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▶ To cite this version:

Sarah Le Vigouroux, Jean-Baptiste Pavani, Bruno Dauvier, Jean-Luc Kop, Anne Congard. Reactive or Proactive? Age Differences in the Use of Affective Regulation Strategies. Psychology and Aging, 2017, 32 (7), pp.621-627. 10.1037/pag0000197 . hal-01772239

HAL Id: hal-01772239 https://hal.science/hal-01772239v1

Submitted on 3 May 2018 $\,$

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1	Reactive or Proactive? Age Differences in the Use of Affective Regulation Strategies
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10	
11	The data used in this study were collected as part of a dissertation on the development of
12	emotion regulation, focusing on the idea of timing (reactive and proactive regulation). The

13 results reported in this study have not been published elsewhere.

Abstract

2	We examined age-related differences in the reactive and proactive use of affect regulation
3	strategies. We collected data from 209 participants aged 13-80 years, using an experience
4	sampling method. The most interesting finding was that, as hypothesized, compared with the
5	under-20s, adults aged 20 years and over used the two strategies we focused on (i.e., problem
6	solving and positive reappraisal) more intensely and in a reactive manner. By contrast, from
7	the age of about 55 years upwards, adults were characterized by a more intensely proactive
8	use of these strategies. Results are discussed in the light of age differences in motivation.
9	Keywords: affect regulation, affective experience, experience sampling method,
10	eudaimonic vs hedonic
11	Abstract: 99 words
12	Text: 264 lines

1

Reactive or Proactive? Age Differences in the Use of Affective Regulation Strategies

2 Research on emotional aging has revealed a paradox whereby adults in their 60s tend to feel more positive affect (PA) and/or less negative affect (NA) than adults aged 20-30 years 3 (Carstensen et al., 2011; Gross et al., 1997; Mroczek & Kolarz, 1998; Riediger, Voelkle, 4 5 Ebner, & Lindenberger, 2011). This finding has frequently been interpreted as reflecting the 6 fact that older adults have better affective skills and greater motivation than their younger 7 counterparts. A recent systematic review provided support for this idea (Doerwald, Scheibe, 8 Zacher, & Van Yperen, 2016), by showing that older adults regulate their affect in a slightly 9 more efficient manner than younger adults. These age differences in affect regulation may, in 10 turn, arise from age differences in some motivational factors.

11 Age Differences in Affect Regulation Motivation (Hedonic vs. Eudaimonic Approach)

The potential role of motivation is stressed by two theories that were developed to explain 12 13 age differences in affective feeling and affect regulation: socioemotional selectivity theory 14 (SST; Carstensen, 2006) and strength and vulnerability integration (SAVI) theory (Charles, 15 2010). Albeit not equivalent, these two theories both postulate that, with advancing age, 16 future time perception decreases, inducing changes in the fundamental goals individuals are 17 motivated to pursue. Compared with older adults, younger adults are assumed to adopt a more 18 eudaimonic approach to well-being (Ryan & Deci, 2001), focused on their self-development. 19 Young adults see no limits to their future time, leading them to pursue goals that tend to 20 promote their future well-being at the expense of their immediate one (e.g., accumulating new 21 knowledge through education) (Lang & Carstensen, 2002). By contrast, as they become aware 22 of the more limited time they have left to live (Löckenhoff & Carstensen, 2007), older adults adopt a more hedonic approach to wellbeing (Kahneman, Diener, & Schwarz, 1999), focused 23 24 on the goal of cultivating their immediate well-being.

Pursuing this goal appears to lead older adults to use some affect regulation strategies more 25 26 intensely than younger adults do (Doerwald et al., 2016), as these strategies effectively 27 promote immediate well-being (Charles & Pasupathi, 2003; Pavani, Le Vigouroux, Kop, Congard, & Dauvier, 2015; Röcke, Li, & Smith, 2009). The precise way in which these age 28 29 differences in motivation lead younger and older adults to differ in the *intensity* with which they implement various affect regulation strategies has received considerable attention (e.g., 30 31 Diehl et al., 2014; Diehl, Coyle, & Labouvie-Vief, 1996; Le Vigouroux et al., 2015; 32 Rovenpor, Skogsberg, & Isaacowitz, 2012). By contrast, little is known about how age influences the reactive versus proactive use of affect regulation strategies, even though this 33 34 construct appears to be key to the effectiveness of affect regulation (Carstensen, Fung, & 35 Charles, 2003; Gross, 1998).

36 Age Differences in Affect Regulation Timing: Reactive Versus Proactive

Affect regulation can take place either before or after the experience of an affect (Voelkle, Ebner, Lindenberger, & Riediger, 2013). On this basis, Gross (Gross, 1998, 2015; Gross & Thompson, 2007) distinguished between response- and antecedent-focused affect regulation strategies. Response-focused (i.e., reactive) strategies are strategies used in reaction to an affect that is already present, and are designed to modify this elicited affect. Antecedentfocused (i.e., proactive) strategies are strategies that target the antecedents of an affect, and involve modifying the information input before that affect occurs.

Gross (1998)'s assumption that some strategies are always reactive (e.g., expressive suppression) whereas others are always proactive (e.g., positive reappraisal) appears to be inaccurate. Indeed, the most recent version of his own model of affect regulation (Gross, 2015) stresses the complexity of the dynamics of affect regulation, which is described as a continuum of processes taking place either before, during, or after an affect. Thus, the time at which an affect regulation strategy is used in relation to the targeted affect may be the only

criterion for accurately categorizing a strategy as reactive or proactive. In the present study, 50 51 given that the affects that individuals most often want to modify are high NA and low PA 52 (Riediger, Schmiedek, Wagner, & Lindenberger, 2009), we defined reactive strategies as those affect regulation strategies used after a high NA/low PA state. Proactivity is more 53 54 difficult to assess experimentally, as the targeted affect may never happen if the regulation is successful. Thus, *proactive strategies* cannot be defined as the strategies used before high 55 NA/low PA states. That said, strategies used after low NA/high PA are, by definition, not 56 57 reactive, and can be regarded as a preventive measure to limit a future increase in NA or decrease in PA. 58

59 We hypothesized that age differences in motivation would lead younger and older 60 individuals to differ in their use of reactive and proactive affect regulation strategies. 61 Assuming that younger adults are less motivated to cultivate their immediate well-being than older adults, they may only use affect regulation strategies when their well-being has been 62 63 reduced by unpleasant events, for example (i.e., in a reactive manner). Furthermore, their eudaimonic approach to well-being may precisely expose them to unpleasant events, leading 64 to increasing use of strategies to deal with them. By contrast, if one of the main goals of older 65 adults is to cultivate their immediate well-being, then they may use affect regulation strategies 66 more intensely, not only in reaction to a low level of well-being, but also when its level is 67 68 already high, for instance in an already pleasant situation (i.e., in a proactive manner).

69 The Present Study

The aim of this study was to examine age differences in the reactive and proactive use of affect regulation strategies. For the reasons set out above, *reactive regulation* referred to strategies used in response to a high level of NA or low level of PA. By contrast, *proactive regulation* referred to strategies used when an individual experiences a low level of NA or high level of PA. The strategies we analyzed were problem solving (i.e., behavioral effort to

75	take concrete actions to change a situation perceived of as unpleasant) and positive
76	reappraisal (i.e., cognitive effort to evaluate a situation that was initially perceived of as
77	unpleasant in a more favorable fashion). We focused on these two strategies because they are
78	widely studied strategies that are known to be effective in enhancing wellbeing (e.g., Pavani
79	et al., 2015), they can be used either proactively or reactively (Blanchard-Fields, Stein, &
80	Watson, 2004; Urry, 2009), and their use changes with age (Doerwald et al., 2016).
81	Innovative use of an experience sampling method (ESM; Hektner, Schmidt, &
82	Csikszentmihalyi, 2008) and refined statistical tools such as generalized additive mixed
83	models (GAMMs; McKeown & Sneddon, 2014) allowed us to test two hypotheses.
84	Our first hypothesis was that older adults use affect regulation strategies (i.e., problem
85	solving and positive reappraisal) more intensely than younger adults. Our second hypothesis,
86	which referred to age differences in strategy timing, was that a) compared with adolescents,
87	young adults use these strategies more intensely reactively, and b) compared with younger
88	adults, older ones use these strategies more intensely proactively. In other words, older adults
89	make intense use of regulation strategies both reactively and proactively.
90	Method
91	Participants
92	The sample consisted of 209 nonclinical individuals (131 women) ranging in age from 13
93	to 80 years ($M = 38.50$, $SD = 17.56$). It included 39 participants aged 13-20 years, 51 aged
94	21-30 years, 56 aged 31-50 years, 52 aged 51-70 years, and 11 aged 70 years or over.
95	Participants were recruited from the experimenters' social networks, and came from various
96	regions of France. The study met local ethical rules on noninvasive protocols involving

97 healthy participants and did not require formal ethics committee approval. All participants

signed an informed consent form, which outlined the conditions for taking part, as well as for

99 withdrawing from the study, if desired.

100 **Procedure**

101 An initial interview was conducted to establish the list of 14 items that participants would subsequently be asked to rate during the ESM phase (for more information, see "Affects and 102 103 strategies" subsection below). The ESM phase lasted 2 consecutive weeks, during which participants rated the 14 selected items 5 times a day, in response to alerts sent to their mobile 104 105 phones from a central server. Each participant could adjust the timing of these alerts (usually 106 at 9 am, midday, 3 pm, 6 pm and 9 pm) by up to 15 minutes. After receiving an alert message, they had 30 minutes to respond, using the reply function of their mobile phone. For the affect 107 108 items, participants were asked to indicate their current level of feeling, whereas for the 109 strategies, the focus was on the level of strategy use since their previous response. Participants responded by texting the numbers corresponding to their ratings for each item¹. 110 111 Once we had collected all the data, we removed the responses containing errors (e.g., insertion of a 15th digit in the sequence), duplications and answers that were sent within 2¹/₄ 112 113 hours of the previous or subsequent text message. On average, we received 60 responses to the 70 text messages sent to each participant during the study period (i.e., 86%). 114

115 Measures

116 **Chronological age.**

117 Age appears to be a reliable predictor of individuals' perceptions of the time they have left 118 to live, with a strong negative correlation (r = -.70, p < .001; Lang & Carstensen, 2002).

119 Affects and strategies.

¹ For example, one response was "311 142 514 451 43". The first 12 digits corresponded to the intensity of the 12 affects at the time of the reply. The last two digits corresponded to the levels at which problem solving and positive reappraisal had been used since the previous response.

The procedure was identical for affects and strategies. Twelve affect definitions were 120 121 operationalized on the basis of the 12-point affect circumplex (Yik, Russell, & Steiger, 2011), while problem solving and positive reappraisal were given the definitions that are commonly 122 used in affect regulation research². After the experimenter had read out the definition for an 123 affect or strategy during the initial interview mentioned above, each participant had to 124 summarize this definition by providing what he or she believed to be the most prototypical 125 126 adjective (for affects) or short sentence (for strategies). This procedure yielded a list of 12 127 adjectives for each participant, corresponding to the 12 affects, and two short sentences describing the two strategies. These 14 elements formed the items that participants rated in 128 129 the ESM phase on 5-point Likert scales ranging from 1-Not at all to 5-Very felt (for affects) and used (for strategies). At the end of the interview, to ensure consistency between the 130 adjectives and short sentences chosen by the participants and the target affects and strategies, 131 132 we submitted each set of items to the participant who had selected them, and asked him or her to restore their meanings. This procedure limited the risk of the same term meaning different 133 things to different participants (Nesselroade, Gerstorf, Hardy, & Ram, 2007). 134 135 The responses given for the 12 affective items at each assessment were subjected to a principal component analysis (PCA) in order to reduce the number of variables. This PCA 136 137 revealed that three components could explain a substantial part of the variance (i.e., 64%). 138 The first component was labeled NA, as it saturated the five negatively valenced affects observed in the circumplex model (Yik et al., 2011). The second component was labelled 139

140 deactivated PA, as it saturated the two deactivated PAs of the model as well as the PA that

141 was neither activated nor deactivated. The third component was labeled activated PA, as it

² See additional material for more information on the definitions given to participants.

saturated the two activated PAs of the model, as well as the two activated or deactivatedaffects that were neutrally valenced.

144 Statistical Analyses

145 The data we collected (i.e., the affect experienced by participants and their use of strategies 146 during the ESM phase) formed time series that had to be analyzed using mixed models. However, the nature of these data required more flexible models than generalized linear 147 mixed models (GLMMs; Faraway, 2005). When relationships between variables are more 148 149 complex than linear ones, as is frequently observed in studies examining the effect of age on affective variables (e.g., Carstensen et al., 2011; Gross et al., 1997; Mroczek & Kolarz, 1998), 150 151 GAMMs can provide the flexibility needed to properly describe these relationships. 152 Moreover, with GAMMs, analyzing nonlinear interactions between quantitative explanatory 153 variables is easier than analyzing them with polynomial-type transformations within the 154 GLMM framework (Marx & Eilers, 1998; Wood & Augustin, 2010). GAMMs' aim is not to 155 realize tests of differences between specific age groups, but the graphing is used to 156 approximate these effects descriptively. GAMMs implemented with the mgcv package (Wood, 2011) in R software (R Core Team, 157 2015) allowed us to examine the relationship between a person's affective state at a given 158 time and any subsequent use of regulation strategies. To assess the moderating effect of age 159 on this relationship, we included use of the two strategies (during the $t.t_{+1}$ interval³) as the 160 161 variable to be explained, and age as the explanatory variable, considered continuously in interaction with the three affective components: NA, deactivated PA, and activated PA. In 162 order to graphically represent the effect sizes, we carried out these analyses using data 163 164 standardized on the full sample.

³ The participant's estimated level of strategy use during the t.t+1 interval was measured at t_{+1} .

Results

166 **Descriptive Statistics**

167 Our first hypothesis concerned the relationship between the age and strategy variables. The correlations in Table 1 between age and the mean level at which each participant used 168 169 problem solving and positive reappraisal show that, as hypothesized, use of these two 170 strategies increased with age. Moreover, the correlations between age and mean affect per participant suggested moderate age-related differences in affects of the same order of 171 172 magnitude as that described in the literature with similar methodologies (Carstensen, 173 Pasupathi, Mayr, & Nesselroade, 2000; Scheibe, English, Tsai, & Carstensen, 2013; Stone, 174 Schwartz, Broderick, & Deaton, 2010). Age was negatively correlated with NA and positively 175 correlated with activated PA, whereas its correlation with deactivated PA failed to reach significance. 176 **INSERT TABLE 1 ABOUT HERE** 177 **Statistical Modeling** 178 179 To study the moderating effect of age on the relationship between affect and the 180 subsequent use of affect regulation strategies (Hypothesis 2), we fitted two GAMMs⁴, with age (interacting with the affects measured at t) as predictor, and the strategies used between t 181 and t_{+1} (i.e., those measured at t_{+1}) as response variable. Explained deviance was .06 for 182 problem solving (*edf*(age, NA_t) = 7.34, p < .001; *edf*(age, DPA_t) = 14.19, p < .001; *edf*(age, 183 APA_t = 14.57, p < .001) and 0.05 for positive reappraisal (*edf*(age, NA_t) = 4.98, p < .001; 184

185

 $edf(age, DPA_t) = 11.32, p < .001; edf(age, APA_t) = 1.00, p < .001)^5$. In the two models we

⁴ Model structure: strategy_{t,t+1} ~ s(age, NA_t) + s(age, DPA_t) + s(age, APA_t,) + (1|Participant). ⁵ edf = estimated degrees of freedom. A higher edf corresponds to a more complex relationship between the variables.

The indication ' $_{t,t+1}$ ' of each strategy indicates that it is the level of strategy's use estimated by the individual concerning the $_{t,t+1}$ interval and which is measured at $_{t+1}$.

A higher edf corresponds to a more complex relationship between the variables.

186 calculated, five out of six interactions were nonlinear (*edf* above 1). In order to represent

187 them, we produced a series of predicted value graphs (Fig. 1). Variations ranging from -.3 to

188 .3 standard deviations indicated modest but notable differences.

189 INSERT FIGURE 1 ABOUT HERE

Like the correlations reported above, the GAMM results were consistent with our first
hypothesis. Older adults used more problem solving and positive reappraisal than younger
adults, regardless of the type of affect experienced beforehand.

193 Our second hypothesis was generally confirmed by the results on the use of problem 194 solving. When we considered NA (Fig. 1a), we found that the under-20s made little use of problem solving. Individuals aged 20 years or older used this strategy more intensely when 195 196 they had previously felt high NA, reflecting an increase in reactive regulation with age. By 197 contrast, we only observed an increase in proactive regulation (i.e., problem solving following 198 low NA) among adults aged 55 years or more. When we considered deactivated PA (Fig. 1c), we found that after the age of 20 years, a low level of deactivated PA increasingly led to a 199 200 high level of problem solving use, reflecting more intense reactive regulation. By contrast, the 201 proactive use of problem solving (i.e., following high deactivated PA) only started to increase 202 at around 45 years. When we considered activated PA (Fig. 1e), we unexpectedly observed 203 the opposite pattern. Adults aged 20 years or more implemented problem solving more 204 proactively than younger individuals, whereas more intense reactive use of this strategy was 205 only observed among individuals aged 55 years or more.

Regarding the use of positive reappraisal, results did not entirely support our hypothesis. As expected, the oldest adults were the ones who used this strategy the most, both reactively and proactively. Moreover, when DPA was considered (Fig. 1d), the hypothesized pattern emerged, insofar as the reactive implementation of positive reappraisal was more intense among adults aged 20 years or more than among younger individuals, whereas the proactive

use of this strategy increased mainly among adults aged 55 years or more. However, contrary
to what we had predicted, we found similar age-related differences in the proactive and
reactive use of positive reappraisal when NA and activated PA were considered (Fig. 1b and
Fig. 1f).

215

Discussion

In summary, our findings confirmed our hypothesis that, compared with their younger counterparts, older adults implement problem solving and positive reappraisal more intensely, both reactively and proactively. This finding is consistent with the idea that, as older adults are more motivated to promote their immediate well-being than younger adults, owing to their perception of the reduced time they have left to live, they engage more in the implementation of affect regulation strategies (Carstensen, 2006; Charles, 2010).

222 Furthermore, when we analyzed age-related differences in strategy use following 223 experiences of NA and deactivated PA, we observed the hypothesized pattern of differences 224 between reactive and proactive regulation. Participants aged 20 years or more implemented 225 problem solving more intensely and in a reactive manner, probably owing to their eudaimonic 226 approach to wellbeing. By contrast, probably owing to the more hedonic approach to wellbeing displayed by older adults, an increase in the proactive use of this strategy was only 227 228 observed among individuals aged 55 years or more. An equivalent increase was observed in 229 the proactive use of positive reappraisal following the experience of deactivated PA, but its 230 reactive and proactive uses underwent similar age-related changes whatever the previous level 231 of NA or activated PA.

When we considered strategy use following activated PA, age-related differences in reactive regulation no longer preceded age-related differences in proactive regulation. One possible explanation for this result is that affects are not simply signals triggering regulation activities; they also serve as regulation resources (e.g., Fredrickson, 1998). In particular,

activated PA may be a useful source of energy for individuals who are less motivated to 236 237 achieve immediate well-being (i.e., younger adults), as it may make the use of costly affect 238 regulation strategies (e.g., problem solving) easier. By contrast, individuals who are more motivated to achieve immediate well-being (e.g., older adults) may engage in such strategies 239 240 even when they experience low energy states. In short, if activated PA constitutes a resource, we can assume that young adults use the energy as and when it is available, while older adults 241 242 engage in strategies such as problem solving even when they lack energy, potentially with the 243 aim of regaining it in the future.

The first limitation of our study was the indirect operationalization, based on previous affective states, of reactive and proactive affect regulation. Our results therefore need to be validated using another procedure that more straightforwardly operationalizes the difference between proactive and reactive regulation. For example, participants could be directly questioned about their approach to regulation: do they use each of the strategies according to their previous affective state or according to the affective state they may experience in the future?

A second limitation is that we included only a few very old adults, owing to the nature of the protocol. This is important, as affective experience has been shown to change in extreme old age. It would be interesting to see whether very old people, who exhibit a decline in wellbeing (Gana, Saada, & Amieva, 2015), continue to use proactive regulation. A last limitation of this study refers to use of convenience/social network-based sampling.

In conclusion, our analyses yielded evidence of distinct age differences in the reactive versus proactive use of two affect regulation strategies that enhance well-being. Compared with the under-20s, adults aged 20 years or more used the strategies we focused on more intensely and in a reactive manner. More intense proactive use of these strategies was observed solely in older participants, from around 55 years upwards, and solely when strategy

- use following NA or deactivated PA. The opposite pattern emerged following activated PA.
- Although we interpreted our findings in the light of motivational factors (i.e., age differences
- 263 in eudaimonic vs. hedonic approaches to well-being), these findings need to be replicated and
- further explained.

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108 **Table and Figure**

109 Table 1

	Age	NA	DPA	ΑΡΑ	PS	PR
NA	17*	1				
DPA	.04	34** 43 (.32)	1			
ΑΡΑ	.16*	06 39 (.28)	.53** .10 (.32)	1		
Problem solving	.19*	.19** .03 (.20)	.33** 05 (.19)	.52** .05 (.19)	1	
Positive reappraisal	.16*	.16* .02 (.22)	.43** 01 (.22)	.43** .05 (.19)	.63** .26 (.26)	1
* <i>p</i> < .05, ** <i>p</i> < .01.						

110 Correlations Between Age, Affective Components and Regulation Strategies

Note. NA = negative affect; APA = activated positive affect; DPA = deactivated positive affect; PR = positive reappraisal; PS = problem solving. First row: interindividual correlations with mean individual levels of affective components and regulation strategies. Second row: mean (standard deviation) intra-individual correlations.

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115 *Note.* NA = negative affect; APA = activated positive affect; DPA = deactivated positive affect. 116 *Reactive regulation* corresponds to the use of strategies following a period of reduced well-being 117 (high NA / low APA and/or DPA). Proactive regulation corresponds to the use of strategies 118 following a period of high wellbeing (low NA / high APA and/or DPA). The level of strategy use 119 was standardized. For each graph, the predicted values were estimated for a value of ±1 standard 120 deviation for the relevant affective component, while the other two affective components were set 121 at the mean (means and standard deviations computed on all observations). The black (reactive) 122 curve corresponds to +1 SD of NA or -1 SD of DPA and APA. The grey (proactive) curve corresponds to 123 -1 SD of NA or + 1 SD of DPA and APA.

- *Figure 1.*Generalized additive mixed model predictions for the proactive versus reactive
- 125 use of cognitive reappraisal and problem solving for affect regulation, in interaction with age.