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## NEIGHBORHOOD-BASED APPROACH OF COLLABORATIVE FILTERING TECHNIQUES FOR BOOK RECOMMENDATION SYSTEM

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### Abstract

Recommendation System or Recommender System help the user to predict the "rating" or "preference" a user would give to an item. Recommender systems in general helps the users to find content, products, or services (such as digital products, books, music, movie, TV programs, and web sites) by combining and analyzing suggestions from other users, which mean rating from various people, and users. These recommendation systems use analytic technology to calculate the results that a user is willing to purchase, and the users will receive recommendations to a product of their interest. The aim of the System is to provide a recommendation based on users likes or reviews or ratings. Recommendation system comprises of content based and collaborative based filtering techniques. In this paper, collaborative based filtering has been used to get the expected outcome. The expected outcome has been achieved through collaborative filtering with the help of correlation techniques which in turn comprises of Pearson correlation, cosine similarity, Kendall's Tau correlation, Jaccard similarity, Spearman Rank Correlation, Mean-squared distance, etc.

This paper tells about which similarity metrics such as Pearson correlation (PC), constrained Pearson correlation (CPC), spearman rank correlation (SRC) which is good in the context of book recommendation system and then applied with neighborhood algorithm.

**Keywords:** Pearson correlation, spearman correlation, constrained Pearson correlation, K nearest neighborhood algorithm, recommendation systems.

### 1. Introduction

In early 20's the recommendation system was a new technique, but nowadays almost each and every e-commerce website recommended items are based on recommendation system which may be under content-based or collaborative-based technique. Under the mentioned technique, the items are recommended for the users which increase their sales product and provide a satisfaction to them for the product which he/she buys.

By gathering information according to the interest of the users, automatic prediction has been done by the process of filtering and

such filtering is called as Collaborative filtering. Collaborative filtering recommends items based on computing similar items of the user which uses neighborhood algorithm (K-nearest neighbors). In Collaborative filtering technique, rating matrix is put up to group the users of similar interest [1]. The rating matrix comprises of items and users which is similar to general matrix which in turn comprises of columns and rows. The rating matrix is usually sparse since all the users may not have shown interest to all the items specified. In order to make predictions, the similar users and similar items could be determined with the help of neighborhood concept. The neighborhood technique comprises of item-based and user-based models. If the clustering of the items is based on similar users who have similar interest then it comes under user-based models. If the clustering of the items is based on similar rating which are given by similar user then it comes under item-based model [2].

The above-mentioned technique (K-nearest neighbor) is a machine learning algorithm which is used to find similar users among clusters of many users by their likes or ratings or reviews given by each specific user and make predictions according to the shortest distance among the users. K-nearest neighbor algorithm measures distance to determine the “closeness” of instances and gives output. Our proposal focuses on the development of methods that produce items’ representations based on user’s ratings for recommender systems. Our aim is to give best recommended books for the user; in

spite we use whatever algorithm or metrics [2].

## 2. General Algorithm

Book recommendation System general approach is as follows:

1. To predict the rating of the user for an arbitrary book then active user has to be chosen.
2. To weigh all of the users in the data set weighting technique has to be used.
3. Based on the closest similarity to the active user, a set of users has to be picked up.
4. According to the subset selected in step 3, prediction has to be done by the user.

In this paper, In step1, rating predictions are done by the user in the order in which they appear.

In step2, the different similarity weighting schemes are 1) Pearson similarity, 2) spearman similarity, and 3) Constrained Pearson similarity. Here weighting schemes are used to observe which users are similar and which are not.

In step3, parameter ‘k’ is used which denotes the number of users chosen here. This is the ‘k’ users that correspond to the active users who are chosen in this step. We can make prediction to the books based on the weighted average only when we have k most similar users to the active users. It is defining as follows:

$$s(u, v) = \frac{\sum_{i \in I_u \cap I_v} (r_{u,i} - \bar{r}_u)(r_{v,i} - \bar{r}_v)}{\sqrt{\sum_{i \in I_u \cap I_v} (r_{u,i} - \bar{r}_u)^2} \sqrt{\sum_{i \in I_u \cap I_v} (r_{v,i} - \bar{r}_v)^2}} \quad (1)$$

Where

u is the active user  
 v is any other user  
 N is the set of k most similar users to u  
 $r_{v,i}$  is the v's rating of book i  
 r is user's average book rating  
 s(u,v) is the similarity between active user u and user v. [3]

**3. Related Works**

One of the fast-growing businesses is e-commerce, in which recommendation plays an important role for the benefit of customer, consumer and who sells the items (vendor). Research articles published for recommendation systems are very useful, which help the researches to keep track in their research field. Their evaluation becomes better and helps to determine their individual strengths and weakness by proposing more recommendation approaches [12-22]. There are many techniques and metrics, but the question arise which evaluation constitutes a good recommendation system [23-36]. In research fields authors don't prefer evaluation standards often, three quarters of evaluation published in User modeling, but User-Adapted interaction had serious shortcomings in their evaluation, so the researchers not often see alone the evaluation but also prefer the out coming results. [4]

For Collaborative filtering the general formula for statistical was first published in the group lens project in which PC was

defined for the basis of weights. (Resnick ET al.1994) The correlation between a and i

$$w(a, i) = \frac{\sum_j (v_{a,j} - \bar{v}_a)(v_{i,j} - \bar{v}_i)}{\sqrt{\sum_j (v_{a,j} - \bar{v}_a)^2 \sum_j (v_{i,j} - \bar{v}_i)^2}} \quad (2)$$

Where,

j - Item both the user a and i rated. [5]

**3.1 Table:** rating matrix (from 0-5)

Users	I	II	III	IV
User A	2	4	3	2
User B	4	3	5	3
User C	3	4	?	?
User D	5	2	4	4
User E	2	1	3	5

In the above table representation values:

I - Wuthering heights

II - Nineteen eighty-Four

III - Nineteen eighty-Four

IV - The Lord Of Rings

Consider the ratings in the above rating matrix table. Suppose if we need to find User C's prediction for the Book the Lord of the rings, the steps as follows:

C's mean rating is 3. 5. There are four users who have rated the book The Lord of rings so the users with neighborhood are (A, B, D, and E),

The average ratings of user A = 2.75,

The average ratings of user B = 3.75,

The average ratings of user D = 3.75,

The average ratings of user E = 2.75.

$$s(C, A) = 1$$

$$s(C, B) = 1$$

$$s(C, D) = -1$$

$$s(C, E) = 1$$

Bellcore video recommender (Hill et al.1995) and the Ringo music recommender (shardanand and maes 1995) they expanded the idea of group lens project, in which Ringo said CPC shows better results for computing similarity weights. (Breese ET al.1998) performed empirical analysis with many neighborhood algorithms in collaborative filtering, in which PC and cosine vector similarity are compared where correlation shows better performance. [7]

#### 4 . Existing Work

##### 4.1 Pearson Correlation Coefficient:

The intersection of the books is taken by the active user u and another user v with the help of PC coefficient. Then it computes the statistical correlation between the two active users who are mentioned above. By PC coefficient, the similarity between the two users are defined below as

$$s(u, v) = \frac{\sum_{i \in I_u \cap I_v} (r_{u,i} - \bar{r}_u)(r_{v,i} - \bar{r}_v)}{\sqrt{\sum_{i \in I_u \cap I_v} (r_{u,i} - \bar{r}_u)^2} \sqrt{\sum_{i \in I_u \cap I_v} (r_{v,i} - \bar{r}_v)^2}} \tag{3}$$

Here,  $r_{u,i}$  denotes movie  $i$ 's ranking amongst user  $u$ 's ratings.

##### 4.2 Mean Square Distance

The sum of the squared difference between the user u and user v ratings of their common books which is divided by the number of common books. This metrics finds the similarity of tastes among the users. The mean squared distance scheme is defined as:

$$s(u, v) = \frac{\sum_{i \in I_u \cap I_v} (r_{u,i} - r_{v,i})^2}{|I_u \cap I_v|} \tag{8}$$

##### 4.3 Cosine Similarity

The cosine similarity approach is different from other metrics, to find the similarity among the users. Unlike other statistical approaches, here each and every user is considered as a vector of his/her ratings given to movies, Thus the distance between these two vectors are find by cosine similarity. The cosine similarity formula is defined as:

$$s(u, v) = \frac{r_u \cdot r_v}{\|r_u\| \|r_v\|} \tag{6}$$

$r_u$  Rating vectors for movies by user u

$r_v$  Rating vectors for movies by user v [9]

##### 4.4 Spearman Rank Correlation

In spearman correlation approach we find rank of the user rather than finding similarity among the user. Here the movies which are given higher ratings are given rank as 1 and the movies which are given lower ratings are then assigned to higher ranks. Books which have same ranks are given as average rank for their respective positions.

$$s(u, v) = \frac{\sum_{i \in I_u \cap I_v} (k_{u,i} - \bar{k}_u)(k_{v,i} - \bar{k}_v)}{\sqrt{\sum_{i \in I_u \cap I_v} (k_{u,i} - \bar{k}_u)^2 + \sum_{i \in I_u \cap I_v} (k_{v,i} - \bar{k}_v)^2}} \tag{7}$$

$k_{u,i}$  is the  $i$ 's ranking for the ratings given by user  $u$ . [10]

**5. Proposed Work**

In this paper we have done these similarity metrics using a book data set.

First, predictions have been made for all the users and the active users at the same time. We would calculate for every book the active user has given the ratings in order to find the similarity measure for active user and rest other users.

Second, we would find similarity of the user by using different approaches such as

- 1- PC coefficient
- 2- SRC coefficient
- 3- CPC coefficient

This step is done to find similar users similar and users whom are not similar

Then after computing  $k$  number of similar users for the active user then we find the values for every book using weighted average technique.

**5.1 Constrained Pearson correlation**

CPC is more similar to PC. In CPC instead of calculating mean we calculate median [10]. First it identifies the correlation coefficient between the active user and other user. Then the user whose value which is greater than certain threshold is found and then similar users are identified [12]

$$CPC C_{sim(u,v)} = \frac{\sum_{i \in I} (r_{u,i} - r_{med})(r_{v,i} - r_{med})}{\sqrt{\sum_{i \in I} (r_{u,i} - r_{med})^2 + \sum_{i \in I} (r_{v,i} - r_{med})^2}} \tag{8}$$

Where

$r_{u,i}$  - The average rating of user  $u$  for the given item  $i$ .

$r_{v,i}$  - The average rating of user  $v$  for the given item  $i$ .

$r_{med}$  - Median value for the rating.

The other two approaches like PC and SRC coefficient are produced.

**6. Results and conclusion**

Most of the studies have been told that PC coefficient gives better results. In this paper we have compared three correlation coefficients (PC coefficient, CPC coefficient, SRC coefficient) for neighbor-based approach. The results have been evaluated for all the three correlations for neighbors ranging from 2 to 9 and then evaluated using mean absolute error.

The evaluations have been done for book recommender system based on ratings of the book given by the uses and the book they have rated. For this book recommender system, we have concluded that spearman correlation coefficient works best and having mean absolute error less than 1. The other two correlation coefficient works well which is having mean absolute error rate less than 1.2 which is acceptable (Recommendation whose mean absolute error greater than 2 is said to give false recommendations). In this system PC coefficient and CPC produces nearly same results with minimal difference in the mean

absolute error. Hence for book recommender system SRC coefficient would give better results than other two correlations as mentioned above. The results have been plotted as graph and shown below.

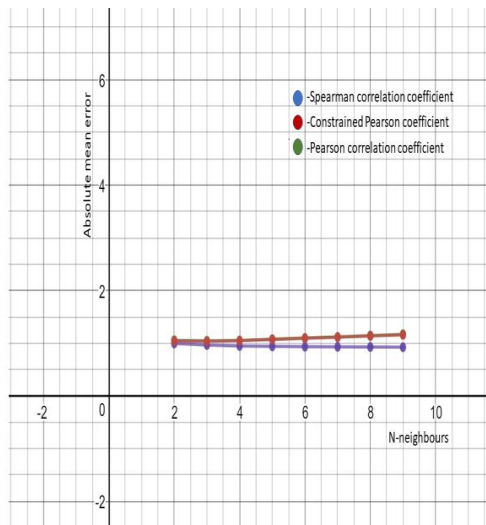


Fig 1: graph that consists of SRC, CPC and PC

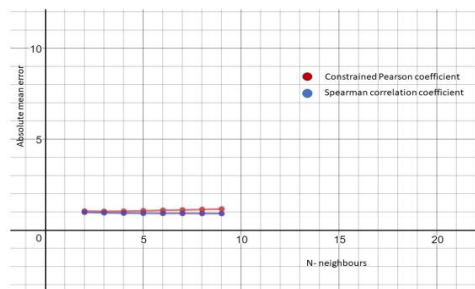


Fig 2: graph between SRC and CPC

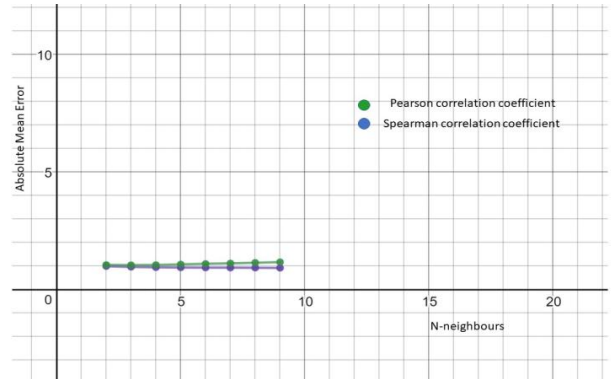


Fig 3: graph between SRC and PC

Our book dataset consists of (User\_Id, Book\_Id, Rating), From rating given by each user we calculate PC, SRC and CPC.

From those results we also calculate mean absolute error for each correlation and plotted as a graph which are shown above

From the above given figures:

1) Fig: 1 A graph is plotted against SRC, CPC and PC with N-neighbours at x-axis and absolute mean error at y-axis, (To differentiate PC and CPC the graph has been plotted separately as fig 2 and 3)

2) Fig: 2 A graphs is plotted against SRC and CPC with N-neighbours at x-axis and absolute mean error at y-axis

3) Fig: 3 A graphs is plotted against SRC and PC with N-neighbours at x-axis and absolute mean error at y-axis

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