

# Ultra-high performance supercritical fluid chromatography hyphenated to atmospheric pressure chemical ionization high resolution mass spectrometry for the characterization of fast pyrolysis bio-oils

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## 23 ABSTRACT

Extensive characterization of complex mixtures requires the combination of powerful 24 analytical techniques. A Supercritical Fluid Chromatography (SFC) method was previously 25 developed, for the specific case of fast pyrolysis bio oils, as an alternative to gas 26 27 chromatography (GC and GCXGC) or liquid chromatography (LC and LCxLC), both separation methods being generally used prior to mass spectrometry (MS) for the characterization of 28 29 such complex matrices. In this study we investigated the potential of SFC hyphenated to high resolution mass spectrometry (SFC-HRMS) for this characterization using Negative ion 30 31 Atmospheric Pressure Chemical ionization ((-)APCI) for the ionization source. The interface between SFC and (-)APCI/HRMS was optimized from a mix of model compounds with the 32 objective of maximizing the signal to noise ratio. The main studied parameters included both 33 34 make-up flow-rate and make-up composition. A methodology for the treatment of 35 APCI/HRMS data is proposed. This latter allowed for the identification of molecular formulae. 36 Both SFC-APCI/HRMS method and data processing method were applied to a mixture of 36 model compounds, first analyzed alone and then spiked in a bio-oil. In both cases, 19 37 compounds could be detected. Among them 9 could be detected in a fast pyrolysis bio-oil by 38 39 targeted analysis. The whole procedure was applied to the characterization of a bio-oil using helpful representations such as mass-plots, van Krevelen diagrams and heteroatom class 40 41 distributions. Finally the results were compared with those obtained with a Fourier Transform ion-cyclotron resonance mass spectrometer (FT-ICR/MS). 42

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#### 44 KEY WORDS

45 Ultra-High Performance Supercritical Fluid Chromatography; High Resolution Mass
46 Spectrometry; APCI source; Biomass fast pyrolysis; Bio-oil ; Complex samples

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#### 48 **1. Introduction**

Because of the necessity to develop new sources of energy for the future, the production of 49 50 second generation biofuels from lignocellulosic biomass seems to be a promising option, implying different ways of conversion [1]. One of them (fast pyrolysis) consists in liquefying 51 52 biomass by thermochemical process operating in the range of 400 to 450°C. This process 53 results in bio-oils, very rich in oxygen compounds, corrosive and thermally unstable. For 54 further uses as biofuels or bio-based products, upgrading is necessary which can be only 55 achieved if a detailed characterization is available. Recent publications present a 56 comprehensive overview of current analytical techniques used to characterize pyrolysis bio-57 oils [2-4]. It is pointed out in both papers that high resolution mass spectrometry (HRMS) has become the primary method for the analytical characterization of bio-oils, considering its 58 59 potential to determine both the molecular weights and the elemental compositions of thousands of bio-oil compounds [3]. Electrospray ionization (ESI) and Atmospheric Chemical 60 Ionization (APCI) are commonly applied ionization techniques, mostly operated in negative 61 ionization mode. According to Stas et al. [3], a distinct advantage of negative-ion APCI is that 62 63 it can detect some more unsaturated, less polar bio-oil compounds with higher carbon 64 numbers and m/z range not detectable by negative-ion ESI. In spite of its impressive analytical power, two key issues arise from the use of HRMS as single analytical technique. Those include 65 (i) the risk of matrix effects reducing the ionization yield and (ii) the impossibility of 66

differentiating the very large number of positional and structural isomers present in bio-oils.
However both issues may be theoretically overcome if an appropriate separation technique is
hyphenated to HRMS.

Compound identification by gas chromatography hyphenated with mass spectrometry (GC-70 71 MS) and quantification using gas chromatography and flame ionization detection (GC-FID) are commonly carried out [5]. Thanks to its high resolution power, GC and overall GCxGC make a 72 valuable contribution to the detailed characterization of complex matrices such as bio-oils. 73 74 Nearly 300 compounds could be identified by GC-MS or GCxGC-MS in fast pyrolysis bio-oils, 75 providing a wide range of chemical families including aldehydes, ketones, aromatic esters, carboxylic acids, alcohols, carbohydrates, furans, pyrans, phenols, benzenediols, 76 77 methoxyphenols, dimethoxyphenols [6]. However, without prior derivatization step, some 78 problems may occur with molecular structures higher than around 200 g/mol including (i) low 79 separation power with the presence of numerous coelutions even in GCxGC [4], (ii) very high retention for heavy compounds and (iii) thermal instability (e.g. for carbohydrates) leading to 80 compound degradation in the injection unit. In addition, there may be some identification 81 82 issues from usual data bases, in particular with polyfunctional and oxygenated compounds having a high number of carbon atoms. As a result, in spite of the high potential of GCxGC, 83 84 alternative separation techniques are strongly required in order to provide a more 85 comprehensive view on bio-oil composition.

86 Two-dimensional reversed phase liquid chromatography (RPLC) techniques were applied to 87 the analysis of the aqueous fraction of a bio-oil. It was found that RPLC x RPLC had the 88 potential of resolving up to 2000 peaks [7], highlighting the potential of this technique for the 89 comprehensive analysis of the aqueous phase of the bio-oil. Both photo diode array (PDA) 90 and MS detection were latter coupled to RPLC x RPLC and a more detailed analysis could be 91 obtained [8]. Finally, an orthogonal separation system was also recently proposed involving 92 both RPLC and, for the first time, SFC (RPLC x SFC) [9]. However in spite of promising results on the aqueous fraction, neither LC, nor SFC techniques were ever been applied to the 93 94 characterization of the whole bio-oil sample.

In this context we guessed that SFC hyphenated to HRMS could be a more versatile analytical technique, able to provide more comprehensive information on bio-oil composition. In a previous work [10], a SFC-UV method was developed with a view to later analyzing the whole sample by SFC-HRMS. The optimization of the separation parameters was directly performed on bio-oil sample in order to take into account the complexity of such a sample at the earliest
stage of method development [10]. Because of CO<sub>2</sub> decompression at the outlet of SFC device,
only atmospheric ionization sources such as ESI or APCI can be hyphenated to SFC. The use of
SFC-ESI/MS was often reported in different application fields with simple quad or high
resolution mass spectrometers [11–13]. The APCI source has been rarely used in SFC/MS but
recently proposed for the analysis of natural non-polar compounds [14].

105 Our choice for the APCI source was directed by the presence of components with a very large variety of chemical and physical properties (polarity, molecular weight, chemical functionality, 106 107 m/z range etc...). The selection of suitable interface parameters was based on an optimization procedure, presented in this study for the specific case of bio-oils. The large amount of data 108 109 generated in SFC-HRMS for complex sample analysis makes the use of specific software necessary, especially for non-targeted analysis as for bio-oils. We therefore developed a 110 111 home-made software for data processing. Its key features are presented here. The relevance of the whole approach is highlighted with a mixture of model compounds, analyzed alone and 112 spiked in complex bio-oil matrix. The obtained results regarding bio-oil composition are 113 114 discussed with the support of usual representations including mass-maps, van Krevelen diagrams and heteroatom class distribution. Finally, these results are compared to those 115 116 obtained with a Fourier Transform ion-cyclotron resonance mass spectrometer (FT-ICR/MS) 117 which is known to be the most powerful in terms of mass resolving power.

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#### 2. Materials and methods

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#### 2.1. Chemicals and sample preparation

Solvents (acetonitrile, methanol, water) were MS grade from Sigma Aldrich (Steinheim, Germany). Carbon dioxide SFC grade (99.97%) was purchased from Air Liquide (B50 bottle under pressure). Tetrahydrofuran (THF) was purchased from VWR (Fontenay sous bois, France).

36 model compounds were purchased from Sigma Aldrich (Steinheim, Germany). Their names and structures are listed in Table S1 of the supplementary information. The model mix was obtained by dissolving each compound in THF (200 mg/kg). The fast pyrolysis bio-oil was obtained from conifer sawdust, provided by IFP Energies nouvelles. It was diluted in THF (1/5 w/w) before analysis. The diluted bio oil was spiked with model compounds (200 mg/kg each). 131

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#### 2.2. UHPSFC-UV instrument and column

All experiments were performed on an Acquity UPC<sup>2</sup> instrument (Waters, Milford, MA, USA). 133 Key parameters (stationary and mobile phases, back pressure, column temperature and 134 gradient conditions) were optimized according to a procedure developed in a previous work 135 and based on the maximization of peak capacity [10]. The mobile phase flow-rate was 1.4 136 mL/min. The organic solvent modifier was a mix of acetonitrile and water (98/2 v/v). The oven 137 temperature was set at 30 °C. Back Pressure Regulator (BPR) was set at 150 bar. The injection 138 139 volume was 1  $\mu$ l. The column used was an Acquity BEH-2EP (100 x 3mm, 1.7 $\mu$ m). The mobile phase varied from 1% to 40 % of organic solvent modifier in 14 minutes. The injector needle 140 141 was washed with 600 µL of methanol after each injection. The column outlet was connected to a photo-diode array detector (PDA) equipped with a  $8\mu$ L high pressure UV cell (400 bars) 142 143 with a path length of 10 mm. The detection wavelengths varied between 210 and 400 nm with a resolution of 1.2 nm. The sampling rate was set at 40 Hz. The instrument control was 144 performed by Empower 3 software (Waters). 145

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#### 147 2.3. HRMS instrument

148 Mass spectra were obtained with an Ion Trap –Time of Flight (IT-ToF) instrument (Shimadzu, 149 Kyoto, Japan) equipped with an atmospheric pressure chemical ionization (APCI) source operated in negative mode. The resolution was 9385 for m/z=520.9095. Mass error was 5 ppm 150 151 with internal calibration and 20 ppm with external calibration using sodium formate clusters 152 to enlarge the range of calibration from 45 to 928 Th. MS parameters were optimized in order 153 to favor the detection of pseudo-molecular ions. Mass range was between 80 and 800 uma; accumulation time was set at 30 ms; nebulizing gas flow was 0.5L/min; drying gas pressure 154 155 was 100 kPa, both APCI and CDL temperatures were set at 250 °C while the heat block temperature at 280 °C. . The optimization of the interface between SFC and MS is presented 156 157 in the Result section.

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### 159 2.4. MS data processing

160 The APCI source mode was selected for this study. Corresponding MS data represent a large 161 amount of information and therefore require suitable data processing to achieve the 162 identification of a maximum of compounds. Starting from raw data, the obtained 163 chromatograms with MS (total ion current) or ultra violet (UV) detection were not sufficient 164 to get relevant information. Data were therefore represented using a 2D-colour plot (massmap) with information on retention time (x-axis), mass over charge m/z ratio (y-axis) and 165 intensity (color scale). Peak intensity was described by a logarithmical color gradient. However it is 166 167 important to note that peak intensities should not be directly compared since ionization yields strongly 168 depend on compound chemical structures. For each mass-map spot, there may be numerous 169 possible structures. As a result HRMS data were processed with an in-house software (so-170 called SFC/MS software in the rest of the study), in order to get accurate mass measurement 171 and hence a set of several formulae for each mass-map spot. This in-house software was 172 developed with the objective of (i) drawing and comparing mass-maps, (ii) being as universal as possible and (iii) maintaining the whole control regarding further identification procedure. 173 174 The file format is based on the widely used mzXML extension [15], allowing the use of a large 175 range of chromatographic (LC, LCxLC, SFC) and mass spectrometry (ToF, Orbitrap, FT-176 ICR/MS...) systems. For molecular formula calculation, the following parameters were used: elemental composition <sup>12</sup>C<sub>1-50</sub>, <sup>1</sup>H<sub>1-100</sub>, <sup>16</sup>O<sub>0-20</sub>, <sup>14</sup>N<sub>0-1</sub> (<sup>13</sup>C were also taken into account) ; mass 177 error inferior or equal to + 20 ppm ; H/C ratio = 0.2-3.1, O/C ratio = 0-1.8 ; N/C ratio = 0-1.3. 178 179 In case of several possible molecular formulae, the most likely one was selected so that a unique elemental composition ( $C_cH_hO_oN_n$ ) was assigned to a given m/z value. For each 180 181 molecular formula, a score was calculated based on both mass error and isotopic data 182 (equally) and the molecular formula having the highest score was selected. In addition, due to the fact that the elution of a given compound can take a few seconds, the corresponding data 183 184 were lumped together which could avoid the risk of double identification. To validate the identification procedure, a mixture of 36 model compounds (Table S1 of the supplementary 185 information) was analyzed alone and spiked in a bio-oil in order to point out possible matrix 186 effects which could hinder the identification procedure. The concentration of each compound 187 188 was 200 mg/kg in tetrahydrofuran (THF). The objective was to find, in both cases, the correct 189 molecular formula for each model compound.

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#### 191 2.5. FT-ICR/MS instrument

The FT-ICR/MS instrument used for comparison with SFC-HRMS analysis was a Thermo Scientific LTQ FT Ultra (Bremen, Germany) composed of a linear ion trap and an ioncyclotron resonance cell in a 7 Tesla superconducting magnet. Sample was diluted in methanol (1:50 ; 195 v:v) prior to the injection by infusion mode (5 $\mu$ L/min) and ionized by ACPI mode. The number 196 of microscans were set at 8 and 50 scans were accumulated. Data treatment was achieved 197 with an in-house software called KendrickInside. For molecular formula calculation, the 198 following parameters were used: elemental composition  ${}^{12}C_{1-50}$ ,  ${}^{1}H_{1-100}$ ,  ${}^{16}O_{0-20}$ ,  ${}^{14}N_{0-1}$  ( ${}^{13}C$  were 199 also taken into account) ; mass error lower or equal to + 5 ppm.

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#### 3.1. Optimization of SFC-(-)APCI-HRMS interface

3. RESULTS AND DISCUSSION

205 With an APCI ionization source as used in this study, the mobile phase is under atmospheric 206 pressure when entering the source, which results in CO<sub>2</sub> decompression in the introduction capillary. The resulting  $\ensuremath{\text{CO}}_2$  evaporation makes the compounds concentrated in the liquid 207 solvent (co-solvent). There may be therefore a risk of sample precipitation in the capillary, 208 especially when the concentration of organic modifier in the mobile phase is low, for instance 209 210 in starting gradient conditions. To prevent this from occurring, an additional pump can be used 211 to deliver an additional amount of liquid solvent. Such device (so called Isocratic Solvent 212 Manager – ISM), as proposed by Waters for our UHPSFC instrument, enables to add the CO<sub>2</sub>miscible make-up solvent (i.e. methanol) to the mobile phase via a T-union. Fig.1 shows the 213 214 interface configuration (delimited by a frame) which also includes a second zero-dead volume 215 T-union designed to split the flow coming from the first T-union in such a way that a fraction 216 of the total flow is directed towards BPR device and the other one towards MS. Adding a protic 217 solvent is also intended to improve the ionization yield by promoting charge exchange. However, with such interface configuration and the present APCI-IT-ToF-MS instrument, the 218 219 MS signal was not stable enough, suggesting that the amount of solvent entering the APCI 220 source was too low. A second make-up pump had therefore to be added along with a third zero-dead volume T-union to increase the flow-rate entering the APCI source as further 221 discussed. The following discussion presents a theoretical approach to explain the limitation 222 223 encountered with the commercially available interface and the procedure we used to optimize 224 the second make-up conditions (flow-rate and solvent composition).

The solvent flow-rate entering the ionization source should be adapted according to the ionization source specificity. That requires that its value could be reliably predicted, depending 227 on SFC parameters and interface conditions. Theoretically, it is possible to predict the solvent 228 flow-rate, knowing the pressure drop in the tubing, the flow-rates delivered by both SFC pump 229 and ISM, the tubing geometry and the concentration of organic solvent in the mobile phase. 230 According to the Poiseuille-Hagen law, the pressure drop in the tubing is given by

231 
$$\Delta P = \frac{128\,\eta}{\pi} \times R \,\times F \tag{1}$$

232 Where F, is the flow-rate through the tubing, R, a term taking into account the tubing 233 dimensions ( $R = L/d^4$ , L and d being the tubing length and diameter respectively) and  $\eta$ , the 234 viscosity of the fluid (i.e. the fluid composed of CO2 and organic solvents coming from both 235 SFC and make-up pumps).

236 The total flow-rate,  $F_T$ , prior to the second T-union is given by

$$F_T = F_{MS} + F_W \tag{2}$$

where,  $F_{MS}$  and  $F_{W}$  are the flow-rates after the splitter, towards MS and the waste.  $F_{T}$  is also given by the sum of flow-rates entering the first T-union:

$$F_T = F_{SFC} + F_{Pump 1} \tag{3}$$

where,  $F_{SFC}$  and  $F_{pump 1}$  are the flow-rates delivered by SFC pump and Pump #1 respectively. As shown in Fig 1, the section between the second T-union and the ionization source, is composed of two different tubes (blue and red in Fig.1) connected by a zero dead volume union. The red one diameter being significantly larger than the blue one (175µm vs 50µm), the pressure drop involved may not be considered in the calculations. Considering the same pressure drop in the two paths located after the splitter (second T-union),  $F_{MS}$  can be calculated according to

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$$F_{MS} = \frac{\frac{\Delta P_{BPR} \times \pi}{128 \, \eta} + R_W \times F_T}{(R_w + R_{MS})}$$
(4)

 $\Delta P_{BPR}$  is the back pressure due to BPR.  $R_W$  and  $R_{MS}$  (Eq.1) relate to the capillaries located between the second T-union and BPR and between the second T-union and MS inlet respectively (the pressure drop in the tube located between the third T-union and MS inlet was low enough to be not taken into account).

253 The fraction, X<sub>s</sub>, of solvent after the first T-union is given by

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$$X_s = \frac{X_{s,SFC} \times F_{SFC} + F_{Pump \, 1}}{F_T}$$
(5)

where  $X_{s,SFC}$  is the volume fraction of solvent in SFC mobile phase. Finally, by combining Eqs. 4 and 5, the predicted solvent flow-rate entering the MS source can be calculated according to

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$$F_{S,MS} = \frac{X_{s,SFC} \times F_{SFC} + F_{pump \, 1}}{F_T} \times \frac{\frac{\Delta F_{BPR} \times \pi}{128 \, \eta} + R_W \times F_T}{(R_w + R_{MS})} + F_{Pump \, 2}$$
(6)

258 With  $F_{Pump 2}$  being the solvent flow-rate delivered by Pump #2 (Fig.1). Eq.6 can be considered 259 as valid provided that (i) the fluid viscosity can be accurately assessed, (ii) the fluid viscosity is 260 constant along the tube located between the second and the third T-union in spite of  $CO_2$  261 decompression; (iii) the tubing dimensions are reliable and (iv) the solvent fraction, X<sub>s</sub>, is 262 maintained after flow-splitting. Flow-rate predictions can be inaccurate if one or more of these conditions are not fulfilled. We therefore compared some experimental measures to the 263 predicted values given by Eq.6 in order to assess the validity of this theoretical approach. The 264 measures were carried out without Pump 2 ( $F_{Pump 2} = 0$ ) with acetonitrile (ACN) as co-solvent 265 and methanol as make-up solvent. The make-up flow was varied from 200 to 1500  $\mu$ L/min. 266 267 SFC mobile phase conditions were those optimized in a previous study [10] and described in the experimental section. Two different co-solvent concentrations were considered, 268 269 corresponding to initial and final gradient compositions (i.e. 1% ACN and 40% ACN). Flow-rate measurements were performed according to a method previously described [16]. Fluid 270 271 viscosity values were estimated based on experimental correlations proposed by Ouyang [17], 272 recently applied to SFC-MS with methanol as co-solvent [16] and adapted to binary mixtures 273 of acetonitrile and methanol. As illustrated in Fig.2, showing the variation of solvent flow 274 entering MS with Pump #1 flow, experimental and predicted values are in very good 275 agreement for the two studied co-solvent compositions (i.e. 1% ACN and 40% ACN), thereby 276 validating our theoretical approach. Fig.2 also shows that an increase in the make-up flow (containing MeOH) or in ACN concentration in SFC mobile phase, increases the solvent flow-277 278 rate entering the ionization source. However both curves tend towards the same constant 279 value of nearly 300  $\mu$ L/min which was found to be the threshold value to get a stable signal 280 with the APCI source. The first option to increase the solvent flow could be to change the 281 restriction capillary dimensions (red one in Fig. 1). As theoretically shown in Fig. 3a for a mobile 282 phase composition of 1% ACN, a reduction of the capillary length from 75 to 45 cm should 283 lead to an increase in solvent flow from 230 µL/min to 380 µL/min, for a make-up flow of 500 284  $\mu$ L/min. Meanwhile, the split ratio increases from 0.45 to 0.72 as illustrated in Fig. 3b. For a 285 given capillary length, Fig.3 clearly shows that increasing the make-up flow slightly increases 286 the solvent flow entering MS but strongly decreases the split ratio and hence the signal intensity in case of mass flow dependent detectors such as APCI-MS as also discussed 287 288 elsewhere [18]. In summary, the first option could be the use of a restriction capillary with 45 289 cm length (instead of 75 cm proposed in the commercial interface) at a make-up flow of 290 500µL/min.

The second option considered in the present study involved no change in the commercially available interface but the addition of a second make-up solvent prior to MS inlet (Pump #2). 293 The advantage of this second option lies in the fact that optimizing, for this second make-up, 294 both solvent flow-rate and solvent composition, should provide more versatile solutions depending on the type of complex sample and also depending on the polarity of APCI 295 ionization source. The selection of the type of solvent entering APCI source may be of first 296 297 importance to make easier the charge exchange between analytes and nitrogen plasma around the Corona needle. Water is usually recommended as additional solvent to enhance 298 the ionization yield with an APCI source. Accordingly, a mixture of water and MeOH was 299 considered within a composition range between 35/65 and 65/35 (water/MeOH, V/V). The 300 301 solvent flow-rate was studied in the range 100-300  $\mu$ L/min. Both ranges were found to be suitable in terms of both signal intensity and signal stability from a preliminary study with 15 302 model compounds detected in (-)APCI/HRMS (see Table 1). Model compounds were selected 303 304 according to published studies on bio oil matrices and so that their retention times covered 305 the whole retention space. 9 experiments well distributed among the parameter space were carried out with the proposed commercial interface at a make-up #1 flow of 500µL/min. For 306 307 each of the 15 compounds, the signal-to-noise ratio, obtained with a given set of conditions 308 was normalized with respect to the 9 sets of conditions, thereby providing a radar plot and a corresponding delimited area as shown in Fig. 4a. The calculated response function 309 310 represented the fraction of the space occupied by the colored area and therefore varied 311 between 0 and 1. The response function was fitted with a polynomial function. The resulting 312 response surface in Fig.4b shows that the highest response values correspond to low flow-313 rates and high water concentrations. The response surface is curved with minimum response 314 values at intermediate solvent compositions (i.e. around 50% water) which supports the 315 necessity to optimize. It is important to note that optimization results are expected to be fully dependent on the analytes and it is therefore essential to carefully choose model compounds 316 317 in accordance with the studied complex matrix and, if possible, with their retention times well 318 distributed among the separation space as done in the present study.

Finally, our optimized conditions consisted in keeping the proposed commercial interface with a make-up solvent #1 composed of methanol at a flow-rate of 500  $\mu$ L/min and a make-up solvent #2 composed of 65% water and 35% MeOH at a flow-rate of 100 $\mu$ L/min.

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## 3.1. SFC-(-)APCI/HRMS results for model compounds

The analysis of complex samples such as biomass fast pyrolysis bio-oils by SFC-HRMS 324 325 generates a huge amount of data that are not easy to process without dedicated software. We therefore built our own SFC/MS software as described in Materials and methods Section. 326 This software was designed to attribute a molecular formula to each mass peak detected 327 328 during the SFC run. The applied procedure was carried out according to the following golden rules suggested by Kind et al. [19] for filtering molecular formulae obtained by accurate mass 329 330 spectrometry: (i) use any information about the sample (e.g. the major elements present and their relative abundance); (ii) use isotopic distribution around pseudo molecular ion signal; 331 332 (iii) limit the number of heteroatoms in the molecular formula; (iv) use the ratios H/C and heteroatom/C to reduce the number of possibilities. The SFC/MS software was challenged 333 with the SFC(-)APCI/HRMS analysis of a mixture containing 36 model compounds (see Table 334 335 S1 in Supplementary Information), first dissolved in THF and then spiked in a bio-oil sample in 336 order to highlight possible matrix effects which could reduce the ionization yield and hence could alter the quality of information. The results are displayed in Figs. 5a and 5b respectively, 337 338 with base peak chromatogram (BPC) at the bottom and mass map at the top. For model 339 compounds alone (Fig.5a), 15 peaks can be observed, well distributed across the separation. However 19 peaks were detected in (-)APCI/HRMS, suggesting that some model compounds 340 341 were not separated in SFC (i.e peaks #2, #3 and #4; #5 and #6; #8 and #9 as can be seen in Fig.5b). The mass map generated by SFC/MS software allowed to add a third dimension 342 343 corresponding to the mass over charge ratio (m/z). From these data, a unique molecular 344 formula was proposed for each of the 19 detected compounds. Compound names, retention 345 times, measured masses, molecular formulae resulting from SFC/MS calculation and 346 corresponding mass errors are listed in Table 1. It is interesting to notice that, for each 347 detected molecule, the accurate mass measurement allowed to propose the expected 348 molecular formula, thereby leading to unambiguous molecular identification. For the sample 349 composed of model compounds spiked in the bio-oil, the same 19 molecules could be detected and their molecular formulae identified in spite of possible matrix effects due to the 350 presence of a very large number of components in bio-oil samples. By showing no effect of 351 352 the bio-oil matrix on the ionization yield, these results ensure the suitability of the proposed 353 method for formula identification.

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3.2. SFC-(-)APCI/HRMS results for a biomass fast pyrolysis oil

Similarly, a bio-oil was analyzed with the same optimized conditions, using the same procedure. The results in terms of BPC chromatogram and mass map are shown in Fig.5c. These results give some valuable insights:

(i) The mass range (m/z) seems to be mainly between 150 and 400 uma which points out the
complementarity of SFC and GCxGC which is known to provide a mass range rather between
0 and 200 uma [6].

(ii) The separation space is well occupied by the components except in the first part of the chromatogram corresponding to the isocratic step. This is not supported by UV detection which allowed to observe a large number of peaks in this first part [10] (see Fig. S1 in supplementary Information). Such peaks detected in UV but not detected in (-)APCI correspond to components, such as furans or non-aromatic ketones that are not easily ionized in APCI source. A complementary analysis with positive ionization could bring additional information on compounds that are more prone to favor the formation of  $[M + H]^+$  ions.

(iii) From MS spectra resulting from SFC-(-)APCI/HRMS bio-oil analysis, 1379 molecular 369 370 formulae could be proposed by our LC/SFC software. Among them, those corresponding to a 371 model compound detected were investigated and 12 molecular formulae were found. They 372 are listed in Table 2 along with their corresponding information (mass errors, retention times 373 of both model compounds and similar molecular formulae found in the bio-oil). The difference 374 in retention times (Table 2) allowed us to assess the degree of fit that the bio-oil compound 375 had relative to the model compound. Based on a difference lower than 0.1 min, 7 model 376 compounds (numbered in Table 1) or their positional isomers were strongly suspected to be 377 present in the studied bio-oil: isoeugenol (#4), methoxynaphtol (#6), vanillin (#7), 378 coniferaldehyde (#8), catechol (#12), vanillic acid (#16) and sinapic acid (#19). Moreover 5 379 model compounds that could be detected either alone or spiked in the bio-oil could not be 380 detected in the bio-oil at their expected retention times. However their molecular formulae 381 were identified at retention times significantly different, suggesting the presence of structural 382 isomers.

(iv) Such mass maps could be easily used as characteristic fingerprints of complex samplesallowing for in depth comparison of different samples.

The presence of different structural and/or positional isomers in the bio-oil was confirmed by a list of molecular formulae (Table 3) that were identified at different retention times. This result supports the fact that SFC can be a powerful analytical tool to discriminate compounds having the same molecular formulae but different retention times, which is not possible with
any direct HRMS analysis in direct infusion mode (i.e. without prior separation).

The heteroatom class distribution, with oxygen families ranging from  $O_1$  to  $O_{15}$  and nitrogen 390 family O<sub>x</sub>N<sub>1</sub>, is presented in Fig.6 for three equal parts of the SFC separation. Such data 391 392 representation is often used with HRMS analysis. As can be observed and already highlighted, very few components could be detected in the first part of the separation. Although relative 393 abundance distributions strongly depend on ionization conditions as well as on bio-oil 394 395 properties, it can be observed that O<sub>11</sub> to O<sub>15</sub> families (most oxygenated compounds) were 396 mainly detected in the third part while  $O_2$  to  $O_6$  families were more intense in the second part which is consistent with expected retention in SFC on a polar stationary phase (i.e. Acquity 397 398 BEH-EP)

399

3.1. Comparison of SFC-HRMS and FT-ICR/MS analysis of a biomass fast pyrolysis oil 400 In order to have a clear idea about how the proposed analytical technique can be 401 complementary to modern HRMS techniques offering very high resolving power, we 402 403 compared SFC-HRMS to FT-ICR/MS (Fourier transform-ion cyclotron resonance mass spectrometry) for the specific case of bio-oil analysis. Since several years, HRMS techniques 404 405 alone are being increasingly used to describe the composition of biomass fast pyrolysis oils [3]. In particular, FT-ICR/MS has gained in interest over the past ten years, providing key 406 407 information in terms of m/z ratios, molecular formulae and double bound equivalent (DBE) 408 for compounds being detected essentially by electrospray ionization source, and more 409 scarcely by APPI [20-25]. In this work, the studied bio-oil was also analyzed by FT-ICR/MS using 410 an APCI source in negative mode and the resulting data were compared with those obtained in SFC-(-)APCI/HRMS. A very large number of peaks (i.e. 3949 identified molecular formulae) 411 412 were detected by FT-ICR/MS, illustrating its huge sensitivity compared to SFC-IT-TOF/MS (i.e. 413 1379 identified molecular formulae). However it is interesting to notice that among all the molecular formulae identified by FT-ICR/MS and SFC/MS, only 835 were common to both 414 415 techniques. That underlines the great benefit of SFC prior to HMRS which enables the 416 separation of several positional or structural isomers (as shown in Table 3) while direct 417 injection in FT-ICR/MS cannot differentiate them, leading to the same molecular formula if no additional structural data are provided. 418

419 It should be noted that, similarly to SFC-HRMS, the results obtained in FT-ICR/MS must only 420 be used for qualitative analysis due to the dependence of the response factor on the compound. This also implies that any attempt to compare FT-ICR/MS and SFC-HRMS data 421 must be done with caution. However the heteroatom class distributions might be compared 422 423 in terms of their relative abundance. It appears in Fig.7 that both distributions are different although the ionization source (i.e. (-)APCI) was the same. Our (-)APCI/FT-ICR/MS results are 424 quite consistent with reported studies dealing with (-)ESI/FT-ICR/MS in which distributions 425 426 were focused on  $O_3$  to  $O_8$  families [22,26-28]. The comparison of both heteroatom class 427 distributions (Fig.7) indicates that same ranges of O<sub>x</sub> families are covered by FT-ICR/MS and 428 SFC-HRMS, with a clear benefit of SFC-HRMS to specifically analyze molecules having low number of oxygen atoms (O1-O3), suggesting that SFC separation prior to HRMS detection 429 430 greatly enhances the detection of such species by preventing from strong ion suppression 431 which may occur when the whole bio-oil is directly introduced in FT-ICR/MS. Indeed some reported studies on different biomass products have proved that polar analytes are much more 432 433 affected by matrix effects than nonpolar ones [29,30]. Furthermore the relative intensity for O<sub>12</sub> 434 to O<sub>15</sub> families seems to be higher in SFC-HRMS than in FT-ICR. These results also suggest that a better ionization yield can be achieved in SFC-HRMS for these highly-oxygenated 435 436 compounds, thereby still supporting the fact that the separation prior to HRMS can be very 437 useful.

438 Another interesting way to present the results and to get relevant information about bio-oil 439 composition consists in drawing van Krevelen diagram, based upon elemental formulae, in the 440 form of a dot matrix representing H/C ratio versus O/C ratio (Fig.8). These ratios are 441 characteristic of a compound class which can be identified by a delimited area in the diagram. As underlined by Stas et al. [3], this diagram can be used to evaluate (1) the abundance of 442 443 compounds from different classes and (2) the correlation between compounds from different 444 classes. Both van Krevelen diagrams derived from SFC-(-)APCI/MS (Fig. 8a) and FT-ICR/MS (Fig. 8b) data are in good agreement. Detected species are intensively focused within areas 445 usually dedicated to phenolics (i.e. O/C = 0-0.6; H/C = 0.5-1.5) and carbohydrates (i.e. O/C =446 447 0.6-1.1; H/C > 1.5). This shows that a high number of compounds exhibiting a medium polarity 448 are present in the studied bio-oil and can be detected by (-) APCI.

449

#### 450 **4. Conclusion**

This study presents the first detailed characterization of a bio-oil by SFC hyphenated to HRMS with negative ion APCI as ionization source. The interface between SFC and (-)APCI/HRMS was optimized for a specific commercial equipment with a procedure that can be applied in the future to any ionization source and any commercially available equipment provided that tubing geometry are known and model compounds are available.

As shown, this coupling can be a valuable technique for assessing bio-oil composition and an alternative and complement to more usual methods such as HRMS alone or GCxGC-MS. It was pointed out that some model compounds could not be detected by using the single negative ion APCI as ionization technique, suggesting that additional ionization techniques (i.e. APCI in positive mode and ESI in positive and negative modes) should be combined to achieve a more comprehensive bio-oil analysis.

In spite of very attractive analytical possibilities due to its very high resolving power, FTICR/MS alone cannot permit the distinction between positional and structural isomers which
can be abundant in complex samples such as bio-oils as highlighted in this study. Moreover, a
clear reduction of signal intensity, likely due to matrix effects, was pointed out in FT-ICR/MS.

466 Overall, SFC-HRMS is a very promising analytical tool for the analysis of complex chemical 467 samples. The proposed mass-maps as characteristic fingerprints could be useful for in-depth 468 comparison of complex samples. Finally, considering the ability of SFC to both separate 469 isomers and reduce matrix effects, its hyphenation to high resolution mass spectrometry can 470 provide an access to a large number of detailed data, mandatory to go further on complex 471 sample characterization.

- 472
- 473

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576

#### 577 Figure captions

Figure 1 : Schematic representation of the interface used for hyphenation of SFC to APCI/ITTOF/MS. The proposed commercial interface is delimited by the dotted frame. T1, T2 and T3
represent the 3 zero-dead volume T-unions.

581

Figure 2 : Variation of solvent flow entering MS source as a function of Pump #1 flow-rate for two different ACN compositions in the mobile phase (1%ACN and 40%ACN). Theoretical and experimental curves are represented by solid and dotted lines respectively. Conditions : Waters interface (see Fig.2); mobile phase flow-rate : 1.4mL/min; BPR 150 bar; 30°C.

586

Figure 3 : Theoretical variation of (a) solvent flow entering MS source and (b) split ratio, as a
function of both Pump #1 flow-rate and restriction capillary length (i.d. 50µm) with 1%ACN as
co-solvent. Same other conditions as in Fig.2

590

Figure 4 : Illustration of the response function calculation and its variation depending on solvent make-up #2 conditions. (a) Radar plots representing the normalized signal-to-noise ratio for 15 model compounds (see Table 1 for the numbering) obtained with a given set of conditions. The response function is the fraction of the space occupied by the blue colored area (b) Response function versus both the Pump #2 flow and the composition of solvent.

596

597 Figure 5 : Mass maps and Base Peak Chromatograms of (a) model mix; (b) spiked bio-oil sample 598 and (c) bio-oil sample analyzed in SFC-(-)APCI/HRMS. Detected model compounds are 599 numbered in the different figures. (see Table 1 for analytical results) . Chromatographic 600 conditions are given in Materials and methods Section.

601

Figure 6 : Heteroatom class distributions for the first (blue), second (red) and third part (green)
of the SFC separation derived from (-) APCI/HRMS mass spectra. Sample: fast pyrolysis bio-oil.
SFC and MS conditions given in Materials and methods Section.

605

- Figure 7 : Comparison of heteroatom class distributions between SFC-(-)APCI/HRMS and FTICR/MS, both with negative ion APCI as ionization source. Conditions given in Materials and
  methods Section.
- 609
- Figure 8 : Comparison of the van Krevelen diagrams (H/C vs O/C) of a bio-oil, obtained from
- 611 (a) (-)APCI/FT-ICR/MS and (b) SFC-(-)APCI/HRMS data. Each dot corresponds to an identified
- 612 molecular formula with color related to its relative abundance. Fast pyrolysis bio-oil sample.
- 613 SFC and MS conditions given in Materials and methods Section.



Figure 1



Figure 2





Figure 3



Figure 4



Figure 5



Figure 6







Figure 8

# Table 1 : List of 19 (among 36) model compounds detected in SFC-(-)APCI-HRMS and their corresponding results obtained from SFC/MS software. See experimental section for SFC and MS conditions.

	IUPAC name	Usual name	Retention time (min)	Accurate weight [M-H]-	Molecular formula	Mass error Δm (ppm)
1	2,6-ditertbuthyl-4methylphénol	2,6-ditertbuthyl-4methylphénol	0.597	219.1765	C <sub>15</sub> H <sub>24</sub> O <sub>1</sub>	-0.634
2	2,6-Dimethylphenol	Xylenol	1.478	121.0685	$C_8H_{10}O_1$	-14.773
3	2,4,6-trimethylphenol	Trimethyl phenol	1.595	135.079	C <sub>9</sub> H <sub>12</sub> O <sub>1</sub>	-19.936
4	2-methoxy-4-[(E)-prop-1-enyl]phenol	Isoeugenol	1.595	163.0792	$C_{10}H_{12}O_2$	-18.015
5	1,3-Dimethoxy-2-hydroxybenzene	Syringol	2.532	153.0582	$C_8H_{10}O_3$	8.378
6	2-Methoxy-1-naphthol	Methoxy-naphtol	3.137	173.0628	$C_{11}H_{10}O_2$	19.051
7	4-hydroxy-3-méthoxybenzaldéhyde	Vanillin	6.062	151.0425	C <sub>8</sub> H <sub>8</sub> O <sub>3</sub>	19.414
8	8 (E)-3-(4-hydroxy-3- methoxyphenyl)prop-2-enal Coniferaldehyde		8.157	177.0589	$C_{10}H_{10}O_3$	8.937
9	4-hydroxy-3,5- diméthoxybenzaldéhyde	Syringaldehyde	8.217	181.056	$C_9H_{10}O_4$	5.345
10	4-Benzylphenol	Hydroxy-diphenylmethane	8.622	183.0841	C <sub>13</sub> H <sub>12</sub> O <sub>1</sub>	13.991
11	Naphtalén-1-ol	Naphtol	8.757	143.0516	$C_{10}H_8O_1$	14.412
12	Benzene- 1,2-diol	Catechol	9.893	109.03	$C_6H_6O_2$	-2.779
13	9-Phenanthrenol	Phenantrol	10.438	193.0691	$C_{14}H_{10}O_1$	17.670
14	(2E)-3-Phenylprop-2-enoic acid	Trans-cinnamic acid	11.625	147.0435	C <sub>9</sub> H <sub>8</sub> O <sub>2</sub>	-11.242
15	4-benzylbenzene-1,3-diol	Benzyl-resorcinol	14.3	199.0779	$C_{13}H_{12}O_2$	-9.811
16	4-Hydroxy-3-methoxybenzoic acid	Vanillic acid	14.553	167.038	C <sub>8</sub> H <sub>8</sub> O <sub>4</sub>	-14.861
17	(E)-3-(4-hydroxy-3-methoxy- phenyl)prop-2-enoic acid	Ferulic acid	15.418	193.0539	$C_{10}H_{10}O_4$	-8.974
18	4-Hydroxy-3,5-dimethoxybenzoic acid	Syringic acid	15.877	197.0459	C <sub>9</sub> H <sub>10</sub> O <sub>5</sub>	1.792
19	3-(4-hydroxy-3,5- dimethoxyphenyl)prop-2-enoic acid	Sinapic acid	16.3	223.0656	$C_{11}H_{12}O_5$	15.256

Table 2: List of molecular formulae corresponding to model compounds (listed in Table 1) and identified in the bio-oil sample. The difference in retention times allows to assess the degree of fit that a compound found in the bio-oil has relative to a model compound.

		Mc comp	odel ounds	Bio oil sample		<b>⊿ (tr)</b> <sup>(d)</sup>	
Molecular formula (n) <sup>(a)</sup>	Accurate mass [M-H] <sup>-</sup>	tr <sup>(b)</sup>	<b>⊿m</b> <sup>(c)</sup>	tr <sup>(b)</sup>	<b>⊿m</b> <sup>(c)</sup>	(min)	
C <sub>10</sub> H <sub>12</sub> O <sub>2</sub> (4)	163.0792	1.59	18.01	1.58	13.16	0.01	
C <sub>11</sub> H <sub>10</sub> O <sub>2</sub> (6)	173.0628	3.05	19.05	3.10	17.31	0.05	
C <sub>8</sub> H <sub>8</sub> O <sub>3</sub> (7)	151.0425	5.43	19.41	5.49	17.42	0.06	
C <sub>10</sub> H <sub>10</sub> O <sub>3</sub> (8)	177.0589	8.11	8.93	8.06	11.76	0.05	
C <sub>9</sub> H <sub>10</sub> O <sub>4</sub> (4)	181.0560	8.11	5.34	3.67	15.83	4.44	
C <sub>6</sub> H <sub>6</sub> O <sub>2</sub> (12)	109.0300	9.90	2.78	9.90	13.78	0.00	
C <sub>14</sub> H <sub>10</sub> O <sub>1</sub> (13)	193.0691	10.44	17.67	19.61	18.07	9.17	
C <sub>9</sub> H <sub>8</sub> O <sub>2</sub> (14)	147.0435	11.60	11.24	10.68	11.20	0.92	
C <sub>8</sub> H <sub>8</sub> O <sub>4</sub> (16)	167.0380	14.32	14.86	14.39	9.08	0.07	
C <sub>10</sub> H <sub>10</sub> O <sub>4</sub> (17)	193.0539	15.42	8.97	5.94	15.89	9.48	
C <sub>9</sub> H <sub>10</sub> O <sub>5</sub> (18)	197.0459	15.80	1.79	10.37	11.40	5.43	
C <sub>11</sub> H <sub>12</sub> O <sub>5</sub> (19)	223.0656	16.26	15.26	16.31	5.84	0.05	

(a) : model compound number (as in Table 1)

(b) : retention times (min)

(c) : mass error (ppm)

(d) : difference in retention times (min) between model compound and similar bio-oil molecular formula

Molecular formula	Retention times				Unitary mass
		(m	nin)		(uma)
$C_{10} H_{10} O_2$	10.34	14.71	16.51		162
$C_{10} H_{12} O_2$	1.56	19.91			164
$C_{10} H_{10} O_3$	8.13	8.46	6.61		178
$C_{10} H_{12} O_3$	5.19	10.47			180
C <sub>11</sub> H <sub>12</sub> O <sub>4</sub>	6.37	14.68			208
$C_{16} H_{16} O_4$	8.78	9.95			272
$C_6 H_{10} O_5$	14.67	15.84			162
$C_{20} H_{24} O_5$	11.2	13.62	15.33		344
C <sub>8</sub> H <sub>12</sub> O <sub>6</sub>	13.31	15.95			204
C <sub>14</sub> H <sub>18</sub> O <sub>6</sub>	11.62	11.85	17.54		282
C <sub>16</sub> H <sub>16</sub> O <sub>6</sub>	9.9	14.81			304
C <sub>20</sub> H <sub>26</sub> O <sub>6</sub>	16.89	18.24			362
C <sub>7</sub> H <sub>10</sub> O <sub>7</sub>	14.61	14.78	15.8		206
C <sub>12</sub> H <sub>16</sub> O <sub>7</sub>	9.4	16.74			272
C <sub>13</sub> H <sub>20</sub> O <sub>7</sub>	12.15	17.95			288
C <sub>13</sub> H <sub>18</sub> O <sub>8</sub>	12.1	13.19	15.36		302
$C_9 H_{20} O_9$	8.81	15.01			272
C <sub>12</sub> H <sub>22</sub> O <sub>9</sub>	8.56	10.77			310
$C_{10} H_{20} O_{10}$	9.12	13.01	14.51	15.7	300
$C_{10} H_{22} O_{10}$	11.34	13.89	15.19		302
$C_{11} H_{22} O_{10}$	10.87	12.45	15.58	16.63	314
$C_{11} H_{24} O_{10}$	11.3	18.24			316
$C_{12} H_{20} O_{10}$	8.38	10.97	14.65		324
$C_{12} H_{22} O_{10}$	10.35	12.69	14.34	16.21	326
$C_{13} H_{22} O_{10}$	10.7	10.77	12.18		338

Table 3: List of molecular formulae identified at several different retention times for a fast pyrolysis bio-oil in SFC-(-)APCI-HRMS. See experimental section for SFC and MS conditions.

# Supplementary information for

Ultra-high performance supercritical fluid chromatography hyphenated to atmospheric pressure chemical ionization high resolution mass spectrometry for the characterization of fast pyrolysis bio-oils.

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IUPAC name	Structure	С	Н	0	Accurate mass (uma)
Furan	$\langle \rangle$	4	4	1	68.0262
Anisol	O-CH3	7	8	1	108.0575
2,6-ditertbuthyl- 4methylphenol	H <sub>3</sub> C H <sub>3</sub> C H <sub>3</sub> C H <sub>3</sub> C H <sub>3</sub> C H <sub>3</sub> CH <sub>3</sub> CH <sub>3</sub>	15	24	1	220.1827
2-Furaldehyde	$\langle \rangle$	5	4	2	96.0211
2-Methoxyphenol	HO CH3	7	8	2	124.0524
2-Cyclopenten-1- one		5	6	1	82.0419

# Table S1 : List of the 36 studied model molecules and their characteristics

2-methoxy-4-[(E)- prop-1-enyl] phenol	HO O CH <sub>3</sub>	10	12	2	164.0837
2,6- Dimethylphenol	HO H <sub>3</sub> C	8	9	1	121.0653
2,4,6- trimethylphenol	HO H <sub>3</sub> C H <sub>3</sub> C CH <sub>3</sub> CH <sub>3</sub>	9	12	1	136.0888
2-Furylmethanol	О	5	6	2	98.0368
2(3H)-Furanone		4	4	2	84.0211
1,3-Dimethoxy-2- hydroxybenzene	HO HO H <sub>3</sub> C	8	10	3	154.0630

2-Methoxy-1- naphthol	H <sub>3</sub> C O O H	11	10	2	174.0681
(4-Methylphenyl) methanol	HO HO	7	8	1	108.0575
4-hydroxy-3- methoxy benzaldehyde		8	8	3	152.0473
Phenol	O	6	6	1	94.0419
2-Ethylphenol	CH3	8	10	1	122.0732
acid (E) 3-(3,4- dihydroxyphényl) prop-2-ènoïque	но он	9	8	4	180.0423

(E)-3-(4-hydroxy- 3- methoxyphenyl) prop-2-enal	HO H <sub>3</sub> C O	10	10	3	178.0630
4-hydroxy-3,5- dimethoxy benzaldehyde		9	10	4	182.0579
Butanoic acid	H <sub>3</sub> C OH	4	8	2	88.0524
Propanoic acid	н₃с ↓ ОН	3	6	2	74.0368
Pentanoic acid	Н₃СОН	5	10	2	102.0681
4-Benzylphenol	ОН	13	12	1	184.0888

Naphtalen-1-ol	OH	10	8	1	144.0575
4-(benzyloxy) phenol	ОН	13	12	2	200.0837
Benzene-1,2-diol	ОН	6	6	2	110.0368
9-Phenanthrenol	OH	14	10	1	194.0732
(2E)-3- Phenylprop-2- enoic acid	Он	9	8	2	148.0524
3,4- dihydroxybenzoic acid	OH OH OH	7	6	4	154.0266
4-Hydroxy-3- methoxybenzoic acid	H <sub>3</sub> C—O HO——————————————————————————————————	8	8	4	168.0423

4-benzylbenzene- 1,3-diol	ОН	13	12	2	200.0837
(E)-3-(4-hydroxy- 3-methoxy- phenyl)prop-2- enoic acid	H <sub>3</sub> C0 НО	10	10	4	194.0579
4-Hydroxy-3,5- dimethoxy benzoic acid	H <sub>3</sub> C—0 H0 H <sub>3</sub> C—0 H <sub>3</sub> C—0	9	10	5	198.0528
3-(4-hydroxy-3,5- dimethoxyphenyl )prop-2-enoic acid	H <sub>3</sub> C-0 H0	11	12	5	224.0685
3-(4- hydroxyphényl)- prop-2-enoic acid	но о — — — он	9	8	3	164.0473



Figure S1 : SFC separation of a fast pyrolysis bio-oil under optimized conditions (stationary phase: Acquity BEH 2-EP, modifier: ACN/H<sub>2</sub>O (98/2), temperature:  $30^{\circ}$ C, BPR pressure: 150 bar). UV detection (210nm).