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▶ To cite this version:

Dominique Chamoret, Joris Remmers, Sergio Turteltaub, René de Borst. Simulation of moving solidphase boundaries using the partition of unity concept. 7e colloque national en calcul des structures, CSMA, May 2005, Giens, France. hal-01812896

HAL Id: hal-01812896 https://hal.science/hal-01812896

Submitted on 11 Jun 2018

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Simulation of moving solid-phase boundaries using the partition of unity concept

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ABSTRACT. In this paper, numerical techniques are proposed to model phase transformation mechanisms. The evolution of interfaces is governed by field equations and jump conditions, and by a kinetic relation. Jumps in displacement gradients are incorporated by means of the partition of unity concept. The interface is described by a level set function.

RÉSUMÉ. Dans cet article, de nouvelles approches numériques pour modéliser les mécanismes de transformations de phases sont présentées. L'évolution de l'interface est prise en compte dans l'écriture des équations de champs associées à une relation cinématique. La discontinuité du champ de déformation à l'interface est modélisée par l'intermédiaire de la méthode de la partition de l'unité. Pour la description de l'interface, l'approche level set est mise œuvre.

KEYWORDS: Phase transformation mechanisms, moving boundaries, partition of unity, level set method.

MOTS-CLÉS : Transformation de phase, frontières mobiles, partition de l'untité, level set.

1. Introduction

Shape memory alloys constitute a special class of metallic alloys that have the ability to generate large work output per unit volume. They are particularly suited for applications in small devices such as in micro-electro-mechanical systems. Depending on the temperature, they have the possibility of recovering the initial shape of an object after a thermomechanical cycle (i.e., the shape memory effect) or upon a purely mechanical cycle (i.e., the pseudo-elasticity effect). These physical phenomena, which endow shape memory alloys of their unique characteristics, are related to crystallographically reversible, displacive phase transformations.

Our goal is to obtain a comprehensive understanding of the phase transformation mechanisms at the length scale of individual interfaces between the parent and product phases, austenite and martensite, respectively. To this end, we propose a methodology suitable to analyze problems where the evolution of the interfaces throughout a transformation process is modelled explicitly. The numerical treatment of these problems raises several challenging issues, such as a mesh-independent description of sharp interfaces and the need of an efficient methodology to keep track of the interfaces' evolution. To address these requirements, we make use of the level set method [HOU 99] to monitor the evolution of interfaces (section 2) and we employ the partition of unity property [MEL 96] of finite element shape functions to model weak discontinuities (section 3).

2. Interface conditions and kinetic relation

2.1. Governing equations and jump conditions

Consider a deformable body *B* that occupies the domain Ω in \mathbb{R}^2 . Suppose that Ω contains phase 1 in region Ω^+ and phase 2 in region Ω^- , as shown in Figure 1(a) (e.g., austenite in Ω^+ and martensite in Ω^-). Interfaces between austenite and martensite in shape memory alloys are typically coherent, hence the displacement *u* is continuous across the interface but the displacement gradient ∇u experiences a finite jump (weak discontinuity) [BHA 03]. These conditions can be shown to reduce to the following jump conditions:

$$[[\boldsymbol{\nabla}\boldsymbol{u}]] = \boldsymbol{c} \otimes \boldsymbol{n} \quad \text{on } \boldsymbol{\Gamma}_t \tag{1}$$

for some nonzero vector c and where n is the normal to the interface. Here, $[[w]] = w^+ - w^-$ is the jump of the function w. For a quasi-static process, where inertial effects are neglected, the balance of linear momentum at points where u is smooth takes the form

$$\operatorname{div} \boldsymbol{\sigma} + \boldsymbol{f} = 0 \quad \text{in} \quad \Omega - \Gamma_t \tag{2}$$

where σ is the stress tensor and f is a body force. At points on the interface Γ_t , the balance of linear momentum reduces to the continuity of traction,

$$[[\boldsymbol{\sigma}]] \boldsymbol{n} = 0 \quad \text{on } \Gamma_t. \tag{3}$$

2.2. Constitutive model

Within the framework of small deformations, the total strain ϵ is additively decomposed into an elastic strain ϵ_e and a transformation strain ϵ_{tr} , i.e.,

$$\boldsymbol{\epsilon} = \boldsymbol{\epsilon}_e + \boldsymbol{\epsilon}_{tr} \; . \tag{4}$$

Neglecting rigid body rotations, the transformation strain is given as

$$\boldsymbol{\epsilon}_{tr} = \mathbf{0} \quad \text{in } \Omega^+ \quad \text{and} \quad \boldsymbol{\epsilon}_{tr} = \frac{1}{2} \left(\boldsymbol{b} \otimes \boldsymbol{m} + \boldsymbol{m} \otimes \boldsymbol{b} \right) \quad \text{in } \Omega^- \,.$$
 (5)



Figure 1. Description of the interface

The shape strain vector \boldsymbol{b} provides information about the unconstrained transformation shear and dilation in the martensite, measured with respect to the interface, and the vector \boldsymbol{m} corresponds to the normal vector of an unstressed austenite/martensite interface. The vectors \boldsymbol{b} and \boldsymbol{m} can be obtained based on crystallographic information. Furthermore, the constitutive relation for each phase is given as

$$\boldsymbol{\sigma} = \mathbb{C}^A \boldsymbol{\epsilon}_e \quad \text{in } \Omega^+ \quad \text{and} \quad \boldsymbol{\sigma} = \mathbb{C}^M \boldsymbol{\epsilon}_e \quad \text{in } \Omega^-$$
 (6)

where σ is the stress tensor and \mathbb{C}^A and \mathbb{C}^M are the elasticity tensors of austenite and martensite, respectively. Since the elastic properties of the two phases are different, the elastic strains are discontinuous across an interface.

In order to characterize the evolution of the interface, it is necessary to give an additional piece of constitutive information, namely a kinetic relation that relates the driving force f to the normal velocity V_n of the interface [ABE 90]. The driving force for a slowly-propagating interface, across which the stress is continuous, is given as

$$f = [[W]] - \boldsymbol{\sigma} \cdot [[\boldsymbol{\epsilon}]] \tag{7}$$

where W is the strain energy of the material, which, in view of (5) and (6), is given by

$$W(\boldsymbol{\epsilon}) = \frac{1}{2}\boldsymbol{\epsilon} \cdot \mathbb{C}^{A}\boldsymbol{\epsilon} \quad \text{in } \Omega^{+} \quad \text{and} \quad W(\boldsymbol{\epsilon}) = \frac{1}{2}(\boldsymbol{\epsilon} - \boldsymbol{\epsilon}_{tr}) \cdot \mathbb{C}^{M}(\boldsymbol{\epsilon} - \boldsymbol{\epsilon}_{tr}) \quad \text{in } \Omega^{-} . \tag{8}$$

The kinetic relation is formally given by a function V, defined for points on the interface, such that

$$V_n = \boldsymbol{V} \cdot \boldsymbol{n} = V(f) \tag{9}$$

where V is the velocity of the interface and n is the normal vector to the stressed interface (see Figure 1(a)). A specific form of kinetic relation (9) can be found in [HOU 99].

3. Description of the interface: level set

In order to represent the interface, we have chosen to use the level set method since it simplifies the mesh step and the geometrical data pre-processing [OSH 03]. The idea is to describe the interface as the zero level set of a smooth, scalar-valued function ϕ . The level set function ϕ can be defined as the signed distance function:

$$\Gamma_t = \{ \boldsymbol{x} \in \Omega : \phi(\boldsymbol{x}, t) = 0 \} \quad \text{with} \quad \phi(\boldsymbol{x}, t) = \pm \min_{\bar{\boldsymbol{x}} \in \Gamma_t} \| \boldsymbol{x} - \bar{\boldsymbol{x}} \| .$$
(10)

As shown in Figure 1(a), the function ϕ is chosen positive in Ω^+ (phase 1), negative in Ω^- (phase 2). The interface motion is governed by a Hamilton-Jacobi evolution equation, i.e.,

$$\phi(\boldsymbol{x}, t) + V_n \|\boldsymbol{\nabla}\phi(\boldsymbol{x}, t)\| = 0 \quad \text{in } \Omega .$$
(11)

In this expression $\phi(\boldsymbol{x}, t)$ represents the total time derivative of ϕ and V_n is the normal component of \boldsymbol{V} the interface velocity.

3.1. Weak formulation of the evolution equation

Equation (11) must be solved over the entire domain Ω . However, the velocity V_n is known only at the interface Γ_t . To circumvent this problem, the velocity field V_n can be extended to the domain Ω by using an interpolation process [OSH 03]. To this end, we formally extend V_n to the entire domain by introducing a function \hat{V}_n defined as

$$\hat{V}_n = V_n \delta(\Gamma_t) , \qquad (12)$$

where δ is the Dirac-delta distribution. In the weak formulation of the problem we transform the volume integral over the entire domain into a surface integral over the interface. So, the weak form of (11) is

$$\int_{\Omega} \eta \, \dot{\phi}(\boldsymbol{x}, \, t) \, d\Omega + \int_{\Omega} \eta \, \hat{V}_n \, \| \boldsymbol{\nabla} \phi(\boldsymbol{x}, \, t) \| \, d\Gamma = 0 \,, \quad \forall \eta \,, \tag{13}$$

where η is a test function. Equation (12) and the properties of the Dirac-delta distribution leads to the final form of the weak formulation, i.e.,

$$\int_{\Omega} \eta \, \dot{\phi}(\boldsymbol{x}, \, t) \, d\Omega + \int_{\Gamma_t} \eta \, V_n \, \| \boldsymbol{\nabla} \phi(\boldsymbol{x}, \, t) \| \, d\Gamma = 0 \,, \quad \forall \eta \,. \tag{14}$$

3.2. Space and time discretization

The level sets are approximated by finite elements $\phi(\mathbf{x}, t) = \mathbf{N}\Phi_t$ where **N** is matrix of the classical shape functions. Discrete expression of equation (14) can be written as

$$\mathbb{M}\,\dot{\boldsymbol{\Phi}_{t}} + \boldsymbol{f}_{\phi_{t}} = 0 \text{ with } \mathbb{M} = \int_{\Omega} \mathbf{N}^{T} \mathbf{N}\,d\Omega \text{ and } \boldsymbol{f}_{\phi_{t}} = \int_{\Gamma_{t}} \mathbf{N}^{T}\,V_{n} \|\boldsymbol{\nabla}\phi_{t}\|\,d\Gamma \,.$$
(15)

The time-dependent equation (15) is discretized in time using the (explicit) forward-Euler integration scheme to obtain

$$\boldsymbol{\Phi}_{t+\Delta t} = \boldsymbol{\Phi}_t - \Delta t \; \mathbb{M}^{-1} \boldsymbol{f}_{\phi_t} \tag{16}$$

where the subscript t denotes the time and Δt is the time step.

4. FEM, partition of unity and weak discontinuities

4.1. FEM and partition of unity

To describe weak discontinuities in the interior of elements, an "enhanced basis" is added to the classical finite element (polynomial) approximation by exploiting the partition of unity property [MEL 96]. Consequently, the approximation of the displacement field can be decomposed in two parts: the standard displacement field, composed of the classical shape functions N_i and degrees of freedom a_i connected to nodes i, and the enrichment part, composed of enrichment functions $N_j \psi_j$ and additional degrees of freedom b_j introduced at enriched nodes j, i.e.,

$$\boldsymbol{u}^{h}(\boldsymbol{x}) = \underbrace{\sum_{i \in \mathcal{I}} N_{i}(\boldsymbol{x}) a_{i}}_{\text{standard part}} + \underbrace{\sum_{j \in \mathcal{I}_{e}} N_{j}(\boldsymbol{x}) \psi_{j}(\boldsymbol{x}) b_{j}}_{\text{enriched part}}.$$
(17)

Here, \mathcal{I} represents the set of all nodes *i* while \mathcal{I}_e is the set of enriched nodes *j*, which are chosen such that the support of N_j is intersected by the interface Γ_t (Figure 1(b)).

4.2. Weak discontinuities

In view of the strain decomposition (4), the discontinuity in the total strain across the interface Γ_t is associated with (i) a discontinuity $[[\epsilon_e]]$ in the elastic strain and (ii) a discontinuity $[[\epsilon_{tr}]]$ in the transformation strain. From (5), the discontinuity on the transformation strain can be explicitly computed from the crystallography of the austenite and the martensite. The discontinuity on the elastic strain needs to be computed using a finite element formulation that utilizes enrichment functions to describe weak discontinuities. The derivatives of such functions must be discontinuous across the interface. A possible choice for ψ_j is to take the absolute value of the level set [BEL 01], although other possibilities can be found in [MO03]. This function has indeed discontinuous first derivatives at the interface. The approximation (17) can be written as

$$\boldsymbol{u}^{h}(\boldsymbol{x}) = \tilde{\boldsymbol{u}}(\boldsymbol{x}) + |\phi(\boldsymbol{x})|\hat{\boldsymbol{u}}(\boldsymbol{x}) , \qquad (18)$$

where, $\tilde{\boldsymbol{u}}(\boldsymbol{x}) = \sum_{i \in \mathcal{I}} N_i(\boldsymbol{x}) a_i$ and $\hat{\boldsymbol{u}}(\boldsymbol{x}) = \sum_{j \in \mathcal{I}_e} N_j(\boldsymbol{x}) b_j$. The gradient of this expression is clearly discontinuous and is given by

$$\nabla u^{h}(\boldsymbol{x}) = \nabla \tilde{u}(\boldsymbol{x}) + |\phi(\boldsymbol{x})| \nabla \hat{u}(\boldsymbol{x}) + sign(\phi) \hat{u}(\boldsymbol{x}) \otimes \nabla \phi(\boldsymbol{x})$$
(19)

Therefore the jump gradient at the interface is

$$[[\boldsymbol{\nabla}\boldsymbol{u}^{h}(\boldsymbol{x})]] = 2\hat{\boldsymbol{u}}(\boldsymbol{x}) \otimes \boldsymbol{\nabla}\phi(\boldsymbol{x}) = 2\|\boldsymbol{\nabla}\phi(\boldsymbol{x},t)\| \ \hat{\boldsymbol{u}}(\boldsymbol{x}) \otimes \boldsymbol{n} .$$
(20)

This relation introduces the jump in the gradient of the displacement as specified by the jump Hadamard condition (1).

4.3. Discrete expression

The enriched finite element approximation for the enriched displacement u^h and the enriched strain ϵ^h in an element Ω_e are defined as follows:

$$\boldsymbol{u}^{h} = \mathbf{N}_{\boldsymbol{e}} \boldsymbol{a}_{\boldsymbol{e}} \quad \text{and} \quad \boldsymbol{\epsilon}^{h} = \mathbf{B}_{\boldsymbol{e}} \boldsymbol{a}_{\boldsymbol{e}}$$
 (21)

where a_e is the vector of degrees of freedom of the element. N_e is the matrix of the shape functions (classical and enriched) and B_e is the matrix of the derivatives of the shape functions. We then obtain the following finite element expression of the problem:

$$\mathbb{K}a = \mathbf{f}_{ext} + \mathbf{f}_{tr} \tag{22}$$

with
$$\mathbb{K} = \int_{\Omega^+} \mathbf{B}^T \mathbb{C}^A \mathbf{B} \, d\Omega + \int_{\Omega^-} \mathbf{B}^T \mathbb{C}^M \mathbf{B} \, d\Omega; \ \mathbf{f}_{ext} = \int_{\Omega} \mathbf{N}^T \boldsymbol{f} \, d\Omega + \int_{\Gamma_p} \mathbf{N}^T \boldsymbol{t} \, d\Gamma$$

and $\mathbf{f}_{tr} = \int_{\Omega^-} \mathbf{B}^T \mathbb{C}^M \boldsymbol{\epsilon}_{tr} \, d\Gamma.$

The use of the present algorithm will be illustrated in a forthcoming publication.

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