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Playing with chemistry in supercritical solvents and the associated technologies for advanced materials by design

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One pot multistep process

This publication concerns the design of advanced nanostructured inorganic materials using supercritical fluids. A brief overview of the different experimental and numerical tools, which are now available for the scientific community and engineers, is proposed giving access to a better understanding but also a better control on material synthesis. The versatility of the supercritical fluids route for the preparation of different natures of inorganic materials is emphasized based on the access to numerous solvents, precursors and their associated chemistries. It is possible to produce materials with physicochemical properties, which can not be obtained with other routes and at large scale. All these chemistries give access to a wide range of nanobricks opening a new area for the preparation of advanced materials by design through the development of one pot multistep processes.

1. Introduction

The use of supercritical fluids has fascinated many researchers and engineers in the last 40 years with significant developments in numerous fields such as extraction/impregnation, green chemistry, wastewater treatment with the so called SuperCritical Water Oxidation (SCWO) process, biomass conversion, materials processing and recycling, etc. Among these application domains, this paper is focused on the use of supercritical fluids for the processing of advanced nanostructured materials. When supercritical fluids and materials are concerned, two main kinds of processes have to be considered: i) the

processes based on a physical transformation (Rapid Expansion of Supercritical Solution (RESS), Supercritical AntiSolvent (SAS), etc) for which the driving force of the precipitation is a physical phenomenon (fast depressurisation or an antisolvent effect) and ii) the processes based on a chemical reaction for the precipitation/crystallization, which are the result of a chemical reaction inducing the nucleation & growth phenomena.

This paper is dedicated to a discussion on the formation of inorganic nanomaterials using processes based on a chemical reaction. This technology is developed by different communities: chemists and chemical engineers with strong backgrounds in materials science. For all

the people involved in this research field, the target is to prepare advanced and green materials to answer to the main challenges of our modern society: energy, environment, health and communications. As chemists, we propose to emphasize the important role played by chemistry in the preparation of advanced nanomaterials using the supercritical fluid technology. This is the richness of chemistry through the use of different solvents and precursors, which allows the controlled synthesis of material with various natures among which are oxides, metals, nitrides, sulphides, phosphides, clays, carbon based materials, etc.

The first part of this publication is dedicated to the description of the experimental and modelling tools, which are today available to process nanostructured materials, while allowing understanding and improving the control of their formation. Then the preparation of advanced materials in supercritical fluids is discussed from a chemist perspective; the main solvents with the associated reaction(s) are presented. To go towards advanced multifunctional materials, it is now necessary to merge the research works performed by people working on the continuous synthesis of nanopowders in supercritical fluids and people working on the supercritical fluid chemical deposition (SFCD) process. Following a presentation of the possibility to design functional materials with the SFCD process from supported nanoparticles to core shell architectures, some future directions will be opened with the development of one pot multistep processes towards advanced materials by design.

2. An access to numerous processes, experimental and modelling tools

In the last ten years, significant efforts have been made by the research groups involved worldwide in the preparation of inorganic and hybrid organic inorganic nanostructures in supercritical fluids, to open the black box of supercritical reactors. After a brief description of the processes currently used to produce advanced materials using supercritical fluids, this section proposes a focus on the *in situ* diagnostic systems, which are available in the tool box of chemists for a better understanding, but also for a better development of innovative materials. All these advances in *in situ* investigations – meaning advances in understanding – allows considering modelling approaches discussed in the last part of this section.

2.1. Processes to prepare materials in supercritical fluids

The supercritical hydrothermal flow synthesis (SHFS) has been first reported by Adschiri et al. in the beginning of the 90's [1]. The developed experimental set up is described in Fig. 1a. This process is based on the mixing of a cold metal salt aqueous solution with

preheated water fed from another line to favour a burst nucleation.

This study was the starting point of numerous research works, mainly performed by chemical engineers, with two principal focus: i) the evaluation of the potentiality of this synthesis method on the processing of many inorganic and hybrid organic inorganic nanomaterials (to date, most of the elements of the periodic table have been tested) with an insight into the understanding of the hydrothermal mechanism and ii) the study of the mixing, which is the key point of this process. Regarding the first point, a recent review paper presents a state of the art of this continuous hydrothermal synthesis of inorganic nanoparticles [2]. The reader can find a significant coverage of the current field of applications for nanomaterials prepared with the SHFS process. The second point, related basically to the mixing of a cold and a hot flow, has been deeply investigated, especially based on the criteria proposed by Lester et al. in 2006 for an ideal design of a mixer [3]. The CFD modelling, as well as the development of *in situ* observations, have contributed to the design and the optimization of new mixers [4–7]. All the development history of the SHFS technology is based on a coupling chemical engineering/hydrothermal chemistry/materials science. Chemists, especially in our group in Bordeaux, have also developed complementary routes by coupling chemistry/chemical engineering/materials science, where the target is also the preparation of advanced materials. To do so, chemists have proposed other chemical pathways than the hydrothermal chemistry, which can be processed with different kinds of solvents, not only water. Then, the process can be adapted to this chemistry [8–11]. With this approach, which will be developed in the following of this opinion article, custom built continuous flow reactors are developed, as illustrated in Fig. 1b, with the first report on the preparation of nitride using supercritical ammonia [12].

Whatever the approach, significant advances have been proposed in the last ten years for *in situ* investigations in order to open the black box of the supercritical fluid reactors, as described in the next section.

2.2. *In situ* characterization techniques

To improve the understanding of particles formation at supercritical fluid conditions and to get insights in the processes, it has been necessary to develop tools enabling monitoring in real time and *in situ* several phenomena such as fluid dynamics, thermodynamics and particles nucleation and growth. Given that most reactors for implementing SCFs processes are made of stainless steel or metal alloys, they can be considered as “blind” for most of the conventional characterization techniques such as X ray diffraction and absorption or spectroscopy (UV vis, optical characterization, Raman or Infra Red). Therefore, two main adapted reactor materials and designs have been developed, namely: i) sapphire/fused silica capillaries and tubes and ii)

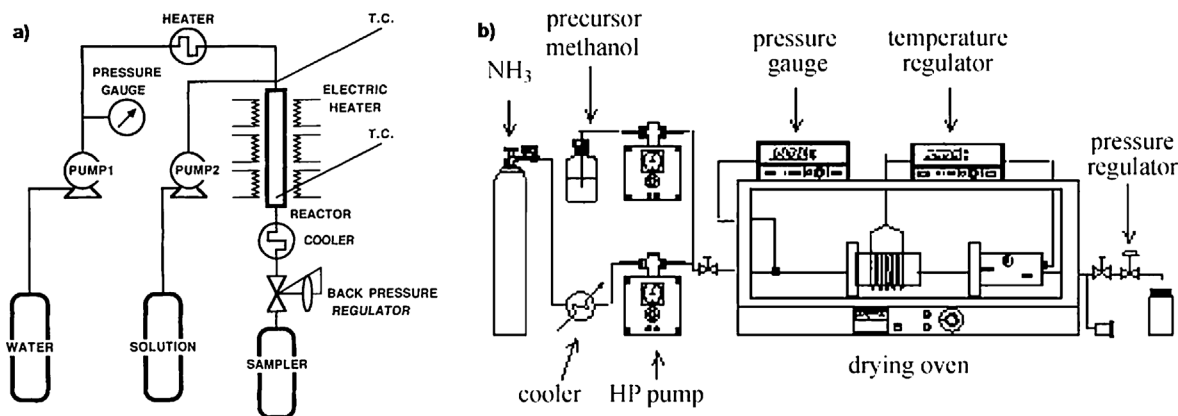


Fig. 1. Experimental set-ups for a) the supercritical hydrothermal flow synthesis and b) the material synthesis in supercritical fluids in general (adapted from [1] Reprint with permission from T. Adschiri, K. Kanazawa, K. Arai, J. Am. Ceram. Soc. 75(4) (1992) 1019–1022 Copyright 2017 Wiley and [12] Reprint with permission from S. Desmoulin-Krawiec, C. Aymonier, A. Loppinet-Serani, F. Weill, S. Gorse, J. Etourneau, F. Cansell, J. Mater. Chem. 14 (2004) 228–232 Copyright 2017 Royal Society of Chemistry).

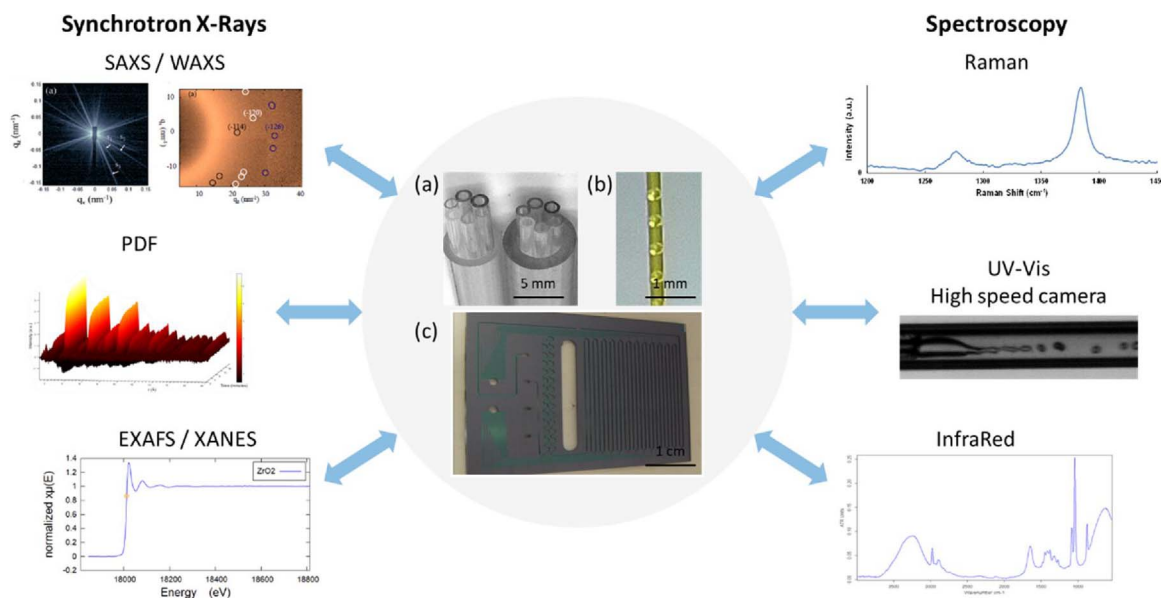


Fig. 2. General scheme of the available transparent high pressure devices reported so far. (a) Sapphire tubes, (b) fused silica capillaries, (c) high pressure/high temperature microfluidics devices with their associated available in situ characterization techniques.

high pressure on chip microreactors.

Sapphire and fused silica tubes (Fig. 2a and b) have been mainly devoted to understand particles formation in near and supercritical aqueous based media since they provide both the transparency and the thermo mechanical resistance to reach these conditions (up to 500 °C and 30 MPa). The B. Iversen group has been very active in this field for more than 10 years [13,14]. A typical experiment consists in filling the tube with the precursors and solvents, before being pressurized and heated. The pressure is kept constant during the experiment thanks to the use of a pump working in constant pressure mode. To manage the temperature, the heat can be provided by a simple air blower. Another option consists in designing a stainless steel autoclave that is pressurized with an inert gas, such as helium, and heated with a furnace. There, the idea is to put a tube containing the precursor solution and pistons in both ends inside the autoclave. When the pressure increases, the pistons move to compress the solution inside the tube [15,16]. This strategy does not provide a full access to the reactor over 360°, since there is a need to implement windows in the autoclave, limiting the angular access to the tube. However, the requirements in terms of mechanical strength are lower, opening a number of options regarding the nature of the tube (sapphire, glassy carbon, fused silica, tungsten carbide, etc.) which can be adjusted either to the chemistry or to the characterization technique to be performed. It is important to note that in both cases, the set ups are mostly operated in batch mode, which is different in many points from the flow set ups used to produce powders. To fill this gap, new designs operating in flow and sustaining pressure and temperature have also been proposed based on fused silica capillaries for the synthesis of nanomaterials (Fig. 2b) [17-19].

On chip high pressure microreactors (Fig. 2c) are yet another option for implementing *in situ* characterization techniques, while offering means to better control parameters such as temperature and feed streams leading to a better reproducibility. The main advantage concerns the flexibility in terms of design since the use of conventional photolithography microfabrication techniques enables the realization of complex microreactor architectures. Besides, several construction materials can be chosen among such as glass, metal or silicon, providing enough mechanical strength and chemical compatibility to reach conditions as high as 400 °C and 25 MPa as well as an easy optical access and transparency [20]. These strategies have been applied to several areas of research [21] such as chemistry, material synthesis [22-24] or supercritical antisolvent processes [25].

Based on these reactor designs, various analyses, from optical to structural ones, can be performed. When speaking of structural analyses, in most of the cases, high energy X ray sources such as synchrotron are considered to have a good compromise between acquisition time and signal resolution. With this tool, it is then possible to perform either *in situ* X ray diffraction or X ray absorption analyses. In the domain of diffraction, it is possible to carry out small and wide angle X ray diffraction (SAXS/WAXS) measurements but also pair distribution function (PDF) ones. The PDF will give information at the molecular level before and during crystallization (so called pre nucleation clusters) [26-28]. Once the crystallization is initiated, WAXS measurements can be used to provide information regarding particles crystal structures and sizes [29,30]. Eventually, SAXS will give information on both the particles size [31], but also the fluid inhomogeneities in the near and supercritical domain [32]. The X ray absorption spectroscopy will be complementary to PDF measurements. There, X ray absorption near edge structure (XANES) and extended X ray absorption fine structure (EXAFS) can be used to provide information regarding the local environment of a specific atom, even in solution. It indicates the nature of the closer neighbors, the coordination number and the geometry [16,33-35]. Combining these various characterization techniques, it is then possible to catch the full story from pre nucleation organization to nucleation and growth of particles. Besides sapphire tubes, we have recently demonstrated that such *in situ* characterization techniques were fully compatible with silicon Pyrex high pressure on chip microfluidic reactors, exemplified by the SAXS and WAXS monitoring of CaCO₃ precipitation inside microchannels [36]. In addition to the use of X ray synchrotron sources, it is also possible to consider other conventional *in situ* spectroscopy analysis (UV vis, optical characterization, Raman or Infra Red) in order to gain additional information regarding either the structure of the synthesized materials or the chemical engineering parameters (mixing, thermo dynamics, etc. – Fig. 2). For instance, the solubility of CO₂ in water was monitored *in situ* within a microreactor thanks to the use of Raman spectroscopy [37], while high speed imaging was used to determine the dripping to jetting transition at high Reynolds number for coflowing liquid – supercritical fluids at microscale [38,39]. Other examples dealing with extraction or mass transfer processes can be found in some of the reviews of this special issue.

These new developments should open ways towards supercritical fluid processes intensification and a better understanding of the

involved phenomena. To do so, these data can then be used to feed the numerous models. Indeed, it is critical to couple these experimental tools with simulation for getting insights in some particular mechanisms, which cannot be easily defined and/or understood just through experiments.

2.3. Modelling and simulation tools

The optimization of the material synthesis in SCFs depends on a large number of operating parameters and their action on the several phenomena involved in the process, as hydrodynamics, mass transfer, chemical reactivity, phase equilibria and nucleation & growth of the material to be crystallized. Therefore, it is highly difficult to rationalize the results and to identify the main mechanisms because of the interactions between parameters. A numerical approach hence becomes fundamental to understand the contribution of the phenomena, to predict the effect of a specific parameter onto the process performance and therefore to optimize the process design. A complete description of the material synthesis process should be able to account for all the physical and chemical phenomena implied in the process.

Generally, the same global strategy was used to simulate the process of particle formation, i.e., the use of the so called Population Balance Equation (PBE) coupled with thermodynamic and hydrodynamic contributions. The population balance equation is assimilated to a Boltzmann type equation developed by Hulburt and Katz, which allows for determining a statistical distribution of particles in the space [40]:

$$\frac{\partial N(L, X, t)}{\partial t} + \nabla \cdot \mathbf{V}N(L, X, t) + \frac{\partial N(L, X, t)G}{\partial L} = B(L, X, t) - D(L, X, t) \quad (1)$$

In this equation N represents the number density function, which depends on internal coordinates (particle size L) and external coordinates (space coordinates $X(x,y,z)$). \mathbf{V} is the velocity of the fluid and G is the growth rate of the particles. The terms in the right hand side are the birth (B) and death (D) rates due to nucleation, agglomeration and breakage. The Eq. (1) can be solved by different numerical techniques, let us cite the most employed ones like the method of classes and the method of moments. More than a numerical challenge, the actual one remains to model the parameters of this equation like the growth rate, the nucleation frequency, the collision frequency for the aggregation and breakage terms. Whereas the concept of population balance was formulated over many years, the implementation of population balance modeling was only recently realized thanks to the rapid development of the computational fluid dynamics (CFD) and the *in situ* experiments. Indeed, the novel *in situ* techniques (see Section 2.2) allows measuring the sizes of particles or other variables of interest (velocity, concentration...) in order to provide very interesting data for model parameters estimations and validations. Furthermore, the resolution of the PBE requires to know all the parameters of the process like the fluid velocity, pressure, species concentration and temperature obtained by computational fluid dynamic CFD and the implementation of efficient thermodynamic models in order to calculate the supersaturation, driving force of the crystallization.

To summarize, simulation of materials synthesis in SCF can be achieved in coupling CFD and PBE taking into account efficient thermodynamic models. Numerous techniques for solving PBE are available in the literature, but nowadays the moment methods and its variants (SMOM, QMOM, DQMOM...) appear to be the most suitable techniques while coupling PBE/CFD due to the very low computational time required.

Concerning the CO_2 based synthesis, only few papers were dedicated to the simulation of inorganic materials formation in supercritical CO_2 . The study of Erriguible et al. concerned the synthesis of copper particles in a CO_2/EtOH mixture in a batch reactor [41]. Only their dynamic and nucleation & growth phenomena were taken into

account in the simulation as the concentration is assumed to be homogeneous in the vessel. The resolution of the population balance equation, assuming a monodisperse distribution and an instantaneous decomposition of the precursor, takes into account a growth by coalescence. A similar model was applied to the decoration of silica spheres by copper nanoparticles [42]. The model was based on a bi modal process and takes into account the decomposition kinetic of the precursor. A good agreement between the simulated and experimental data is observed showing that there is a kinetically controlled size of the supported copper nanoparticles in the range of temperature 100–125 °C and residence time 0–120 min. Similarly, Sierra Pallares et al. proposed a simulation of anatase titanium dioxide synthesis in supercritical CO_2 by coupling CFD and PBE assuming a monodisperse distribution [43]. Growth phenomenon is assumed to be due to collision and instant coalescence of particles. The simulation was validated with experimental results, so the hypothesis of a growth by coalescence seems to be the right one.

The simulation of the supercritical water hydrothermal synthesis has also been the subject of a few studies. In the pioneer work of Adshiri et al., the population balance equation is coupled with CFD to predict the particle size of metal oxide in supercritical water [44]. Solubility data are obtained with the SAA model. In this model, Sue et al. [45] have considered a simplified version of the R-HKF model [46], based on the use of different thermodynamic parameters, semi empirical interaction functions, and variables from the Born theory for electrolyte interactions. In this new version, Sue et al. have considered the temperature density dependence of the semi empirical part of the model, instead of the temperature pressure dependence and have extended the validity domain to the near and supercritical region. The reaction rate is given by a first order Arrhenius like correlation. The authors studied two T-junction configurations in order to emphasize the major role of the mixing between the reactant and the supercritical water. The resolution of the PBE with a class method taking into account the agglomeration of the particles was applied to the hydrothermal synthesis of TiO_2 [47]. Classical primary nucleation model and size dependent growth model were used. The authors showed that larger mean particle sizes are produced due to agglomeration. This study was completed by incorporating CFD in the simulation of a counter current reactor to take into account hydrodynamics [48]. The authors used statistic turbulence model ($k-\epsilon$) but no specific model of micromixing. Another work focused on the impact of the mixing during the synthesis of CuO nanoparticles in supercritical water [49]. The classic coupling CFD/PBE was used by integrating the efficient micromixing model for reacting turbulent flow developed by Fox. It is shown that due to an increase of the Reynolds number and a decrease of the diameter, the mixing time can be reduced, leading to higher nucleation rates and so to smaller particles and narrower distributions. Leybros et al. studied the supercritical water synthesis of ZnO in coupling also CFD and PBE [50]. The solubility was calculated with the SAA model. The nucleation rate was fitted with experimental results and the authors showed a good qualitative agreement with experiments. More recently, Masoodiyeh et al. simulated the synthesis of zirconia in supercritical water by using the PBE including the nucleation, the growth and the aggregation terms [51]. They have shown that the concentration and the pH of the solution can have a significant effect on the particle size distribution.

Finally, all these works emphasize the lack of knowledge concerning the determination of the nucleation and growth parameters. Indeed, the direct experimental determination of such parameters like the fluid solid interfacial tension is still highly challenging. To bypass this issue, one way consists in considering very well controlled experimental conditions like capillary and microfluidic systems coupled with *in situ* characterization techniques. The real time monitoring of the crystallization can be then compared to numerical simulation taking into account all the chemical and physical phenomena. This interesting approach is illustrated by the work of Voisin et al. (Fig. 3) concerning the precipitation of sodium sulfate in supercritical water [52]. The authors

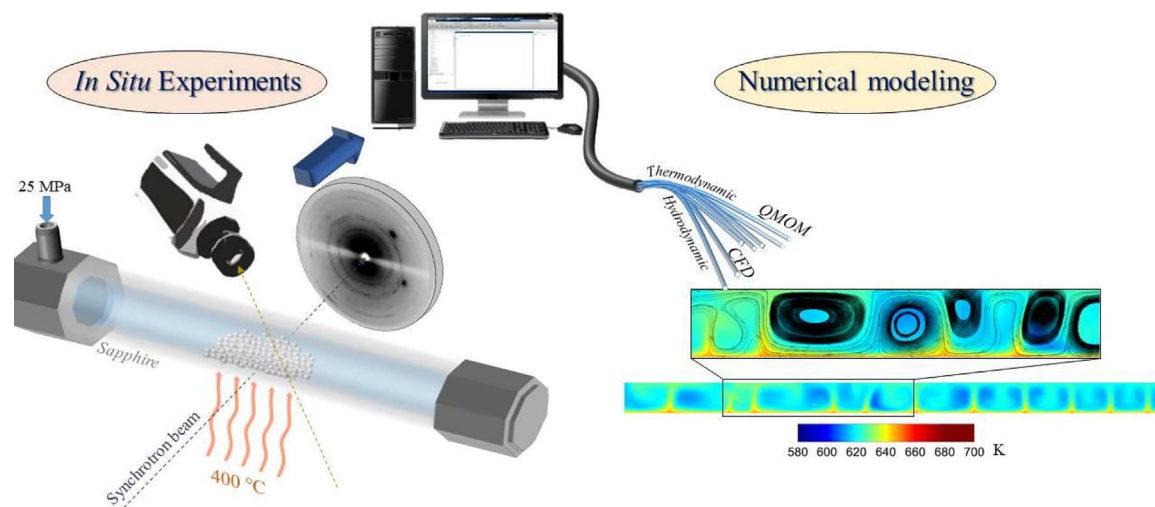


Fig. 3. Schematic representation of the coupled numerical/experimental approach for the inorganic salts precipitation in supercritical water: in situ synchrotron wide angle X-ray scattering (WAXS) + CFD/PBE simulation (adapted from [52] Reprint with permission from T. Voisin, A. Erriguible, G. Philippot, D. Ballenghien, D. Mateos, F. Cansell, B.B. Iversen, C. Aymonier, Chem. Eng. Sci. 174 (2017) 268–276 Copyright 2017 Elsevier).

proposed to use an original compressible model, developed for the simulation of near critical flow, with the population balance equation solved by the standard method of moments [53]. The crystallite size determined with the numerical simulation was compared with the one obtained experimentally with *in situ* synchrotron wide angle X ray scattering (WAXS) in order to determine the mass transfer coefficient for the growth rate.

This section proposed a brief overview of the different experimental and numerical tools, which are now available for the scientific community and engineers to perform and understand chemistry and nucleation & growth in supercritical fluids, especially to design advanced materials. The next section is now going to discuss the preparation of advanced materials from a chemist prospective.

3. Chemistry in supercritical solvents for the preparation of advanced materials

Based on more than 25 years of research and development works, the near and supercritical continuous solvothermal method offers a fast, sustainable and scalable route towards high quality nanostructures (NCs), meaning NCs with physico chemical properties, which cannot be obtained, in most of the cases, with other synthetic approaches. The history of this technology was first written with the use of water as solvent through the supercritical hydrothermal flow synthesis (Fig. 1a), which still represents today most of the research activities. In the last 10 years, the use of supercritical water as solvent was extended to other fluids like alcohols, NH₃, alkanes,... and mixtures of them. The critical coordinates of the most common used solvents are summarized in Table 1. This extension by chemists to other solvents and mixtures of them for the supercritical continuous process has contributed to increase the versatility of this material processing approach.

Regarding mixtures, only few thermodynamic data are reported in the literature, like for the water/ethanol binary mixture [56]. Thanks to the development of advanced *in situ* diagnostic tools such as supercritical microreactors, the thermodynamic of complex mixtures is now accessible in short time [57].

3.1. Chemistry in supercritical water

As already discussed in Section 2.1, the supercritical hydrothermal flow synthesis (SHFS) has been actively developed for many years on the basis of a well know hydrothermal mechanism (hydrolysis and dehydration) [1]:

Table 1

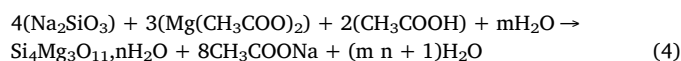
Critical coordinates of the solvents mentioned in this article [54,55].

Solvents	T _c (°C)	p _c (MPa)	ρ _c (kg m ⁻³)
Carbon dioxide	31	7.38	468
Water	374	22.06	322
Methanol	239	8.10	276
Ethanol	241	6.27	273
Propanol	264	5.17	274
Isopropanol	235	4.76	273
Butanol	290	4.42	270
Pentanol	313	3.88	270
Hexanol	338	3.51	268
Ammonia	132	11.33	225
Hexane	235	3.03	233
Cyclohexane	280	4.08	271
Toluene	319	4.14	292
Octane	296	2.50	235



Beyond the processing of numerous kinds of metal oxide nanoparticles, the *in situ* functionalization with organic moieties has also been largely investigated [58–60].

Recently, we have demonstrated the first proof of the synthesis in few tens of seconds of clay minerals in supercritical water, namely talc, using a continuous process [61,62]. The mechanism involves first the formation of a talc precursor, so called prototalc, following this equation:



with m and n as integers.

Then, the talc crystallization occurs in supercritical water. The reduction of synthesis steps and the use of supercritical water offer a major technical breakthrough since it allows decreasing the synthesis time from several hours to tens of seconds. This innovative route offers the possibility to obtain a range of nanominerals differing in their degree(s) of crystallinity and size just by adjusting synthesis time and/or temperature. Beyond the control of these characteristics, this synthetic talc exhibits unique properties as its hydrophilicity. The hydrophilic character of this synthetic talc has conducted to the formulation of the first fluid talc filler. A scale up of the talc synthesis is currently under

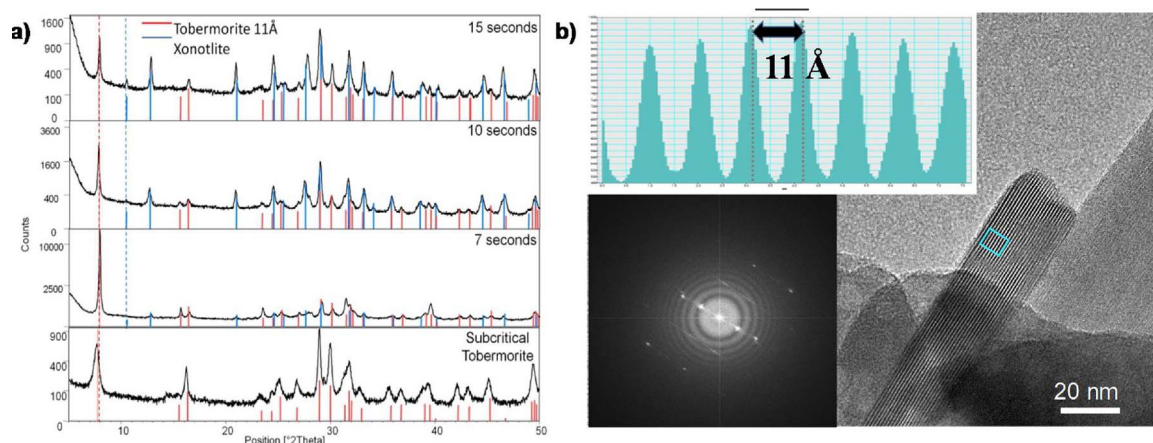


Fig. 4. a) XRD patterns of tobermorite as a function of residence time (7, 10 and 15s) for the continuous synthesis in supercritical water and XRD pattern of tobermorite obtained with conventional hydrothermal method (Subcritical tobermorite) and b) HR-TEM micrograph of a tobermorite fiber with a profile extracted from the highlighted area to measure the basal distance and with a Fourier transformation of the same area (adapted from [63] Reprint with permission from M. Diez-Garcia, J.J. Gaitero, J.S. Dolado, C. Aymonier, *Angew. Chem. Int. Ed.* 56 (2017) 1–6 Copyright 2017 Wiley).

progress.

Beyond chemistry, reaction kinetics represent a key issue. More recently, we went one step forward with the demonstration to prepare other highly crystalline geominerals in just a few seconds but under thermodynamically metastable conditions [63]. This result was obtained with the metastable calcium silicate, the tobermorite. A fine control of reaction kinetics prevents the formation of the most thermodynamically stable phase, which is the xonotlite as illustrated in Fig. 4. Tobermorite mineral is not abundant in nature but very interesting in the construction industry. As a result of the supercritical continuous hydrothermal synthesis, highly crystalline fibrillar tobermorite can be obtained similar to what nature can produce.

Although chemistry in supercritical water is investigated since the beginning of the 90's for the processing of advanced inorganic materials, these recent results prove that there is still plenty of works to be performed in this extraordinary solvent that is water. Adding alcohol in water gives access to another kind of chemistry, the so called sol gel chemistry.

3.2. Sol gel like chemistry in water alcohol systems

Sol gel chemistry is generally considered as a soft chemistry route and has been developed for a long time [64]. The well known mechanism is based on two steps: hydrolysis and condensation. Sol gel chemistry in supercritical fluid conditions has been developed with the introduction of chemistry in supercritical water alcohol mixtures [65–67]. Sol gel like chemistry in supercritical water alcohol mixtures can be of great interest since the produced particles present very little defects in terms of vacancies, purity or amorphization with a control over the material composition for polycationic oxides. One of the most widely investigated material in supercritical sol gel chemistry is the BaTiO_3 and its derivatives (A site substitution with $\text{Ba}_{1-x}\text{Sr}_x\text{TiO}_3$ (BST – $0 \leq x \leq 1$) and B site substitution with $\text{BaTi}_{1-y}\text{Zr}_y\text{O}_3$ (BTZ – $0 \leq y \leq 1$)) [29,68,69]. In 1999, Bocquet et al. opened the way producing 10 nm BaTiO_3 nanocrystals in flow, starting with barium and titanium double alkoxide in an isopropanol/water mixture [65]. Since 2005, our group has developed this two lines injection process (Fig. 5a), starting with barium and titanium isopropoxides dissolved in absolute ethanol in one line and mixed just before the main reactor with a second line of preheated water at 150 °C. The gel that is formed goes inside the reactor where the temperature is 400 °C and the pressure 23 MPa for a residence time close to one minute [66,67]. There, we showed that adjusting the ethanol/water molar ratio to 0.29, it was possible to produce, in a single step, high quality nanocrystals with a main size around 20 ± 6 nm (Fig. 5b). Once the synthesis of pure

BaTiO_3 has been controlled, we first extended our research to the synthesis of BST nanocrystals using strontium isopropoxide as supplementary precursor [67]. To go deeper into the understanding of the material formation in such conditions, *in situ* synchrotron study was considered, to follow in real time the nanocrystals formation (Fig. 5c) by using wide angle X ray scattering (WAXS). Coupling these results with supplementary *ex situ* analyses such as spectroscopy, it was possible to show that the variation of the nanocrystal growth upon strontium content was related to the amount of surface –OH defects, itself depending on the strontium amount [29]. Then, focusing on the $\text{Ba}_{0.6}\text{Sr}_{0.4}\text{TiO}_3$ sample, we were able to make dense (more than 90%) and nanostructured ceramics, keeping the initial grain size, using spark plasma sintering (Fig. 5d), to characterize the intrinsic dielectric properties of the powder [70]. Dielectric measurements on these pellets proved, for the first time, the existence of ferroelectricity at the 20 nm scale, confirming the unique quality of both, the nanocrystals and the ceramics. Finally, to get a global view on the potential of this particular synthesis at a larger scale, we reported the first study presenting the life cycle assessment (LCA) of metal oxide nanoparticles produced using sol gel like chemistry in supercritical fluids, using the specific case of BST [71]. With the hypothesis of an optimized industrial scale production, we were able to highlight the critical impact of the precursor choice on the LCA. Indeed, the use of alkoxides was evidenced as being the main contribution (more than 60% and up to 85%) on most of the indicators such as climate change, fossil, metal or water depletions, etc. Then comes the use of solvent with up to 20% of contribution and the process running costs (energy, etc.) with 5–20% contribution depending on the indicators.

Beyond the sol gel like chemistry, chemistry in supercritical water alcohol mixtures is fascinating because the influence of the solvent composition on the material formation has been put in evidence but is not really understood. Another example is the formation of $\gamma\text{-Al}_2\text{O}_3$ in water/isopropanol mixture [72]. Without water, no crystalline structures are observed, with 7 vol.% of water, $\gamma\text{-Al}_2\text{O}_3$ is obtained and with 13 vol.% of water, AlOOH is formed. Therefore, several questions have arisen from these observations: why 7 vol.% of water for $\gamma\text{-Al}_2\text{O}_3$? Why 0.29 molar ratio for BaTiO_3 ? etc. An answer can be probably found at the molecular level looking at the organization of molecules in the water/alcohol mixtures.

3.3. Thermal decomposition in supercritical alcohols

The research works on the investigation of the sol gel like chemistry in supercritical water ethanol mixtures, especially on the formation of BaTiO_3 based nanoparticles, brought us to consider the nucleation &

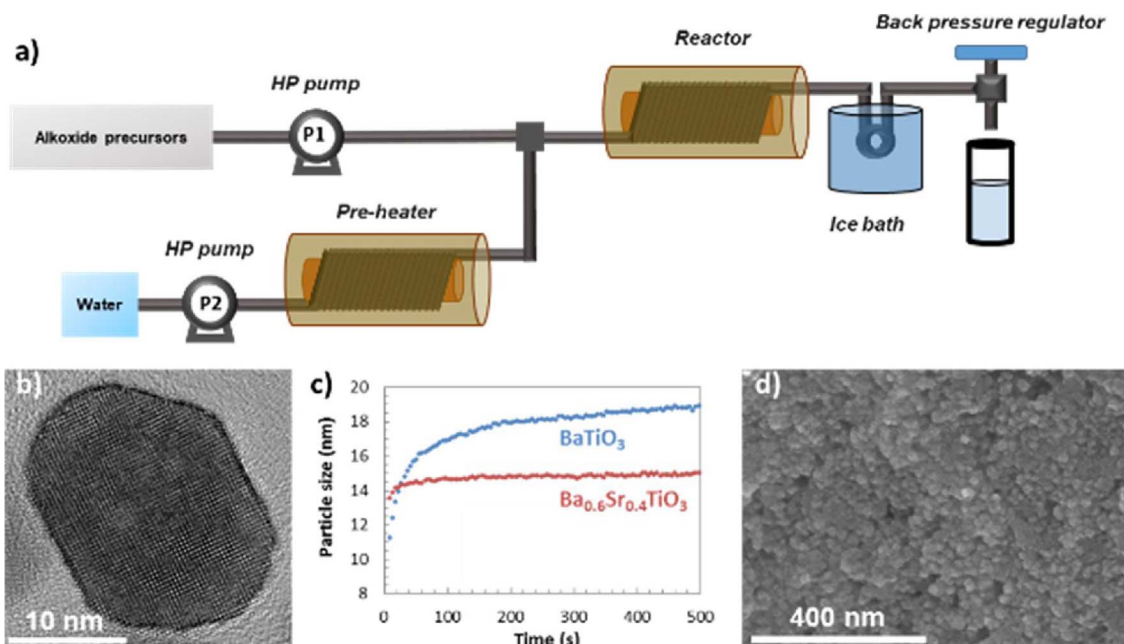


Fig. 5. Overview of the achievements regarding BaTiO₃ based materials synthesis using sol gel like supercritical method; a) process, b) TEM picture of BaTiO₃ nanocrystals, c) effect of strontium on nanocrystals growth and d) SEM picture of Ba_{0.6}Sr_{0.4}TiO₃ dense and nanostructured ceramic made by SPS.

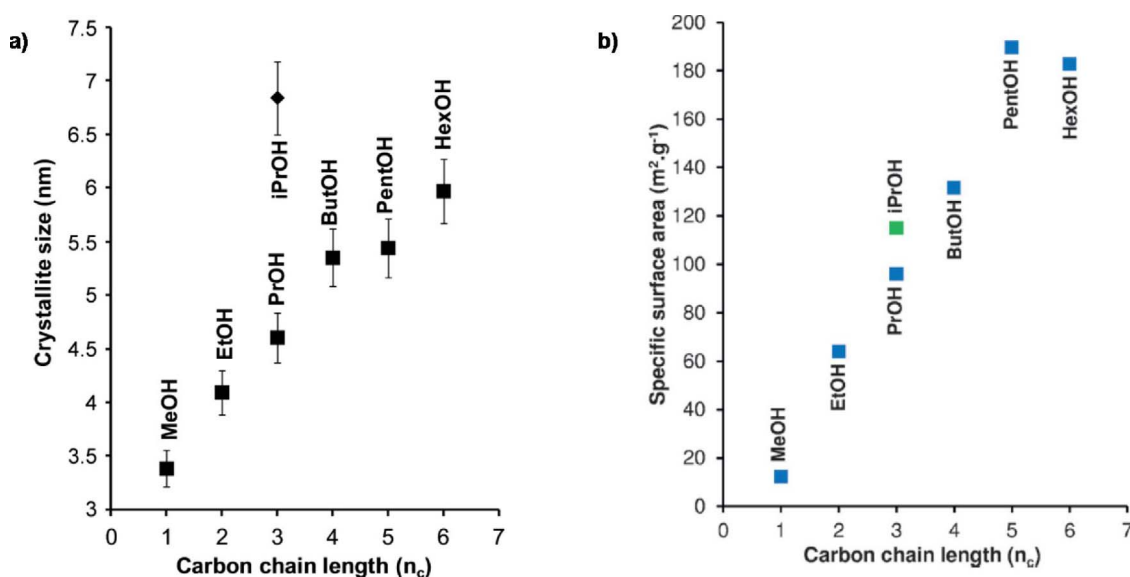


Fig. 6. a) evolution of CeO₂ crystallite sizes as a function of alcohol nature and b) evolution of CeO₂ powder specific surface area as a function of alcohol nature (adapted from [73] Reprint with permission from C. Slostowski, S. Marre, O. Babot, T. Toupance, C. Aymonier, Langmuir 28 (2012) 16656–16663 Copyright 2017 American Chemical Society and [76] Reprint with permission from C. Slostowski, S. Marre, O. Babot, T. Toupance, C. Aymonier, Chem. Phys. Chem. 16 (2015) 3493–3499 Copyright 2017 Wiley).

growth of nanostructures in supercritical alcohols on CeO₂ as a model material [73]. It is important to underline that the thermal stability of alcohols needs to be considered in studying reactivity in supercritical alcohols [74]. We have studied the thermal decomposition of the ammonium cerium (IV) nitrate at 300 °C and 24.5 MPa in seven different alcohols being MeOH, EtOH, PrOH, iPrOH, ButOH, PentOH and HexOH. Nanopowders with different characteristics were obtained in comparison with the reference obtained in water. This really illustrates the interest of playing with supercritical solvents to change the chemistry and also the material characteristics. The CeO₂ nanopowders are constituted of spherical aggregates of CeO₂ nanostructures for which the size depends on the alcohol used (Fig. 6a) in the range of 3–7 nm. The second important result was the surface functionalization of CeO₂ nanocrystals with organic moieties (mainly alkoxide and carboxylate bonding) coming from alcohols meaning that the alcohols are playing

the role of solvent and functionalizing agents. A direct correlation between the grafting density and the alcohol chain lengths can be established: the shorter the alcohol chain, the higher the surface coverage [75]. This trend allows explaining the evolution of the CeO₂ crystallite size as a function of the alcohol nature. The grafting of molecules at the surface of CeO₂ nanocrystals has also a significant effect on their thermal stability [76]. When submitted to a thermal treatment at 500 °C, surface organic moieties desorb/degrade in a different way depending on the alcohol nature. A sintering of CeO₂ powders prepared in sc MeOH, sc EtOH and sc iPrOH is observed leading to a decrease of their specific surface area. Conversely, those produced in sc BuOH, nc PentOH and nc HexOH keep their initial crystallite sizes and show a great enhancement of their specific surface area (up to 200 m² g⁻¹). A so high specific surface area for CeO₂ treated at 500 °C is not so common; powders are stabilized by the longest carbon chain alcohols.

These behaviors are represented in Fig. 6b. These materials with high specific surface areas can be interesting solid sorbent for efficient CO₂ capture/release processes [77].

The results obtained with ceria were confirmed in a study of the influence of the nature of alcohols on the nucleation & growth of NiMo, a catalyst precursor for hydrodesulfurization of petroleum, in supercritical water alcohol mixtures [78]. The nature of the alcohol used plays a major role on the specific surface area, that increases from a value of 79 m² g⁻¹ using methanol as solvent up to 179 m² g⁻¹ using isopropanol as solvent.

Supercritical alcohols represent an interesting family of solvents, which has gathered more and more attention over the last 10 years with an increasing number of publications [73 82, etc]. Alcohols are less polar than water and can be reducing solvents that can generate water *in situ* by dehydration and allow performing thermal decomposition reactions, etc, all these phenomena being tuned playing with the nature of the alcohols.

3.4. Chemistry in other supercritical solvents

Besides water and alcohols – and their associated mixtures – other supercritical solvents and mixtures have been used for the continuous flow synthesis of materials. There are several motivations for their use such as precursors' solubility considerations, chemical compatibilities (for instance the need for non oxygenated solvents when considering air sensitive processes) or thermo kinetics. Therefore, several examples were reported considering either CO₂ [83,84], alkanes [85,86] or aromatics [87] supercritical solvents.

As an example, we have demonstrated the use of a coflowing set up to continuously synthesize hybrid Pd nanocatalysts (Fig. 7a) [18]. A palladium precursor (Palladium hexafluoroacetylacetonate – Pd(hfac)₂) dissolved in toluene was reduced by hydrogen in order to prevent from any contamination of the Pd NCs surface by other reducing reagents. To address the Pd precursor diffusion limited reduction reaction with H₂ (due to the low solubility of hydrogen in toluene in our conditions: T = 100 °C – p = 25 MPa), supercritical CO₂ was added to the system as the main solvent to ensure a single phase reaction, thus

getting rid of gas liquid mass transfer limitations. The coflow configuration allowed to separate the nucleation & growth process from the functionalization step in a better way than previously reported [88]. This way, various types of ligands were tested and successfully linked to the Pd NCs, resulting in the synthesis of a “ready to use” nanocatalysts solution in short time (residence time of 17s). This approach allowed to access a library of nanocatalysts (varying only the ligands system), whose catalytic efficiencies were further evaluated towards the C B coupling process in the Vaultier reaction [89,90].

The case of inorganic semiconductors nanoparticles (quantum dots – QDs) synthesis in supercritical fluids is also of interest. Indeed, the properties of SCFs can be used to address some of the limitations of conventional glassware solution chemistries by narrowing the size distribution (which is a critical characteristic to master for QDs since most of the applications require narrow line width emission, directly dependent on the size distribution) and by enhancing the reproducibility. The first demonstration was reported with the synthesis of CdSe QDs in supercritical hexane within high pressure microfluidic reactors. Precursors cadmium oleate [Cd(ol)₂] and trioctylphosphine selenium [TOPSe] in hexane were mixed directly at high temperature and high pressure (270 < T < 310 °C, p = 5 MPa) leading to fast nucleation of QDs [22]. The supercritical fluid synthesis produced a narrower full width at half maximum (FWHM) photoluminescence and particles size distribution compared to liquid phase synthesis in the same conditions, demonstrating that the use of supercritical solvents effectively results in homogeneous reaction conditions ideal for nanocrystals synthesis [23].

Based on this first demonstration, Baek et al. proposed a continuous three stage silicon based supercritical microfluidic systems process (p = 6.5 MPa) consisting in mixing (130 175 °C), aging (200 340 °C), and sequential injection stages (80 320 °C) for the production of high quality InP nanocrystals in supercritical octane from precursors indium myristate [In(MA)₃] and tris(trimethylsilyl) phosphine [(TMS)₃P] [91]. Further developments have shown that the production of high quality QDs in supercritical fluids processes was easily scalable to gram scale [92,93]. More recently, the access to unconventional conditions provided by supercritical fluids processes was used to synthesize continuously gallium nitride QDs from the decomposition of a single source

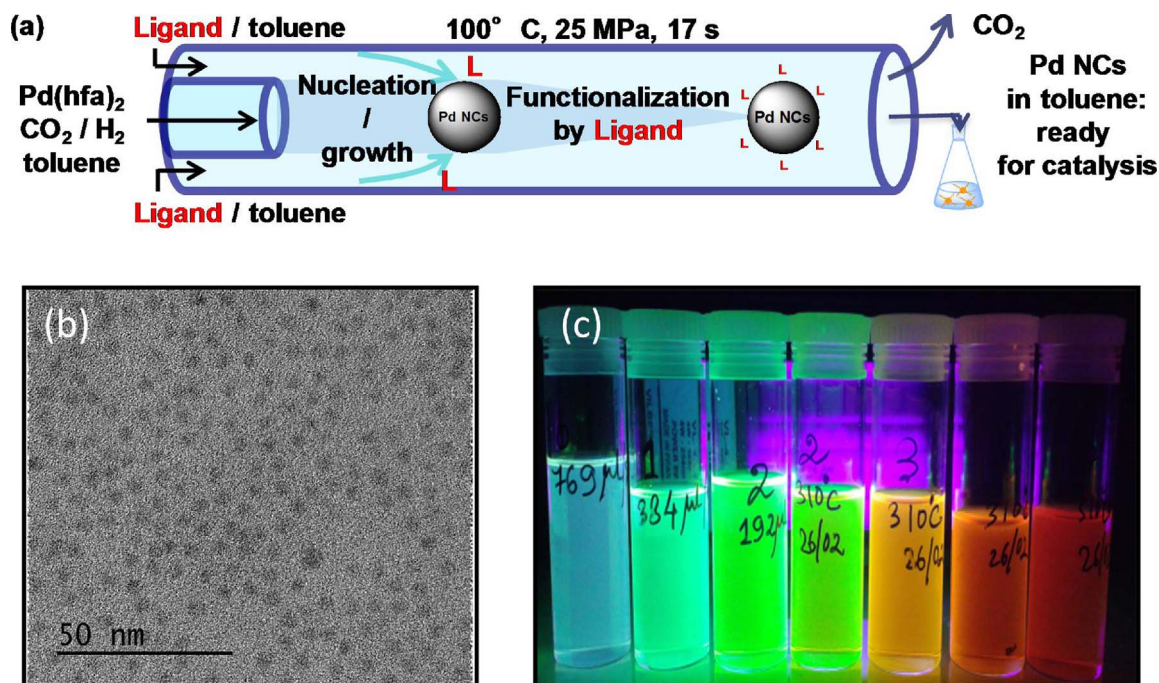


Fig. 7. a) Scheme of the coflow set-up developed to separate the nucleation & growth process from the functionalization step (adapted from [18] Reprint with permission from T. Gendrineau, S. Marre, M. Vaultier, M. Pucheault, C. Aymonier, *Angew. Chem. Int. Ed.* 51 (2012) 8525–8528 Copyright 2017 Wiley), b) TEM pictures of CdSe QDs synthesized continuously in supercritical hexane and c) associated pictures of various sizes of CdSe QDs solutions under UV irradiation ($\lambda_{\text{ex}} = 365 \text{ nm}$).

precursor (tris dimethylamido gallium $[\text{Ga}[\text{N}(\text{CH}_3)_2]_3]_2$) in either pure supercritical cyclohexane or in a supercritical mixture cyclohexane/ammonia [94]. Quantum confined nanoparticles (2–3 nm in diameter) with narrow size distributions were produced continuously in short times (30s), exhibiting exciton photoluminescence in the UV region ($\lambda = 300 \text{ nm}$).

The aforementioned section demonstrates the versatility of the supercritical fluids route for the preparation of different natures of inorganic materials. This is made possible by playing with a rich chemistry, meaning with solvents under pressure and temperature and precursors. This approach gives access to high quality nanostructures materials (among oxides, polycationic oxides, metals, nitrides, phosphides, sulfides, clays, carbon based materials...), which are highly crystalline with controlled composition, size and surface properties (from neat to functionalized surfaces, not described in this paper [95]). It is possible to prepare materials with physicochemical properties which cannot be obtained with other routes and at large scale. All these chemistries give now access to a wide range of nanobricks opening a new area for the preparation of advanced materials by design.

4. From supported nanoparticles to core-shell architectures

A part of the research works of the supercritical fluids community in the field of materials science is dedicated to the homogeneous nucleation & growth of nanopowders as described in the previous section. Another part is interested in the development of the so called Supercritical Fluid Chemical Deposition (SFCD) method or Supercritical Fluid Reactive Deposition (SFRD) process for the synthesis of supported mono and bimetallic nanoparticles [96–100]. Two strategies are commonly employed: (i) a kinetically controlled process and (ii) a thermodynamically controlled process (this latter will be discussed in another paper of this special issue of the Journal of Supercritical Fluids by M. Türk and C. Erkey). The kinetically controlled approach was initially exemplified with the decoration of silica nanospheres with copper nanoparticles. To do so, silica particles were suspended within CO_2 alcohols supercritical mixtures (methanol, ethanol or isopropanol) in presence of a copper precursor (copper hexafluoroacetylacetonate – $\text{Cu}(\text{hfac})_2$) and hydrogen, used as the reducing agent. A tunable amount of coverage between 40 and 80% was achieved and the average copper nanoparticle sizes were ranging between 5 and 17 nm (Fig. 8a) [101]. The deposition mechanism was investigated by modelling. The surface nanostructures formation can be decomposed in a homogeneous nucleation and a heterogeneous growth induced by a chemical reaction through a bi-modal process, as mentioned in Section 2.3 (Fig. 8c) [42]. The SCFD process was later applied to the development of advanced nanostructured materials for hydrogen storage application [102–104] or still catalysis [105]. In particular, this process was applied to the surface decoration of magnesium crystals (known to be able to accommodate up to 7 wt% of H_2 in solid state – MgH_2) with various metal nanoparticles. The main interests concern: (i) the ability of having a synergetic effect between the surface NPs and the Mg crystals to speed up the sorption/desorption kinetics of H_2 by Mg and (ii) the stability of the composite obtained through SFCD process compared to classical ball milling approaches (Fig. 8d). In the case of Mg crystals covered with nickel NPs, we have demonstrated that both the synergetic effect and the stability arise from the creation of an interphase (Mg_2Ni) at the interface between Ni and Mg (Fig. 8e).

More recently we proposed an alternative process to SFCD to highly dispersed active species [106,107] into a porous support to prepare hydrodesulfurization (HDS) catalyst precursor. The porous support is first impregnated by conventional dry impregnation (or incipient wetness impregnation) allowing to use precursor(s) and/or salt(s) which are employed at the industrial scale but also to impregnate the support with the desired loading rate (the limitation is imposed by the solubility of the precursor(s)/salt(s) in the impregnation solvent). The impregnated support is exposed to supercritical CO_2 inducing a flash

drying/treatment of the impregnated precursor(s)/salt(s) and so the dispersion of the active species onto the support. Such kind of methods conducts to the preparation of highly active catalyst precursor for HDS.

In the last 20 years many works have been dedicated to the preparation of supported mono and bimetallic nanoparticles as aforementioned. Our group has also investigated the formation of core shell architecture [108] with the wish to understand how it is possible to go from supported nanoparticles to core shell architectures. We have coated using the SFCD method BaTiO_3 ferroelectric nanoparticles of about 50 nm with a shell of few nanometers of amorphous alumina (Fig. 8b). The sintering of these core shell nanoparticles gives access to a ceramic material with very interesting ferroelectric properties, in particular, dielectric losses below 1%. The formation of a shell is possible due to the particle surface reactivity with the used precursor.

Today it is possible to control the formation of nanostructured materials with the SFCD process from supported nanoparticles to core shell architectures. The future is now to take benefit of all these research works, which have been performed on homogeneous nucleation & growth of nanopowders and on supported nanoparticles and core shell architecture with the SFCD method to develop one pot multistep processes for the preparation of advanced materials by design.

5. Challenges and opportunities: one pot multistep processes towards advanced materials by design

Today chemists master numerous reaction pathways in their toolbox to prepare different kinds of nanobricks among oxides, polycationic oxides, metal, nitrides, sulphides, phosphides, clays, carbon based materials with an advanced control over composition, structure, size, morphology, surface properties... However, the particular cases of supercritical fluid processes for nanomaterials synthesis still suffer from some limitations. First, the advantages of high supersaturation reached at supercritical conditions have been largely employed for accessing small sizes and narrow particles size distributions. Therefore, when considering single step processes, the growth mechanism is rather weak compared to nucleation. This makes it challenging to achieve large ($> 100 \text{ nm}$) particles. Then, advanced nanostructured materials cannot be easily obtained with a single initial precursor solution, which limits drastically the accessible architectures and reduces the ability to access complex multifunctional materials. Indeed, one can only play on the difference of reactivity between precursors to achieve multi materials nanostructures.

To address these limitations, it is desirable to consider one pot multi steps processes, as schematized in Fig. 9, for providing ways to include multi injection and multi temperature systems. Such approaches allow:

- (i) Separating each steps of the synthesis process and controlling them finely. In particular, it is possible to control the precursor concentration and the solvent composition and therefore the supersaturation to either sustain nucleation or oppositely to favour growth process for accessing core shell structures,
- (ii) Separating nucleation & growth from functionalization steps, preventing undesirable effects of ligands over the materials nucleation and growth of the core materials,
- (iii) Monitoring and analysing the materials between each steps thanks to *in situ* characterization techniques,
- (iv) Providing fast screening capability, which can be used in process intensification.
- (v) All these advantages make it possible to think about the design of more complex materials with different functionalities.

As described in Fig. 9, we can imagine one process modulus per nature of material, which has to be formed and after the mastering of homogeneous and/or heterogeneous nucleation & growth will allow to prepare the targeted architecture. As an illustration, the reactor 1 can

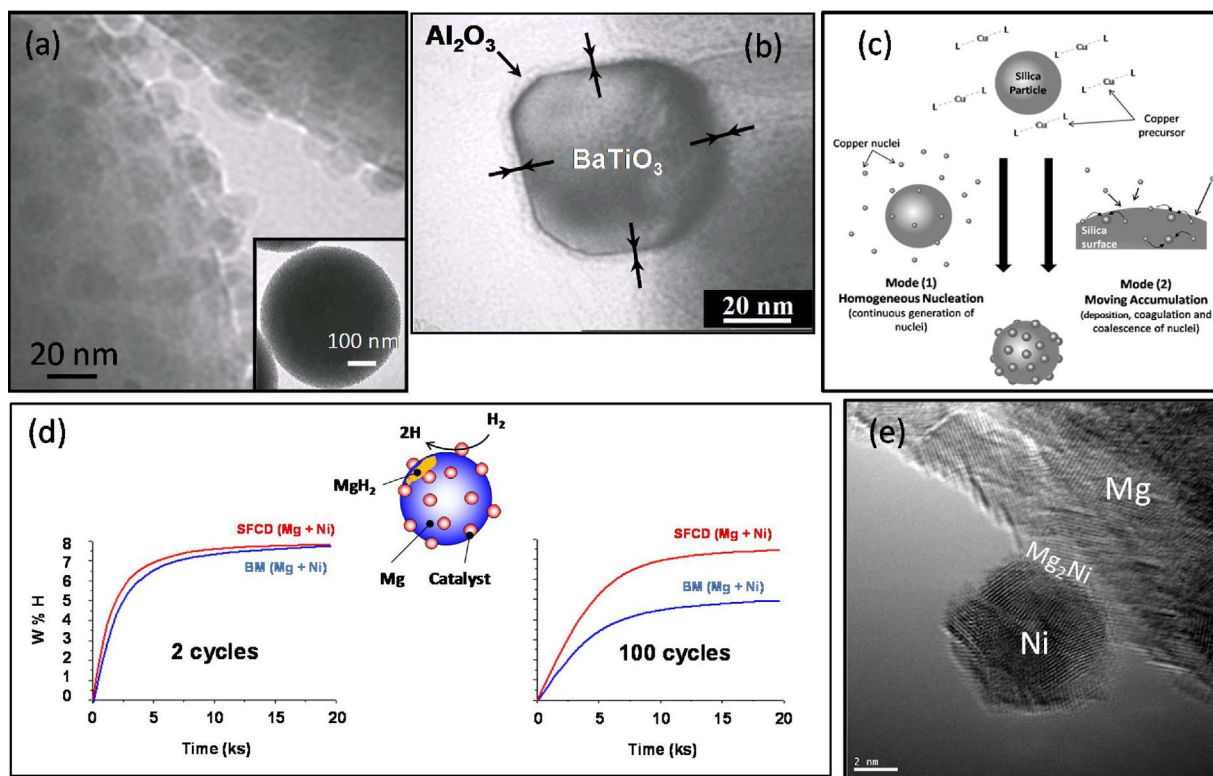


Fig. 8. (a) Silica spheres covered with copper nanoparticles with additional enlargement of the silica surface (adapted from [101] Reprint with permission from S. Marre, F. Cansell, C. Aymonier, *Nanotechnology* 17 (2006) 4594–4599 Copyright 2017 IOPscience, (b) BaTiO₃@amorphous Al₂O₃ core-shell nanoparticle (adapted from [108] Reprint with permission from C. Aymonier, C. Elissalde, H. Reveron, F. Weill, M. Maglione, F. Cansell, *J. Nanosci. Nanotech.* 5(6) (2005) 980–983 Copyright 2017 American Scientific Publishers, (c) bi-modal process proposed to simulate the decoration process (adapted from [42] Reprint with permission from S. Marre, A. Erriguible, A. Perdomo, F. Cansell, F. Marias, C. Aymonier, *J. Phys. Chem. C* 113 (2009) 5096–5104 Copyright 2017 American Chemical Society, (d) Evidence of the stability of Mg@Ni composites obtained through SFCD (in red) after 100 sorption/desorption cycles of H₂ compared to ball milling approach (in blue) (adapted from [104] Reprint with permission from C. Aymonier, A. Denis, Y. Roig, M. Iturbe, E. Sellier, S. Marre, F. Cansell, J.L. Bobet, J. *Supercrit. Fluids* 53 (2010) 102–107 Copyright 2017 Elsevier) and (e) HRTEM picture of the Mg₂Ni interphase obtained at the interface between a nickel nanoparticle and a Mg crystal (adapted from [104] Reprint with permission from C. Aymonier, A. Denis, Y. Roig, M. Iturbe, E. Sellier, S. Marre, F. Cansell, J.L. Bobet, J. *Supercrit. Fluids* 53 (2010) 102–107 Copyright 2017 Elsevier). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

serve at synthesizing nanostructures, which can be over coated with an inorganic shell in a second reactor and eventually with an organic shell in a third reactor. As aforementioned, the technological developments

associated to advanced characterization tools can allow *in situ*/online characterization(s) to control and to optimize all the process parameters.

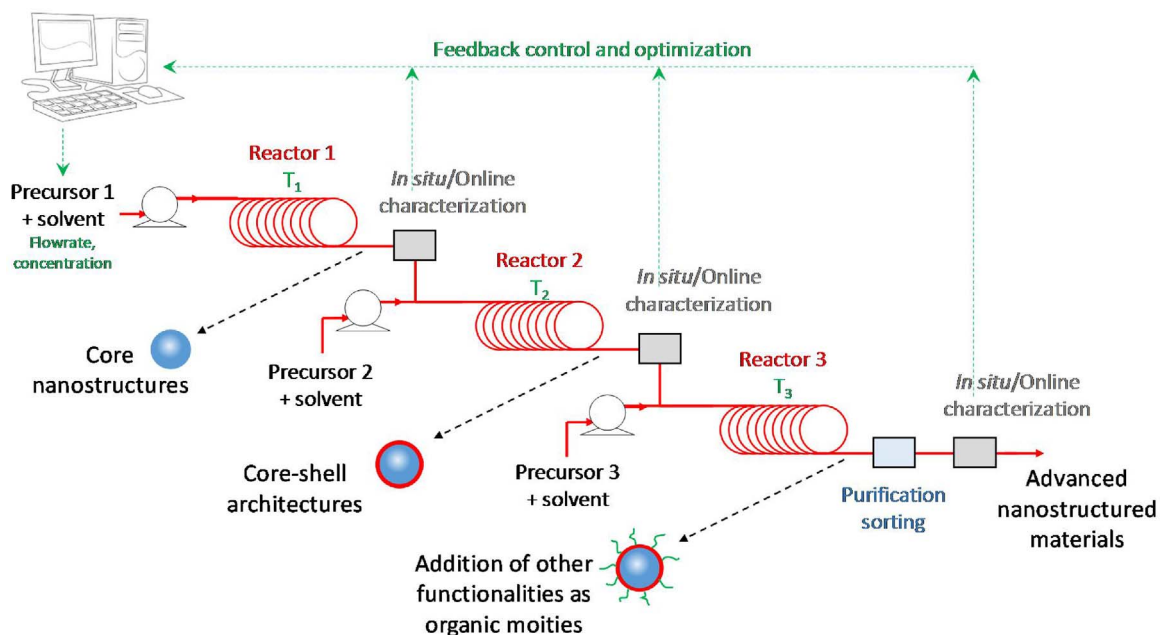


Fig. 9. Scheme of a one pot multistep process for the synthesis of advanced materials by design.

This perspective concept has already been demonstrated by few examples in the literature for instance by Iversen et al. though a dual stage continuous flow reactor for the synthesis of hybrid nanoparticles [109,110]. This reactor is based on the association of two SHFS processes as described in Fig. 1a. The concept of the dual stage continuous flow reactor was illustrated with the preparation of $\text{TiO}_2@ \text{SnO}_2$, $\text{TiO}_2@ \text{SiO}_2$, $\gamma \text{Fe}_2\text{O}_3@ \text{SiO}_2$ and $\alpha \text{Fe}_2\text{O}_3@ \text{SiO}_2$ core shell nanoparticles. This concept was also proposed by Zielke et al. where a two stage continuous hydrothermal flow synthesis reactor was designed using two different types of mixers based on CFD simulation [7]: co flow mixing for stage 1 inspired from [17] and counter flow mixing inspired from [3]. The authors synthesized YSZ NiO nanopowders for application in SOFC. In our group we have demonstrated the versatility of this concept with the preparation of functional Layered Double Hydroxide (LDH) [111]. First the possibility to produce in a continuous process small and highly crystalline LDH nanoparticles with lateral dimensions below 100 nm and a thickness below 20 nm has been achieved in only 5 s with different compositions by varying the cation (Mg, Ni, or Zn) and the anion (CO_3 , NO_3). The one pot multistep process has allowed obtaining hybrid LDH with a controlled basal distance, bio hybrid LDH by the functionalization of LDH with enzymes or still catalysts thanks to the deposition of Pd and Ru nanoparticles on a LDH support.

Beyond the design of advanced nanostructured materials, this very promising concept needs to be deeply investigated because the control of the synthesis depends on the mastering of the hydrodynamic and thermal characteristics of the reactors, on the mastering of the chemical reactivity but also on the nucleation & growth phenomena.

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