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Paul N. Hopkins, Joep Defesche, Sigrid W. Fouchier, Eric Bruckert, Gérald Luc, et al.. Characterization of Autosomal Dominant Hypercholesterolemia Caused by PCSK9 Gain of Function Mutations and Its Specific Treatment With Alirocumab, a PCSK9 Monoclonal Antibody. *Circulation: Genomic and Precision Medicine*, 2015, Equipe 5, 8 (6), pp.823–831. 10.1161/CIRCGENETICS.115.001129 . hal-01830975

**HAL Id: hal-01830975**

**<https://hal.science/hal-01830975>**

Submitted on 13 Jul 2018

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# Characterization of Autosomal Dominant Hypercholesterolemia Caused by *PCSK9* Gain of Function Mutations and Its Specific Treatment With Alirocumab, a *PCSK9* Monoclonal Antibody

Paul N. Hopkins, MD, MSPH\*; Joep Defesche, PhD\*; Sigrid W. Fouchier, PhD;  
Eric Bruckert, MD; Gérald Luc, MD; Bertrand Cariou, MD, PhD; Barbara Sjouke, MD;  
Trond P. Leren, MD, PhD; Mariko Harada-Shiba, MD, PhD; Hiroshi Mabuchi, MD, PhD;  
Jean-Pierre Rabès, MD, PhD; Alain Carrié, MD, PhD; Charles van Heyningen;  
Valérie Carreau, MD; Michel Farnier, MD, PhD; Yee P. Teoh, MBBS; Mafalda Bourbon, PhD;  
Masa-aki Kawashiri, MD, PhD; Atsushi Nohara, MD, PhD; Handrean Soran, MSc, MD;  
A. David Marais, MBChB; Hayato Tada, MD, PhD; Marianne Abifadel, PharmD, PhD;  
Catherine Boileau, PhD; Bernard Chanu, MD; Shoji Katsuda, MD, PhD;  
Ichiro Kishimoto, MD, PhD; Gilles Lambert, PhD; Hisashi Makino, MD, PhD;  
Yoshihiro Miyamoto, MD, PhD; Matthieu Pichelin, MD; Kunimasa Yagi, MD, PhD;  
Masakazu Yamagishi, MD; Yassine Zair, MD; Scott Mellis, MD, PhD;  
George D. Yancopoulos, MD, PhD; Neil Stahl, PhD; Johanna Mendoza, BSc; Yunling Du, PhD;  
Sara Hamon, PhD; Michel Krempf, MD; Gary D. Swergold, MD, PhD

**Background**—Patients with *PCSK9* gene gain of function (GOF) mutations have a rare form of autosomal dominant hypercholesterolemia. However, data examining their clinical characteristics and geographic distribution are lacking. Furthermore, no randomized treatment study in this population has been reported.

**Methods and Results**—We compiled clinical characteristics of *PCSK9* GOF mutation carriers in a multinational retrospective, cross-sectional, observational study. We then performed a randomized placebo-phase, double-blind study of alirocumab 150 mg administered subcutaneously every 2 weeks to 13 patients representing 4 different *PCSK9* GOF mutations with low-density lipoprotein cholesterol (LDL-C)  $\geq 70$  mg/dL on their current lipid-lowering therapies at baseline. Observational study: among 164 patients, 16 different *PCSK9* GOF mutations distributed throughout the gene were associated with varying severity of untreated LDL-C levels. Coronary artery disease was common (33%; average age of onset, 49.4 years), and untreated LDL-C concentrations were higher compared with matched carriers of mutations in the *LDLR* (n=2126) or apolipoprotein B (n=470) genes. Intervention study: in *PCSK9* GOF mutation patients randomly assigned to receive alirocumab, mean percent reduction in LDL-C at 2 weeks was 62.5% ( $P < 0.0001$ ) from baseline, 53.7% compared with placebo-treated *PCSK9* GOF mutation patients ( $P = 0.0009$ ; primary end point). After all subjects received 8 weeks of alirocumab treatment, LDL-C was reduced by 73% from baseline ( $P < 0.0001$ ).

**Conclusions**—*PCSK9* GOF mutation carriers have elevated LDL-C levels and are at high risk of premature cardiovascular disease. Alirocumab, a *PCSK9* antibody, markedly lowers LDL-C levels and seems to be well tolerated in these patients.

**Clinical Trial Registration**—URL: <http://www.clinicaltrials.gov>. Unique Identifier: NCT01604824.

(*Circ Cardiovasc Genet*. 2015;8:823-831. DOI: 10.1161/CIRCGENETICS.115.001129.)

**Key Words:** alirocumab ■ cardiovascular diseases ■ clinical trial ■ genetics ■ hypercholesterolemia ■ *PCSK9* protein, human

Autosomal dominant hypercholesterolemia (ADH), which features high levels of low-density lipoprotein cholesterol (LDL-C), is a common monogenic disorder (estimated

prevalence 1 in 250–500) that substantially contributes to the worldwide burden of premature cardiovascular disease (CVD).<sup>1,2</sup> Plasma levels of LDL-C are regulated primarily by

Received February 3, 2015; accepted August 25, 2015.

\*Drs Hopkins and Defesche contributed equally to this work.

The Data Supplement is available at <http://circgenetics.ahajournals.org/lookup/suppl/doi:10.1161/CIRCGENETICS.115.001129/-/DC1>.

Correspondence to Paul N. Hopkins, MD, MSPH, Division of Cardiovascular Genetics, University of Utah, 420 Chipeta Way, Room 1160, Salt Lake City, UT 84108. E-mail [paul.hopkins@utah.edu](mailto:paul.hopkins@utah.edu)

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*Circ Cardiovasc Genet* is available at <http://circgenetics.ahajournals.org>

DOI: 10.1161/CIRCGENETICS.115.001129

apolipoprotein B–mediated binding of LDL particles to hepatic LDL receptors (LDLRs) followed by cellular internalization and metabolism. Patients with genetic defects in this pathway have high levels of LDL-C and early onset CVD, as evident in patients with *LDLR* (OMIM #606945) or *APOB* mutations (OMIM #107730) causing familial hypercholesterolemia (FH) and familial defective apolipoprotein B (FDB), respectively.

### Editorial see p 749 Clinical Perspective on p 831

DNA recombinant mapping in families in France and Utah in which ADH did not cosegregate with markers for *LDLR* or *APOB* identified 1p34 as the responsible locus.<sup>3,4</sup> Shortly thereafter, several gain of function (GOF) mutations in the *PCSK9* gene (OMIM #607786) were identified as a third cause of ADH: Ser127Arg and Phe216Leu in 3 French families,<sup>5</sup> Asp374Tyr in the Utah family,<sup>6</sup> and later in Norwegian and English families.<sup>7,8</sup> Additional *PCSK9* GOF mutations were later identified in several small studies from various geographical locations.<sup>9–12</sup>

Proprotein convertase subtilisin/kexin type 9 (PCSK9) regulates serum LDL catabolism by binding and targeting LDLR to lysosomal degradation.<sup>13–17</sup> Thus, increased PCSK9 function leads to reduced hepatic LDLR levels and concomitant high plasma LDL-C levels<sup>13</sup> and vice versa.<sup>18</sup> In several patient populations who cannot achieve target LDL-C levels with currently available lipid-lowering therapies, blockade of PCSK9 with alirocumab, or other human PCSK9 monoclonal antibodies, has demonstrated significant LDL-C reductions.<sup>19–23</sup>

Despite growing awareness that *PCSK9* mutations may cause ADH, no global study has been performed that examines and compares the clinical characteristics of the rare patients with different *PCSK9* GOF mutations to each other or to patients with FH and FDB. We report a worldwide comparative compilation of patients known to have varying *PCSK9* GOF mutations so as to describe their physical and laboratory manifestations, prevalence of CVD, and lipid response to therapy. We also report results from the first randomized intervention trial in *PCSK9* GOF mutation patients treated with alirocumab for which we used a novel randomized placebo-phase study design to enable a double-blinded comparison of alirocumab with placebo (based on differential onset of effect between study arms) and the opportunity for all subjects to receive active study medication and contribute to the analysis of safety and efficacy.<sup>24</sup>

## Methods

### Study Designs

The studies were designed by Regeneron Pharmaceuticals Inc in collaboration with one of the authors (J.D. for observational study and P.N.H. for treatment study). The study protocols were approved by the investigational review board at each study center, and all subjects in the treatment study provided written informed consent. Data were collected at the study sites by several of the coauthors and were analyzed by representatives of Regeneron Pharmaceuticals Inc.

### Comparative Observational Study

We conducted a retrospective global comparative compilation study in which individuals known to have *PCSK9* GOF mutations were

categorized so as to associate mutations with lipid profiles, comorbidity, and response to therapy. All of these patients had also been previously characterized for functional mutations in *LDLR* and *APOB* exons 26 and 29. Data were collected by supplying the collaborators with a uniform data collection sheet that included untreated and on-treatment lipid profiles; lipid-lowering therapy at the time of treated lipid profiles; the presence of xanthoma, xanthelasma, and arcus lipoides corneae; and occurrence and age of onset of CVD.

We compared lipid profiles and other clinical characteristics of patients with *PCSK9* GOF mutations to patients with FH and FDB. For this comparison, we selected molecularly proven carriers of pathological *LDLR* or *APOB* mutations from the Dutch Familial Hypercholesterolemia Registry who had untreated lipid levels available.<sup>25,26</sup> Each patient with a *PCSK9* GOF mutation was matched by sex and age ( $\pm 2$  years) to all available FH and FDB patients from the Dutch Familial Hypercholesterolemia Registry. This approach yielded a cohort with an average of 3 FDB and 16 FH patients for each *PCSK9* carrier. *LDLR* mutations were characterized as defective (missense, small in-frame indel, synonymous with added splice site) or deficient (large or frame-shifting indel, nonsense, splice site, promoter variant). In comparisons of the effect of different *PCSK9* GOF mutations on LDL-C, we only performed statistical tests for a particular variant when  $\geq 5$  individuals were observed to carry that variant, and we compared that variant with all noncarriers of that particular variant.

### Treatment Study

The treatment study was conducted at 3 sites in France and 1 in Utah. We included men and women age aged 18 to 70 years with *PCSK9* GOF mutations verified by DNA sequencing and serum LDL-C levels  $\geq 70$  mg/dL at screening on a stable lipid-lowering regimen and considered not at goal by the investigator. Subjects had body mass index of 18.0 to 40.0 kg/m<sup>2</sup> and no cardiovascular event, heart failure, or uncontrolled diabetes within 6 months of enrollment. Patients continued to take their prestudy lipid-lowering therapies throughout the study. Additional enrollment criteria are provided in the Data Supplement.

We used a novel double-blind, randomized, placebo-phase design instead of an open-label nonrandomized study design to enable a double-blinded comparison of alirocumab with placebo (Figure I in the Data Supplement). This study design also provided on-drug treatment data for all subjects in this small group of unique patients.<sup>24</sup> All participants received a single-blind dose of placebo at week–2. After subsequent randomization, group A received alirocumab (150 mg SC) at weeks 0, 2, 4, 6, and 10 and placebo at weeks 8, 12, and 14; group B received alirocumab at weeks 2, 4, 6, 8, and 12 and placebo at weeks 0, 10, and 14 (Figure I in the Data Supplement). Follow-up visits were conducted at weeks 16, 18, 20, and 22. Accordingly, the number of alirocumab doses was equal in the 2 groups, but the dosing schedule for group B was shifted by 2 weeks compared with group A.

The primary end point was a comparison in percent change of measured serum LDL-C from pretreatment to 2 weeks between group A (single alirocumab dose) and group B (placebo). Secondary efficacy end points included changes in other lipids at week 2 and changes in lipid measures from baseline to each study visit. Safety assessments included a physical examination, the evaluation of vital signs, electrocardiography, and blood tests. Further details and the schedule of assessments are provided in the Data Supplement.

## Statistical Analysis

### Comparative Observational Study

For the comparative observational study, we used ANOVA to assess differences in mean lipoprotein levels between each of the individual *PCSK9* mutations and all other *PCSK9* GOF mutations combined. This methodology was also used to compare lipoprotein levels in all patients with *PCSK9* GOF mutations (without *LDLR* mutations) combined and patients with FH and FDB. To determine the effect of medication, a paired *t* test was performed on lipoprotein levels before and after treatment.

### Treatment Study

Power analysis for the treatment study were based on previous efficacy data and suggested that  $\approx 6$  patients per dose group in this rare patient population would provide at least 80% power to detect a treatment difference of 30% (SD, 15%) versus placebo for the primary end point at a 5% significance level. Continuous primary and secondary efficacy variables were analyzed using ANCOVA model with treatment arm as the fixed effect and using the relevant baseline value as a covariate. The rank-based ANCOVA was used for triglycerides and lipoprotein (a) (Lp[a]). The results for the remaining lipid parameters were also confirmed using a nonparametric method (Kruskal–Wallis). There were no missing data points.

## Results

### Comparative Observational Study

During 2012, 200 lipid specialty centers around the world were contacted, and 164 patients (83 men and 81 women, aged <1 to 79 years) heterozygous with previously identified

*PCSK9* GOF mutations were compiled from 12 centers in 8 countries (Table 1). The patients carried 16 different missense mutations, 6 of which were previously undescribed (Table 1). Individual *PCSK9* GOF mutations generally had restricted geographic distributions and were found in a small number of pedigrees (Figure II in the Data Supplement). Examples include 22 patients with Arg215His found only in 2 pedigrees in Norway and 12 patients with Val4Ile and 30 patients with Glu32Lys found only in Japan. Obligate carrier founders in the Utah pedigree were migrants from the United Kingdom. For pooled *PCSK9* GOF mutation patients, mean untreated total and LDL-C were 359 and 272 mg/dL, respectively. Eleven patients were double heterozygotes for mutations in *PCSK9* and *LDLR*; these patients tended to have higher untreated lipids compared with patients with the same GOF mutation alone, as previously reported for the 3 Glu32Lys double-heterozygote patients.<sup>12</sup>

**Table 1. Summary of Clinical Data of Patients With a Familial GOF Mutation in *PCSK9***

Mutation				Total Cholesterol, mg/dL	LDL-C, mg/dL	Number Affected/Number Assessed				
Protein (DNA)	Exon	n	Countries (n)	Mean $\pm$ SD (n)	Mean $\pm$ SD (n)	CAD	Stroke	PVD	Arcus	Xanthoma
Val4Ile (10G>A)*	1	12	Japan	365.0 $\pm$ 103.2 (12)	274.9 $\pm$ 99.8 (10)	4/12	0/12	1/12	3/12	10/12
With <i>LDLR</i> mutation		8	Japan	386.7 $\pm$ 108.3 (8)	309.4 $\pm$ 100.5 (6)	4/8	0/8	1/8	2/8	7/8
No <i>LDLR</i> mutation		4	Japan	313.6 $\pm$ 84.7 (4)	222.7 $\pm$ 82.4 (4)	0/4	0/4	0/4	1/4	3/4
Glu32Lys (94G>A)	1	30	Japan	329.1 $\pm$ 87.4† (29)	242.1 $\pm$ 89.7† (28)	7/30	4/30	0/30	8/29	13/29
With <i>LDLR</i> mutation		3	Japan	580.1 $\pm$ 69.6 (2)	495.0 $\pm$ 119.9 (2)	1/3	0	0/3	2/3	3/3
No <i>LDLR</i> mutation		27	Japan	308.2 $\pm$ 52.2 (27)	221.2 $\pm$ 51.4 (26)	6/27	4/27	0/27	6/26	10/26
Asp35Tyr (103G>A)	1	1	France	300.5 (1)	218.1 (1)	0/1	0/1	0/1	0/1	0/1
Glu48Lys (142G>A)*,†	1	1	Netherlands	232.8 (1)	164.3 (1)	—/0	—/0	—/0	—/0	—/0
Pro71Leu (212C>T)*	2	6	Netherlands	227.4 $\pm$ 33.3 (3)	156.2 $\pm$ 35.6 (3)	1/6	2/6	0/6	—/0	—/0
Arg96Cys (286C>T)*	2	3	Netherlands	271.5 $\pm$ 46.0 (3)	191.4 $\pm$ 34.4 (3)	2/3	0/3	0/3	0/2	0/2
Leu108Arg (323T>G)	2	1	France	365.4 (1)	303.6 (1)	1/1	0/1	0/1	0/1	0/1
Ser127Arg (381T>A)	2	17	France (12) Norway (3) South Africa (2)	419.2 $\pm$ 80.4§ (16)	368.5 $\pm$ 82.4‡ (9)	2/15	0/14	0/12	4/15	8/15
Asp129Asn (385G>A)*	2	1	United Kingdom	399.1 (1)	321.3 (1)	0/1	0/1	0/1	0/1	0/1
Arg215His (664G>A)	4	22	Norway	287.3 $\pm$ 102.9§ (20)	163.6 $\pm$ 53.8‡ (11)	4/4	—/0	—/0	—/0	—/0
Phe216Leu (646T>C)†	4	1	France	263.0 (1)	169.0 (1)	0/1	0/1	0/1	0/1	0/1
Arg218Ser (654A>T)	4	2	France	340.3 $\pm$ 87.4 (2)	244.0 $\pm$ 68.8 (2)	0/1	0/1	0/1	1/1	1/1
Asp374His (1120G>C)	7	4	France (1) Portugal (3)	408.7 $\pm$ 123.4 (4)	372.0 $\pm$ 185.2 (2)	3/4	0/4	0/4	1/4	1/4
Asp374Tyr (1120G>T)	7	44	Norway (11) United Kingdom (13) United States (20)	419.6 $\pm$ 105.2   (42)	329.1 $\pm$ 102.5   (35)	13/39	0/10	1/10	3/22	14/22
Ser465Leu (1685C>T)*	9	10	Netherlands	269.9 $\pm$ 58.8§ (7)	186.8 $\pm$ 56.8§ (7)	4/10	0/10	0/10	—/0	—/0
Arg496Trp (1777C>T)	9	9	Netherlands	300.5 $\pm$ 48.3 (3)	337.6 $\pm$ 184.5 (3)	0/9	0/4	0/4	—/0	—/0
All mutations		164	All countries	358.9 $\pm$ 107.9 (144)	272.2 $\pm$ 109.8 (116)	41/126 (33%)	6/98 (6.1%)	2/96 (2%)	20/89 (22)	47/89 (53%)

CAD indicates coronary artery disease; LDL-C, low-density lipoprotein cholesterol; and PVD, peripheral vascular disease.

\*Val4Ile, Glu48Lys, Pro71Leu, Arg96Cys, Asp129Asn, and Ser465Leu mutations were previously unreported.

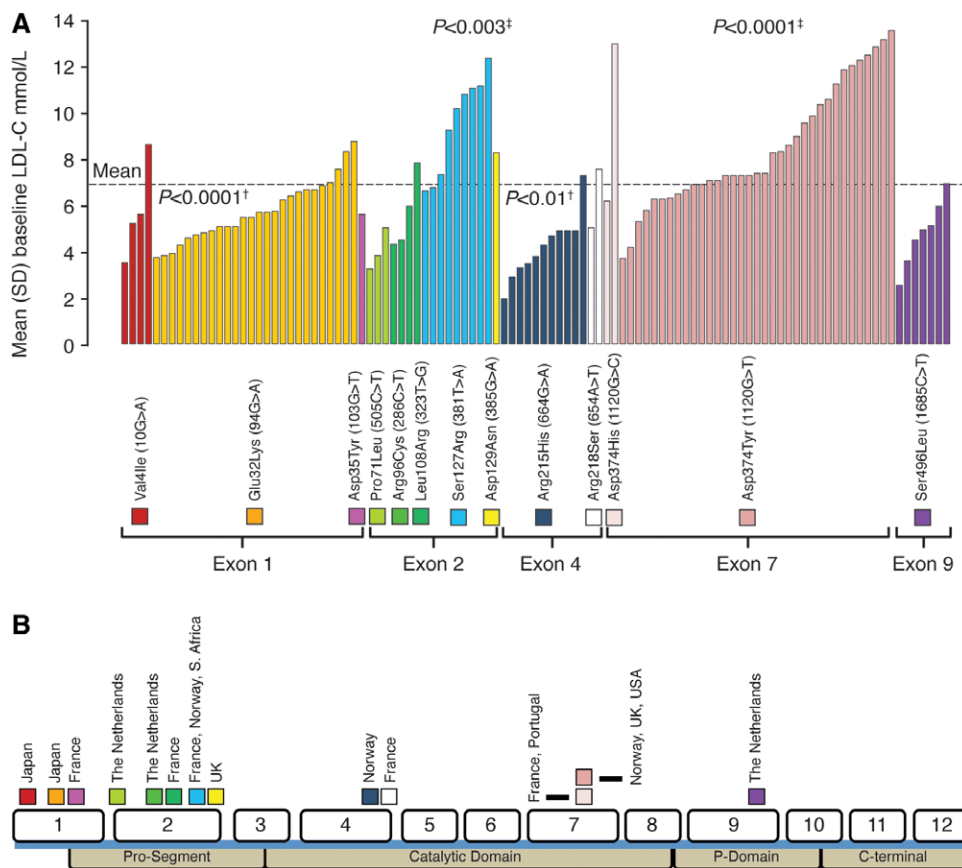
†LDL-C levels for 2 mutations (Glu48Lys and Phe216Leu) are provided on lipid-lowering therapy because either the patient's medication history was unknown or the only data available were on medication. Cholesterol levels refer to untreated values. To convert values for cholesterol to mmol/L, multiply by 0.02586.

‡ $P<0.01$ ;

§ $P<0.05$ ;

||  $P<0.001$  compared with the all other subjects combined.





**Figure 1.** Distribution of untreated low-density lipoprotein cholesterol (LDL-C) for patients with familial GOF mutations in *PCSK9* without *LDLR* mutations (**A**) and position of the mutations and the 12 exons of the *PCSK9* gene relative to the protein domains (**B**). †P value indicates reduction for mutation versus overall mean. ‡P value indicates increase for mutation versus overall mean. Dotted line represents mean LDL-C level of all *PCSK9* mutation carriers from whom untreated LDL-C levels were available. 1.81 mmol/L=70 mg/dL; 2.59 mmol/L=100 mg/dL.

GOF mutations were found in all structural protein domains and 5 of 9 coding exons (Figure 1) and were associated with varying degrees of lipid abnormalities (Table 1). Untreated lipid levels associated with each mutation were compared with the entire *PCSK9* GOF mutation population: Asp374Tyr and Ser127Arg carriers had severe dyslipidemia, whereas Glu32Lys, Arg215His, and Ser496Leu carriers were comparatively mild, although substantial variation was present in patients carrying the same mutation (Figure 1).

The physical stigmata of elevated cholesterol were frequent (Table 1), with prevalence similar to previous reports for FH and FDB (Table I in the Data Supplement). Also similar to FH and FDB,<sup>3,27</sup> 44% of patients had a history of CVD. Coronary artery disease was the most prevalent manifestation (33%) with an average age of onset of 49.4±13.8 years (Table 1; Table I in the Data Supplement).

In a comparison with FH and FDB patients drawn from the Dutch Hypercholesterolemia Registry, *PCSK9* GOF mutation patients had the highest, and FDB patients the lowest, mean untreated LDL-C levels (Table 2). Among patients with FH, those with deficient mutations had higher untreated LDL-C levels than those with defective mutations (Table 2). Although lipid-lowering therapy (primarily statins; Figure III in the Data Supplement) improved lipid profiles, a substantial proportion failed to achieve guideline LDL-C levels (Figure III in the Data Supplement).

## Treatment Study

Six Asp374Tyr mutation carriers were enrolled in Utah, and 4 Ser127Arg, 2 Leu108Arg, and 1 Arg218Ser carriers in France (Figure IV in the Data Supplement). Baseline characteristics of the subjects in groups A and B were mostly similar (Table 3) although some differences are apparent.

## Lipid and Lipoprotein Response

In *PCSK9* GOF mutation patients randomly assigned to receive alirocumab, mean percent reduction in LDL-C at 2 weeks was 62.5% ( $P<0.0001$ ) from baseline and 53.7% compared with control *PCSK9* GOF mutation patients treated with placebo for 2 weeks ( $P=0.0009$ ; primary end point). Changes in LDL-C levels in response to alirocumab were similar but temporally delayed by 2 weeks in group B compared with group A because of the placebo-phase study design (Figure 2A). After 8 weeks of alirocumab treatment, mean percent change in LDL-C was 73.3% ( $P<0.0001$ ), and 12 of 13 subjects achieved an LDL-C level of <70 mg/dL (Table 4). Reductions of LDL-C in the 2 groups were temporally related to reductions of free PCSK9 (Figure 2B). In a pooled analysis of 8-week lipid changes apolipoprotein B, triglycerides, very low-density lipoprotein cholesterol, and Lp(a) were significantly reduced (Table 4). In an exploratory analysis, we

**Table 2. Comparison of Untreated Lipid Profiles (Mean±SD) of Heterozygous Patients With Familial GOF Mutation in *PCSK9*, FDB, and Defective and Deficient *LDLR* Mutations in FH**

	<i>PCSK9</i> GOF Mutation (n)	FDB (n=470)	FH by <i>LDLR</i> Mutation Class		
			All FH (n=2126)	Defective <i>LDLR</i> (n=1398)	Deficient <i>LDLR</i> (n=728)
Age, y	36.7±18.6 (135)	32.1±16.9	28.1±16.5	29.2±16.4	26.1±16.5
Total cholesterol, mg/dL	351.9±104.4 (134)	254.8±50.7*	290.0±82.8*	277.3±74.2*	314.8±92.8
LDL-C, mg/dL	266.8±108.3 (108)	184.8±43.3*	219.6±76.6*	206.5±67.3*	245.2±86.2
HDL-C, mg/dL	54.2±27.1 (108)	48.7±16.2	46.4±14.3†	46.8±15.1†	45.2±13.1*
Triglycerides, mg/dL	150.6±115.1 (108)	111.6±65.5†	121.3±76.2	122.2±77.1‡	120.5±75.3

To convert cholesterol to mmol/L, multiply by 0.02586. To convert triglycerides to mmol/L, multiply by 0.01129. HDL-C indicates high-density lipoprotein cholesterol; FDB, familial defective apolipoprotein B; FH, familial hypercholesterolemia; GOF, gain of function; LDL-C, low-density lipoprotein cholesterol; and *LDLR*, low-density lipoprotein receptor.

\* $P<0.001$ ;

† $P<0.01$ ;

‡ $P<0.05$  when compared with *PCSK9* GOF mutation carriers. The 11 patients who were double heterozygotes for mutations in *PCSK9* and *LDLR* were excluded from the analysis.

examined changes in levels of LDL-C and free PCSK9 from baseline as a function of *PCSK9* GOF genotype. Alirocumab treatment resulted in marked reductions in LDL-C levels from baseline in all patients with all *PCSK9* genotypes (Figure 2C). Potential differences in the rate of LDL-C reduction between the genotypes seemed to correlate with kinetics of free PCSK9 reduction (Figure 2D).

**Table 3. Baseline Characteristics of Patients With Familial GOF Mutation in *PCSK9* in the Randomized Alirocumab 150 mg Study**

Characteristic	Group A (n=6)	Group B (n=7)
Age, y	42.3±14.7	46.6±13.3
Race (n)		
White	5	6
Indian Ocean Islander	1	1
Sex (n)		
Male	2	2
Female	4	5
BMI, kg/m <sup>2</sup>	28.5±6.6	30.4±6.7
Glucose, mg/dL	97.7±10.8	107.6±17.8
Hemoglobin A1c (%)	5.45±0.40	6.14±0.55
Previous history of diabetes mellitus	0	3
Previous history of glucose intolerance	0	1
<i>PCSK9</i> GOF mutation (n)		
Asp374Tyr	3	3
Ser127Arg	1	3
Leu108Arg	1	1
Arg218Ser	1	0
Lipid-lowering therapy (n)		
Statin	6	7
Ezetimibe	3	3
Niacin	3	2
Fibrate	0	1
Bile acid sequestrant	0	1
History of cardiovascular disease (n)	1	4

Continuous variables are shown as mean±SD. BMI indicates body mass index; and GOF, gain of function.

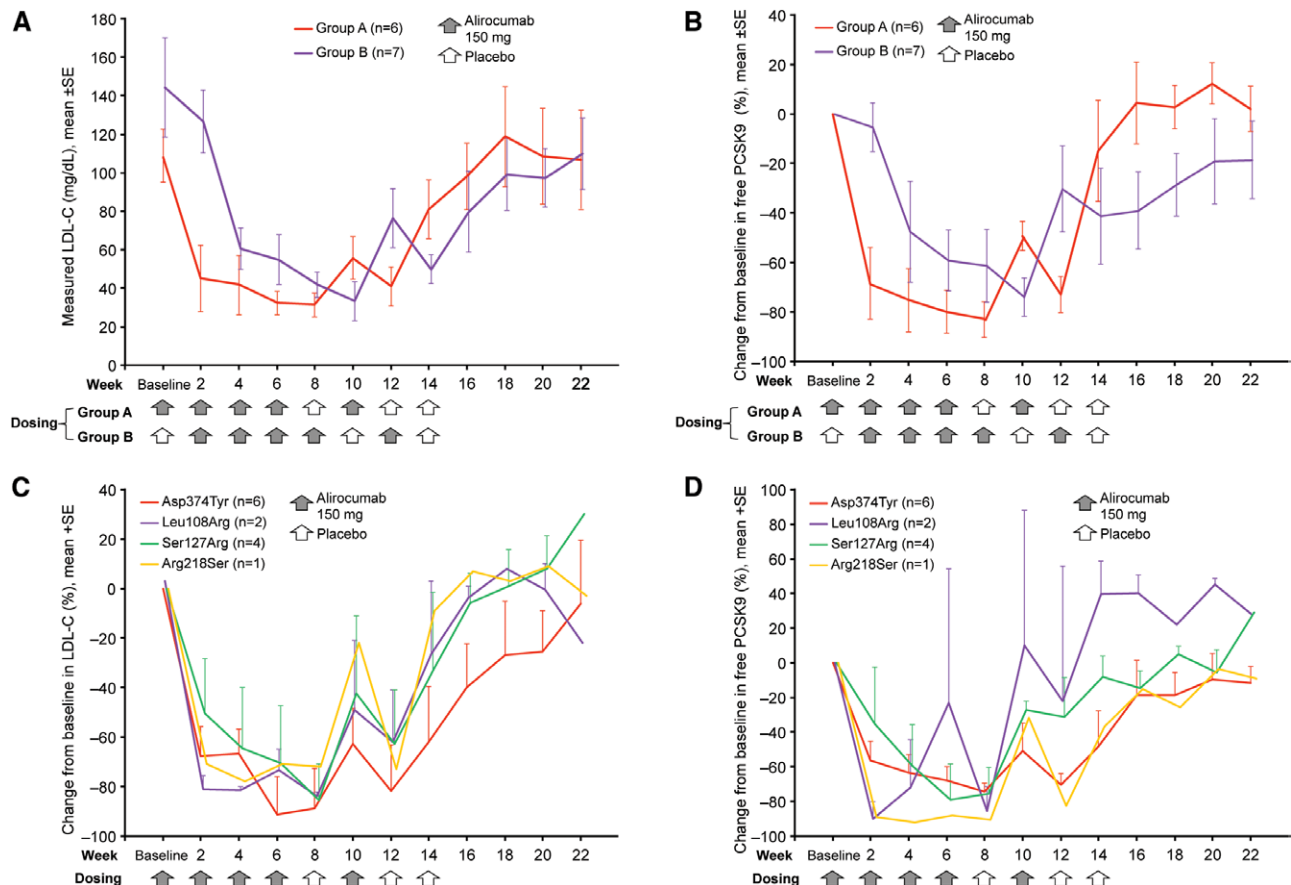
## Safety

No patient discontinued early from the study for any reason. The most common treatment-emergent adverse events were infections and included nonserious upper and lower respiratory tract infections and gastroenteritis (Table II in the Data Supplement). No patient experienced an elevation of hepatic enzymes or creatinine kinase 3-fold above the upper limit of normal; no trends were observed in hepatic enzymes, creatinine kinase, or fasting blood glucose over the course of the study. Five patients experienced  $\geq 1$  fasting blood glucose levels  $>126$  mg/dL during the course of the trial. All of these patients had a history of abnormal fasting blood glucose or an elevated level at screening. One subject experienced a serious adverse event of chest pain. Evidence for myocardial infarction was not found, and a follow-up stress test did not reveal cardiac ischemia.

## Discussion

*PCSK9* GOF mutations are a third, rare cause of ADH, but knowledge of the clinical attributes of mutation carriers and their response to therapy have heretofore been limited. In an observational study, we characterized the *PCSK9* GOF mutation phenotype. Compared with FH or FDB, these patients had similarly frequent physical stigmata and premature CVD but higher LDL-C levels. Although we report evidence that these patients respond to available lipid-lowering treatments, most did not attain optimal lipid profiles on their current regimen of statins plus other lipid-lowering therapies, thus establishing the need for additional therapies. We then demonstrated in a clinical intervention trial that patients with 4 different *PCSK9* GOF mutations achieved a marked additional reduction in LDL-C ( $\leq 73\%$ ) after the addition of alirocumab to their current regimen, and nearly all attained the goal of 70 mg/dL. The results of this small, randomized, placebo-phase trial suggest that PCSK9 antibodies may become a specific and effective treatment for *PCSK9* GOF mutation patients.

Our observational study demonstrated that *PCSK9* GOF variants had mostly restricted geographical distributions, were found in a limited number of pedigrees, and exhibited significant phenotypic variability in associated disease severity. Although GOF mutations were found throughout the *PCSK9* coding sequence, our study confirms that carriers of



**Figure 2.** Change in low-density lipoprotein cholesterol (LDL-C) and free PCSK9 for patients with familial gain of function mutation in *PCSK9* in the randomized alirocumab study. (A) Mean ( $\pm$ SE) LDL-C values and (B) mean ( $\pm$ SE) percent change from baseline in free plasma PCSK9 are shown by study group together with an indication of the dosing schedules. Mean ( $\pm$ SE) percent change from baseline in LDL-C (C) and free plasma PCSK9 (D) are shown by *PCSK9* GOF mutation. C and D, Results from groups A and B were combined by shifting group A visits forward 2 weeks, thereby aligning the dosing schedule in the 2 groups.

either Asp374Tyr or Ser127Arg mutations had significantly higher untreated LDL-C levels than the other *PCSK9* GOF mutation carriers. This result is not unexpected given that these 2 mutations were among the first to be described and extends the results from a smaller study suggesting that the Asp374Tyr variant may be associated with a severe form of ADH.<sup>7</sup> However, because most mutations were reported in a limited number of pedigrees, in our comparison of the different variants, we are unable to define the portion of the phenotype contributed by background genetics. The geographic isolation of GOF variants suggests that they are likely due to private mutations in different populations and is consistent with a relatively recent origin of many or all of them. As cascade screening<sup>28</sup> was used to enrich for the presence of *PCSK9* mutations, we are unable to obtain a true prevalence of GOF mutations in the general population. However, extensive efforts were undertaken to define the genetic architecture of ADH in Holland and Japan, but no overlap in the variants was found, supporting the geographical isolation of these mutations.

Despite variability in disease severity of individual mutations, pooled analyses revealed significantly greater LDL-C levels in *PCSK9* GOF mutation patients compared with patients with FH or FDB. FH patients are found worldwide, and *LDLR* variants causing FH are distributed throughout the gene (>1700 reported) with greater disease severity associated with

individual mutations. In contrast, FDB is also found worldwide, although a single *APOB* variant (Arg3527Gln), found primarily in northern Europeans, is responsible for the vast majority of FDB cases (>95%). For our comparison, we matched the *PCSK9* GOF mutation patients with FH and FDB patients from the Dutch Familial Hypercholesterolemia Registry, the largest such resource in the world. It is possible that these patients have more or less severe disease than patients from other parts of the world because of genetics or shared environment, and additional comparisons with other large collections of patients will be of interest. However, because this registry includes a large number of patients identified by cascade screening, it may better reflect the phenotype of patients with FH and FDB in a population-based sample than many other registries that consist mostly of index patients and their first-degree relatives. Although relative severity of these patients bear future investigation, it is clear that the severity of the *PCSK9* GOF phenotype warrants maximizing lipid-lowering therapies in these patients.

In our intervention study, alirocumab administration significantly reduced LDL-C levels in all patients enrolled, and this was temporally correlated with free PCSK9 reductions (Figure 2B and 2D). The magnitude of LDL-C reduction was similar to that observed in previous studies of *PCSK9* monoclonal antibodies administered to different patient populations. By using a randomized placebo-phase design,<sup>24</sup> each

**Table 4. Lipid Parameters in Patients in the Randomized Study at Baseline, at Week 2, and After 8 weeks of Alirocumab 150 mg Treatment**

Lipid Parameter	Baseline		Study Week 2		P Value	8 wk of Alirocumab Treatment
	Group A (n=6)	Group B (n=7)	Group A	Group B		Combined (P Value)
LDL-C (measured, mg/dL)	108.8±33.8	144.3±68.4	45.2±42.0	126.3±43.2		32.3±21.4
% change from baseline			-62.5±8.2	-8.8±7.6		-73.3±16.1 ( $<0.0001$ )
% difference group A vs B*			-53.7±11.5		0.0009	
HDL-C, mg/dL	57.2±19.4	50.4±14.7	58.7±22.6	47.0±15.1		55.8±18.0
% change from baseline			1.0±4.6	-6.1±4.3		7.9±13.7 (0.0603)
% difference group A vs B			7.2±6.4		0.2864	
Triglycerides (mg/dL, median [IQR])	84.5 (61.0 to 112.0)	144.0 (66.0 to 170.0)	55.0 (41.0 to 76.0)	167.0 (72.0 to 199.0)		64.0 (42 to 86)
% change from baseline			-27.9 (-33.3 to 6.1)	12.9 (-27.2 to 29.7)		-37.8 (-46 to 27) (0.0002)
% difference group A vs B			-40.8		0.0461	
VLDL-C (measured, mg/dL)	22.8±18.8	28.9±15.0	19.2±20.7	29.3±12.9		14.4±8.1
% change from baseline			-23.8±10.1	6.7±9.3		-39.5±17.5 ( $<0.0001$ )
% difference group A vs B			-30.5±13.9		0.0526	
Apo B <sub>100</sub> , mg/dL	89.2±27.3	101.0±15.8	42.8±43.4	99.4±16.5		32.4±15.3
% change from baseline			-55.3±8.7	-3.8±8.0		-65.0±16.6 ( $<0.0001$ )
% difference group A vs B			-49.6±12.1		0.0021	
Lp(a) (mg/dL, median [IQR])	56.6 (34.4 to 69.1)	19.4 (10.0 to 56.1)	37.2 (16.6 to 77.4)	13.0 (9.0 to 57.6)		11.9 (4 to 51)
% change from baseline			-21.0	0.0 (-10.0 to 3.0)		-43.3 (-65 to 4) (0.0020)
% difference group A vs B			-21.0		0.1317	

There were 6 participants randomized to group A and 7 randomized to group B for 13 total combined participants.

For week-2 results, baseline refers to values obtained just before the first dosing of alirocumab (group A) or placebo (group B), and week 2 is the patients' nominal week-2 visit. For week-8 results, the 2 groups are combined, and baseline refers to blood drawn just before the first dosing of alirocumab; for group A, the baseline remains as before, but for group B, a 2-week shift is adjusted, so the nominal week-2 value becomes baseline, and the nominal week-10 value becomes the week-8 value.

To convert cholesterol to mmol/L, multiply by 0.02586. To convert triglycerides to mmol/L, multiply by 0.01129. To convert Lp(a) to  $\mu\text{mol/L}$ , multiply by 0.0357. HDL-C indicates high-density lipoprotein cholesterol; IQR, interquartile range; LDL-C, low-density lipoprotein cholesterol; Lp(a), lipoprotein (a); and VLDL-C, very low-density lipoprotein cholesterol.

\*Primary end point. All lipid values are shown as mg/dL. Mean±SD values are given for lipid parameters at baseline, 2 wk, and 8 wk, as well as percent changes from baseline. Least-square mean±SE values are given for differences between groups A and B at 2 weeks. Significance of 8-week changes from baseline was tested with 2-sided paired *t* tests. Median % change from baseline and IQR (Q1:Q3) is shown for triglycerides and Lp(a).

patient contributed to the safety and efficacy data while still enabling the comparison of alirocumab administration to placebo. During the 2-week placebo-controlled portion of the trial, alirocumab administration also significantly reduced apolipoprotein B and triglycerides. Although some difference in the baseline LDL-C levels and other characteristics was present between groups A and B (not unexpected given the small size and international design of the study), a post hoc pooled analysis of all subjects after 8 weeks of alirocumab treatment revealed statistically significant reductions of LDL-C, apolipoprotein B, triglycerides, Lp(a), and very low-density lipoprotein cholesterol levels. We conclude that inhibition of PCSK9 in patients with PCSK9 GOF mutations greatly reduces LDL-C levels. Although all mutation carriers responded to treatment, our results suggest that the rate of reduction in LDL-C may

differ in patients carrying different GOF mutations, and this may correlate with the rate of free PCSK9 reduction after alirocumab administration, providing interesting future avenues of research into the biochemical mechanisms of PCSK9.

Our study has limitations. Although we endeavored to obtain all available PCSK9 GOF mutation carriers from a wide selection of lipid research and specialty clinics around the world, we think that additional PCSK9 GOF mutations will be found. Furthermore, the collection of clinical information on the PCSK9 GOF mutation carriers was necessarily limited by the retrospective study design. Although additional information on other coronary artery disease risk factors and course of lipid management would be desirable, such data may best be collected in the setting of prospective follow-up. Although we found that carriers of either Asp374Tyr or Ser127Arg mutations



had higher LDL-C levels than carriers of other mutations as a whole, our analysis was constrained by available sample size, which may limit the generalizability of our findings. Finally, we note some clinical differences between the 2 randomized intervention groups, a result not surprising given the relatively small number of mutation carriers included in the intervention trial. Although imbalance in baseline factors may have had some unexpected effect on lipid response at 2 weeks (the time for the placebo-controlled primary end point determination), the large and essentially universal change from baseline at 8 weeks makes an important contribution to responses at 2 weeks less likely.

In conclusion, patients with *PCSK9* GOF mutations are characterized by a high prevalence of premature CVD and higher untreated LDL-C levels than FH and FDB. Intervention in these patients with alirocumab, a monoclonal antibody against PCSK9, was well tolerated and resulted in marked reductions in LDL-C levels, suggesting that PCSK9 antibodies may become an important targeted treatment option for these patients.

### Acknowledgments

We thank Michael Livingston, Director of the FH International Foundation, under whose auspices we performed the characterization study. We thank Anthony Wierzbicki, Véronique Maire, and Akihiro Inazu for their contributions to the characterization study. Editorial assistance was provided by Brandy Bennett (Regeneron Pharmaceuticals Inc). Technical assistance with the article was provided by an employee of the Prime Medica Group Ltd and funded by Regeneron Pharmaceuticals Inc and Sanofi.

### Sources of Funding

This was study sponsored by Regeneron Pharmaceuticals Inc and Sanofi.

### Disclosures

P.N. Hopkins reports research support from Sanofi/Regeneron Pharmaceuticals Inc, Merck, and Takeda, and consultancy fees from Regeneron Pharmaceuticals Inc in 2013. J. Defesche reports personal fees from consultancy, outside the submitted work; and consultancy fees from Regeneron in 2013. E. Bruckert reports grants from Danone and Amgen, and personal fees from Aegerion, Genfit, MSD, Sanofi/Regeneron Pharmaceuticals Inc, AstraZeneca, and Unilever. Bertrand Cariou reports personal fees for advisory boards or lectures from Amgen, Eli-Lilly, Janssen, Novartis, Novo Nordisk, MSD, and Sanofi/Regeneron Pharmaceuticals Inc, and fees for consultancy from Genfit. M.H. Shiba reports grants from Kaneka Medics, MSD, and Astellas Pharma Inc. H. Mabuchi reports grants and advisory board fees from Sanofi K.K. outside the submitted work. J.-P. Rabès reports grants from the International FH Foundation during the conduct of the study. In addition, he has had patent EP 1 471 152 A1 Mutations in the human PCSK9 gene associated with hypercholesterolemia issued. A. Carrié reports lecture fees from Roche Diagnostics and a partnership with Multiplicom for the development of molecular diagnostic testing kits for familial hypercholesterolemia. M. Farnier reports having received grants, consulting fees or honoraria and delivering lectures for Abbott, Amgen, AstraZeneca, Boehringer-Ingelheim, Genzyme, Kowa, Merck and Co, Novartis, Pfizer, Recordati, Roche, Sanofi/Regeneron Pharmaceuticals Inc, and SMB. Y.P. Teoh reports personal fees from Sanofi/Regeneron Pharmaceuticals Inc. M. Bourbon reports grants from the Portuguese Science and Technology Foundation (a government institution) during the conduct of the study and grants from Portuguese Cardiology Society (through a Pfizer grant) and congress support from Praxis Pharmaceutical outside the submitted work. A. Nohara reports grants and advisory board fees from Sanofi K.K. outside the submitted work. H. Soran reports fees for advisory board, consultancy, and educational

events from Sanofi/Regeneron Pharmaceuticals Inc and Amgen, outside the submitted work. D. Marais reports personal fees from Amgen for advisory boards, grants from Sanofi/Regeneron Pharmaceuticals Inc, and grants from Medical Research Council of South Africa, outside the submitted work. M. Abifadel reports personal fees from Amgen outside the submitted work. In addition, he has had patent Mutations in the *PCSK9* gene associated to hypercholesterolemia WO2004/097047 issued. C. Boileau reports personal fees from Sanofi/Regeneron Pharmaceuticals Inc and personal fees from Amgen during the conduct of the study. In addition, he has had patent Mutations in the *PCSK9* gene associated to hypercholesterolemia WO2004/097047 issued. G. Lambert reports grants and personal fees from Sanofi/Regeneron Pharmaceuticals, Inc, outside the submitted work. S. Mellis, G.D. Yancopoulos, N. Stahl, J. Mendoza, Y. Du, S. Hamon, and G.D. Swergold are employees and stockholders of Regeneron Pharmaceuticals, Inc. M. Krempf reports grants and personal fees from Sanofi/Regeneron Pharmaceuticals Inc during the conduct of the study, personal fees from Abbott, grants and personal fees from MSD, personal fees from BMS, and grants and personal fees from Novo Nordisk, outside the submitted work. The other authors report no conflicts.

### Appendix

From the Division of Cardiovascular Genetics, University of Utah, Salt Lake City, UT (P.N.H.); Departments of Vascular Medicine (J.D., S.W.F., B.S.) and Medical Biochemistry (S.W.F.), Academic Medical Center, Amsterdam, the Netherlands; Hôpital de la Pitié-Salpêtrière, Paris, France (E.B., V.C.); Université de Lille 2, Lille, France (G. Luc); Department of Endocrinology, Metabolic Diseases and Nutrition, l'Institut du Thorax, Nantes University Hospital, Nantes, France (B. Cariou, M.P., Y.Z., M. Krempf); Oslo University Hospital, Oslo, Norway (T.P.L.); National Cerebral and Cardiovascular Center Research Institute, Osaka, Japan (M.H.-S., I.K., H. Makino, Y.M.); Department of Lipidology, Kanazawa University Graduate School of Medical Sciences, Kanazawa, Japan (H. Mabuchi, A.N.); Laboratoire de Biochimie et de Génétique Moléculaire, Hôpitaux Universitaires Paris Ile-de-France Ouest (APHP/UVSQ), Site Ambroise Paré, Boulogne-Billancourt, France (J.-P.R.); Hôpital de la Pitié-Salpêtrière, Groupe Hospitalier Universitaire Pitié-Salpêtrière/Charles-Foix and Université Pierre et Marie Curie, Paris, France (A.C.); Aintree University Hospital, Liverpool, United Kingdom (C.v.H.); Point Médical, Dijon, France (M.F.); Wrexham Maelor Hospital, Wrexham, United Kingdom (Y.P.T.); Instituto Nacional de Saúde Dr. Ricardo Jorge and Centre for Biodiversity, Functional and Integrative Genomics, Faculty of Sciences, University of Lisbon, Lisbon, Portugal (M.B.); Department of Cardiovascular Medicine, Kanazawa University Graduate School of Medical Sciences, Kanazawa, Japan (M. Kawashiri, H.T.); Cardiovascular Research Group, School of Biomedicine, University of Manchester, Manchester, United Kingdom (H.S.); Cardiovascular Trials Unit, University Department of Medicine, Central Manchester University Hospitals NHS Foundation Trust, Manchester, United Kingdom (H.S.); Chemical Pathology and MRC Cape Heart Group, University of Cape Town Health Science Faculty, Cape Town, South Africa (A.D.M.); Laboratory for Vascular Translational Science, INSERM U1148, Hôpital Bichat-Claude Bernard, Paris, France (M.A.); Université Saint-Joseph, Beirut, Lebanon (M.A.); Département de Génétique & INSERM U1148, Hôpital Xavier Bichat, Paris (C.B.); Department of Endocrinology, Diabetes and Nutrition, Jean Verdier Hospital, Bondy, France (B. Chanu); Department of Cardiology, Toyama Red Cross Hospital, Toyama, Japan (S.K.); Faculté de Médecine, Université de Nantes, Nantes, France (G. Lambert); Medical Education Research Center (K.Y.), Division of Cardiovascular Medicine (M.Y.), Kanazawa University Graduate School of Medical Science, Kanazawa, Japan; Regeneron Pharmaceuticals Inc, Tarrytown, NY (S.M., G.D.Y., N.S., J.M., S.H., G.D.S.); and Regeneron Pharmaceuticals Inc, Basking Ridge, NJ (Y.D.)

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## CLINICAL PERSPECTIVE

Gain of mutation mutations in the PCSK9 gene lead to a rare form of autosomal dominant hypercholesterolemia. However, clinical data on this condition are scarce. Our article presents the results of 2 studies. Firstly, we identified 16 different PCSK9 gain of mutation mutations from 164 patients in a multinational observational study and compared them with age- and sex-matched patients with familial hypercholesterolemia and familial defective apolipoprotein B from the Dutch Registry. The PCSK9 mutations were associated with varying degrees of low-density lipoprotein cholesterol (LDL-C) elevations, but mean untreated LDL-C levels were higher compared with those seen in patients with familial hypercholesterolemia caused by *LDLR* or *APOB* mutations. Overall, 44% of patients had cardiovascular disease, and most still presented with elevated LDL-C even after treatment with statins plus other lipid-lowering drugs. Hence, we assessed that additional treatment for these patients would be beneficial. In a second study, we subcutaneously administered the anti-PCSK9 monoclonal antibody alirocumab 150 mg 5× every 2 or every 4 weeks to patients with 4 different PCSK9 gain of mutation mutations. The randomized placebo-phase study design maximized the safety and efficacy data that could be obtained from a study with only 13 subjects. Treatment with alirocumab was well tolerated and resulted in LDL-C reductions of ≤73%. Our results suggest that anti-PCSK9 antibodies may become a targeted and effective additional treatment for these patients.

**Characterization of Autosomal Dominant Hypercholesterolemia Caused by *PCSK9* Gain of Function Mutations and Its Specific Treatment With Alirocumab, a *PCSK9* Monoclonal Antibody**

Paul N. Hopkins, Joep Defesche, Sigrid W. Fouchier, Eric Bruckert, Gérald Luc, Bertrand Cariou, Barbara Sjouke, Trond P. Leren, Mariko Harada-Shiba, Hiroshi Mabuchi, Jean-Pierre Rabès, Alain Carrié, Charles van Heyningen, Valérie Carreau, Michel Farnier, Yee P. Teoh, Mafalda Bourbon, Masa-aki Kawashiri, Atsushi Nohara, Handrean Soran, A. David Marais, Hayato Tada, Marianne Abifadel, Catherine Boileau, Bernard Chanu, Shoji Katsuda, Ichiro Kishimoto, Gilles Lambert, Hisashi Makino, Yoshihiro Miyamoto, Matthieu Pichelin, Kunimasa Yagi, Masakazu Yamagishi, Yassine Zair, Scott Mellis, George D. Yancopoulos, Neil Stahl, Johanna Mendoza, Yunling Du, Sara Hamon, Michel Krempf and Gary D. Swergold

*Circ Cardiovasc Genet.* 2015;8:823-831; originally published online September 15, 2015;  
doi: 10.1161/CIRCGENETICS.115.001129

*Circulation: Cardiovascular Genetics* is published by the American Heart Association, 7272 Greenville Avenue, Dallas, TX 75231

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Print ISSN: 1942-325X. Online ISSN: 1942-3268

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## **SUPPLEMENTAL MATERIAL**



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# Supplemental Methods

## Enrollment Criteria in the Treatment Study

### ***Inclusion Criteria***

A patient must meet the following criteria to be eligible for inclusion in the study:

1. Man or woman between the ages of 18 and 70 years, inclusive
2. A history of molecularly confirmed PCSK9 GOFm
3. Plasma LDL-C levels  $\geq 70$  mg/dL ( $\times 0.0259$  mmol/L) at the screening visit (visit 1 [day -28 to -15]) on an LLT regimen stable for at least 28 days; LDL-C must be considered to be not at goal by the investigator

LLT regimen may include, but is not limited to:

- Statins
  - Ezetimibe
  - Fibrates
  - Niacin
  - Omega-3 fatty acids
  - Bile acid resins
  - Red yeast rice
4. Body mass index  $\geq 18.0$  and  $\leq 40.0$  kg/m<sup>2</sup> at the screening visit (visit 1 [day -28 to -15])
  5. Systolic blood pressure (BP)  $\leq 150$  mm Hg and diastolic BP  $\leq 95$  mm Hg at the screening visit (visit 1 [day -28 to -15])
  6. Willing to refrain from the consumption of no more than 2 standard alcoholic drinks in any 24-hour period for the duration of the study. A standard alcoholic drink is the equivalent of 12 ounces beer, 5 ounces of wine, or 1.5 ounces of hard liquor
  7. Willing to refrain from the consumption of alcohol for 24 hours before each study visit
  8. Willing to maintain their usual stable diet and exercise regimen throughout the study
  9. Willing and able to comply with clinic visits and study-related procedures
  10. Provide signed informed consent

Note: a patient who is out of the specified time window criterion for 1 or more inclusion or exclusion criteria may be re-screened once they fall within the required time window.

### ***Exclusion Criteria***

A patient who meets any of the following criteria will be excluded from the study:

1. Serum TG >350 mg/dL ( $\times 0.01129$  mmol/L) at the screening visit ([visit 1](#) [day -28 to day -15]) measured after an 8 to 12 hour fast
2. History of heart failure (New York Heart Association Class II-IV) within the 12 months before the screening visit ([visit 1](#) [day -28 to -15])
3. History of myocardial infarction, acute coronary syndrome (ACS), unstable angina pectoris, stroke, peripheral vascular disease, transient ischemic attack, or cardiac revascularization within the 6 months before the screening visit ([visit 1](#) [day -28 to -15])
4. History of uncontrolled, clinically significant cardiac dysrhythmias or clinically significant recent changes in ECG 6 months before the screening visit ([visit 1](#) [day -28 to -15])
5. Known history of active optic nerve disease
6. History of undergoing LDL apheresis within 3 months before the screening visit ([visit 1](#) [day -28 to -15])
7. Uncontrolled diabetes mellitus with hemoglobin A1C (HbA1c) >8.5% at the screening visit (visit 1 [day -28 to -15])
8. Thyroid stimulating hormone (TSH) >1.5 x upper limit of normal (ULN) at the screening visit (visit 1 [day -28 to -15])
9. Alanine aminotransferase (ALT) or aspartate aminotransferase (AST) >2 x ULN at the full screening visit (visit 1 [day -28 to -15]) (1 repeat lab is allowed)
10. Creatine phosphokinase (CPK) >3 x ULN at the screening visit (visit 1 [day -28 to -15]) (1 repeat lab is allowed)
11. Known sensitivity to monoclonal antibody therapeutics
12. Participation in a clinical research study evaluating an investigational drug within 30 days, or at least 5 half-lives of the investigational drug, before the screening visit ([visit 1](#) [day -28 to -15]), whichever is longer
13. Known to be positive for human immunodeficiency virus (HIV), hepatitis B virus, or hepatitis C virus
14. History of malignancy of any organ system (other than localized basal cell carcinoma of the skin), treated or untreated, within the past 5 years, regardless of whether there is evidence of local recurrence or metastases
15. Pregnant or breast-feeding women
16. Sexually active man\* or woman of childbearing potential\*\* who is unwilling to practice adequate contraception during the study (adequate contraceptive measures include stable use of oral contraceptives or other prescription pharmaceutical contraceptives for 2 or more menstrual cycles prior to screening; intrauterine device; bilateral tubal ligation; vasectomy; condom plus contraceptive sponge, foam, or jelly, or diaphragm plus contraceptive sponge, foam, or jelly)
17. Any medical or psychiatric condition which, in the opinion of the investigator, would place the patient at risk, interfere with patient's participation in the study or interfere with the interpretation of the study results.



**\*\*Postmenopausal women must be amenorrheic for at least 12 months in order **not** to be considered of childbearing potential. Pregnancy testing and contraception are not required for women with documented hysterectomy or tubal ligation.**

[illegible]

Visit Number	SV 1	V2	Treatment Period											Follow- up		
			V 3	PV 4 <sup>a</sup>	V 5	PV 5 <sup>a</sup>	V 6	V 7	V 8	V 9	V10	V11/ EOT	V 12/E T	V 13	V 14	V15/ EOS
Day (visit window)	-28 to - 15	-14 ±1	1	4 ±1	1 5 ± 3		2 9 ± 3	43 ±3	57 ±3	71 ±3	85 ±3	99 ±3	113 ±7	12 7 ±7	14 1 ±7	155 ±7
Optional DNA consent			X													
Optional DNA sample <sup>f</sup>			X													
Alirocumab PK sample			X		X		X	X	X	X	X	X	X	X	X	X
Anti-alirocumab antibody sample	X		X				X		X				X			X

LLT = lipid-lowering therapy; SV1 = screening visit 1; PV4 = phone visit 4; PV5 = phone visit 5; EOT = end-of-treatment; ET = early termination; TSH = thyroid stimulating hormone

<sup>a</sup> The study site will contact patients by telephone on visit 4 (PV4)/day 4 and phone visit 5 (PV5) to assess AEs.

Phone visit 5 (PV5) will occur 3 (±1) days after the previous visit (visit 5).

<sup>b</sup> Patients will be monitored at the clinical site for at least 30 minutes following each study drug injection.

<sup>c</sup> All laboratory samples will be collected before the injection of study drug at dosing visits.

<sup>d</sup> S = serum and U = urine

<sup>e</sup> Patients will be required to provide written informed consent for the collection of their DNA sample for PCSK9 genotyping. The blood sample for DNA extraction should be collected at the screening visit.

<sup>f</sup> For patients who provide written informed consent for the optional DNA sample collection, the DNA sample should be collected on day 1 (baseline), but can be collected at any visit during the study.

## Procedures in the Treatment Study

Fasting blood samples (after an 8 to 12-hour fast) were collected at each clinic visit starting with the screening visit (visit 1 [day -28 to -15]). Planned lipid assessments included LDL-C measured by ultracentrifugation and estimated by Friedewald equation, total cholesterol, non-HDL-C, ApoB100, HDL-C, ApoA1, Lp(a), and triglycerides.

The treatment study protocol called for measurement of low-density lipoprotein cholesterol (LDL-C) by ultracentrifugation. Instead, due to a laboratory error, LDL-C was measured from serum samples using LDL-C plus second-generation reagents manufactured by Roche Diagnostics, Indianapolis, IN, USA.

## Further Statistical Details

For the observational study, no adjustments were made for multiple testing.

In the treatment study, the alpha level of the primary comparisons (group A compared to group B) of the primary and key secondary efficacy endpoints was controlled by the hierarchical testing procedure.

**Supplemental Table I. Clinical Characteristics of Patients with Familial Gain-of-Function Mutation in *PCSK9*.**

	Current Study	Published Literature	
	<i>PCSK9</i> GOF Mutation (n=89)	FH <sup>1</sup>	FDB <sup>2</sup>
Cardiovascular events			
CAD	33% (n=126)	33% (n=1940)	37% (n=516)
Age of onset (mean $\pm$ SD)	49.4 $\pm$ 13.8	48.2	46.6 $\pm$ 9.8
Peripheral	2% (n=96)	-	21% (n=516)
Age of onset	62	-	-
Cerebrovascular	6% (n=98)	-	-
Age of onset (mean $\pm$ SD)	60.0 $\pm$ 8.4	-	-
Physical stigmata of elevated cholesterol		FH <sup>3</sup>	FDB <sup>4</sup>
Xanthoma	53%	44%	36%
Xanthelasmata	15%	-	-
Arcus lipoides corneae	22%	31%	38%

A dash (-) indicates that information is unavailable.

CAD indicates coronary artery disease; FDB, familial defective apolipoprotein B; FH, familial hypercholesterolemia; GOF, gain-of-function; SD, standard deviation.



## Supplemental Table II. Adverse Events in Patients with Familial Gain-of-Function Mutation in *PCSK9* in the Randomized Alirocumab 150 mg Study

Event	n out of 13
Any TEAE	11
Discontinuations due to TEAEs	0
Infections (URI, LRI, gastroenteritis)	7
Nervous system (headache, peripheral neuropathy (1), sciatica)	5
Respiratory (sore throat, cough)	2
Gastrointestinal (abdominal pain, cankers, constipation, haemorrhoids)	3
Musculoskeletal (back pain, arthralgia (1), muscle spasm or pain)	3
General (chest pain)	1
Patients with at least 1 double-blind TEAE related to study drug	3
Laboratory values	
AST or ALT $\geq 3$ times upper limit at any time	0
Creatine kinase $\geq 3$ times upper limit at any time	0
Glucose $\geq 200$ mg/dl (11.1 mmol/L) non-fasting, or $\geq 126$ mg/dL (7.0 mmol/L) fasting at any time	5*

\*Three patients had a prior history of diabetes mellitus, 1 had a history of glucose intolerance, and 1 had elevated serum glucose (above laboratory upper limit of normal) at baseline.

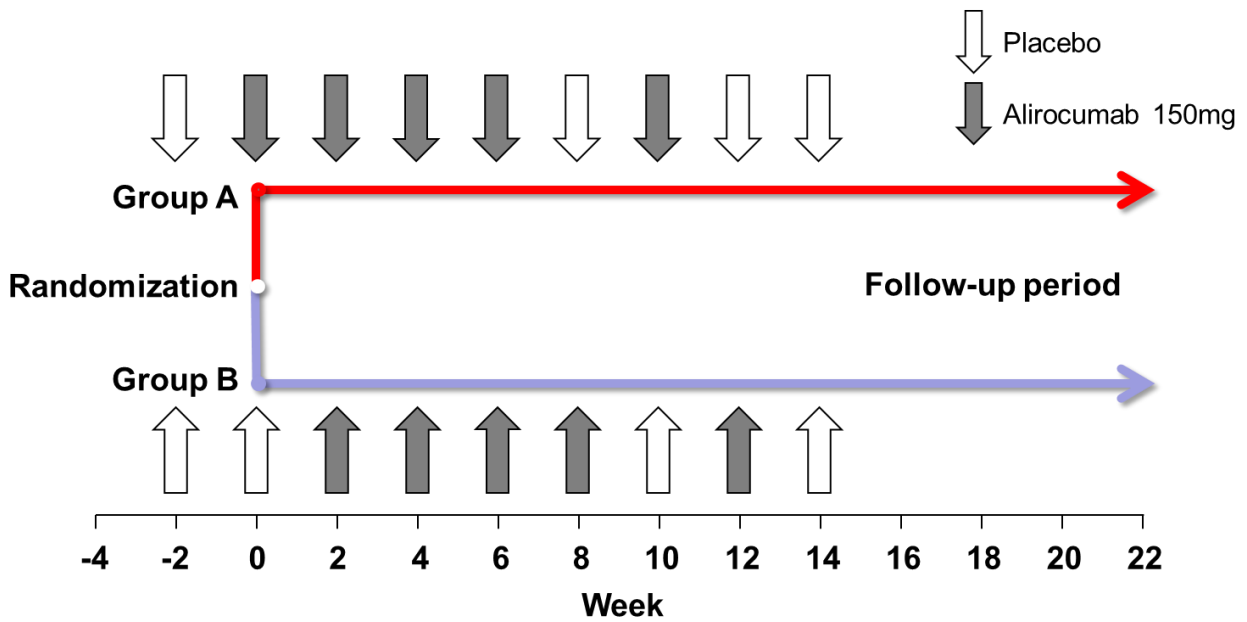
No apparent trends in other laboratory values or vital signs such as blood pressure or heart rate were reported.

One serious adverse event of chest pain with left bundle branch block was reported. Cardiac workup was negative and event was considered non-related by the investigator.

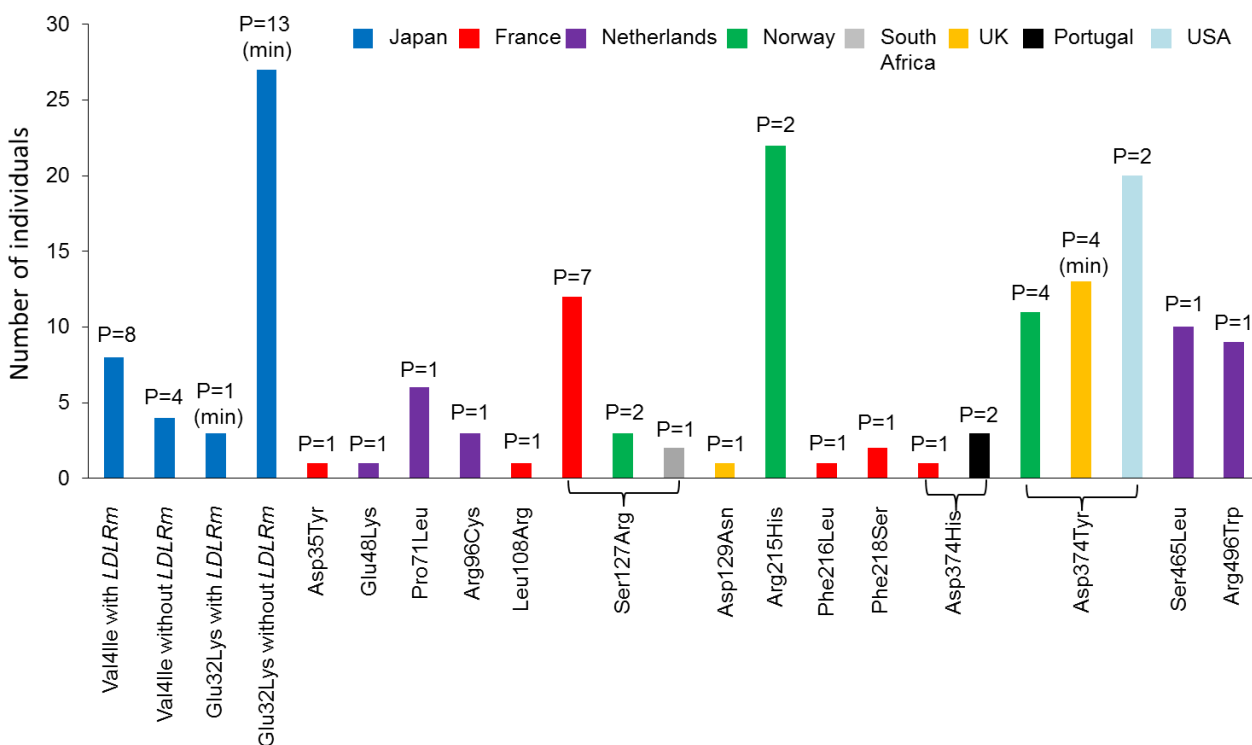
Low titer treatment-emergent ADAs were found in 3 of 13 patients (23%). There was no apparent impact of ADA on systemic concentrations of alirocumab.

ADA indicates anti-drug antibodies; ALT, alanine aminotransferase; AST, aspartate aminotransferase; LRI, lower respiratory tract infection; TEAE, treatment-emergent adverse event; URI, upper respiratory tract infection.

**Supplemental Figure I.** Design of the randomized alirocumab 150 mg Q2W study



**Supplemental Figure II.** Number of individuals with each *PSCK9* mutation specifically from each country and number of pedigrees



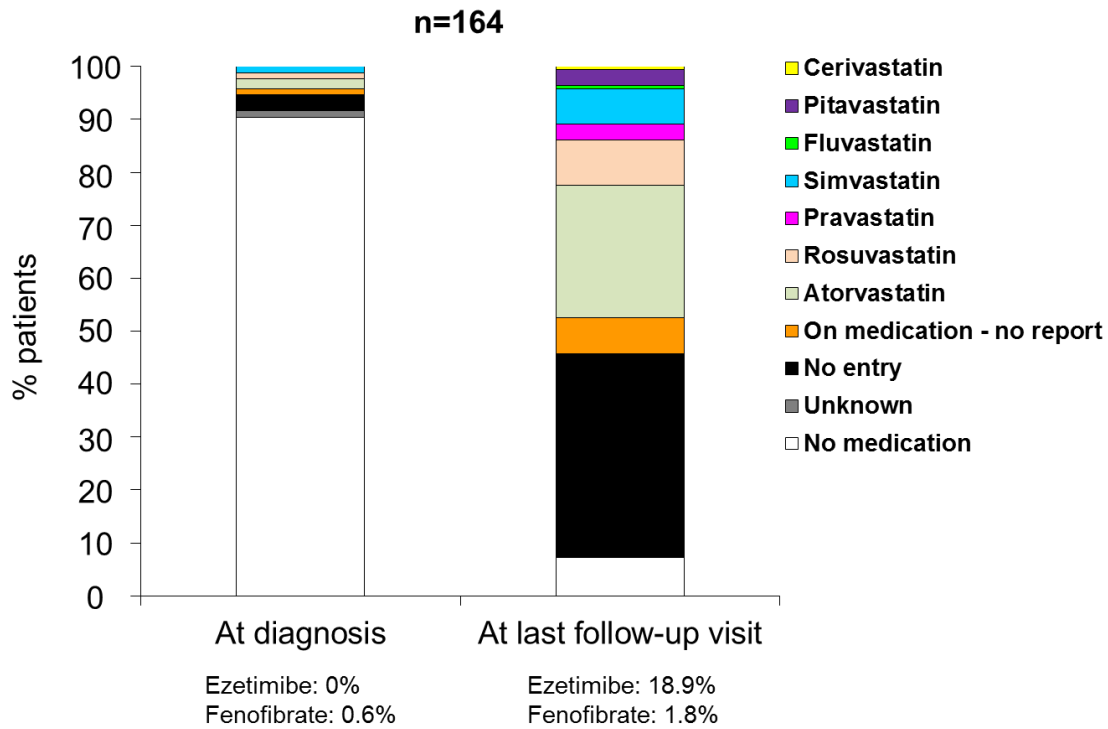
Min indicates the minimum number of pedigrees (some individuals did not have pedigree indicated).

Mutations previously unreported: Val4Ile, Glu48Lys, Pro71Leu, Arg96Cys, Asp129Asn, Ser465Leu.

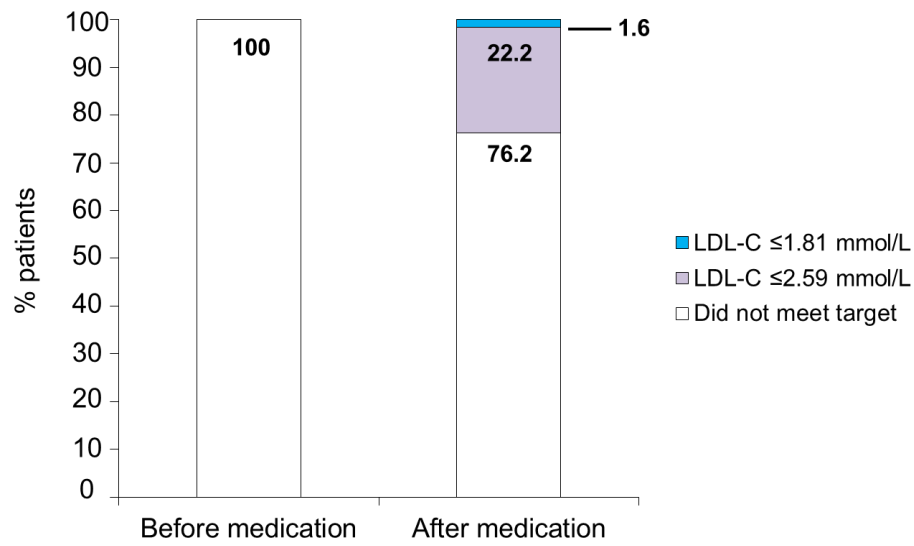
*LDLRm* indicates low-density lipoprotein receptor mutation; P, pedigree.

**Supplemental Figure III.** Reported medication use (A), and proportion of patients not reaching LDL-C targets (baseline and on-treatment LDL-C, n=63) (B) in patients with familial gain-of-function mutation in *PCSK9* alone (without *LDLR* mutations)

A.



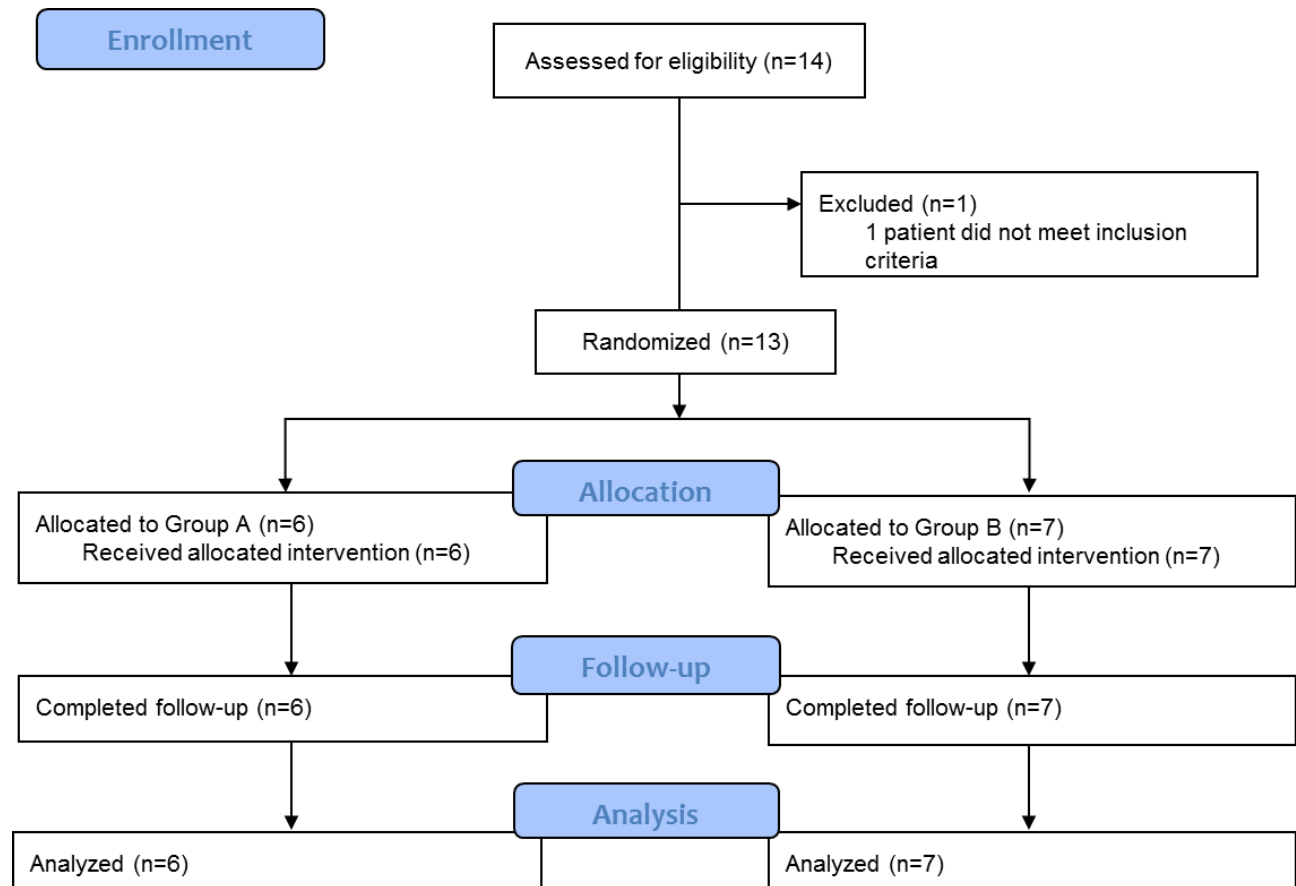
B.



1.81 mmol/l = 70 mg/dl; 2.59 mmol/l = 100 mg/dl

LDL-C indicates low-density lipoprotein cholesterol

**Supplemental Figure IV.** Patient flow (CONSORT) in the randomized alirocumab 150 mg study



## Supplemental References

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