

## Fast Beeping Protocols for Deterministic MIS and $(\Delta + 1)$ -Coloring in Sparse Graphs

Joffroy Beauquier, Janna Burman, Fabien Dufoulon, Shay Kutten

### ▶ To cite this version:

Joffroy Beauquier, Janna Burman, Fabien Dufoulon, Shay Kutten. Fast Beeping Protocols for Deterministic MIS and ( $\Delta$  + 1)-Coloring in Sparse Graphs. IEEE INFOCOM 2018 - IEEE Conference on Computer Communications, Apr 2018, Honolulu, United States. pp.1754-1762, 10.1109/INFO-COM.2018.8486015. hal-01754696

## HAL Id: hal-01754696 https://hal.science/hal-01754696v1

Submitted on 30 Mar 2018

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# Fast Beeping Protocols for Deterministic MIS and $(\Delta + 1)$ -Coloring in Sparse Graphs

Joffroy Beauquier<sup>\*‡</sup>, Janna Burman<sup>\*‡</sup>, Fabien Dufoulon<sup>\*‡</sup> and Shay Kutten<sup>†‡</sup>

\* LRI, Université Paris-Sud, CNRS, Université Paris-Saclay, France. beauquier@lri.fr, burman@lri.fr, dufoulon@lri.fr <sup>†</sup> Technion - Israel Institute of Technology, Haifa, Israel. kutten@ie.technion.ac.il

Abstract—The beeping model is an extremely restrictive broadcast communication model that relies only on carrier sensing. We consider two problems in this model:  $(\Delta+1)$ -vertex coloring and maximal independent set (MIS), for a network of unknown size *n* and unknown maximum degree  $\Delta$ . Solving these problems allows to overcome communication interferences, and to break symmetry, a core component of many distributed protocols. The presented results apply to general graphs, but are efficient in graphs with low edge density (sparse graphs), such as bounded degree graphs, planar graphs and graphs of bounded arboricity. We present  $O(\Delta^2 \log n + \Delta^3)$  time deterministic uniform MIS and coloring protocols, which are asymptotically time optimal for bounded degree graphs. Furthermore, we devise  $O(a^2 \log^2 n + a^3 \log n)$  time MIS and coloring protocols, as well as  $O(a^2\Delta^2\log^2 n + a^3\Delta^3\log n)$  time 2-hop MIS and 2-hop coloring protocols, where a is the arboricity of the communication graph. Building upon the 2-hop coloring protocols, we show how the strong CONGEST model can be simulated and by using this simulation we obtain an O(a)-coloring protocol. No results about coloring with less than  $\Delta + 1$  colors were known up to now in the beeping model.

#### I. INTRODUCTION

The discrete beeping model was introduced by Cornejo and Kuhn [1] to provide a convenient formal framework for studying radio networks having severe restrictions on communication capabilities, yet where widely applicable protocols can be designed and analytically proven in an efficient manner. Since protocol executions in distributed computing are frequently hard to grasp (even with simulations or experiments), having formal models is crucial for both practical and theoretical reasons. In the discrete beeping model, time is divided into synchronous rounds, and in each round, a node can either listen or transmit a unary signal (beep) to all its neighbors. The possibility to directly transmit a beep to a node is defined by a static communication graph, and nodes have absolutely no knowledge of this graph. A beeping node receives no feedback, while a silent one can only detect that either at least one of its neighbors beeped or that all of them were silent. A listening node does not receive the identifiers of its beeping neighbors, as a beep is merely a detectable burst of energy. Protocols can use the synchronous nature of the rounds to transmit information through beeps, but doing so impacts the time complexity in a quantifiable manner. This work studies how this difficulty can be overcome.

Applications of this model range from radio networks with reduced network stacks [1], such as energy-limited sensor networks, which can provide improved speed, low cost and less transmission errors, to biological networks [2], where the beeping model allows to study the efficiency of natural protocols. Indeed, most biological systems communicate in a primitive manner. Fireflies communicate through flashes of light [3] and cells through the diffusion of specific chemical markers [4].

Different applications will result in different communication graphs. Graphs with low edge density are said to be *sparse*. The *maximum degree* and the *arboricity* of a graph are measures of its edge density, where low values indicate sparse graphs. Contrarily to graphs with low maximum degree, low arboricity graphs can be seen as graphs which are "globally" sparse but may be "locally" dense. Many real-world networks are sparse [5]. In particular, graphs embedded in some surface, for example the plane, have low arboricity.

The distributed vertex coloring and maximal independent set (MIS) problems are fundamental building blocks in protocol design. The coloring problem consists in assigning colors to nodes such that no two neighboring nodes (sharing an edge in the communication graph) have the same color. The MIS problem consists in choosing a set of nodes in the communication graph such that no two nodes in the set are neighbors, and such that any node not in the set has a neighbor in that set. Solving these problems is important for dealing with the interferences inherent to the beeping model. More specifically, a coloring can be used to allocate resources that cannot be shared by neighboring nodes. Nodes in an MIS can act as cluster heads in order to coordinate actions, and participate in a network backbone construction.

Serving as important primitives for protocol design in the beeping model, MIS and coloring problems have received a lot of attention (see Sect. I-B). Efficient probabilistic solutions were proposed for general graphs. However, the more difficult deterministic case, useful whenever random behavior is inappropriate or deterministic guarantees are required, has received much less attention. In this work, we are interested in designing deterministic protocols having efficient time complexity.

#### A. Preliminaries

Let [k] be the set  $\{1, \ldots, k\}$ . For any two integers a, b  $(\in \mathbb{Z})$  and any positive integer  $k \in \mathbb{N}^{>0}$ , let  $a \equiv b \mod k$ 

<sup>&</sup>lt;sup>‡</sup> This work has been supported by the Israeli-French Maimonide research project grant and by an Israeli Ministry of Science and Technology grant.

denote the *congruence relationship* between a and b such that a mod  $k = b \mod k$ . The operator  $\parallel$  is used for the *string concatenation*. For any positive integer k, l(k) is the *length* of the binary representation of k, i.e.,  $l(k) = 1 + \lfloor \log_2 k \rfloor$ . For any function  $f : \mathbb{N}^{>0} \mapsto \mathbb{N}^{>0}$  and any positive integer k,  $f_i(k)$ , where  $i \in [l(k)]$ , denotes the *i*th most significant bit of f(k)'s binary representation.

The communication network is represented by a simple connected undirected graph G = (V, E), where V is the node set and E the edge set. The network size |V| is also denoted by n, the diameter by D and the maximum degree by  $\Delta$ . For a node  $v \in V$ , the neighbors of v are  $\mathcal{N}(v) = \{u \in V \text{ s.t.} (u, v) \in E\}$  and its degree is  $deg(v) = |\mathcal{N}(v)|$ . Nodes have unique identifiers (ids). This property is essential in order to break symmetry in deterministic protocols. The identifier of a node  $u \in V$ , id(u), is an integer from [N] where  $N = n^c$  with a constant c > 1. N is an upper bound on the total number of nodes in G. The length of id(u) is denoted by l(u). Then, the maximum length over all ids in G is  $l_{max} = \max_{u \in V} l(u)$ . We have  $l_{max} = O(\log N) = O(\log n)$ .

The distance between two nodes u and v in G is dist(u, v). The square graph of G is the graph  $G^2 = (V, E_s)$ , where  $E_s = \{(u, v) \mid u, v \in V, dist(u, v) \leq 2\}$ . G[R] denotes the subgraph of G induced by  $R \subset V$ . Its edges  $(E_G[R])$  are the edges of G connecting two vertices in R. The arboricity of G, denoted by a(G) or just a, is the minimum number of disjoint forests into which the edge set E can be partitioned. Equivalently, Nash-Williams [6] proved that arboricity is also a measure of density, i.e.,  $a = \max_{R \subseteq V, |R| \geq 2} \frac{|E_G[R]|}{|R|-1}$ .

#### B. Related Work

In [7], round complexity lower bounds are given for the MIS and  $(\Delta + 1)$ -coloring problems. These bounds are  $\Omega(\log n)$ and  $\Omega(\Delta + \log n)$  respectively. They were obtained assuming randomized algorithms, and thus apply to both deterministic and randomized ones. In the latter case, the solution or the running time is guaranteed with high probability (w.h.p.). Moreover, these bounds apply to a stronger variant of the beeping model (with collision detection). In this variant, listening nodes can distinguish between a single beep and the superposition of multiple beeps (a collision).

In [1], the authors present the first coloring protocol for the beeping model. It outputs a correct coloring after  $O(\Delta + \log n)$  rounds w.h.p. Following this paper, randomized MIS and coloring protocols were designed for the beeping model with collision detection, in a series of papers ([4], [8], [9]). These protocols achieve optimal round complexity, but assume collision detection. Moreover, the resulting colorings often employ more than  $\Delta + 1$  colors. These protocols can be translated to the weaker beeping model (with no collision detection) with an  $\Omega(\log n)$  multiplicative factor.

Schneider and Wattenhofer [10] solve *deterministic* MIS in radio networks with collision detection. Although the term "beeping model" does not appear in [10], the presented protocol straightforwardly works in this model. It is time optimal for growth-bounded graphs (GBG). These are graphs where, for

any given node v and integer r, the number of nodes in any *independent set* (see definition in Sect. I-D) within distance r of v is bounded by f(r), which is polynomial in r. However, this property does not cover all bounded degree graphs, trees, planar graphs, or more generally, sparse graphs.

The round complexities of different MIS and coloring protocols are compared below (see respectively Figure 1 and 2). The only deterministic protocols are those in [10] and in the present paper. Some protocols require K, an upper bound on  $\Delta$ .

Fig. 1: MIS protocols

Ref	Time	Comments
[8]	$O(\log^2 n)$ w.h.p.	anonymous nodes
[10]	$O(\log n)$	GBG, deterministic
Here	$O(\Delta^2 \log n + \Delta^3)$	deterministic

Fig. 2: Coloring protocols

Ref	Time	Comments
[9]	$O(\Delta \log n + \log^2 n)$ (w.h.p.)	$\Delta + \log n$ colors
[9]	$O(K \log^2 n)$ (w.h.p.)	K+1 colors
[1]	$O(\Delta + \log n)$ (w.h.p.)	O(K) colors
Here	$O(\Delta^2 \log n + \Delta^3)$ , deterministic	$\Delta + 1$ colors

#### C. Protocol-related Definitions

In the *beeping model*, an execution proceeds in synchronous rounds (there are synchronized local clocks and all nodes start at the same time: *synchronous start*). In each round, nodes synchronously execute the following steps:

- <u>Send</u>: Each node beeps (instruction *BEEP* in protocols) or listens (*LISTEN* in protocols). Beeps are transmitted to all neighbors of the beeping node.
- <u>Receive</u>: If a node beeped in the previous step, then it learns no information from its neighbors. Otherwise, it knows whether or not at least one of its neighbors beeped during the previous step of the same round.
- 3) Process: Each node performs local computations.

One of the most common message passing models is the CONGEST model of edge bandwidth B [11]. It is stronger than the beeping model, as nodes communicate by sending messages of maximum length B (commonly  $O(\log n)$ ) in a round. Different messages can be sent to different neighbors and nodes receive the full content of all incoming messages.

We adopt the classical definitions. The *state* of a node is the vector of the values of its variables. A variable *var* of a node v is explicitly associated to v using a subscript  $var_v$ . A *configuration* is a vector of the states of all nodes. An *execution* proceeds in rounds and is defined by the sequence of the configuration. If the same configuration (resp. state of a node) is repeated indefinitely at the end of each round, we say that this configuration (resp. the state) is *terminal*. When such a configuration is reached, it is said that the system/protocol has *terminated*, or that *termination* has occurred. A *problem* is given as a first order predicate over configurations. A protocol is said to *solve a problem* if each execution terminates, and each terminal configuration satisfies the predicate of the problem specification. The *round complexity* (time complexity) of a protocol is the number of rounds needed to reach a terminal configuration in the worst case. A protocol is said to be *uniform* in a parameter p if it does not depend on the value of p. It is said to be *locally termination detecting*, or simply *locally terminating*, if for any given node v, v detects if it has reached a terminal state.

In the beeping model, protocols must specify what is done in each round. Due to the nature of the communication model, each action is performed on a sequence of consecutive rounds. For instance, a node may have to wait for a round of silence, or beep only every k rounds. At the code level, this type of action is expressed by a loop. As it will appear later, in some complex protocols, such loops are nested. For the sake of clarity, we will name the sequence of rounds in the innermost loop the  $\mathcal{L}_1$ -phase, the sequence of loops in the loop just above, the  $\mathcal{L}_2$ -phase, and so on.

We extend previous definitions concerning protocols to  $\mathcal{L}_i$ phases, in particular uniformity and termination. We consider terminal  $\mathcal{L}_i$ -phase states (states that no longer change in this  $\mathcal{L}_i$ phase), *locally terminating*  $\mathcal{L}_i$ -phases (any given node v detects when it has reached a terminal  $\mathcal{L}_i$ -phase state) and uniform  $\mathcal{L}_i$ -phases (when the range of the loop index is unknown). The problem of detecting when a given  $\mathcal{L}_i$ -phase has ended (terminated) for all nodes raises the question of synchronizing the start of the following  $\mathcal{L}_i$ -phase.

We solve this problem by using  $\mathcal{L}_i$ -synchronization points, represented by  $\oint_i$  in the code. Upon reaching an  $\mathcal{L}_i$ -synchronization point (after having reached a terminal  $\mathcal{L}_i$ -phase state), any given node v waits for all of its neighbors to reach a terminal  $\mathcal{L}_i$ -phase state before executing the following  $\mathcal{L}_i$ phase, if there is any.  $\mathcal{L}_i$ -synchronization points require locally terminating  $\mathcal{L}_i$ -phases, so that any given node v can detect when all of its neighbors have reached the synchronization point. The method for detecting that was first introduced in Förster *et al.* [12], with the "Balanced Execution Technique" (BET). However, BET only guarantees  $\mathcal{L}_1$ -synchronization points. In the extended version of this paper [13], we extend BET to guarantee  $\mathcal{L}_i$ -synchronization points for any  $i \ge 1$ . The extension, referred to as EBET, is crucial in the design of complex uniform protocols in the beeping model.

We call a protocol a *competition protocol* when nodes are "eliminated" round after round until the "surviving" nodes form an independent set (possibly empty). In this paper, we only consider competition protocols where the elimination process is deterministic and depends on identifier comparison.

#### D. Problem Specifications

The predicates (over configurations) defining the problems considered in the paper can be naturally obtained from the definitions given below.

A set  $I \subseteq V$  of vertices is said to be an *independent set* if for

any u, v in I, u and v are not neighbors in G. An independent set I is maximal (MIS) if any vertex in  $V \setminus I$  has a neighbor in I. A 2-hop MIS of G is an MIS of its square graph  $G^2$ . A set  $J \subseteq V$  of vertices is said to be a (t, s)-ruling set [14], if for any two vertices  $u, v \in J$ ,  $dist(u, v) \ge t$ , and for any vertex  $v \in V \setminus J$ , there exists a vertex  $u \in J$  such that  $dist(u, v) \le s$ . With this definition, an MIS is a (2, 1)-ruling set. A forest is said to be a (t, s)-ruling forest if the roots are a (t, s)-ruling

set and the trees are of depth at most s. A *c-coloring col* is a function from V into a set of colors [c] such that  $\forall (u, v) \in E \ col(u) \neq col(v)$ . Notice that in a *c*-coloring, nodes with the same color constitute an independent set. It is thus possible to construct an MIS from them. A 2-hop coloring of G is a coloring of its square graph  $G^2$ .

Any given function colorD is a *d*-defective *c*-coloring [15] if  $\forall v \in V$ ,  $colorD(v) \in [c]$  and v has at most *d* neighbors colored with colorD(v). We say that colorD has a defect of *d*. An edge where both endpoints have different colors is said to be a *non defective edge*, otherwise it is said to be a *defective edge*. With this definition, a (proper) coloring is a 0-defective coloring.

#### E. Contributions

The first contribution of this paper is a tool for analyzing competition protocols: the *labeled "deterministic"* competition graphs (Sect. II). Using such graphs in protocol analysis is inspired by [16]. Here we adapt this technique to the case of deterministic competition protocols. This general tool may be useful also in future studies of MIS and coloring.

The second and main contribution of the paper is a series of *uniform* MIS and coloring protocols. First, we present  $O(\Delta^2 \log n + \Delta^3)$  deterministic uniform protocols for MIS and  $(\Delta + 1)$ -coloring, where  $\Delta$  is the unknown maximum degree (Sect. III). These protocols are time optimal for *bounded degree* graphs. They also scale well to graphs with *polylogarithmic*  $\Delta$ . Indeed, in these graphs, the time complexity is polylogarithmic in regards to *n*, which is very efficient.

Then, we extend the previous protocols with time complexity dependent on  $\Delta$ , to protocols with time complexity dependent on the arboricity a (Sect. IV). We get  $O(a^2 \log^2 n + a^3 \log n)$ time MIS and  $(\Delta + 1)$ -coloring uniform protocols. This results in efficient polylogarithmic time complexity for the large family of graphs where  $a = O(\log^{c} n)$ . Finally, we extend the previous protocols into  $O(a^2\Delta^2\log^2 n + a^3\Delta^3\log n)$  time 2-hop coloring and 2-hop MIS uniform protocols (Sect. V). Given a 2-hop coloring, we prove that the CONGEST model can be simulated with some multiplicative overhead (Sect. VI). Using this simulation and the algorithm proposed in [17] for the CONGEST model, we get an  $O((a^2\Delta^2 + a^{\mu}\Delta^4) \cdot \log^2 n +$  $a^{3}\Delta^{3}\log n$  time O(a)-coloring protocol in the beeping model, for any given positive constant  $\mu < 1$ . To the best of our knowledge, this is the first coloring protocol using less than  $\Delta + 1$  colors in the beeping model. Please note that, due to the space constraints, some details and many proofs are missing in the current paper version and can be found in [13].

#### II. RULING SET PROTOCOL AND COMPETITION GRAPHS

Ruling sets serve as building blocks to construct complex protocols. They have been used to compute MIS [14] and colorings [18], [19]. In these papers, the ruling sets are used to decompose the network, and nodes in the ruling set (the "local leaders") take care of solving the problem for the nodes within a certain distance. In the beeping model, doing so is more difficult. We show in the next section, how ruling sets can still be used to design an efficient coloring protocol.

In this section, we introduce a competition protocol (RulingSet - Protocol 1 in Sect. II-B) computing a  $(2, 2 \log N)$ -ruling set. This protocol can be considered as a variant of the ruling set algorithm from [14]. That algorithm is heavily recursive, requiring concurrent communications, which are incompatible with the beeping model. Therefore, we adapt it and provide a non-recursive competition protocol with a similar behavior. To prove correctness (Sect. II-C), we use competition graphs, which are directed graphs that serve to model the behavior of competition protocols and help analyzing them. They were first used in [16], but in association with a non-deterministic elimination process. As we are interested in deterministic protocols, we use the nodes' identifiers to label the edges of a competition graph with  $\alpha$ -encodings (Sect. II-A), and these values determine a deterministic elimination process. The resulting labeled competition graphs allow to compute the surviving nodes in a convenient way.

The encoding starts with as many 1's as the length of the binary representation, followed by a 0.



Then, append binary representation from the second bit onwards

Fig. 3: Description of  $\alpha$ -encoding

#### A. $\alpha$ -encoding

We  $\alpha$ -encode integers to design *uniform* competition protocols, as in Casteigts *et al.* [20]. This encoding allows to compare integers (identifiers) bit by bit in a uniform manner. Indeed, when using  $\alpha$ -encodings (of integers from [N]), such protocols do not need to know the binary integers' lengths (depending on  $\log N$ ) to compare them bit by bit. The  $\alpha$ encoding of integer *i* is made up of two parts, as explained in Figure 3. Comparing two  $\alpha$ -encodings  $\alpha(i)$  and  $\alpha(j)$  consists of first comparing the minimum number of bits necessary to encode the integers, and if it is the same, comparing the binary representations of *i* and *j* (the first bit is unnecessary, because it is 1 and the length is already known).

**Definition 1.** Let *i* be a positive integer, bin its binary representation and l(i) the length of bin. Let  $bin_{-1}$  be the binary representation without the leading 1 and  $1^{l(i)}$  a binary

string of l(i) 1's. The  $\alpha$ -encoding of i, denoted by  $\alpha(i)$ , is  $1^{l(i)} \parallel 0 \parallel bin_{-1}$  (where  $\parallel$  denotes string concatenation).

 $\alpha$ -encoding preserves the order between two integers.

**Lemma 1.** For any  $i, j \in \mathbb{N}^{>0}$ :  $i < j \Leftrightarrow \alpha(i) \prec \alpha(j)$ , where  $\prec$  is the lexicographical order on  $\alpha$ -encodings.

#### B. Uniform Competition Protocol for Computing a Ruling Set

Nodes use their unique identifiers for comparison and survivors of the elimination process constitute the output set. Each node v has a unique identifier id(v). The identifiers are encoded on at most  $l_{max}$  bits, but  $l_{max}$  is unknown to the nodes and thus the binary representations of the identifiers do not necessarily have the same length. Every node v computes the  $\alpha$ -encoding  $\alpha(id(v))$  (or  $\alpha(v)$  for short, by notation abuse) and outputs a boolean value  $survived_v$ . We prove that the output is a  $(2, 2 \log N)$ -ruling set (Theorem 2).

Prot	ocol 1 RulingSet	
1:	IN: id: Integer OUT: survived: Boolean	n value
2:	survived := true, $\alpha := \alpha(id)$	$\triangleright$ Get $\alpha$ -encoding
3:	for round $r := 1$ ; $r \leq l(\alpha)$ ; $r + do$	$\triangleright r$ is incremented after each iteration
4:	if $\alpha_r = 1$ then BEEP	$\triangleright$ Consider the $r^{th}$ most significant bit
5:	else	
6:	LISTEN	
7:	if beep heard then	▷ If a neighbor has a higher identifier
8:	survived := false	
9:	EndProtocol	
10:	EndProtocol	▷ No beep heard

The following lemma is straightforward.

**Lemma 2.** RulingSet has a round complexity of  $max_{v \in V} l(\alpha(v)) = O(\log N)$ .

#### C. Correctness Analysis of Protocol 1

The output set of RulingSet is analyzed through a game, which we refer to as the "elimination game". This game is enacted on an *edge-labeled directed acyclic graph*  $G_{dag}$ , the labeled competition graph, constructed from the original communication graph G and the nodes' unique identifiers. This construction process is adapted here to the RulingSetprotocol, but it applies to any competition protocol.  $G_{dag} =$  $(V, E_{dag}, label)$ , where  $E_{dag}$  is the set of directed edges and *label* an edge labeling function.  $G_{dag}$  is constructed from the  $\alpha$ -encodings of the ids, encoded on a maximum of  $2l_{max}$  bits.

- Let (u, v) be an edge of G with  $\alpha(u) \succ \alpha(v)$ . Then, (u, v) is a directed edge in  $G_{dag}$ , directed from u to v.
- Let (u, v) be an edge of  $G_{dag}$ . For the smallest index  $i \in [2l_{max}]$  such that  $\alpha_i(u) = 1$  and  $\alpha_i(v) = 0$ , set label(u, v) to i: the edge (u, v) is labeled (with) i.

For any edge e = (u, v) of  $G_{dag}$ , u is called the *origin* and v the *extremity* of e. It is straightforward to prove that  $G_{dag}$  is a directed acyclic graph.

The elimination game is played by the nodes of  $G_{dag}$ , round by round, in the following way: on round r, all surviving nodes with an outwards edge e labeled label(e) = r eliminate the extremities of these edges. The game finishes when no more node can be eliminated (thus after at most  $2l_{max}$  rounds). A node's survival is stored as a boolean in the survived variable.



Fig. 4: Example of  $G_{dag}$  construction

**Definition 2.** Let v be a vertex in  $G_{dag}$ . Let e be an incoming edge. We say that e is *acting* if the origin of e is not eliminated before round label(e), and *non acting* otherwise. If e = (u, v) is an acting incoming edge, then u eliminates v at round label(e) if and only if v has not already been eliminated. We define the same notions for outgoing edges.

**Definition 3.** Let  $\Pi = (v_1, \ldots, v_l)$  be a directed path in  $G_{dag}$ . There is a unique label sequence  $S_{lab}(\Pi) = (s_1, \ldots, s_{l-1})$  s.t.  $\forall r \in [l-1], e_r = (v_r, v_{r+1})$  and  $s_r = label(e_r)$ .

Results similar to the following lemma and theorem are proven in [21] for a more limited case. Lemmas 3 and 4 are straightforward.

**Lemma 3.** Let  $\Pi = (v_1, \ldots, v_l)$  be a directed path in  $G_{dag}$ .  $S_{lab}(\Pi)$  has no consecutive equal labels:  $\forall r \in [l-1] \ s_r \neq s_{r+1}$ .

**Theorem 1.** Let v be any node from  $G_{dag}$  not surviving the elimination game. There exists a surviving node u such that  $dist(u, v) \leq 2l_{max}$ , where  $l_{max} = O(\log N)$ .

**Proof.** First, a path  $\Pi$  from a surviving node u to node v is constructed, then we prove that  $\Pi$ 's length is at most  $2l_{max}$ .  $\Pi$  is constructed by induction. Node v did not survive, so there exists an acting incoming edge. The acting incoming edge (w, v) with the smallest label is added to  $\Pi$ . If w does not survive the elimination game, the previous actions are repeated and an acting incoming edge is added to  $\Pi$ . This is done until a surviving node is reached. Since at least one node survives the elimination game,  $\Pi$ 's construction is well-defined and  $\Pi = (e_l, \ldots, e_1)$ .

Now, let us prove by contradiction that  $l \leq 2l_{max}$ . Suppose  $l > 2l_{max}$  and focus on  $S_{lab}(\Pi)$ . Because the edge-labels are integers from  $[2l_{max}]$  and consecutive labels are non equal by Lemma 3, there exists an extremum  $s_r$  indexed by  $r \in \{2, \ldots, 2l_{max}\}$ . Thus there exists  $i \in \{r - 1, r\}$  such that  $s_i > s_{i+1}$ . However, both  $e_i$  and  $e_{i+1}$  are acting incoming edges, by construction. Thus, the origin of  $e_i$  is eliminated in round  $s_{i+1}$ , which contradicts the fact that  $e_i$  is acting. Hence, we have a contradiction.

**Lemma 4.** Let  $I = \{v \in V \text{ s.t. } survived_v = true\}$  at the termination of RulingSet. Let S be the set of survivor nodes of an elimination game played on  $G_{dag}$ . We have I = S.

**Theorem 2.** The output set  $I = \{v \in V \text{ s.t. survived}_v = true\}$  of RulingSet is a  $(2, 2 \log N)$ -ruling set.

#### III. MIS AND VERTEX COLORING PROTOCOLS

Let us now present MIS and  $(\Delta + 1)$ -coloring protocols with  $O(\Delta^2 \log N + \Delta^3)$  round complexity, where  $\Delta$  is the maximum degree of the communication graph G. When  $\Delta = O(1)$ , we obtain an asymptotically optimal  $O(\log n)$  round complexity [10]. For polylogarithmic  $\Delta$ , the protocol is still very efficient. Nodes know the maximum degree  $\Delta$  at first, but this assumption is dropped later on. Nodes know no polynomial upper bound N on their total number.

The protocols presented here are based on computing and refining defective colorings. Defective colorings were first used to solve the distributed coloring problem in [22] and [23]. Here, we refine the defective coloring differently from the previous works. The exact method is explained below.

#### A. Non-uniform Protocols for MIS and $(\Delta + 1)$ -coloring

The  $(\Delta + 1)$ -coloring protocol *DegreeColoring* (Protocol 2) refines the initial  $\Delta$ -defective coloring until the coloring is proper. *DegreeColoring* can be seen as an  $\mathcal{L}_4$ -phase. It has  $\Delta \mathcal{L}_3$ -phases: in each of these phases, the defect is reduced by at least 1. Each  $\mathcal{L}_3$ -phase is made of  $\Delta + 1$  coloring  $\mathcal{L}_2$ -phases, followed by an additional color reduction  $\mathcal{L}_2$ -phase.

In each coloring  $\mathcal{L}_2$ -phase, nodes with a specific color compute a  $(2, 2 \log N)$ -ruling forest on the subgraph induced by themselves, using *RulingSet* (Protocol 1) and Breadth First Searches (BFS) - *ColorByBFS* function (see below). During BFS, they recolor themselves with an even or odd available color depending on the parity of their depth in the ruling forest. Finally, all nodes communicate the changes in color and update their set of unavailable colors. The following color reduction  $\mathcal{L}_2$ -phase is made up of  $\Delta + 1 \mathcal{L}_1$ -phases. In each such  $\mathcal{L}_1$ phase, the range of colors used by all nodes is reduced by 1 (if the range is greater than  $\Delta + 1$ ). This is important because the color range affects the round complexity, and that range can increase exponentially if it is not reduced in each  $\mathcal{L}_3$ -phase.

Let us now present the functions used in a coloring  $\mathcal{L}_2$ -phase. There are two functions, ColorByBFS and *BroadcastColors. ColorByBFS* recolors each participating node. The resulting coloring can be defective. The input parameters are a boolean (inSet) indicating whether or not the node is part of the ruling set, i.e., serving as BFS roots, and a set of unavailable colors (U). The roots initiate parallel BFS. Other nodes compute their distance to the nearest root, which is given by the BFS, and recolor themselves with an available (not in U) newColor, according to the parity of this distance. The *newColor* values returned by *ColorByBFS* are in  $[2\Delta + 2]$ . This is because the set of unavailable colors contains at most  $\Delta$  colors, and possibly all of the same parity. Therefore, ColorByBFS chooses the smallest available odd (resp. even) value amidst the first  $\Delta + 1$  odd (resp. even) values. BroadcastColors communicates the colors chosen by the neighboring nodes. The function has four input parameters: the node's color (newColor), a boolean indicating whether or not it should participate in the current invocation (*changingColor*), a set of unavailable colors (U) and the maximum degree  $\Delta$ . The color is transmitted through the round number.

function ColorByBFS(inSet, U): newColor

6: Return U

beeping := false	$\triangleright  U  \le \Delta, U \subset [2\Delta + 2].$
if $inSet$ then $beeping := true$	Roots initiate BFS
for round $r := 1$ ; $r + do$	
if beeping then	
BEEP ▷ All nodes beep ond	ce. The root is $r-1$ hops away.
$  newColor := min\{k \in (\mathbb{N}^{>0} \setminus U)  $	$k \equiv r \mod 2$
Return newColor	$\triangleright newColor \in [2\Delta + 2]$
else	
LISTEN	
if beep heard then beeping := true	
tion BroadcastColors(newColor, changin	$ugColor, U, \Delta$ ): U
for round $r := 1 : r \le 2\Delta + 2 : r + + do$	
if $newColor = r$ and $changingColor$ t	hen BEEP
else	
LISTEN	
	beeping := false if $inSet$ then $beeping := true$ for round $r := 1$ ; $r++$ do if $beeping$ then 

Now, let us present the ColorReduction function invoked in the color reduction  $\mathcal{L}_2$ -phase. Its input parameters are an integer value given by a *d*-defective *c*-coloring (*color*), the maximum degree  $\Delta$  and the maximum color c (in the coloring). Nodes broadcast colors from  $[\Delta + 1]$  in  $\Delta + 1$  rounds, after which, nodes with color = c change their color to the smallest available color in  $[\Delta + 1]$ . The output is the node's new color (color), given by a d-defective c'-coloring, with c' = min(c - c) $1, \Delta + 1).$ 

function ColorReduction(color, $\Delta$ , c): color			
1:	$U := \emptyset$	$\triangleright$ U stores unavailable colors	
2:	for round $r := 1$ ; $r \leq \Delta + 1$ ; $r + do$		
3:	if $color = r$ then BEEP		
4:	else		
5:	LISTEN		
6:	if beep heard then $U := U \cup \{r\}$		
7:	if $color = c$ then $color := min([\Delta + 1] \setminus [\Delta + 1])$	U)	
8:	Return color	,	

In *DegreeColoring*, we only require  $\mathcal{L}_1$ -synchronization points (for *RulingSet* and *ColorByBFS*), introduced in Sect. I-C. Both functions are uniform in N, and thus are not explicitly terminating (if executed alone). However, they are locally terminating. Therefore we can use BET to perform neighboring termination detection and make nodes start the next step of the protocol synchronously. On the other hand, as the time lengths of all  $\mathcal{L}_2$ ,  $\mathcal{L}_3$  and  $\mathcal{L}_4$ -phases (and *ColorReduction* calls) are upper bounded by  $\Delta + 1$ , their termination is completely synchronized at all nodes and we do not need  $\mathcal{L}_2$ ,  $\mathcal{L}_3$  and  $\mathcal{L}_4$ -synchronization points.

**Lemma 5.** At the start (and end) of an  $\mathcal{L}_3$ -phase, color  $\in$  $[\Delta + 1].$ 

#### **Lemma 6.** The defect of color is reduced by one per $\mathcal{L}_3$ -phase.

*Proof.* Let *color* be *d*-defective at the start of  $\mathcal{L}_3$ -phase *p*3. For any given node v, v has at most d defective edges. It is easy to see that non-defective edges remain non-defective. In a non-defective edge (v, u), let v be the node with the higher color w.l.o.g. During  $\mathcal{L}_3$ -phase p3, v stores a set of unavailable newColor values, including  $newColor_u$ . As such, when v executes ColorByBFS,  $newColor_v \neq newColor_u$ .

#### Protocol 2 DegreeColoring

```
1: IN: id: Identifier, \Delta: Maximum degree OUT: color: Integer value
     color := 1
 3:
     // Each node removes at least one defective edge per \mathcal{L}_3-phase
 4:
     for \mathcal{L}_3-phase p3 := 1; p3 \leq \Delta; p3++ do
                          \triangleright Stores newColor values already chosen during this phase
 5:
         U := \emptyset
         newColor := 0
                                               \triangleright newColor \in [2\Delta + 2] during \mathcal{L}_3-phase
 6:
 7:
         // An \mathcal{L}_3-phase starts with \Delta + 1 coloring \mathcal{L}_2-phases
 8:
         for coloring \mathcal{L}_2-phase p2 := 1; p2 \leq \Delta + 1; p2++ do
 9:
             if color = p2 then inSet := RulingSet(id)
10:
                                                                    \triangleright \mathcal{L}_1-synchronization point
11:
             if color = p2 then newColor := ColorByBFS(inSet, U)
12:
             U := BroadcastColors(newColor, (color = p2), U, \Delta)
13:
                         > This synchronization point is not needed (strictly explanatory)
14:
             42
15:
         color := newColor
         // Followed by one \mathcal{L}_2-phase, which contains \Delta + 1 color reduction \mathcal{L}_1-phases
16:
         // Before the \mathcal{L}_2-phase, color \in [2\Delta + 2]
17:
         for color reduction \mathcal{L}_1-phase p1 := 1; p1 \le \Delta + 1; p1++ do color := Color Reduction(color, <math>\Delta, 2\Delta + 3 - p1)
18:
19:
20:
             51
21:
         // After all color reduction \mathcal{L}_1-phases, color \in [\Delta + 1]
22:
         23
                                                                  ▷ Not needed, for clarity only
23:
     EndProtocol
```

All endpoints of the defective edges of v, and v itself, execute RulingSet and ColorByBFS in the same  $\mathcal{L}_2$ -phase. If DistC(v) denotes v's distance to the nearest BFS tree root (RulingSet survivor), there is at least one endpoint u with |DistC(u) - DistC(v)| = 1. Because of the difference in the parity of these distances, u and v choose different values in  $[2\Delta + 2]$ , and at least one edge becomes non-defective. 

**Theorem 3.** DegreeColoring solves  $(\Delta + 1)$ -coloring in  $O(\Delta^2 \log n + \Delta^3)$  rounds.

Given a  $(\Delta + 1)$ -coloring, it is simple to compute an MIS in  $\Delta + 1$  rounds. Nodes with the same color form an independent set. Adding iteratively (at each round) nodes from each such set to a common independent set results in an MIS. Thus, MIS can also be solved in  $O(\Delta^2 \log n + \Delta^3)$  rounds.

#### B. Uniform $(\Delta + 1)$ -coloring

Now, we wish to transform DegreeColoring into UnifDegreeColoring, which is uniform in both  $\Delta$  and n. The first step is to replace the functions used in DegreeColoring by uniform functions, and to synchronize them using synchronization points. Then, every non-uniform stopping condition of a loop appearing in *DegreeColoring* should be eliminated and replaced by a so called *local termination component*. This *component* is an  $\mathcal{L}_{i-2}$ -phase executed at the end of each iteration ( $\mathcal{L}_{i-1}$ -phase) of the loop  $(\mathcal{L}_i$ -phase). It serves to detect if the executing node has finished the ongoing loop. More formally, this component serves to detect whether the executing node has reached a terminal  $\mathcal{L}_i$ phase state, and makes the  $\mathcal{L}_i$ -phase locally-terminating.

First, let us present UnifBroadcastColors, a uniform version of BroadcastColors (since BroadcastColors requires  $\Delta$ ). UnifBroadcastColors is an  $\mathcal{L}_2$ -phase, made of consecutive  $\mathcal{L}_1$ -phases, each composed of 2 rounds. In the first round, the executing node v beeps if it has not yet communicated  $newColor_v$ . Otherwise, it listens so it can detect if all of its neighbors have already communicated their newColor value, and if so, v terminates. In the second

round, we have the round behavior of BroadcastColors. In such a way, we obtain a uniform function having the same behavior as BroadcastColors. Moreover, in this particular case, since all  $\mathcal{L}_1$ -phases contain exactly 2 rounds, it is also locally synchronized, even without using EBET, and therefore there is no need to indicate synchronization points explicitly.

func	ction UnifBroadcastColors(newColor,	changingColor, U): U
1:	for $\mathcal{L}_1$ -phase $p1 := 1$ ; $p1$ ++ do	$\triangleright \mathcal{L}_1$ -phase consists of two rounds
2:	// First round	
3:	if $newColor >= p1$ and $changing$	Color then BEEP $\triangleright$ Not finished yet
4:	else	
5:	LISTEN	
6:	if no beep heard then Return U	▷ If all neighbors beeped their colors
7:	// Second round	
8:	if $newColor = p1$ and $changingColor$	olor then
9:	BEEP	Communicate your color
10:	else	
11:	LISTEN	
12:	$ $ <b>if</b> beep heard <b>then</b> $U := U \cup \{p1\}$	> Keep neighbors' $newColor$ values

Next, we design a uniform version of ColorReduction. It is used in ReduceColors, a uniform version of the color reduction  $\mathcal{L}_2$ -phase from DegreeColoring.

Unif Color Reduction has two input parameters: the node's color (color), given by a d-defective c-coloring, and a set of unavailable colors (U). It also has two output parameters: the node's new color color, given by a d-defective c'-coloring (with  $c' = min(c - 1, \Delta + 1)$ ), and a boolean sameColor indicating whether color changed. Every node v transmits its color value to its neighbors by beeping in the first round of the  $\mathcal{L}_1$ -phase indexed by color<sub>v</sub>. Nodes with the highest color in their neighborhood choose the smallest available color (colors previously transmitted by neighbors are forbidden). If that color is the node's current color, then sameColor is assigned to true. Other nodes do not change their color (and end with sameColor equal to false). Here again, there is no need to indicate synchronization points explicitly, since all  $\mathcal{L}_1$ -phases contain exactly 2 rounds.

ReduceColors is an  $\mathcal{L}_4$ -phase. It has two input parameters: the node's color (color), given by a d-defective c-coloring, and a set of unavailable colors (U). It has a single output: the node's new color (color), given by a d-defective ( $\Delta + 1$ )-coloring. The main idea is to have the nodes with the highest color in their neighborhood change their color to the smallest available color (in [ $\Delta + 1$ ]). At some point, they can no longer improve their color (finished is true). These nodes terminate, allowing the other nodes in their neighborhood to change their color value. Here, it is crucial to put  $\mathcal{L}_2$ -synchronization points after the UnifColorReduction and UnifBroadcastColors calls, because these functions are uniform. Thus, different nodes can finish executing these functions at different times, i.e., not synchronously. As these functions are locally terminating, EBET can be used to ensure the synchronization points.

Following this, let us describe the functions used for *Unif DegreeColoring*'s local termination component. These functions are used to detect when the executing node's color is proper, i.e., no neighbor has the same color. Then, the executing node can exit the outermost loop and thus locally terminate the protocol (see lines 24 to 29).

#### function UnifColorReduction(color, U): color, sameColor

1:	sameColor := false
2:	for $\mathcal{L}_1$ -phase $p1 := 1$ ; $p1++$ do $\triangleright \mathcal{L}_1$ -phase consists of two rounds
3:	// First round
4:	if $color = p1$ then BEEP
5:	else
6:	LISTEN
7:	if beep heard then $U := U \cup \{p1\}$
8:	// Second round
9:	if $color > p1$ then BEEP
10:	else
11:	// Only a node with the highest color in its neighborhood hears no beep
12:	LISTEN
13:	if beep heard then Return (color, sameColor)
14:	else
15:	$color := min([p1] \setminus U)$
16:	if $color = p1$ then $sameColor := true$
17:	Return (color, sameColor)

function ReduceColors(color, U): color

1:	finis	hed	:=	fal	se	
•			c ·			

4:

- 2: while not finished do  $\triangleright$  At most  $c \mathcal{L}_3$ -phases 3: | (color, finished) := UnifColorReduction(color, U)
  - \$ 2
- 5: U := UnifBroadcastColors(color, finished, U)6:  $\frac{1}{2}$   $\triangleright$  Actually, also an  $\mathcal{L}_3$ -synchronization point

ColorCollision uses UniformCollisonBeep to detect whether there are same color neighbors amongst executing nodes. The function has two input parameters: an identifier (*id*) and the node's color (*color*). The output parameter is a boolean indicating whether the node detected a collision with a same color node (*collision*). In each  $\mathcal{L}_2$ -phase p2, nodes with color p2 check for a collision by using UnifCollisionBeep. If no neighboring node with the same color p2 exists, then no collision is detected by the executing nodes.

UnifCollisionBeep detects whether there are any neighbors amongst the currently executing nodes (a collision). The input parameter is an identifier (*id*) and the output parameter is a boolean indicating whether the node detected a collision (collision). In each  $\mathcal{L}_1$ -phase, a node beeps in the first or the second round, depending on whether the  $p1^{th}$  most significant bit of  $\alpha(id)$  is 0 or 1. If a beep is heard, then there is a collision. Two executing neighboring nodes always detect a collision because they have different identifiers. A node terminates if the phase index p1 is greater than the length of the  $\alpha$ -encoding of its id.

iuno	ction UnifCollisionBeep(id): collision
1:	collision := false
2:	for $\mathcal{L}_1$ -phase $p1 := 1$ ; $p1 + + \mathbf{do} \qquad \triangleright \mathcal{L}_1$ -phase consists of two rounds
3:	if $p1 > l(\alpha(id))$ then Return collision
4:	if $\alpha_{p1}(id) = 0$ then
5:	BEEP ; LISTEN
6:	if beep heard in the second round then $collision := true$
7:	else
8:	LISTEN ; BEEP
9:	if beep heard in the first round then collision := true

Finally we describe UnifDegreeColoring. The main idea is the same as in DegreeColoring: we refine the initial  $\Delta$ -defective coloring until the coloring is proper. The main differences are the local termination components. The  $\mathcal{L}_4$ -

#### function ColorCollision(id, color): collision

```
1: for \mathcal{L}_2-phase p2 := 1; p2++ do \triangleright At most \Delta + 1 \mathcal{L}_2-phases

2: | if color = p2 then

3: | collision := UnifCollisionBeep(id)

4: | Return collision

5: | \frac{1}{2}2
```

phase's ( $\mathcal{L}_3$  loop) local termination component is similar to

the local termination component in UnifBroadcastColors. A node has finished an  $\mathcal{L}_4$ -phase if all of its neighbors have chosen a new color. The protocol's local termination component is described previously. The additional  $U_t$  variable is used to store unavailable colors that have already been chosen by neighboring nodes which have terminated the protocol.

Protocol 3 UnifDegreeColoring

1: IN: id: Identifier OUT: color: Integer value 2:  $U_t := \emptyset$ ▷ Stores color values chosen for output by terminated neighbors color := 13: 4. // Each node removes at least one defective edge per  $\mathcal{L}_5$ -phase 5: for  $\mathcal{L}_5$ -phase p5 := 1 ; p5++ do  $U := \emptyset$ > Stores newColor values already chosen during this phase 6: 7. newColor := 0 $\triangleright$  newColor  $\in [2\Delta + 2]$  during the  $\mathcal{L}_5$ -phase // This  $\mathcal{L}_3$  loop is an  $\mathcal{L}_4$ -phase 8: 9. for coloring  $\mathcal{L}_3$ -phase p3 := 1; p3++ do 10: if color = p3 then inSet := RulingSet(id)11: if color = p3 then  $newColor := ColorByBFS(inSet, U \cup U_t)$ 12: 13: 14: U := UnifBroadcastColors(newColor, color = p3, U)15:  $\triangleright \mathcal{L}_2$ -synchronization point here, thus we have coloring  $\mathcal{L}_3$ -phases 22 I From here on, local termination component for  $\mathcal{L}_4$ -phase 16: 17: if color > p3 then BEEP ▷ Beep if new color still not chosen 18: else > Check if any neighbor is still choosing a new color 19: LISTEN 20: if no beep heard then Exit  $\mathcal{L}_3$  loop 21:  $\triangleright$  Crucial because some nodes end the  $\mathcal{L}_4$ -phase earlier than others 54 22:  $color := ReduceColors(newColor, U_t)$   $\triangleright$  After,  $color \in [\Delta + 1]$ 23: 24: // From here on, local termination component for  $\mathcal{L}_5$  loop 25: collision := ColorCollision(color)26:  $\triangleright$  Because ColorCollision is an  $\mathcal{L}_3$ -phase 23 27:  $U_t := UnifBroadcastColors(color, collision = false, U_t)$  $\triangleright$  Because UnifBroadcastColors is an  $\mathcal{L}_2$ -phase 28: 22 if not collision then EndProtocol 29:  $\triangleright$  Exit  $\mathcal{L}_5$  loop

**Theorem 4.** *MIS* and  $(\Delta + 1)$ -coloring can be solved in  $O(\Delta^2 \log n + \Delta^3)$  rounds with a protocol uniform in both  $\Delta$  and N.

#### IV. IMPROVEMENTS FOR GRAPHS WITH SMALL ARBORICITY

DegreeColoring is efficient for graphs with polylogarithmic maximum degree  $\Delta$ . However, not all graphs have a low maximum degree, and in these graphs, Protocol 3 is less efficient. Using ideas from [24] and [25], it is possible to design a  $(\Delta + 1)$ -coloring protocol which is efficient on graphs with low arboricity *a* (more specifically, with polylogarithmic *a*). Notice that some important topologies like trees and planar graphs have an arboricity of 1 and 3 respectively, while their maximum degree can be arbitrarily large.

**Theorem 5.** *MIS and*  $(\Delta + 1)$ -coloring can be solved with  $O(a^2 \log^2 n + a^3 \log n)$  round complexity in the beeping model, where a is the arboricity of the communication graph.

To support this theorem, we design two coloring protocols with the above round complexity: one is uniform in N but

not in a, and the other is uniform in a but not in N. It is important to have a protocol uniform in a, since a may be harder to obtain than an upper bound on N. The main component of both protocols is the *LimitedDegreeColoring* function, which colors all participating low-degree nodes, if it is given an upper bound on the arboricity a. A node v is considered to be a low-degree node if it has  $deg(v) \leq (2 + \epsilon) \cdot a$ , for a parameter  $\epsilon > 0$ .

In the first protocol, uniform in N, LimitedDegreeColoring is executed iteratively by uncolored nodes until all nodes are properly colored. Since a is known and by a result in [25], each invocation of the function colors a constant fraction of the nodes of the communication graph. Colored nodes no longer participate in subsequent LimitedDegreeColoring calls. Using results from [25], it can be proven that executing Limited Degree Coloring  $O(\log N)$  times (or more) colors all nodes with  $O(a \cdot \log N)$  colors. As N is unknown, invocations of *LimitedDegreeColoring* continue until the executing node is colored properly (local termination component). When this happens for all nodes, the  $O(a \cdot \log N)$ -coloring is transformed into a  $(\Delta + 1)$ -coloring by *ReduceColors*, as in Protocol 3. In the second protocol (uniform in a), we compute an upper bound on a. This is done by estimating a iteratively. At each iteration i, a is estimated to be  $2^i$  and LimitedDegreeColoring is executed  $O(\log N)$  times, given this estimation. After  $O(\log a)$  iterations, the estimation is at least as large as the actual arboricity. When this happens, *LimitedDegreeColoring* executed  $O(\log N)$  times provides a proper coloring (followed by the color range reduction) as in the first protocol. Refer to the extended version [13] for the details.

## V. Uniform Protocols for 2-hop MIS and 2-hop $(\Delta^2 + 1)$ -coloring

To obtain protocols for 2-hop MIS and 2-hop coloring, we provide and use a general transformer, the SquareSimprotocol, allowing to "simulate  $G^2$  over G". Its formal description appears in [13]. The idea is that nodes propagate beeps for an extra round (and therefore contact nodes at distance 2), so that they can simulate a protocol on the square of the communication graph, for a small time multiplicative overhead. SquareSim provides two primitives SquareSim(true) and SquareSim(false) to simulate in G, the BEEP and LISTEN instructions invoked on graph  $G^2$ .

**Lemma 7.** A protocol designed to be executed on  $G^2$  can be simulated on G by replacing all BEEP instructions by calls to SquareSim(true) and LISTEN instructions by calls to SquareSim(false).

The maximum degree of the square communication graph is  $\Delta^2$ . By applying Lemma 7 to the previous protocols, we obtain protocols for solving 2-hop coloring with  $(\Delta^2 + 1)$  colors and 2-hop MIS. These protocols are very efficient on bounded degree graphs, and efficient for graphs with polylogarithmic  $\Delta$ . 2-hop coloring is an important tool in the beeping model, used to break symmetry and to deal with the interferences. In the next section, we show how this can be used to simulate

the stronger CONGEST communication model and obtain an O(a)-coloring.

**Corollary 1.** 2-hop MIS and 2-hop  $(\Delta^2 + 1)$ -coloring can be solved in  $O(\Delta^4 \log n + \Delta^6)$  rounds.

Instead of the maximum degree of the square of the given graph, consider its arboricity. Using a result from [26], showing that  $a(G^2) \leq 2^3 \cdot a \cdot \Delta$ , we obtain Corollary 2, which provides a more efficient result for graphs with small arboricity.

**Corollary 2.** 2-hop MIS and 2-hop  $(\Delta^2 + 1)$ -coloring are solved by the two protocols in Sect. IV with an  $O(a^2\Delta^2 \log^2 n + a^3\Delta^3 \log n)$  round complexity. One of them is uniform in N but not in a, and the other is uniform in a but not in N.

#### VI. CONGEST MODEL SIMULATION AND O(a)-COLORING

By using a 2-hop coloring, nodes can simulate the transmission of messages through the edges of the communication graph, like in the CONGEST model with edge bandwidth B (commonly  $O(\log N)$ ). We want to make sure that for any given node v, a message can be sent or received along any edge without interference, and that the provenance and destination of the message can be deduced easily. Our simulation algorithm is made of two components.

The *first component* is used to transmit a B bit message. If we have no interference, a node can transmit B bits during 2B rounds (in phases of two rounds, one round for transmitting bit 1 and another one for bit 0).

The *second component*, and the core part of the simulation, deals with the interferences inherent to the beeping model. Here, a 2-hop *c*-coloring (for some constant *c*) is required so that messages can be associated to a pair of colors p = (color Provenance, color Destination), according to their provenance and destination ( $c^2$  possibilities). The simulation is composed of phases, each of  $c^2$  invocations of the first component. In this way, transmitted bits never collide. Lemma 8 summarizes this result.

**Lemma 8.** Given a 2-hop c-coloring, the CONGEST model with edge bandwidth B can be simulated in the beeping model, with an  $O(c^2 \cdot B)$  multiplicative factor.

Finally, using the simulation of CONGEST, one can use the result of Barenboim and Elkin [17] (given for CONGEST), to obtain an O(a)-coloring in the beeping model. It is done by first computing, in the beeping model, a 2-hop  $(\Delta^2 + 1)$ -coloring in  $O(a^2\Delta^2\log^2 n + a^3\Delta^3\log n)$  rounds (Corollary 2). Then the O(a)-coloring from [17] (with  $O(a^{\mu}\log n)$  round complexity) is combined with the CONGEST simulation, using the  $(\Delta^2 + 1)$ -coloring obtained before. By Lemma 8, the resulting simulation of the O(a)-coloring protocol has  $O(a^{\mu}\Delta^4\log^2 n)$  round complexity.

The final result is an  $O((a^2\Delta^2 + a^{\mu}\Delta^4) \cdot \log^2 n + a^3\Delta^3 \log n)$ time O(a)-coloring protocol in the beeping model. Notice that now by using this coloring algorithm, together with the *SquareSim* protocol, to obtain a 2-hop  $O(a \cdot \Delta)$ -coloring (see Sect. V), we reduce the time multiplicative factor when simulating CONGEST algorithms. Consequently, one obtains a more efficient simulation.

#### REFERENCES

- A. Cornejo and F. Kuhn, "Deploying wireless networks with beeps," in DISC, 2010, pp. 148–162.
- [2] S. Navlakha and Z. Bar-Joseph, "Distributed information processing in biological and computational systems," *Commun. ACM*, vol. 58, no. 1, pp. 94–102, Dec. 2014.
- [3] R. Guerraoui and A. Maurer, "Byzantine fireflies," in *DISC*, 2015, pp. 47–59.
- [4] Y. Afek, N. Alon, Z. Bar-Joseph, A. Cornejo, B. Haeupler, and F. Kuhn, "Beeping a maximal independent set," *Distributed Computing*, vol. 26, no. 4, pp. 195–208, Aug 2013.
- [5] D. Eppstein and D. Strash, "Listing all maximal cliques in large sparse real-world graphs," in SEA, 2011, pp. 364–375.
- [6] C. S. A. Nash-Williams, "Decomposition of finite graphs into forests," Journal of the London Mathematical Society, vol. 39, p. 12, 1964.
- [7] A. Casteigts, Y. Métivier, J. M. Robson, and A. Zemmari, "Design Patterns in Beeping Algorithms: Examples, Emulation, and Analysis," *ArXiv e-prints*, Jul. 2016.
- [8] A. Scott, P. Jeavons, and L. Xu, "Feedback from nature: An optimal distributed algorithm for maximal independent set selection," in *PODC*, 2013, pp. 147–156.
- [9] A. Casteigts, Y. Métivier, J. M. Robson, and A. Zemmari, "Design Patterns in Beeping Algorithms," in *OPODIS*, 2016, pp. 15:1–15:16.
- [10] J. Schneider and R. Wattenhofer, "What is the use of collision detection (in wireless networks)?" in *DISC*, 2010, pp. 133–147.
- [11] D. Peleg, *Distributed Computing: A Locality-Sensitive Approach*, ser. Monographs on Discrete Mathematics and Applications. Society for Industrial and Applied Mathematics, 2000.
- [12] K.-T. Förster, J. Seidel, and R. Wattenhofer, "Deterministic leader election in multi-hop beeping networks," in *DISC*, 2014, pp. 212–226.
- [13] J. Beauquier, J. Burman, F. Dufoulon, and S. Kutten, "Fast Beeping Protocols for Deterministic MIS and  $(\Delta+1)$ -Coloring in Sparse Graphs (Extended Version)," Tech. Rep. [Online]. Available: https://hal.archives-ouvertes.fr/hal-01679099
- [14] B. Awerbuch, M. Luby, A. V. Goldberg, and S. A. Plotkin, "Network decomposition and locality in distributed computation," in *FOCS*, 1989, pp. 364–369.
- [15] L. Barenboim and M. Elkin, Distributed Graph Coloring: Fundamentals and Recent Developments. Morgan & Claypool Publishers, 2013.
- [16] A. J. Hoffman, K. Jenkins, and T. Roughgarden, "On a game in directed graphs," *Inf. Process. Lett.*, vol. 83, no. 1, pp. 13–16, 2002.
- [17] L. Barenboim and M. Elkin, "Deterministic distributed vertex coloring in polylogarithmic time," in *PODC*, 2010, pp. 410–419.
- [18] J. Schneider and R. Wattenhofer, "Distributed coloring depending on the chromatic number or the neighborhood growth," in *SIROCCO*, 2011, pp. 246–257.
- [19] J. Schneider, M. Elkin, and R. Wattenhofer, "Symmetry breaking depending on the chromatic number or the neighborhood growth," *Theor. Comput. Sci.*, vol. 509, no. C, pp. 40–50, Oct. 2013.
- [20] A. Casteigts, Y. Métivier, J. Robson, and A. Zemmari, "Deterministic leader election in  $\mathcal{O}(D + \log n)$  time with messages of size  $\mathcal{O}(1)$ ," in *DISC*, 2016, pp. 16–28.
- [21] R. Cole and U. Vishkin, "Deterministic coin tossing with applications to optimal parallel list ranking," *Information and Control*, vol. 70, no. 1, pp. 32 – 53, 1986.
- [22] L. Barenboim and M. Elkin, "Distributed ( $\delta$ +1)-coloring in linear (in  $\delta$ ) time," in *STOC*, 2009, pp. 111–120.
- [23] F. Kuhn, "Weak graph colorings: Distributed algorithms and applications," in SPAA, 2009, pp. 138–144.
- [24] A. Goldberg, S. Plotkin, and G. Shannon, "Parallel symmetry-breaking in sparse graphs," in STOC, 1987, pp. 315–324.
- [25] L. Barenboim and M. Elkin, "Sublogarithmic distributed mis algorithm for sparse graphs using nash-williams decomposition," in *PODC*, 2008, pp. 363–379.
- [26] G. Agnarsson and M. Halldorsson, "Coloring powers of planar graphs," SIAM Journal on Discrete Mathematics, vol. 16, no. 4, pp. 651–662, 2003.