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Multi-dimensional fuzzy transform and projection

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Abstract

Fuzzy and inverse fuzzy transforms, introduced by I. Perfilieva, is an important tool for signal and image fuzzy representation. It led to several variants, as the natural least-squares minimization named fuzzy projection. We deal with this transform, proposing first to show its simplicity and its easy numerical implementation in any dimension of space. Secondly, we point out that a key parameter permits to control its numerical robustness. Thirdly, we show that this parameter also governs the stability of the representation by fuzzy projection, when choosing different partitions of the space. We conclude in discussing linear representation; especially triangular representation for signals and pyramidal representation for images.

Keywords: Fuzzy transform, fuzzy projection, signal representation, image representation, stability, robustness.

1. Introduction

Fuzzy and inverse fuzzy transforms for the representation of signal and images have been initiated by Irina Perfilieva in 2004 ([?],[?]) and has led to many applications in their processing ([?], [?], [?], [?], [?], [?], [?]). In their continuity the author of the present study introduced in 2010 the operator of fuzzy projection for signals ([?],[?]). Independently, Giuseppe Patanè ([?]) proposed in 2011 a study of the fuzzy transform via least-squares approximation that brought out the same operator. Fuzzy projection (F-projection) proved to perform a good interpolation of the samples of a

signal obtained by fuzzy transform (F-transform). In particular it handles correctly high frequencies, even in presence of an important noise ([?]).

In this paper, we present and discuss of F-projection in higher dimension of space. We propose to show it is a simple tool, very easy to implement numerically, especially for image analysis. (We will deal in a future work ([?]) with numerical experiments such as compression, denoising and restauration of images, particularly a comparison with wavelet representation.)

Moreover, we point out that a key parameter permits to control the robustness of fuzzy projection, i.e. how much it is numerically well conditioned. It also indicates its stability, say its sensitivity, when choosing different partitions of the space, i.e. different generic kernels of the fuzzy partition: the effect of this choice does not modify significantly the representation process. This parameter is simply the squared L^2 -norm of the generic kernel μ , generating the fuzzy partition in dimension 1: $a = \|\mu\|_2^2$. As it measures, in any dimension of space, how the generic kernel of the fuzzy partition is concentrated around the center of its supporting cell, we name it the 'localization parameter'. Thanks to the parameter a , we discuss the linear representation of the considered object. More precisely, we suggest that choosing a linear fuzzy partition (a triangular one for signals, or a pyramidal one for images) is a reasonable compromise between numerical robustness, stability of the representation, and the requirements of a fuzzy representation of a signal or a multi-dimensional object.

In section 2, we recall definitions and results necessary to present F-projection in dimension 1 of space that are been presented and proved in [?]. In section 3, we highlight the natural analogy of these results in dimension 2 of space. In section 4, a rapid generalization is made in any dimension. Section 5 adresses a notion of stability for this representation, highlighting it is controlled by the 'localisation parameter'. We conclude with discussing the relevance of a linear fuzzy partition.

2. Fuzzy projection in dimension 1

Following the seminal work of Irina Perfilieva ([?],[?]) on direct and inverse F-transform, we collect in this section the results on F-projection that were proved in [?] and [?]. This will permit to introduce easily multidimensional F-projection, and the role of the 'localization parameter'.

2.1. Uniform fuzzy partition

A fuzzy partition $\mathcal{P} = \{\mu_k, k = 0 \dots p + 1\}$ of an interval is defined as follows.

Definition 2.1.1. (fuzzy partition \mathcal{P})

Let $\mathcal{S} = \{\omega_k = k\Delta, k = 0 \dots p + 1\}$ be an uniform subdivision of $[0, d]$ with diameter $\Delta = d/(p + 1)$. Let $\mu \in \mathcal{C}^0([-1, 1])$, the generic kernel of the partition, be an even continuous function such that $\mu(0) = 1$, $\mu(1) = 0$ and $\mu(1 - x) + \mu(x) = 1, \forall x \in [-1, 1]$. Kernels μ_k of the partition \mathcal{P} are defined by $\mu_k(x) = \mu\left(\frac{x - \omega_k}{\Delta}\right)$, and have thus for support the cells $\Omega_k = [\omega_{k-1}, \omega_{k+1}]$ (with the convention that $\omega_{-1} = \omega_0 = 0$ and $\omega_{p+2} = \omega_{p+1} = d$.)

Then, it is immediate to check that \mathcal{P} is a partition of unity on $[0, d]$:

Property 2.1.1. (partition of unity)

i)

$$\forall x \in [0, d], \sum_{k=0}^{p+1} \mu_k(x) = 1 \quad (1)$$

ii)

$$\forall k = 0 \dots p + 1, \frac{1}{\Delta} \int_0^d \mu_k = \frac{1}{\Delta} \int_{\Omega_k} \mu_k = \int_{-1}^1 \mu = 1 \quad (2)$$

2.2. Fuzzy transform

Denote $L^2([0, d])$ the space of finite energy signals with norm $\|f\|_2 = \left(\int_0^d f^2\right)^{1/2}$ and scalar product $(f|g) = \int_0^d fg$. Defined by Irina Perfilieva ([?],[?]) on the subspace $\mathcal{C}^0([0, d])$, the more general fuzzy transform we consider in this study is the operator $F : L^2([0, d]) \mapsto \mathbb{R}^{p+2}$ such that:

Definition 2.2.1. (fuzzy transform F : sampling)

$$\forall k = 0 \dots p + 1, (Ff)_k := \frac{\int_0^d f \mu_k}{\int_0^d \mu_k} = \frac{1}{\Delta} (f|\mu_k) \quad (3)$$

Fuzzy transform thus consists in taking a sequence of means, weighted by kernels μ_k , of the signal f . Note that it maps constant functions to a constant sampling with the same value. We also need to express its adjoint. Consider, for $u = (u_0, \dots, u_{p+1})$ and $v = (v_0, \dots, v_{p+1})$, the scalar

product in \mathbb{R}^{p+2} : $u.v = \Delta \sum_{k=0}^{p+1} u_k v_k$. For $\gamma = (\gamma_0, \dots, \gamma_{p+1})$, $\gamma \cdot Ff = \Delta \sum_{k=0}^{p+1} \gamma_k (Ff)_k = \sum_{k=0}^{p+1} \gamma_k (\mu_k | f) = (f | \sum_{k=0}^{p+1} \gamma_k \mu_k)$ yields the expression of $F^* : \mathbb{R}^{p+2} \mapsto L^2([0, d])$:

Proposition 2.2.1. (*adjoint fuzzy transform F^* : interpolation*)

For $\gamma = (\gamma_0, \dots, \gamma_{p+1})$, the adjoint fuzzy transform is

$$F^* \gamma = \sum_{k=0}^{p+1} \gamma_k \mu_k \quad (4)$$

and can be viewed as an interpolation of the finite sequence $\gamma_0, \dots, \gamma_{p+1}$ by kernels μ_k of the partition.

Remark 2.2.1. By 'interpolation' we mean in this study the passage from a discrete sampled representation of a signal (say Ff in our context) to a continuous representation by mean of kernels functions μ_k of the partition (say for instance F^*Ff for the inverse fuzzy transform introduced by I. Perfilieva). It is directly related to fuzzy projection that will be treated in the following section, where the interpolation functions are different.

2.3. Fuzzy projection

Let us first define the $p+2$ -dimensional sub-space Π of functions generated by partition \mathcal{P} , or, equivalently, the image of F^* :

Definition 2.3.1. (*projection sub-space Π*)

$$\Pi = \left\{ \sum_{k=0}^{p+1} \gamma_k \mu_k, \gamma \in \mathbb{R}^{p+2} \right\} \quad (5)$$

For $f \in L^2([0, d])$, F-projection is defined by:

Definition 2.3.2. (*F-projection P*)

$$Pf = \text{Argmin}_{g \in \Pi} \|f - g\|_2 \quad (6)$$

Computing Pf is thus equivalent to find

$$\alpha = \text{Argmin}_{\gamma \in \mathbb{R}^{p+2}} \|f - F^* \gamma\|_2 \quad (7)$$

Its solution is given by the normal equation $FF^* \alpha = Ff$, so that F-projection is the the classical pseudo-inverse projector ([?]):

Proposition 2.3.1. (*pseudo-inverse*)

The expression of the F -projection $P : L^2([0, d]) \mapsto \Pi$ is the following:

$$Pf = F^* (FF^*)^{-1} Ff \quad (8)$$

It is established in [?] uniform and quadratic convergence (when $p \rightarrow \infty$, i.e. $\Delta = \frac{d}{p+1} \rightarrow 0$):

Theorem 2.3.1. (*convergence*)

- i) Let $f \in C^0([0, d])$. Then $\forall \varepsilon > 0, \exists \Delta \mid \|f - Pf\|_\infty \leq \varepsilon$.
- ii) Let $f \in L^2([0, d])$. Then $\forall \varepsilon > 0, \exists \Delta \mid \|f - Pf\|_2 \leq \varepsilon$.

Let us now define the announced control parameter with which we will deal till the end of the present study.

Definition and Property 2.3.1. (*localization parameter a*)

We define

$$a = \|\mu\|_2^2 = \int_{-1}^1 \mu^2 \quad (9)$$

as the 'localization parameter' for μ . The fact that $a = 1/2 + 4 \int_0^{1/2} (\mu - 1/2)^2$ shows that it represents the degree of localization of μ in the central interval $[-1/2, 1/2]$ of its support $[-1, 1]$. It varies from $1/2$ (limit case of the step function with maximum overlap, $\mu = \frac{1}{2}\chi_{[-1,1]}$) to 1 (limit case of the basic step function with no overlap, $\mu = \chi_{[-1/2, 1/2]}$):

$$\frac{1}{2} < a < 1 \quad (10)$$

Remark 2.3.1. There exists, of course, an infinity of generic kernels providing a same parameter a . For instance, the triangle kernel, corresponding to linear fuzzy sampling, verifies $a = 2/3$, and it is easy to construct other ones with the same parameter a , but presenting oscillating shapes (even if they strictly decrease on $[0, 1]$, as demanded). Nevertheless, it is reasonable to keep in view this most natural and simple kernel.

Let us now identify FF^* to its matrix M in the canonical basis of \mathbb{R}^{p+2} . Then, as proved in [?], we can state the following result:

make Pf reads equivalently as the following interpolation - natural continuous representation - of the fuzzy transform Ff :

$$Pf = \sum_{k=0}^{p+1} (Ff)_k \nu_k \quad (17)$$

Remark 2.3.2.

1) The first point of the theorem comes from a computation that the reader is invited to read in [?]. Points ii) and iii) are then a classical property of tridiagonal matrixes (see for instance [?]). As FF^* is identified to the matrix M with eigenvalues λ_k , point iv) is immediate.

2) The length d of the interval $[0, d]$ where signals f are considered appears in the formula $(\nu_k)_j = \left(\frac{2}{d}\right)^{\frac{1}{2}} \cos\left(\frac{jk\pi}{p+1}\right)$. This is due to the normalization of those vectors with the weighted scalar product $u.v = \Delta \sum_{k=0}^{p+1} u_k v_k$, where the diameter of the subdivision verifies $\Delta = d/(p+1)$.

3) Basis functions $f_k = \frac{1}{\sqrt{\lambda_k}} F^* \nu_k$ are the interpolations by F^* of the finite sequence defined by $(\nu_k)_j = \left(\frac{2}{d}\right)^{\frac{1}{2}} \cos\left(\frac{jk\pi}{p+1}\right)$, $j = 0 \dots p+1$. Thus, they look like a Fourier basis, but with the shape of the generic kernel μ appearing in F^* (for instance a piecewise linear sinus-like function for linear interpolation with a triangular kernel.)

4) $Pf(x) = F^* M^{-1} Ff(x) = \sum_{j=0}^{p+1} \mu_j(x) \sum_{k=0}^{p+1} M_{j,k}^{-1} (Ff)_k$ provides formula (??) in point v).

Two important numerical requirements are that matrix M must be well conditioned and that functions $\{\nu_k\}$ (that bring the discrete samples to a continuous interpolation representation) have well localized supports: that is what we call the 'robustness' of the fuzzy representation.

Theorem 2.3.3. (numerical robustness and role of a)

i)

$$c := \text{cond}_2(M) = \frac{\max |\lambda_k|}{\min |\lambda_k|} = \frac{1}{2a-1} \quad (18)$$

ii) Each function $\nu_k(x) = \sum_{j=0}^{p+1} \mu_j(x) M_{j,k}^{-1}$, that operates the interpolation from the discrete sampling Ff to its continuous approximation Pf , is exponentially decreasing in the sense that $|M_{j,k}^{-1}| \leq |M_{k,k}^{-1}| r^{|k-j|}$ for all indexes j

and k . The speed of decrease around the diagonal $0 < r < 1$ is given by

$$r = \frac{1 - a}{3a - 1} \quad (19)$$

Remark 2.3.3.

1) The fact that $|M_{j,k}^{-1}| \leq |M_{k,k}^{-1}|r^{|k-j|}$, for all indexes j and k , means that the coefficient of the bounded and compactly supported kernels functions μ_j in the expression of ν_k are exponentially decreasing. Thus, function ν_k itself is also exponentially decreasing. An illustration is given in Figure ?? for triangular kernels.

2) In the limit case of $\mu = \frac{1}{2}\chi_{[-1,1]}$, we have $a = 1/2$, so that $c = \infty$ and $r = 1$: this is the worst numerical situation.

3) In the limit case of $\mu = \chi_{[-1/2,1/2]}$, we have $a = 1$, so that $c = 1$ and $r = 0$: this is the best numerical situation, but we will discuss this case in Section ??.

4) In the case of the classical triangular kernel $\mu = \text{Tri}_{[-1,1]}$, we have $a = 2/3$, so that $c = 3$ and $r = 1/3$: this is a good numerical situation; we will also discuss this case in the last Section ??, as it is the situation we want to bring out in this study.

5) In the case of the classical sinusoidal kernel : $\mu(x) = \frac{1}{2}(1 + \cos(\pi x))$, we have $a = 3/4$, so that $c = 2$ and $r = 1/5$: this is an even better numerical situation we will also comment Section ??.

Thus, while $r = r(a)$ controls the localization of the interpolating functions, the condition number $c = c(a)$ controls the numerical sensibility of the representation. This shows that the localization parameter a plays then a key role in basic numerical requirements. Moreover, we can also note that a governs the rapidity of the uniform convergence in Theorem ??, as shown by its proof in [?].

3. Fuzzy projection in dimension 2

This section is very analogous to the previous one. However, as it is useful for image representation, we present all the results, following exactly the same way. Most of their proofs are let to the reader, as they are nearly the same that those in dimension 1 (presented in [?]).

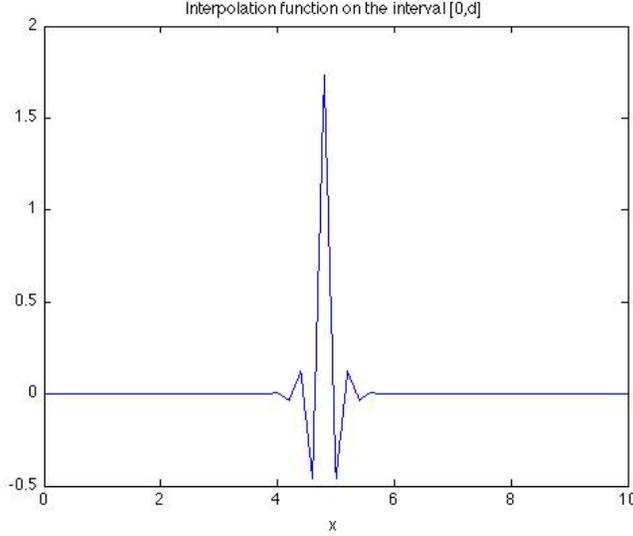


Figure 1: Example of function ν_k for a triangular generic kernel $\mu = \text{Tri}_{[-1,1]}$ ($d = 10$)

3.1. Uniform 2D fuzzy partition

Let us define a 2D fuzzy partition $\mathcal{Q} = \{\mathcal{M}_{k,l}, (k,l) \in [0 \dots p+1]^2\}$ of the square in $[0, d]^2$:

Definition 3.1.1. (2D fuzzy partition \mathcal{Q})

Let $\mathcal{T} = \{(\omega_k, \omega_l) = (k\Delta, l\Delta), (k,l) \in [0 \dots p+1]^2\}$ be an uniform subdivision of $[0, d]^2$. Still, $\Delta = d/(p+1)$ is called the diameter of the subdivision. Recall that μ denotes the generic kernel in the 1D Definition ???. Kernels $\mathcal{M}_{k,l}$ of the partition \mathcal{T} are then defined by $\mathcal{M}_{k,l}(x, y) = \mu_k(x)\mu_l(y) := \mu\left(\frac{x-\omega_k}{\Delta}\right)\mu\left(\frac{y-\omega_l}{\Delta}\right)$, and are supported in the cells $\Omega_{k,l} = [\omega_{k-1}, \omega_{k+1}] \times [\omega_{l-1}, \omega_{l+1}]$. The generic kernel is $\mathcal{M}(x, y) = \mu(x)\mu(y)$, supported in the unit square $[-1, 1]^2$.

Again, it is easy to verify that \mathcal{Q} is a partition of unity on $[0, d]^2$, precisely:

Property 3.1.1. (2D partition of unity)

i)

$$\forall (x, y) \in [0, d]^2, \sum_{k=0}^{p+1} \sum_{l=0}^{p+1} \mathcal{M}_{k,l}(x, y) = 1 \quad (20)$$

ii)

$$\forall(k, l) \in [0 \dots p + 1]^2, \frac{1}{\Delta^2} \iint_{[0, d]^2} \mathcal{M}_{k, l} = \frac{1}{\Delta^2} \iint_{\Omega_{k, l}} \mathcal{M}_{k, l} = \left(\int_{-1}^1 \mu \right)^2 = 1 \quad (21)$$

Remark 3.1.1. *As an illustration, the classical 1D triangular generic kernels yields a partition with square pyramids.*

3.2. 2D F-transform

The 2D fuzzy transform $F : L^2([0, d]^2) \mapsto \mathbb{R}^{p+2} \times \mathbb{R}^{p+2}$ is defined as follows:

Definition 3.2.1. (2D F-transform F)

$$\forall(k, l) \in [0 \dots p + 1]^2, (Ff)_{k, l} := \frac{\iint_{[0, d]^2} f \mathcal{M}_{k, l}}{\iint_{[0, d]^2} \mathcal{M}_{k, l}} = \frac{1}{\Delta^2} (f | \mathcal{M}_{k, l}) \quad (22)$$

Considering on $\mathbb{R}^{p+2} \times \mathbb{R}^{p+2}$ the scalar product $u.v = \Delta^2 \sum_{k=0}^{p+1} \sum_{l=0}^{p+1} u_{k, l} v_{k, l}$, we have similarly the adjoint fuzzy transform $F^* : \mathbb{R}^{p+2} \times \mathbb{R}^{p+2} \mapsto L^2([0, d]^2)$:

Proposition 3.2.1. (2D adjoint F-transform F^*)

$$F^* \gamma = \sum_{k=0}^{p+1} \sum_{l=0}^{p+1} \gamma_{k, l} \mathcal{M}_{k, l} \quad (23)$$

3.3. 2D fuzzy projection

The $(p + 2)^2$ -dimensional sub-space Π generated by the partition \mathcal{Q} is now:

Definition 3.3.1. (projection sub-space Π)

$$\Pi = \left\{ \sum_{k=0}^{p+1} \sum_{l=0}^{p+1} \gamma_{k, l} \mathcal{M}_{k, l}, \gamma \in \mathbb{R}^{p+2} \times \mathbb{R}^{p+2} \right\} \quad (24)$$

This leads to the same definition, expression and convergence results for F-projection:

ii) FF^* is invertible and has $(p+2)^2$ simple eigenvalues

$$\Lambda_{k,l}(a) = \lambda_k(a)\lambda_l(a) = \left(a + (1-a) \cos \frac{k\pi}{p+1} \right) \left(a + (1-a) \cos \frac{l\pi}{p+1} \right) \quad (28)$$

verifying $0 < (2a-1)^2 \leq \Lambda_{k,l} \leq 1$, $\forall (k,l) \in [0 \dots (p+1)]^2$.

iii) The associated normalized 'eigenvectors' are $V_{k,l} = v_k \circ v_l$ with:

$$(V_{k,l})_{j,m} = (v_k \circ v_l)_{j,m} := (v_k)_j (v_l)_m = \frac{2}{d} \cos \left(\frac{jk\pi}{p+1} \right) \cos \left(\frac{ml\pi}{p+1} \right) \quad (29)$$

Again, they do not depend on the generic kernel.

iv) The set of functions

$$\mathcal{B} = \left\{ f_{k,l} = \frac{1}{\sqrt{\Lambda_{k,l}}} F^* V_{k,l}, (k,l) \in [0 \dots p+1]^2 \right\} \quad (30)$$

forms an orthonormal basis of the projection subspace Π . Thus,

$$Pf = \sum_{k=0}^{p+1} \sum_{l=0}^{p+1} (f|f_{k,l}) f_{k,l} \quad (31)$$

v) The functions

$$\mathcal{N}_{k,l}(x,y) = \sum_{i=0}^{p+1} \sum_{j=0}^{p+1} (FF^*)_{(i,j),(k,l)}^{-1} \mathcal{M}_{i,j}(x,y) \quad (32)$$

make Pf reads as the following interpolation of the fuzzy transform Ff :

$$Pf(x,y) = \sum_{k=0}^{p+1} \sum_{l=0}^{p+1} (Ff)_{k,l} \mathcal{N}_{k,l}(x,y) \quad (33)$$

Basic numerical requirements are the same: operator FF^* (associated, for numerical purposes, to the matrix N) must be well conditioned and interpolation functions $\mathcal{N}_{k,l}$ well localized. Here is a slightly similar result, point ii) being however more technical.

Theorem 3.3.3. (2D numerical robustness and role of a)

i)

$$C := \text{cond}_2(FF^*) = \frac{\max |\Lambda_{k,l}(a)|}{\min |\Lambda_{k,l}(a)|} = \frac{1}{(2a-1)^2} \quad (34)$$

ii) Interpolating function, $\mathcal{N}_{k,l}(x, y) = \sum_{i=0}^{p+1} \sum_{j=0}^{p+1} (FF^*)_{(i,j),(k,l)}^{-1} \mathcal{M}_{i,j}(x, y)$ are exponentially decreasing in the sense that, given the matrix N , there exists a constant $\alpha > 0$ such that:

a) $|N_{i,j}^{-1}| \leq \alpha R^i$, if $i > j$ and i is large enough,

b) $|N_{i,j}^{-1}| \leq \alpha R^j$, if $j > i$ and j is large enough.

The speed of decrease away from the diagonal $0 < R < 1$ is given by

$$R = \frac{1-a}{a + \sqrt{2a-1}} \quad (35)$$

Proof of ii).

It is proved in [?] that a block tridiagonal block toeplitz matrix of the form

$$T = \begin{bmatrix} A & B & & & \\ B & A & B & & \\ & \cdot & \cdot & \cdot & \\ (0) & \cdot & \cdot & B & \\ & & B & A & \end{bmatrix}$$

with the same dimensions as N verifies

a) $|T_{i,j}^{-1}| \leq \alpha |\rho_{p+1}|^{-i}$, if $i > j$ and i is large enough,

b) $|T_{i,j}^{-1}| \leq \alpha |\rho_{p+1}|^{-j}$, if $j > i$ and j is large enough,

where $\{\rho_k\}$ are the $2(p+1)$ eigenvalues, sorted in absolute value increasing order, of the $2(p+1) \times 2(p+1)$ matrix

$$U = \begin{bmatrix} 0 & B \\ -B^{-1} & B^{-1}A \end{bmatrix}$$

In our case, we have (Theorem ??) $A = aM$ and $B = \frac{1-a}{2}M$. Denote $\lambda_k(A)$ and $\lambda_k(B)$ the respective eigenvalues of A and B , and recall that v_k are their corresponding common eigenvectors. When

searching for eigenvectors of the form $V_k = \begin{bmatrix} \alpha_k v_k \\ v_k \end{bmatrix}$ we have that

$$UV_k = \begin{bmatrix} 0 & B \\ -B^{-1} & B^{-1}A \end{bmatrix} \begin{bmatrix} \alpha_k v_k \\ v_k \end{bmatrix} = \begin{bmatrix} \lambda_k(B)v_k \\ \left(-\frac{\alpha_k}{\lambda_k(B)} + \frac{\lambda_k(A)}{\lambda_k(B)}\right)v_k \end{bmatrix}. \text{ A necessary}$$

condition for V_k to be an eigenvector is then that $\alpha_k = \lambda_k(B) / \left(\frac{\lambda_k(A) - \alpha_k}{\lambda_k(B)} \right)$. This yields a second degree equation for α_k whose $2(p+1)$ solutions are, for $k = 0 \dots p+1$:

$$\begin{aligned} - \alpha_k^{(1)} &= \frac{1}{2} \left(\lambda_k(A) + \sqrt{\lambda_k^2(A) - 4\lambda_k^2(B)} \right) \\ - \alpha_k^{(2)} &= \frac{1}{2} \left(\lambda_k(A) - \sqrt{\lambda_k^2(A) - 4\lambda_k^2(B)} \right) \end{aligned}$$

Then, as ρ_k , the $2(p+1)$ eigenvalues of U , verify by definition $UV_k = U \begin{bmatrix} \alpha_k v_k \\ v_k \end{bmatrix} = \rho_k \begin{bmatrix} \alpha_k v_k \\ v_k \end{bmatrix}$, we have that $\rho_k \alpha_k = \lambda_k(B)$. It follows that, sorted in absolute value increasing order, they write, for $k = 0 \dots p$:

$$\begin{aligned} - \rho_k &= 2\lambda_k(B) / \left(\lambda_k(A) + \sqrt{\lambda_k^2(A) - 4\lambda_k^2(B)} \right) \\ - \rho_{p+1+k} &= 2\lambda_k(B) / \left(\lambda_k(A) - \sqrt{\lambda_k^2(A) - 4\lambda_k^2(B)} \right) \end{aligned}$$

In the case of $A = aM$ and $B = \frac{1-a}{2}M$, the computation simply comes down to $\rho_{p+1} = 2\lambda_0(\frac{1-a}{2}M) / \left(\lambda_0(aM) - \sqrt{\lambda_0^2(aM) - 4\lambda_0^2(\frac{1-a}{2}M)} \right) = 2\frac{1-a}{2}(2a-1) / \left(a(2a-1) - \sqrt{(a(2a-1))^2 - 4(\frac{1-a}{2}(2a-1))^2} \right) = \frac{1-a}{a-\sqrt{2a-1}} = \frac{a+\sqrt{2a-1}}{1-a}$. Then, as $R = 1/\rho_{p+1}$, we have the expected result. \square

Remark 3.3.1. *Let us examine again the four limit and classical cases of Section ??:*

1) *When $\mu = \frac{1}{2}\chi_{[-1,1]}$, $a = 1/2$ still yields $C = \infty$ and $R = 1$: nothing can be done numerically. This case corresponds to $\mathcal{M}(x, y) = \frac{1}{4}\chi_{[-1,1]}(x)\chi_{[-1,1]}(y)$, the limit generic constant kernel with maximal 2D overlap.*

2) *When $\mu = \chi_{[-1/2, 1/2]}$, $a = 1$ also still yields $C = 1$ and $R = 0$: this optimal numerical situation will be discussed in Section ?. This case corresponds to $\mathcal{M}(x, y) = \chi_{[-1/2, 1/2]}(x)\chi_{[-1/2, 1/2]}(y)$, the classical limit plateau kernel with no overlap.*

3) *When $\mu = \text{Tri}_{[-1,1]}$, we have $a = 2/3$, so that $C = 9$ and $R = 1/(2 + \sqrt{3}) \simeq 0.26$ (instead of $r \simeq 0.33$ in dimension one): this situation remains numerically very good, and the interpolating functions are more localized than in 1D. This case will be examined in Section ?; it corresponds to $\mathcal{M}(x, y) = \text{Tri}_{[-1,1]}(x)\text{Tri}_{[-1,1]}(y)$, the classical square pyramid.*

4) *For $\mathcal{M}(x, y) = \frac{1}{4}(1 + \cos(\pi x))(1 + \cos(\pi y))$, corresponding to $a = 3/4$, $C = 4$ and $R = 1/(3 + 2\sqrt{2}) \simeq 0.17$ (instead of $r \simeq 0.2$ in dimension one),*

we have a 2D sinus-like kernel which is still better numerically.

5) We observe that $R < r$: the speed of decrease of the matrix elements around its diagonal is faster for N in 2D than for M in 1D. ($R < r \Leftrightarrow 2a - 1 < \sqrt{2a - 1}$, which is true because $1/2 < a < 1 \Leftrightarrow 2a - 1 < 1$.)

Thus, the localization parameter a , defined in the 1D case, plays the same key role in the 2D case. It describes the F-transform in a simple manner, as well as it governs the numerical robustness of the projection : how much matrix N of the projection numerically well conditioned and interpolation functions $\mathcal{N}_{k,l}(x, y)$ have a 'small' support.

4. Fuzzy projection in higher dimension

The generalization to any dimension q of space is immediate, following the link between formulas in dimension 1 and 2. With the same formalism we establish, skipping all identical expressions and in the same way avoiding all proofs, the analogous results. We chose, for simplicity, the same notations as in one dimension of space, denoting in particular $x = (x_1, \dots, x_q)$ and $k = (k_1, \dots, k_q)$.

A fuzzy partition $\mathcal{P} = \{\mu_k, k \in [0 \dots p + 1]^q\}$ of the hypercube $[0, d]^q$ has the following definition and property:

Definition 4.1. (*qD fuzzy partition*)

Let $\mathcal{S} = \{\omega_k = k\Delta, k \in [0 \dots p + 1]^q\}$ be an uniform subdivision of $[0, d]^q$. Kernels μ_k of the partition \mathcal{P} are then defined similarly by $\mu_k(x) = \prod_{i=1 \dots q} \mu \left(\frac{x_i - \omega_{k_i}}{\Delta} \right)$ and are supported in the q -dimensional hypercube $\Omega_k = \prod_{i=1 \dots q} [\omega_{k_i - 1}, \omega_{k_i + 1}]$. The generic kernel μ is supported in the unit square $[-1, 1]^q$ and is the natural tensor product of the generic kernel μ defined in dimension 1.

Property 4.1. (*qD partition of unity*)

i)

$$\forall x \in [0, d]^q, \quad \sum_{k \in [0 \dots p + 1]^q} \mu_k(x) = 1 \quad (36)$$

ii)

$$\forall k \in [0 \dots p + 1]^q, \quad \frac{1}{\Delta^q} \int_{[0, d]^q} \mu_k = \frac{1}{\Delta^q} \int_{\Omega_k} \mu_k = \left(\int_{-1}^1 \mu \right)^q = 1 \quad (37)$$

The q -dimensional fuzzy transform corresponds to the operator $F : L^2([0, d]^q) \mapsto (\mathbb{R}^{p+2})^q$ such that:

Definition 4.2. (*fuzzy transform*)

$$\forall k \in [0 \dots p+1]^q, (Ff)_k := \frac{\int_{[0,d]^q} f \mu_k}{\int_{[0,d]^q} \mu_k} = \frac{1}{\Delta^q} (f | \mu_k) \quad (38)$$

With the scalar product $u.v = \Delta^q \sum_{k \in [0 \dots p+1]^q} u_k v_k$ on $(\mathbb{R}^{p+2})^q$ we have the same expression for the adjoint fuzzy transform F^* . Then, the $(p+2)^q$ -dimensional sub-space Π , F-projection on it, and also convergence results are defined and established identically.

Identifying $(\mathbb{R}^{p+2})^q$ to $\mathbb{R}^{(p+2)^q}$ by the bijective map $k \mapsto k_1 + k_2(p+2) + k_3(p+2)^2 + \dots + k_q(p+2)^{q-1}$, components of the fuzzy transform $(Ff)_{k,l}$ will be now stored in a $(p+2)^q$ -dimensional vector. Operator FF^* is then identified to a matrix M in the canonical basis of $\mathbb{R}^{(p+2)^q}$, and this yields:

Theorem 4.1. (*expression of qD F-projection and role of a*)

i) FF^ corresponds to a $(p+2)^q \times (p+2)^q$ almost bistochastic matrix which is symmetrical block tridiagonal with symmetrical tridiagonal blocks, themselves defined in the same way by an induction process with length $q-1$, and starting at $M_1 = M$:*

$$M_q = \left[\begin{array}{ccccccc} aM_{q-1} & \frac{1-a}{2}M_{q-1} & & & & & \\ \frac{1-a}{2}M_{q-1} & aM_{q-1} & \frac{1-a}{2}M_{q-1} & & & & \\ & \frac{1-a}{2}M_{q-1} & aM_{q-1} & \frac{1-a}{2}M_{q-1} & & & \\ & & \cdot & \cdot & \cdot & & \\ & & & \cdot & \cdot & & \\ & & & & \cdot & & \\ & & & & & \frac{1-a}{2}M_{q-1} & aM_{q-1} & \frac{1-a}{2}M_{q-1} \\ & & & & & \frac{1-a}{2}M_{q-1} & aM_{q-1} & \\ & & & & & & & aM_{q-1} \end{array} \right] \quad (39)$$

It still depends only on the 1D localization parameter a .

ii) FF^ is invertible and has $(p+2)^q$ simple eigenvalues λ_k such that*

$$\lambda_k = \prod_{j=1}^q \left(a + (1-a) \cos \frac{k_j \pi}{p+1} \right) \quad (40)$$

verifying $0 < (2a - 1)^q \leq \lambda_k \leq 1$.

iii) The associated normalized "eigenvectors" are the v_k such that

$$(v_k)_l = \left(\frac{2}{d}\right)^{\frac{q}{2}} \prod_{j=1}^q \cos\left(\frac{l_j k_j \pi}{p+1}\right) \quad (41)$$

Again, they do not depend on the generic kernel.

iv) The set of functions

$$\mathcal{B} = \left\{ f_k = \frac{1}{\sqrt{\lambda_k}} F^* v_k \right\} \quad (42)$$

is an orthonormal basis of Π , so that

$$Pf = \sum_k (f|f_k) f_k \quad (43)$$

v) The functions

$$\nu_k(x) = \sum_i (FF^*)_{(i),(k)}^{-1} \nu_i(x) \quad (44)$$

make Pf reads as the following interpolation of the fuzzy transform Ff :

$$Pf(x) = \sum_k (Ff)_k \nu_k(x) \quad (45)$$

We have the analogous obvious result for the condition number of M_q . Nevertheless, as shown in Theorem ??, the induction process generates a matrix M_q whose blocks consist in a $q - 1$ times repeated structure of the 1D case matrix M . Even in the 3D case, we didn't succeed in computing the speed of decrease r of the interpolating functions ν_k .

Proposition 4.1. (*qD numerical robustness and role of a*)

$$c(a) := \text{cond}_2(FF^*) = \frac{1}{(2a - 1)^q} \quad (46)$$

All these results show that the overlap parameter $a = \int_{-1}^1 \mu^2$, even if defined in dimension 1, plays the same central role in any dimension of space. Both for describing the F-projection as for controlling its numerical robustness. In the next section, we will see that it is also an indicator for its stability.

5. Stability of multi-dimensional fuzzy projection and localization parameter a

A question arising naturally is the following: when performing a representation of a signal by fuzzy projection with different generic kernel μ_1 and μ_2 can we measure the risk of deviation when choosing one or another? In other terms, can we measure the stability, say the sensitivity, of the representation, balancing from a projection P_1 to another one, P_2 ? This question is subject to interpretation because it is not really well-posed. The sole purpose of the following section is to propose tracks for this question.

5.1. Match between projection subspaces

Here is a way to characterize, in a general manner, the match between two finite dimensional subspaces of an Hilbert space.

Let us consider two finite subspaces F_1 and F_2 of an Hilbert space, with respective orthonormal basis $\mathcal{B}_1 = \{\phi_i^{(1)}, i = 1 \dots n_1\}$ and $\mathcal{B}_2 = \{\phi_i^{(2)}, i = 1 \dots n_2\}$. Call G , the $n_1 \times n_2$ Gram matrix of scalar products:

$$G = \left[\left(\phi_i^{(1)} | \phi_j^{(2)} \right) \right] \quad (47)$$

and its singular values $\{\sigma_k\}$, sorted in decreasing order.

Definition 5.1.1. (*match between subspaces*)

We call match between F_1 and F_2 the lowest singular value of the Gram matrix of their respective basis:

$$\text{Match}(F_1, F_2) = \min_k \{\sigma_k\} \leq 1 \quad (48)$$

It has been proved that singular values correspond to the cosines of 'principal angles' between the two subspaces ([?]), so that the lowest one is the cosine of the highest angle. When the latter is (at worst) $\pi/2$, F_1

and F_2 will be considered as 'distant' in this sense, and it corresponds to $\text{Match}(F_1, F_2) = 0$. On the contrary, we are interested in the situation where $\text{Match}(F_1, F_2)$ approaches 1, but for somehow distant generic kernels.

Remark 5.1.1. *There are other ways to model a criterion for this match, say angle or distance. None is perfect, each depends on the nature of the problem. For example finding a cut-off in the graph of $\{\sigma_k\}$ will indicate an incompatibility between the two subspaces, or a loss of robustness in the model with which they are related.*

Consider now, with the same notations and context as in section ??, two fuzzy transforms F_1 and F_2 with kernels $\mu^{(1)}$ and $\mu^{(2)}$, and their corresponding F-projections P_1 and P_2 on projection subspaces Π_1 and Π_2 , i.e. the subspaces that are used to provide the projection of the signal: their representation by fuzzy sampling (Ff) followed by inverse inverse fuzzy transform: interpolation by the adjoint transform F^* . Denote $\lambda_k^{(i)} = a_i + (1 - a_i) \cos \frac{k\pi}{p+1}$, the eigenvalues of $M_i = F_i F_i^*$, and $a_i = \|\mu^{(i)}\|_2^2$, for $i = 1, 2$. Recall finally that they are associated to the same eigenvectors v_k (Theorem ??).

As in section ??, we define a localization parameter

$$a_{1,2} := (\mu^{(1)} | \mu^{(2)}) \quad (49)$$

called the 'cross localization parameter' and that measures the overlap between the two kernels. Then, we have the following result:

Theorem 5.1.1. *(match between subspaces and role of localization parameters)*

The $(p+2) \times (p+2)$ Gram matrix G related to Π_1 and Π_2 is diagonal, with diagonal terms

$$G_{k,k} = \sigma_k = \frac{\lambda_k^{(1,2)}}{\sqrt{\lambda_k^{(1)} \lambda_k^{(2)}}}, \quad k = 0, \dots, p+1 \quad (50)$$

where $\lambda_k^{(1,2)} = a_{1,2} + (1 - a_{1,2}) \cos \frac{k\pi}{p+1}$ are the eigenvalues of the symmetric tridiagonal matrix $F_1 F_2^*$ with diagonal term $a_{1,2} = (\mu^{(1)} | \mu^{(2)})$ and sub or super diagonal term $\frac{a_{1,2}-1}{2}$ (similarly to the case of M).

Moreover, σ_k form a decreasing sequence, so that

$$\text{Match}(\Pi_1, \Pi_2) = \sigma_{p+1} = \frac{2a_{1,2} - 1}{\sqrt{(2a_1 - 1)(2a_2 - 1)}} \quad (51)$$

Proof. Using respective basis of Π_1 and Π_2 in Theorem ??, we compute $G_{k,l} = \left(f_k^{(1)} | f_l^{(2)} \right) = \left(\frac{1}{\sqrt{\lambda_k^{(1)}}} F_1^* v_k | \frac{1}{\sqrt{\lambda_l^{(2)}}} F_2^* v_l \right) = \frac{1}{\sqrt{\lambda_k^{(1)} \lambda_l^{(2)}}} F_2 F_1^* v_k \cdot v_l$. As the matrix $M_{1,2} = F_2 F_1^* = F_1 F_2^*$ has for elements $\left(\mu_k^{(1)} | \mu_l^{(2)} \right)$, it is also a symmetric tridiagonal matrix (as matrix M) with the property that its diagonal terms are $a_{1,2}$ and its sub or super diagonal terms are $\frac{a_{1,2}-1}{2}$ (as both $\mu_k^{(1)}$ and $\mu_k^{(2)}$ also define partitions of unity). Thus, its orthogonal eigenvectors are the same as M , and its eigenvalues reads $\lambda_k^{(1,2)} = a_{1,2} + (1 - a_{1,2}) \cos \frac{k\pi}{p+1}$. Then, $G_{k,l} = \frac{1}{\sqrt{\lambda_k^{(1)} \lambda_l^{(2)}}} \lambda_k^{(1,2)} v_k \cdot v_l$ proves that G is diagonal and provides the first result.

Now, we have to show that $\sigma_k = \frac{a_{1,2} + (1 - a_{1,2}) \cos \frac{k\pi}{p+1}}{\sqrt{(a_1 + (1 - a_1) \cos \frac{k\pi}{p+1})(a_2 + (1 - a_2) \cos \frac{k\pi}{p+1})}}$ form a decreasing sequence. Then, as $\text{Match}(F_1, F_2) := \min_k \{\sigma_k\} = \sigma_{p+1}$, the second result will be immediate.

An equivalent condition is that the function $\varphi(x) = \frac{a_{1,2} + (1 - a_{1,2})x}{\sqrt{(a_1 + (1 - a_1)x)(a_2 + (1 - a_2)x)}}$ is increasing on $[-1, 1]$. Its logarithmic derivative is $\psi(x) = \frac{1 - a_{1,2}}{a_{1,2} + (1 - a_{1,2})x} - \frac{1}{2} \left(\frac{1 - a_1}{a_1 + (1 - a_1)x} + \frac{1 - a_2}{a_2 + (1 - a_2)x} \right)$. As $a_{1,2} \leq a_i$ for $i = 1, 2$, we immediately check that $\frac{1 - a_i}{a_i + (1 - a_i)x} \leq \frac{1 - a_{1,2}}{a_{1,2} + (1 - a_{1,2})x}$ for $i = 1, 2$. Thus $\psi(x) \geq 0$ on $[-1, 1]$ and φ is increasing, which concludes the proof. \square

Remark 5.1.2. (*relations between localization parameters*)

- 1) Direct computation yields the upper bounds $a_{1,2} \leq a_1$ and $a_{1,2} \leq a_2$.
- 2) Cauchy-Schwartz inequality provides $a_{1,2} \leq \sqrt{a_1 a_2}$.
- 3) Note that if each a_i verifies $1/2 < a_i < 1$, the 'cross localization parameter' $a_{1,2}$ can be lower than $1/2$. For example, we have $a_{1,2} = 1/3$ for the choice of the overlapping step and triangular generic kernels.

Again, we see that these quantities, a_1 , a_2 , and $a_{1,2}$, play a central role in analyzing the F-projection. We have now to take advantage of formula (??) to highlight a form of stability in the representation by fuzzy projection. But choosing two parameter a_1 and a_2 is not enough for computing $a_{1,2} = \left(\mu^{(1)} | \mu^{(2)} \right)$, as the only relation between them is the Cauchy-Schwartz inequality $a_{1,2} \leq \sqrt{a_1 a_2}$: we have to choose first $\mu^{(1)}$ and $\mu^{(2)}$. The natural question is how to make this choice in a wide range of generic kernels that also let the different computations and interpretations possible. To do this,

let us introduce a simple reasonable model for parametrizing kernels of fuzzy partitions.

5.2. *Illustration of the stability of the F-projection with a simple model: power functions $\mu^{[\alpha]}$*

Definition 5.2.1. (*power generic kernels*)

For $\alpha \in \mathbb{R}_+$ we define the generic kernel $\mu^{[\alpha]}$ such that $\mu^{[\alpha]}(x) = 2^{\alpha-1}(x+1)^\alpha$, $\forall x \in [-1, -1/2]$. Then, according to definition ??, $\mu^{[\alpha]}$ is defined on $[-1, 0]$ by symmetry around the point $(-1/2, 1/2)$, and completed by parity on $[-1, 1]$.

We give some examples of such kernels in Figure ?? for $\alpha \geq 1$.

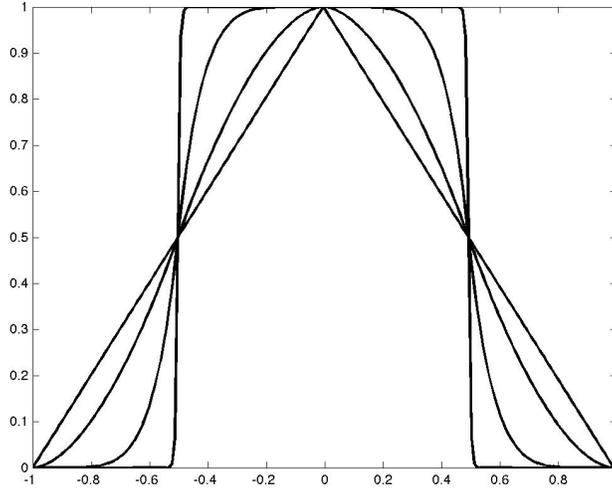


Figure 2: Examples of power generic kernels for $\alpha = 1; 1.75; 6; 100$.

Remark 5.2.1.

- 1) $\mu^{[0]}$ is the overlapping step kernel: $\mu^{[0]} = \frac{1}{2}\chi_{[-1,1]}$.
- 2) $\mu^{[1]}$ is the triangular kernel: $\mu^{[1]} = \text{Tri}_{[-1,1]}$.
- 3) $\mu^{[\infty]}$ is the basic step kernel: $\mu^{[\infty]} = \chi_{[-1/2,1/2]}$.
- 4) The sinusoidal kernel $\mu^{[\cos]}(x) = \frac{1}{2}(1 + \cos(\pi x))$ is very well approached by $\mu^{[1.75]}$, as $\|\mu^{[1.75]} - \mu^{[\cos]}\|_2 / \|\mu^{[\cos]}\|_2 = 0.0077$.

These power functions thus seem to fit in a simple and efficient way classical generic kernels. Moreover, a simple computation yields

$$a^{[\alpha]} := \|\mu^{[\alpha]}\|_2^2 = 1 - \frac{1}{1+\alpha} + \frac{1}{2(1+2\alpha)} \quad (52)$$

and

$$a^{[\alpha,\beta]} := (\mu^{[\alpha]}|\mu^{[\beta]}) = 1 - \frac{1}{2(1+\alpha)} - \frac{1}{2(1+\beta)} + \frac{1}{2(1+\alpha+\beta)} \quad (53)$$

Thus, it becomes possible to deal with

$$\text{Match}(\Pi_1, \Pi_2) = \frac{2a_{1,2} - 1}{\sqrt{(2a_1 - 1)(2a_2 - 1)}}$$

using a wide class of kernels.

In Figure ??, we show the match computed this way. We can estimate that the zone indicating a good stability between two fuzzy partitions roughly starts when $a_1, a_2 \geq \frac{2}{3}$. This corresponds to the triangular kernel. Thus, as those represented in Figure ??, generic kernels $\mu^{[\alpha]}$ seem to lead to a good stability for $\alpha \geq 1$ (as $a^{[1]} = 2/3$). In other terms, changing the partition for the fuzzy projection does not imply an important deviation in the representation when we consider kernels near to the triangular one.

Also, we point out that the match formula is the same in any dimension of space q . According to equation(??), formula (??) becomes:

Proposition 5.2.1. (*match in dimension q*)

$$\text{Match}(\Pi_1, \Pi_2) = \sigma_{(p+1, \dots, p+1)} = \left(\frac{2a_{1,2} - 1}{\sqrt{(2a_1 - 1)(2a_2 - 1)}} \right)^q \quad (54)$$

In particular, 2-dimensional kernels that should lead to a certain stability should be near the classical square pyramidal kernel. This stands in any dimension of space, according a favor in the linear interpolation, when considering that a fuzzy overlap between cells Ω_k is necessary for a good description or representation of the uncertainty of the considered signal or multi-dimensional object.

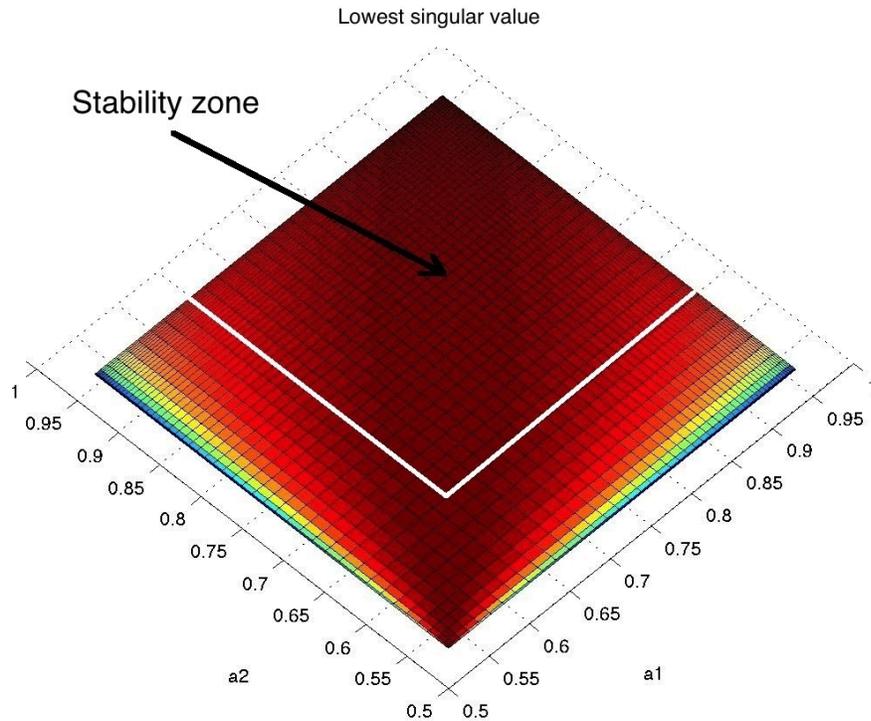


Figure 3: Match between projection subspaces according the localization parameters a_1 and a_2 , using the power generic kernels $\mu^{[\alpha]}$.

6. Conclusion

Representation of signal and images by fuzzy and inverse fuzzy transforms has led to interesting numerical applications, as mentioned in the introduction ([?], [?], [?], [?], [?], [?], [?]). Fuzzy projection itself, introduced in [?], [?], is a direct simple tool directly related to these techniques, and, in addition to noise removal, has the advantage to take better into account high frequency of signals.

In this study, we have shown that multi-dimensional fuzzy projection is a very simple mathematical tool for representing multi-dimensional objects, and is analogous to the 1D case for signals, so that it should present the same numerical advantages. For instance, our next work ([?]) will be to use the 2D the fuzzy projection for simultaneous denoising and enhancement

of very noisy images, in concurrence with classical and well-tested methods. A project is also to reconstruct 3D objects, taking benefit of the easy implementation of the F-projection in any dimension.

In any case, F-projection is in practice easier to handle than a wavelet or related transform representation, because it only demands the choice of a generic kernel and its associated partition. Moreover, we have highlighted the fact that a key parameter, the localization of the generic kernel in one dimension of space, $a = \|\mu\|_2^2$, permits to illustrate and control the representation in any dimension of space. It also governs its numerical robustness (condition number of the matrix playing the central role in the projection) and its stability - say its sensitivity when modifying the sampling partition. Moreover, as studied in [?],[?], F-projection offers an interesting flexibility, as soon as the kernel and the diameter of the partition can be locally modified in order to better represent some regions of the signal having different behaviors (more oscillating ones for instance).

Another track for a future work is to show that, in a more precise manner, or in a more specific context of application, the linear - triangular or pyramidal - fuzzy projection is the most simple and natural one. Indeed, we have highlighted the fact that the sensitivity of the representation with respect to modifications of its generic kernel is low for the choice of the linear kernel.

For all these reasons, F-projection should be a good numerical compromise between easy implementation, numerical robustness and stable representation in the requirements of fuzzy representations.

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