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Graph problems with obligations

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Abstract

In this paper we study variants of well-known graph problems as vertex cover, spanning tree, matchings, and hamiltonian path. Given a graph G = (V, E), we add a partition Π_V (resp. Π_E) of its vertices (resp. of its edges). Now, any solution S containing an element (vertex or edge) of a part of this partition must also contain all the others ones. In other words, elements can only be added set by set, instead of one by one as in the classical situation. We propose hardness and approximation results.

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1 Obligations

In this paper we deal with classical graph problems with additional constraints. Let G = (V, E) be any undirected graph. We call system of obligations on vertices of G a partition $\Pi_V = V_1, \ldots, V_k$ of V and a system of obligations on edges of G a partition $\Pi_E = E_1, \ldots, E_k$ of E. Each element V_i (resp. E_i) is called a part of Π_V (resp. Π_E). Now, given G and an associated system Π_V (resp. $\Pi_E)$ of obligations on vertices (resp. edges), any solution S to a problem on G must have the property that: if $u \in S$ (resp. $e \in S$) and $u \in V_i$ (resp. $e \in E_i$) then V_i (resp. E_i) must be entirely included in S, that is $V_i \subseteq S$ (resp. $E_i \subseteq S$). In other words, once an "object" x (vertex or edge), element of a part X, is in a solution, all the others elements of X must also be included in the solution. Obligations can be useful to model situations in which some set of elements (captors, computers, softwares, people, etc.) are interdependent and the presence of one element induces the presence of all the other ones. From an algorithmic point of view, we will see that in most cases the problems become much harder than the original ones.

This study comes to complete many recent works on a sort of opposite problem, implying what is called *conflit* which is a pair $\{x, y\}$ of edges or vertices of a graph that *cannot* be both in a solution. Here an instance is then a graph G and a set of conflicts. Obtaining a solution without conflict is hard in general for many graph problems, as it is shown in these papers [2, 3, 4, 7, 8, 9, 10, 11, 12, 13, 14].

In what follows we give some useful notations (undefined terms can be found in [5] for example). Let G = (V, E) be any non directed graph, with V its set of vertices and E its set of edges. Two vertices u and v are *neighbours* if G contains the edge uv. The *degree* of a vertex u is its number of neighbours. We call graph induced by the set of edges $E_i \subseteq E$, the graph whose set of edges is E_i and whose vertices are the ones that are at the extremity of at least an edge of E_i . The graph induced by a set S of vertices of G, noted G[S], is the graph whose set of vertices is S and whose edges are the ones of G connecting two vertices of S. A stable (or independent) S of G is a subset of its vertices having the property that G[S] contains no edge.

2 Vertex cover with obligations on vertices

Let G = (V, E) be any graph and $\Pi_V = V_1, \ldots, V_k$ a partition of V, a system of obligations on vertices of G. A vertex cover with obligations (\mathcal{VCO}) S, of (G, Π_V) is:

- A vertex cover of G: each edge $e = uv \in E$ is covered by S ($u \in S$ or $v \in S$ (both can be in S)).
- $\forall u \in S$, if $u \in V_i$, then $V_i \subseteq S$.

It is easy to see that any instance $(G = (V, E), \Pi_V)$ always contains at least a \mathcal{VCO} , namely S = V.

A $\mathcal{VCO} S^*$ of the instance (G, Π_V) is said *optimal*, and noted $\mathcal{VCO}_{\mathcal{OPT}}$, if it is of minimum size. Constructing a $\mathcal{VCO}_{\mathcal{OPT}}$ is hard since even in the very particular case where each part of Π_V is a singleton, this is the classical NP-complete vertex cover problem [6]. In what follows we propose an approximation algorithm for the $\mathcal{VCO}_{\mathcal{OPT}}$ problem. But first we can easily simplify the instance in some cases. Indeed, we can remark that if $e = uv \in E$ and u and v are in the same part V_i of the partition $(u \in V_i \text{ and } v \in V_i)$ then any \mathcal{VCO} (thus any $\mathcal{VCO}_{\mathcal{OPT}}$) must contain V_i since the edge e = uv must be covered and u or v must be in any solution and thus also V_i . Before running any algorithm, we can include in any solution, all the parts V_i of Π_V such that G contains an edge e with both extremities in V_i . This can be done in polynomial time. We suppose now that this pre-treatment has been done and that G does not contain these vertices anymore and Π_V does not contain these parts anymore.

A 2-approximation algorithm for the $\mathcal{VCO}_{\mathcal{OPT}}$ problem. At this point we can suppose that an instance is now $(G = (V, E), \Pi_V = V_1, \ldots, V_k)$ where each V_i is a stable of G.

- 1. Construct as follows a new weighted graph $G_c = (V_c, E_c)$ called *contracted graph*:
 - Each stable V_i of Π_V is associated to a vertex v_i of G_c .

- The weight of v_i is the number of vertices of V_i ($|V_i|$).
- Add an edge between v_i and v_j in G_c iff G contains (at least) an edge having an extremity in V_i and the other in V_j .
- 2. Construct a 2-approximated weighted vertex cover S_c in G_c (this can be done in polynomial time, see [1]).
- 3. Return $S = \bigcup_{i:v_i \in S_c} V_i$.

Theorem 1 The algorithm described above is a 2-approximation algorithm for the \mathcal{VCO}_{OPT} problem.

Proof. This algorithm is polynomial. It constructs a vertex cover of G that satisfies the constraints on obligations.

Note that to respect the conditions on obligations, any \mathcal{VCO} of (G, Π_V) is an union of some parts of Π_V . We construct now a one-to-one correspondence respecting the weights and the sizes between the \mathcal{VCO} of (G, Π_V) and the vertex covers of G_c .

- Let S be any \mathcal{VCO} of (G, Π_V) . The set $S_c = \{v_i : i : V_i \subseteq S\}$ associated to S is a vertex cover of G_c of weight |S|.
- Conversaly, let $S_c = \{v_1, \ldots, v_l\}$ be any weighted vertex cover of G_c . In this case, $S = \{V_i : i : v_i \in S_c\}$ is a \mathcal{VCO} of (G, Π_V) whose size is equal to the weight of S_c .

A 2-approximation of an optimal weighted vertex cover of G_c corresponds to a 2-approximated $\mathcal{VCO}_{\mathcal{OPT}}$ of (G, Π_V) . Hence the proposed algorithm is a 2-approximation algorithm for the $\mathcal{VCO}_{\mathcal{OPT}}$ problem.

3 Connected vertex cover with obligations on vertices

In this section, G = (V, E) is a connected graph. As in section 2, the obligations are given by a partition $\Pi_V = V_1, \ldots, V_k$ of V. A CVCO, connected vertex cover with obligations, S of the instance (G, Π_V) is:

- A vertex cover of G (for any edge $uv \in E$, $u \in S$ or $v \in S$ (both can be in S)),
- A connected set of vertices: G[S] (the induced graph of S in G) is connected,
- S respects the constraints of obligations of Π_V .

A $CVCO_{OPT}$ is a VCO of minimum size. Constructing a $CVCO_{OPT}$ is a hard problem, even if Π_V is a partition of singletons (in this case this the classical NP-complete connected vertex cover problem [6]).

Theorem 2 Any α -approximation algorithm for the $CVCO_{OPT}$ problem can be transformed into a 2α -approximation algorithm for the Optimal Set Cover problem.

Proof. Let (A, X) be any instance of the Set Cover problem: $A = \{a_1, \ldots, a_n\}$ is a set of n elements and $X = X_1, \ldots, X_k$ is a family of subsets of A $(X_i \subseteq A)$ covering A: $A = \bigcup_{i=1}^k X_i$. An optimal set cover is a sub-family of X, of minimum size, covering A. We note t^* the size of such an optimal solution of (A, X).

From (A, X) let us construct an instance of our problem. Each element a_i is associated to a vertex, also noted a_i . Each set X_i of X is associated to a set noted V_i of n+1 new vertices, forming a stable. Each of the n+1 vertices of the set V_i is connected to a vertex a_j iff the set X_i contains a_j . Create now a new vertex r and connect it to all the vertices of the k sets V_i . The degree of r is then k(n+1). We note G = (V, E) the final graph that is bipartite.

The obligations are the following. Each V_i is an obligation containing exactly n+1 independent vertices. Add the obligation V_0 containing r and the n vertices of A. V_0 is then also a stable of G composed of n+1 vertices. $\Pi_V = V_0, V_1 \dots, V_k$ is a partition of the set V of vertices of G and is the system of obligations that we consider here; each V_i is a stable of n+1 vertices of G. The instance (G, Π_V) can be constructed in polynomial time from the instance (A, X). Consider now the one-to-one mapping between the CVCO of (G, Π_V) and the set covers of (A, X).

Let $S_X = X_{i_1}, \ldots, X_{i_t}$ be any set cover of size t of (A, X). Consider now the following set S of vertices of G:

$$S = V_0 \cup \bigcup_{j=1}^t V_{i_j}$$

S is a vertex cover of G (all the edges of G are covered by the vertices of V_0), G[S] is connected (because the vertices of V_{i_j} are interconnected via r and each a_i is connected to at least all the vertices of a set V_{i_j} because S_X is a covering) and satisfies the obligations of Π_V (S is composed of an union of obligations of Π_V). The size of S is: |S| = n + 1 + t(n+1) = (n+1)(t+1).

Consider now any $\mathcal{CVCO}\ S$ of (G, Π_V) . As S satisfies the constraints on obligations, it is composed of an union of obligations. As G[S] is connected and G is bipartite, it must contain some of the obligations $V_i, i \ge 1$. But as S must contain r or a vertex a_i to ensure the connectivity it must contain the obligation V_0 . Note $V_0, V_{i_1}, \ldots, V_{i_t}$ the obligations composing $S: S = V_0 \cup V_{i_1} \cup \ldots \cup V_{i_t}$. Let $S_X = X_{i_1}, \ldots, X_{i_t}$ be the sub-family associated to this $\mathcal{CVCO}\ S$. As $V_0 \subseteq S$, each vertex a_i is connected to the other vertices of S via the vertices of at least a V_{i_j} . Thus S_X is a set cover of (A, X). We get: $|S_X| = t$ and |S| = (t+1)(n+1).

This one-to-one mapping associates to each set cover of size $t \in CVCO$ of size (t+1)(n+1) and reciprocally. The transformations in one direction or the other can be done in polynomial time.

Suppose that a $\mathcal{CVCO}_{\mathcal{OPT}}$ can be approximated with a ratio α in polynomial time. Then, for any instance (A, X) one can: construct the associated instance (G, Π_V) , then use this approximation algorithm to construct a α -approximated \mathcal{CVCO} S: $(t+1)(n+1) = |S| \leq \alpha |S^*|$. Then with the one-to-one transformation, one can construct the associated set cover S_X , of size t. This chain of constructions is polynomial. Let S_X^* be an optimal set cover, of size t^* . By the one-to-one transformation, this corresponds to a \mathcal{CVCO} of size $(t^*+1)(n+1)$. This \mathcal{CVCO} is optimal (otherwise it would be possible to construct a smaller one with the one-to-one transformation). Hence,

$$|S| = (t+1)(n+1) \le \alpha(t^*+1)(n+1)$$

then, $t + 1 \leq \alpha(t^* + 1)$ and $t \leq \alpha t^* + (\alpha - 1) \leq \alpha(t^* + 1) \leq 2\alpha t^*$ (because $1 \leq t^*$). The algorithm described above is then a 2α -approximation algorithm for the set cover problem.

Corollary 1 The $CVCO_{OPT}$ problem cannot be approximated by a ratio better than $c\log(n)/2$ unless P = NP.

Proof. Theorem 2 shows that the $CVCO_{OPT}$ problem cannot be approximated by a ratio better than $c \log(n)/2$ since the optimal set cover problem cannot be approximated within $c \log(n)$ for some c > 0, unless P = NP, see [1].

4 Spanning tree with obligations on edges

In this section, an instance is $(G = (V, E), \Pi_E = E_1, \ldots, E_k)$ where G is any connected graph and Π_E , the obligations, is a partition of E.

The objective is, given an instance, $(G = (V, E), \Pi_E = E_1, \ldots, E_k)$, to decide if there is a *tree* spanning G with obligations $(\mathcal{TSO}) T = (V, E_T)$ which is a tree spanning G and such that for any $e \in E_T$, if $e \in E_i$ then all the edges of E_i must also be in T.

Theorem 3 Deciding if $(G = (V, E), \Pi_E = E_1, \ldots, E_k)$ contains a TSO is NP-complete, even if:

- G is bipartite, of maximum degree 4 and
- each E_i induces a star (that is a tree with a vertex directly connected to all the others) with exactly 3 edges ($|E_i| = 3$).

Proof. The problem is in NP.

Let $(X = \{x_1, \ldots, x_{3q}\}, Z_1, \ldots, Z_k)$ be any instance of the X3C problem (exact cover by 3 sets) where X is a set of 3q elements and each Z_i is a subset of 3 elements of X ($Z_i \subseteq X$ and $|Z_i| = 3$) with the property: $X = \bigcup_{i=1}^{k} Z_i$ (the sets Z_i cover X). The X3C problem consists in deciding if this instance contains an *exact cover* of X, i.e., if there exist Z_{i_1}, \ldots, Z_{i_q} two-by-two mutually disjoint sets such that $X = \bigcup_{j=1}^{q} Z_{i_j}$. This is a well-known NP-complete problem, even if each element x_i is in at most 3 sets, see [6]. It is this restricted formulation that we consider here.

From this instance, let us construct a graph G. For each element x_i of X create a new vertex, also noted x_i . For each set Z_i create a new vertex, also noted Z_i . Add an edge between each vertex Z_i and the 3 vertices that are in the set Z_i . Now, create a tree T_r to connect the k vertices Z_i that will become leaves of T_r . The Z_i are connected two-by-two by a new vertex. Then these $\lfloor k/2 \rfloor$ new vertices are connected two-by-two by a new vertex, and so on until there is only one final new vertex that we call r (as "root" of T_r). Each vertex u, except the leaves Z_i , have one or two children. For each such u we add a new vertex l_u (or two is necessarly) that is only connected to u (l_u is a leave). These 3 vertices are called the 3 children of u. We get now the final tree. All of these vertices and edges form the final graph G = (V, E) that is bipartite and, thanks to the restriction on X3C instances, the maximum degree of G is 4. An illustration of the construction is given in Figure 1: the bottom vertices are elements of X, squared vertices are the Z_i , black ones are the additional children and colored vertices are the internal ones of tree T_r . The dashed ellipses represent the obligations that are described now.

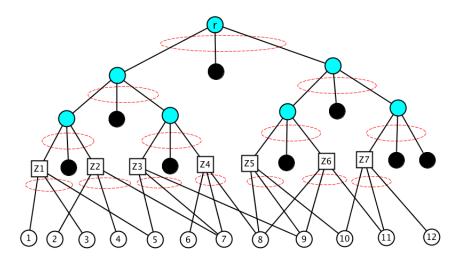


Figure 1: Construction of G from a X3C instance

For each vertex Z_i we group in a same obligation noted E_i the 3 edges connecting Z_i to the 3 vertices representing the 3 elements that are in set Z_i . We group in a same obligation the 3 edges connecting any internal vertex u to its 3 children. All these obligations are called *tree obligations*. Each edge of G is now in exactly one obligation (tree one or in a E_i) and the set of all these obligations is Π_E , composed of stars of exactly 3 edges.

The construction described above is polynomial.

Suppose that the X3C instance has a solution Z_{i_1}, \ldots, Z_{i_q} . In this case, we can select the following obligations: all the tree obligations and all the edges in the obligations E_{i_1}, \ldots, E_{i_q} . This gives a tree spanning G (each vertex x_i is a leaf because it is a neighbour of exactly one vertex Z_{i_j} and each vertex Z_l is connected to the other vertices via the tree T_r). This tree respects the obligations of Π_E and is then a TSO of (G, Π_E) .

Conversely, suppose that the instance (G, Π_E) has a \mathcal{TSO} noted T. As T respects the obligations, it necessarily contains all the tree obligations, this is mandatory to include the leaves of the form l_u . It also contains other obligations. But each vertex x_i is a leaf of T. Otherwise, if it is neighbour of 2 vertices, Z_a and Z_b then we would have a cycle with some edges of the tree obligations, that is not allowed because T is a tree. As T covers all the 3q vertices/leaves x_i it must contain exactly q vertices of type Z_i , noted Z_{i_1}, \ldots, Z_{i_q} , and their 3 edges incident from the associated obligations E_{i_1}, \ldots, E_{i_q} . The sets Z_{i_1}, \ldots, Z_{i_q} cover X and are pairwise mutually disjoint and is then a solution for the X3C instance.

5 Matchings with obligations on edges

In this section, an instance in (G, Π_E) where G = (V, E) is any undirected graph and $\Pi_E = E_1, \ldots, E_k$ is a partition of E, the set of edges of G.

A matching with obligations (\mathcal{MO}) M of the instance (G, Π_E) is a matching of G (set of pairwise non incident edges of G) such that for any edge e of M, if $e \in E_i$ then $E_i \subseteq M$.

It is polynomial to determine if (G, Π_E) contains a \mathcal{MO} . Indeed, there is a non empty \mathcal{MO} iff at least a part E_i induces a matching. From this we can simplify an instance (G, Π_E) : if a part E_i of Π_E induces a graph in which a vertex has more than one neighbour then E_i can be deleted from Π_E and the edges of E_i can be deleted form G. This pretreatment can be done in polynomial time. From now we suppose that $(G = (V, E), \Pi_E = E_1, \ldots, E_k)$ is an instance where each E_i induces a matching of G and thus contains a \mathcal{MO} (possibly empty). A \mathcal{MO} of maximum size is noted $\mathcal{MO}_{\mathcal{OPT}}$.

Theorem 4 Let $(G = (V, E), \Pi_E = E_1, \ldots, E_k)$ be an instance where each E_i induced a matching of G. Any α -approximation algorithm for the $\mathcal{MO}_{\mathcal{OPT}}$ problem can be transformed into a α -approximation algorithm for the maximum size stable problem.

Proof. Let $H = (V_H, E_H)$ be any graph, instance of the maximum size stable problem.

Note $V_H = \{h_1, \ldots, h_n\}$ the *n* vertices of *H*. We construct an instance of our problem from *H*. Let us create two new vertices a_i and b_i and associate a new edge $a_i b_i$ to each vertex h_i of *H*. For each edge $h_j h_i$ with j < i let us associate a new edge $a_j b_{ji}$ where b_{ji} is a new vertex, exclusively created for the edge $h_j h_i$ of *H*. The union of all these edges and vertices gives a graph noted *Q* (not yet the final graph *G*).

For each vertex h_i of H let us associate a set D_i of edges composed of edge $a_i b_i$ and all the edges $a_j b_{ji}$ with j < i and $h_j h_i \in E_H$:

$$D_i = \{a_i b_i\} \cup \{a_j b_{ji} : j < i, h_j h_i \in E_H\}$$

 D_1, \ldots, D_n form a partition of the edges of graph Q and each D_i induces a matching of Q. Figure 2 gives an example of this construction if H is a cycle with 4 vertices.

The sets D_i can have different sizes. Let D_a be the one of maximum size. The next steps consist in adding new independent edges, between new vertices, to each D_i such that the *n* sets all have the same size $|D_a|$.

Note G = (V, E) the graph obtained from Q by the addition of these new vertices and edges. We note $\Pi_E = E_1, \ldots, E_n$ the sets D_1, \ldots, D_n previously obtained. We now have the following properties.

- All the sets E_i have the same size noted t,
- E_1, \ldots, E_n is a partition of the set E of edges of G,
- each E_i induces a matching in G,
- G is a bipartite graph.
- $E_i \cup E_j$ is a matching of G iff $h_i h_j \notin E$.

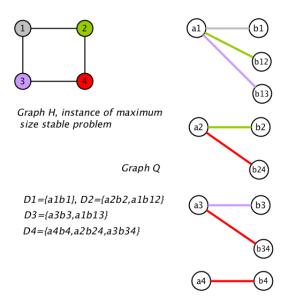


Figure 2: Construction of Q from H

This instance (G, Π_E) can be constructed in polynomial time from the instance H of the maximum size problem.

Let $S = \{h_{i_1}, \dots, h_{i_q}\}$ be any stable, of size q, in H. Let us consider the associated obligations to S: E_{i_1}, \dots, E_{i_q} . As S is a stable, $M_S = \bigcup_{j=1}^q E_{i_j}$ is a matching of G of size qt.

Conversely, let M be any matching of G, composed of the obligations E_{i_1}, \ldots, E_{i_q} . As M is a matching of G of size qt, $S = \{h_{i_1}, \ldots, h_{i_q}\}$ is a stable of size q in H.

There is a one-to-one mapping between the \mathcal{MO} of (G, Π_E) and the stables of H. The sizes are all the same, up to a factor t. Hence, if an approximation algorithm of ratio α exists for the $\mathcal{MO}_{\mathcal{OPT}}$ problem then it would be possible to approximate the maximum size stable problem with a ratio α via the previously described transformations: transform H into the instance (G, Π_E) , then apply the approximation algorithm on this instance and then transform its result into a stable of H. The conservation of the sizes (up to a factor t) by these transformations insures the approximation ratio.

Corollary 2 The $\mathcal{MO}_{\mathcal{OPT}}$ problem cannot be approximated with a ratio better than $|V|^{1/2-\epsilon}$ unless P = NP.

Proof. Theorem 4 shows that it is not possible to approximate the $\mathcal{MO}_{\mathcal{OPT}}$ problem with a better ratio than the one of the minimum size stable. But this last problem cannot be approximated within $|V|^{1/2-\epsilon}$ for any $\epsilon > 0$, unless P = NP, see [1].

6 Hamiltonian path in complete graphs with obligations on edges

Here an instance is $(G = (V, E), \Pi_E = E_1, \ldots, E_k)$ where G is any connected graph and Π_E a partition of E.

A hamiltonian path with obligation (\mathcal{HPO}) of (G, Π_E) is a hamiltonian path of G satisfying all the constraints of obligations of Π_E (if an edge e is in the path then all the edges belonging to the same obligation must also be in the path).

Theorem 5 Deciding if (G, Π_E) contains a \mathcal{HPO} is NP-complete, even if G is a complete graph.

Proof. The problem is in NP. Let H = (V, E) be any connected graph, instance of the hamiltonian path problem, which is an NP-complete problem, see [6]. Let n = |V|. We suppose here that $n \ge 4$ (if n is smaller then the problem can easily be solved in constant time). The graph for our problem is K_n , the complete graph on the n vertices V of H. The obligations are the following. For each edge uv of H, the edge uv of K_n is the only element (singleton) of this part. All the edges uv outside H ($uv \notin E$) are grouped in a single obligation E_0 . This instance (K_n, Π_E) can be constructed in polynomial time. We divide our study in two cases.

Case 1: E_0 induces a graph of maximum degree greater than or equal to 3. In this case, the edges of E_0 cannot be in a \mathcal{HPO} of K_n . Hence, H contains a hamiltonian path iff (K_n, Π_E) contains a \mathcal{HPO} .

Case 2: E_0 induces a graph of maximum degree at most 2. In this case, each vertex u has degree at least n-2 in H. But, by hypothesis $n \ge 4$, this implies that the degree in H of each vertex is at least n/2. This is the well-known (see [5] for example) Dirac sufficient condition for Hto have a hamiltonian cycle, i.e. also a hamiltonian path. Hence, H has a hamiltonian path and (K_n, Π_E) has a \mathcal{HPO} .

In all cases, H has a hamiltonian path iff (K_n, Π_E) has a \mathcal{HPO} .

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