# ALGEBRAIC MODELS OF THE LINE IN THE REAL AFFINE PLANE

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ABSTRACT. We study smooth rational closed embeddings of the real affine line into the real affine plane, that is algebraic rational maps from the real affine line to the real affine plane which induce smooth closed embeddings of the real euclidean line into the real euclidean plane. We consider these up to equivalence under the group of birational automorphisms of the real affine plane which are diffeomorphisms of its real locus. We show that in contrat with the situation in the categories of smooth manifolds with smooth maps and of real algebraic varieties with regular maps where there is only one equivalence class up to isomorphism, there are plenty of non-equivalent smooth rational closed embeddings up to such birational diffeomorphisms. Some of these are simply detected by the non-negativity of the real Kodaira dimension of the complement of their images. But we also introduce finer invariants derived from topological properties of suitable fake real planes associated to certain classes of such embeddings.

## INTRODUCTION

It is a standard consequence of the Jordan–Schoenflies Theorem that every two smooth closed embeddings of $\mathbb{R}$ into $\mathbb{R}^2$ are ambient diffeotopic. Every algebraic closed embedding of the real affine line $\mathbb{A}^1_{\mathbb{R}}$ into the real affine plane $\mathbb{A}^2_{\mathbb{R}}$ induces a smooth embedding of the real locus $\mathbb{R}$ of $\mathbb{A}^1_{\mathbb{R}}$ into the real locus $\mathbb{R}^2$ of $\mathbb{A}^2_{\mathbb{R}}$. Given two such algebraic embeddings $f, g : \mathbb{A}^1_{\mathbb{R}} \hookrightarrow \mathbb{A}^2_{\mathbb{R}}$, the famous Abhyankar-Moh Theorem [1], which is valid over any field of characteristic zero [23, § 5.4], asserts the existence of a polynomial automorphism $\phi$ of $\mathbb{A}^2_{\mathbb{R}}$ such that $f = \phi \circ g$. This implies in particular that the smooth closed embeddings of $\mathbb{R}$ into $\mathbb{R}^2$ induced by $f$ and $g$ are equivalent under composition by a polynomial diffeomorphism of $\mathbb{R}^2$.

In this article, we consider a similar problem in a natural category intermediate between the real algebraic and the smooth ones. Our main object of study consists of smooth embeddings of $\mathbb{R}$ into $\mathbb{R}^2$ induced by rational algebraic maps $\mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ defined on the real locus of $\mathbb{A}^1_{\mathbb{R}}$ and whose restrictions to this locus induce smooth closed embeddings of $\mathbb{R}$ into $\mathbb{R}^2$. We call these maps *rational smooth embeddings*, and the question is the classification of these embeddings up to *birational diffeomorphisms* of $\mathbb{A}^2_{\mathbb{R}}$, that is, diffeomorphisms of $\mathbb{R}^2$ which are induced by birational algebraic endomorphisms of $\mathbb{A}^2_{\mathbb{R}}$ containing $\mathbb{R}^2$ in their domains of definition and admitting rational inverses of the same type.

A first natural working question in this context is to decide whether any rational smooth embedding is equivalent up to birational diffeomorphism to the standard regular closed embedding of $\mathbb{A}^1_{\mathbb{R}}$ into $\mathbb{A}^2_{\mathbb{R}}$ as a linear subspace. Since every rational smooth embedding $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ uniquely extends to a morphism $\mathbb{P}^1_{\mathbb{R}} \to \mathbb{P}^2_{\mathbb{R}}$ birational onto its image, a rational smooth embedding which can be rectified to a linear embedding by a birational diffeomorphism defines in particular a rational plane curve $C$ that can be mapped onto a line by a birational automorphism of $\mathbb{P}^2_{\mathbb{R}}$. By classical results of Coolidge [6], Iitaka [14] and Kumar-Murthy [17], complex curves with this property are characterized by the negativity of the logarithmic Kodaira dimension of the complement of their proper transform in a minimal resolution of their singularities. Building on these ideas and techniques, we show the existence of *non-rectifiable* rational smooth embedding. In particular, we obtain the following result, see Theorem 21 for a stronger statement:

**Theorem.** *For every integer $d \geq 5$ there exists a non-rectifiable rational smooth embedding of $\mathbb{A}^1_{\mathbb{R}}$ into $\mathbb{A}^2_{\mathbb{R}}$ whose associated projective curve $C \subset \mathbb{P}^2_{\mathbb{R}}$ is a rational nodal curve of degree $d$.*

The existence of non-rectifiable rational smooth embeddings motivates the search for weaker properties which can be satisfied by rational smooth embeddings. To this end we observe that the Abhyankar-Moh Theorem implies that the image of a regular closed embedding $\mathbb{A}^1_{\mathbb{R}} \hookrightarrow \mathbb{A}^2_{\mathbb{R}}$ is a real fiber of a structure of trivial $\mathbb{A}^1$-bundle $\rho : \mathbb{A}^2_{\mathbb{R}} \to \mathbb{A}^1_{\mathbb{R}}$ on $\mathbb{A}^2_{\mathbb{R}}$. In the complex case, this naturally leads to a "generalized Abhyankar-Moh property" for closed embeddings of the affine line in affine surfaces $S$ equipped with $\mathbb{A}^1$-fibrations over $\mathbb{A}^1_{\mathbb{C}}$, i.e. morphisms $\pi : S \to \mathbb{A}^1_{\mathbb{C}}$ whose general fibers are affine lines, which was studied for certain classes of surfaces in [11]: the question there is whether the image of every regular closed embedding of $\mathbb{A}^1_{\mathbb{C}}$ in such a surface is an irreducible component of a fiber of an $\mathbb{A}^1$-fibration. The natural counterpart in our real birational setting consists in shifting the focus to the question whether the image of a rational smooth embedding is actually a fiber of an $\mathbb{A}^1$-fibration $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ on a suitable real affine surface $S$ birationally diffeomorphic to $\mathbb{A}^2_{\mathbb{R}}$, but possibly non biregularly isomorphic to it. A rational smooth embedding with this property is said to be *biddable*.

Being a fiber of an $\mathbb{A}^1$-fibration on a surface birationally diffeomorphic to $\mathbb{A}^2_{\mathbb{R}}$ imposes strong restrictions on the scheme-theoretic image $f_*(\mathbb{A}^1_{\mathbb{R}})$ of a rational smooth embedding $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$. We show in particular (Proposition 19) that the *real Kodaira dimension* [4] $\kappa_{\mathbb{R}}(\mathbb{A}^2_{\mathbb{R}} \setminus f_*(\mathbb{A}^1_{\mathbb{R}}))$ of the complement of the image has to be negative, with the consequence for instance that none of the rational smooth embedding mentioned in the theorem above is actually biddable. In contrast, a systematic study of small degree embeddings (see in particular § 5.2) reveals the existence of non-rectifiable biddable rational smooth embeddings whose images are in a natural way smooth fibers of $\mathbb{A}^1$-fibrations on some *fake real planes*, a class of real birational models of $\mathbb{A}^2_{\mathbb{R}}$ recently introduced and studied in the series of papers [7, 8]. These are smooth real surfaces $S$ non isomorphic to $\mathbb{A}^2_{\mathbb{R}}$ whose real loci are diffeomorphic to $\mathbb{R}^2$ and whose complexifications have trivial reduced rational singular homology groups.

We therefore develop a collection of geometric techniques to tackle the classification of equivalence classes of biddable rational smooth embeddings up to birational diffeomorphisms. As a result, we obtain in particular the following synthetic criterion (see Theorem 25 and Corollary 27):

**Theorem.** *For $i = 1, 2$, let $f_i : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$, be a biddable rational smooth embedding and let $\alpha_i : \mathbb{A}^2_{\mathbb{R}} \dashrightarrow S_i$ be a birational diffeomorphism onto an $\mathbb{A}^1$-fibered fake real plane $\pi_i : S_i \to \mathbb{A}^1_{\mathbb{R}}$ such that $\alpha_i \circ f_i : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow S_i$ is a closed immersion as the support of a* smooth *fiber of $\pi_i$.*

*Then $f_1$ and $f_2$ are not rectifiable. Furthermore, the following conditions are equivalent:*

*a) $f_1 : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ and $f_2 : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ are equivalent rational smooth embeddings*

*b) There exists a birational diffeomorphism $\beta : S_1 \dashrightarrow S_2$ and an automorphism $\gamma$ of $\mathbb{A}^1_{\mathbb{R}}$ such that $\gamma \circ \pi_1 = \pi_2 \circ \beta$.*

As an application of this characterization, we derive in particular the existence of infinitely many equivalence classes of biddable rational smooth embeddings (Corollary 28).

The scheme of the article is the following: section 1 contains preliminaries on real algebraic varieties and a review of the structure of $\mathbb{A}^1$-*fibered algebraic models* of $\mathbb{R}^2$. In section 2, we introduce the precise notions of rectifiable and biddable rational smooth embeddings of the line in the plane and establish some basic properties. Section 3 is devoted to the construction of families of non-biddable rational smooth embeddings. Then in section 4 we turn to the geometric study of equivalence classes of biddable rational smooth embeddings, we establish in particular a criterion for rectifiability of such embeddings. Finally, section 5 contains a complete classification of rational smooth embeddings whose associated rational projective plane curves have degrees less than or equal to four.

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## 1. Preliminaries

A real (resp. complex) algebraic variety is a geometrically integral scheme of finite type over the corresponding base field. A morphism between such varieties is a morphism of schemes over the corresponding base field. A complex algebraic variety $X$ is said to be defined over $\mathbb{R}$ if there exists a real algebraic variety $X'$ and an isomorphism of complex algebraic varieties between $X$ and the complexification $X'_{\mathbb{C}} = X' \times_{\mathbb{R}} \mathbb{C}$ of $X'$.

1.1. **Euclidean topologies and birational diffeomorphisms.** The sets $X(\mathbb{R})$ and $X(\mathbb{C})$ of real and complex points of a real algebraic variety $X$ are endowed in a natural way with the Euclidean topology, locally induced by the usual Euclidean topologies on $\mathbb{A}^n_{\mathbb{R}} \simeq \mathbb{R}^{2n}$ and $\mathbb{A}^n_{\mathbb{C}} \simeq \mathbb{C}^n$ respectively. Every morphism $f \colon X \to Y$ of real algebraic varieties induces a continuous map $f(\mathbb{R}) \colon X(\mathbb{R}) \to Y(\mathbb{R})$ for the Euclidean topologies, which is an homeomorphism when $f$ is an isomorphism. If $X$ and $Y$ are smooth, then $X(\mathbb{R})$ and $Y(\mathbb{R})$ can be further equipped with natural structures of smooth $\mathcal{C}^\infty$-manifolds, and the continuous map $f(\mathbb{R})$ induced by an isomorphism of real algebraic varieties is a diffeomorphism for these structures. See [18, Sections 1.4 and 2.4] for details.

**Definition 1.** Let $\alpha : X \dashrightarrow Y$ be a rational map between real algebraic varieties with non-empty real loci $X(\mathbb{R})$ and $Y(\mathbb{R})$.
    a) We say that $\alpha$ is $\mathbb{R}$-*regular* if $X(\mathbb{R})$ is contained in its domain of definition.
    b) We say that $\alpha$ is $\mathbb{R}$-*biregular* if it is birational and its inverse is also $\mathbb{R}$-regular.
For simplicity, an $\mathbb{R}$-biregular birational map between smooth real algebraic varieties with non-empty real locus is called a *birational diffeomorphism*.

Note that when $X$ and $Y$ are smooth real varieties, a birational diffeomorphism between $X$ and $Y$ does indeed restrict to a diffeomorphism between the real loci of $X$ and $Y$ equipped with their respective structure of smooth manifolds.

1.2. **Pairs, log-resolutions and SNC completions.** A *Simple Normal Crossing* (SNC) divisor on a smooth real or complex surface $S$ is a curve $B$ whose complexification $B_{\mathbb{C}}$ has smooth irreducible components and ordinary double points only as singularities. An SNC divisor $B$ on a smooth complete surface $V$ is said to be *SNC-minimal* if there does not exist any projective strictly birational morphism $\tau : V \to V'$ onto a smooth complete surface defined over the same base field, with exceptional locus contained in $B$ and such that the image $\tau(B)$ of $B$ is SNC.

A *smooth SNC pair* is a pair $(V, B)$ consisting of a smooth projective surface $V$ and an SNC divisor $B$, both defined over the considered base field.

A *smooth completion* of a smooth algebraic surface $S$ is a smooth SNC pair $(V, B)$ together with an isomorphism $V \setminus B \simeq S$, all defined over the considered base field. Such completions always exist as a consequence of classical completion and desingularization theorems [21, 24].

A *log-resolution* of a pair $(V, D)$ consisting of a surface $V$ and a reduced curve $D$ on it is a projective birational morphism $\tau : V' \to V$ defined over the considered base field, such that $V'$ is smooth and the union of the reduced total transform $\tau^{-1}(D)$ of $D$ with the exceptional locus $\mathrm{Ex}(\tau)$ of $\tau$ is an SNC divisor $B$ on $V'$. A log-resolution which is minimal with respect to the ordering by birational domination is called a *minimal log-resolution* of $(V, D)$.

By a minimal log-resolution of a birational map between smooth complete surfaces, we mean a minimal log-resolution of its graph.

1.3. **$\mathbb{A}^1$-fibered algebraic models of $\mathbb{R}^2$.** An *algebraic model of* $\mathbb{R}^2$ is a smooth geometrically integral real surface $S$ with real locus $S(\mathbb{R})$ diffeomorphic to $\mathbb{R}^2$ and whose complexification $S_{\mathbb{C}}$ has the rational homology type of a point, i.e. has trivial reduced homology groups $\tilde{H}_i(S_{\mathbb{C}}; \mathbb{Q})$ for every $i \geq 0$. All these surfaces are affine and rational (see e.g. [8, Proposition 2.2]), but it turns out that $\mathbb{R}^2$ admits many such models non isomorphic to $\mathbb{A}^2_{\mathbb{R}}$ as real algebraic varieties. A partial classification up to biregular isomorphism of these "exotic models", called *fake real planes*, was established in [8] (see also [7]) according to their Kodaira dimension. In the present article, exotic models $S$ of negative Kodaira dimension are of particular interest to us since they admit an $\mathbb{A}^1$-*fibration* $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ defined over $\mathbb{R}$, that is, a surjective morphism whose generic fiber is isomorphic to the affine line over the function field of $\mathbb{A}^1_{\mathbb{R}}$. More precisely, we have the following characterization:

**Theorem 2.** ([8, Theorem 4.1]) *A smooth geometrically integral surface $S$ defined over $\mathbb{R}$ is an algebraic model of $\mathbb{R}^2$ of Kodaira dimension $\kappa(S) = -\infty$ if and only if it admits an $\mathbb{A}^1$-fibration $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ defined over $\mathbb{R}$ whose only singular fibers, if any, are geometrically irreducible real fibers of odd multiplicity, isomorphic to $\mathbb{A}^1_{\mathbb{R}}$ when equipped with their reduced structures.*

*Remark* 3. The multiplicities of the singular fibers of an $\mathbb{A}^1$-fibered algebraic model of $\mathbb{R}^2$ are intimately related to the topology of its complexification (see e.g. [20, Theorem 4.3.1 p. 231]). Namely, letting

$m_1 F_1, \ldots, m_r F_r$ be the singular fibers of $\pi$, where $F_i \simeq \mathbb{A}^1_{\mathbb{R}}$ and $m_i \geq 2$ for every $i = 1, \ldots, r$, and $F$ be any fiber of $\pi$, we have

$$H_1(S_{\mathbb{C}}, \mathbb{Z}) = \bigoplus_{F_i} \mathbb{Z}_{m_i} \quad \text{and} \quad H_1((S \setminus F)_{\mathbb{C}}, \mathbb{Z}) = \mathbb{Z} \oplus \bigoplus_{F_i \neq F} \mathbb{Z}_{m_i}.$$

Given an $\mathbb{A}^1$-fibration $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ on a smooth quasi-projective surface $S$, there exists a smooth projective completion $(V, B)$ of $S$ on which $\pi$ extends to a morphism $\overline{\pi} : V \to \mathbb{P}^1_{\mathbb{R}}$ with generic fiber isomorphic to $\mathbb{P}^1$ over the function field of $\mathbb{P}^1_{\mathbb{R}}$, called a $\mathbb{P}^1$-fibration. For $\mathbb{A}^1$-fibered algebraic models of $\mathbb{R}^2$, we have the following more precise description, see [8, §4.1.1]:

**Lemma 4.** *A smooth $\mathbb{A}^1$-fibered algebraic model $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ of $\mathbb{R}^2$ admits a smooth projective completion $(V, B)$ on which $\pi$ extends to a $\mathbb{P}^1$-fibration $\tilde{\pi} : V \to \mathbb{P}^1_{\mathbb{R}}$ for which the divisor $B$ is a tree of rational curves that can be written in the form*

$$B = F_\infty \cup H \cup \bigcup_{p \in \mathbb{A}^1_{\mathbb{R}}(\mathbb{R})} G_p$$

*where:*
  *a) $F_\infty \simeq \mathbb{P}^1_{\mathbb{R}}$ is the fiber of $\tilde{\pi}$ over $\infty = \mathbb{P}^1_{\mathbb{R}} \setminus \mathbb{A}^1_{\mathbb{R}}$,*
  *b) $H \simeq \mathbb{P}^1_{\mathbb{R}}$ is a section of $\tilde{\pi}$,*
  *c) $G_p$ is either empty if $\pi^{-1}(p)$ is a smooth fiber of $\pi$ or a proper SNC-minimal subtree of $\tilde{\pi}^{-1}(p)$ containing an irreducible component intersecting $H$ otherwise.*
  *Furthermore, for every $p$ such that $G_p \neq \emptyset$, the support of $\tilde{\pi}^{-1}(p)$ is a tree consisting of the union of $G_p$ with the support of the closure in $V$ of $\pi^{-1}(p)$.*

Only little is known so far towards the classification of the above $\mathbb{A}^1$-fibered algebraic models $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ of $\mathbb{R}^2$ up to birational diffeomorphism. At least, we have the following criterion:

**Theorem 5.** ([8, Theorem 4.9 and Corollary 4.10]) *Let $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ be an $\mathbb{A}^1$-fibered algebraic model of $\mathbb{R}^2$. If all but at most one real fibers of $\pi$ are reduced then $S$ is birationally diffeomorphic to $\mathbb{A}^2_{\mathbb{R}}$.*

**Example 6.** Let $m \geq 3$ be an odd integer and let $S_m$ be the smooth surface in $\mathbb{A}^3_{\mathbb{R}}$ defined by the equation $u^2 z = v^m - u$. Since $m$ is odd, the map $\mathbb{R}^2 \to S_m(\mathbb{R})$, $(u, z) \mapsto (u, \sqrt[m]{u^2 z + u}, z)$ is an homeomorphism, and since $S_m$ is smooth, it follows that $S_m(\mathbb{R})$ is diffeomorphic to $\mathbb{R}^2$. On the other hand, the projection $\pi = \mathrm{pr}_u : S_m \to \mathbb{A}^1_{\mathbb{R}}$ is an $\mathbb{A}^1$-fibration restricting to a trivial $\mathbb{A}^1$-bundle over $\mathbb{A}^1_{\mathbb{R}} \setminus \{0\}$ and whose fiber $\pi^{-1}(0) \simeq \mathrm{Spec}(\mathbb{R}[v]/(v^m)[z])$ is isomorphic to $\mathbb{A}^1_{\mathbb{R}}$, of multiplicity $m$. It is then easy to check that $H_i((S_m)_{\mathbb{C}}; \mathbb{Z}) = 0$ for every $i \geq 2$, while $H_1((S_m)_{\mathbb{C}}; \mathbb{Z}) \simeq \mathbb{Z}_m$. Thus $S_m$ is an algebraic model of $\mathbb{R}^2$ non biregularly isomorphic to $\mathbb{A}^2_{\mathbb{R}}$ but which is birationally diffeomorphic to it by the previous theorem.

## 2. RATIONAL CLOSED EMBEDDINGS OF LINES

Given a rational map $f : X \dashrightarrow Y$ between real algebraic varieties, we denote by $f_*(X)$ the scheme theoretic image of the graph $\Gamma_f \subset X \times Y$ by the second projection.

**Definition 7.** Let $X$ and $Y$ be real algebraic varieties with non-empty real loci.
  1) An $\mathbb{R}$-regular rational map $f : X \dashrightarrow Y$ is called an $\mathbb{R}$-*regular closed embedding* if $f : X(\mathbb{R}) \to Y(\mathbb{R})$ is a closed embedding of topological manifolds, whose image coincides with $(f_*(X))(\mathbb{R})$.
  2) Two $\mathbb{R}$-regular closed embeddings $f : X \dashrightarrow Y$ and $g : X \dashrightarrow Y$ are called *equivalent* if there exists $\mathbb{R}$-biregular rational maps $\alpha : X \dashrightarrow X$ and $\beta : Y \dashrightarrow Y$ such that $\beta \circ f = g \circ \alpha$.

In the rest of the article, we focus on $\mathbb{R}$-regular closed embeddings of the affine line $\mathbb{A}^1_{\mathbb{R}} = \mathrm{Spec}(\mathbb{R}[t])$ into the affine plane $\mathrm{Spec}(\mathbb{R}[x, y])$.

**Definition 8.** For simplicity, an $\mathbb{R}$-regular closed embedding of $\mathbb{A}^1_{\mathbb{R}}$ into a smooth real algebraic variety is called a *rational smooth embedding*.

We begin with a series of examples illustrating this notion.

### 2.1. First examples and non-examples.

**Example 9.** The rational map

$$f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}, \ t \mapsto (t, \frac{t^3}{t^2+1})$$

is a rational smooth embedding. Indeed, since $f$ is the graph of a rational function $\mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^1_{\mathbb{R}}$, defined everywhere except at the complex point of $\mathbb{A}^1_{\mathbb{R}}$ with defining ideal $(t^2+1) \subset \mathbb{R}[t]$, it is a locally closed embedding in the neighborhood of every real point of $\mathbb{A}^1_{\mathbb{R}}$. The scheme theoretic image $f_*(\mathbb{A}^1_{\mathbb{R}})$ of $f$ is equal to the closed curve $U = \{x^3 - (x^2+1)y = 0\} \subset \mathbb{A}^2_{\mathbb{R}}$, and $f$ induces an isomorphism $\mathbb{A}^1_{\mathbb{R}}(\mathbb{R}) \cong \mathbb{R} \xrightarrow{\cong} U(\mathbb{R}) \cong \mathbb{R}$.

The next two examples enlighten the role of the condition $f(X(\mathbb{R})) = (f_*(X))(\mathbb{R})$ in the definition of an $\mathbb{R}$-regular closed embedding.

**Example 10.** The rational map

$$f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}, \ t \mapsto (\frac{1-t^2}{t^2+1}, \frac{2t}{t^2+1})$$

is a locally closed embedding in the neighborhood of every real point of $\mathbb{R}$ but not a rational smooth embedding. Indeed, its scheme theoretic image is the conic $U = \{x^2 + y^2 = 1\}$ whose real locus is isomorphic to the circle $\mathbb{S}^1$, so that the map $\mathbb{A}^1_{\mathbb{R}}(\mathbb{R}) \cong \mathbb{R} \to f_*(\mathbb{A}^1_{\mathbb{R}})(\mathbb{R}) \cong \mathbb{S}^1$ induced by $f$ is not an isomorphism.

**Example 11.** The morphism $f : \mathbb{A}^1_{\mathbb{R}} = \mathrm{Spec}(\mathbb{R}[t]) \to \mathbb{A}^2_{\mathbb{R}} = \mathrm{Spec}(\mathbb{R}[x,y]), \ t \mapsto t \mapsto (t^2, t(t^2+1))$ induced by the normalization map of the nodal cubic $U \subset \mathbb{A}^2_{\mathbb{R}}$ defined by the equation $y^2 = x(x+1)^2$ is a locally closed immersion in a neighborhood of every real point of $\mathbb{A}^1_{\mathbb{R}}$ but not a rational smooth embedding. Indeed, $f(\mathbb{A}^1_{\mathbb{R}}(\mathbb{R}))$ is a proper subset of $f_*(\mathbb{A}^1_{\mathbb{R}})(\mathbb{R}) = U(\mathbb{R}) = f(\mathbb{A}^1_{\mathbb{R}}(\mathbb{R})) \cup (-1, 0)$.

### 2.2. Rational embeddings of lines and projective rational plane curves.

*Notation* 12. Unless otherwise stated, in the rest of the article, we identify $\mathbb{A}^1_{\mathbb{R}}$ with the open complement in $\mathbb{P}^1_{\mathbb{R}} = \mathrm{Proj}(\mathbb{R}[u,v])$ of the $\mathbb{R}$-rational point $\{v = 0\}$, and $\mathbb{A}^2_{\mathbb{R}}$ with the open complement in $\mathbb{P}^2_{\mathbb{R}} = \mathrm{Proj}(\mathbb{R}[X,Y,Z])$ of the real line $L_\infty = \{Z = 0\} \simeq \mathbb{P}^1_{\mathbb{R}}$.

Every rational smooth embedding $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ extends to a morphism $\overline{f} : \mathbb{P}^1_{\mathbb{R}} \to \mathbb{P}^2_{\mathbb{R}}$ birational onto its image $C \subset \mathbb{P}^2_{\mathbb{R}}$, and such that $f(\mathbb{A}^1_{\mathbb{R}}(\mathbb{R})) = C(\mathbb{R}) \cap \mathbb{A}^2_{\mathbb{R}}(\mathbb{R})$. Conversely, given a morphism $\overline{f} : \mathbb{P}^1_{\mathbb{R}} \to \mathbb{P}^2_{\mathbb{R}}$ birational onto its image $C$, the fiber product $\mathbb{P}^1_{\mathbb{R}} \times_{\mathbb{P}^2_{\mathbb{R}}} \mathbb{A}^2_{\mathbb{R}}$ is a smooth affine rational curve $U \subset \mathbb{P}^1_{\mathbb{R}}$ on which the second projection restricts to a proper morphism $\mathrm{pr}_2 : U \to \mathbb{A}^2_{\mathbb{R}}$. By definition, the latter represents an $\mathbb{R}$-regular map $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ provided that $\mathbb{A}^1_{\mathbb{R}}(\mathbb{R}) \subset U(\mathbb{R})$, and we have the following straightforward characterization:

**Lemma 13.** *For a morphism $\overline{f} : \mathbb{P}^1_{\mathbb{R}} \to \mathbb{P}^2_{\mathbb{R}}$ birational onto its image $C$, the following are equivalent:*
*a) $\mathrm{pr}_2 : U = \mathbb{P}^1_{\mathbb{R}} \times_{\mathbb{P}^2_{\mathbb{R}}} \mathbb{A}^2_{\mathbb{R}} \to \mathbb{A}^2_{\mathbb{R}}$ represents a rational smooth embedding $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$.*
*b) $C$ is smooth at every real point of $C \cap \mathbb{A}^2_{\mathbb{R}}$ and $U(\mathbb{R}) = \mathbb{A}^1_{\mathbb{R}}(\mathbb{R})$.*
*c) $C$ is smooth at every real point of $C \cap \mathbb{A}^2_{\mathbb{R}}$ and $\overline{f}^{-1}(C \cap L_\infty)$ contains a unique real point.*

**Example 14.** Let $\overline{f} : \mathbb{P}^1_{\mathbb{R}} \to \mathbb{P}^2_{\mathbb{R}}, \ [u : v] \mapsto [uv^2 : u^3 : u^2v + v^3]$ be the morphism induced by the normalization map of the irreducible cubic $C \subset \mathbb{P}^2_{\mathbb{R}}$ with equation $Z^2Y - X(X+Y)^2 = 0$. The intersection of $C$ with $L_\infty$ consists of two real points: $p_\infty = [0 : 1 : 0]$ and the unique singular point $p_s = [1 : -1 : 0]$ of $C$, which is a real ordinary double point with non-real complex conjugate tangents, in other words, $\overline{f}^{-1}(p_s)$ is a $\mathbb{C}$-rational point of $\mathbb{P}^1_{\mathbb{R}}$. With the notation above, we have $U \simeq \mathrm{Spec}(\mathbb{R}[t]_{t^2+1})$ and $\mathrm{pr}_2 : U \to \mathbb{A}^2_{\mathbb{R}}$ is the morphism defined by

$$t \mapsto (\frac{t}{t^2+1}, \frac{t^3}{t^2+1})$$

Clearly $U(\mathbb{R}) = \mathbb{A}^1_{\mathbb{R}}(\mathbb{R})$ and so $\mathrm{pr}_2 : U \to \mathbb{A}^2_{\mathbb{R}}$ defines an $\mathbb{R}$-regular map $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$. Since $p_s \in C \cap L_\infty$, the affine part $C \cap \mathbb{A}^2_{\mathbb{R}} \simeq \{xy^2 + 2x^2y + x^3 - y = 0\}$ is a smooth curve, and so $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ is a rational smooth embedding.

## 2.3. Biddable and rectifiable lines.

**Definition 15.** A rational smooth embedding $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ is called:

a) *rectifiable* if it is equivalent to the linear closed embedding $j_{\mathrm{lin}} : \mathbb{A}^1_{\mathbb{R}} \to \mathbb{A}^2_{\mathbb{R}}$, $t \mapsto (t, 0)$.

b) *biddable* if there exists a birational diffeomorphism $\alpha : \mathbb{A}^2_{\mathbb{R}} \dashrightarrow S$ onto an $\mathbb{A}^1$-fibered algebraic model $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ of $\mathbb{R}^2$ such that the composition $\alpha \circ f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow S$ is an everywhere defined closed embedding of $\mathbb{A}^1_{\mathbb{R}}$ as the support of a fiber of $\pi$.

*Remark* 16. A rectifiable rational smooth embedding is biddable since for any birational diffeomorphism $\alpha$ of $\mathbb{A}^2_{\mathbb{R}}$ realizing the equivalence with $j_{\mathrm{lin}}$, $\alpha \circ f$ coincides with the closed immersion of $\mathbb{A}^1_{\mathbb{R}}$ as the fiber of the trivial $\mathbb{A}^1$-bundle $\pi = \mathrm{pr}_2 : \mathbb{A}^2_{\mathbb{R}} \to \mathbb{A}^1_{\mathbb{R}}$ over $0 \in \mathbb{A}^1_{\mathbb{R}}(\mathbb{R})$.

The following lemma is an immediate reformulation of Definition 15:

**Lemma 17.** *For an a rational smooth embedding* $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ *with associated irreducible rational curve* $C = \overline{f}(\mathbb{P}^1_{\mathbb{R}}) \subset \mathbb{P}^2_{\mathbb{R}}$, *the following hold:*

*a)* $f$ *is rectifiable if and only if there exists a birational endomorphism of* $\mathbb{P}^2_{\mathbb{R}}$ *that restricts to a birational diffeomorphism* $\mathbb{P}^2_{\mathbb{R}} \setminus L_{\infty} \overset{\sim}{\dashrightarrow} \mathbb{P}^2_{\mathbb{R}} \setminus L_{\infty}$ *and maps* $C$ *onto a line* $\ell \simeq \mathbb{P}^1_{\mathbb{R}}$.

*b)* $f$ *is biddable if and only if there exists a smooth pair* $(V, B)$ *with a* $\mathbb{P}^1$-*fibration* $\tilde{\pi} : V \to \mathbb{P}^1_{\mathbb{R}}$ *as in Lemma 4 such that* $\pi = \tilde{\pi}|_S : S = V \setminus B \to \mathbb{A}^1_{\mathbb{R}}$ *is an* $\mathbb{A}^1$-*fibered model of* $\mathbb{R}^2$, *and a birational map* $\mathbb{P}^2_{\mathbb{R}} \dashrightarrow V$ *restricting to a birational diffeomorphism* $\mathbb{P}^2_{\mathbb{R}} \setminus L_{\infty} \overset{\sim}{\dashrightarrow} S$ *that maps* $C$ *to an irreducible component of a fiber of* $\tilde{\pi}$.

**Example 18.** Let $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ be the rational smooth embedding with associated curve $C = \{Z^2 Y - X(X + Y)^2 = 0\} \subset \mathbb{P}^2_{\mathbb{R}}$ constructed in Example 14. The birational endomorphism of $\mathbb{P}^2_{\mathbb{R}}$ defined by

$$[X : Y : Z] \mapsto [(X + Y)\left((X + Y)^2 + Z^2\right) : Z^2 Y - X(X + Y)^2 : Z\left((X + Y)^2 + Z^2\right)]$$

maps $L_{\infty}$ onto itself and restricts to a birational diffeomorphism of $\mathbb{P}^2 \setminus L_{\infty}$. It contracts the union $\{(X + Y)^2 + Z^2 = 0\}$ of the two non-real complex conjugate tangents of $C$ at its singular point $p_s = [1 : -1 : 0]$ onto the point $[0 : 1 : 0] \in L_{\infty}$, and maps $C$ onto the line $\ell = \{Y = 0\}$. It follows that $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ is rectifiable, a birational diffeomorphism $\alpha : \mathbb{A}^2_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ such that $\alpha \circ f(t) = (t, 0)$ being given for instance by

$$(x, y) \mapsto (x + y, -x + \frac{x + y}{(x + y)^2 + 1}).$$

## 2.4. Real Kodaira dimension: a numerical obstruction to biddability.

Recall that an SNC divisor $B$ on a real smooth projective surface $V$ is said to have no *imaginary loop* if for every two distinct irreducible components $A$ and $A'$ of $B$ defined over $\mathbb{R}$ and with infinite real loci, the intersection $A \cap A'$ is either empty or consists of real points only.

Given a smooth quasi-projective real surface $S$ and a smooth completion $(V, B)$ of $S$ such that $B$ has no imaginary loop, we denote by $B_{\mathbb{R}} \subset B$ the union of all components of $B$ which are defined over $\mathbb{R}$ and have infinite real loci. The *real Kodaira dimension* $\kappa_{\mathbb{R}}(S)$ of $S$ is then defined as the Iitaka dimension [12] $\kappa(V, K_V + B_{\mathbb{R}})$ of the pair $(V, B_{\mathbb{R}})$, where $K_V$ is a canonical divisor on $V$. It is established in [4] that the so-defined element $\kappa_{\mathbb{R}}(S) \in \{-\infty, 0, 1, 2\}$ is independent on the choice of a smooth completion $(V, B)$ as above, and is actually an invariant of the isomorphism class of $S$ up to birational diffeomorphisms. By construction $\kappa_{\mathbb{R}}(S)$ is smaller than or equal to the usual Kodaira dimension $\kappa(S) = \kappa(V, K_V + B)$, with equality in the case where $B = B_{\mathbb{R}}$.

The following proposition then provides a simple numerical necessary condition for biddability of a rational smooth embedding $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$:

**Proposition 19.** *Let* $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ *be a rational smooth embedding and let* $C$ *be the image of the corresponding morphism* $\overline{f} : \mathbb{P}^1_{\mathbb{R}} \to \mathbb{P}^2_{\mathbb{R}}$. *If* $f$ *is biddable then* $\kappa_{\mathbb{R}}(\mathbb{P}^2 \setminus (C \cup L_{\infty})) = -\infty$.

*Proof.* If $f$ is biddable then by definition there exists a birational diffeomorphism $\alpha : \mathbb{A}^2_{\mathbb{R}} \dashrightarrow S$ to a smooth $\mathbb{A}^1$-fibered model $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ of $\mathbb{R}^2$ such that $\alpha \circ f : \mathbb{A}^1_{\mathbb{R}} \to S$ is an everywhere defined closed embedding as the support of a fiber of $\pi$, say $\pi^{-1}(p)_{\mathrm{red}}$ for some point $p \in \mathbb{A}^1_{\mathbb{R}}(\mathbb{R})$. So $\kappa_{\mathbb{R}}(\mathbb{P}^2_{\mathbb{R}} \setminus (C \cup L_{\infty})) = \kappa_{\mathbb{R}}(\mathbb{A}^2_{\mathbb{R}} \setminus C) = \kappa_{\mathbb{R}}(S \setminus \pi^{-1}(p))$ by invariance of the real Kodaira dimension under

birational diffeomorphism. Furthermore, since $\pi$ restricts to an $\mathbb{A}^1$-fibration on $S \setminus \pi^{-1}(p)$, we have
$\kappa_{\mathbb{R}}(\mathbb{A}^2_{\mathbb{R}} \setminus C) = \kappa_{\mathbb{R}}(S \setminus \pi^{-1}(p)) \leq \kappa(S \setminus \pi^{-1}(p)) = -\infty.$ $\hfill\square$

**Question 20.** *Is every rational smooth embedding $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ whose associated projective curve $C$ satisfies $\kappa_{\mathbb{R}}(\mathbb{P}^2_{\mathbb{R}} \setminus (C \cup L_\infty)) = -\infty$ biddable ?*

## 3. Families of non-biddable affine lines

In this section, we exhibit examples of non-biddable, hence in particular non-rectifiable, rational smooth embeddings $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ whose associated projective curves have any degree larger than or equal to 5.

**Theorem 21.** *For every integer $d \geq 5$ there exists a non-biddable rational smooth embedding $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ whose associated projective curve $C_d \subset \mathbb{P}^2_{\mathbb{R}}$ is a rational nodal curve of degree $d$.*

The proof given below proceeds in two steps. We first construct in Proposition 22 real rational curves $C_d \subset \mathbb{P}^2_{\mathbb{R}}$ having only ordinary nodes as singularities for which the inclusion $C_d \cap \mathbb{A}^2_{\mathbb{R}} \hookrightarrow \mathbb{A}^2_{\mathbb{R}}$ defines a rational smooth embedding $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$. We then show by direct computation in Lemma 23 that the real Kodaira dimension $\kappa_{\mathbb{R}}(\mathbb{P}^2 \setminus (C_d \cup L_\infty))$ (see § 2.4) is nonnegative, which implies by virtue of Proposition 19 that $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ is not biddable.

**Proposition 22.** *For any integer $d \geq 1$ there exists a singular real rational curve $C_d \subset \mathbb{P}^2_{\mathbb{R}}$ of degree $d$ with only ordinary nodes as singularities with the following properties:*

*1) If $d \equiv 1$ or $2$ modulo $4$, $Sing(C_d)$ consists of pairs of non-real complex conjugate ordinary nodes. Furthermore, the intersection of $C_d$ with $L_\infty$ contains a unique real point and is transversal at every other point.*

*2) If $d \equiv 0$ or $3$ modulo $4$, $Sing(C_d)$ consists of pairs of non-real complex conjugate ordinary nodes and a unique real node $p_s$ with non-real complex conjugate tangents. Furthermore, the intersection of $C_d$ with $L_\infty$ contains $p_s$ and a unique other real point, and is transversal at every other point.*

*Proof.* The assertion is clear for $d = 1, 2$. We assume from now on that $d \geq 3$.

1) If $d \equiv 1, 2 \mod 4$, let $k = \frac{1}{2}(d-1)$ if $d$ is odd or $k = \frac{1}{2}(d-2)$ if $d$ is even. Observe that in any case, $k \neq 0$ is even. Let $D_k \subset \mathbb{P}^2_{\mathbb{C}}$ be a general nodal rational curve with no real points ($k$ even) given by a homogeneous complex polynomial $f$ of degree $k$. A well-chosen projection $D_k$ to $\mathbb{P}^2_{\mathbb{C}}$ of the rational normal curve of degree $k$ in $\mathbb{P}^k_{\mathbb{C}}$ should suit. In particular, $D_k$ has $\frac{1}{2}(k-1)(k-2)$ non-real nodal points. The conjugated curve $\overline{D}_k$ of $D_k$ defined by the vanishing of the polynomial $\overline{f}$ intersects transversally $D_k$ in $k^2$ points. The union $\tilde{C}_d = D_k \cup \overline{D}_k \cup E$, where $E$ is a general real line if $d$ is odd or a general real conic with no real points if $d$ is even, is a reducible curve of degree $d$ defined over $\mathbb{R}$ with $\frac{1}{2}(k^2 + (k-1)(k-2)) + k$ pairs of non-real complex conjugate ordinary nodes if $d$ is odd and $\frac{1}{2}(k^2 + (k-1)(k-2)) + 2k$ pairs of non-real complex conjugate ordinary nodes if $d$ is even. Given any pair of conjugate nodes of $(D_k \cap E) \cup (\overline{D}_k \cap E)$, it follows from Brusotti Theorem [3, §5.5] that there exists a small perturbation of $\tilde{C}_d$ which realizes a local smoothing of the later pair of nodes but preserves all the others. The resulting real curve $C_d$ is irreducible of degree $d$ with $\frac{1}{4}(d-1)(d-2)$ pairs of non-real conjugate nodes. The genus formula then implies that $C_d$ is rational. We now observe that the real locus of $C_d$ is a simple closed curve in the real projective plane which is one-sided if and only if $d$ is odd. If $d$ is odd (resp. $d$ is even) we deduce that there exists a real projective line $L_\infty$ meeting transversally $C_d(\mathbb{R})$ in one point $p$ and transversally at every other point (resp. meeting tangentially $C_d(\mathbb{R})$ in one point $p$ and transversally at every other point).

2) If $d \equiv 0, 3 \mod 4$, let $k = \frac{1}{2}(d-1)$ if $d$ is odd or $k = \frac{1}{2}(d-2)$ if $d$ is even. Observe that in any case, $k$ is odd. As in the preceding case, let $D_k$ be a nodal rational curve with a smooth real point $p_s$ ($k$ odd), which is its unique real point, given by a homogeneous polynomial $f$ of degree $k$. The same construction of a perturbation $C_d$ of $(D_k \cup \overline{D}_k \cup E)$ as in the preceding case works except that the line $L_\infty$ at the end of the construction is taken in the set of real lines passing through $p_s$. $\hfill\square$

The following lemma completes the proof of Theorem 21:

**Lemma 23.** *For a real nodal rational curve $C_d \subset \mathbb{P}^2_{\mathbb{R}}$ of degree $d \geq 5$ as in Proposition 22, we have $\kappa_{\mathbb{R}}(\mathbb{P}^2_{\mathbb{R}} \setminus (C_d \cup L_\infty)) \geq 0.$*

*Proof.* It is well-known that if $d \geq 6$, the complexification of $C = C_d$ cannot be mapped to a line by a birational automorphism of $\mathbb{P}^2_{\mathbb{C}}$. Indeed, since the proper transform of $C_{\mathbb{C}}$ in any resolution of its singularities $\sigma : X \to \mathbb{P}^2_{\mathbb{C}}$ has self-intersection lower than or equal to $-2$, $C_{\mathbb{C}}$ cannot be contracted to a point by a birational automorphism of $\mathbb{P}^2_{\mathbb{C}}$.

It then follows from a characterization attributed to Coolidge [6] (see also [17]) that the Kodaira dimension $\kappa(X, K_X + \tilde{C}_{\mathbb{C}})$ is non-negative. Let $\tau : V \to \mathbb{P}^2_{\mathbb{R}}$ be a log resolution of the pair $(\mathbb{P}^2_{\mathbb{R}}, C \cup L_{\infty})$ defined over $\mathbb{R}$ restricting to an isomorphism over $\mathbb{P}^2_{\mathbb{R}} \setminus (C \cup L_{\infty})$ and such that $B = \tau^{-1}(C \cup L_{\infty})_{\mathrm{red}}$ has no imaginary loop. Since $\tilde{C}_{\mathbb{C}}$ is an irreducible component of $(B_{\mathbb{R}})_{\mathbb{C}}$, we have

$$\kappa_{\mathbb{R}}(\mathbb{P}^2_{\mathbb{R}} \setminus (C \cup L_{\infty})) = \kappa(V_{\mathbb{C}}, K_{V_{\mathbb{C}}} + (B_{\mathbb{R}})_{\mathbb{C}}) \geq \kappa(V_{\mathbb{C}}, K_{V_{\mathbb{C}}} + \tilde{C}_{\mathbb{C}}) \geq 0.$$

In the remaining case $d = 5$, the above argument does not longer work since every rational nodal quintic can be mapped to a line by a birational diffeomorphism of $\mathbb{P}^2_{\mathbb{R}}$ (see Remark 24 below). Nevertheless, a proof can be derived essentially along the same lines as above from Iitaka's results [14, 15] on equivalence classes of pairs of complex irreducible plane curves up to birational automorphisms of $\mathbb{P}^2_{\mathbb{C}}$. But we find more enlightening to provide a direct and self-contained argument. So here the curve $C = C_5$ is a rational nodal quintic defined over $\mathbb{R}$ with only pairs of non-real complex conjugate ordinary nodes $\{q_i, \bar{q}_i\}_{i=1,2,3}$ as singularities, which intersects $L_{\infty}$ transversally in a real point $p$ and two pairs $\{p_j, \bar{p}_j\}_{j=1,2}$ of non-real complex conjugate points. Let $\sigma_1 : \mathbb{F}_1 \to \mathbb{P}^2_{\mathbb{R}}$ be the blow-up of $p$ with exceptional divisor $C_0$. Denote by $\ell_i$ and $\bar{\ell}_i$ the proper transforms of the lines $[p, q_i]$ and $[p, \bar{q}_i]$ in $\mathbb{P}^2_{\mathbb{R}}$ and let $\mathbf{f} = \sum_{i=1}^{3} \ell_i + \bar{\ell}_i$. Let $\sigma_2 : V \to \mathbb{F}_1$ be the blow-up of the pairs of points $\{q_i, \bar{q}_i\}$ with exceptional divisors $E_i$ and $\bar{E}_i$ and of the pairs $\{p_j, \bar{p}_j\}$ with exceptional divisors $F_j$ and $\bar{F}_j$. We let $\mathbf{E} = \sum_{i=1}^{3} E_i + \bar{E}_i$, $\mathbf{F} = \sum_{j=1}^{2} F_j + \bar{F}_j$, and we let $\mathbf{f}'$ and $L'$ be the proper transform of $\mathbf{f}$ and $L$ respectively. The composition $\tau = \sigma_1 \circ \sigma_2 : V \to \mathbb{P}^2_{\mathbb{R}}$ is a log-resolution of the pair $(\mathbb{P}^2_{\mathbb{R}}, C \cup L_{\infty})$ defined over $\mathbb{R}$, restricting to an isomorphism over $\mathbb{P}^2_{\mathbb{R}} \setminus (C \cup L_{\infty})$ and such that

$$B = \tau^{-1}(C \cup L_{\infty})_{\mathrm{red}} = C' + C_0' + L' + \mathbf{E} + \mathbf{F} = B_{\mathbb{R}} + \mathbf{E} + \mathbf{F}$$

is an SNC divisor without imaginary loop.

Set $\alpha = \frac{1}{3}$, $\lambda = \frac{2}{3}$, $\beta = \mu = 1$ so that $6\alpha + \beta = 3$ and $6\lambda + \mu = 5$. Letting $\ell$ be the proper transform on $\mathbb{F}_1$ of a general real line through $p$ and $L$ be the proper transform of $L$, we have

$$K_{\mathbb{F}_1} \sim -2C_0 - 3\ell \sim_{\mathbb{Q}} -2C_0 - \alpha \mathbf{f} - \beta L$$
$$C \sim 4C_0 + 5\ell \sim_{\mathbb{Q}} 4C_0 + \lambda \mathbf{f} + \mu L$$

where we identified $C$ with its proper transform on $\mathbb{F}_1$. Then on $V$, we obtain

$$K_V \sim \sigma_2^*(K_{\mathbb{F}_1}) + \mathbf{E} + \mathbf{F} \sim_{\mathbb{Q}} -2C_0' - \alpha \mathbf{f}' - \beta L' + (1 - \alpha)\mathbf{E} + (1 - \beta)\mathbf{F}$$
$$C' \sim \sigma_2^*(C) - 2\mathbf{E} - \mathbf{F} \sim_{\mathbb{Q}} 4C_0' + \lambda \mathbf{f}' + \mu L' + (\lambda - 2)\mathbf{E} + (\mu - 1)\mathbf{F}$$

where $C_0'$ and $C'$ denote the proper transforms of $C_0$ and $C$ respectively. We thus obtain

$$2K_V + B_{\mathbb{R}} \sim_{\mathbb{Q}} C_0' + (\lambda - 2\alpha)\mathbf{f}' + (\mu - 2\beta + 1)L' + (\lambda - 2\alpha)\mathbf{E} + (\mu - 2\beta + 1)\mathbf{F}$$
$$\sim C_0'$$

which implies that $\kappa_{\mathbb{R}}(\mathbb{P}^2_{\mathbb{R}} \setminus (C \cup L_{\infty})) \geq 0$ as desired. $\square$

*Remark* 24. As explained in the proof above, for every $d \geq 6$, the rational curve $C_d$ constructed in Proposition 22 cannot be transformed into a line by a birational automorphism of $\mathbb{P}^2_{\mathbb{R}}$. This directly implies the weaker fact that the corresponding rational smooth embedding $\mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ is not rectifiable. In contrast, there exists a birational diffeomorphism of $\mathbb{P}^2_{\mathbb{R}}$ that maps the nodal rational quintic $C_5$ to a line: it consists of the blow-up $\sigma : V \to \mathbb{P}^2_{\mathbb{R}}$ of the three pairs $\{q_i, \bar{q}_i\}_{i=1,2,3}$ of non-real complex conjugate nodes of $C_5$, followed by the contraction $\tau : V \to \mathbb{P}^2_{\mathbb{R}}$ of the proper transforms of the three pairs of non-real complex conjugate nonsingular conics passing through five of the six points blown-up. The proper transform of $C_5$ and $L_{\infty}$ by $\tau \circ \sigma^{-1}$ are respectively a line and a quintic with three pairs of non-real complex conjugate nodes.

## 4. Obstructions to rectifiability of biddable lines

Recall that a rectifiable rational smooth embedding $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ is in particular biddable. In this section, we establish a criterion from which we derive the existence of a host of non-rectifiable biddable rational smooth embeddings.

Let $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ be a biddable rational smooth embedding with associated projective curve $C = \overline{f}(\mathbb{P}^1_{\mathbb{R}}) \subset \mathbb{P}^2_{\mathbb{R}}$. By Definition 15, there exists a birational diffeomorphism $\alpha : \mathbb{A}^2_{\mathbb{R}} \dashrightarrow S$ onto an $\mathbb{A}^1$-fibered algebraic model $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ of $\mathbb{R}^2$ such that the composition $\alpha \circ f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow S$ is an everywhere defined closed embedding of $\mathbb{A}^1_{\mathbb{R}}$ as the support of a fiber of $\pi$. Letting $(V, B)$ be a smooth $\mathbb{P}^1$-fibered completion of $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ as in Lemma 4, the composition $\tilde{\pi} \circ \alpha : \mathbb{P}^2_{\mathbb{R}} \dashrightarrow \mathbb{P}^1_{\mathbb{R}}$ is a pencil of rational curves defined by a one-dimensional linear system without fixed component $\mathcal{M} = (\tilde{\pi} \circ \alpha)^{-1}_* |\mathcal{O}_{\mathbb{P}^1_{\mathbb{R}}}(1)| \subset |\mathcal{O}_{\mathbb{P}^2_{\mathbb{R}}}(d)|$ for some $d \geq 1$, that has $C$ as an irreducible component of one of its members. Note that $C$ is a reduced member of the member of $\mathcal{M}$ which contains it if and only if $\alpha(C)$ is a reduced component of a real fiber $\tilde{\pi}^{-1}(p)$ of $\tilde{\pi}$, hence coincides with a smooth fiber of it by Lemma 4.

**Theorem 25.** *For $i = 1, 2$, let $f_i : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$, $i = 1, 2$ be a biddable rational smooth embedding with associated projective curve $C_i \subset \mathbb{P}^2_{\mathbb{R}}$. For $i = 1, 2$, let $\alpha_i : \mathbb{A}^2_{\mathbb{R}} \dashrightarrow S_i$ be a birational diffeomorphism onto a real $\mathbb{A}^1$-fibered algebraic model $\pi_i : S_i \to \mathbb{A}^1_{\mathbb{R}}$ of $\mathbb{R}^2$ such that $\alpha_i \circ f_i : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow S_i$ is a closed immersion as the support of a fiber of $\pi_i$ and let $\mathcal{M}_i \subset |\mathcal{O}_{\mathbb{P}^2_{\mathbb{R}}}(d_i)|$ be the associated linear system. Suppose that for $i = 1, 2$, $C_i$ is an irreducible and reduced member of $\mathcal{M}_i$. Then the following conditions are equivalent:*
*a) $f_1 : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ and $f_2 : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ are equivalent rational smooth embeddings*
*b) There exists a birational diffeomorphism $\beta : S_1 \dashrightarrow S_2$ and an automorphism $\gamma$ of $\mathbb{A}^1_{\mathbb{R}}$ such that $\gamma \circ \pi_1 = \pi_2 \circ \beta$.*

*Proof.* Given a birational diffeomorphism $\beta : S_1 \dashrightarrow S_2$ as in b), we can assume up to changing the $\mathbb{A}^1$-fibration $\pi_1$ by $\gamma \circ \pi_1$ without changing $S_1$ that $\gamma = \mathrm{id}$ so that $\alpha_2 \circ f_2 = \beta \circ \alpha_1 \circ f_1$. The composition $\delta = \alpha_2^{-1} \circ \beta \circ \alpha_1$ is then a birational diffeomorphism of $\mathbb{A}^2_{\mathbb{R}}$ such that $f_2 = \delta \circ f_1$. Conversely, if $f_2 = \delta \circ f_1$ for some birational diffeomorphism $\delta$ of $\mathbb{A}^2_{\mathbb{R}}$, then replacing $\alpha_2$ by $\alpha_2 \circ \delta$ we can assume that $f_1 = f_2$. Let $(V_i, B_i)$ be smooth completions of $S_i$, $i = 1, 2$, on which $\pi_i$ extends to a $\mathbb{P}^1$-fibration $\tilde{\pi}_i : V_i \to \mathbb{P}^1_{\mathbb{R}}$ as in Lemma 4. Let $\tau_i : W_i \to \mathbb{P}^2_{\mathbb{R}}$ be the minimal resolution of the indeterminacy of $\tilde{\pi}_i \circ \alpha_i : \mathbb{P}^2_{\mathbb{R}} \dashrightarrow \mathbb{P}^1_{\mathbb{R}}$, so that $\tilde{\rho}_i = \tilde{\pi}_i \circ \alpha_i \circ \tau_i : W_i \to \mathbb{P}^1_{\mathbb{R}}$ is a well-defined $\mathbb{P}^1$-fibration, containing the proper transform $C'_i$ of $C$ as an irreducible component of one of its fibers, say $C'_i \subset \tilde{\rho}_i^{-1}(0)_{\mathrm{red}}$ up to a coordinate change on $\mathbb{P}^1_{\mathbb{R}}$.
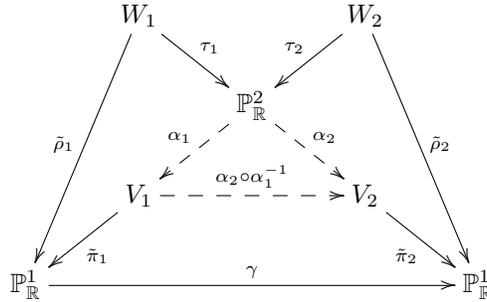


We claim that $C'_i$ is a smooth fiber of $\tilde{\rho}_i$. Indeed, since $C$ is an irreducible member of $\mathcal{M}_i$, $\tilde{\rho}_i^{-1}(0)_{\mathrm{red}} \setminus C'_i$ is either empty or consists of exceptional divisors of $\tau_i$ only. Furthermore, since $C$ is a reduced member of $\mathcal{M}_i$, if $\left( \tilde{\rho}_i^{-1}(0)_{\mathrm{red}} \setminus C'_i \right)_{\mathbb{C}}$ is not empty then by [20, Lemma 1.4.1 p. 195] it must contain a $(-1)$-curve $E_i$ intersecting at most two other irreducible components of $\left( \tilde{\rho}_i^{-1}(0)_{\mathrm{red}} \cup H_i \right)_{\mathbb{C}}$, where $H_i \subset B_i$ denote the section of $\tilde{\rho}_i$. The conjugate $\overline{E}_i$ of $E_i$ is equal to $E_i$ if $E_i$ is real. Otherwise, it is a second $(-1)$-curve contained in $\left( \tilde{\rho}_i^{-1}(0)_{\mathrm{red}} \setminus C'_i \right)_{\mathbb{C}}$, intersecting at most two other irreducible components of $\left( \tilde{\rho}_i^{-1}(0)_{\mathrm{red}} \cup H \right)_{\mathbb{C}}$. Furthermore, since in this case $\tilde{\rho}_i^{-1}(0)_{\mathrm{red}}$ contains at least three irreducible components, it cannot contain two $(-1)$-curves which intersect each others. So $\overline{E}_i$ is disjoint from $E_i$. In both cases, by contracting $E_i \cup \overline{E}_i$, we would reach a new smooth projective surface defined over $\mathbb{R}$ on which $\tilde{\rho}_i$ descends to a $\mathbb{P}^1$-fibration. But since $E_i \cup \overline{E}_i \subset \mathrm{Exc}(\tau_{i,\mathbb{C}})$, this

contradicts the minimality of $\tau_i$ over $\mathbb{R}$. So $\tilde{\rho}_i^{-1}(0) = C_i'$ scheme theoretically. This implies in turn that $\tilde{\rho}_i : W_i \to \mathbb{P}^1_{\mathbb{R}}$ coincides with the morphism induced by the complete linear system $|C_i'|$ on $W_i$.

Now consider the composition

$$\theta_2 = \tilde{\pi}_2 \circ \alpha_2 \circ \tau_1 = \tilde{\rho}_2 \circ \tau_2^{-1} \circ \tau_1 : W_1 \dashrightarrow \mathbb{P}^1_{\mathbb{R}}.$$

If $\theta_2$ is not a morphism, then let $\tau : W \to W_1$ be a minimal resolution of its indeterminacy, so that $\eta_2 = \theta_2 \circ \tau_2 : W \to \mathbb{P}^1_{\mathbb{R}}$ is a well-defined $\mathbb{P}^1$-fibration on $W$ lifting $\tilde{\rho}_2 : W_2 \to \mathbb{P}^1_{\mathbb{R}}$. Since $C_2' = \tilde{\rho}_2^{-1}(0)$, the fiber $\eta^{-1}(0)_{\mathrm{red}}$ contains the proper transform $C''$ of $C_1'$, which is equal to the proper transform of $C_2'$, and the same argument as above shows that $\eta^{-1}(0) = C''$ scheme-theoretically. So $\eta_2 : W \to \mathbb{P}^1_{\mathbb{R}}$ coincides with the morphism induced by the complete linear system $|C''|$ on $W$, which implies that there exists $\tilde{\gamma} \in \mathrm{Aut}(\mathbb{P}^1_{\mathbb{R}})$ such that $\tilde{\gamma} \circ \tilde{\rho}_1 = \tilde{\rho}_2 \circ \tau_2^{-1} \circ \tau_1$. Since by construction $\tilde{\rho}_i = \tilde{\pi}_i \circ \alpha_i \circ \tau_i$, we get that $\tilde{\gamma} \circ \tilde{\pi}_1 = \tilde{\pi}_2 \circ (\alpha_2 \circ \alpha_1^{-1})$, hence, restricting and co-restricting to $S_1$ and $S_2$ respectively that $\gamma \circ \pi_1 = \pi_2 \circ (\alpha_2 \circ \alpha_1^{-1})$ for some automorphism $\gamma$ of $\mathbb{A}^1_{\mathbb{R}}$. $\qquad\square$

**Corollary 26.** *Let $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ be a biddable rational smooth embedding and let $\alpha : \mathbb{A}^2_{\mathbb{R}} \dashrightarrow S$ be a birational diffeomorphismon to an $\mathbb{A}^1$-fibered algebraic model $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ of $\mathbb{R}^2$ such that the composition $\alpha \circ f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow S$ is an everywhere defined closed embedding of $\mathbb{A}^1_{\mathbb{R}}$ as the support of a fiber $F$ of $\pi$. If $F$ is a smooth fiber of $\pi$ then the multiplicities of the scheme theoretic singular fibers of $\pi$ are an invariant of the equivalence class of $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$.*

*Proof.* Suppose that $f$ is equivalent to a second biddable rational smooth embedding $f' : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ and let $\alpha' : \mathbb{A}^2_{\mathbb{R}} \dashrightarrow S'$ be a corresponding birational diffeomorphismon to an $\mathbb{A}^1$-fibered algebraic model $\pi' : S' \to \mathbb{A}^1_{\mathbb{R}}$ of $\mathbb{R}^2$ such that the composition $\alpha' \circ f' : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow S'$ is an everywhere defined closed embedding of $\mathbb{A}^1_{\mathbb{R}}$ as the support of a smooth fiber $F'$ of $\pi'$. Then by Theorem 25 there exists an automorphism $\gamma \in \mathrm{Aut}(\mathbb{A}^1_{\mathbb{R}})$ such that $\pi' \circ \alpha' \circ \alpha^{-1} = \gamma \circ \pi$. Since $\alpha' \circ \alpha^{-1} : S \to S'$ is a birational diffeomorphism, it follows that the scheme theoretic real fibers of $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ and $\pi' : S \to \mathbb{A}^1_{\mathbb{R}}$ have the same multiplicities. $\qquad\square$

Given a biddable rational smooth embedding $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$, the collection of multiplicities of the degenerate fibers of an $\mathbb{A}^1$-fibered algebraic model $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ of $\mathbb{R}^2$ that witnesses the biddability of $f$ is in general not a complete invariant of the equivalence class of $f$, even in the case where $\pi$ has a unique multiple fiber (see Remark 36 below). Nevertheless, the non existence of multiple fibers is a sufficient condition for the rectifiabilty of a biddable rational smooth embedding, namely:

**Corollary 27.** *Let $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ be a biddable rational smooth embedding with associated projective curve $C = \overline{f}(\mathbb{P}^1_{\mathbb{R}}) \subset \mathbb{P}^2_{\mathbb{R}}$ and let $\mathcal{M} \subset |\mathcal{O}_{\mathbb{P}^2_{\mathbb{R}}}(d)|$ be the linear system associated to a birational diffeomorphism $\alpha : \mathbb{A}^2_{\mathbb{R}} \dashrightarrow S$ onto an $\mathbb{A}^1$-fibered algebraic model $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ of $\mathbb{R}^2$ such that $\alpha \circ f : \mathbb{A}^1_{\mathbb{R}} \to S$ is a closed immersion as the support of a fiber $F$ of $\pi$. Suppose that $C$ is an irreducible and reduced member of $\mathcal{M}$. Then the following conditions are equivalent:*

*a) $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ is rectifiable,*

*b) $\mathcal{M}$ contains a unique real member whose real locus is fully contained in $L_\infty$ and every other real member of $\mathcal{M}$ contains precisely one geometrically irreducible component intersecting $\mathbb{A}^2_{\mathbb{R}}$, and this component is geometrically reduced,*

*c) The scheme theoretic fibers of $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ are all isomorphic $\mathbb{A}^1$ over the corresponding residue fields,*

*c') $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ is isomorphic to the trivial $\mathbb{A}^1$-bundle $\mathrm{pr}_2 : \mathbb{A}^1_{\mathbb{R}} \times \mathbb{A}^1_{\mathbb{R}} \to \mathbb{A}^1_{\mathbb{R}}$.*

*Proof.* Let $(V, B)$ be a smooth completion of $S$ on which $\pi$ extends to a $\mathbb{P}^1$-fibration $\tilde{\pi} : V \to \mathbb{P}^1_{\mathbb{R}}$ as in Lemma 4. Since $\tilde{\pi}$ restricts to an $\mathbb{A}^1$-fibration $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$, the real locus of the real member of $\mathcal{M}$ corresponding to $\tilde{\pi}^{-1}(\mathbb{P}^1_{\mathbb{R}} \setminus \mathbb{A}^1_{\mathbb{R}})$ is fully contained in $L_\infty$. By Theorem 2, the only possibly singular fibers of $\pi$ are real, isomorphic to $\mathbb{A}^1_{\mathbb{R}}$ when equipped with their reduced structure. Since every geometrically irreducible component of a real member of $\mathcal{M}$ intersecting $\mathbb{A}^2_{\mathbb{R}}$ becomes a geometrically irreducible component of a real fiber of $\pi$, the second part of the hypothesis in b) is then equivalent to the fact that all scheme theoretic fibers of $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ are isomorphic to $\mathbb{A}^1$ over the corresponding residue fields. So b) is equivalent to c). The equivalence between c) and c') follows from [16].

Finally, if $f$ is rectifiable then by Remark 16, there exists a birational diffeomorphism $\alpha' : \mathbb{A}^2_{\mathbb{R}} \dashrightarrow S' = \mathbb{A}^2_{\mathbb{R}}$ that maps $C \cap \mathbb{A}^2_{\mathbb{R}}$ onto a fiber of the second projection $\pi' = \mathrm{pr}_2 : S' = \mathbb{A}^2_{\mathbb{R}} \to \mathbb{A}^1_{\mathbb{R}}$. We deduce

in turn from Theorem 25 that there exists an automorphism $\gamma \in \mathrm{Aut}(\mathbb{A}^1_{\mathbb{R}})$ such that $\pi' \circ \alpha' \circ \alpha^{-1} = \gamma \circ \pi$. Since $\alpha' \circ \alpha^{-1} : S \to S'$ is a birational diffeomorphism, it follows that all scheme theoretic fibers of $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ are isomorphic to $\mathbb{A}^1$ over the corresponding residue fields. So a) implies c), which completes the proof. $\qquad\square$

Let $S_m$ be a smooth affine surface with an $\mathbb{A}^1$-fibration $\pi_m : S_m \to \mathbb{A}^1_{\mathbb{R}}$ defined over $\mathbb{R}$ and admitting a unique degenerate real fiber, isomorphic to $\mathbb{A}^1_{\mathbb{R}}$, of odd multiplicity $m \geq 3$ (for instance, take $S$ as in Example 6). By Theorem 5, $S_m$ is an algebraic model of $\mathbb{R}^2$ birationally diffeomorphic to $\mathbb{A}^2_{\mathbb{R}}$ but non-biregularly isomorphic to it. Let $\alpha^{-1} : S_m \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ be such a birational diffeomorphism and let $i : \mathbb{A}^1_{\mathbb{R}} \hookrightarrow S_m$ be the inclusion of a smooth real fiber of $\pi$. By Corollary 27, $f_m = \alpha^{-1} \circ i : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ is a non-rectifiable biddable rational smooth embedding. Furthermore, since for two different $m$ and $m'$ the fibers $\pi_m^{-1}(0)$ and $\pi_{m'}^{-1}(0)$ have different multiplicities, it follows from Corollary 26 that the corresponding rational smooth embeddings $f_m : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ and $f_{m'} : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ are not equivalent under birational diffeomorphisms of $\mathbb{A}^2_{\mathbb{R}}$. Summing up, we obtain:

**Corollary 28.** *There exists infinitely many equivalence classes of non-rectifiable biddable rational smooth embeddings of $\mathbb{A}^1_{\mathbb{R}}$ into $\mathbb{A}^2_{\mathbb{R}}$.*

*Remark* 29. Let again $\pi : S_m \to \mathbb{A}^1_{\mathbb{R}}$ be an algebraic model of $\mathbb{R}^2$ equipped with an $\mathbb{A}^1$-fibration having a unique degenerate real fiber, say $\pi^{-1}(0)$, isomorphic to $\mathbb{A}^1_{\mathbb{R}}$, of odd multiplicity $m \geq 3$. In contrast with the inclusion $i : \mathbb{A}^1_{\mathbb{R}} \hookrightarrow S_m$ of a smooth real fiber which induces a non-rectifiable rational smooth embedding of $\mathbb{A}^1_{\mathbb{R}}$ into $\mathbb{A}^2_{\mathbb{R}}$, a careful tracing of the construction of a birational diffeomorphism $\alpha^{-1} : S_m \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ described in [8, Section 4.2] reveals that the composition of the inclusion $i_0 : \mathbb{A}^1_{\mathbb{R}} \hookrightarrow S_m$ of $\pi^{-1}(0)_{\mathrm{red}}$ with $\alpha^{-1}$ is a linear regular closed embedding of $\mathbb{A}^1_{\mathbb{R}}$ into $\mathbb{A}^2_{\mathbb{R}}$.

## 5. CLASSIFICATION UP TO DEGREE FOUR

In this section, we classify all rational smooth embeddings $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ whose associated projective curves $C \subset \mathbb{P}^2_{\mathbb{R}}$ have degrees less than or equal to four.

### 5.1. **Degree lower than or equal to 3.**

**Proposition 30.** *Every rational smooth embedding $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ whose associated curve $C \subset \mathbb{P}^2_{\mathbb{R}}$ has degree $\leq 3$ is rectifiable.*

*Proof.* If $\deg C = 1$ then $C$ is a real line in $\mathbb{P}^2_{\mathbb{R}}$ and so $f$ is rectifiable. If $\deg C = 2$, then $C$ is a smooth conic, whose intersection with $L_\infty$ must consists of a unique real point $p_\infty$ by Lemma 13. It follows that $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ is actually defined at every point of $\mathbb{A}^1_{\mathbb{R}}$, hence is a closed immersion of $\mathbb{R}$-schemes. So $f : \mathbb{A}^1_{\mathbb{R}} \hookrightarrow \mathbb{A}^2_{\mathbb{R}}$ is rectifiable by an $\mathbb{R}$-automorphism of $\mathbb{A}^2_{\mathbb{R}}$ by virtue of the Abhyankar-Moh Theorem over $\mathbb{R}$.

We now assume that $C$ is a rational cubic in $\mathbb{P}^2_{\mathbb{R}}$. So it has either an ordinary double point or a cusp of multiplicity two, which, by Lemma 13 again is necessarily a real point $p_s$ of $C \cap L_\infty$. The intersection multiplicity of $C$ and $L_\infty$ at $p_s$ is thus at least 2, and since $C \cdot L_\infty = 3$, it follows that $C \cap L_\infty$ contains at most another point distinct from $p_s$, which is thus necessarily a smooth real point of $C$. We have the following alternative for $C \cap L_\infty$:

a) $C \cap L_\infty = \{p_s\}$. Then $p_s$ is an ordinary cusp with tangent $L_\infty$ and then $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ is an everywhere defined closed embedding which is rectifiable by the Abhyankar-Moh Theorem over $\mathbb{R}$.

b) $C \cap L_\infty$ consists of $p_s$ and a second real point $p_0$ of $C$. By Lemma 13, $p_s$ must be an ordinary double point with non-real complex conjugate tangents $T$ and $\overline{T}$ while $p_0$ is a smooth point of $C$ at which $C$ and $L_\infty$ intersect transversally. Example 14 provides an illustration of this situation in which the rational smooth embedding under consideration is rectifiable. Let us show that this holds in general. Let $\tau_1 : \mathbb{F}_1 \to \mathbb{P}^2_{\mathbb{R}}$ be the blow up of $p_s$ with exceptional divisor $E$ and let $\rho_1 : \mathbb{F}_1 \to \mathbb{P}^1_{\mathbb{R}}$ be the $\mathbb{P}^1$-bundle structure on $\mathbb{F}_1$ induced by the projection $\mathbb{P}^2_{\mathbb{R}} \dashrightarrow \mathbb{P}^1_{\mathbb{R}}$ from the point $p_s$. The proper transform of $L_\infty$ is a real fiber of $\rho_1$ while $T$ and $\overline{T}$ are a pair of non-real complex conjugate fibers. The proper transform of $C$ is a smooth rational curve which is a section of $\rho_1$ intersecting $E$ in the pair of non-real complex conjugate points $\{q, \overline{q}\} = (T \cup \overline{T}) \cap E$. Let $\tau_2 : \mathbb{F}_1 \dashrightarrow \mathbb{F}_3$ be the elementary transformation defined over $\mathbb{R}$ obtained by blowing-up $q$ and $\overline{q}$ and contracting the proper transforms of $T$ and $\overline{T}$. The proper transform of $C$ is then a section of $\rho_3 : \mathbb{F}_3 \to \mathbb{P}^1_{\mathbb{R}}$ which does not intersect

the proper transform of $E$. The composition $\tau_2 \circ \tau_1^{-1} : \mathbb{P}^2_{\mathbb{R}} \dashrightarrow \mathbb{F}_3$ induces a birational diffeomorphism $\alpha : \mathbb{A}^2_{\mathbb{R}} = \mathbb{P}^2_{\mathbb{R}} \setminus L_\infty \dashrightarrow \mathbb{F}_3 \setminus (E \cup L_\infty) \simeq \mathbb{A}^2_{\mathbb{R}}$ with the property that $\alpha \circ f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ is an everywhere defined closed embedding which is thus rectifiable by the Abhyankar-Moh Theorem over $\mathbb{R}$. This implies in turn that $f$ is rectifiable. $\qquad\square$

5.2. **The quartic case.** Now we consider the case where the projective curve $C$ associated to $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ is a quartic. The types and configurations of singularities that can appear on, or infinitely near to, an irreducible rational complex plane quartic $C_{\mathbb{C}}$ are completely determined by the two classical formulas

$$g = \frac{(d-1)(d-2)}{2} - \sum_p \frac{1}{2} m_p(m_p - 1) \qquad \text{and} \qquad \sum_{q \mapsto p} m_q \le m_p.$$

In the first one, $p$ varies over all singularities of $C_{\mathbb{C}}$ including infinitely near ones and $m_p$ denotes the multiplicity at $p$. In the second one $q$ varies in the first infinitely near neighborhood of $p$. The above formulas permit nine possible types of singularities in the complex case. Some additional sub-types arise in the real case according to the type of branches of $C$ at a given singular point, see [19, 9, 22], these are summarized in Figure 5.1.

| Classical Name | Modern Name | Multiplicity sequence |
|---|---|---|
| Ordinary Node | $A_1, A_1^*$ | [2] |
| Ordinary Cusp | $A_2$ | [2] |
| Tacnode | $A_3, A_3^*$ | [2, 2] |
| Double Cusp | $A_4$ | [2, 2] |
| Oscnode | $A_5, A_5^*$ | [2, 2, 2] |
| Ramphoid Cusp | $A_6$ | [2, 2, 2] |
| Ordinary triple point | $D_4, D_4^*$ | [3] |
| Tacnode Cusp | $D_5$ | [3] |
| Multiplicity 3 Cusp | $E_6$ | [3] |
| Pair of imaginary ordinary nodes | $2A_1^i$ | [2] and [2] |
| Pair of imaginary ordinary cusps | $2A_2^i$ | [2] and [2] |

FIGURE 5.1. Possible singularity types of a real rational quartic.

The modern notation in the table refers to Arnold's one [2], with the following additional convention introduced by Gudkov [10]:

- If there is no asterisk in the notation of a point then the point is real and all the branches centered in it are real.
- If there is one asterisk in the notation of a point then it is real and precisely two branches centered in this point are non-real complex conjugate.
- The upper index refers to a pair of non-real conjugate points of the given type.

The property for a real rational quartic $C$ to be the associated projective curve of a rational smooth embedding $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ imposes additional restrictions on its configuration of singular points:

**Lemma 31.** *The possible configurations of singularities of a real plane quartic $C$ associated with a rational smooth embedding $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ are the following:*

*1) Three singular points: $A_2 + 2A_1^i$ or $A_2 + 2A_2^i$. In each case the singular point of type $A_2$ belongs to $C \cap L_\infty$, and the tangent line to $C$ at this point is different from $L_\infty$.*

*2) Two singular points: $A_2 + A_3^*$ or $A_4 + A_1^*$. In each case, both singular points are real points of $C \cap L_\infty$, and the tangent line to $C$ at each of them is different from $L_\infty$.*

*3) A unique singular point: $A_5^*$, $A_6$, $D_4^*$ or $E_6$. In each case, the unique singular point is a real point of $C \cap L_\infty$. In the cases $D_4^*$ and $E_6$, $L_\infty$ is a tangent line to $C$ at the singular point whereas in the case $A_5^*$, the tangent line to $C$ at the singular point is different from $L_\infty$.*

*Proof.* If $C$ has three singular points then the genus formula implies they all have multiplicity sequence equal to [2]. Furthermore, exactly one of these is a real point $p_\infty$ of $C$ contained in $C \cap L_\infty$ and whose

inverse image in the normalization of $C$ consists of a unique real point. So $p_\infty$ is an ordinary real cusp $A_2$. Furthermore, the tangent line to $C$ at $p_\infty$ is distinct from $L_\infty$. Indeed, otherwise we would have $(L_\infty \cdot C)_{p_\infty} = 3$ and then $L_\infty \cap C$ would consists of $p_\infty$ and another smooth real point of $C$ at which $C$ and $L_\infty$ intersects transversally in contradiction with Lemma 13. So $(L_\infty \cdot C)_{p_\infty} = 2$ and the remaining possibilities for $L_\infty \cap C$ are that $(C \cap L_\infty) \setminus \{p_\infty\}$ consists of a pair $\{q, \overline{q}\}$ of non-real complex conjugate smooth points $q$ and $\overline{q}$ of $C$ or that it consists of a unique real ordinary double point with non-real complex conjugate tangents. The second possibility is excluded since otherwise the remaining singular point would have to be a real ordinary double point of $C$ not supported on $L_\infty$ in contradiction with Lemma 13. The remaining two singular points of $C$ are then a pair $\{p, \overline{p}\}$ of non-real complex conjugate points which are either ordinary cusps or ordinary double points of $C \setminus L_\infty$. This yields types $A_2 + 2A_2^i$ and $A_2 + 2A_1^i$ respectively.

If $C$ has two singular points $p_0$ and $p_1$ then the genus formula implies that these points have respective multiplicity sequences $[2]$ and $[2,2]$. Since these are different, it follows that $p_0$ and $p_1$ are real points of $C$, which are therefore supported on $L_\infty$. Furthermore, since $C \cdot L_\infty = 4$, we have $C \cap L_\infty = \{p_0, p_1\}$. The asserted two possible types then follow immediately from the hypothesis that $f : \mathbb{A}^1 \dashrightarrow \mathbb{A}_\mathbb{R}^2$ is a rational smooth embedding. Furthermore, since $C \cdot L_\infty = (C \cdot L_\infty)_{p_0} + (C \cdot L_\infty)_{p_1} = 4$ we conclude that the tangent to $C$ at $p_0$ and $p_1$ is different from $L_\infty$.

Finally, if $C$ has a unique singular point, then it is a real point $p_\infty \in C \cap L_\infty$. By the genus formula, the possible multiplicity sequences for $p_\infty$ are $[3]$ or $[2,2,2]$. If $p_\infty$ is a triple point, then it follows from Lemma 13 that $p_\infty$ is either a cusp of multiplicity three $E_6$ or an ordinary triple point of type $D_4^*$. Since $(C \cdot L_\infty)_{p_\infty} \geq 3$, we conclude that $L_\infty$ is a tangent line to $C$ at $p_\infty$ for otherwise $C$ would intersect $L_\infty$ transversally in another smooth real point which is excluded by Lemma 13.

Otherwise, if $p_\infty$ has multiplicity sequence $[2,2,2]$ then $p_\infty$ is either a ramphoid cusp $A_6$ or an oscnode, which is then necessarily of type $A_5^*$ by Lemma 13 again. In the second case, the tangent line to $C$ at $p_\infty$ intersects $C$ with multiplicity four at $p_\infty$, so it cannot be equal to $L_\infty$. Indeed, otherwise we would have $C \cap L_\infty = \{p_\infty\}$ and the then the inverse image of $C \cap L_\infty$ in the normalization of $C$ would not contain any real point, in contradiction to Lemma 13. $\qquad \square$

**Proposition 32.** *Every rational smooth embedding $f : \mathbb{A}_\mathbb{R}^1 \dashrightarrow \mathbb{A}_\mathbb{R}^2$ whose associated projective curve $C$ is a quartic is biddable. Furthermore, it is rectifiable except in the cases where $C$ has either a singular point of type $A_5^*$ or a singular point of type $A_6$ whose tangent is different from $L_\infty$.*

To prove Proposition 32, we consider below the different possible cases for $C$ separately, according to the number of its singular points.

### 5.2.1. *Three singular points.*

**Lemma 33.** *A rational smooth embedding $f : \mathbb{A}_\mathbb{R}^1 \dashrightarrow \mathbb{A}_\mathbb{R}^2$ whose associated curve $C \subset \mathbb{P}_\mathbb{R}^2$ is a quartic with singularities $A_2 + 2A_1^i$ or $A_2 + 2A_2^i$ is rectifiable.*

*Proof.* In both cases, $C$ has a unique real ordinary cusp $p_\infty \in C \cap L_\infty$ whose tangent line is different from $L_\infty$. So $(C \cdot L_\infty)_{p_\infty} = 2$ and then, by Lemma 13, $(C \cap L_\infty) \setminus \{p_\infty\}$ consists of a pair $\{q, \overline{q}\}$ of non-real complex conjugate smooth points $q$ and $\overline{q}$ of $C$. We denote by $\{p, \overline{p}\}$ the pair of non-real complex conjugate singular points of $C$. To show that $f : \mathbb{A}_\mathbb{R}^1 \dashrightarrow \mathbb{A}_\mathbb{R}^2$ is rectifiable, we proceed as follows.

Step 1) (See Figure 5.2) Let $\tau_1 : \mathbb{F}_1 \to \mathbb{P}_\mathbb{R}^2$ be the blow-up the point $p_\infty$ with exceptional divisor $E_\infty$, and let $\rho_1 : V_1 = \mathbb{F}_1 \to \mathbb{P}^1$ be the induced $\mathbb{P}^1$-bundle structure with exceptional section $E_\infty$. The proper transforms of the non-real conjugate lines $L = [p_\infty, p]$ and $\overline{L} = [p_\infty, \overline{p}]$ are fibers of $\rho_1$ which intersect the proper transform of $C$ in the double points $p$ and $\overline{p}$ respectively. On the other hand $C$ intersects the proper transform of $L_\infty$ transversally in the two conjugate points $q$ and $\overline{q}$, and it intersects $E_\infty$ with multiplicity 2 at a smooth real point $p_{\infty,1}$ supported on $E_\infty \setminus \{L_\infty, L, \overline{L}\}$. Let $\tau_2 : V_1 \dashrightarrow V_2 = \mathbb{F}_1$ be the birational map defined over $\mathbb{R}$ consisting of the blow-up of the pair of points $p$ and $\overline{p}$ followed by the contraction of the proper transforms of $L$ and $\overline{L}$. The proper transform of $E_\infty$ is a section of the $\mathbb{P}^1$-bundle structure $\rho_1' : \mathbb{F}_1 \to \mathbb{P}^1$ with self-intersection 1 while the proper transform of $C$ is a smooth 2-section of $\rho_1'$ with self-intersection 4, still intersecting $E_\infty$ with multiplicity 2 in $p_{\infty,1}$.
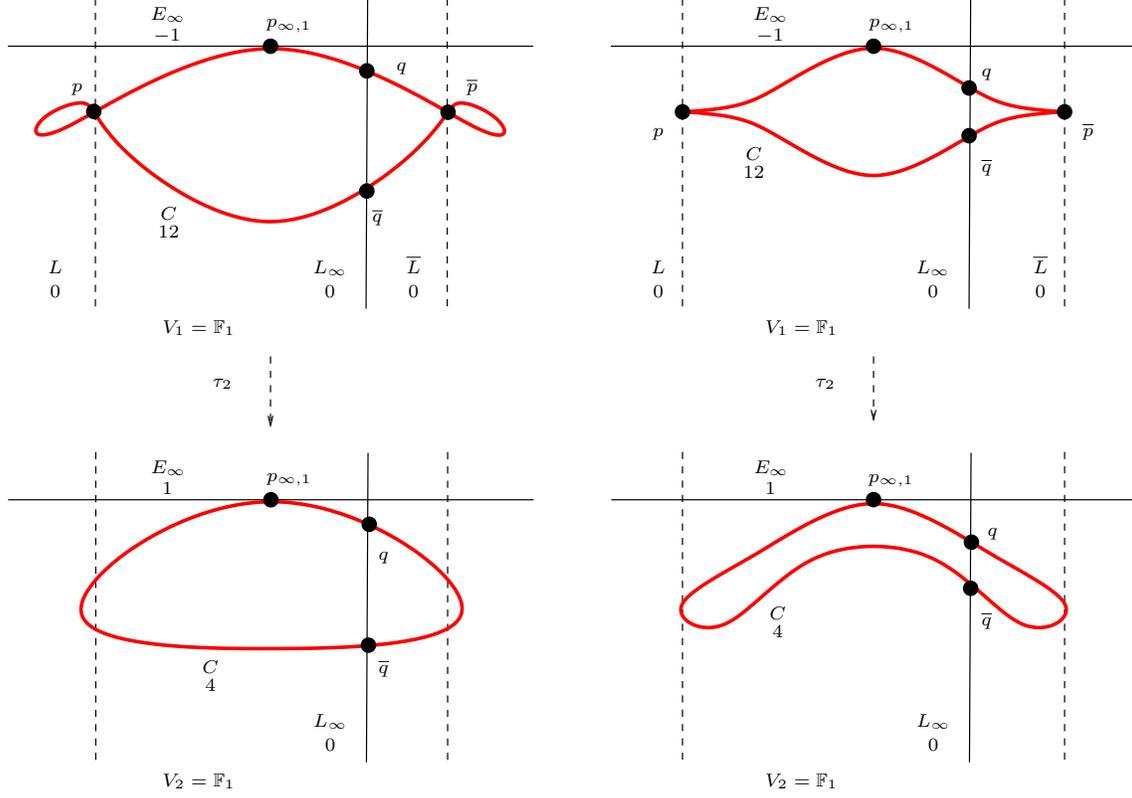
FIGURE 5.2. Three singular points, step 1: case $A_2 + 2A_1^i$ on the left and $A_2 + 2A_2^i$ on the right.

Step 2) The proper transforms $Q$ and $\overline{Q}$ in $V_2$ of the pair of non-real complex conjugate conics in $\mathbb{P}_{\mathbb{R}}^2$ passing through $p_\infty, p_{\infty,1}, q, p, \overline{p}$ and $p_\infty, p_{\infty,1}, \overline{q}, p, \overline{p}$ respectively are sections of $\rho_1'$ passing through $q$ and $p_{\infty,1}$ and $\overline{q}$ and $p_{\infty,1}$ respectively. Let $\tau_3 : V_2 \dashrightarrow V_3$ be the birational map defined over $\mathbb{R}$ consisting of the blow-up of $q$ and $\overline{q}$, the blow-up of the $\mathbb{R}$-rational point $p_{\infty,1}$ with exceptional divisor $E_{\infty,1}$, followed by the contraction of the proper transforms of $Q$ and $\overline{Q}$. The proper transforms in $V_3$ of $L_\infty$, $E_\infty$, $E_{\infty,1}$ have self-intersections $-2$, $0$ and $1$ respectively, the proper transform of $C$ has self-intersection $1$, it intersects $E_\infty$ transversally at the real point $p_{\infty,2} = E_\infty \cap E_{\infty,1}$ and it does no longer intersect $L_\infty$.

Step 3) (See Figure 5.3) Finally, let $\tau_4 : V_3 \dashrightarrow V_4$ be the birational map defined over $\mathbb{R}$ obtained by blowing up $p_{\infty,2}$ with exceptional divisor $E_{\infty,2}$ and then contracting successively the proper transforms of $E_\infty$ and $L_\infty$. The proper transforms of $E_{\infty,1}$ and $C$ have self-intersection $0$ while the proper transform of $E_{\infty,2}$ has self-intersection $1$. Counting the number of points blown-up and curves contracted, we see that $\text{Pic}(V_4) \simeq \mathbb{Z}^2$. It follows that $V_4 \simeq \mathbb{F}_1$ on which $C$ and $E_{\infty,1}$ and $E_{\infty,2}$ are respectively fibers and a section of the $\mathbb{P}^1$-bundle structure $\rho_1 : \mathbb{F}_1 \to \mathbb{P}_{\mathbb{R}}^1$. By construction, the composition $\tau_4 \circ \tau_3 \circ \tau_2 \circ \tau_1^{-1} : \mathbb{P}_{\mathbb{R}}^2 \dashrightarrow \mathbb{F}_1$ restricts to a birational diffeomorphism

$$\alpha : \mathbb{A}_{\mathbb{R}}^2 = \mathbb{P}_{\mathbb{R}}^2 \setminus L_\infty \dashrightarrow \mathbb{F}_1 \setminus (E_{\infty,1} \cup E_{\infty,2}) \simeq \mathbb{A}_{\mathbb{R}}^2$$

such that $\alpha \circ f : \mathbb{A}_{\mathbb{R}}^1 \dashrightarrow \mathbb{A}_{\mathbb{R}}^2$ coincides with the closed immersion of $\mathbb{A}_{\mathbb{R}}^1$ as a real fiber of the projection $\text{pr}_2 = \rho_1|_{\mathbb{A}_{\mathbb{R}}^2} : \mathbb{A}_{\mathbb{R}}^2 \to \mathbb{A}_{\mathbb{R}}^1$. This shows that $f$ is rectifiable. $\qquad\square$

5.2.2. *Two singular points.*

**Lemma 34.** *A rational smooth embedding $f : \mathbb{A}_{\mathbb{R}}^1 \dashrightarrow \mathbb{A}_{\mathbb{R}}^2$ whose associated curve $C \subset \mathbb{P}_{\mathbb{R}}^2$ is a quartic with singularities $A_2 + A_3^*$ or $A_4 + A_1^*$ is rectifiable.*

*Proof.* We deal with each case separately (see Figure 5.4).
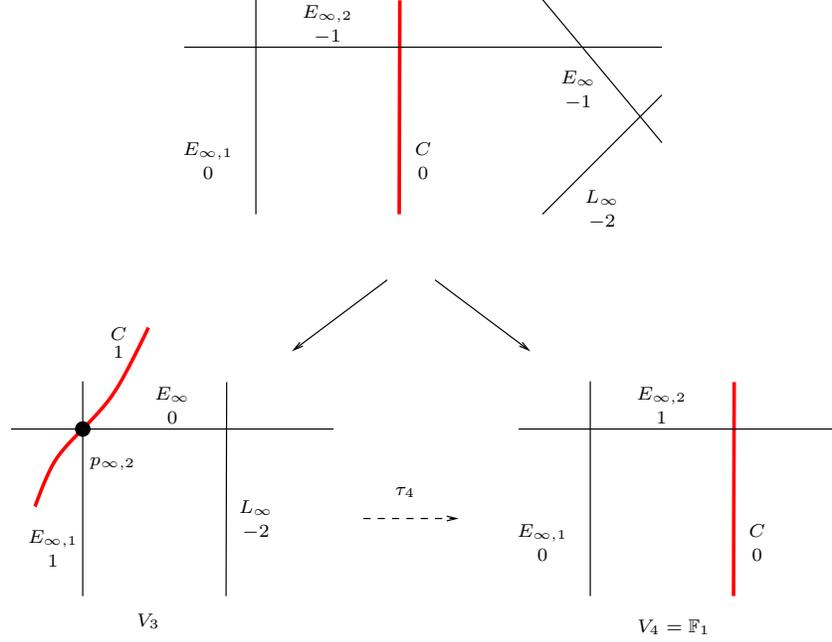
FIGURE 5.3. Three singular points, step 3: case $A_2 + 2A_1^i$ or $A_2 + 2A_2^i$.

1) $C$ has a real ordinary cusp $p_0$ and a real tacnode $p_1$ with real tangent, whose inverse image in the normalization of $C$ consists of a pair $(q, \bar{q})$ of non-real complex conjugate points. Furthermore the tangent to $C$ at $p_0$ and $p_1$ is different from $L_\infty$. By Lemma 13, we have $C \cap L_\infty = \{p_0, p_1\}$. A minimal log-resolution $\tau : (V, B) \to (\mathbb{P}^2_\mathbb{R}, C \cup L_\infty)$ of the pair $(\mathbb{P}^2_\mathbb{R}, C \cup L_\infty)$ for which $B$ has no imaginary loop is obtained by blowing-up on the one hand the real point $p_0$ with exceptional divisor $E_{0,1}$, then the real intersection point $p_{0,1} \neq L_\infty \cap E_{0,1}$ of the proper transform of $C$ with $E_{0,1}$, with exceptional divisor $E_{0,2}$ and then the real intersection point $p_{0,2}$ of the proper transform of $C$ with $E_{0,2}$, with exceptional divisor $E_{0,3}$, and on the other hand the real point $p_1$ with exceptional divisor $E_{1,1}$, the real intersection point $p_{1,1} \neq L_\infty \cap E_{1,1}$ of the proper transform of $C$ with $E_{1,1}$, with exceptional divisor $E_{1,2}$ and then the pair of non-real complex conjugate intersection points $(q, \bar{q})$ of the proper transform of $C$ with $E_{1,2}$, with respective exceptional divisors $F$ and $\overline{F}$. The proper transform of $C$ in $V$ is a smooth rational curve with self-intersection 0, which intersects $E_{0,3}$, $F$ and $\overline{F}$ transversally. The proper transforms in $V$ of the non-real complex conjugate smooth conics $Q$ and $\overline{Q}$ passing through $p_0, p_{0,1}, p_1, p_{1,1}, q$ and $p_0, p_{0,1}, p_1, p_{1,1}, \bar{q}$ respectively are disjoint $(-1)$-curves which do not intersect the proper transform of $C$. The contraction $\tau_1 : V \to V_1$ of $Q \cup \overline{Q} \cup L_\infty \cup E_{1,1} \cup E_{0,1} \cup E_{1,2}$ is a birational morphism defined over $\mathbb{R}$. The images in $V_1$ of $C$ and $E_{0,2}$ have self-intersection 0 while the image of $E_{0,3}$ has self-intersection 1. We conclude in the same way as in the proof of Lemma 33 that $V_1 \simeq \mathbb{F}_1$ on which $C$, $E_{0,2}$ and $E_{0,3}$ are respectively fibers and a section of $\rho_1 : \mathbb{F}_1 \to \mathbb{P}^1_\mathbb{R}$, that $\tau_1 \circ \tau^{-1} : \mathbb{P}^2_\mathbb{R} \dashrightarrow \mathbb{F}_1$ restricts to a birational diffeomorphism

$$\alpha : \mathbb{A}^2_\mathbb{R} = \mathbb{P}^2_\mathbb{R} \setminus L_\infty \dashrightarrow \mathbb{F}_1 \setminus (E_{0,3} \cup E_{0,2}) \simeq \mathbb{A}^2_\mathbb{R}$$

for which $\alpha \circ f : \mathbb{A}^1_\mathbb{R} \dashrightarrow \mathbb{A}^2_\mathbb{R}$ coincides with the closed immersion of $\mathbb{A}^1_\mathbb{R}$ as a real fiber of the projection $\mathrm{pr}_2 : \mathbb{A}^2_\mathbb{R} \to \mathbb{A}^1_\mathbb{R}$.

2) $C$ has real double cusp $p_0$ and a real ordinary double point $p_1$ with non-real complex conjugate tangents. Furthermore, the tangent line to $C$ at each of them is different from $L_\infty$. As in the previous case, we have $C \cap L_\infty = \{p_0, p_1\}$. A minimal log-resolution $\tau : (V, B) \to (\mathbb{P}^2_\mathbb{R}, C \cup L_\infty)$ of the pair $(\mathbb{P}^2_\mathbb{R}, C \cup L_\infty)$ for which $B$ has no imaginary loop is obtained by blowing-up on the one hand the real point $p_0$ with exceptional divisor $E_{0,1}$, then the real intersection point $p_{0,1} \neq E_{0,1} \cap L_\infty$ of the proper transform of $C$ with $E_{0,1}$, with exceptional divisor $E_{0,2}$, then the real intersection point $p_{0,2}$ of the proper transform of $C$ with $E_{0,2}$ with exceptional divisor $E_{0,3}$ and then the real intersection point $p_{0,3}$ of the proper transform of $C$ with $E_{0,3}$ with exceptional divisor $E_{0,4}$, and on the other the real point $p_1$
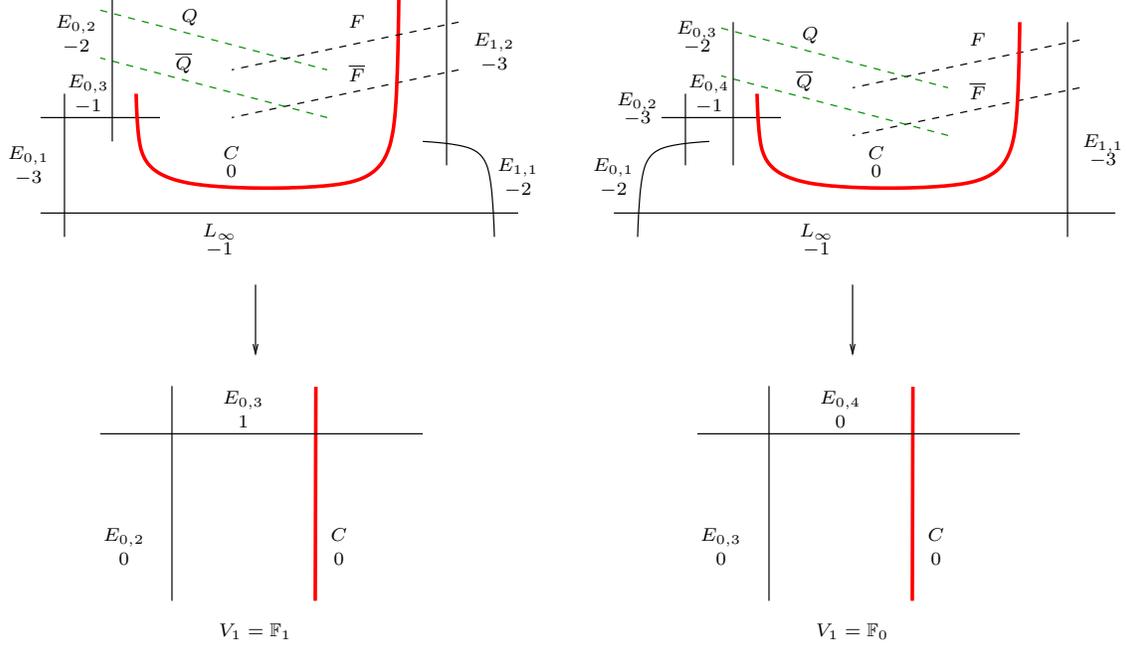
FIGURE 5.4. Two singular points: case $A_2 + A_3^*$ on the left and $A_4 + A_1^*$ on the right.

with exceptional divisor $E_{1,1}$ and then the pair of non-real complex conjugate intersection points $(q, \overline{q})$ of the proper transform of $C$ with $E_{1,1}$, with respective exceptional divisors $F$ and $\overline{F}$. The proper transform of $C$ in $V$ is a smooth rational curve with self-intersection 0, which intersects $E_{0,4}$, $F$ and $\overline{F}$ transversally. The proper transforms in $V$ of the non-real complex conjugate smooth conics $Q$ and $\overline{Q}$ passing through $p_0, p_{0,1}, p_{0,2}, p_1, q$ and $p_0, p_{0,1}, p_{0,2}, p_1, \overline{q}$ respectively are disjoint $(-1)$-curves which do not intersect the proper transform of $C$. The contraction $\tau_1 : V \to V_1$ of $Q \cup \overline{Q} \cup L_\infty \cup E_{0,1} \cup E_{1,1} \cup E_{0,2}$ is a birational morphism defined over $\mathbb{R}$. The images in $V_1$ of $C$, $E_{0,3}$ and $E_{0,4}$ all have self-intersection 0. Counting the number of points blown-up and curves contracted, we conclude that $V_1 \simeq \mathbb{F}_0 = \mathbb{P}^1_\mathbb{R} \times \mathbb{P}^1_\mathbb{R}$ in which the images of $C$, $E_{0,3}$ and $E_{0,4}$ are respectively fibers and a section of $\mathrm{pr}_2 : \mathbb{F}_0 \to \mathbb{P}^1_\mathbb{R}$, and that

$$\alpha = \tau_1 \circ \tau^{-1}|_{\mathbb{P}^2_\mathbb{R} \setminus L_\infty} : \mathbb{A}^2_\mathbb{R} = \mathbb{P}^2_\mathbb{R} \setminus L_\infty \dashrightarrow \mathbb{F}_0 \setminus (E_{0,4} \cup E_{0,3}) \simeq \mathbb{A}^2_\mathbb{R}$$

is a birational diffeomorphism for which $\alpha \circ f : \mathbb{A}^1_\mathbb{R} \dashrightarrow \mathbb{A}^2_\mathbb{R}$ coincides with the closed immersion of $\mathbb{A}^1_\mathbb{R}$ as a real fiber of the projection $\mathrm{pr}_2 : \mathbb{A}^2_\mathbb{R} \to \mathbb{A}^1_\mathbb{R}$. $\qquad\square$

5.2.3. *A unique singular point.*

**Lemma 35.** *Let $f : \mathbb{A}^1_\mathbb{R} \dashrightarrow \mathbb{A}^2_\mathbb{R}$ be a rational smooth embedding whose associated curve $C \subset \mathbb{P}^2_\mathbb{R}$ is a quartic with a unique singular point $p_\infty$. Then the following holds:*

*a) If $p_\infty$ is either triple point $D_4^*$ or $E_6$ or a ramphoid cusp $A_6$ such that $T_{p_\infty} C = L_\infty$ then $f$ is rectifiable.*

*b) Otherwise if $p_\infty$ is an oscnode $A_5^*$ or a ramphoid cusp $A_6$ such that $T_{p_\infty} C \neq L_\infty$ then $f$ is biddable but not rectifiable.*

*Proof.* We consider each of the possible singularities $E_6$, $D_4^*$, $A_6$ and $A_5^*$ listed in Lemma 31 separately.

1) If $p_\infty$ is a multiplicity 3 cusp $E_6$ with tangent $L_\infty$ then $f : \mathbb{A}^1_\mathbb{R} \dashrightarrow \mathbb{A}^2_\mathbb{R}$ is actually an everywhere defined closed embedding, which is thus rectifiable by the Abhyankar-Moh Theorem over $\mathbb{R}$.

2) If $p_\infty$ is a real triple point of type $D_4^*$ then the tangent cone to $C$ at $p_\infty$ is the union of $L_\infty$ and a pair $L$ and $\overline{L}$ of non-real complex conjugate tangents to $C$. Since every line in $\mathbb{P}^2_\mathbb{R}$ passing through $p_\infty$ intersects $C \setminus \{p_\infty\}$ transversally in at most one real point, the proper transform of $C$ in the blow-up $\tau_1 : \mathbb{F}_1 \to \mathbb{P}^2_\mathbb{R}$ of $p_\infty$ with exceptional divisor $E \simeq \mathbb{P}^1_\mathbb{R}$ is a section of the $\mathbb{P}^1$-bundle structure $\rho_1 : \mathbb{F}_1 \to \mathbb{P}^1_\mathbb{R}$. It intersects $E$ transversally at the pair of non-real complex conjugate

intersection points $q$ and $\overline{q}$ of the proper transforms of $L$ and $\overline{L}$ with $E$ respectively and at the real intersection point of the proper transform of $L_\infty$ with $E$. Let $\tau_2 : \mathbb{F}_1 \dashrightarrow \mathbb{F}_3$ be the birational map defined over $\mathbb{R}$ consisting of the blow-up of $q$ and $\overline{q}$ followed by the contraction of the proper transform of $L$ and $\overline{L}$. The proper transforms of $L_\infty$ and $E$ are respectively a fiber and the negative section of the $\mathbb{P}^1$-bundle structure $\rho_3 : \mathbb{F}_3 \to \mathbb{P}^1_\mathbb{R}$ while the proper transform of $C$ in $\mathbb{F}_3$ is a section of $\rho_3$ intersecting $E$ transversally at the real point $E \cap L_\infty$. The birational map $\tau_2 \circ \tau_1^{-1}$ restricts to a birational diffeomorphism $\alpha : \mathbb{A}^2_\mathbb{R} = \mathbb{P}^2_\mathbb{R} \setminus L_\infty \overset{\sim}{\dashrightarrow} \mathbb{F}_3 \setminus (E \cup L_\infty) \simeq \mathbb{A}^2_\mathbb{R}$ for which the composition $\alpha \circ f : \mathbb{A}^1_\mathbb{R} \dashrightarrow \mathbb{A}^2_\mathbb{R}$ is an everywhere defined closed embedding. By the Abhyankar-Moh Theorem over $\mathbb{R}$, $\alpha \circ f$ is rectifiable, and so $f$ is rectifiable.

3) If $p_\infty$ is a ramphoid cusp $A_6$ with tangent $T_{p_\infty} C = L_\infty$ then $(C \cdot L_\infty)_{p_\infty} = 4$ and then $f : \mathbb{A}^1_\mathbb{R} \dashrightarrow \mathbb{A}^2_\mathbb{R}$ is an everywhere defined closed embedding, which is thus straigthenable by the Abhyankar-Moh Theorem over $\mathbb{R}$.

4) If $p_\infty$ is a ramphoid cusp $A_6$ such that $T_{p_\infty} C \neq L_\infty$ then $C \cap (L_\infty \setminus \{p_\infty\})$ consists of a pair $\{q, \overline{q}\}$ of non-real complex conjugate points while the tangent $T$ to $C$ at $p_\infty$ intersects $C$ with multiplicity 4 at $p_\infty$. Let $\overline{\rho} : \mathbb{P}^2_\mathbb{R} \dashrightarrow \mathbb{P}^1_\mathbb{R}$ be the rational map defined by the pencil $\mathcal{M} \subset |\mathcal{O}_{\mathbb{P}^2_\mathbb{R}}(4)|$ generated by $C$ and $3T + L_\infty$. A minimal resolution $\tau : V_1 \to \mathbb{P}^2_\mathbb{R}$ of the indeterminacy of $\overline{\rho}$ is obtained by blowing-up $q$ and $\overline{q}$ with respective non-real complex conjugate exceptional divisor $F$ and $\overline{F}$, the point $p_\infty$ with exceptional divisor $E_1 \simeq \mathbb{P}^1_\mathbb{R}$ and four times successively the intersection point $p_{\infty,i}$ of the proper transform of $C$ and $E_i$, with exceptional divisor $E_{i+1} \simeq \mathbb{P}^1_\mathbb{R}$. The reduced total transform $B_1$ of $L_\infty$ is a tree of smooth geometrically rational curves. The proper transform of $C$ in $V$ is a smooth rational curve with self-intersection 0, and so $\overline{\pi}_1 = \overline{\rho} \circ \tau : V_1 \to \mathbb{P}^1_\mathbb{R}$ is a $\mathbb{P}^1$-fibration, having the proper transform of $C$ as a smooth real fiber, and the exceptional divisors $F$, $\overline{F}$ and $E_5$ as sections (See left hand side of Figure 5.5).

The fiber of $\overline{\pi}_1$ over the real point $\overline{\pi}_1(E_4)$ consists of the union of $E_4$ and the proper transforms of the non-real complex conjugate smooth conics $Q$ and $\overline{Q}$ passing respectively through $p_\infty, p_{\infty,1}, p_{\infty,2}, p_{\infty,3}, q$ and $p_\infty, p_{\infty,1}, p_{\infty,2}, p_{\infty,3}, \overline{q}$. These are disjoint $(-1)$-curves in $V_{1,\mathbb{C}}$ which can thus be contracted by a birational morphism $\sigma : V_1 \to V$ defined over $\mathbb{R}$. We let $B = L_\infty \cup E_1 \cup \cdots \cup E_5$ be the proper transform of $B_{1,\mathbb{R}}$. The proper transform of $E_4$ is then a full fiber of the induced $\mathbb{P}^1$-fibration $\overline{\pi} = \overline{\pi}_1 \circ \sigma^{-1} : V \to \mathbb{P}^1_\mathbb{R}$ and the latter restricts on $S = V \setminus B$ to an $\mathbb{A}^1$-fibration $\pi : S \to \mathbb{A}^1_\mathbb{R}$ with a unique singular fiber equal to $T_{p_\infty} \cap S \simeq \mathbb{A}^1_\mathbb{R}$ with multiplicity 3. By Theorem 2, $S$ is an algebraic model of $\mathbb{R}^2$ and by construction, $\alpha = \sigma \circ \tau^{-1} : \mathbb{P}^2_\mathbb{R} \dashrightarrow V$ induces a birational diffeomorphism $\mathbb{P}^2_\mathbb{R} \setminus L_\infty \dashrightarrow S$ that maps $C$ to an irreducible fiber of $\overline{\pi}$. This shows that $f : \mathbb{A}^1_\mathbb{R} \dashrightarrow \mathbb{A}^2_\mathbb{R}$ is biddable. But since $\pi$ has $T \cap S \simeq \mathbb{A}^1_\mathbb{R}$ as a non-reduced fiber, it follows from Corollary 27 that $f$ is not rectifiable.

5) If $p_\infty$ is an oscnode whose inverse image in the normalization of $C$ consists of a pair $\{q, \overline{q}\}$ of non-real complex conjugate points and whose tangent is different from $L_\infty$. It follows from Lemma 13 that $C \cap (L_\infty \setminus \{p_\infty\})$ consists of a unique other smooth real point $p_0$ at which $C$ and $L_\infty$ intersect with multiplicity 2. As in the previous case, the tangent $T$ to $C$ at $p_\infty$ intersects $C$ with multiplicity 4 at $p_\infty$, and we consider the rational map $\overline{\rho} : \mathbb{P}^2_\mathbb{R} \dashrightarrow \mathbb{P}^1_\mathbb{R}$ defined by the pencil $\mathcal{M} \subset |\mathcal{O}_{\mathbb{P}^2_\mathbb{R}}(4)|$ generated by $C$ and $3T + L_\infty$. A minimal resolution $\tau : V_1 \to \mathbb{P}^2_\mathbb{R}$ of the indeterminacy of $\overline{\rho}$ for which $B$ has no imaginary loop is obtained by blowing-up on the one hand $p_0$ with exceptional divisors $E_{0,1}$ and then the intersection point $p_{0,1}$ of the proper transform of $C$ and $E_{0,1}$ with exceptional divisor $E_{0,2}$, and on the other hand blowing-up $p_\infty$ with exceptional divisor $E_{\infty,1}$, then two times successively the intersection point $p_{\infty,i}$ of the proper transform of $C$ and $E_{\infty,i}$ with exceptional divisor $E_{\infty,i+1}$ and finally blowing-up the pair $\{q, \overline{q}\}$ of non-real complex conjugate points of intersection of $E_{\infty,3}$ with the proper transform of $C$, with respective exceptional divisors $F$ and $\overline{F}$ (See right hand side of Figure 5.5). The reduced total transform $B_1$ of $L_\infty$ is a tree of smooth geometrically rational curves. The proper transform of $C$ in $V$ is a smooth rational curve with self-intersection 0. So $\overline{\pi}_1 = \overline{\rho} \circ \tau_1 : V_1 \to \mathbb{P}^1_\mathbb{R}$ is a $\mathbb{P}^1$-fibration having the proper transform of $C$ as a smooth real fiber and the curves $E_{0,2}$, $F$ and $\overline{F}$ as disjoint sections. The fiber of $\overline{\pi}_1$ over the real point $\overline{\pi}_1(E_{0,1})$ consists of the union of $E_{0,1}$ and the proper transforms of the non-real complex conjugate smooth conics $Q$ and $\overline{Q}$ passing respectively through $p_0, p_\infty, p_{\infty,1}, p_{\infty,2}, q$ and $p_0, p_\infty, p_{\infty,1}, p_{\infty,2}, \overline{q}$. These are disjoint $(-1)$-curves in $V_{1,\mathbb{C}}$ which can thus be contracted by a birational morphism $\sigma : V_1 \to V$ defined over $\mathbb{R}$. We let $B = L_\infty \cup E_{\infty,1} \cup E_{\infty,2} \cup E_{\infty,3} \cup E_{0,1} \cup E_{0,2}$ be the proper transform of $B_{1,\mathbb{R}}$. The proper transform
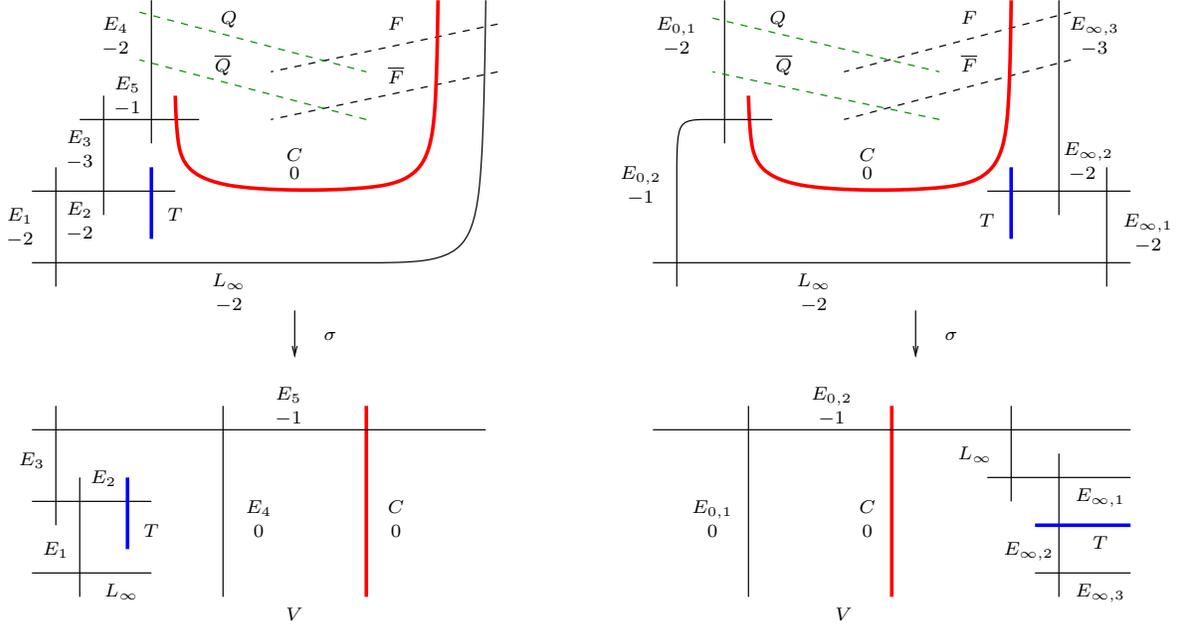
FIGURE 5.5. One singular point: case $A_6$ on the left and $A_5^*$ on the right.

of $E_{0,1}$ is then a full fiber of the induced $\mathbb{P}^1$-fibration $\overline{\pi} = \overline{\pi}_1 \circ \sigma^{-1} : V \to \mathbb{P}^1_{\mathbb{R}}$, and the latter restricts on $S = V \setminus B$ to an $\mathbb{A}^1$-fibration $\pi : S \to \mathbb{A}^1_{\mathbb{R}}$ with a unique singular fiber equal to $T \cap S \simeq \mathbb{A}^1_{\mathbb{R}}$ with multiplicity 3. We conclude as in the previous case that $f : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$ is biddable but not rectifiable. $\qquad\square$

*Remark* 36. Let $f_i : \mathbb{A}^1_{\mathbb{R}} \dashrightarrow \mathbb{A}^2_{\mathbb{R}}$, $i = 1, 2$, be rational smooth embeddings whose associated curve $C \subset \mathbb{P}^2_{\mathbb{R}}$ is a quartic whose unique singular point $p_\infty$ is an oscnode $A_5^*$ or a ramphoid cusp $A_6$ such that $T_{p_\infty} C \neq L_\infty$ respectively. In each case, the $\mathbb{A}^1$-fibered model $\pi_i : S_i \to \mathbb{A}^1_{\mathbb{R}}$ of $\mathbb{R}^2$ for which $\alpha_i \circ f_i : \mathbb{A}^1_{\mathbb{R}} \to S_i$ is a closed embedding as a smooth fiber of $\pi_i$ constructed in the proof of Lemma 35 has a unique multiple fiber, of multiplicity 3, say $\pi_i^{-1}(0)$. On the other hand, one sees on Figure 5.5 that the $\mathbb{P}^1$-fibrations $\tilde{\pi}_i : V_i \to \mathbb{P}^1_{\mathbb{R}}$ extending $\pi_i$ have non-isomorphic real fibers $\tilde{\pi}_1^{-1}(0)$ and $\tilde{\pi}_2^{-1}(0)$: in the $A_5^*$ case, the irreducible component of $\tilde{\pi}_1^{-1}(0)$ intersecting the section of $\tilde{\pi}_1$ contained in $B_1$ has self-intersection $-3$, while the irreducible component of $\tilde{\pi}_2^{-1}(0)$ intersecting the section of $\tilde{\pi}_2$ contained in $B_2$ has self-intersection $-2$ in the $A_6$ case. This implies that there cannot exist any birational map $\beta : V_1 \dashrightarrow V_2$ which restricts to a birational diffeomorphism between $S_1$ and $S_2$ and such that $\tilde{\pi}_2 \circ \beta = \tilde{\pi}_1$. It follows in turn from Theorem 27 that $f_1$ and $f_2$ are inequivalent rational smooth embeddings of $\mathbb{A}^1_{\mathbb{R}}$ in $\mathbb{A}^2_{\mathbb{R}}$.

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