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**PRUNING INFEASIBLE PATHS VIA GRAPH
TRANSFORMATIONS AND SYMBOLIC
EXECUTION : A METHOD AND A TOOL**

AISSAT R / GAUDEL M C / VOISIN F / WOLFF B

Unité Mixte de Recherche 8623
CNRS-Université Paris Sud-LRI

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Pruning Infeasible Paths via Graph Transformations and Symbolic Execution: a Method and a Tool

Romain Aissat, Marie-Claude Gaudel, Frédéric Voisin, Burkhart Wolff

LRI, Univ Paris-Sud, CNRS, CentraleSupélec, Université Paris-Saclay, France
aissat@lri.fr

Abstract—Path-biased random testing is an interesting alternative to classical path-based approaches faced to the explosion of the number of paths, and to the weak structural coverage of random methods based on the input domain only. Given a graph representation of the system under test a probability distribution on paths of a certain length is computed and then used for drawing paths. A limitation of this approach, similarly to other methods based on symbolic execution and static analysis, is the existence of infeasible paths that often leads to a lot of unexploitable drawings.

We present a prototype for pruning some infeasible paths, thus eliminating useless drawings. It is based on graph transformations that have been proved to preserve the actual behaviour of the program. It is driven by symbolic execution and heuristics that use detection of subsumptions and the abstract-check-refine paradigm. The approach is illustrated on some detailed examples.

I. INTRODUCTION

White-box, path-based, testing is a well-known technique, largely used for the validation of programs. Given the control-flow graph (CFG) of the program under test, generation of a test suite is viewed as the process of first selecting a collection of *paths of interest*, then trying to provide, for each path in the collection, concrete values for the program parameters that will make the program follow exactly that path during a run.

For the first step, there are various ways to define what is meant by paths of interest: structural testing methods aim at selecting some set of paths that fulfills coverage criteria related to elements of the graph (vertices, edges, paths of given length, etc); in random-based techniques, paths are selected according to a given distribution of probability over these elements (for instance, uniform probability over all paths of length less than a given bound). Both approaches can be combined as in *structural statistical testing* [1, 2]. The random-based methods above have the advantage of providing a way to assess

the quality of a test set as the minimal probability of covering an element of a criterion.

Handling the second step requires to produce for each path its *path predicate*, which is the conjunction of all the constraints over the input parameters that must hold for the system to run along that path. This is done using symbolic execution techniques [3]. Then, constraint-solving is used to compute concrete values to be used for testing the program. If for no input values the path predicate evaluates to true, the path is infeasible. It is very common for a program to have infeasible paths and such paths can largely outnumber feasible paths. Every infeasible path selected during the first step will not contribute to the final test suite, and there is no better choice than to select another path, hoping for its feasibility. Handling infeasible paths is the serious limitation of structural methods since such methods can spend most of the time selecting useless paths. It is also a major challenge for all techniques in static analysis of programs, since the quality of the approximations they provide is lowered by data computed for paths that do not exist at program runs.

To overcome this problem, different methods have been proposed, like concolic testing (see Section VII) or random testing based on the input domain [4]. In path-biased random testing, paths in the CFG are drawn according to a given distribution and checking the feasibility of paths is done in a second step. In [5], for each drawing yielding an infeasible path, a new path was drawn, while trying to learn infeasibility patterns from the set of rejected paths. But the experimental results were not satisfactory for programs with many infeasible paths. Here, we follow another approach, namely we present a prototype that builds better approximations of the behavior of a program than its CFG, providing a transformed CFG, which still over-approximates the set of feasible paths but with fewer infeasible paths. This transformed graph is used for drawing paths at random.

In [6] we modelled our graph transformations and formally proved the two key properties that establish the correctness of our approach: all feasible paths of the original CFG have counterparts in the transformed graph, and to each path in the new graph corresponds a path in the original CFG performing the same computations.

Our algorithm uses symbolic execution of the paths in the CFG, which, in conjunction with constraint solving, allows to detect whether some paths are infeasible. As programs can contain loops, their graphs can contain cycles. In order to avoid to follow infinitely a cyclic path, we enrich symbolic execution with the detection of *subsumptions*. Roughly speaking, a subsumption can be interpreted as the fact that some node met during the analysis is a particular case of another node met previously. As a result, there is no need to explore the successors of the subsumed node: they are subsumed by the successors of the subsumer.

The paper is organized as follows. In Section II we recall classical definitions, and introduce the basic operations performed by the algorithm: symbolic execution, detection of subsumption, and abstraction. In Section III, we present our main algorithm and its heuristics before describing in Section IV, how it behaves on an example. Section V shows experimental results on three examples. Section VI briefly reports about proving the correctness of the approach. Finally, we present related works in Section VII and conclude in Section VIII.

II. BACKGROUND

A. Modelling programs

Programs are modelled by *labelled transition systems* (LTS). A LTS is a quadruple (L, l_0, Δ, F) where L is the set of program locations, $l_0 \in L$ is the entry point of the program and $F \subseteq L$ the set of final vertices, i. e. exit points. $\Delta \subseteq L \times Labels \times L$ is the transition relation, with $Labels$ being a set of labels whose elements represent the basic operations that can occur in programs.

In this paper, a label can be as follows:

- Skip, used for edges associated with break, continue or jump statements,
- Assume ϕ , where ϕ is a boolean expression over $Vars$, the set of program variables,
- Assign $v e$, where v is a program variable and e an expression over elements of $Vars$.

Vertex l_0 has no incoming edge and elements in F have no outgoing edges. In the underlying graph, all

vertices are reachable from l_0 and reach an element in F .

The transition relation represents the operations that are executed when control flows from a program location to another. We write $l \xrightarrow{label} l'$ to denote the transition leading from $l \in L$ to $l' \in L$ executing the operation corresponding to $label \in Labels$.

Conditional statements are directly encoded using the underlying graph structure of the LTS by adding edges labelled with the condition to the successors.

Such LTS model programs as if they were the result of a pre-compiler for a simple imperative programming language where basic operations are either assignments or *Skip*; Conditional statements are either If-Then-Else blocks (the Else-branch being optional) or While-loops. There is no explicit block structure as it is assumed that, after some scope analysis and renaming, all variables are defined at the topmost level. We call D the domain of program variables. A *program state* is a function $\sigma : Vars \rightarrow D$. In the following examples, we assume D to be the set of integers. This could be extended to arrays, records and other constructs. Actually, the approach presented here can be combined with existing memory models such as [7, 8]: this leads to consider new kinds of formulae, but the notions of subsumption and abstraction will remain relevant. The real limit comes from the constraint solver in use.

In Figure 1, we give the LTS for a program that merges two sorted arrays. Its input are two arrays a and b of integers and their respective lengths la and lb . It returns a third array A containing the elements of a and b , sorted in ascending order. The program code is made essentially of three loops. The first one iterates on both a and b , and stores their elements in A until one of the two arrays is exhausted. The array that has not been completely traversed is then processed by one of the last two loops.

Although simple, this program contains a lot of infeasible paths, assuming no pre-condition about la or lb . We distinguish seven "groups" of infeasible paths, and five of feasible paths (one group with a single path). For example, any path going through $2 \xrightarrow{ia \geq la} 9$ (resp. $3 \xrightarrow{ib \geq lb} 9$) then $9 \xrightarrow{ia < la} 10$ (resp. $12 \xrightarrow{ib < lb} 13$) is infeasible. Also, any feasible path can enter at most one of the last two loops and not entering either loop is feasible only when the input arrays are empty. We do not detail the other groups, but in the case of *merging sort*, the reason of infeasibility generally lies in the fact that a and b cannot have been both completely visited when the execution exits the first loop.

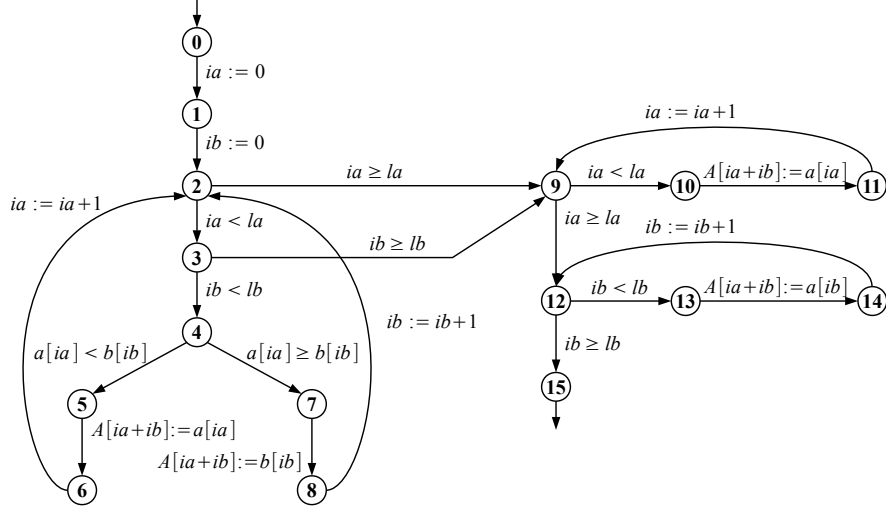


Figure 1: The LTS for the *merging sort* algorithm.

B. Symbolic Execution

A symbolic variable is an indexed version of a program variable. The set $Vars \times \mathbb{N}$ of all symbolic variables is denoted $SymVars$.

Symbolic execution performs over *configurations*, which are pairs (s, π) . The first member s , the *store*, is a function from program variables to indexes which maps program variables to symbolic variables. The second member π , the *path predicate*, is a formula over symbolic variables which is the conjunction of constraints met during symbolic execution. The set of all configurations is denoted by \mathcal{C} . A configuration is satisfiable if and only if its path predicate is satisfiable.

We represent symbolic execution by a function $SE : \mathcal{C} \times Labels \rightarrow \mathcal{C}$, and define it as follows:

$$SE \ c \ l = \begin{cases} c & \text{if } l = \text{Skip} \\ (s, \pi \wedge \phi_s) & \text{if } l = \text{Assume } \phi \\ (s', \pi \wedge (v, s'(v)) = e_s) & \text{if } l = \text{Assign } v \ e \end{cases}$$

where:

- e_s (resp. ϕ_s) denotes the expression obtained from e (resp. ϕ) by substituting every occurrence of a program variable v by $(v, s(v))$,
- s' is obtained by updating s in such a way that the symbolic variable $(v, s'(v))$ is fresh¹ for c .

C. Subsumption

Subsumption is a relation between configurations. Informally, a configuration c is subsumed by a configura-

tion c' if it is a particular case of c' . More precisely, a configuration represents a set of program states and c is subsumed by c' if the set of program states represented by c is a subset of the set of program states represented by c' . In the following, we define the concepts needed to formalise this notion of program states represented by a configuration.

Given a store s , a program state $\sigma : Vars \rightarrow D$ and a symbolic state $\sigma_{sym} : SymVars \rightarrow D$, σ and σ_{sym} are said to be consistent with s , noted $cons(s, \sigma, \sigma_{sym})$, if

$$\forall v \in Vars. \sigma(v) = \sigma_{sym}((v, s(v)))$$

Given an arithmetic or boolean expression e over program (resp. symbolic) variables and a program state σ (resp. a symbolic state σ_{sym}), we write $e(\sigma)$ (resp. $e(\sigma_{sym})$) the evaluation of e in σ (resp. in σ_{sym}).

The set of program states represented by a configuration $c = (s, \pi)$, or simply the set of states of c , denoted $States(c)$, can then be defined in the following way:

$$States(c) = \{\sigma. \exists \sigma_{sym}. cons(s, \sigma, \sigma_{sym}) \wedge \pi(\sigma_{sym})\}$$

If the path-predicate of a configuration is unsatisfiable, its set of states is empty.

A configuration c is subsumed by another configuration c' , noted $c \sqsubseteq c'$, if $States(c) \subseteq States(c')$.

Symbolic execution is monotonic with respect to this definition of subsumption. There is no need to explore the successors of a subsumed point, as they are subsumed by the successors of the subsumer. It follows that the set of feasible paths starting at the subsumee is a subset of the set of feasible paths starting at the subsumer [6].

¹i.e. it is not yet associated to a program variable by the store and it does not occur in the path predicate.

Therefore, as subsumption corresponds to an inclusion of paths, adding a subsumption to the symbolic execution tree often comes at the price of introducing infeasible paths into it. A challenge is thus to accept only subsumptions that introduce a reasonable number of infeasible paths. This is addressed in section III.

D. Abstraction

Unfolding loops by symbolic execution in a LTS may yield an infinite symbolic execution tree. To get a finite representation, loops must be subject to subsumption at some point. Every time a loop header is reached when extending a symbolic execution path, the algorithm checks if a subsumption can apply with one of the previous occurrences of the same loop header on the path. The configurations at the subsumer and subsumee record two snapshots of the (symbolic) values of variables along that path, as given by the store and the path predicate. Except for trivial loops, the symbolic values of some variables have changed between the configurations and subsumption might not occur. Abstracting a configuration means forgetting part of the information in the configuration at the subsumer for forcing the subsumption. The store component of a configuration merely records the symbolic variable currently associated with a program variable; in the path predicate constraints over symbolic variables are expressed as conjunctions of formulae on symbolic variables, reflecting decisions and assignments that take place along the path. Abstraction discards some of these formulae and there are various ways to do so: remove a set of conjuncts, or compute a weaker form of the path predicate that would be implied by the current path predicates of both configurations, see for instance [9, 10]. Abstraction at a loop header amounts to compute some kind of invariants for that loop.

Once abstraction has been performed for the subsumer, configurations located in its subtree must be recomputed by propagating the abstract configurations to the successors. Propagating the abstraction could rule out existing subsumptions involving successors of the subsumer. Moreover, it must also be checked that the abstraction propagated to the subsumee is now subsumed by the abstracted subsumer, which is not guaranteed. When such a conflict exists, we keep the existing subsumptions and discard the abstraction.

When no abstraction is retained with any of the previous occurrences on the path of the same loop header, the loop is unfolded again by symbolic execution, hoping for a future subsumption.

Forcing a subsumption with an abstraction is not

always profitable: each abstraction discards part of information about the current symbolic values of variables at that program point and possibly add a whole set of new infeasible paths, in comparison to the set of feasible paths one would have obtained with classical symbolic execution. In Section III we describe how our heuristics limit that problem. A crucial point for limiting the unfolding of loops without introducing infeasible paths is the choice of the predecessor with which to subsume and of the abstraction that makes it possible.

E. Limiting Abstractions

To prevent from performing unwanted abstractions at a configuration, or for recording that some abstraction has been banned by some kind of counterexample driven refinement [10], predicates can be attached to configurations for loop headers. They act as safeguard against too crude abstractions: only abstract configurations that imply the additional predicate will be considered. This predicate is usually obtained after some kind of refinement. In Section III we use a weakest-precondition calculus for that purpose. To make sure that no feasible path is discarded by attaching the predicate to a configuration, the predicate must hold for all states described by the configuration. This additional predicate is not part of the configuration and is not propagated to successors.

III. ALGORITHM

Our algorithm transforms a given CFG into one with fewer infeasible paths. It takes an LTS S and an initial configuration c as inputs, and produces a new LTS S' . In [6] we have formally proved that S' fulfills the two following properties: (i) for every path in S' there exists a path with the same trace in S ; (ii) for every feasible path of S starting with the initial configuration c , there exists a path with the same trace in S' .

A. Red-Black Graphs

The algorithm builds an intermediate structure that we call a *red-black graph*, which is turned back into a LTS when the analysis is over. A red-black graph \mathcal{RB} is a 6-uple $(\mathcal{B}, \mathcal{R}, \mathcal{S}, \mathcal{C}, \mathcal{M}, \Phi)$ where:

- $\mathcal{B} = (L, l_0, \Delta, F)$ is the input LTS that we call the *black part*. It is never modified during the analysis,
- $\mathcal{R} = (V, r, E)$ is a rooted graph (a LTS without labels) that we call the *red part*, which represents the symbolic execution tree built so far and can be seen as a partial unfolding of the black part; $V \subseteq L \times \mathbb{N}$ is its set of vertices, called the *red vertices*; they are indexed versions of elements of

L representing occurrences of locations of \mathcal{B} met during the analysis; $r \in V$ is its root; $E \subseteq V \times V$ is its set of edges. Function fst returns the first element of a couple; we use it to retrieve the black vertex associated with a red vertex,

- $\mathcal{S} \subseteq V \times V$ is the subsumption relation between red vertices computed so far,
- \mathcal{C} is a function from red vertices to configuration stacks: a red vertex can have multiple configurations (reflecting different choices of abstraction) during the analysis and we need to keep track of these configurations. In the following, given a red vertex rv , we call *configuration of rv* the configuration on top of the stack associated with rv ,
- \mathcal{M} , the marking, is a function from red vertices to boolean values recording partial information about unsatisfiability: given a red vertex rv , $\mathcal{M}(rv)$ is *true* only if the configuration of rv has been proved unsatisfiable. A constraint solver is used for that purpose (assumed to be correct, but not complete). For performance reasons, it is not called upon every vertex. If $\mathcal{M}(rv)$ is *false*, then rv has been proved satisfiable or nothing is known about its satisfiability and it is treated as if it is satisfiable. Symbolic execution stops at vertices for which \mathcal{M} holds. Hence, no element in V is a successor of such vertex,
- Φ is a function from red vertices to formulae over program variables recording the predicates used for limiting abstractions (see Section II-E).

We give the pseudo code of the main parts of the algorithm in Figures 2 and 3. The algorithm maintains a global data structure rvs of red vertices to visit. In the current version, rvs is a stack built according to a DFS traversal of the LTS. It starts with the original LTS and an initial configuration c whose store maps each program variable to a symbolic variable and whose path predicate is a user-provided formula (precondition of the program under test). In practice this formula must belong to the logic supported by the constraint solver, as must do the formulae of the Assume transitions in the LTS.

B. Building the Red-Black Graph

The main function, `build` (Figure 2), is a loop that runs until there are no more red vertices to visit in the stack rvs , in which case the analysis is complete.

Initialization: When the analysis starts, `build` is called with \mathcal{RB} in the following initial state:

- \mathcal{B} is \mathcal{S} , the LTS under analysis,

- the root r of \mathcal{R} is the couple $(l_0, 0)$, which is also the only element of V ,
- E and \mathcal{S} are empty, since the red graph is empty,
- \mathcal{C} associates with r a one-element stack that contains the initial configuration c ,
- \mathcal{M} associates *false* with r ,
- Φ associates *true* with r ,

and rvs contains r only.

If the stack rvs is not empty (line 2), its first element, called rv , is popped. If the configuration of rv is marked (line 5), i.e. it is known to be unsatisfiable (thanks to a call to a constraint solver), the symbolic execution halts along that path. Otherwise, the path p leading from r to rv in \mathcal{R} is recovered (modelled by the primitive `path_to` at line 6).

Symbolic execution: this is the nominal action when none of the special cases detailed below apply: it performs a partial unfolding of \mathcal{R} by a symbolic execution step at rv (lines 17, 19). We explain here how \mathcal{M} , \mathcal{C} and Φ propagate to the immediate successors.

For every transitions $(fst\ rv) \xrightarrow{label} l$ in Δ :

- the edge $(rv, (l, i))$ is added to E , where i is a fresh index for location l ,
- the configuration $SE(\text{top}(\mathcal{C}\ rv))\ label$ (see Section II-B) is pushed on $\mathcal{C}(l, i)$,
- if $label$ is of the form Assume ϕ , with ϕ being *false*², then (l, i) is marked in \mathcal{M} . If ϕ is neither *false* nor *true*, then a constraint solver is called to check the satisfiability of the new configuration: (l, i) is marked in \mathcal{M} only if the solver proves it is unsatisfiable (as for the case of subsumption),
- Φ is updated such that it associates *true* with (l, i) .

Successors are then pushed onto rvs in order to be processed in the next iterations of the loop.

Handling final locations and limiting abstractions: If rv is an occurrence of a final location of \mathcal{B} (line 7), the algorithm checks the infeasibility of p from the initial configuration (call `ifp` to a solver at line 8). If p turns out to be infeasible, it could come from one of the abstractions made along p , at some red vertex rv' , resulting in a loss of information about the program state that caused p to be considered feasible. A "refine-and-restart" phase is triggered. The refine-part consists in searching such rv' along p , which is done by `faulty_abs` (line 9). We do not give its pseudo code,

²In this paper this would occur only in case of a loop or conditional with a *true* condition in the original CFG.

but the idea is to search back in p the red vertex rv' whose stack of configurations contains two (consecutive) configurations c_i and c_j such that the suffix of p starting at rv' is infeasible from c_i but was feasible from c_j : configuration c_j corresponds to the faulty abstraction.

In lines 10 and 11, the algorithm computes a condition that will block any attempt to build p again. Let p' be the subpath of p going from rv' to its first successor in p whose configuration is unsatisfiable: the condition ϕ returned by `ifp_cond` is the weakest precondition of *false* w.r.t. to the trace of p' . ϕ is then joined to $\Phi(rv')$.

Next, the configuration of rv' prior to the faulty abstraction is restored (line 12) and its subtree is destroyed (line 13), i.e. \mathcal{RB} is updated in the following way:

- configuration stacks of successors of rv' are removed from \mathcal{C} ,
- subsumptions involving rv' or any of its successor are removed from \mathcal{S} ,
- successors of rv' marked in \mathcal{M} are unmarked,
- entries of Φ involving successors of rv' are removed,
- every edge starting or ending in a successor of rv' is removed from E ,
- and every successor of rv' is removed from rvs .

Finally, rv' is pushed on rvs so that the analysis restarts at rv' , now strengthened with ϕ , for the next iteration of the loop in `build`.

In our prototype, the whole refine-restart part is controlled by a user switch: without it, when a final vertex is reached the algorithm simply selects the next vertex to visit in rvs ; building the final LTS is faster but it often keeps many infeasible paths because of loose abstractions. With it, the risk is that no better abstraction is found and the loop is unfold once more without guaranty about finding a better situation at the next occurrence of the loop header, yielding a possible infinite chain of unfoldings. This is due to the fact that our method of abstracting does not learn from the safeguard condition: the subsumption is postponed in the hope that a more accurate abstraction can be found later. A compromise is to keep the refine-restart and bound the maximal length of paths or unfoldings, but experimenting with learning abstraction methods is definitely worth doing.

Finding abstraction: Suppose that rv is an occurrence of a loop header (line 15), the algorithm attempts at detecting a subsumption of rv with a red vertex, for the same black location, previously met along p (line 16). Function `subsumed` (Figure 3) iterates over the vertices of p . When an occurrence rv' for the same black vertex

is found (line 22), the constraint solver is called to check if the configuration of rv' subsumes the one at rv (line 23). If yes, the subsumption is established and (rv, rv') is added to \mathcal{S} (line 24). If the answer is negative or *unknown*³, function `abs` (line 26) attempts at abstracting the configuration of rv' in order that i) it subsumes the configuration of rv and ii) it entails $\Phi(rv')$.

There are various ways to find such abstractions. The current version of `abs` replaces the first conjunct in the path predicate of the configuration of rv' by *true*, checks if $\Phi(rv')$ is entailed then checks if the abstracted configuration subsumes the configuration of rv . If this is the case, the abstracted configuration is returned. Otherwise, `abs` replaces the second conjunct (still using *true* in place of the first conjunct), and so on. If $\Phi(rv')$ is no longer entailed, the search for an abstraction is canceled. If $\Phi(rv')$ still have its default value of *true* and no better abstraction is found, we end up with a path predicate becoming equivalent to *true* and a trivial abstraction occurs.

The ability of the algorithm to eliminate infeasible paths depends on the precision of the way configurations are abstracted, and we plan to experiment with more precise methods of abstraction. In Section IV-B we present a heuristics based on a fixed lookahead of the feasibility of the successors of the subsumed vertices. The current simple and greedy algorithm used by `abs` requires only a number of calls to the constraint solver that is linear in the initial number of conjuncts.

If an abstraction is returned by `abs`, it is checked that it can be propagated without invalidating existing subsumptions involving successors of rv' (line 27). If this is not the case, the subsumption at the loop header does not take place and the search for an abstraction halts, since a looser abstraction will not help here. Otherwise, the abstraction is propagated (line 28) by performing symbolic execution in the subtree with root rv' with the abstracted configuration pushed on its stack, and pushing newly computed configurations on the stacks of the successors of rv .

One can observe that depending on the order in which the LTS is traversed, a successor of rv' can have been already abstracted (i.e. its stack of configurations contains more elements than the stack of rv'). To account for both abstractions, the path predicate of the configuration pushed on its stack is the conjunct of all sub-formulae

³In the case of an *unknown* answer, accepting the subsumption would result in a loss of feasible paths in the resulting LTS when the configuration of rv is not subsumed by the one at rv' .


```

1 Function build( $\mathcal{RB} = (\mathcal{B}, \mathcal{R}, \mathcal{S}, \mathcal{C}, \mathcal{M}, \Phi)$ )
2   while  $\neg$  empty( $rvs$ ) do
3     let  $rv = \text{top}(rvs)$ ;
4     pop( $rvs$ );
5     if  $\neg \mathcal{M} rv$  then
6       let  $p = \text{path\_to}(rv, \mathcal{R})$ ;
7       if  $\text{fst}(rv) \in F$  then
8         if ifp( $p, \text{top}(\mathcal{C} r), \mathcal{B}$ ) then
9           let  $rv' = \text{faulty\_abs}(p, \mathcal{C}, \mathcal{B})$ 
10          and  $\phi = \text{ifp\_cond}(p, rv', \mathcal{B})$ ;
11           $\Phi rv' \leftarrow \Phi rv' \wedge \phi$ ;
12          restore( $rv', p, \mathcal{C}$ );
13          destroy( $rv', \mathcal{R}, \mathcal{S}, \mathcal{C}, \mathcal{M}, \Phi$ );
14          push( $rv', rvs$ );
15        else if loop_header( $rv, \mathcal{B}$ ) then
16          if  $\neg$  subsumed( $rv, p, \mathcal{RB}$ ) then
17            build_succs( $rv, \mathcal{B}, \mathcal{R}, \mathcal{C}, \mathcal{M}$ );
18        else
19          build_succs( $rv, \mathcal{B}, \mathcal{R}, \mathcal{C}, \mathcal{M}$ );

```

Figure 2: Building the symbolic execution tree.

common to the path predicates of both abstractions (the two stores are identical).

Besides, propagating an abstraction can turn configurations back from unsatisfiable to satisfiable. Red vertices where this phenomenon occurs must be unmarked and pushed on the stack rvs .

Once the abstraction has been propagated, the new subsumption is added to \mathcal{S} (line 29).

The algorithm may not terminate. Our implementation takes the maximal red length, noted mrl , of symbolic paths as an additional parameter. Whenever the current symbolic path reaches this bound, the algorithm is not allowed to extend it further (but it can attempt to trigger a refine-and-restart phase or to subsume it).

C. Building the new LTS

Once the analysis is over, \mathcal{RB} is turned back into a new LTS S' by removing from the red part \mathcal{R} the edges leading to marked red vertices, replacing the targets of edges leading to subsumed red vertices by their subsumers, then renaming vertices and label edges between red vertices with the label of the edge between their black counterparts. For red vertices where the analysis halted because of the mrl limit, if any, the edges whose target is not final are connected to the corresponding vertex in the black part, i.e. to the original CFG. This trick and the fact that transformations on the red part never rule out (prefixes of) feasible paths ensures that S' preserves the feasible paths of S .

IV. EXAMPLE: MERGING SORT

We now detail how our algorithm behaves in the case of *merging sort*. In this example, statements and conditions that use the values of the elements in arrays

```

20 Function subsumed( $rv, p, \mathcal{RB} = (\mathcal{B}, \mathcal{R}, \mathcal{S}, \mathcal{C}, \mathcal{M}, \Phi)$ )
21   foreach  $rv' \in p$  do
22     if  $\text{fst}(rv') = \text{fst}(rv)$  then
23       if  $\text{top}(\mathcal{C} rv) \sqsubseteq \text{top}(\mathcal{C} rv')$  then
24          $\mathcal{S} \leftarrow \mathcal{S} \cup \{(rv, rv')\}$ ;
25         return true;
26       if  $\text{abs}(rv', rv, \mathcal{C}, \Phi) = \text{Some}(a)$  then
27         if can_prop( $a, rv', \mathcal{B}, \mathcal{R}, \mathcal{C}, \mathcal{S}$ ) then
28           propagate( $a, rv', \mathcal{B}, \mathcal{R}$ );
29            $\mathcal{S} \leftarrow \mathcal{S} \cup \{(rv, rv')\}$ ;
30           return true;
31   return false;

```

Figure 3: Detecting a subsumption.

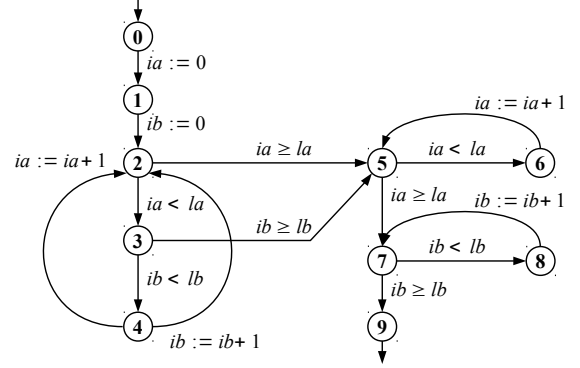


Figure 4: Simplified LTS for the *merging sort* example.

a , b and A have no influence at all on the feasibility of paths. In the following, we proceed with a slightly simplified and compacted version of the LTS of Figure 1, which is shown in Figure 4: subpaths 4 – 5 – 6 – 2 and 4 – 7 – 8 – 2 in the CFG are replaced by two edges 4 – 2 in the new LTS, with the labels of the two original edges 6 – 2 and 8 – 2. We suppose that at each traversal of the loop, any of these two edges can be chosen non-deterministically. Instead of a sort program we have a program traversing two arrays in an arbitrary way. Again, this change does not influence the set of infeasible paths which only depends on how indexes in input arrays vary. But it does impact time spent in the constraint-solver.

First, we show and interpret the result given by the algorithm as it was presented in Section III. Then, we show how to greatly improve this result using a simple heuristic that discards too crude subsumptions. In the following, we write l^i to denote the red vertex (l, i) .

A. Merging sort without heuristic

Initialization: The analysis starts at red vertex 0^0 (Figure 5). We make no particular assumption over program variables: the initial configuration is $(\{ia \mapsto ia_0, ib \mapsto ib_0, la \mapsto la_0, lb \mapsto lb_0\}, true)$.

Handling the first assignments: Symbolic execution is used for handling 0^0 then 1^0 before reaching vertex 2^0 .

Execution of the body of the first loop: Here, both successors of 2^0 , namely 3^0 and 5^0 , are built and pushed on the stack. We assume that 3^0 is on top. When the latter is visited, its successors 4^0 and 5^1 are built and pushed to the stack. We assume 4^0 is on top: 2^1 and 2^2 are built, pushed and will be visited in this order. At this point, *rvs* contains 2^1 , 2^2 , 5^1 and 5^0 , 2^1 being on top.

Subsumption of 2^1 by 2^0 : Since 2^1 is an occurrence of a loop header, the algorithm checks if it can be subsumed by its ancestor 2^0 . The configuration of 2^1 is $(\{ia \mapsto ia_2, ib \mapsto ib_1, la \mapsto la_0, lb \mapsto lb_0\}, ia_1 = 0 \wedge ib_1 = 0 \wedge ia_1 < la_0 \wedge ib_1 < lb_0 \wedge ia_2 = ia_1 + 1)$, which does not entail $(\{ia \mapsto ia_2, ib \mapsto ib_1, la \mapsto la_0, lb \mapsto lb_0\}, ia_1 = 0 \wedge ib_1 = 0)$, the configuration of 2^0 : ia must be equal to 0 at 2^0 but to 1 at 2^1 . The algorithm introduces an abstraction at 2^0 by removing $ia_1 = 0$ from the path predicate of $\tau_{\text{op}}(\mathcal{C} 2^0)$. This allows the subsumption from 2^1 . The abstraction is propagated from 2^0 to 2^1 and $(2^1, 2^0)$ is added to the subsumption relation, which is represented by a dotted edge in Figure 5.

Subsumption of 2^2 by 2^0 : Next, 2^2 is visited. As previously, subsumption by 2^0 cannot happen without abstraction since now ib must be equal to 0 at 2^0 but to 1 at 2^2 . Hence, we remove $ib_1 = 0$ from the path predicate of $\tau_{\text{op}}(\mathcal{C} 2^0)$. Since this new abstraction does not invalidate subsumption $(2^1, 2^0)$, it is propagated in the subtree rooted by 2^0 and $(2^2, 2^0)$ is added to the subsumption relation.

Subsumption of 5^2 by 5^1 : The next vertex to visit is 5^1 . Since it is not final and cannot be subsumed, its successors 6^0 and 7^0 are built and pushed. We reach 5^1 from 3^0 itself linked to 2^0 by the (black) edge from 2 to 3: the configuration of 5^1 imposes that $ia < la$ holds. When processing 7^0 its configuration is discovered as unsatisfiable since the transition from 5 to 7 is guarded by $ia \geq la$: 7^0 is marked. From 6^0 , red vertex 5^2 is reached, incrementing ia . Since ia has been incremented, $ia < la$ might not hold anymore at 5^2 , preventing the latter to be subsumed by 5^1 . However, subsumption can be established with an abstraction at 5^1 by removing $ia_1 < la_0$ from its predicate. Since no other subsumption takes place in the subtree with root 5^1 , the abstraction is propagated.

Recall now that 7^0 was marked because its path predicate required ia to be both lesser and greater or equal to la . After the last propagation, only the latter

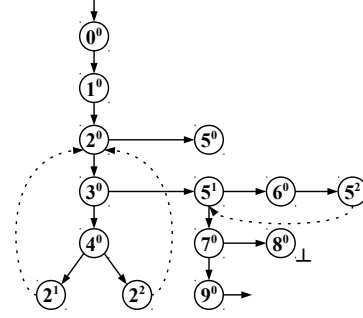


Figure 5: A partial unfolding of the LTS of Figure 4.

remains: $\tau_{\text{op}}(\mathcal{C} 7^0)$ has been abstracted to a satisfiable configuration and is thus unmarked.

7^0 is now visited, and its successors 8^0 and 9^0 are built. The configuration of 8^0 requires ib to be both lesser and greater or equal to lb : 8^0 is marked (denoted by a \perp symbol in Figure 5).

Refine the faulty abstraction: 9^0 is reached. Since it is an occurrence of the final location, we check if the unique⁴ path p in \mathcal{R} from the red root to 9^0 is really feasible, or if it has been made feasible by a previous abstraction.

Here p has been made feasible by the abstraction at 5^1 . A refine-and-restart phase is triggered. We extract from p the subpath p' starting at 5^1 and ending after the first infeasible step, namely $5^1 \cdot 7^0$. We compute the weakest precondition of *false* along p' , $ia < la$, and use it as the limiting condition for abstraction at 5^1 . Then, 5^1 has its configuration before the abstraction restored, its subtree destroyed and 5^1 is finally pushed back on top of the stack, and selected during the next iteration of *build*.

Restart the analysis: The analysis restarts at 5^1 , now labeled with $ia < la$ (denoted between square brackets in Figure 6). Its successors 6^1 and 7^1 are built and pushed on the stack. Once again, the occurrence of 7 is detected infeasible and marked. The algorithm continues until 5^3 is processed. As previously, 5^3 is not subsumed by 5^1 since $ia < la$ might not hold at 5^3 . The algorithm attempts at abstracting 5^1 in order to force the subsumption with 5^3 but now fails since the required abstraction does not entail $\Phi(5^1) = ia < la$.

End of the analysis: We do not detail the rest of the analysis as much. From 5^3 , the second loop is unfolded

⁴ \mathcal{R} is a tree and there is a unique path from the root to any vertex. Taking into account the subsumption links could give an infinite number of paths and introduce more approximation.

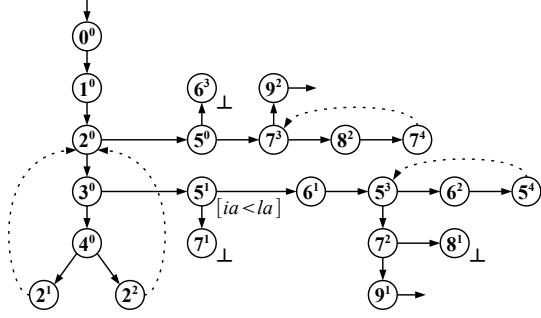


Figure 6: A complete unfolding of the LTS of Figure 4.

once again, reaching 5^4 . Similarly to 5^3 , the subsumption $(5^4, 5^1)$ cannot be established, since it would require to abstract $\text{top}(\mathcal{C} 5^1)$ to the point where it does not entail $\Phi(5^1)$ anymore. However, 5^4 is subsumed by 5^3 without requiring any abstraction: none of their configurations require ia to be lesser than la anymore.

An occurrence of the final location is reached at 9^1 without asking for a refinement, since the two abstractions made at 2^0 did not introduce any infeasible paths.

The first occurrence of 5, 5^0 , is finally processed and its subtree is completely built. The subsumption $(7^4, 7^3)$ needed to stop unfolding the third loop does not require an abstraction of 7^3 : no refinement is needed when 9^2 is reached.

Interpretation of results: Once the analysis is over, the new LTS is obtained from the unfolding, as described in Section III. Let us call S' this LTS (cf Figure 6). The two following groups of infeasible paths of the LTS in Figure 4 have been eliminated in S' :

- paths going through both ending loops,
- paths exiting of the first loop through 3 $\xrightarrow{ib \geq ib}$ 5 without going at least once through the second loop.

However, S' still contains five groups of infeasible paths. We now show how the algorithm can be slightly modified to eliminate the remaining infeasible paths.

B. Merging sort with feasible path sets comparisons

Recall that subsumption was defined as an inclusion of sets of program states represented by configurations. Hence, when the algorithm detects the subsumption of a red vertex by another, it is usually the case that the set of feasible paths going through the subsumee is a strict subset of the set of feasible paths going through the subsumer. Thus, adding such a subsumption introduces infeasible paths into the new graph. However, this is the price to pay in order to turn the potentially infinite symbolic execution tree into a finite graph.

The choice of the potential subsumer when trying to establish a subsumption is crucial for limiting the unfolding of loops without introducing too many infeasible paths. The previous example shows that the first subsumption that can be established is not always (and often not) the best one in terms of pruning infeasible paths. We also observe that the refine-and-restart mechanism can help us detect infeasible paths only when it is the shortest path to a given final red vertex that has been made feasible by some abstraction. We need additional mechanisms to control subsumptions to better detect infeasible paths.

An ideal definition of subsumption would require sets of feasible paths going through the two considered red vertices to be equal, but this is not realistic: this would require the full enumeration of paths starting at the two vertices, something very costly at best, and impossible when the sets are infinite. However, we can compare these sets of feasible paths starting at the two vertices *up to a certain lookahead*. Here we make the assumption that, given two red vertices representing the same original black location, the closer their sets of feasible paths, the lesser one will have to abstract the subsumer - if abstraction is needed at all - and the lesser infeasible paths are introduced into the new graph. To add this feature to the algorithm as shown in section III, one would have to surround the two If-Then blocks going from line 23 to 30 (Figure 3) by a third one, whose condition would be $\text{cmp_fp_sets}(rv, rv', \mathcal{B}, \mathcal{R}, \mathcal{C})$ where cmp_fp_sets is responsible for comparing the two sets of feasible paths.

Applying the new algorithm in the previous context while comparing feasible paths sets up to a lookahead of two edges gives the complete unfolding shown in Figure 7, which contains no infeasible paths. In this example, no refine-and-restart phase is triggered for obtaining this new LTS. Whenever the algorithm attempts at subsuming two occurrences of black vertex 2, comparing sets of feasible paths starting at both occurrences up to a depth of two suffices to deduce which index was incremented last in the main loop and to decide if the subsumption will be precise enough. Also, when attempting to subsume occurrences of 5 (resp. 7), this lookahead mechanism prevents the abstraction to forget that ia (resp. ib) is lesser than la (resp. lb).

V. RESULTS

We now present experimental results obtained with our prototype when approximating the sets of feasible paths of three programs: *merging sort*, *bubble sort* and

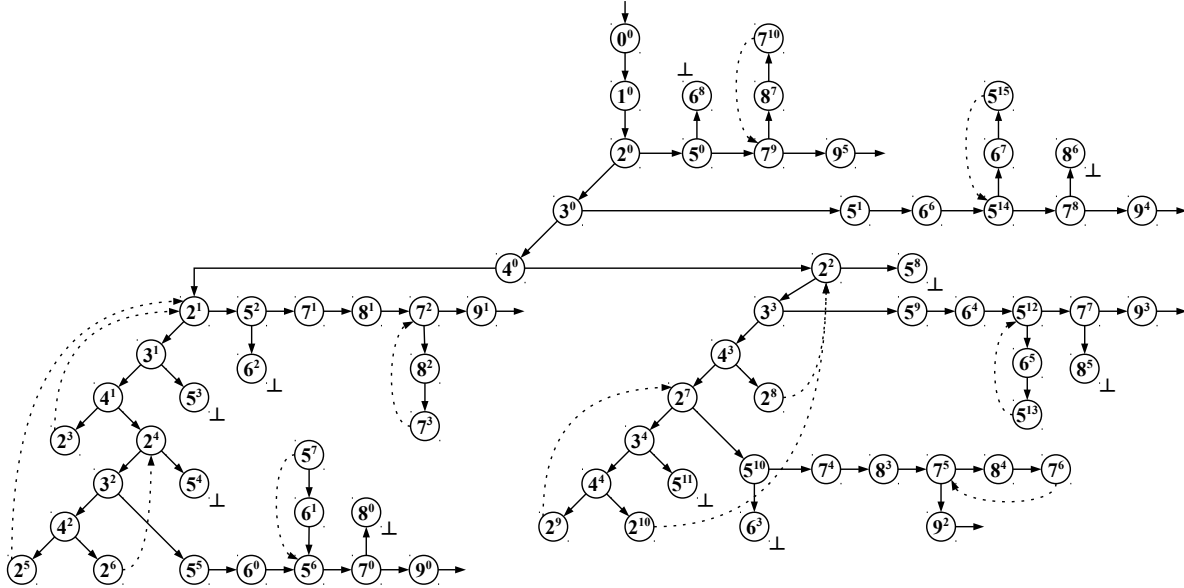


Figure 7: A complete unfolding of the LTS of Figure 4. No infeasible paths remain.

substring search. These examples are simple but they all have at least two unbounded loops with dependencies between the loops. The code of these programs are given in appendix. *Merging sort* has three successive loops; the inner loop in *bubble sort* is always executed the same number of times for each traversal of the outer loop; *substring search* has two nested loops related by a different kind of dependency.

For each of these programs, we first build new LTSs using different values of the current parameters of our tool: the way abstractions are computed, the depth of the lookahead and the fact that restarts are allowed or not. Then, for each LTS we compare the number of paths from the entry point to the exit of a given maximal length l with the corresponding number of feasible paths. Paths are counted and drawn using the Rukia library [1]. Given an LTS S with n paths of length at most l , we draw uniformly at random n distinct paths of length at most l , then count the number of feasible paths. As the original and transformed LTS have the same set of feasible paths, counting feasible paths is more efficiently done in the new LTS that has a much smaller total number of paths.

The second column of the tables shows which method for finding abstraction is used: with $a = 1$, abstractions are computed as shown in Section III; with $a = 2$, abstraction associates fresh symbolic values to program variables defined between the two candidates for sub-

sumption, until the subsumption is stated⁵.

A mark in the third column indicates if restarts are enabled for the experiment. Next columns give the number of paths of length at most l (eliminated paths are all infeasible) and the number of such feasible paths.

A. Merging Sort

Table I shows the results for *merging sort* using a lookahead of two. The first line gives the results for the original LTS S from Figure 4. The second line shows the results for the LTS S' built from the red-black graph in Figure 7: no infeasible paths remain with the first method for finding abstractions.

When using the second method of abstraction and allowing restarts, the analysis does not terminate without bounding the length of paths. For *merging sort*, the abstractions computed this way are too crude to not trigger a restart. As said in Section III, since our algorithm does not learn yet from safeguard conditions, restart phases postpone subsumptions, but the same phenomenon can occur again after unfolding the loop. Learning from the safeguard-condition when computing abstractions would rule out such chains of restarts.

The third line gives the results obtained with the second method of abstraction, mrl being set to 30. The red part is no longer complete, and the LTS in which paths are drawn is obtained by connecting the

⁵Abstracting configurations in this way requires to combine them appropriately at loop headers, during their propagation.

Table I: Paths (P) and feasible paths (FP) in *merging sort*.

	a	r	$l = 30$		$l = 50$		$l = 100$	
			P	FP	P	FP	P	FP
S			1224		24434		~25.8M	
S'	1	✓	140	140	2300	2300	~2.3M	~2.3M
	2	✓	140		4652		~23.9M	
	2		210		3271		~3.2M	

red vertices that were not expanded to the black part. A path of length at most 30 is entirely in the red part. Paths of length more than 30 can end in the black part rather than in the red one.

The fourth line shows the results for the LTS obtained with the second method of abstraction, disabling restarts. Without restarts, the second method of abstraction detects a fair number of infeasible paths.

B. Bubble Sort

Table II gives the results for *bubble sort*⁶. With a lookahead of 0 or 1 and either method of abstraction, the only infeasible path removed is the one that does not enter the outer loop. With a lookahead of at least 2, S' better approximates the set of feasible paths: from 2 to 7, the algorithm produces the same LTSs, but at 8, more dependencies about traversals of the inner loop are discovered. The value of the lookahead cannot be increased too much in practice as comparing the sets of feasible paths of candidates is exponential.

Method of abstraction 1 performs worst here. In bubble sort, a variable keeps track whether any permutation occurs during a traversal of the inner loop. Information about the value of this variable can be lost when abstracting the configuration at the entry of the inner loop, introducing infeasible paths. This phenomenon does not happen with method 2.

With both methods, the analysis terminates without the need of mrl . There remains a fair number of infeasible paths in S' . Unlike *merging sort*, the set of feasible paths of *bubble sort* is not a regular language because of the dependency between the number of traversal of its two loops. We believe that the results in Table II can be improved with more accurate methods of abstraction, but *bubble sort* clearly shows some limitations of our approach in its current state.

C. Substring

Table III shows the results for *substring*. The function takes as input strings s_1 and s_2 , and returns *true* if s_2 is

⁶Discriminating feasible paths for $l = 100$ was not tractable here.

Table II: Paths and feasible paths in *bubble sort*. An additional column gives the depth for the lookahead.

	a	r	la	$l = 30$		$l = 50$		$l = 100$
				P	FP	P	FP	P
S				1474		643692		~ 2.3×10^{12}
S'	1	✓	2	741	20	321962	217	~ 1.2×10^{12}
	2	✓		203		44504		~ 2.9×10^{11}
	1	✓	8	285		69457		~ 6.6×10^{10}
	2	✓		103		13249		~ 6.4×10^9

Table III: Paths and feasible paths in *substring*.

	a	r	la	$l = 30$		$l = 50$		$l = 100$
				P	FP	P	FP	P
S				1433		195874		~ 4.2×10^{10}
S'	1	✓	0	143	87	4180	2108	9227464
	2	✓						
	1	✓	10	130		3803		8395424
	2	✓		98		2818		6217117

a substring of s_1 . Loops present some dependencies: for example, let s be a (strict) prefix of s_2 of length l_s found to be a substring of s_1 . Then s_2 has length at least l_s , and no latter iteration of the outer loop can return *true* without doing at least l_s comparisons. The set of feasible paths of *substring* is not a regular language.

With a lookahead of 0, both methods of abstraction produces the same LTS: the path that returns *false* when s_2 is empty is ruled out, and the algorithm discovers the above property for $l_s = 1$. New LTSs are produced with a lookahead of 10: the algorithm now (re-)discovers the property for $l_s = 1$ and $l_s = 2$.

Again, the analysis terminates without bounding mrl .

VI. A FORMAL THEORY FOR THE GRAPH TRANSFORMATIONS

In [6] we present a formal model for our notion of configurations and for the graph transformations on which our prototype is based. The model makes a conceptual split between the fundamental aspects (symbolic evaluation, abstraction, subsumptions, predicates for limiting abstraction) and the heuristics parts of the algorithm (restricting abstractions and subsumptions). A model of the CFG (as a labeled transition system), paths, configurations is developed in Isabelle/HOL [11] and a calculus is provided in which each graph transformation is defined as either a partial unfolding of the CFG (symbolic execution, subsumption arc linking vertices) or an annotation associated with a vertex (abstraction represented by a

weakening of the path predicate, limitation of possible abstraction by additional formulae, marking vertices as unsatisfiable). The two key properties of Section III have been proved, namely: the preservation of traces along the paths of the original CFG and the preservation of feasible paths.

Our prototype has the same conceptual organization, with concrete heuristics for restricting abstractions and subsumptions added on top of the basic graph transformations. Although we did not develop the entire system within the model, the formal proofs give strong confidence of the correctness of the implementation.

VII. RELATED WORK

Unfeasible paths are a general problem when testing programs or checking models. There is an abundant literature that is not reviewed here due to lack of space, where symbolic execution is widely exploited. For a recent account of issues in this area we refer to [3, 12, 13].

A notable advance is concolic testing [14, 15] where actual execution of the program under test is coupled with symbolic execution. It reduces the detection of infeasible paths to those paths that go one branch further than some feasible one, alleviating the load of the constraint solver and decreasing significantly the number of paths to be considered. This approach leads to coverage of all feasible paths. Some randomness can be introduced in the choice of the next branch to be examined, as mentioned in [15], but the resulting distribution on paths suffers from the drawback of isotropic random walks, yielding unbalanced coverage of paths.

To ensure uniform random coverage of paths (and more generally a maximum minimal probability of covering components of a coverage criterion [1]), a global knowledge of the graph is required. Thus concolic or similar dynamic approaches cannot be used: some global static analysis is required. It is the application scenario that motivated the work presented here.

We have taken inspiration from [9] and [16], where subsumptions, abstractions and interpolation are used to verify unreachability of selected error locations. Here, the problem we address is to preserve feasibility rather than infeasibility. This requires specific finer strategies for subsumptions and abstractions: reusing the approaches of [9] and [16] would lead to graphs polluted by numerous new infeasible paths.

The problem of unbounded loops is a general issue for methods based on symbolic execution [10, 17]. It is generally treated, as we do, by searching for subsumptions, which doesn't always terminates. The red-black

graph data structure we have defined makes it possible to deal with these non terminating cases.

Other potential application scenarios of the graph transformation proposed here include paths selection for satisfying coverage criteria of elements of a graph, for instance branches [13], or mutation points [18].

VIII. CONCLUSION

In this work we address the problem of graph transformations that discard infeasible paths, preserving the behaviour of the program, with path-biased random testing in mind.

The size of the resulting graph and the length of paths are not a problem for drawing since the Rukia library we use for drawing paths scales up extremely well [1]. We expect the time of construction of the transformed graph to remain reasonable, thank to the progresses of symbolic execution tools and constraint solvers. The first results are encouraging since, on the current examples, the cost of this preprocessing phase is not an issue, and quite a significant number of infeasible paths are discarded, even with a basic set of heuristics.

We plan various improvements of the prototype aiming at improving the quality of the result, i.e. the proportions of infeasible paths in the transformed graph. We investigate better control of abstractions, taking advantage of safeguard conditions and interpolant propagations in the spirit of [10]. Using existing methods based on abstract interpretation and dataflow analysis [19, 20] will help finding abstractions by providing ranges of values for some variables, i.e. some kind of additional invariants. Besides, we plan to extend the range of application of our approach by integration of memory models and additional language constructs. Our method could also be improved by using generalisation of infeasible paths as proposed in [21] for concolic testing.

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```

void merge (int * a, int la, int * b, int lb, int * A){
  ia := 0;
  ib := 0;
  while (ia < la && ib < lb){
    if (a[ia] < b[ib]){
      A[ia+ib] := a[ia];
      ia := ia + 1;
    }
    else {
      A[ia+ib] := b[ib];
      ib := ib+1;
    }
  }
  while (ia < la){
    A[ia+ib] := a[ia];
    ia := ia + 1;
  }
  while (ib < lb){
    A[ia+ib] := b[ib];
    ib := ib + 1;
  }
}

void bubble (int * a, int l){
  int i, swapped = 1, tmp;
  while (swapped != 0){
    swapped = 0;
    i = 1;
    while (i < l){
      if (a[i-1] > a[i]){
        tmp = a[i];
        a[i] = a[i-1];
        a[i-1] = tmp;
        swapped = 1;
      }
      i++;
    }
  }
}

int factor (char * s1, int l1, char * s2, int l2){
  int i = 0, j;
  while (i <= l1 - l2){
    j = 0;
    while (j != l2){
      if (s2[j] == s1[i+j]){
        j++;
      }
      else
        break;
    }
    if (j == l2)
      return 1;
    i++;
  }
  return 0;
}

```