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On hermitian Pfister forms

N. GRENIER-BOLEY, E. LEQUEU, M. G. MAHMOUDI

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Abstract

Let K be a field of characteristic different from 2. It is known that a quadratic Pfister form over K is hyperbolic once it is isotropic. It is also known that the dimension of an anisotropic quadratic form over K belonging to a given power of the fundamental ideal of the Witt ring of K is lower bounded. In this paper, weak analogues of these two statements are proved for hermitian forms over a multiquaternion algebra with involution. Consequences for Pfister involutions are also drawn. An invariant u_α of K with respect to a non-zero pure quaternion of a quaternion division algebra over K is defined. Upper bounds for this invariant are provided. In particular an analogue is obtained of a result of Elman and Lam concerning the u -invariant of a field of level at most 2.

1 Introduction

Throughout this paper, the characteristic of the base field is supposed to be different from 2. Pfister forms play a fundamental role in the theory of quadratic forms. In the literature, efforts have been made to find analogues of these forms in the framework of central simple algebras with involution or of hermitian forms over such algebras. In the first case, a notion of Pfister involution has been defined by Shapiro (see Subsection 2.4): it is nothing but a central simple algebra endowed with an orthogonal involution which is a tensor product of quaternion algebras with involution. Shapiro has also stated a conjecture which predicts a close relation between Pfister involutions and quadratic Pfister forms (see Conjecture 2.8) which has recently been proved by Becher [2]. In the second case, a notion of hermitian Pfister form over a central simple algebra with involution (A, σ) has been defined by Lewis [10] as the hermitian form over (A, σ) induced by a quadratic Pfister form over the subfield of the center of A consisting in elements invariant under σ (see Subsection 2.3).

In the first part of this paper, we prove weak analogues of two important properties satisfied by quadratic forms in the framework of hermitian forms over multiquaternion algebras with involution. The first of these two properties is the fact that quadratic Pfister forms are hyperbolic once they are isotropic. Our first main result is the following which will be restated later in a more detailed form (see Theorem 3.3 below):

Theorem 1.1. *Let $(A, \sigma) = (Q_1, \sigma_1) \otimes_K \cdots \otimes_K (Q_n, \sigma_n)$ be a multiquaternion algebra with involution. Then there exists a non-negative integer $s < n$ which only depends on the decomposition of (A, σ) such that for every hermitian Pfister form h over (A, σ) , $2^s \times h$ is hyperbolic as soon as h is isotropic.*

Several facts are recalled in Section 2 and the beginning of Section 3 is devoted to the proof of Theorem 3.3 which is a slightly more detailed statement of Theorem 1.1. Jean-Pierre Tignol pointed out to us that the existence of an integer $s(h)$ (depending on h) such that $2^{s(h)} \times h$ is hyperbolic can also be obtained using a local-global principle for hermitian forms proved by Lewis and Unger [11]. Our result provides more information about the power $s(h)$: it states that $s(h)$ can be chosen independently of h and also gives an upper bound for it.

Another important result is Arason and Pfister's Hauptsatz which gives a dimension-theoretic necessary condition for a quadratic form to belong to a given power of the fundamental ideal of the Witt ring (see Theorem 3.6). In the second part of Section 3, we prove a weak analogue of this result (see Theorem 3.7). In the last part of this Section, we focus on Pfister involutions. Among other things, we obtain a new proof of Conjecture 2.8 in the case of linked fields.

In the second part of this paper, we define a certain invariant u_α associated to K for which we give upper bounds under certain hypotheses. Recall that the u -invariant of a field K is the supremum over the dimension of all quadratic forms φ over K such that φ is anisotropic and that the level of a field K , denoted by $s(K)$, is the smallest positive integer s such that -1 can be written as a sum of s squares in K . If we denote by q the number of square classes in K^\times and if K is a non-real field, Kneser has proved that $u(K) \leq q$ (see [14, Chapter 2, §16]). This result has been refined in [4] when the value of the level of K is small:

Theorem 1.2 (Elman-Lam). *Let K be a field whose level satisfies $s(K) \leq 2$. Then, either $u(K) = q$ or $u(K) \leq q/2$.*

Given a non-zero symmetric or skew-symmetric element α of a quaternion division algebra with canonical involution (Q, γ) over K , we define the invariant $u_\alpha(K)$ to be the supremum over the dimension of all quadratic forms φ over K such that $\varphi \cdot \langle \alpha \rangle$ is anisotropic over (Q, γ) . Denote by $s(K, \sigma)$ the (hermitian) level of a field K with an involution σ , that is the smallest integer s such that -1 can be written as a sum of s hermitian squares, i.e., elements of the form $\sigma(x)x$ where $x \in K$. We first prove an analogue of Kneser's result above for the invariant u_α (see Proposition 4.5). Our second main result is an analogue of Theorem 1.2 for the invariant u_α :

Theorem 1.3. *Let α be a skew-symmetric element in Q^\times with respect to its canonical involution γ . Suppose that the level of $(K(\alpha), \gamma|_{K(\alpha)})$ is at most 2. Then, either $u_\alpha(K) = |K^\times/G_\alpha|$ or $u_\alpha(K) \leq \frac{1}{2}|K^\times/G_\alpha|$.*

Here G_α is the similarity group of the one-dimensional skew-hermitian form $\langle \alpha \rangle$: $G_\alpha = \{c \in K^\times \mid \langle c\alpha \rangle \simeq \langle \alpha \rangle \text{ as skew-hermitian forms over } (Q, \gamma)\}$. These results are proved in Section 4.

2 Basic results and notations

From now on, every central simple algebra is supposed to be finite-dimensional over its center and every module over such an algebra is supposed to be a finitely generated right module.

2.1 Central simple algebras

The general reference for the theory of central simple algebras with involution is [8].

Let K be a field and A be a central simple algebra over K . Suppose that A is endowed with an involution σ , that is a ring antiautomorphism of A of order 2. The map σ restricts to an involution of K and there are two cases: if $\sigma|_K$ is the identity map, we say that σ is of *the first kind*, otherwise $\sigma|_K$ is of *the second kind*. We will always denote by F the subfield of K consisting in central invariant elements under σ . Then σ is of the first kind if $F = K$ and σ is of the second kind if K/F is a quadratic field extension. If σ is of the second kind, we will denote by $-$ the non-trivial automorphism of K/F .

A field L containing K is called a *splitting field* of A if $A \otimes_K L$ is isomorphic to a full matrix algebra over L . By Wedderburn's Theorem, such a field always exists (see [8, Theorem 1.1]). An involution of the first kind is said to be *orthogonal* (resp. *symplectic*) if it is adjoint to a symmetric (resp. skew-symmetric) bilinear form after scalar extension to a splitting field of A . An involution of the second kind is said to be *unitary*.

Let (A, σ) and (B, τ) be two central simple algebras with involution over K . We will say that (A, σ) and (B, τ) are *isomorphic* (or simply that σ and τ are isomorphic if the algebras are clear from the context) if there exists a K -algebra isomorphism $f : A \simeq B$ such that $\tau \circ f = f \circ \sigma$. When $\sigma|_K = \tau|_K$, the *tensor product* of (A, σ) and (B, τ) refers to $(A \otimes_K B, \sigma \otimes \tau)$ also denoted $(A, \sigma) \otimes_K (B, \tau)$.

A central simple algebra of degree 2 is called a *quaternion algebra*. As $\text{char}(K) \neq 2$, any quaternion algebra Q over K has a quaternion basis $\{1, i, j, k\}$, that is a basis of the K -algebra Q subject to the relations $i^2 = a \in K^\times, j^2 = b \in K^\times, ij = k = -ji$. This algebra Q is usually denoted by $(a, b)_K$. Every quaternion algebra has an unique involution of symplectic type (usually denoted by γ) called *the canonical involution of Q* . One has $\gamma(i) = -i, \gamma(j) = -j$. A tensor product of quaternion algebras with involution over K is called a *multi-quaternion algebra with involution*. Let (A, σ) be a multi-quaternion algebra with involution. Given a decomposition $(A, \sigma) = (Q_1, \sigma_1) \otimes_K \cdots \otimes_K (Q_n, \sigma_n)$ of (A, σ) (where the (Q_i, σ_i) 's are quaternion algebras with involution), we define an integer r as follows. Take the set of all non-split quaternion algebras Q_i appearing in this decomposition. Partition this set into equivalence classes C_j with isomorphism as the equivalence relation. Let $\text{mod } C_j$ be the number of elements in equivalence class C_j . Then r is the number of equivalence classes for which $\text{mod } C_j$ is odd.

Example 2.1. Take $n = 4$.

Suppose that Q_1, Q_2, Q_3, Q_4 are division algebras with $Q_1 \simeq Q_2$ and $Q_3 \simeq Q_4$ and $Q_1 \not\simeq Q_3$ then $r = 0$.

Suppose that Q_1, Q_2, Q_3 are division algebras with $Q_1 \simeq Q_2 \simeq Q_3$ and Q_4 is split then $r = 1$.

Suppose that Q_1, Q_2, Q_3, Q_4 are division algebras and they are pairwise non-isomorphic then $r = 4$.

Remark 2.2. Note that two different decompositions of (A, σ) may have different associated r . For instance consider the situation where (Q_1, σ_1) and (Q_2, σ_2) are two quaternion division algebra with involutions of the first kind over a field

K and $A = Q_1 \otimes Q_2$ is of index 2. Then $(A, \sigma) = (Q_1, \sigma_1) \otimes (Q_2, \sigma_2)$ has a decomposition $(A, \sigma) \simeq (M_2(K), \tau_1) \otimes (Q, \tau)$ where Q is a quaternion division algebra and τ_1 and τ are involutions of the first kind (see also Proposition 3.1 below). For the first decomposition we have $r = 2$ and for the second one we have $r = 1$.

From now on, (A, σ) will denote a central simple algebra with involution over a field K .

2.2 The Witt group of a central simple algebra with involution

The standard reference for the theory of hermitian forms and for the Witt group of a central simple algebra is [14, Chapter 7, 10].

Let $\varepsilon = \pm 1$. An ε -hermitian form over (A, σ) is a pair (M, h) where M is a right A -module and h is a map $h : M \times M \rightarrow A$ which is σ -sesquilinear in the first argument, linear in the second argument and which satisfies

$$h(y, x) = \varepsilon \sigma(h(x, y)) \text{ for any } x, y \in M.$$

If $\varepsilon = 1$ (resp. -1), an ε -hermitian form is called a *hermitian* (resp. *skew-hermitian*) form. We will always implicitly assume that the forms considered are non-degenerate. If $A = D$ is a division algebra, every hermitian form over (D, σ) can be diagonalized and such a diagonalization will be denoted by $\langle a_1, \dots, a_n \rangle$. Any hermitian or skew-hermitian form (M, h) over (A, σ) has an *adjoint involution* defined on $\text{End}_A(M)$, see [8, §4].

The form h is said to be *isotropic* if there is an $x \in M \setminus \{0\}$ such that $h(x, x) = 0$, *anisotropic* otherwise. Let (M, h) and (M', h') be two ε -hermitian forms over (A, σ) . If these forms are isometric then we write $h \simeq h'$ for short.

The orthogonal sum induces a commutative monoid structure on the set of isometry classes of non-degenerate ε -hermitian forms over (A, σ) . The *Witt group* of (A, σ) is the quotient group of the Grothendieck group of this commutative monoid by the subgroup generated by *hyperbolic forms* and is denoted by $W^\varepsilon(A, \sigma)$. In the case where $A = K$ and $\varepsilon = 1$, the tensor product can be used to define a ring structure on $W(K, \sigma)$. Moreover, if $\sigma = \text{Id}_K$, this ring is the usual Witt ring $W(K)$. The tensor product endows $W^\varepsilon(A, \sigma)$ with a $W(K, \sigma|_K)$ -module structure and hence with a $W(F)$ -module structure via the usual restriction map $W(F) \rightarrow W(K, \sigma|_K)$.

2.3 Hermitian Pfister forms

The fundamental ideal of the Witt ring $W(K)$ is denoted by $I(K)$ and, for all $n > 0$, the n -th power of this ideal is denoted by $I^n(K)$. The ideal $I^n(K)$ is additively generated by the so-called *n -fold Pfister forms* $\langle\langle a_1, \dots, a_n \rangle\rangle = \langle 1, a_1 \rangle \otimes \dots \otimes \langle 1, a_n \rangle$. The notion of hermitian Pfister form was first defined by Lewis in [10] in the following way:

Definition 2.3. An *n -fold hermitian Pfister form* (or a hermitian Pfister form) over (A, σ) is a hermitian form (V, h) over (A, σ) such that $h \simeq \varphi \cdot \langle 1 \rangle$ where φ is an n -fold quadratic Pfister form over F .

It is well-known that quadratic Pfister forms are hyperbolic once they are isotropic. For convenience, we introduce the following definition :

Definition 2.4. Let h be an ε -hermitian form over (A, σ) . We say that h satisfies the property $(I \Rightarrow H)$ if h is hyperbolic whenever it is isotropic.

If $A = K$ (resp. a quaternion division algebra) and $\sigma = -$ (resp. the canonical involution of A), we see easily, via the usual injection of Witt groups $W(A, \sigma) \hookrightarrow W(F)$, that a hermitian Pfister form over (A, σ) satisfies the property $(I \Rightarrow H)$. For quaternion division algebras endowed with orthogonal or unitary involutions, the answer has been given by Serhir :

Theorem 2.5 (Serhir). (1) *Let A be a quaternion division algebra over K and let i, j be two non-zero pure quaternions satisfying $ji = -ij$. Let σ be the orthogonal involution over A defined by the relations $\sigma(i) = -i$ and $\sigma(j) = j$. Then, any hermitian Pfister form over (A, σ) satisfies the property $(I \Rightarrow H)$.*
(2) *Let A be a quaternion division algebra and σ be an involution of the second kind over A . Then, any hermitian Pfister form over (A, σ) satisfies the property $(I \Rightarrow H)$.*

Proof. See [15, Proposition 3.1] for (1), and [16, Théorème 1.4] for (2). \square

We leave it to the reader to verify that Theorem 2.5(1) can be restated as

Theorem 2.6. *Let h be a skew-hermitian form over (Q, γ) . If $h \simeq \varphi \cdot \langle \alpha \rangle$, where $\alpha \in Q^\times$ is a pure quaternion and φ is a quadratic Pfister form over K , then h satisfies the property $(I \Rightarrow H)$.*

Remark 2.7. Let us keep the notations of Theorem 2.6. Let C be the conic associated to Q and $K(C)$ be the function field of C . In [13, Proposition 3.3], Parimala, Sridharan and Suresh have shown that the canonical homomorphism $W^{-1}(Q, \gamma) \rightarrow W^{-1}(Q \otimes_K K(C), \gamma \otimes \text{Id}_{K(C)})$ is injective. Then Theorem 2.6 can also be proved using this result.

2.4 Pfister involutions

In [18, Chapter 9, (9.17)], Shapiro formulates the following conjecture:

Conjecture 2.8. Let $(Q_1, \sigma_1), \dots, (Q_n, \sigma_n)$ be quaternion algebras over K with involutions of the first kind such that $\sigma_1 \otimes \dots \otimes \sigma_n$ is orthogonal. If $Q_1 \otimes_K \dots \otimes_K Q_n$ is split, then $\sigma_1 \otimes \dots \otimes \sigma_n$ is adjoint to an n -fold quadratic Pfister form over K .

In the above conjecture, such an involution $\sigma_1 \otimes \dots \otimes \sigma_n$ is called a *Pfister involution*. For short, we will refer to this conjecture for n quaternion algebras as $\text{PC}(n)$.

Recall that a field K is said to be *linked* if any tensor product of quaternion algebras over K is Brauer-equivalent to a single quaternion algebra. Standard examples of linked fields are finite fields, local fields, global fields, fields of transcendence degree at most 2 over an algebraically closed field or fields of transcendence degree 1 over a real closed field, see [18, 9.14].

Conjecture 2.8 was proved by Shapiro for $n \leq 5$ in [18] and over number fields by Wadsworth and Shapiro in [20]. For $n \leq 4$, there is another proof due

to Bayer-Fluckiger, Parimala and Quéguiner-Mathieu in [1]. This conjecture was also proved differently by Serhir and Tignol in [17] for $n \leq 5$. Moreover, they prove that PC(n) holds for all n over special fields among which number fields and linked fields. Finally, Becher has recently proved this conjecture in general, see [2].

3 Hermitian Pfister forms over multiquaternion algebras with involution

3.1 Preliminary results

In this Subsection, (A, σ) will be a biquaternion algebra with involution, that is $(A, \sigma) = (Q_1, \sigma_1) \otimes_K (Q_2, \sigma_2)$ where Q_1, Q_2 are quaternion algebras. We suppose that A is not division and prove that (A, σ) can be decomposed (as algebra with involution) in a special way.

Proposition 3.1. *Suppose that the index of A is 2 and that σ is of the first kind. Then $(A, \sigma) \simeq (M_2(K), \tau_1) \otimes_K (Q, \tau)$ where Q is a quaternion division algebra. Moreover, the involution τ_1 can be chosen of orthogonal type.*

Proof. If σ is symplectic, the result is due to Serhir and Tignol, see [17, Proposition]. Suppose now that σ is orthogonal and let Q be the quaternion division algebra Brauer-equivalent to A . Then $A \simeq \text{End}_Q(V)$ where V is a free Q -module of rank 2. We consider Q endowed with its canonical involution γ and we see σ as an involution on $\text{End}_Q(V)$. The involution σ is adjoint to a skew-hermitian form (V, h) over (Q, γ) . Write $h \simeq \langle a_1, a_2 \rangle$. As σ is a Pfister involution, the discriminant of h is trivial hence $\text{Nrd}_{Q/K}(a_1) = \text{Nrd}_{Q/K}(a_2) \in K^\times / K^{\times 2}$. By [3, Proposition 5.1], there exists $\lambda \in K^\times$ such that $h \simeq \langle 1, \lambda \rangle \cdot \langle a_1 \rangle$. We obtain the desired decomposition with τ_1 adjoint to the quadratic form $\langle 1, \lambda \rangle$ over K and τ adjoint to the skew-hermitian form $\langle a_1 \rangle$ over (Q, γ) . \square

Proposition 3.2. *Suppose now that A is split and that σ is an arbitrary involution over A . Then $(A, \sigma) \simeq (M_2(K), \tau_1) \otimes_K (M_2(K), \tau_2)$.*

Proof. If σ is orthogonal, the statement follows from PC(2). If σ is symplectic, this is due to Serhir and Tignol in [17, Proposition]. Suppose now that σ is unitary. By a theorem due to Albert (see [8, Proposition 2.22]), we can write $(Q_i, \sigma_i) = (D_i, \gamma_i) \otimes_F (K, -)$ where D_i is a quaternion algebra over F and γ_i is its canonical involution for $i = 1, 2$. Then, $(A, \sigma) \simeq ((D_1, \gamma_1) \otimes_F (D_2, \gamma_2)) \otimes_F (K, -)$. As A is split, the index of $D_1 \otimes_F D_2$ is at most 2 and we conclude by using Proposition 3.1 in the orthogonal case. \square

3.2 Isotropic hermitian Pfister forms over multiquaternion algebras with involution

This Subsection is devoted to the proof of the following theorem which is a more detailed version of Theorem 1.1:

Theorem 3.3. *Let $(A, \sigma) = (Q_1, \sigma_1) \otimes_K \cdots \otimes_K (Q_n, \sigma_n)$ be a multiquaternion algebra with involution.*

(1) *Suppose that $r = 0$. Then, any hermitian Pfister form over (A, σ) satisfies the property $(I \Rightarrow H)$.*

(2) *Suppose that $r \geq 1$. If h is a hermitian Pfister form over (A, σ) which is isotropic, then $2^{r-1} \times h$ is hyperbolic.*

We first need the following:

Lemma 3.4. *Let $(B, \tau) \otimes_K (C, \nu)$ be a central simple algebra with involution over K such that $(C, \nu) = (M_2(K), \nu_1) \otimes_K \cdots \otimes_K (M_2(K), \nu_t)$. Let $\Phi : W(B \otimes_K C, \tau \otimes \nu) \simeq W^\eta(B, \tau)$ be a $W(K, \sigma|_K)$ -module isomorphism obtained by Morita equivalence, where $\eta = 1$ if ν is orthogonal or unitary, $\eta = -1$ if ν is symplectic. Let h be an n -fold hermitian Pfister form over $(B, \tau) \otimes_K (C, \nu)$. In the case where ν is orthogonal or unitary, $\Phi(h)$ is similar to an $(n+t)$ -fold hermitian Pfister form over (B, τ) . In the case where ν is symplectic, h is hyperbolic over (B, τ) .*

Proof. For the definition of Φ , we refer to [7, Chapter 1, Theorem 9.3.5] or [5, Théorème 2.2.1]. Note that $\tau \otimes \nu$ is adjoint to the hermitian form $\langle 1 \rangle$ over $(B, \tau) \otimes_K (C, \nu)$. Furthermore, $\tau \otimes \nu$ is isomorphic to the involution adjoint to the η -hermitian form $\Phi(\langle 1 \rangle)$ over (B, τ) . Suppose first that ν is symplectic. Then ν is adjoint to a skew-symmetric bilinear and hence hyperbolic form over K . This implies that $\Phi(\langle 1 \rangle)$, $\langle 1 \rangle$ and finally h are hyperbolic. Suppose now that ν is orthogonal or unitary. Then, for all $i = 1, \dots, t$, ν_i is adjoint to a 1-fold hermitian Pfister form over $(K, \sigma|_K)$. Therefore $\nu_1 \otimes \cdots \otimes \nu_t$ is adjoint to a t -fold hermitian Pfister form over $(K, \sigma|_K)$. Thus $\Phi(\langle 1 \rangle)$ is similar to a t -fold hermitian Pfister form over (B, τ) hence $\Phi(h)$ is similar to a $(n+t)$ -fold hermitian Pfister form over (B, τ) . \square

We are now ready to prove the theorem.

Proof. (1) For any division algebras $Q_i, Q_j \in \{Q_1, \dots, Q_n\}$ with $Q_i \simeq Q_j$ with $i \neq j$, we can write $(Q_i, \sigma_i) \otimes_K (Q_j, \sigma_j) = (M_2(K), \nu_i) \otimes_K (M_2(K), \nu_j)$, by Proposition 3.2. It follows that $(A, \sigma) \simeq (M_2(K), \nu_1) \otimes_K \cdots \otimes_K (M_2(K), \nu_n)$. Let h be an isotropic hermitian Pfister form over (A, σ) . We then apply Lemma 3.4 with $(B, \tau) = (K, \sigma|_K)$ and $(C, \nu) = (A, \sigma)$. If σ is symplectic then h is hyperbolic. If σ is orthogonal or unitary, let $\Phi : W(A, \sigma) \simeq W(K, \sigma|_K)$ be as in the lemma. As $\Phi(h)$ is isotropic and is similar to a hermitian Pfister form over $(K, \sigma|_K)$, $\Phi(h)$ hence h is hyperbolic.

(2) We proceed by induction on r . We first need the following

Claim. We claim that we can suppose that $n = r$. We may suppose that Q_1, \dots, Q_s are division and that Q_{s+1}, \dots, Q_n are split. Proceeding as in (1) and after reindexing, we can write $(A, \sigma) \simeq (Q_1, \sigma_1) \otimes_K \cdots \otimes_K (Q_r, \sigma_r) \otimes_K (M_2(K), \nu_1) \otimes_K \cdots \otimes_K (M_2(K), \nu_{n-r})$ where Q_1, \dots, Q_r are quaternion division algebras such that $Q_i \not\simeq Q_j$ for $i \neq j$. Let h be an isotropic hermitian Pfister form over (A, σ) . We apply Lemma 3.4 taking $(B, \tau) = (Q_1, \sigma_1) \otimes_K \cdots \otimes_K (Q_r, \sigma_r)$ and $(C, \nu) = (M_2(K), \nu_1) \otimes_K \cdots \otimes_K (M_2(K), \nu_{n-r})$. Let $\Phi : W(A, \sigma) \simeq W^\eta(Q_1 \otimes_K \cdots \otimes_K Q_r, \sigma_1 \otimes \cdots \otimes \sigma_r)$ be as in the lemma. As $\Phi(h)$ is an isotropic hermitian Pfister form over $(Q_1, \sigma_1) \otimes_K \cdots \otimes_K (Q_r, \sigma_r)$, this establishes the claim.

Suppose $r = 1$. By the claim, we can suppose that $n = 1$. If σ is symplectic, the result is clear. If σ is unitary or orthogonal, the result follows from Theorem

2.5. Suppose now that $r \geq 2$. Again, by the claim, we can suppose that $n = r$. We distinguish three cases.

Suppose first that σ is unitary. By a theorem due to Albert (see [8, Proposition 2.22]), one may write $(Q_r, \sigma_r) = (Q \otimes_F K, \gamma \otimes -)$ where Q is a quaternion algebra over F and γ is its canonical involution. Write $Q = (a, b)_F$ and let $\{1, i, j, k\}$ be a quaternion basis of Q . Set $\lambda_1 = i \otimes 1 \in Q_r$, $\mu_1 = j \otimes 1 \in Q_r$ and $L_1 = F(\lambda_1)$. Then the centralizer of L_1 in Q_r is $L_1 \otimes_F K$. Moreover, as Q_r is division, $L_1 \otimes_F K$ is a field. Now set $\lambda = 1 \otimes \cdots \otimes 1 \otimes \lambda_1$, $\mu = 1 \otimes \cdots \otimes 1 \otimes \mu_1 \in Q_1 \otimes_K \cdots \otimes_K Q_r = A$ and $L = F(\lambda)$. The centralizer of L in A is $\tilde{A} = Q_1 \otimes_K \cdots \otimes_K Q_{r-1} \otimes_K (L_1 \otimes_F K)$. In this case, a transfer map $\pi_1 : W(A, \sigma) \rightarrow W(\tilde{A}, \sigma_1)$ can be defined where $\sigma_1 = \sigma|_{\tilde{A}}$ is unitary (more precisely, we have $A = \tilde{A} \oplus \mu\tilde{A}$ and π_1 is induced by the first projection map). Let $h = \varphi.\langle 1 \rangle$ be an isotropic hermitian Pfister form over (A, σ) , where φ is a quadratic Pfister form over F . Then $\pi_1(h) \simeq \varphi.\langle 1, -\mu^2 \rangle$ is an isotropic hermitian Pfister form over (\tilde{A}, σ_1) hence $2^{r-2} \times \pi_1(h) = 0 \in W(\tilde{A}, \sigma_1)$ by induction hypothesis. We also have $(2^{r-2} \times \varphi).\langle 1, -\mu^2 \rangle = 0 \in W(A, \sigma)$ and, as $\langle 1 \rangle = \langle -\mu^2 \rangle \in W(A, \sigma)$, we finally obtain that $2^{r-1} \times h = 0 \in W(A, \sigma)$.

Suppose now that at least one of the σ_i 's, say σ_r , is symplectic. Write $Q_r = (a, b)_K$ and $\{1, i, j, k\}$ be a quaternion basis of Q_r . Set $\lambda = 1 \otimes \cdots \otimes 1 \otimes i$, $\mu = 1 \otimes \cdots \otimes 1 \otimes j$ and $L = K(\lambda)$. As in the previous case, $\tilde{A} = Q_1 \otimes_K \cdots \otimes_K Q_{r-1} \otimes L$ is the centralizer of L in A and we have the transfer map $\pi_1 : W(A, \sigma) \rightarrow W(\tilde{A}, \sigma_1)$ where $\sigma_1 = \sigma|_{\tilde{A}}$ has unitary type. We conclude by using the previous case and similar calculations.

Last, suppose that all the σ_i 's are orthogonal. Write $Q_r = (a, b)_K$ with $i^2 = a$, $j^2 = b$, $ij = -ji$, $\sigma_r(i) = i$, $\sigma_r(j) = -j$. Then $\gamma = \text{Int}(j) \circ \sigma_r$ is the canonical involution of Q_r . Choose λ and μ as in the previous case. We then have a $W(K)$ -module isomorphism $W(A, \sigma) \simeq W^{-1}(A, \sigma_1 \otimes \cdots \otimes \sigma_{r-1} \otimes \gamma) : h \mapsto \mu h$. Take \tilde{A} as in the previous case and let $\tau = \sigma_1 \otimes \cdots \otimes \sigma_{r-1} \otimes \gamma$ on A and $\tau_2 = \sigma_1 \otimes \cdots \otimes \sigma_{r-1} \otimes \text{id}_L$ on \tilde{A} . Note that τ_2 is orthogonal. As $A = \tilde{A} \oplus \mu\tilde{A}$, the second projection map induces a transfer map $\pi_2 : W^{-1}(A, \tau) \rightarrow W(\tilde{A}, \tau_2)$. If $h = \varphi.\langle 1 \rangle$ is an isotropic hermitian Pfister form over (A, σ) , then $\pi_2(\mu h) = \varphi.\langle 1, -\mu^2 \rangle$ is an isotropic hermitian Pfister form over (\tilde{A}, τ_2) . We conclude as in the unitary case. \square

Remark 3.5. A part of the previous result has already been proved by the first and the third author independently (see [5, Proposition 5.2.10] and [12, Theorem 25.2]).

3.3 About certain submodules of the Witt group of a multiquaternion algebra with involution

The first step in understanding the quadratic forms that belong to a given power of the fundamental ideal of $W(K)$ is the following result (see [9, Chapter X, §5] for more details):

Theorem 3.6 (Arason-Pfister). *Let q be a positive-dimensional anisotropic quadratic form over K . If $q \in I^n(K)$, then $\dim q \geq 2^n$.*

An immediate consequence of this result is the ‘‘Krull Intersection Property’’ for the ideals $I^n(K)$, that is $\bigcap_{n \geq 0} I^n(K) = 0$ in $W(K)$.

For an integer $n \geq 1$, denote by $I^n(A, \sigma)$ the $W(F)$ -submodule of $W(A, \sigma)$ generated by n -fold hermitian Pfister forms over (A, σ) . The purpose of this Subsection is to prove a weak analogue of Theorem 3.6 for the modules $I^n(A, \sigma)$ in a multiquaternion algebra with involution.

Before that, we need to recall further facts and notations. Recall that the *rank* of a hermitian form (V, h) over (A, σ) is defined as the integer p such that $V \simeq S^p$, where S is a simple right A -module. The rank of (V, h) over (A, σ) will be denoted by $\text{rk}_A(h)$. It can be seen that the rank is invariant under Morita equivalence (the proof of this fact can be adapted from [6, Lemma A.5]). Using Morita equivalence, one can also see that for every non-hyperbolic hermitian form over (A, σ) , there exists an anisotropic hermitian form h_{an} over (A, σ) which is unique up to isometry such that $[h] = [h_{\text{an}}] \in W(A, \sigma)$ (see [6, Corollary A.7]). The form h_{an} is called the *anisotropic part* of h .

Theorem 3.7. *Let $(A, \sigma) = (Q_1, \sigma_1) \otimes_K \cdots \otimes_K (Q_m, \sigma_m)$ be a multiquaternion algebra with involution. Let h be a positive-dimensional anisotropic hermitian form over (A, σ) . If $h \in I^n(A, \sigma)$ and if $2^r \times h$ is not hyperbolic over (A, σ) , then $\text{rk}_A(h) \geq 2^{n+m-r}$.*

Proof. We prove the property by induction on r . We may assume that h is an n -fold hermitian Pfister form over (A, σ) . We first need to prove the following

Claim. We claim that we can suppose that $m = r$. Proceeding as in the proof of Theorem 3.3(2), we write $(A, \sigma) \simeq (Q_1, \sigma_1) \otimes_K \cdots \otimes_K (Q_r, \sigma_r) \otimes_K (M_2(K), v_1) \otimes_K \cdots \otimes_K (M_2(K), v_{m-r})$ where Q_1, \dots, Q_r are quaternion division algebras such that $Q_i \not\simeq Q_j$ for $i \neq j$. Applying Lemma 3.4, we can assume that $(A, \sigma) = (Q_1, \sigma_1) \otimes_K \cdots \otimes_K (Q_r, \sigma_r)$ where the Q_i 's are division algebras such that Q_i is not isomorphic to Q_j for $i \neq j$ and that h is an $(n + m - r)$ -fold hermitian Pfister form over (A, σ) thus establishing the claim.

Suppose that $r = 0$. By the claim, we can see h as an $(n+m)$ -fold anisotropic hermitian Pfister form over $(K, \sigma|_K)$ and the property follows from Theorem 3.6. Suppose now that $r \geq 1$. Again, by the claim, we may assume that $m = r$. As in the proof of Theorem 3.3, we have to distinguish three cases. In this proof, we will only focus on the unitary case, the two other cases being similar. Let $\lambda, \mu \in A^\times$, L and \tilde{A} be as in the proof of Theorem 3.3. Put $\tau_1 = \sigma|_{\tilde{A}}$. In this case, we know that $A = \tilde{A} \oplus \mu \tilde{A}$ and there is a transfer map $\pi_1 : W(A, \sigma) \rightarrow W(\tilde{A}, \tau_1)$ induced by the first projection. Write $h = q \cdot \langle 1 \rangle \in W(A, \sigma)$ such that q is an $(n + m - r)$ -fold quadratic Pfister form over F . Then $\pi_1(h) = q \cdot \langle 1, -\mu^2 \rangle$ is an $(n + m - r + 1)$ -fold hermitian Pfister form over (\tilde{A}, τ_1) . The fact that $2^r \times h$ is not hyperbolic over (A, σ) implies that $2^{r-1} \times \pi_1(h)$ is not hyperbolic over (\tilde{A}, τ_1) . Otherwise, as in the proof of Theorem 3.3, we would have $0 = 2^{r-1} \times (q \cdot \langle 1, -\mu^2 \rangle) = 2^r \times h \in W(A, \sigma)$. In particular, we can consider the anisotropic part $\pi_1(h)_{\text{an}}$ of $\pi_1(h)$ and $\pi_1(h)_{\text{an}} \in I^{n+m-r+1}(\tilde{A}, \tau_1)$. By induction hypothesis, we have the following inequalities

$$2 \times \text{rk}_A(h) \geq \text{rk}_{\tilde{A}}(\pi_1(h)) \geq \text{rk}_{\tilde{A}}(\pi_1(h)_{\text{an}}) \geq 2^{n+m-r+1},$$

which lead to $\text{rk}_A(h) \geq 2^{n+m-r}$. \square

Remark 3.8. In the previous statement, suppose that $A = D$ is division. In this case, one has $r = m$, and the rank of h is nothing but the dimension of the underlying D -vector space. The statement says that, if h is anisotropic with $h \in I^n(D, \sigma)$ and if $2^r \times h$ is not hyperbolic over (D, σ) then $\dim h \geq 2^n$. In this manner, we see that Theorem 3.7 is a weak analogue of Theorem 3.6 for the considered submodules.

As an immediate Corollary, we get:

Corollary 3.9. *Let $(A, \sigma) = (Q_1, \sigma_1) \otimes_K \cdots \otimes_K (Q_m, \sigma_m)$ be a multi-quaternion algebra with involution. Then $\bigcap_{n \geq 0} I^n(A, \sigma)$ is a 2^r -torsion submodule of $W(A, \sigma)$.*

3.4 Consequences for Pfister involutions

In this Subsection, we draw some consequences of Theorem 3.3 for multi-quaternion algebras with involution. We are particularly interested in Pfister involutions.

We first want to talk about the weak hyperbolicity of these involutions. Recall that, for any central simple algebra with involution (A, σ) , the n -fold orthogonal sum $\boxplus^n(A, \sigma)$ is defined by $\boxplus^n(A, \sigma) = (M_n(K), *) \otimes_K (A, \sigma)$ where $*$ is the conjugate transpose involution. An algebra with involution (A, σ) is then said to be *weakly hyperbolic* if there is an integer n such that $\boxplus^n(A, \sigma)$ is hyperbolic. This notion has been defined by Unger in [19] (see also [11]). We refer to [8, §6] for basic notions and properties about isotropic and hyperbolic involutions.

Corollary 3.10. *Suppose that (A, σ) is a multi-quaternion algebra with involution. Then, if (A, σ) is isotropic, it is weakly hyperbolic. More precisely, if (A, σ) is isotropic, $\boxplus^m(A, \sigma)$ is hyperbolic where $m = \max(1, 2^{r-1})$.*

Proof. If $r = 0$, the result is clear by Theorem 3.3(1). Otherwise, σ is nothing but the involution which is adjoint to the hermitian form $\langle 1 \rangle$ over (A, σ) . By Theorem 3.3(2), $2^{r-1} \times \langle 1 \rangle$ is hyperbolic whence the corollary. \square

Now, we would like to state consequences of Theorem 3.3 for linked fields. We begin by a general statement.

Theorem 3.11. *Let n be a non-zero positive integer. Suppose that, for any multi-quaternion algebra with orthogonal involution (A, σ) of degree 2^n , the property $(I \Rightarrow H)$ holds for the hermitian form $\langle 1 \rangle$ over $(A \otimes_K L, \sigma \otimes \text{id}_L)$ for any field extension L/K . Then $\text{PC}(n)$ holds.*

Proof. Suppose that A is split. By Morita equivalence, we have a $W(K)$ -module isomorphism $\Phi : W(A, \sigma) \simeq W(K)$. Let $q = \Phi(\langle 1 \rangle)$. We show that q is similar to a Pfister form over K . Let L be an extension of K such that q_L is isotropic. Write A_L for $A \otimes_K L$ and σ_L for $\sigma \otimes \text{id}_L$. We can find a commutative diagram

$$\begin{array}{ccc} W(A, \sigma) & \xrightarrow{\simeq} & W(K) \\ \downarrow & & \downarrow \Psi \\ W(A_L, \sigma_L) & \xrightarrow[\Phi_L]{\simeq} & W(L) \end{array}$$

where the horizontal maps are Morita equivalences, the vertical maps are scalar extensions to L and where $\Psi(q) = q_L$. Then $\Psi(q) = \Phi_L(\langle 1 \rangle)$ is isotropic, hence hyperbolic by hypothesis. This shows that q is similar to a Pfister form over K and that $\text{PC}(n)$ holds. \square

In the case of linked fields, Theorem 3.3 has a particularly nice statement:

Theorem 3.12. *Assume that K is a linked field. Then the property $(I \Rightarrow H)$ holds for any hermitian Pfister form over any multiquaternion algebra with involution of the first kind.*

Proof. It follows from Theorem 3.3. \square

Combining the two previous results, we get the following one which has already been proved (in different ways) by Shapiro in [18, Corollary 9.13] and by Serhir and Tignol in [17, Theorem]:

Corollary 3.13. *Assume that K is a linked field. Then, Conjecture 2.8 holds.*

4 The invariant u_α

In this section, we will always assume that Q is a quaternion division algebra over K endowed with its canonical involution γ .

4.1 The invariant u_α

Let us fix further notations. We denote by $\text{Skew}_1(Q, \gamma)$ the set of isometry classes of non-degenerate 1-dimensional skew-hermitian forms over (Q, γ) and, for any $a \in K^\times$, we put

$$H_a(Q, \gamma) = \{h \in \text{Skew}_1(Q, \gamma) \mid \text{disc } h = a\}.$$

If (E, σ) is a commutative field with involution we denote $\Sigma(E, \sigma)$ the set $\{\sigma(d_1)d_1 + \cdots + \sigma(d_n)d_n \mid d_i \in E, n \geq 1\}$ and $s(E, \sigma)$ the level of (E, σ) , that is $s(E, \sigma) = \sup\{n \in \mathbb{N} \mid n \times \langle 1 \rangle \text{ is anisotropic over } (E, \sigma)\} \in \mathbb{N} \cup \{\infty\}$. If (V, h) is a skew-hermitian form over (Q, γ) , we write $\Delta(h) = \{h(x, x) \mid x \in V \setminus \{0\}\}$.

Let $\alpha \in Q^\times$ be a pure quaternion. Let G_α denote the subgroup of K^\times defined by

$$G_\alpha = \{c \in K^\times \mid \langle c\alpha \rangle \simeq \langle \alpha \rangle \text{ as skew-hermitian forms over } (Q, \gamma)\}.$$

Let $\beta \in Q^\times$ be a pure quaternion anticommuting with α and $b = \beta^2 \in K^\times$. Denote by $N(K(\alpha))$ the norm group of the quadratic extension $K(\alpha)/K$. According to a lemma due to Scharlau, for any $c \in K^\times$, $c \in G_\alpha$ if and only if c is represented by one of the quadratic forms $\langle 1, -a \rangle$ and $\langle b, -ab \rangle$ (see [14, Ch. 10, 3.4]). In other words, we have

$$G_\alpha = N(K(\alpha)^\times) \cup bN(K(\alpha)^\times).$$

It follows that $N(K(\alpha)^\times)$ is a subgroup of index 2 in G_α and that $|K^\times/G_\alpha| = \frac{1}{2}|K^\times/N(K(\alpha)^\times)|$, in the case where $K^\times/N(K(\alpha)^\times)$ is a finite group.

Proposition 4.1. *Let $\alpha \in Q^\times$ be a pure quaternion and let $a = \text{Nrd}_{Q/K}(\alpha)$. Then there is a bijection between the sets $H_a(Q, \gamma)$ and K^\times/G_α .*

Proof. By [3, Proposition 5.1], $H_a(Q, \gamma)$ is the set of isometry classes of 1-dimensional skew-hermitian forms $\langle c\alpha \rangle$ for $c \in K^\times$. We then easily see that the map $\langle c\alpha \rangle \mapsto cG_\alpha$ induces a bijection from $H_a(Q, \gamma)$ to K^\times/G_α . \square

In the sequel, we will need the following technical lemma.

Lemma 4.2. *Let ψ be a skew-hermitian form over (Q, γ) and let $\alpha \in Q^\times$ be a pure quaternion. Let E_α be the centralizer of $K(\alpha)$ in Q . Suppose that $s(E_\alpha, \gamma|_{E_\alpha}) < \infty$. If $\varphi = \psi \perp \langle \alpha \rangle$ is anisotropic then*

$$\Delta(\psi) \cap \alpha\Sigma(E_\alpha, \gamma|_{E_\alpha}) \subsetneq \Delta(\varphi) \cap \alpha\Sigma(E_\alpha, \gamma|_{E_\alpha}).$$

Proof. We write $0 = \gamma(d_0)d_0 + \cdots + \gamma(d_s)d_s$ where $s = s(E_\alpha, \gamma|_{E_\alpha})$ and $d_0, \dots, d_s \in E_\alpha^\times$. We always have $\Delta(\psi) \cap \alpha\Sigma(E_\alpha, \gamma|_{E_\alpha}) \subseteq \Delta(\varphi) \cap \alpha\Sigma(E_\alpha, \gamma|_{E_\alpha})$. Suppose that $\Delta(\psi) \cap \alpha\Sigma(E_\alpha, \gamma|_{E_\alpha}) = \Delta(\varphi) \cap \alpha\Sigma(E_\alpha, \gamma|_{E_\alpha})$. In this situation, we claim that $\alpha(\gamma(d_0)d_0 + \cdots + \gamma(d_i)d_i) \in \Delta(\varphi) \cap \alpha\Sigma(E_\alpha, \gamma|_{E_\alpha})$ for $i = 0, \dots, s$. For $i = s$ this implies that φ is isotropic which is a contradiction. For $i = 0$ the claim follows from the fact that $\alpha(\gamma(d_0)d_0) = \gamma(d_0)\alpha d_0 \in \Delta(\varphi) \cap \alpha\Sigma(E_\alpha, \gamma|_{E_\alpha})$. Now, if we assume that $\alpha(\gamma(d_0)d_0 + \cdots + \gamma(d_{i-1})d_{i-1}) \in \Delta(\varphi) \cap \alpha\Sigma(E_\alpha, \gamma|_{E_\alpha}) = \Delta(\psi) \cap \alpha\Sigma(E_\alpha, \gamma|_{E_\alpha})$, we readily obtain that $\alpha(\gamma(d_0)d_0 + \cdots + \gamma(d_{i-1})d_{i-1}) + \alpha\gamma(d_i)d_i \in \Delta(\varphi) \cap \alpha\Sigma(E_\alpha, \gamma|_{E_\alpha})$. \square

Let $\varepsilon = \pm 1$. Denote by $\text{Sym}^\varepsilon(Q, \gamma)$ the set of ε -symmetric elements of Q with respect to γ , that is the elements α of Q such that $\gamma(\alpha) = \varepsilon\alpha$.

Definition 4.3. Let $\alpha \in Q^\times$ be an ε -symmetric element. We define the invariant $u_\alpha(K)$ to be the supremum over the dimension of all quadratic forms φ over K such that the form $\varphi \cdot \langle \alpha \rangle$, as an ε -hermitian form over (Q, γ) , is anisotropic.

It is clear from the definition that $u_\alpha(K) \leq u(K)$. The following example shows that these two invariants can be equal or different and that the invariant u_α can be finite or infinite.

Example 4.4. Take $K = \mathbb{R}$ and Q to be Hamilton's quaternion algebra endowed with its canonical involution. Then $u(K) = \infty = u_\alpha(K)$ for any $\alpha \in \text{Sym}^1(Q, \gamma)$. If $\alpha \in \text{Sym}^{-1}(Q, \gamma)$, it is easy to see that $u_\alpha(K) = 1$.

4.2 Upper bounds for the invariant u_α

Let $\alpha \in \text{Sym}^1(Q, \gamma)$. If $u_\alpha(K) = \infty$, then $u(K) = u_\alpha(K) = \infty$. If $u_\alpha(K)$ is finite it is easily seen, via the usual injection $W(Q, \gamma) \hookrightarrow W(K)$, that $4u_\alpha(K) \leq u(K)$.

From now on, we suppose that α is a skew-symmetric element in Q^\times with respect to its canonical involution γ and we write $a = \text{Nrd}_{Q/K}(\alpha)$.

In this situation, we obtain an analogue of Kneser's result mentioned in the Introduction.

Proposition 4.5. *Suppose that K is a non-real field. Then $u_\alpha(K) \leq |K^\times/G_\alpha|$.*

Proof. Let $\varphi \cdot \langle \alpha \rangle$ be an anisotropic skew-hermitian form over (Q, γ) where $\varphi = \langle a_1, \dots, a_n \rangle$ is a quadratic form over K , $a_i \in K^\times$. Denote $h_i = \langle a_1, \dots, a_i \rangle \cdot \langle \alpha \rangle$. According to Lemma 4.2,

$$\Delta(h_i) \cap \alpha_{i+1} \Sigma(K(\alpha), \gamma|_{K(\alpha)}) \subsetneq \Delta(h_{i+1}) \cap \alpha_{i+1} \Sigma(K(\alpha), \gamma|_{K(\alpha)}),$$

where $\alpha_{i+1} = a_{i+1} \alpha$. As K is non-real, we have $\Sigma(K(\alpha), \gamma|_{K(\alpha)}) = K$. Consequently for every i we have $\alpha_{i+1} \Sigma(K(\alpha), \gamma|_{K(\alpha)}) = \alpha \cdot K$. Therefore we have a sequence of inclusions

$$\Delta(h_1) \cap K \cdot \alpha \subsetneq \Delta(h_2) \cap K \cdot \alpha \subsetneq \dots \subsetneq \Delta(h_n) \cap K \cdot \alpha \subset H_a(Q, \gamma).$$

We conclude that $|H_a(Q, \gamma)| \geq n$. Thus $u_\alpha(K) \leq |H_a(Q, \gamma)| = |K^\times/G_\alpha|$ by Proposition 4.1. \square

Remark 4.6. It follows from the proof that the condition $s(K(\alpha), \gamma|_{K(\alpha)}) < \infty$ is actually sufficient to have the conclusion of the previous Proposition.

We now come to the proof of Theorem 1.3:

Theorem. *Suppose that $s(K(\alpha), \gamma|_{K(\alpha)}) \leq 2$. Then, either $u_\alpha(K) = |K^\times/G_\alpha|$ or $u_\alpha(K) \leq \frac{1}{2}|K^\times/G_\alpha|$.*

We first need the following:

Lemma 4.7. *Suppose that $u_\alpha(K) < |K^\times/G_\alpha| < \infty$ and that φ is a quadratic form over K . If φ has a diagonalization such that it has more than $|K^\times/G_\alpha|/2$ distinct entries modulo the group G_α , then $\varphi \cdot \langle \alpha \rangle$ is isotropic over (Q, γ) .*

Proof. We can naturally consider K^\times/G_α as a $\mathbb{Z}/2\mathbb{Z}$ -vector space. Let $\{x_1, \dots, x_r\}$ be a basis of this vector space. Let $\ell = |K^\times/G_\alpha|$. The ℓ -dimensional skew-hermitian form $h = \langle \langle x_1, \dots, x_r \rangle \rangle \cdot \langle \alpha \rangle$ is isotropic over (Q, γ) because $\ell > u_\alpha(K)$. It follows from Theorem 2.6 that h is hyperbolic.

Now let φ be any quadratic form which has a diagonalization consisting in distinct entries modulo G_α . In particular $\varphi \cdot \langle \alpha \rangle$ is a subform of the hyperbolic form h . Consequently if $\dim \varphi > \ell/2$, $\varphi \cdot \langle \alpha \rangle$ must be isotropic. \square

We are now ready to prove Theorem 1.3:

Proof. We may assume that $|K^\times/G_\alpha| < \infty$. We already know that $u_\alpha(K) \leq |K^\times/G_\alpha|$ (see Remark 4.6), so assume that $u_\alpha(K) < |K^\times/G_\alpha|$. If $s(K(\alpha), \gamma|_{K(\alpha)}) = 1$ then for every quadratic form φ which has two entries which are equal modulo G_α in some diagonalization, the form $\varphi \cdot \langle \alpha \rangle$ is isotropic over (Q, γ) . Indeed, the subform of $\varphi \cdot \langle \alpha \rangle$ given by these two coefficients is already defined and isotropic over $(K(\alpha), \gamma|_{K(\alpha)})$. Thus that if $\varphi \cdot \langle \alpha \rangle$ is anisotropic over (Q, γ) then all its entries are distinct modulo G_α . It follows from Lemma 4.7 that $u_\alpha(K) \leq \frac{1}{2}|K^\times/G_\alpha|$.

So let assume that $s(K(\alpha), \gamma|_{K(\alpha)}) = 2$. We consider two cases: $-1 \in G_\alpha$ and $-1 \notin G_\alpha$.

If $-1 \in G_\alpha$ then $\langle \alpha \rangle \simeq \langle -\alpha \rangle$ as skew-hermitian forms over (Q, γ) . Let φ be a quadratic form over K . If φ has more than two equal entries modulo G_α in some diagonalization, say $\varphi \simeq \langle a, b, \dots \rangle$ where $a = b \pmod{G_\alpha}$, then $\varphi \cdot \langle \alpha \rangle \simeq \langle a, a, \dots \rangle \cdot \langle \alpha \rangle \simeq \langle a, -a, \dots \rangle \cdot \langle \alpha \rangle$, so $\varphi \cdot \langle \alpha \rangle$ is isotropic. It follows

that, if $\varphi \cdot \langle \alpha \rangle$ is anisotropic, then all its entries are distinct modulo G_α . Now, Proposition 4.7 implies that $u_\alpha(K) \leq \frac{1}{2}|H_a(Q, \gamma)|$.

Assume that $-1 \notin G_\alpha$. Let $\{-1, a_2, \dots, a_r\}$ be a $\mathbb{Z}/2\mathbb{Z}$ -basis for K^\times/G_α and let $\ell = |K^\times/G_\alpha|$. Then the ℓ -dimensional form $h = \langle\langle 1, a_2, \dots, a_r \rangle\rangle \otimes \langle \alpha \rangle$ is isotropic over (Q, γ) as $u_\alpha(K) < \ell$. By Theorem 2.6, h is hyperbolic. Let φ be a quadratic form over K such that $\varphi \cdot \langle \alpha \rangle$ is anisotropic. We are going to show that $\dim \varphi \leq \frac{\ell}{2}$ which completes the proof.

First note, that in every diagonalization of φ , every entry repeats at most 2 times modulo G_α otherwise $\varphi \cdot \langle \alpha \rangle$ contains a subform isometric to $\langle a, a, a \rangle \cdot \langle \alpha \rangle$ for some $a \in K^\times$ which is isotropic because $s(K(\alpha), \gamma|_{K(\alpha)}) = 2$.

We may now write $\varphi \simeq 2\langle x_1 \rangle \perp \dots \perp 2\langle x_m \rangle \perp \langle x_{m+1}, \dots, x_n \rangle$ where $x_i \neq x_j$ modulo G_α for $i \neq j$. We also have $x_i \neq -x_j$ modulo G_α for $i \neq j$ because $\varphi \cdot \langle \alpha \rangle$ is anisotropic. So we may assume that $x_i \neq \pm x_j$ modulo G_α for $i \neq j$.

As already mentioned for any $a \in K^\times$ the form $\langle a, a, a \rangle \cdot \langle \alpha \rangle$ is isotropic. Using Theorem 2.6, we obtain

$$\langle a, a \rangle \cdot \langle \alpha \rangle \simeq \langle -a, -a \rangle \cdot \langle \alpha \rangle. \quad (1)$$

Let S be the $\mathbb{Z}/2\mathbb{Z}$ -subspace of K^\times/G_α generated by a_2, \dots, a_r . We have $K^\times/G_\alpha = -S \cup S$. The definition of the form h implies that $h = \perp_{s \in S} \langle s, s \rangle \cdot \langle \alpha \rangle$. For every i , $1 \leq i \leq n$ we have either $x_i \in S$ or $x_i \in -S$. In the first case, we have $2\langle x_i \rangle \cdot \langle \alpha \rangle \subset h$. In the second case, using (1), we obtain $2\langle x_i \rangle \cdot \langle \alpha \rangle \simeq 2\langle -x_i \rangle \cdot \langle \alpha \rangle \subset h$.

Since $x_i \neq \pm x_j$ modulo G_α for $i \neq j$ we conclude that $2\langle x_1, \dots, x_n \rangle \cdot \langle \alpha \rangle$ is isometric to a subform of h . Therefore $\varphi \cdot \langle \alpha \rangle$ is isometric to a subform of h . Since h is hyperbolic and $\varphi \cdot \langle \alpha \rangle$ is anisotropic, we obtain $\dim \varphi \leq \frac{1}{2} \dim h = \ell/2$. \square

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