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# Radial extensions in fractional Sobolev spaces 

Haim Brezis ${ }^{(1),(2)}$, Petru Mironescu ${ }^{(3)}$, Itai Shafrir ${ }^{(4)}$

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#### Abstract

Given $f: \partial(-1,1)^{n} \rightarrow \mathbb{R}$, consider its radial extension $T f(X):=f\left(X /\|X\|_{\infty}\right), \forall X \in$ $[-1,1]^{n} \backslash\{0\}$. In "On some questions of topology for $S^{1}$-valued fractional Sobolev spaces" (RACSAM 2001), the first two authors (HB and PM) stated the following auxiliary result (Lemma D.1). If $0<s<1,1<p<\infty$ and $n \geq 2$ are such that $1<s p<n$, then $f \mapsto T f$ is a bounded linear operator from $W^{s, p}\left(\partial(-1,1)^{n}\right)$ into $W^{s, p}\left((-1,1)^{n}\right)$. The proof of this result contained a flaw detected by the third author (IS). We present a correct proof. We also establish a variant of this result involving higher order derivatives and more general radial extension operators. More specifically, let $B$ be the unit ball for the standard Euclidean norm $\left|\mid\right.$ in $\mathbb{R}^{n}$, and set $U_{a} f(X):=|X|^{a} f(X /|X|)$, $\forall X \in \bar{B} \backslash\{0\}, \forall f: \partial B \rightarrow \mathbb{R}$. Let $a \in \mathbb{R}, s>0,1 \leq p<\infty$ and $n \geq 2$ be such that ( $s-a) p<n$. Then $f \mapsto U_{a} f$ is a bounded linear operator from $W^{s, p}(\partial B)$ into $W^{s, p}(B)$.


In [1], the first two authors stated the following
Lemma 1. ([1, Lemma D.1]) Let $0<s<1,1<p<\infty$ and $n \geq 2$ be such that $1<s p<n$. Let

$$
\begin{equation*}
Q:=(-1,1)^{n} . \tag{1}
\end{equation*}
$$

Set

$$
\begin{equation*}
T f(X):=f\left(X /\|X\|_{\infty}\right), \forall X \in \bar{Q} \backslash\{0\}, \forall f: \partial Q \rightarrow \mathbb{R} ; \tag{2}
\end{equation*}
$$

here, $\left\|\|_{\infty}\right.$ is the sup norm in $\mathbb{R}^{n}$. Then $f \mapsto T f$ is a bounded linear operator from $W^{s, p}(\partial Q)$ into $W^{s, p}(Q)$.

The argument presented in [1] does not imply the conclusion of Lemma 1. Indeed, it is established in [1] (see estimate (D.3) there) that

$$
|T f|_{W^{s, p}(Q)}^{p} \leq C \int_{\partial Q} \int_{\partial Q} \frac{|f(x)-f(y)|^{p}}{\|x-y\|_{\infty}^{n+s p}} d \sigma(x) d \sigma(y) .
$$

However, this does not imply the desired conclusion in Lemma 1, for which we need the stronger estimate

$$
|T f|_{W^{s, p}(Q)}^{p} \leq C \int_{\partial Q} \int_{\partial Q} \frac{|f(x)-f(y)|^{p}}{\|x-y\|_{\infty}^{n-1+s p}} d \sigma(x) d \sigma(y) .
$$

In what follows, we establish the following slight generalization of Lemma 1.

[^0]Lemma 2. Let $0<s \leq 1,1 \leq p<\infty$ and $n \geq 2$ be such that $s p<n$. Let $Q, T$ be as in (1)-(2). Then $f \mapsto T f$ is a bounded linear operator from $W^{s, p}(\partial Q)$ into $W^{s, p}(Q)$.

Lemma 2 can be generalized beyond one derivative, but for this purpose it is necessary to work on unit spheres arising from norms smoother that \|\| $\|_{\infty}$. We consider for example maps $f: \partial B \rightarrow \mathbb{R}$, with

$$
\begin{equation*}
B:=\text { the Euclidean unit ball in } \mathbb{R}^{n} \text {. } \tag{3}
\end{equation*}
$$

For $a \in \mathbb{R}$, set

$$
\begin{equation*}
U_{a} f(X):=|X|^{a} f(X /|X|), \forall X \in \bar{B} \backslash\{0\}, \forall f: \partial B \rightarrow \mathbb{R} ; \tag{4}
\end{equation*}
$$

here, $\left|\mid\right.$ is the standard Euclidean norm in $\mathbb{R}^{n}$.
We will prove the following
Lemma 3. Let $a \in \mathbb{R}, s>0,1 \leq p<\infty$ and $n \geq 2$ be such that $(s-a) p<n$. Then $f \mapsto U_{a} f$ is a bounded linear operator from $W^{s, p}(\partial B)$ into $W^{s, p}(B)$.

It is possible to establish directly Lemma 2 by adapting some arguments presented in Step 3 in the proof of Lemma 4.1 in [2]. However, we will derive it from Lemma 3.
Proof of Lemma 2 using Lemma 3 Let

$$
\Phi: \mathbb{R}^{n} \rightarrow \mathbb{R}^{n}, \Phi(X):=\left\{\begin{array}{ll}
\frac{|X|}{\|X\|_{\infty}} X, & \text { if } X \neq 0 \\
0, & \text { if } X=0
\end{array}, \Lambda:=\Phi_{\mid \bar{B}} \text { and } \Psi:=\Phi_{\mid \partial B} .\right.
$$

Clearly,

$$
\begin{equation*}
\Lambda: \bar{B} \rightarrow \bar{Q}, \Psi: \partial B \rightarrow \partial Q \text { are bi-Lipschitz homeomorphisms } \tag{5}
\end{equation*}
$$

and

$$
\begin{equation*}
T f=\left[U_{0}(f \circ \Psi)\right] \circ \Lambda^{-1} \tag{6}
\end{equation*}
$$

Using (5) and the fact that $0<s \leq 1$, we find that

$$
\begin{equation*}
f \mapsto f \circ \Psi \text { is a bounded linear operator from } W^{s, p}(\partial Q) \text { into } W^{s, p}(\partial B) \tag{7}
\end{equation*}
$$

and

$$
\begin{equation*}
g \mapsto g \circ \Lambda^{-1} \text { is a bounded linear operator from } W^{s, p}(B) \text { into } W^{s, p}(Q) . \tag{8}
\end{equation*}
$$

We obtain Lemma 2 from (6)-(8) and Lemma 3 (with $a=0$ ). The same argument shows that the conclusion of Lemma 2 holds for the unit sphere and ball of any norm in $\mathbb{R}^{n}$.

Proof of Lemma 3 Consider $a, s, p$ and $n$ such that

$$
\begin{equation*}
a \in \mathbb{R}, s>0,1 \leq p<\infty, n \geq 2 \text { and }(s-a) p<n \tag{9}
\end{equation*}
$$

Considering spherical coordinates on $B$, we obtain that

$$
\begin{align*}
\left\|U_{a} f\right\|_{L^{p}(B)}^{p} & =\int_{0}^{1} \int_{\partial B} r^{n-1}\left|U_{a} f(r x)\right|^{p} d \sigma(x) d r \\
& =\int_{0}^{1} \int_{\partial B} r^{n-1+a p}|f(x)|^{p} d \sigma(x) d r=\frac{1}{n+a p}\|f\|_{L^{p}(\partial B)}^{p} . \tag{10}
\end{align*}
$$

Here, we have used the fact that, by (9), we have $n+a p>n-(s-a) p>0$.
In view of (10), it suffices to establish the estimate

$$
\begin{equation*}
\left|U_{a} f\right|_{W^{s, p}(B)}^{p} \leq C\|f\|_{W^{s, p}(\partial B)}^{p}, \forall f \in W^{s, p}(\partial B), \tag{11}
\end{equation*}
$$

for some appropriate $C=C_{a, s, p, n}$ and semi-norm $\left|\left.\right|_{W^{s, p}}\right.$ on $W^{s, p}(B)$.
Step 1. Proof of (11) when $0<s<1$. We consider the standard Gagliardo semi-norm on $W^{s, p}(B)$. We have

$$
\begin{aligned}
\left|U_{a} f\right|_{W^{s, p}(B)}^{p} & =\int_{B} \int_{B} \frac{\left|U_{a} f(X)-U_{a} f(Y)\right|^{p}}{|X-Y|^{n+s p}} d X d Y \\
& =\int_{0}^{1} \int_{0}^{1} \int_{\partial B} \int_{\partial B} r^{n-1} \rho^{n-1} \frac{\left|U_{a} f(r x)-U_{a} f(\rho y)\right|^{p}}{|r x-\rho y|^{n+s p}} d \sigma(x) d \sigma(y) d r d \rho \\
& =\int_{0}^{1} \int_{0}^{1} \int_{\partial B} \int_{\partial B} r^{n-1} \rho^{n-1} \frac{\left|r^{a} f(x)-\rho^{a} f(y)\right|^{p}}{|r x-\rho y|^{n+s p}} d \sigma(x) d \sigma(y) d r d \rho \\
& =2 \int_{\partial B} \int_{\partial B} \int_{0}^{1} \int_{0}^{r} r^{n-1} \rho^{n-1} \frac{\left|r^{a} f(x)-\rho^{a} f(y)\right|^{p}}{|r x-\rho y|^{n+s p}} d \rho d r d \sigma(x) d \sigma(y) .
\end{aligned}
$$

With the change of variable $\rho=t r, t \in[0,1]$, we find that

$$
\begin{aligned}
\left|U_{a} f\right|_{W^{s, p}(B)}^{p} & =2 \int_{0}^{1} r^{n-(s-a) p-1} d r \int_{\partial B} \int_{\partial B} \int_{0}^{1} t^{n-1} \frac{\left|f(x)-t^{a} f(y)\right|^{p}}{|x-t y|^{n+s p}} d t d \sigma(x) d \sigma(y) \\
& =\frac{2}{n-(s-a) p} \int_{\partial B} \int_{\partial B} \int_{0}^{1} k(x, y, t) d t d \sigma(x) d \sigma(y),
\end{aligned}
$$

with

$$
k(x, y, t):=t^{n-1} \frac{\left|f(x)-t^{a} f(y)\right|^{p}}{|x-t y|^{n+s p}}, \forall x, y \in \partial B, \forall t \in[0,1] .
$$

In order to complete this step, it thus suffices to establish the estimates

$$
\begin{align*}
& I_{1}:=\int_{\partial B} \int_{\partial B} \int_{0}^{1 / 2} k(x, y, t) d t d \sigma(x) d \sigma(y) \leq C\|f\|_{L^{p}(\partial B)}^{p},  \tag{12}\\
& I_{2}:=\int_{\partial B} \int_{\partial B} \int_{1 / 2}^{1} \frac{|f(x)-f(y)|^{p}}{|x-t y|^{n+s p}} d t d \sigma(x) d \sigma(y) \leq C| |_{W^{s, p}(\partial B)}^{p},  \tag{13}\\
& I_{3}:=\int_{\partial B} \int_{\partial B} \int_{1 / 2}^{1} \frac{\left|\left(1-t^{a}\right) f(y)\right|^{p}}{|x-t y|^{n+s p}} d t d \sigma(x) d \sigma(y) \leq C\|f\|_{L^{p}(\partial B)}^{p} ; \tag{14}
\end{align*}
$$

here, $\left|\left.\right|_{W^{s, p}(\partial B)}\right.$ is the standard Gagliardo semi-norm on $\partial B$.
In the above and in what follows, $C$ denotes a generic finite positive constant independent of $f$, whose value may change with different occurrences.

Using the obvious inequalities

$$
\begin{aligned}
& |x-t y| \geq 1-t \geq 1 / 2, \forall x, y \in \partial B, \quad \forall t \in[0,1 / 2], \\
& \left|f(x)-t^{a} f(y)\right| \leq\left(1+t^{a}\right)(|f(x)|+|f(y)|),
\end{aligned}
$$

and the fact that, by (9), we have $n+a p>0$, we find that

$$
I_{1} \leq C \int_{0}^{1 / 2}\left(t^{n-1}+t^{n-1+a p}\right) d t\|f\|_{L^{p}(\partial B)}^{p} \leq C\|f\|_{L^{p}(\partial B)}^{p},
$$

so that (12) holds.
In order to obtain (13), it suffices to establish the estimate

$$
\begin{equation*}
\int_{1 / 2}^{1} \frac{1}{|x-t y|^{n+s p}} d t \leq \frac{C}{|x-y|^{n-1+s p}}, \forall x, y \in \partial B . \tag{15}
\end{equation*}
$$

Set $A:=\langle x, y\rangle \in[-1,1]$. If $A \leq 0$, then $|x-t y| \geq 1, \forall t \in[1 / 2,1]$, and then (15) is clear. Assuming $A \geq 0$ we find, using the change of variable $t=A+\left(1-A^{2}\right)^{1 / 2} \tau$,

$$
\begin{aligned}
\int_{1 / 2}^{1} \frac{1}{|x-t y|^{n+s p}} d t & \leq \int_{\mathbb{R}} \frac{1}{|x-t y|^{n+s p}} d t=\int_{\mathbb{R}} \frac{1}{\left(t^{2}+1-2 A t\right)^{(n+s p) / 2}} d t \\
& =\frac{1}{\left(1-A^{2}\right)^{(n-1+s p) / 2}} \int_{\mathbb{R}} \frac{1}{\left(\tau^{2}+1\right)^{(n+s p) / 2}} d \tau \\
& =\frac{C}{\left(1-A^{2}\right)^{(n-1+s p) / 2}} \leq \frac{C}{(2-2 A)^{(n-1+s p) / 2}}=\frac{C}{|x-y|^{n-1+s p}},
\end{aligned}
$$

and thus (15) holds again. This completes the proof of (13).
In order to prove (14), we note that

$$
\left|1-t^{a}\right|^{p} \leq C(1-t)^{p}, \forall t \in[1 / 2,1],
$$

and that the integral

$$
J:=\int_{1 / 2}^{1} \int_{\partial B} \frac{(1-t)^{p}}{|x-t y|^{n+s p}} d \sigma(x) d t
$$

does not depend on $y \in \partial B$.
By the above, we have

$$
I_{3} \leq C \int_{1 / 2}^{1} \int_{\partial B} \int_{\partial B} \frac{(1-t)^{p}|f(y)|^{p}}{|x-t y|^{n+s p}} d \sigma(x) d \sigma(y) d t=C J\|f\|_{L^{p}(\partial B)}^{p},
$$

and thus (14) amounts to proving that $J<\infty$. Since $J$ does not depend on $y$, we may assume that $y=(0, \ldots, 0,1)$. Expressing $J$ in spherical coordinates and using the change of variable $t=1-\tau, \tau \in[0,1 / 2]$, we find that

$$
J=C \int_{1 / 2}^{1} \int_{0}^{\pi} \frac{\tau^{p} \sin ^{n-1} \theta}{\left(\tau^{2}+4(1-\tau) \sin ^{2} \theta / 2\right)^{(n+s p) / 2}} d \theta d \tau .
$$

When $\tau \in[0,1 / 2]$ and $\theta \in[0, \pi]$, we have

$$
\begin{aligned}
\frac{\tau^{p} \sin ^{n-1} \theta}{\left(\tau^{2}+4(1-\tau) \sin ^{2} \theta / 2\right)^{(n+s p) / 2}} & \leq C \frac{\tau^{p} \sin ^{n-1} \theta}{(\tau+\sin \theta / 2)^{n+s p}} \leq C \frac{\tau^{p} \sin ^{n-1} \theta / 2 \cos \theta / 2}{(\tau+\sin \theta / 2)^{n+s p}} \\
& \leq C(\tau+\sin \theta / 2)^{p-s p-1} \cos \theta / 2 .
\end{aligned}
$$

Inserting the last inequality into the formula of $J$, we find that

$$
\begin{aligned}
J & \leq C \int_{0}^{1 / 2} \int_{0}^{\pi}(\tau+\sin \theta / 2)^{p-s p-1} \cos \theta / 2 d \theta d \tau \\
& =C \int_{0}^{1 / 2} \int_{0}^{1}(\tau+\xi)^{p-s p-1} d \xi d \tau<\infty,
\end{aligned}
$$

the latter inequality following from $p-s p>0$. This completes the proof of (14) and Step 1.

Step 2. Proof of (11) when $s \geq 1$. We will reduce the case $s \geq 1$ to the case $0 \leq s<1$. Using the linearity of $f \mapsto U_{a} f$ and a partition of unity, we may assume with no loss of generality that supp $f$ is contained in a spherical cap of the form $\{x \in \partial B ;|x-\mathbf{e}|<\varepsilon\}$ for some $\mathbf{e} \in \partial B$ and sufficiently small $\varepsilon$. We may further assume that $\mathbf{e}=(0,0, \ldots, 0,1)$, and thus

$$
\begin{equation*}
f \in W^{s, p}(\partial B ; \mathbb{R}), \operatorname{supp} f \subset \mathscr{E}:=\{x \in \partial B ;|x-(0,0, \ldots, 0,1)|<\varepsilon\} . \tag{16}
\end{equation*}
$$

Let

$$
\mathscr{S}:=\{x \in \partial B ;|x-(0,0, \ldots, 0,1)| \leq 2 \varepsilon\} \text { and } \mathscr{H}:=\mathbb{R}^{n-1} \times\{1\} .
$$

Consider the projection $\Theta$ with vertex 0 of

$$
\mathbb{R}_{+}^{n}:=\left\{X=\left(X^{\prime}, X_{n}\right) \in \mathbb{R}^{n-1} \times \mathbb{R} ; X_{n}>0\right\}
$$

onto $\mathscr{H}$, given by the formula $\Theta\left(X^{\prime}, X_{n}\right)=\left(X^{\prime} / X_{n}, 1\right)$. The restriction $\Pi$ of $\Theta$ to $\mathscr{S}$ maps $\mathscr{S}$ onto $\mathscr{N}:=\mathscr{B} \times\{1\}$, with

$$
\mathscr{B}:=\left\{X^{\prime} \in \mathbb{R}^{n-1} ;\left|X^{\prime}\right| \leq r:=2 \varepsilon \sqrt{1-\varepsilon^{2}} /\left(1-2 \varepsilon^{2}\right)\right\},
$$

and is a smooth diffeomorphism between these two sets. We choose $\varepsilon$ such that $r=1 / 2$, and thus $\mathscr{B} \subset\left\{X^{\prime} \in \mathbb{R}^{n-1} ;\left\|X^{\prime}\right\|_{\infty} \leq 1 / 2\right\}$.

Set

$$
g\left(X^{\prime}\right):=\left\{\begin{array}{ll}
\left|\left(X^{\prime}, 1\right)\right|^{a} f\left(\Pi^{-1}\left(X^{\prime}, 1\right)\right), & \text { if } X^{\prime} \in \mathscr{B}  \tag{17}\\
0, & \text { otherwise }
\end{array} .\right.
$$

By the above, there exist $C, C^{\prime}>0$ such that for every $f \in W^{s, p}(\partial B)$ satisfying (16), the function $g$ defined in (17) satisfies

$$
\begin{equation*}
C\|g\|_{W^{s, p}\left(\mathbb{R}^{n-1}\right)} \leq\|f\|_{W^{s, p}(\partial B)} \leq C^{\prime}\|g\|_{W^{s, p}\left(\mathbb{R}^{n-1}\right)} . \tag{18}
\end{equation*}
$$

On the other hand, set $\mathscr{C}:=\left\{\left(t Y^{\prime}, t\right) ; Y^{\prime} \in \mathscr{B}, t>0\right\}$ and

$$
V_{a} g\left(X^{\prime}, X_{n}\right):= \begin{cases}\left(X_{n}\right)^{a} g\left(X^{\prime} / X_{n}\right), & \text { if }\left(X^{\prime}, X_{n}\right) \in \mathscr{C} \\ 0, & \text { otherwise }\end{cases}
$$

Then we have $U_{a} f\left(X^{\prime}, X_{n}\right)=V_{a} g\left(X^{\prime}, X_{n}\right), \forall\left(X^{\prime}, X_{n}\right) \in \bar{B} \backslash\{0\}$.
Write now $s=m+\sigma$, with $m \in \mathbb{N}$ and $0 \leq \sigma<1$. When $s=m$, we consider, on $W^{s, p}(B)$, the semi-norm

$$
\begin{equation*}
|F|_{W^{s, p}(B)}^{p}=\sum_{\substack{\alpha \in \mathbb{N}^{n}|\{0\}\\| \alpha \mid \leq m}}\left\|\partial^{\alpha} F\right\|_{L^{p}(B)}^{p} . \tag{19}
\end{equation*}
$$

When $s$ is not an integer, we consider the semi-norm

$$
\begin{equation*}
|F|_{W^{s, p}(B)}^{p}=\sum_{\substack{\alpha \in \mathbb{N}^{n} \backslash\{0\} \\|\alpha| \leq m}}\left\|\partial^{\alpha} F\right\|_{L^{p}(B)}^{p}+\sum_{\substack{\alpha \in \mathbb{N}^{n} \\|\alpha|=m}}\left|\partial^{\alpha} F\right|_{W^{\sigma, p}(B)}^{p} \tag{20}
\end{equation*}
$$

(the semi-norm on $W^{\sigma, p}(B)$ is the standard Gagliardo one.)
By the above discussion, in order to obtain (11) it suffices to establish the estimate

$$
\begin{equation*}
\left|V_{a} g\right|_{W^{s, p}(B)}^{p} \leq C\|g\|_{W^{s, p}\left(\mathbb{R}^{n-1}\right)}^{p}, \forall g \in W^{s, p}\left(\mathbb{R}^{n-1}\right) \text { with supp } g \subset \mathscr{B} . \tag{21}
\end{equation*}
$$

Let $\alpha \in \mathbb{N}^{n} \backslash\{0\}$ be such that $|\alpha| \leq m$. By a straightforward induction on $|\alpha|$, the distributional derivative $\partial^{\alpha}\left[V_{a} g\right]$ satisfies

$$
\begin{equation*}
\partial^{\alpha}\left[V_{a} g\right]\left(X^{\prime}, X_{n}\right)=\sum_{\left|\beta^{\prime}\right| \leq|\alpha|} V_{a-|\alpha|}\left[P_{\alpha, \beta^{\prime}} \partial^{\beta^{\prime}} g\right]\left(X^{\prime}, X_{n}\right) \text { in } \mathscr{D}^{\prime}(B \backslash\{0\}), \tag{22}
\end{equation*}
$$

for some appropriate polynomials $P_{\alpha, \beta^{\prime}}\left(Y^{\prime}\right), Y^{\prime} \in \mathbb{R}^{n-1}$, depending only on $a \in \mathbb{R}, \alpha \in \mathbb{N}^{n}$ and $\beta^{\prime} \in \mathbb{N}^{n-1}$.

Thanks to the fact that $g\left(X^{\prime} / X_{n}\right)=0$ when $\left(X^{\prime}, X_{n}\right) \notin \mathscr{C}$, we find that for any such $\alpha$ we have

$$
\begin{align*}
\int_{B}\left|\partial^{\alpha}\left[V_{a} g\right]\right|^{p} d x & \leq C \sum_{\left|\beta^{\prime}\right| \leq|\alpha|} \int_{\mathscr{C} \cap Q}\left(X_{n}\right)^{(a-|\alpha|) p}\left|\partial^{\beta^{\prime}} g\left(X^{\prime} / X_{n}\right)\right|^{p} d X^{\prime} d X_{n} \\
& =\frac{C}{n+(a-|\alpha|) p} \sum_{\left|\beta^{\prime}\right| \leq|\alpha|} \int_{\mathscr{B}}\left|\partial^{\beta^{\prime}} g\left(Y^{\prime}\right)\right|^{p} d Y^{\prime} . \tag{23}
\end{align*}
$$

Here, we rely on

$$
\int_{0}^{1}\left(X_{n}\right)^{n-1+(a-|\alpha|) p} d X_{n}=\frac{1}{n+(a-|\alpha|) p}<\infty
$$

thanks to the assumption (9), which implies that $(|\alpha|-a) p<n$.
Using (23), the fact that $V_{a} g \in W_{l o c}^{m, p}(B \backslash\{0\})$ and the assumption that $n \geq 2$, we find that the equality (22) holds also in $\mathscr{D}^{\prime}(B)$, that $V_{a} g \in W^{m, p}(B)$ and that

$$
\begin{equation*}
\left\|V_{a} g\right\|_{W^{m, p(B)}}^{p} \leq C\|g\|_{W^{m, p}\left(\mathbb{R}^{n-1}\right)}^{p}, \forall g \in W^{m, p}\left(\mathbb{R}^{n-1}\right) \text { with supp } g \subset \mathscr{B} . \tag{24}
\end{equation*}
$$

In particular, (21) holds when $s$ is an integer.
Assume next that $s$ is not an integer. In view of (18), (22) and (24), estimate (21) will be a consequence of

$$
\begin{align*}
\left|V_{b}[P h]\right|_{W^{\sigma, p}(B)}^{p} \leq C\|h\|_{W^{\sigma, p}\left(\mathbb{R}^{n-1}\right)}^{p}, & \forall h \in W^{\sigma, p}\left(\mathbb{R}^{n-1}\right)  \tag{25}\\
& \text { with } \operatorname{supp} h \subset \mathscr{B},
\end{align*}
$$

under the assumptions

$$
\begin{equation*}
0<\sigma<1,1 \leq p<\infty, n \geq 2,(\sigma-b) p<n \tag{26}
\end{equation*}
$$

and

$$
\begin{equation*}
P \in C^{\infty}\left(\mathbb{R}^{n-1}\right) \tag{27}
\end{equation*}
$$

(Estimate (25) is applied with $b:=a-m, P:=P_{\alpha, \beta^{\prime}}$ and $h:=\partial^{\beta^{\prime}} g$.)

In turn, estimate (25) follows from Step 1. Indeed, consider $k: \partial B \rightarrow \mathbb{R}$ such that $\operatorname{supp} k \subset \mathscr{B}$ and $U_{b} k=V_{b}[P h]$. (The explicit formula of $k$ can be obtained by "inverting" the formula (17).) By Step 1 and (18), we have

$$
\begin{aligned}
\left|V_{b}[P h]\right|_{W^{s, p}(B)}^{p} & =\left|U_{b} k\right|_{W^{s, p}(B)}^{p} \leq C\|k\|_{W^{s, p}(\partial B)}^{p} \leq C\|P h\|_{W^{s, p}\left(\mathbb{R}^{n-1}\right)}^{p} \\
& \leq C\|h\|_{W^{s, p}\left(\mathbb{R}^{n-1}\right)}^{p} .
\end{aligned}
$$

This completes Step 2 and the proof of Lemma 3.
Finally, we note that the assumptions of Lemma 3 are optimal in order to obtain that $U_{a} f \in W^{s, p}(B)$.

Lemma 4. Let $a \in \mathbb{R}, s>0,1 \leq p<\infty$ and $n \geq 2$. Assume that for some measurable function $f: \partial B \rightarrow \mathbb{R}$ we have $U_{a} f \in W^{s, p}(B)$. Then:

1. $f \in W^{s, p}(\partial B)$.
2. If, in addition, $U_{a} f$ is not a polynomial, we deduce that $(s-a) p<n$.

Proof. 1. Let $G:(1 / 2,1) \times \partial B \rightarrow \mathbb{R}, G(r, x):=r^{-a} U_{a} f(r x)$. If $U_{a} f \in W^{s, p}(B)$, then $G \in$ $W^{s, p}((1 / 2,1) \times \partial B)$. In particular, we have $G(r, \cdot) \in W^{s, p}(\partial B)$ for a.e. $r$. Noting that $G(r, x)=$ $f(x)$, we find that $f \in W^{s, p}(\partial B)$.
2. Let

$$
\Omega_{j}:=\left\{X \in \mathbb{R}^{n} ; 2^{-j-1}<|X|<2^{-j}\right\}, j \in \mathbb{N} .
$$

We consider on each $\Omega_{j}$ a semi-norm as in (19)-(20). Assuming that $U_{a} f$ is not a polynomial, we have $\left|U_{a} f\right|_{W^{s, p}\left(\Omega_{0}\right)}>0$. By scaling and the homogeneity of $U_{a} f$, we have

$$
\left|U_{a} f\right|_{W^{s, p}\left(\Omega_{j}\right)}^{p}=2^{j[(s-a) p-n]}\left|U_{a} f\right|_{W^{s, p}\left(\Omega_{0}\right)}^{p} .
$$

Assuming that $U_{a} f \in W^{s, p}(B)$, we find that

$$
\infty>\left|U_{a} f\right|_{W^{s, p}(B)}^{p} \geq \sum_{j \geq 0}\left|U_{a} f\right|_{W^{s, p}\left(\Omega_{j}\right)}^{p}=\sum_{j \geq 0} 2^{j[(s-a) p-n]}\left|U_{a} f\right|_{W^{s, p}\left(\Omega_{0}\right)}^{p}>0,
$$

so that $(s-a) p<n$.

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${ }^{(1)}$ Department of Mathematics, Rutgers University, Hill Center, Busch Campus, 110 Frelinghuysen Rd., Piscataway, NJ 08854, USA brezis@math.rutgers.edu
${ }^{(2)}$ Departments of Mathematics and Computer Science, Technion - Israel Institute of Technology, Haifa, 32000, Israel
${ }^{(3)}$ Université de Lyon; Université Lyon 1; CNRS UMR 5208 Institut Camille Jordan; 43, boulevard du 11 novembre 1918, F-69622 Villeurbanne Cedex, France mironescu@math.univ-lyon1.fr
${ }^{(4)}$ Department of Mathematics, Technion - Israel Institute of Technology, 32000 Haifa, Israel
shafrir@math.technion.ac.il


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