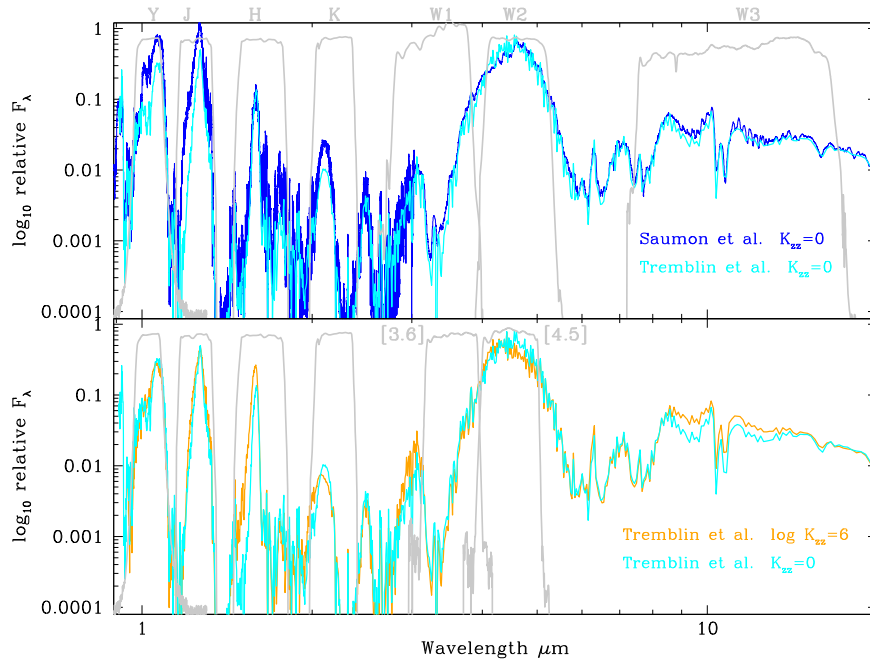


Figure 3. Comparison of Saumon et al. (2012) and Tremblin et al. (2015) cloud-free model spectra for $T_{\text{eff}} = 400$ K, $\log g = 4.0$, solar metallicity atmospheres. The spectra have been smoothed to $R \sim 1000$. Near-infrared MKO-system passbands are shown, as are the mid-infrared *WISE* filter passbands (upper panel) and IRAC *Spitzer* passbands (lower panel). The upper panel compares equilibrium-chemistry models and the lower panel compares equilibrium and non-equilibrium models. Model parameters are given in the legends. See text for discussion.

inclusion in the gas may change the atmospheric opacities. For example not removing the condensed Fe allows it to react with H_2S to form FeS , removing the H_2S absorption (Morley et al. 2014; Marley & Robinson 2015). The two model sets also use different solar abundances (Lodders 2003 for S12, Caffau et al. 2011 for T15), and different treatments of line broadening.

Figure 3 compares $T_{\text{eff}} = 400$ K and $\log g = 4.0$ solar metallicity models from S12 and T15. The top panel compares S12 and T15 equilibrium-chemistry models, and the lower panel compares T15 equilibrium and non-equilibrium models. Filter bandpasses are shown for reference. The reader is referred to L15 (their Figure 5), and figures shown later in this paper, for identification of the species causing the pronounced absorption bands in these spectra at this temperature. The dominant opacity sources are H_2 , H_2O , CH_4 , and NH_3 ; CO and PH_3 may be important at $\lambda \sim 5 \mu\text{m}$.

Comparison of the S12 and T15 spectra for equilibrium chemistry and $T_{\text{eff}} = 400$ K (Figure 3 top panel), shows that the S12 spectrum is brighter at $0.99 < \lambda \mu\text{m} < 1.33$, $1.98 < \lambda \mu\text{m} < 2.58$, and $8.9 < \lambda \mu\text{m} < 9.9$ but fainter at $4.10 < \lambda \mu\text{m} < 4.62$, by factors up to 1.5–2.0; at other wavelengths the spectra are very similar. We suspect that the difference at $\lambda \sim 4.3 \mu\text{m}$ is due to the omission of PH_3 by T15 (see L15, Figure 5, middle panel). The differences at other wavelengths may be due to the use of the new CH_4 line list by T15. At these low temperatures the near-infrared spectrum is very sensitive to opacity changes, as demonstrated by the changes seen when the new H_2 and NH_3 opacities were incorporated into the S12 models (see S12 Figure 7, bottom panel).

Comparison of T15 spectra with and without non-equilibrium chemistry for $T_{\text{eff}} = 400$ K (Figure 3 bottom panel) shows that the *H* band is much brighter in the non-equilibrium case, as are the 3 and $10 \mu\text{m}$ regions. This is because the

abundances of CH_4 and NH_3 are reduced in favor of CO and N_2 . Because CO is enhanced, the $4.7 \mu\text{m}$ region is fainter in the non-equilibrium case. The blue wings of the *Y* and *K* bands are brighter because of the reduction in NH_3 . The cause of the decrease in flux near the peak of the *K* band in the non-equilibrium case is not clear—there are no opacities in this region that should be enhanced by mixing. It may be that the changes introduced into the atmosphere, by mixing, redistributes the flux to regions previously impacted by CH_4 or NH_3 absorption. Although not easily seen in Figure 3, there is a similar decrease in flux near the *Y*-band peak in the non-equilibrium model. We discuss this further in Section 3.3.

We compare the models to data in the following sections.

3.2. Models and Photometry

Figures 4 and 5 present near-infrared color–magnitude and color–color diagrams for late-T and Y dwarfs where observational data are compared to cloud-free solar metallicity S12 and T15 model sequences. The data sources are this work and L15, as well as earlier publications referenced in L15. Brown dwarfs with $M_J > 19$ are labeled in Figure 4, as well as known binary systems where one component has $M_J > 19$; the Appendix gives the full names and discovery references for these objects. Filter bandpasses are shown in Figure 3 and in Figure 6 below.

Figure 4 shows M_J as a function of $J - H$ (left) and $J - [4.5]$ (right). S12 and T15 equilibrium sequences are shown for $\log g = 4.5$, and T15 non-equilibrium models are shown for $\log g = 4.0, 4.5$, and 4.8 , as identified in the legends. Comparing the T15 equilibrium and non-equilibrium models in each panel shows that the brightening of the *H* band and the reduction in the [4.5] flux in the non-equilibrium models (see Figure 3) improves the agreement with the observations, particularly for $T_{\text{eff}} > 450$ K.

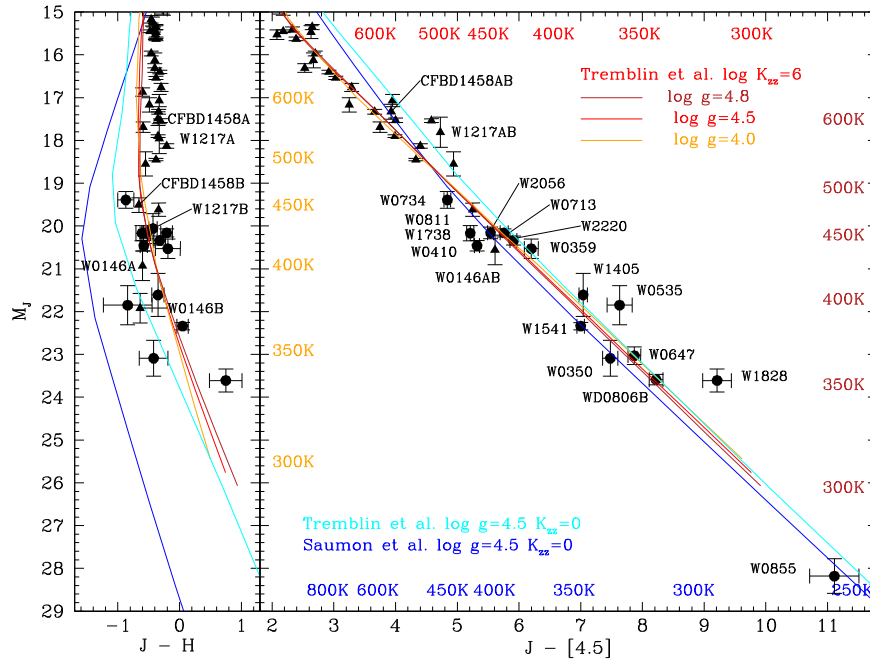


Figure 4. M_J as a function of $J - H$ and $J - [4.5]$. **S12** and **T15** equilibrium sequences are shown for $\log g = 4.5$, and **T15** non-equilibrium models are shown for $\log g = 4.0, 4.5$, and 4.8 , as indicated in the legends. Color-coded T_{eff} values for the models are shown along the axes. Data points are colors and magnitudes for late-T and Y dwarfs (triangles and circles, respectively), using the MKO near-infrared system and the IRAC Vega-based system. Sources of photometry and parallax are this work and as referenced in **L15**. The Y dwarf W0350 is plotted using the photometric parallax determined here (see Section 3.3.4), and so M_J agrees with the models by definition. The close T/Y binary systems CFBD1458AB, W1217AB, and W0146AB have resolved near-infrared photometry but not mid-infrared. Error bars along the x axes are omitted for the T dwarfs for clarity. Full names for the labeled sources are given in the [Appendix](#).

Table 2
Brown Dwarf Identifications for Figure 4

Short Name	Full Name	Discovery Reference
W0146AB	WISE J014656.66+423410.0	Kirkpatrick et al. (2012), Dupuy et al. (2015)
W0350	WISE J035000.32-565830.2	Kirkpatrick et al. (2012)
W0359	WISE J035934.06-540154.6	Kirkpatrick et al. (2012)
W0410	WISEP J041022.71+150248.5	Cushing et al. (2011)
W0535	WISE J053516.80-750024.9	Kirkpatrick et al. (2012)
W0647	WISE J064723.23-623235.5	Kirkpatrick et al. (2013)
W0713	WISE J071322.55-291751.9	Kirkpatrick et al. (2012)
W0734	WISE J073444.02-715744.0	Kirkpatrick et al. (2012)
WD0806B	WD 0806-661B	Luhman et al. (2011)
W0811	WISE J081117.81-805141.3	Kirkpatrick et al. (2012)
W0855	WISE J085510.83-071442.5	Luhman (2014)
W1217AB	WISEPC J121756.91+162640.2	Kirkpatrick et al. (2012), Liu et al. (2012)
W1405	WISEPC J140518.40+553421.5	Cushing et al. (2011)
CFBD1458AB	CFBDSIR J145829+101343	Delorme et al. (2010), Liu et al. (2011)
W1541	WISEP J154151.65-225025.2	Cushing et al. (2011)
W1738	WISEP J173835.52+273258.9	Cushing et al. (2011)
W1828	WISEP J182831.08+265037.8	Cushing et al. (2011)
W2056	WISEPC J205628.90+145953.3	Cushing et al. (2011)
W2220	WISE J222055.31-362817.4	Kirkpatrick et al. (2012)

Figure 5 shows various color-color plots where observations are compared to **T15** $\log g = 4.0, 4.5$, and 4.8 non-equilibrium model sequences, and the **T15** $\log g = 4.5$ equilibrium sequence. As previously mentioned, the agreement at $J - H$ is much improved with the inclusion of non-equilibrium chemistry, and the agreement at $[3.6] - [4.5]$ is also improved for the T dwarfs with $T_{\text{eff}} > 500$ K. The $Y - J$ and $J - K$ colors do not support one treatment of the chemistry over the other, at least for the current models. There are large discrepancies in the

$J - K$ and $[3.6] - [4.5]$ colors which get worse for redder $J - [4.5]$, or lower temperatures. Both K and $[3.6]$ are too faint in the **T15** models (also in the **S12** models, see **L15**). Very little flux is emitted at $2 < \lambda \mu\text{m} < 4$ (Figure 3) and so this inadequacy in the models is not expected to significantly impact the atmospheric structure, but it is desirable to resolve the issue. In this wavelength region both NH_3 and CH_4 are important opacity sources (e.g., **L15**), and it is possible that adjusting the carbon and nitrogen mixing can address the

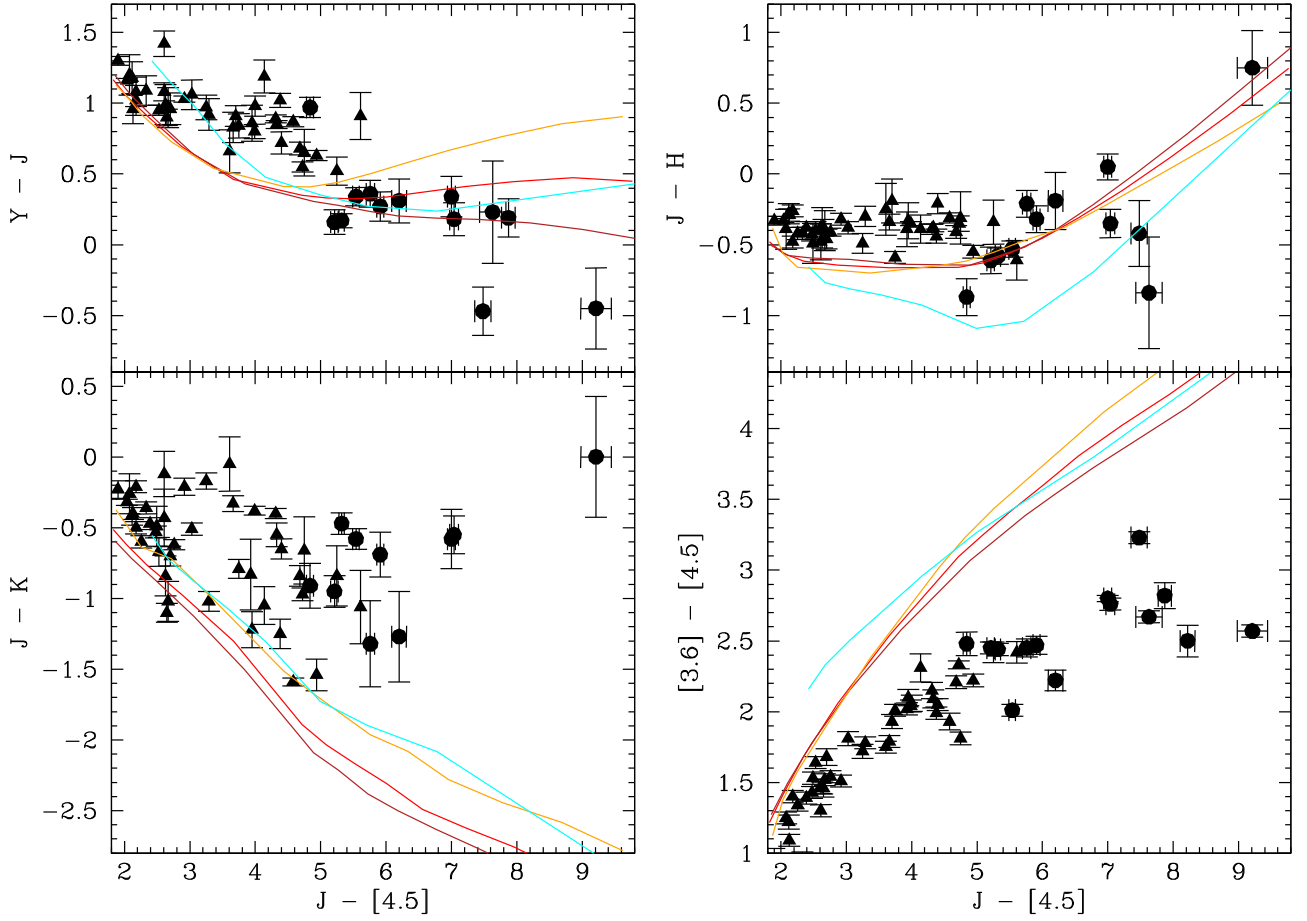


Figure 5. Color-color plots comparing observations to T15 $\log g = 4.0$ (orange line), $\log g = 4.5$ (red line), and $\log g = 4.8$ (dark red line) non-equilibrium model sequences. A T15 equilibrium model sequence with $\log g = 4.5$ (cyan line) is also shown. Symbols are as in Figure 4. Not shown, for clarity, is the extreme dwarf W0855; this dwarf has no published Y , H , or K values, and $J - [4.5] = 11.1 \pm 0.4$ and $[3.6] - [4.5] = 3.55 \pm 0.05$.

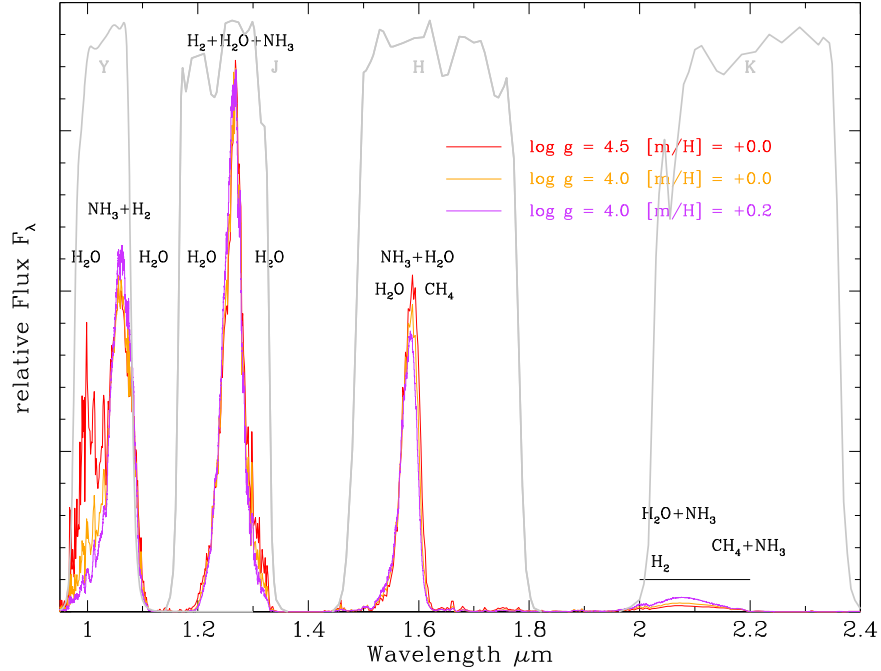


Figure 6. Synthetic T15 near-infrared spectra for $T_{\text{eff}} = 400$ K and $K_{\text{zz}} = 10^6 \text{ cm}^2 \text{ s}^{-1}$. The models differ either in gravity or metallicity, as indicated by the legend. The spectra have been scaled to match at the J -band peak. MKO near-infrared filter profiles are shown.

problem. The discrepancies are discussed further below, where we compare the models to near-infrared spectra.

Figure 5 indicates that the modeled $Y - J$ and $J - K$ colors are sensitive to gravity for $J - [4.5] > 5$, or $T_{\text{eff}} < 450$ K (Figure 4). To explore this further, Figure 6 shows three T15 synthetic near-infrared spectra for $T_{\text{eff}} = 400$ K and $K_{\text{zz}} = 10^6 \text{ cm}^2 \text{ s}^{-1}$. The models differ either in gravity or metallicity, as indicated by the legend. We calculated a very small number of non-solar-metallicity models, motivated by the observed dispersion in the observational data in Figures 4 and 5, and the knowledge that metallicity does impact the spectral energy distribution of brown dwarfs (see, e.g., Burningham et al. 2013). A more complete study of the effect of metallicity will be done elsewhere.

Figure 6 shows that a decrease in gravity or an increase in metallicity increases the flux emerging at K . This is a well-known effect which is due to the relative importance of pressure-induced H_2 opacity which increases with gravity and decreases with metallicity (e.g., Borysow et al. 1997, S12). Based on these models, a change in metallicity of 0.2 dex has a much larger impact on the K magnitude than a change in gravity of 0.5 dex. Figure 6 also shows that the flux emerging from the blue half of the Y band is sensitive to gravity and metallicity. Here the changes go in the opposite sense, so that an increase in metallicity or decrease in gravity reduces the emerging flux. Also, the gravity change of 0.5 dex has a larger impact than the metallicity change of 0.2 dex on the Y magnitude, according to these models. The primary opacity source at $\lambda \approx 1 \mu\text{m}$ is H_2O , which, based on the small changes seen in the wings of the J flux peak, does not appear to be sensitive to these parameters. We will explore nature of the Y -band sensitivity to gravity in future work.

3.3. Models and Spectroscopy

3.3.1. Comparison Method

In this section, we compare near-infrared spectra of three Y dwarfs to T15 synthetic spectra. The model to observation comparison is done by eye, and the K band is neglected because of the inadequacies in the models in this region (Figure 5). The Y dwarfs are the Y0–0.5 dwarf WISEPC J121756.91+162640.2B (W1217B; Kirkpatrick et al. 2012; Liu et al. 2012), the Y0 dwarf W1738, and the Y1 dwarf W0350. We use the T_{eff} values indicated by the $J - [4.5]$ color (Figure 4) as the starting point for spectral fits, as this mimics the spectral shape from 1 to $5 \mu\text{m}$. Most of the energy is emitted in the $5 \mu\text{m}$ window for the late-T and Y dwarfs (e.g., Morley et al. 2012, 2014), and therefore it is important to include this region in any temperature estimate. Figure 4 shows that we expect T_{eff} values of 400–450 K for W1217B and W1738, and 325–375 K for W0350. The model spectra used in the comparison are either in chemical equilibrium or have $\log K_{\text{zz}} = 6$; have surface gravities given by $\log g = 4.0, 4.5$, and 4.8 ; and have T_{eff} (K) = 325, 350, 375, 400, 425, and 450.

For each Y dwarf, then, we have model spectra with three values of T_{eff} , and for each of these we have three values of $\log g$. We compared the nine model spectra to the observed near-infrared spectrum, where we scale the flux of the model spectra (generated for the Y dwarf surface) by the square of the Y dwarf radius and the inverse-square of the distance to the Y dwarf. The Y dwarf radius for any T_{eff} and g combination is obtained from Saumon & Marley (2008) evolutionary models.

The distances to W1217B and W1738 are taken from published values of trigonometric parallax, although we allowed adjustments in the overall brightness of the model spectra corresponding to the 1σ quoted uncertainties in the parallax measurements. For W0350 only a preliminary parallax measurement is available, and we find that a very large adjustment is needed, outside of the quoted uncertainties; we discuss this in Section 3.3.4. As mentioned previously, photometric variability at the $\sim 10\%$ level is possible (Crossfield 2014). We neglect any change in near-infrared spectral shape due to variability, assuming that any wavelength-dependence is not significant for the cloud-free Y dwarfs.

3.3.2. W1217B

Figure 7 shows the spectrum of the Y0–0.5 dwarf W1217B presented by Leggett et al. (2014). Principal absorbers are indicated; for more detailed identifications of features in near-infrared spectra of cool brown dwarfs, the reader is referred to Bochanski et al. (2011) and Canty et al. (2015). Leggett et al. studied the properties of the W1217AB system using coevolution and luminosity arguments for the binary, as well as comparisons of the photometry and spectroscopy of the components to Morley et al. (2012) equilibrium models. The authors determined $T_{\text{eff}} = 450$ K, $\log g = 4.8$, a possibly sub-solar metallicity, and thin to no clouds. T15 fit the same observed spectrum using a model with $T_{\text{eff}} = 425$ K, $\log g = 4.0$, and $\log K_{\text{zz}} = 8$. Once the evolutionary radius is used, the T15-selected model spectrum would be too bright, however, that could be compensated for by adjusting the distance to the brown dwarf, which is quite uncertain.

We find that the current suite of T15 models shows that the best fit is given by the $T_{\text{eff}} = 450$ K, $\log g = 4.5$, and $\log K_{\text{zz}} = 6$ model spectrum, although this requires a distance of 11.3 pc , compared to the measured value of $10.1^{+1.9}_{-1.4} \text{ pc}$ (Dupuy & Kraus 2013). The second-best fit is the $T_{\text{eff}} = 450$ K, $\log g = 4.8$, and $\log K_{\text{zz}} = 6$ model (Leggett et al. 2014 also find $T_{\text{eff}} = 450$ K and $\log g = 4.8$). This model spectrum would require no adjustment of the distance, however, the fit is poorer at Y and K , and similar at J and H , as shown in Figure 7.

Figure 7 also shows the best-fitting equilibrium chemistry model, and demonstrates the impact of mixing in the near-infrared more clearly than Figure 3. Including mixing greatly improves the fit in the region of the strong NH_3 absorption at $\lambda \approx 1.03 \mu\text{m}$ and $\lambda \approx 1.55 \mu\text{m}$. However the equilibrium model better reproduces the data at $1.055 \lesssim \lambda \mu\text{m} \lesssim 1.09$ and $2.07 \lesssim \lambda \mu\text{m} \lesssim 2.15$.

Overall, the selected $T_{\text{eff}} = 450$ K, $\log g = 4.5$, and $\log K_{\text{zz}} = 6$ spectrum gives a superior fit to the relative heights of the Y , J , and H flux peaks compared to the previously published fits by T15 and Leggett et al. (2014).

3.3.3. W1738

Figure 8 shows our best fit to the new $R = 2800$ spectrum presented here for the Y0 dwarf W1738. The best fit in this case is provided by the $T_{\text{eff}} = 425$ K, $\log g = 4.0$, and $\log K_{\text{zz}} = 6$ model spectrum, with no adjustment needed to the measured distance of $7.8 \pm 0.6 \text{ pc}$ (Beichman et al. 2014).

Motivated by the low model flux at K , we compared the observations to a small number of super-solar metallicity models, and found that a model with $[\text{m}/\text{H}] = +0.2$,

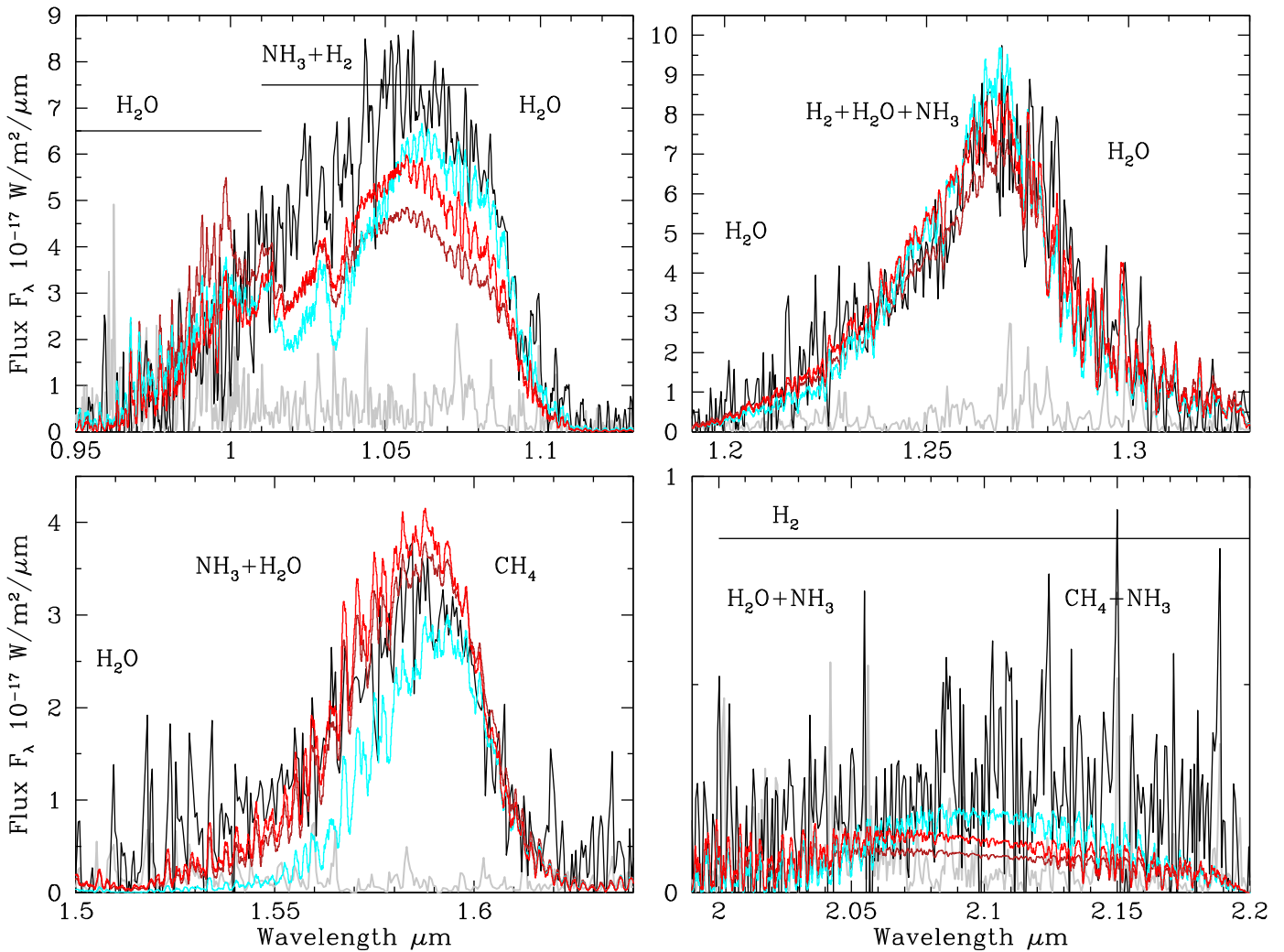


Figure 7. Comparison of the observed WISEPC J121756.91+162640.2B spectrum (black, Leggett et al. 2014, with 3-pixel boxcar smoothing) to T15 solar metallicity synthetic spectra smoothed to match the plotted data resolution: $T_{\text{eff}} = 450$ K $\log g = 4.8$ $\log K_{zz} = 6$ (dark red); $T_{\text{eff}} = 450$ K $\log g = 4.5$ $\log K_{zz} = 6$ (red); and equilibrium chemistry $T_{\text{eff}} = 450$ K $\log g = 4.5$ (cyan). The gray line is the uncertainty in the observational data. The model spectra have been scaled to the distance and radius of the target. The radius is supplied by evolutionary models for the given temperature and gravity (Saumon & Marley 2008). The trigonometric parallax distance is $10.1^{+1.9}_{-1.4}$ pc (Dupuy & Kraus 2013); for the equilibrium and non-equilibrium $T_{\text{eff}} = 450$ K $\log g = 4.5$ (red and cyan) models the distance has been adjusted slightly for a better match to 11.3 pc. Principal absorbers are indicated.

$T_{\text{eff}} = 400$ K, $\log g = 4.0$, and $\log K_{zz} = 6$ gives almost as good a fit, however the shape of the Y flux peak is poorer, as shown in Figure 8. This slightly cooler, higher metallicity model matches the data better if the distance is reduced to the low end of the range measured by Beichman et al.

Figure 8 shows also compares the observations to the best-fitting equilibrium-chemistry model with $T_{\text{eff}} = 450$ K and $\log g = 4.5$. In this case the match is improved if the distance is increased toward the high end of the range. The expected equilibrium-chemistry problem of overly strong NH_3 absorption $\lambda \approx 1.03 \mu\text{m}$ and $\lambda \approx 1.55 \mu\text{m}$ is seen, and also the relative YJH flux peaks are not as well-reproduced by this model.

3.3.4. W0350

Figure 9 shows our best fit to the new $R = 540$ spectrum presented here for the Y1 dwarf W0350. The apparent drop in observed flux at $1.06 \lesssim \lambda \mu\text{m} \lesssim 1.07$ should be confirmed by

new observations (flux calibration of spectra at the extremes of the wavelength range can be prone to error due to the rapidly changing instrument sensitivity function). If real, then it may be an indicator of water clouds (e.g., Morley et al. 2014, their Figure 10), or it may provide an additional constraint on gravity or metallicity (Figure 6).

For this brown dwarf, the preliminary trigonometric parallax published by Marsh et al. (2013) implies an unrealistically faint absolute magnitude of $M_{[4.5]} = 16.9$, which would make W0350 similar in luminosity to the extreme dwarf W0855 while $J - [4.5]$ is more than 3.5 mag bluer (see Figure 4). In matching the spectra, we start with the model set constrained in temperature and gravity as described in Sections 3.1 and 3.3.1, and radii given by evolutionary models, but allow the distance to be greater than implied by the parallax measurement of $3.4^{+0.7}_{-0.5}$ pc. An error in the preliminary Marsh et al. results, especially in the harder to measure smaller-parallax greater-distance direction, would not be surprising. For comparison,

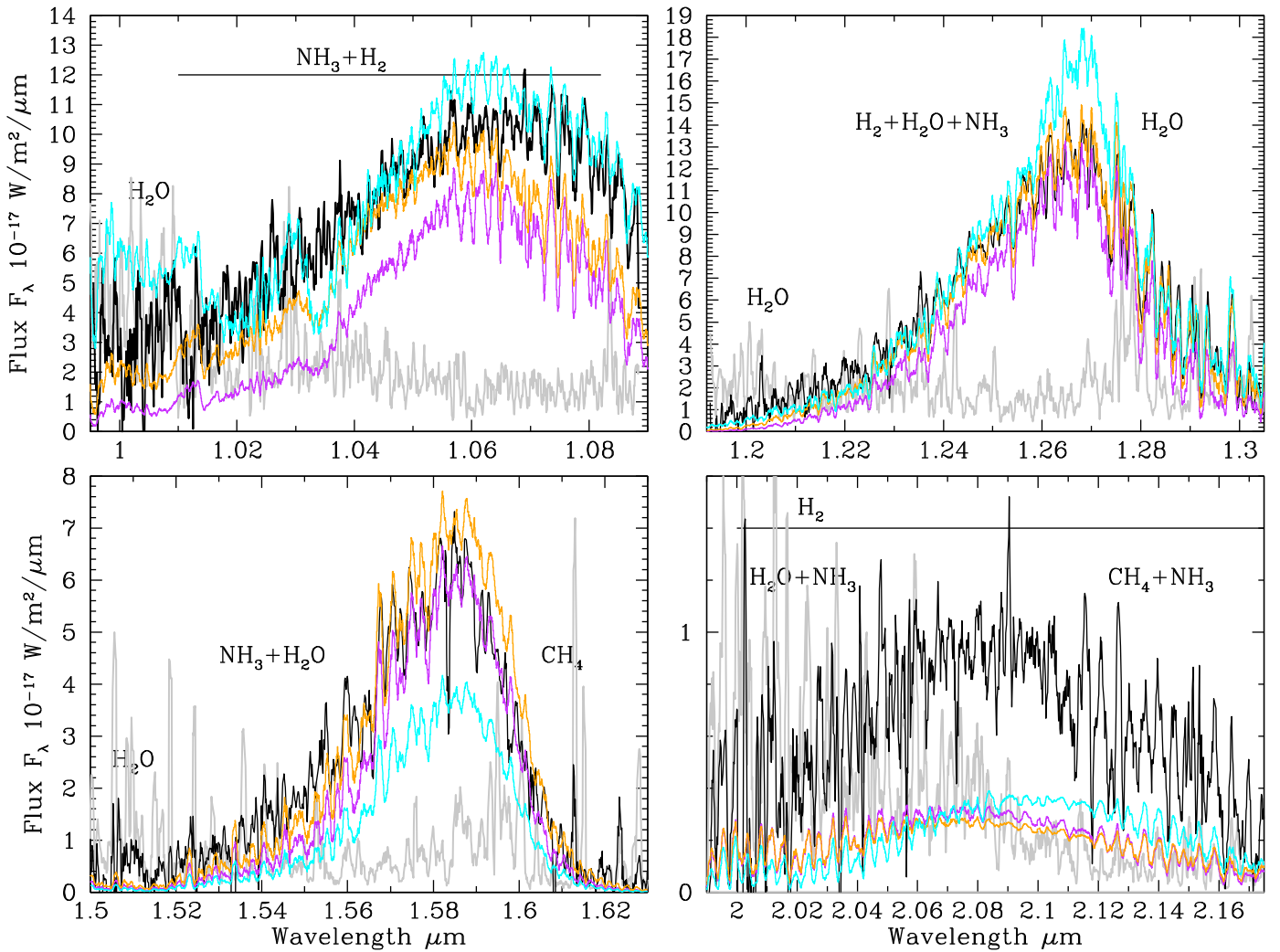


Figure 8. Comparison of the observed WISEP J173835.52+273258.9 spectrum (black, with 5-pixel boxcar smoothing) to T15 synthetic spectra smoothed to match the plotted data resolution: $T_{\text{eff}} = 400$ K $\log g = 4.0$ $\log K_{\text{zz}} = 6$ [m/H] = +0.2 (violet); $T_{\text{eff}} = 425$ K $\log g = 4.0$ $\log K_{\text{zz}} = 6$ [m/H] = +0.0 (orange); and equilibrium chemistry $T_{\text{eff}} = 450$ K $\log g = 4.5$ [m/H] = +0.0 (cyan). The gray line is the uncertainty in the observational data. The model spectra have been scaled to the distance and radius of the target. The radius is supplied by evolutionary models for the given temperature and gravity (Saumon & Marley 2008). The trigonometric parallax distance is 7.8 ± 0.6 pc (Beichman et al. 2014); to improve the match the $T_{\text{eff}} = 400$ K $\log g = 4.0$ $\log K_{\text{zz}} = 6$ [m/H] = +0.2 (violet) model has been scaled to the low end of this range, 7.2 pc, and the equilibrium chemistry $T_{\text{eff}} = 450$ K, $\log g = 4.5$ [m/H] = +0.0 model (cyan) has been scaled toward the high end of this range, 8.2 pc. Principal absorbers are indicated.

Beichman et al. (2014) revise the distance for another Y dwarf in their sample (WISE J041022.71+150248.4) from $4.1^{+1.6}_{-0.2}$ to 6.2 ± 0.4 .

The best fit is provided by the $T_{\text{eff}} = 350$ K, $\log g = 4.0$ and $\log K_{\text{zz}} = 6$ model spectrum. At a higher gravity of $\log g = 4.5$ the fit is almost as good, but the H flux peak appears to have an excess of flux at $\lambda > 1.59 \mu\text{m}$, as shown in Figure 9. The fits imply a distance of 6.3 pc for the preferred model, and 5.3 pc for the second model. In the absolute magnitude diagrams in Figure 4 we use our distance of 6.3 pc.

Figure 9 also shows the best-fitting equilibrium-chemistry model with $T_{\text{eff}} = 350$ K and $\log g = 4.5$. This fit implies a distance of 5.3 pc, as found for the non-equilibrium model with the same values of T_{eff} and g . There is again evidence that the equilibrium model is too faint in the blue wing of the H band, suggesting that even at the low temperature of $T_{\text{eff}} = 350$ K, mixing of N_2 and NH_3 is significant.

3.3.5. Quality of Fit

Although the non-equilibrium-chemistry model fits to the spectra of the three Y dwarfs are remarkably good in some regions, especially in the J band, systematic offsets can be seen in Figures 7–9. In the Y band, the model flux at $1.01 \lesssim \lambda \mu\text{m} \lesssim 1.04$ is low, as is the flux at $1.06 \lesssim \lambda \mu\text{m} \lesssim 1.09$. In the H band, the model flux at $1.51 \lesssim \lambda \mu\text{m} \lesssim 1.555$ is slightly low, and the model flux at $1.57 \lesssim \lambda \mu\text{m} \lesssim 1.60$ is high. In particular, there is a strong absorption feature observed at $\lambda \approx 1.59 \mu\text{m}$ that is not seen in the models (Figures 7–9). In the K band, the model flux at $2.02 \lesssim \lambda \mu\text{m} \lesssim 2.18$ is low by a factor of 2–3. The photometric comparison presented above indicates that the model flux is also too low at $3 < \lambda \mu\text{m} < 4$.

Most of these problem regions can be identified as areas where NH_3 and CH_4 absorption is important. The strong absorption at $\lambda \approx 1.59 \mu\text{m}$ looks similar to the feature seen in the spectrum of the 500 K brown dwarf UGPS

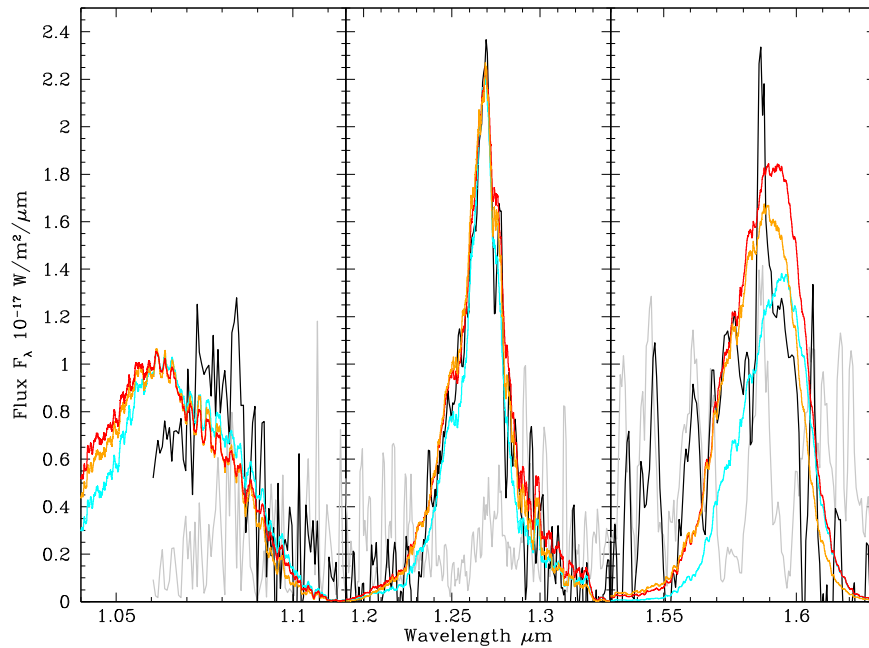


Figure 9. Comparison of the observed WISE J035000.32–565830.2 spectrum (black, smoothed with a 3-pixel boxcar in the central panel, and a 5-pixel boxcar in the right panel) to T15 solar metallicity synthetic spectra smoothed to match the plotted data resolution: $T_{\text{eff}} = 350\text{ K}$ $\log g = 4.5$ $\log K_{\text{zz}} = 6$ (red); $T_{\text{eff}} = 350\text{ K}$ $\log g = 4.0$ $\log K_{\text{zz}} = 6$ (orange); and equilibrium chemistry $T_{\text{eff}} = 350\text{ K}$ $\log g = 4.5$ (cyan). The gray line is the uncertainty in the observational data. The model spectra have been scaled to the distance and radius of the target. The radius is supplied by evolutionary models for the given temperature and gravity (Saumon & Marley 2008). For this object the preliminary parallax distance of 2.9–4.1 pc by Marsh et al. (2013) appears more uncertain than estimated and we have allowed the distance to be greater than 4.1 pc. The distances implied by the fits shown in the plot range from 5.3 pc for the $T_{\text{eff}} = 350\text{ K}$ $\log g = 4.5$ equilibrium and non-equilibrium models to 6.3 pc for the $T_{\text{eff}} = 350\text{ K}$ $\log g = 4.0$ model. See Figure 7 for absorber identification.

J072227.51054031.2, and identified by Canty et al. (2015) as a combination of both NH_3 and CH_4 absorption. Figures 3, 7 and 8 show that there is a decrease in flux at the Y and K flux peaks when mixing is included in the models, the cause of which may be simple flux redistribution (Section 3.1). This effect appears to be too strong, based on our comparisons. All of these issues suggest that tuning the chemical mixing, or using a retrieval approach such as in Line et al. (2015), would improve the models.

Using the preferred model parameters for W1738 and W0350 (resolved mid-infrared photometry does not exist for the W1217AB system), the T15 $J - [4.5]$ colors for these two objects are about 0.4 mag larger than observed (Figure 4). Considering the uncertainties in the fits and the remaining deficiencies in the models, the agreement is reasonable. Table 1 gives the values of T_{eff} and $\log g$ for W0350 and W1738 based on the model fits to the new spectra presented here, with the corresponding mass and age from evolutionary models. Note that the lower gravity we find for W1217B compared to that of Leggett et al. (2014) implies a younger age for the system of 1–5 Gyr and a lower mass for W1217B of 10–15 M_{Jupiter} , compared to 4–8 Gyr and 20–24 M_{Jupiter} found by those authors.

4. ESTIMATED PHYSICAL PARAMETERS FOR W0350 AND W1738

Evolutionary models show that mass is tightly constrained by the surface gravity for solar-neighborhood objects at these temperatures (see, e.g., Saumon & Marley 2008, their Figure 4; and Allard et al. 1996; Marley et al. 1996; Burrows et al. 1997). Changing $\log g$ by 0.5 dex changes the $[4.5]$ flux by a factor ≈ 1.25 , which is larger than or similar to the likely uncertainty

in the model. However, our experiments using the YJH peaks together in a spectral by-eye comparison shows that gravity can be further constrained to 0.25 dex for these Y dwarfs (e.g., Figure 7). The fits, together with evolutionary models, then imply a mass of 5^{+4}_{-2} Jupiter masses for both W0350 and W1738. Once the models are more robust, the Y and K colors show promise for constraining $\log g$ (Figures 5 and 6), although metallicity variations will also need to be considered.

Given the range in colors seen for different gravities and different input physics (S12 versus T15) in Figure 4, the uncertainty in our derived temperature is $< 50\text{ K}$. Current models show that a change in 25 K at these temperatures changes the flux in the J band by a factor $\gtrsim 1.5$ which is larger than the likely systematic error in the models and data, and we adopt an uncertainty in T_{eff} of $\sim \pm 25\text{ K}$. This is supported by the range in parameters for the preferred and runner up models described in the previous section. Note that exploration of a limited set of non-solar metallicity models in the previous section showed that a change in $[\text{m}/\text{H}]$ of 0.2 dex changed the derived T_{eff} by 25 K, consistent with the adopted uncertainty (Figure 9).

Gravity and temperature together constrain age (see, e.g., Saumon & Marley 2008, their Figure 4). Taking into account uncertainties of 25 K and 0.25 dex in T_{eff} and $\log g$, respectively, W0350 has an age of 0.3–3 Gyr and W1738 has an age of 0.15–1 Gyr. Interestingly, W0350 seems to be a cooler, older version of W1738, as both have a mass of around 5 Jupiter masses. Both W0350 and W1738 have low tangential velocities of around 20 km s^{-1} , based on the proper motions of Marsh et al. (2013) and Beichman et al. (2014), as well as the distance used here for W0350 and that determined by Beichman et al. for W1738. This is consistent with thin disk kinematics (e.g., Dupuy & Liu 2012) and an age of $< 7\text{ Gyr}$

(e.g., Brook et al. 2012), which is consistent with the loose constraints on age determined here.

5. CONCLUSION

As expected, models that include vertical mixing and the resulting non-equilibrium chemistry reproduce observations of Y dwarfs better than those that do not include mixing. Hydrogen, carbon, nitrogen, and oxygen chemistry shapes the spectral energy distributions of these cold brown dwarfs. Vertical mixing in the atmosphere impacts the chemistry of carbon and nitrogen, and the remaining systematic discrepancies between the data and models that we have identified, where both NH_3 and CH_4 are important, could be addressed by fine-tuning the mixing. The kinetics of nitrogen species are uncertain, and Moses (2014) shows that different treatments of quenching result in significantly different NH_3 abundances; this will be an avenue of future exploration. Mixing and non-equilibrium chemistry is also expected to increase the abundance of PH_3 and HCN in cool atmospheres (Zahnle & Marley 2014; Sousa-Silva et al. 2015), which has not been taken into account in current models and should be included in future models.

The model comparisons show that for $T_{\text{eff}} \approx 400$ K Y dwarfs, the atmospheric chemistry needs to change such that, while conserving flux,

1. the CH_4 absorption is decreased at $2 < \lambda \mu\text{m} < 4$ without increasing the CO absorption at $\lambda = 4.7 \mu\text{m}$,
2. the CH_4 and NH_3 absorption at $\lambda \approx 1.59 \mu\text{m}$ is increased without increasing the absorption elsewhere in the near-infrared,
3. the NH_3 absorption at $\lambda \approx 1.03 \mu\text{m}$ is decreased, and
4. the flux at $\lambda \approx 1.08 \mu\text{m}$ and $\lambda \approx 2.10 \mu\text{m}$ is increased without strengthening the CH_4 or NH_3 absorption, except at $\lambda \approx 1.59 \mu\text{m}$.

It may be easiest to achieve this by applying a retrieval analysis such as was recently done for late-T dwarfs by Line et al. (2015). On the other hand, less than 10% of the total flux is emitted at $\lambda < 3.5 \mu\text{m}$ in these objects, all in the Wein tail of the Planck function. This makes the near-infrared spectrum very sensitive to details of the opacity and chemical abundances. A more robust analysis would be possible with spectroscopic data beyond $3 \mu\text{m}$.

New or improved trigonometric parallaxes for the Y dwarfs would be valuable. Less uncertain distances would allow tighter constraints on gravity and temperature when fitting spectra as we did here, incorporating the brown dwarf radius and distance and requiring that the absolute flux levels be consistent.

Despite the remaining discrepancies and uncertainties, the quality of the models and the observational data are quite impressive, and the fits that we show here, to *J*-band spectra in particular, are remarkably good. We find that the isolated Y dwarfs WISE J035000.32–565830.2 and WISEP J173835.52 +273258.9 both have a mass of 5_{-2}^{+4} Jupiter masses, and their ages are 0.3–3 Gyr and 0.15–1 Gyr, respectively. This is consistent with the low tangential velocity of around 20 km s^{-1} measured for both brown dwarfs and puts them well within the commonly accepted mass range of planets.

Based on observations obtained at the Gemini Observatory, which is operated by the Association of Universities for

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APPENDIX BROWN DWARF IDENTIFICATIONS

Table 2 lists the full name of the T and Y dwarfs identified in Figure 4, together with discovery references.

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