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# Polynomial Vector Addition Systems With States

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## Abstract

The reachability problem for vector addition systems is one of the most difficult and central problem in theoretical computer science. The problem is known to be decidable, but despite instance investigations during the last four decades, the exact complexity is still open. For some sub-classes, the complexity of the reachability problem is known. Structurally bounded vector addition systems, the class of vector addition systems with finite reachability sets from any initial configuration, is one of those classes. In fact, the reachability problem was shown to be polynomial-space complete for that class by Praveen and Lodaya in 2008. Surprisingly, extending this property to vector addition systems with states is open. In fact, there exist vector addition systems with states that are structurally bounded but with Ackermannian large sets of reachable configurations. It follows that the reachability problem for that class is between exponential space and Ackermannian. In this paper we introduce the class of polynomial vector addition systems with states, defined as the class of vector addition systems with states with size of reachable configurations bounded polynomially in the size of the initial ones. We prove that the reachability problem for polynomial vector addition systems is exponential-space complete. Additionally, we show that we can decide in polynomial time if a vector addition system with states is polynomial. This characterization introduces the notion of iteration scheme with potential applications to the reachability problem for general vector addition systems.

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## 1 Introduction

Vector addition systems or equivalently Petri nets are one of the most popular formal methods for the representation and the analysis of parallel processes [4]. The reachability problem is central since many computational problems (even outside the parallel processes) reduce to the reachability problem. In 1981, Mayr [12] provided the first decidability proof of the reachability problem. Later, that proof was first simplified by Kosaraju [7], and then ten years later by Lambert [9]. This last proof still remains difficult and the complexity upper bound of the corresponding algorithm is just known to be non-primitive recursive [10]. Nowadays, it is an open problem whether an elementary complexity upper bound for this problem exists. In fact, the known general reachability algorithms are exclusively based on the Kosaraju-Lambert-Mayr (KLM) decomposition. Despite instance investigations during the last four decades, the exact complexity is still open.

When the reachability set of a vector addition system is finite, the KLM decomposition degenerates and it just corresponds to the regular language of all possible executions of the vector addition system from an initial configuration to a final one. Even in that case, the



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complexity of the KLM algorithm is Ackermannian and no better complexity upper bound are known.

In 2008, Praveen and Lodaya proved that the reachability problem for structurally bounded vector addition systems, the class of vector addition systems with finite reachability sets from any initial configuration is polynomial-space complete [16]. Surprisingly, extending this property to vector addition systems with states is open. In fact, there exist vector addition systems with states that are structurally bounded but with Ackermannian large sets of reachable configurations. It follows that the reachability problem for that class is between exponential space and Ackermannian.

Intuitively, for structurally bounded vector addition systems with states, the KLM algorithm fails to avoid enumerating all the possible reachable configurations since it tries to detect cycles of edges that can be iterated to obtain arbitrarily large components (such a cycle cannot exist due to the structurally bounded condition). Characterizing indexes that can be very large but not necessarily arbitrarily large should provide new insights on how to overcome the Ackermannian complexity of the KLM algorithm.

## Our contributions

In this paper we introduce the class of *polynomial vector addition systems with states* defined as the vector addition systems with states such that reachable configurations have sizes polynomially bounded with respect to sizes of initial configurations. We proved that a vector addition system with states is not polynomial if, and only if, it contains a so-called *iteration scheme* that can increase some components. We prove that we can decide in polynomial time if a vector addition system with states is polynomial, and we show that the reachability problem for polynomial vector addition systems with states is exponential-space complete. Up to our knowledge, our notion of iteration scheme is new and provides a potential application to patch the KLM algorithm.

## Outline

In Section 2 we introduce the vector addition systems with states (VASS for short), and the subclass of polynomial VASS. Iteration schemes are defined in Section 3. Intuitively iteration schemes are sequences of cycles that can be iterated many times (at least an exponential number of times). Indexes that can be increased by an iteration scheme are called *iterable indexes*, and edges that occur in iteration schemes are called *iterable edges*. We show that reachable configurations cannot be polynomially bounded with respect to the size of the initial configurations on any iterable index. It follows that VASS with iterable indexes cannot be polynomial. In Section 4, we recall some general properties about the Kirchoff's functions and the Euler's lemma. Those definitions are used in Section 5 to prove the correctness of a polynomial-time algorithm inspired by the Kosaraju-Sullivan algorithm for computing the set of iterable indexes and the set of iterable edges. In Section 6 we show that reachable configurations are polynomially bounded on the non-iterable indexes with respect to the size of the initial configurations. Finally in Section 7 we show that we can decide in polynomial time if a VASS is polynomial and we prove that the reachability problem for polynomial VASS is exponential-space complete.

## 2 Polynomial Vector Addition Systems With States

In this section we first introduce the vector addition systems, and the structurally bounded ones. Then, we recall how the reachability problem for that subclass can be solved in polynomial space[16]. Next, we introduce the vector addition systems with states (VASS) and we show that the previous approach for VAS no longer apply on VASS. Finally, we introduce the class of polynomial VASS, the main class of VASS studied in that paper.

Concerning notations used in that paper, we denote by  $\mathbb{Z}, \mathbb{N}, \mathbb{Q}$  the set of *integers*, *natural numbers*, and *rational numbers*. The absolute value of a *rational*  $\lambda \in \mathbb{Q}$  is denoted by  $|\lambda|$ . Let  $d \in \mathbb{N}$  be a natural number. *Vectors* in  $\mathbb{Q}^d$  are denoted in bold face, and we denote by  $\mathbf{v}[1], \dots, \mathbf{v}[d]$  the components of  $\mathbf{v}$ , i.e.  $\mathbf{v} = (\mathbf{v}[1], \dots, \mathbf{v}[d])$ . Every operations are performed component-wise on the vectors; for instance the sum  $\mathbf{x} + \mathbf{y}$  of two vectors in  $\mathbb{Q}^d$  is the vector  $\mathbf{z}$  in  $\mathbb{Q}^d$  satisfying  $\mathbf{z}[i] = \mathbf{x}[i] + \mathbf{y}[i]$  for every  $i \in \{1, \dots, d\}$ . We write  $\mathbf{x} \geq \mathbf{y}$  if  $\mathbf{x}(i) \geq \mathbf{y}(i)$  for every  $1 \leq i \leq d$ , and we write  $\mathbf{x} > \mathbf{y}$  if  $\mathbf{x} \geq \mathbf{y}$  and  $\mathbf{x} \neq \mathbf{y}$ . We denote by  $\mathbf{u}_i$  the *i*th *unit vector* of  $\mathbb{Q}^d$  defined by  $\mathbf{u}_i[j] = 0$  if  $j \neq i$  and  $\mathbf{u}_i[i] = 1$ . Notice that  $\mathbf{x} = \sum_{i=1}^d \mathbf{x}[i] \mathbf{u}_i$  with our notations. The *norm* of a vector  $\mathbf{v} \in \mathbb{Q}^d$  is the rational number  $\|\mathbf{v}\| = \max_i |\mathbf{v}[i]|$ . The *norm* of a finite set  $\mathbf{V} \subseteq \mathbb{Q}^d$  is defined as  $\|\mathbf{V}\| = \max_{\mathbf{v} \in \mathbf{V}} \|\mathbf{v}\|$ . We introduce the sets  $\|\mathbf{v}\|^+ = \{i \mid \mathbf{v}[i] > 0\}$  and  $\|\mathbf{v}\|^- = \{i \mid \mathbf{v}[i] < 0\}$ . The *dot product* of two vectors  $\mathbf{x}, \mathbf{y} \in \mathbb{Q}^d$  is the rational number  $\sum_i \mathbf{x}[i] \cdot \mathbf{y}[i]$  denoted as  $\mathbf{x} \cdot \mathbf{y}$ .

### 2.1 Vector Addition Systems

A *vector addition system* (VAS for short) is a non-empty finite set  $\mathbf{A} \subseteq \mathbb{Z}^d$  of *actions*. A vector in  $\mathbb{N}^d$  is called a *configuration* of the VAS  $\mathbf{A}$ . The *semantics* is defined thanks to the binary relation  $\rightarrow$  over the configurations by  $\mathbf{x} \rightarrow \mathbf{y}$  if  $\mathbf{y} = \mathbf{x} + \mathbf{a}$  for some action  $\mathbf{a} \in \mathbf{A}$ . The reflexive and transitive closure of  $\rightarrow$  is denoted as  $\overset{*}{\rightarrow}$  and it is called the *reachability relation*. If  $\mathbf{x} \overset{*}{\rightarrow} \mathbf{y}$ , we say that  $\mathbf{y}$  is *reachable* from  $\mathbf{x}$ .

The reachability problem consists in deciding for a triple  $(\mathbf{x}, \mathbf{A}, \mathbf{y})$  where  $\mathbf{x}, \mathbf{y}$  are two configurations of a VAS  $\mathbf{A}$ , if  $\mathbf{x} \overset{*}{\rightarrow} \mathbf{y}$ . The problem is decidable [13] but its complexity remains elusive; the problem is known to be exponential-space hard [11], and the best known upper bound is non-primitive recursive [10].

A VAS  $\mathbf{A}$  is said to be *bounded* from an initial configuration  $\mathbf{x}$  if the set of configurations reachable from  $\mathbf{x}$  is finite. The boundedness problem is known to be exponential-space complete [17]. Since the size of reachable configurations are at most Ackermannian in that case [14, 5], it follows that the reachability problem can be decided in Ackermannian complexity (space and time are equivalent for that class of complexity). This is the best known upper bound, far from the exponential-space lower bound [11].

When enforcing the VAS to be bounded for any initial configuration, we obtain the so-called structurally bounded VAS. More formally, a VAS is said to be *structurally bounded* if it is bounded from any initial configuration. In polynomial time, one can decide if a VAS is structurally bounded. In fact, a VAS  $\mathbf{A}$  is not structurally bounded if, and only if, the following linear system is satisfiable over the non negative rational number:  $(\lambda_{\mathbf{a}})_{\mathbf{a} \in \mathbf{A}}$ :

$$\sum_{\mathbf{a} \in \mathbf{A}} \lambda_{\mathbf{a}} \mathbf{a} > \mathbf{0}$$

The previous observation combined with the Farkas Lemma [18] shows that a VAS is structurally bounded if, and only if, there exists a vector  $\mathbf{v}$  in  $\mathbb{N}^d$ , called a *place invariant* such that  $\mathbf{v}[i] > 0$  for every  $i$ , and such that  $\mathbf{v} \cdot \mathbf{a} \leq 0$  for every action  $\mathbf{a}$  in  $\mathbf{A}$ .

► **Example 1.** The VAS  $\mathbf{A} = \{2\mathbf{u}_{i+1} - \mathbf{u}_i \mid 1 \leq i < d\}$  admits the place invariant  $\mathbf{v} \in \mathbb{N}^d$  defined by  $\mathbf{v}[i] = 2^{d-i}$  for every  $i$ .

Notice that if  $\mathbf{x} \xrightarrow{*} \mathbf{y}$  then  $\mathbf{v} \cdot \mathbf{y} \leq \mathbf{v} \cdot \mathbf{x}$  for any place invariant  $\mathbf{v}$ . We deduce that  $\|\mathbf{y}\| \leq (\sum_{i=1}^d \mathbf{v}[i])\|\mathbf{x}\|$ . The norm of reachable configurations is therefore bounded linearly in the norm of the initial one. As observed by Praveen and Lodaya [16], the norm of the vector  $\mathbf{v}$  can be bounded thanks to a small solution theorem of Borosh and Treybig [1] in such a way the reachability problem for structurally bounded VAS is decidable in polynomial space. Based on the reduction of QBF to the reachability problem of structurally bounded VAS, Praveen and Lodaya deduced the following result.

► **Theorem 2** (Theorem 3.10 and Theorem 3.11 of [16]). *The reachability problem for structurally bounded VAS is polynomial-space complete.*

## 2.2 Vector Addition Systems With States

The previous approach no longer apply for structurally bounded vector addition systems with states. Formally, a *vector addition systems with states* (VASS for short) is a graph  $G = (Q, \mathbf{A}, E)$  where  $Q$  is a non empty finite set of states,  $\mathbf{A}$  is a VAS, and  $E \subseteq Q \times \mathbf{A} \times Q$  is a finite set of *edges*. A *configuration* is a pair  $(q, \mathbf{x})$  in  $Q \times \mathbb{N}^d$  denoted as  $q(\mathbf{x})$  in the sequel. The semantics of an edge  $e$  is defined thanks to the binary relation  $\xrightarrow{e}$  over the configurations by  $p(\mathbf{x}) \xrightarrow{e} q(\mathbf{y})$  if  $e = (p, \mathbf{y} - \mathbf{x}, q)$ . We associate to a word  $\pi = e_1 \dots e_k$  of edges the binary relations  $\xrightarrow{\pi}$  over the configurations defined as the following composition:

$$\xrightarrow{e_1} \dots \xrightarrow{e_k}$$

Notice that  $\xrightarrow{\varepsilon}$  is the identity binary relation. The *reachability relation* of a VASS  $G$  is the binary relation  $\xrightarrow{*}$  defined as the union  $\bigcup_{\pi \in E^*} \xrightarrow{\pi}$ . A configuration  $q(\mathbf{y})$  is said to be *reachable* from a configuration  $p(\mathbf{x})$  if  $p(\mathbf{x}) \xrightarrow{*} q(\mathbf{y})$ .

The following lemma states the so-called *VASS monotony property*. We refer to that lemma when we mention a monotony property in the sequel.

► **Lemma 3.** *We have  $p(\mathbf{x} + \mathbf{c}) \xrightarrow{\pi} q(\mathbf{y} + \mathbf{c})$  for every  $p(\mathbf{x}) \xrightarrow{\pi} q(\mathbf{y})$  and for every  $\mathbf{c} \in \mathbb{N}^d$ .*

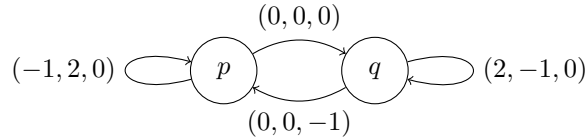
**Proof.** By induction on the length of  $\pi$ . ◀

We associate to a VASS  $G = (Q, \mathbf{A}, E)$  the functions  $\text{src}, \text{tgt} : E \rightarrow Q$  and  $\Delta : E \rightarrow \mathbf{A}$  satisfying  $e = (\text{src}(e), \Delta(e), \text{tgt}(e))$  for every  $e \in E$ . The states  $\text{src}(e)$  and  $\text{tgt}(e)$  are respectively called the *source* and *target* states. The vector  $\Delta(e)$  is called the *displacement* of  $e$ . We extend the displacement function to words  $\pi = e_1 \dots e_k$  of edges by  $\Delta(\pi) = \Delta(e_1) + \dots + \Delta(e_k)$ . A word  $\pi = e_1 \dots e_k$  of edges is called a *path* of  $G$  from a state  $p$  to a state  $q$ , if there exists a sequence  $q_0, \dots, q_k$  of states with  $q_0 = p$  and  $q_k = q$  such that  $(\text{src}(e_j), \text{tgt}(e_j)) = (q_{j-1}, q_j)$  for every  $1 \leq j \leq k$ . A path is said to be *simple* if  $q_i = q_j$  implies  $i = j$ . A *cycle* on a state  $q$  is a path from  $q$  to  $q$ . A cycle is said to be *elementary* if  $q_i = q_j$  and  $i < j$  implies  $i = 0$  and  $j = k$ .

Let  $T \subseteq E$  be a subset of edges. An edge  $e$  of  $T$  is said to be *recurrent* if there exists a path from  $\text{tgt}(e)$  to  $\text{src}(e)$  in  $T$ , otherwise, it is said to be *transient*. We denote by  $\text{rec}(T)$  the set of edges that are recurrent. The set  $T$  is said to be *recurrent* if every edge in  $T$  is recurrent, i.e.  $\text{rec}(T) = T$ . We observe  $\text{rec}(T)$  is recurrent for any set  $T$ . We associate to a subset  $T$  the equivalence relation  $\sim_T$  over  $\text{rec}(T)$  defined by  $e \sim_T e'$  if there exists a path

from  $\text{tgt}(e)$  to  $\text{src}(e')$  and a path from  $\text{tgt}(e')$  to  $\text{src}(e)$ . The equivalence classes of  $\sim_T$  are called the strongly connected components of  $T$ , and they are denoted as  $\text{SCC}(T)$ . The set  $T$  is said to be *strongly connected* if  $\text{SCC}(T) = \{T\}$ . We also denote by  $\text{SCC}(G)$  the set  $\text{SCC}(E)$ , and we say that  $G$  is strongly connected if  $E$  is strongly connected.

► **Example 4.** We adapt the VASS introduced in [6] by introducing the following VASS:



Notice that  $p(1, 0, n) \xrightarrow{*} p(4^n, 0, 0)$  for every natural number  $n$  since for every  $n, m \in \mathbb{N}$  with  $n \geq 1$ , we have:

$$p(m, 0, n) \xrightarrow{(p, (-1, 2, 0), p)^m (p, (0, 0, 0), q) (q, (2, -1, 0), q)^{2m} (q, (0, 0, -1), p)} p(4m, 0, n - 1)$$

A VASS  $G$  is said to be *bounded* from an initial configuration  $p(\mathbf{x})$  if the reachability set from that configuration is finite. Let us recall that the boundedness problem is decidable in exponential space since the boundedness problem for VASS is logspace reducible to the boundedness for VAS using for instance the encoding of control states as additional counters [6]. A VASS is said to be *structurally bounded* if it is bounded from any initial configuration. Let us recall that a VASS  $G$  is not structurally bounded if, and only if, there exists a *cycle*  $\sigma$  such that  $\Delta(\sigma) > \mathbf{0}$ . Moreover, this property is decidable in polynomial time using the Kosaraju-Sullivan algorithm [8].

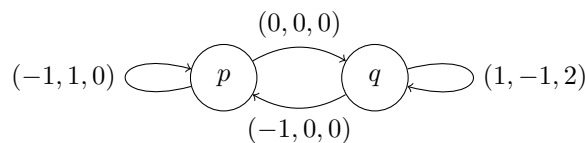
Example 4 shows that reachable configurations of structurally bounded VASS can be exponentially larger than the initial configuration. Unfortunately, it can even be larger by observing that the Ackermannian VASS introduced in [14] are structurally bounded. It follows that the best complexity upper bound for the reachability problem for structurally bounded VASS is Ackermannian. Concerning the lower bound, by observing that Lipton’s construction [11, 3] also produces structurally bounded VASS, it follows that the reachability problem is exponential-space hard.

### 2.3 Polynomial VASS

In this paper we consider a subclass of the structurally bounded VASS, called the polynomial VASS. More formally, a *polynomial function* is a function  $f : \mathbb{Q} \rightarrow \mathbb{Q}$  such that there exists a sequence  $c_0, \dots, c_k$  of *coefficients* in  $\mathbb{Q}$  satisfying  $f(x) = \sum_{j=0}^k c_j \cdot x^j$  for every  $x \in \mathbb{Q}$ .

► **Definition 5.** A VASS is said to be *polynomial* if there exists a polynomial function  $f$  such that  $\|\mathbf{y}\| \leq f(\|\mathbf{x}\|)$  for every  $p(\mathbf{x}) \xrightarrow{*} q(\mathbf{y})$ .

► **Example 6.** We introduce the following VASS:



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We have  $p(n, 0, 0) \xrightarrow{*} p(0, 0, n^2 + n)$  for every  $n \in \mathbb{N}$  since for every  $n, m \in \mathbb{N}$  with  $m \geq 1$ , we have:

$$p(m, 0, n) \xrightarrow{(p, (-1, 1, 0), p)^m (p, (0, 0, 0), q) (q, (1, -1, 2), q)^m (q, (-1, 0, 0), p)} p(m-1, 0, n+2m)$$

We will prove in Example 15 that the VASS is polynomial.

► **Remark.** The VASS given in Example 4 is not polynomial.

We notice that since the Lipton's construction [11, 3] produces polynomial VASS, it follows that the reachability problem is exponential-space hard for polynomial VASS. In this paper we show that (1) we can decide in polynomial time if a VASS is polynomial, (2) the reachability problem is exponential-space complete for polynomial VASS.

### 3 Iteration Schemes

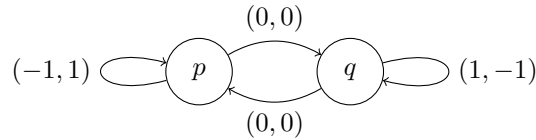
An *iteration scheme* of a VASS  $G$  is a finite sequence  $\sigma_1, \dots, \sigma_k$  of cycles such that:

$$\bigwedge_{j=1}^k \|\Delta(\sigma_j)\|^- \subseteq \|\Delta(\sigma_1) + \dots + \Delta(\sigma_k)\|^+$$

Observe that the displacement of an iteration scheme is necessarily a vector in  $\mathbb{N}^d$ . An index  $i \in \{1, \dots, d\}$  such that there exists an iteration scheme with a displacement strictly positive on  $i$  is called an *iterable index*. An edge  $t$  that occurs in an iteration scheme is called an *iterable edge*. By concatenating iteration schemes, notice that there exists an iteration scheme with a displacement strictly positive on every iterable index, and such that every iterable edge occurs in the scheme.

► **Example 7.** Let us come back to the VASS introduced in Example 4. Notice that the cycles  $(p, (-1, 2, 0), p)$  and  $(q, (2, -1, 0), q)$  forms an iteration scheme with a displacement equal to  $(1, 1, 0)$ . It follows that the two first indexes are iterable.

► **Example 8.** We introduce the following VASS:



Notice that the cycles  $(p, (-1, 1), p)$  and  $(q, (1, -1), q)$  do not form an iteration scheme.

The following lemma shows that if a strongly connected VASS admits an iteration scheme with a non-zero displacement, then the VASS is not polynomial.

► **Lemma 9.** *For every strongly-connected VASS, there exists a rational number  $\lambda > 1$  such that for every  $n \in \mathbb{N}$  there exists an execution  $p_n(\mathbf{x}_n) \xrightarrow{\pi_n} q_n(\mathbf{y}_n)$  such that:*

- $\|\mathbf{x}_n\| \geq n$ .
- $\mathbf{y}_n[i] \geq \lambda^{\|\mathbf{x}_n\|}$  for every iterable index  $i$ .
- Every iterable edge occurs in  $\pi_n$  at least  $\lambda^{\|\mathbf{x}_n\|}$  times.

**Proof.** The proof is given in appendix. Intuitively, iteration schemes can be iterated an exponential number of times. ◀

In section 6, we prove that conversely, indexes that are not iterable can be bounded with a polynomial, as well as non iterable edges occurs at most a bounded polynomial number of times.

## 4 Kirchoff's Functions and Euler's Lemma

We recall some classical results about Kirchoff's functions and Euler's lemma. We assume that  $G = (Q, \mathbf{A}, E)$  is a VASS.

A *multiset of edges* is a function  $\phi : E \rightarrow \mathbb{N}$ . The set  $\{e \in E \mid \phi(e) \neq 0\}$  is called the *domain* of  $\phi$  and it is denoted by  $\text{dom}(\phi)$ . Given a subset  $T \subseteq E$  and a multiset of edges  $\phi$ , we denote by  $\phi \cap T$  the multiset of edges defined as follows:

$$\phi \cap T(t) = \begin{cases} \phi(t) & \text{if } t \in T \\ 0 & \text{otherwise} \end{cases}$$

The *Parikh image* of a word  $\pi$  of edges is the multiset of edges  $\phi$  such that  $\phi(e)$  is the number of occurrences in  $\pi$  of  $e$  for every edge  $e \in E$ .

The displacement function  $\Delta$  is extended over the multiset of edges  $\phi$  by  $\Delta(\phi) = \sum_{e \in E} \phi(e) \cdot \Delta(e)$ . The vector  $\Delta(\phi)$  are called the *displacement* of  $\phi$ . Notice that if  $\phi$  is the Parikh image of a word  $\pi$  of edges then  $\Delta(\phi) = \Delta(\pi)$ . Given a sequence  $\pi_1, \dots, \pi_k$  of words of edges, the vector  $\Delta(\pi_1) + \dots + \Delta(\pi_k)$  is also called the *displacement* of the sequence.

A *Kirchoff function*  $\phi$  is a multiset of edges such that for every  $q \in Q$ :

$$\sum_{e \in E \mid \text{tgt}(e)=q} \phi(e) = \sum_{e \in E \mid \text{src}(e)=q} \phi(e)$$

Let us recall that a finite sum of Parikh images of cycles is a Kirchoff function and every Kirchoff function is a finite sum of Parikh images of elementary cycles. It follows that the domain of a Kirchoff function is recurrent. The *Euler's Lemma* claims that a Kirchoff function is the Parikh image of a cycle if, and only if, its domain is strongly connected.

## 5 Computing the Set of Iterable Indexes and Edges

In this section, we show that the set of iterable indexes and the set of iterable edges of a VASS  $G = (Q, \mathbf{A}, E)$  are computable in polynomial time. Given a pair  $(I, T)$  where  $I$  is a subset of  $\{1, \dots, d\}$  and  $T$  is a subset of edges, a sequence  $\sigma_1, \dots, \sigma_k$  of cycles of  $T$  is called an  $(I, T)$ -constrained iteration scheme if

$$\bigwedge_{j=1}^k \|\Delta(\sigma_j)\|^- \subseteq \|\Delta(\sigma_1) + \dots + \Delta(\sigma_k)\|^+ \subseteq I$$

We denote by  $\Gamma(I, T)$  the pair  $(I', T')$  where  $I'$  is the set of indexes  $i \in I$  such that there exists an  $(I, T)$ -constrained iteration scheme with a displacement strictly positive on  $i$ , and where  $T'$  is the set of edges that occurs in an  $(I, T)$ -constrained iteration scheme. Observe that  $\Gamma(\{1, \dots, d\}, E)$  is the pair  $(I', T')$  where  $I'$  is the set of iterable indexes and  $T'$  is the set of iterable edges.

We are going to compute  $\Gamma(I, T)$  inductively by reducing the pair  $(I, T)$  into a pair  $(I', T')$  in such a way  $\Gamma(I, T) = \Gamma(I', T')$  and such that  $\Gamma(I, T) = (I, T)$  if it is not possible to reduce  $(I, T)$  anymore. Such an approach is similar to the one used by the Kosaraju-Sullivan algorithm [8, 2] for computing from a VASS the set of edges occurring in cycles with zero displacements.



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Our reduction is defined by introducing the pair  $\Omega(I, T)$  obtained as the union of the pairs  $(\|\Delta(\phi)\|^+, \text{dom}(\phi))$  indexed over the Kirchoff's functions  $\phi$  such that  $\text{dom}(\phi) \subseteq T$ ,  $\Delta(\phi \cap S)[i] = 0$  for every  $S \in \text{SCC}(T)$  and for every  $i \notin I$ , and such that  $\Delta(\phi)[i] \geq 0$  for every  $i \in I$ . The following lemma shows that  $\Omega(I, T)$  is computable in polynomial time.

► **Lemma 10.** *Let  $(I, T)$  be a pair such that  $I \subseteq \{1, \dots, d\}$  and  $T \subseteq E$ , and let us consider the following linear system over the variables  $(\mu_i)_{i \in I}$  and  $(\lambda_t)_{t \in T}$  ranging over the non-negative rational numbers:*

$$\begin{aligned} \bigwedge_{q \in Q} \sum_{t \in T | \text{tgt}(t)=q} \lambda_t &= \sum_{t \in T | \text{src}(t)=q} \lambda_t \\ \bigwedge_{S \in \text{SCC}(T)} \bigwedge_{i \notin I} \sum_{t \in S} \lambda_t \cdot \Delta(t)[i] &= 0 \\ \bigwedge_{i \in I} \sum_{t \in T} \lambda_t \cdot \Delta(t)[i] &= \mu_i \end{aligned}$$

Then  $\Omega(I, T) = (I', T')$  where:

- $I'$  is the set of indexes  $i \in I$  satisfying the previous linear system and  $\mu_i > 0$ , and
- $T'$  is the set of edges  $t \in T$  satisfying the previous linear system and  $\lambda_t > 0$ .

**Proof.** Let us consider a Kirchoff function  $\phi$  such that  $\text{dom}(\phi) \subseteq T$ ,  $\Delta(\phi \cap S)[i] = 0$  for every  $S \in \text{SCC}(T)$  and for every  $i \notin I$ , and such that  $\Delta(\phi)[i] \geq 0$  for every  $i \in I$ . By introducing the sequences  $(\lambda_t)_{t \in T}$  and  $(\mu_i)_{i \in I}$  defined by  $\lambda_t = \phi(t)$  and  $\mu_i = \Delta(\phi)[i]$  we obtain a solution of the linear system. It follows that  $\Omega(I, T) \subseteq (I', T')$ . Conversely, let us consider a solution of the linear system given by two sequences  $(\mu_i)_{i \in I}$  and  $(\lambda_t)_{t \in T}$  of non negative rational numbers. By multiplying that solution by a large natural number, we can assume that the solution is over the natural numbers. Now, just notice that the multiset of edges  $\phi$  defined by  $\phi(t) = \lambda_t$  if  $t \in T$  and  $\phi(t) = 0$  otherwise is a Kirchoff function proving that  $(\|\phi\|^+, \text{dom}(\phi)) \subseteq \Omega(I, T)$ . Notice moreover that  $\mu_i > 0$  implies  $i \in \|\phi\|^+$  and  $\lambda_t > 0$  implies  $t \in \text{dom}(\phi)$ . It follows that  $(I', T') \subseteq \Omega(I, T)$ . We have proved that  $\Omega(I, T) = (I', T')$ . ◀

Notice that  $\Omega(I, T) \subseteq (I, T)$ . Intuitively,  $\Omega(I, T)$  reduces the pair  $(I, T)$ . The following lemma shows that this reduction let unchanged the value of  $\Gamma(I, T)$ .

► **Lemma 11.** *We have  $\Gamma(I, T) = \Gamma(\Omega(I, T))$ .*

**Proof.** Since  $\Omega(I, T) \subseteq (I, T)$  we get  $\Gamma(\Omega(I, T)) \subseteq \Gamma(I, T)$ . For the converse inclusion, let us consider an  $(I, T)$ -constrained iteration scheme  $\sigma_1, \dots, \sigma_k$ . Let  $\phi_j$  be the Parikh image of  $\sigma_j$ , and let  $\phi = \sum_{j=1}^k \phi_j$ . Notice that  $\phi$  is a Kirchoff function proving the inclusion  $(\|\phi\|^+, \text{dom}(\phi)) \subseteq \Omega(I, T)$ . It follows that  $\sigma_1, \dots, \sigma_k$  is in fact an  $\Omega(I, T)$ -constrained iteration scheme. Therefore  $\Gamma(I, T) \subseteq \Gamma(\Omega(I, T))$ . ◀

Finally, the following lemma shows that  $\Gamma(I, T)$  is equal to  $(I, T)$  if  $(I, T)$  cannot be reduced anymore.

► **Lemma 12.** *We have  $\Gamma(I, T) = (I, T)$  if, and only if,  $\Omega(I, T) = (I, T)$ .*

**Proof.** Assume that  $\Gamma(I, T) = (I, T)$ . Lemma 11 shows that  $\Omega(I, T) = (I, T)$ . Conversely, assume that  $\Omega(I, T) = (I, T)$ . By adding Kirchoff functions, notice that there exists a Kirchoff function  $\phi$  such that  $\text{dom}(\phi) = T$ ,  $\|\phi\|^+ = I$ , and such that  $\Delta(\phi \cap S)[i] = 0$  for

every  $S \in \mathcal{SCC}(T)$  and for every  $i \notin I$ , and such that  $\Delta(\phi)[i] \geq 0$  for every  $i \in I$ . Euler's Lemma shows that for every  $S \in \mathcal{SCC}(T)$ , there exists a cycle  $\sigma_S$  with a Parikh image equal to  $\phi \cap S$ . Notice that  $\|\Delta(\sigma_S)\|^- = \|\Delta(\phi \cap S)\|^- \subseteq I$ . Moreover  $\|\sum_S \Delta(\sigma_S)\|^+ = I$ . It follows that  $(\sigma_S)_{S \in \mathcal{SCC}(T)}$  is an  $(I, T)$ -constrained iteration scheme. This scheme shows that  $(I, T) \subseteq \Gamma(I, T)$ . Therefore  $\Gamma(I, T) = (I, T)$ . ◀

Now, let us introduce  $\Omega^\infty(I, T) = \bigcap_{n \in \mathbb{N}} \Omega^n(I, T)$ . Since  $(\Omega^n(I, T))_{n \in \mathbb{N}}$  is a non-increasing sequence, there exists  $n \leq |I| \cdot |T|$  such that  $\Omega^{n+1}(I, T) = \Omega^n(I, T)$  and for such an  $n$ , we have  $\Omega^\infty(I, T) = \Omega^n(I, T)$ . From Lemma 10, we deduce that  $\Omega^\infty(I, T)$  is computable in polynomial time. Moreover, from Lemma 11 and Lemma 12, we deduce that  $\Gamma(I, T) = \Omega^\infty(I, T)$ . We have proved the following theorem.

► **Theorem 13.** *Iterable indexes and iterable edges are computable in polynomial time.*

► **Example 14.** Let us come back to Example 4. We observe that  $\Omega^n(\{1, 2, 3\}, E)$  is equal to  $(\{1, 2\}, E)$  if  $n = 1$ , and it is equal to  $(\{1, 2\}, \{(p, (-1, 2, 0), p), (q, (2, -1, 0), q)\})$  if  $n \geq 2$ .

► **Example 15.** Let us come back to Example 6. We observe that  $\Omega^n(\{1, 2, 3\}, E)$  is equal to  $(\{3\}, E)$  if  $n = 1$ ,  $(\{3\}, \{(p, (-1, 1, 0), p), (q, (1, -1, 2), q)\})$  if  $n = 2$ , and  $(\emptyset, \emptyset)$  if  $n \geq 3$ .

► **Example 16.** Let us come back to Example 8. We observe that  $\Omega^n(\{1, 2\}, E)$  is equal to  $(\emptyset, E)$  for every  $n \geq 1$ .

## 6 Non-iterable case

In this section we prove the following theorem.

► **Theorem 17.** *Let  $G = (Q, \mathbf{A}, E)$  be a strongly connected VASS. For every  $p(\mathbf{x}) \xrightarrow{\pi} q(\mathbf{y})$ , the values  $\mathbf{y}[i]$  where  $i$  is a non iterable index, and the number of occurrences of non iterable edges in  $\pi$  are bounded by:*

$$[(1 + \|\mathbf{x}\|)^2 d^2 (3\|\mathbf{A}\| \cdot |Q|)^{15d^4}]^{4^{d|E|}}$$

We first prove the following lemma that is based on a “small solution” theorem of Pottier [15].

► **Lemma 18.** *Let  $(n_{s,\mathbf{z}})_{s,\mathbf{z}}$  be a sequence of natural numbers indexes by  $s$  in a non-empty finite set  $S$ , and by  $\mathbf{z}$  in a finite subset  $\mathbf{Z} \subseteq \{-\mu, \dots, \mu\}^d$  for some  $\mu \geq 1$ . Let  $I \subseteq \{1, \dots, d\}$  and  $m \geq 1$  such that:*

- $|\sum_{\mathbf{z} \in \mathbf{Z}} n_{s,\mathbf{z}} \mathbf{z}[i]| \leq m$  for every  $s \in S$  and for every  $i \notin I$ , and
  - The vector  $\mathbf{v}$  defined as  $\sum_{s \in S} \sum_{\mathbf{z} \in \mathbf{Z}} n_{s,\mathbf{z}} \mathbf{z}$  satisfies  $\mathbf{v}[i] \geq -m$  for every  $i \in \{1, \dots, d\}$ .
- There exists a sequence  $(m_{s,\mathbf{z}})_{\mathbf{z} \in \mathbf{Z}, s \in S}$  of natural numbers such that:
- $\sum_{\mathbf{z} \in \mathbf{Z}} m_{s,\mathbf{z}} \mathbf{z}[i] = 0$  for every  $s \in S$  and for every  $i \notin I$ , and
  - The vector  $\mathbf{w}$  defined as  $\sum_{s \in S} \sum_{\mathbf{z} \in \mathbf{Z}} m_{s,\mathbf{z}} \mathbf{z}$  satisfies  $\mathbf{w} \geq \mathbf{0}$
- and such that  $\delta = |S|(md)^2(3\mu)^{9d^4}$  satisfies:
- If  $n_{s,\mathbf{z}} > \delta$  then  $m_{s,\mathbf{z}} > 0$ , and
  - If  $\mathbf{v}[i] > \delta$  then  $\mathbf{w}[i] > 0$ .

**Proof.** The proof is given in appendix. It is based on an application of a “small solution” theorem of Pottier [15] on each  $s \in S$ , and then, on the resulting solutions we apply again the “small solution” theorem for extracting solutions satisfying  $\mathbf{w} \geq \mathbf{0}$ . ◀

We are now ready for proving the following lemma.

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► **Lemma 19.** *Let  $G = (Q, \mathbf{A}, E)$  be a VASS such that  $\|\mathbf{A}\| \geq 1$ ,  $I \subseteq \{1, \dots, d\}$ , and  $T$  be a recurrent set of edges. We consider a path  $\pi$  such that  $p(\mathbf{x}) \xrightarrow{\pi} q(\mathbf{y})$ . Let  $m \geq 1$  satisfying:*

- *The number of occurrences in  $\pi$  of edges not in  $T$  is bounded by  $m$ , and*
- *$\mathbf{x}[i] + \Delta(\pi')[i] \leq m$  for every every prefix  $\pi'$  of  $\pi$  and for every  $i \notin I$ .*

*Then  $m', I', T'$  defined as follows:*

$$m' = m^4(1 + \|\mathbf{x}\|)^2 d^2 (3\mu)^{15d^4}$$

$$(I', T') = \Omega(I, T)$$

*Where  $\mu = \|\mathbf{A}\| \cdot |Q|$  satisfies:*

- *The number of occurrences in  $\pi$  of edges not in  $T'$  is bounded by  $m'$ , and*
- *$\mathbf{x}[i] + \Delta(\pi')[i] \leq m'$  for every every prefix  $\pi'$  of  $\pi$  and for every  $i \notin I'$ .*

**Proof.** Notice that it is sufficient to prove that the number of occurrences in  $\pi$  of edges not in  $T'$  is bounded by  $m'$ , and  $\mathbf{y}[i] \leq m'$  for every  $i \notin I'$ . In fact, the more general bound  $\mathbf{x}[i] + \Delta(\pi')[i] \leq m'$  for every prefix  $\pi'$  of  $\pi$  and for every  $i \notin I'$  can be obtained as a corollary.

Let  $k$  be the number of occurrences in  $\pi$  of edges not in  $T$ . It follows that  $\pi$  can be decomposed into:  $\pi = \pi_0 t_1 \pi_1 \dots t_k \pi_k$  where  $\pi_0, \dots, \pi_k$  are paths in  $T$  and  $t_1, \dots, t_k$  are not in  $T$ . We introduce the sequences  $(p_j(\mathbf{x}_j))_{1 \leq j \leq k}$  and  $(q_j(\mathbf{y}_j))_{0 \leq j \leq k}$  of configurations such that  $p_j(\mathbf{x}_j) \xrightarrow{\pi_j} q_j(\mathbf{y}_j)$  for every  $0 \leq j \leq k$ ,  $q_{j-1}(\mathbf{y}_{j-1}) \xrightarrow{t_j} p_j(\mathbf{x}_j)$  for every  $1 \leq j \leq k$ , and such that  $p_0(\mathbf{x}_0) = p(\mathbf{x})$  and  $q_k(\mathbf{y}_k) = q(\mathbf{y})$ . Notice that  $\mathbf{x}_j[i], \mathbf{y}_j[i] \leq m$  for every  $i \notin I$  and for every  $0 \leq j \leq k$  since  $\mathbf{x}_j$  and  $\mathbf{y}_j$  can be obtained trivially as vectors of the form  $\mathbf{x} + \Delta(\pi')$  for some prefixes  $\pi'$  of  $\pi$ .

Let us observe that since  $\pi_j$  is a path in  $T$  and since  $T$  is recurrent, it follows that there exists  $S_j \in \text{SCC}(T)$  such that  $\pi_j$  is a path in  $S_j$ . We decompose the Parikh image  $\alpha_j$  of  $\pi_j$  into  $\alpha_j = \phi_j + \sum_{\ell \in L_j} \psi_{j,\ell}$  where  $\phi_j$  is the Parikh image of a simple path in  $S_j$ , and  $\psi_{j,\ell}$  is a Parikh image of an elementary cycle in  $S_j$  for every  $\ell$  in a finite set  $L_j$ .

We introduce the set  $\mathbf{Z}$  of displacements of elementary cycles. Notice that  $\|\mathbf{Z}\| \leq \mu$ . We associate to each  $S \in \text{SCC}(T)$  and each  $\mathbf{z} \in \mathbf{Z}$  the cardinal  $n_{S,\mathbf{z}}$  of the set  $\bigcup_{j|S_j=S} \{(j, \ell) \mid \ell \in L_j \wedge \Delta(\psi_{j,\ell}) = \mathbf{z}\}$ . Observe that for every  $S$ , we have:

$$\begin{aligned} \sum_{\mathbf{z} \in \mathbf{Z}} n_{S,\mathbf{z}} \mathbf{z} &= \sum_{j|S_j=S} \sum_{\ell \in L_j} \Delta(\psi_{j,\ell}) \\ &= \sum_{j|S_j=S} \Delta(\alpha_j) - \Delta(\phi_j) \\ &= \sum_{j|S_j=S} (\mathbf{y}_j - \mathbf{x}_j - \Delta(\phi_j)) \end{aligned}$$

It follows that for every  $i \notin I$ , we have:

$$\left| \sum_{\mathbf{z} \in \mathbf{Z}} n_{S,\mathbf{z}} \mathbf{z}[i] \right| \leq (k+1)(m + |Q| \|\mathbf{A}\|) \leq 2m(2m\mu) \leq 4m^2\mu(1 + \|\mathbf{x}\|)$$

Moreover, we have:

$$\begin{aligned}
 \sum_{S \in SCC(T)} \sum_{\mathbf{z} \in \mathbf{Z}} n_{S, \mathbf{z}} &= \sum_{j=0}^k (\mathbf{y}_j - \mathbf{x}_j - \Delta(\phi_j)) \\
 &= \sum_{j=0}^k (\mathbf{y}_j - \mathbf{x}_j) + \sum_{j=1}^k \Delta(t_j) - \sum_{j=0}^k \Delta(\phi_j) - \sum_{j=1}^k \Delta(t_j) \\
 &= \mathbf{y} - \mathbf{x} - \sum_{j=0}^k \Delta(\phi_j) - \sum_{j=1}^k \Delta(t_j)
 \end{aligned}$$

It follows that for every  $i$ , we have:

$$\sum_{S \in SCC(T)} \sum_{\mathbf{z} \in \mathbf{Z}} n_{S, \mathbf{z}}[i] \geq -(\|\mathbf{x}\| + (k+1)(|Q|-1)\|\mathbf{A}\| + k\|\mathbf{A}\|) \geq -3m\mu(1+\|\mathbf{x}\|) \geq -4m^2\mu(1+\|\mathbf{x}\|)$$

Let us introduce  $\delta = |SCC(T)|(4m^2\mu(1+\|\mathbf{x}\|)d)^2(3\mu)^{9d^4}$ . Notice that  $\delta \leq m^4(1+\|\mathbf{x}\|)^2d^2(3\mu)^{12d^4}$ . Lemma 18 shows that there exists a sequence of natural numbers  $(m_{S, \mathbf{z}})_{S, \mathbf{z}}$  such that:

- $\sum_{\mathbf{z} \in \mathbf{Z}} m_{S, \mathbf{z}}[i] = \mathbf{0}$  for every  $S$ , and every  $i \notin I$ .
- $\sum_S \sum_{\mathbf{z} \in \mathbf{Z}} m_{S, \mathbf{z}} \geq \mathbf{0}$
- $n_{S, \mathbf{z}} > \delta$  implies  $m_{S, \mathbf{z}} > 0$ ,
- $\sum_S \sum_{\mathbf{z} \in \mathbf{Z}} n_{S, \mathbf{z}}[i] > \delta$  implies  $\sum_S \sum_{\mathbf{z} \in \mathbf{Z}} m_{S, \mathbf{z}}[i] > 0$ .

It follows that:

- if  $n_{S, \mathbf{z}} > \delta$  then every simple cycle of  $S$  with a displacement equal to  $\mathbf{z}$  is a simple cycle of  $T'$ , and
- if  $\mathbf{y}[i] > \|\mathbf{x}\| + \delta + m + (k+1)(|Q|-1)\|\mathbf{A}\| + k\|\mathbf{A}\|$  then  $i \in I'$ .

It follows that  $\mathbf{y}[i] \leq \|\mathbf{x}\| + \delta + 3m\mu \leq 3\delta \leq m'$  for every  $i \notin I'$ . Moreover, it follows that for every  $S$ , the number of occurrences of cycles  $\psi_{j, \ell}$  with  $j$  such that  $S_j = S$ , and  $\ell \in L_j$  such that  $\text{dom}(\psi_{j, \ell}) \not\subseteq T'$  is bounded by  $|\mathbf{Z}|\delta \leq m^4(1+\|\mathbf{x}\|)^2d^2(3\mu)^{13d^4}$ . It follows that the number of occurrences of edges not in  $T'$  in  $\pi$  is bounded by  $k + |SCC(T)| \cdot |Q| \cdot m^4(1+\|\mathbf{x}\|)^2d^2(3\mu)^{13d^4} \leq m^4(1+\|\mathbf{x}\|)^2d^2(3\mu)^{15d^4} = m'$ . ◀

Let us consider  $p(\mathbf{x}) \xrightarrow{\pi} q(\mathbf{y})$  and let  $(I_n, T_n) = \Omega^n(\{1, \dots, d\}, E)$ . We introduce for every  $n$  the minimal number  $m_n \geq 1$  such that the number of occurrences of edges in  $\pi$  that are not in  $T_n$  is bounded by  $m_n$ , and  $\mathbf{x}[i] + \Delta(\pi')[i] \leq m_n$  for every  $i \notin I_n$  and for every prefix  $\pi'$  of  $\pi$ . Notice that  $m_0 = 1$ , and Lemma 19 shows that for every  $n \geq 0$ :

$$m_{n+1} \leq m_n^4(1+\|\mathbf{x}\|)^2d^2(3\mu)^{15d^4}$$

Let us introduce the sequence  $(s_n)_{n \geq 0}$  defined by  $s_0 = 1$ , and the induction for every  $n \in \mathbb{N}$ :

$$s_{n+1} = s_n^4 \cdot (1+\|\mathbf{x}\|)^2d^2(3\mu)^{15d^4}$$

Observe that  $m_n \leq s_n$  for every  $n$ . Moreover, we have:

$$s_n = [(1+\|\mathbf{x}\|)^2 \cdot d^2(3\mu)^{15d^4}]^{4^n - 1}$$

Since  $\Gamma(\{1, \dots, d\}, E) = \Omega^\infty(\{1, \dots, d\}, E) = \Omega^{d|E|}(\{1, \dots, d\}, E)$ , we have proved Theorem 17.

## 7 Applications

Theorem 17 shows that a strongly connected VASS without iterable indexes is polynomial. Combined with Lemma 9, we deduce the following characterization.

► **Theorem 20.** *A strongly connected VASS is polynomial if, and only if, its set of iterable indexes is empty.*

As a direct consequence of Theorems 20 and 13 and the following Lemma 21, we get the following Theorem 22. Note that the restriction of a VASS  $G = (Q, \mathbf{A}, E)$  to a subset  $T \subseteq E$  of edges is defined as the VASS  $G|_T = (Q, \mathbf{A}, T)$ .

► **Lemma 21.** *A VASS is polynomial if, and only if, its restriction to every SCC is polynomial.*

**Proof.** The proof is given in appendix. ◀

► **Theorem 22.** *We can decide in polynomial-time if a VASS is polynomial.*

Moreover, since Theorem 17 shows that reachable configurations are bounded exponentially in space, we derive the following result.

► **Theorem 23.** *The reachability problem for polynomial VASS is exponential-space complete.*

**Proof.** Theorem 17 shows that reachable configurations are bounded exponentially in space. It follows that the reachability problem is decidable in exponential space. We have already observed the lower bound in Section 2.2. ◀

## 8 Conclusion

In this paper we introduced the class of polynomial VASS and showed that the membership problem of a VASS in that class is decidable in polynomial time. Moreover, we proved that the reachability problem for polynomial VASS is exponential-space complete. Our characterization of polynomial VASS is based on the notion of iteration schemes. Intuitively, whereas a cycle of a VASS with a non-negative displacement can be iterated an arbitrarily number of times to obtain arbitrarily large values on indexes that are strictly increased by the cycle, iteration schemes can be iterated an exponential number of times and provide a way to increase by an exponential number every index that is increased by the iteration scheme. As a future work, we are interested in by using iteration schemes rather than iterable cycles in the KLM algorithm to hopefully obtain better complexity upper bound for the reachability problem for general vector addition systems.

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**A Proof of Lemma 9**

Let us fix a strongly connected VASS  $G$ , and let  $\sigma_1, \dots, \sigma_k$  be an iteration scheme such that its displacement  $\mathbf{v}$  is strictly positive on every iteration index and such that every iterable edge occurs in the scheme. We let  $\mathbf{v}_j$  be the vector  $\Delta(\sigma_1) + \dots + \Delta(\sigma_j)$  for each  $j \in \{0, \dots, k\}$ . Observe that  $\mathbf{v}_0 = \mathbf{0}$  and  $\mathbf{v}_k = \mathbf{v}$ . Notice that  $\mathbf{v}_j[i] < 0$  implies that there exists  $\ell \leq j$  such that  $i \in \|\Delta(\sigma_\ell)\|^-$ , and in particular  $i \in \|\mathbf{v}\|^+$ . It follows that there exists a natural number  $a > 0$  such that  $a \cdot \mathbf{v} + \mathbf{v}_j \geq \mathbf{0}$  for every  $0 \leq j \leq k$ .

We denote by  $q_j$  the state such that  $\pi_j$  is a cycle on  $q_j$ . We also denote by  $q_0$  the state  $q_k$ . Since the VASS is strongly connected, there exists a path  $\pi_j$  from  $q_{j-1}$  to  $q_j$  for every  $1 \leq j \leq k$ . Notice that there exists a sequence  $(\mathbf{c}_j)_{0 \leq j \leq k}$  of vectors in  $\mathbb{N}^d$  such that  $q_j(\mathbf{c}_j) \xrightarrow{\sigma_j} q_j(\mathbf{c}_j + \Delta(\sigma_j))$  for every  $1 \leq j \leq k$ , and such that:

$$q_0(\mathbf{c}_0) \xrightarrow{\pi_1} q_1(\mathbf{c}_1) \cdots \xrightarrow{\pi_k} q_k(\mathbf{c}_k)$$

We first prove the following two lemmas:

► **Lemma 24.** *For every  $1 \leq j \leq k$  and for every  $h \in \mathbb{N}$ , we have:*

$$q_j(\mathbf{c}_j + h \cdot (a \cdot \mathbf{v} + \mathbf{v}_{j-1})) \xrightarrow{\sigma_j^h} q_j(\mathbf{c}_j + h \cdot (a \cdot \mathbf{v} + \mathbf{v}_j))$$

**Proof.** We introduce for each  $n \in \{0, \dots, h\}$ , the vector  $\mathbf{x}_n = \mathbf{c}_j + (h - n) \cdot (a \cdot \mathbf{v} + \mathbf{v}_{j-1}) + n \cdot (a \cdot \mathbf{v} + \mathbf{v}_j)$ . By monotony, since  $a \cdot \mathbf{v} + \mathbf{v}_{j-1} \geq \mathbf{0}$  and  $a \cdot \mathbf{v} + \mathbf{v}_j \geq \mathbf{0}$ , we derive from  $q_j(\mathbf{c}_j) \xrightarrow{\sigma_j} q_j(\mathbf{c}_j + \Delta(\sigma_j))$  the relation  $(q_j, \mathbf{x}_{n-1}) \xrightarrow{\sigma_j} q_j(\mathbf{x}_{n-1} + \Delta(\sigma_j))$  for every  $1 \leq n \leq h$ . Since  $\mathbf{x}_{n-1} + \Delta(\sigma_j) = \mathbf{x}_n$ , it follows that  $q_j(\mathbf{x}_0) \xrightarrow{\sigma_j^h} q_j(\mathbf{x}_h)$ . Since  $\mathbf{x}_0 = \mathbf{c}_j + h \cdot (a \cdot \mathbf{v} + \mathbf{v}_{j-1})$  and  $\mathbf{x}_h = \mathbf{c}_j + h \cdot (a \cdot \mathbf{v} + \mathbf{v}_j)$ , we are done. ◀

► **Lemma 25.** *For every  $1 \leq j \leq k$  and for every  $h \in \mathbb{N}$ , we have:*

$$q_{j-1}(\mathbf{c}_{j-1} + h \cdot (a \cdot \mathbf{v} + \mathbf{v}_{j-1})) \xrightarrow{\pi_j} q_j(\mathbf{c}_j + h \cdot (a \cdot \mathbf{v} + \mathbf{v}_{j-1}))$$

**Proof.** Since  $q_{j-1}(\mathbf{c}_{j-1}) \xrightarrow{\pi_j} q_j(\mathbf{c}_j)$ , the proof is obtained by monotony. ◀

We deduce the following corollaries.

► **Corollary 26.** *For every  $h \in \mathbb{N}$ , we have:*

$$q_0(\mathbf{c}_0 + h \cdot a \cdot \mathbf{v}) \xrightarrow{\pi_1 \sigma_1^h \dots \pi_k \sigma_k^h} q_k(\mathbf{c}_k + h \cdot (a + 1) \cdot \mathbf{v})$$

**Proof.** For every  $j \in \{1, \dots, k\}$  and for every  $h \in \mathbb{N}$ , Lemma 24 and Lemma 25 shows that:

$$q_{j-1}(\mathbf{c}_{j-1} + h \cdot (a \cdot \mathbf{v} + \mathbf{v}_{j-1})) \xrightarrow{\pi_j \sigma_j^h} q_j(\mathbf{c}_j + h \cdot (a \cdot \mathbf{v} + \mathbf{v}_j))$$

We have proved the corollary since  $\mathbf{v}_0 = \mathbf{0}$  and  $\mathbf{v}_k = \mathbf{v}$ . ◀

► **Corollary 27.** *For every  $h \in \mathbb{N}$ , we have:*

$$q_0(a \cdot \mathbf{c}_0 + h \cdot a \cdot \mathbf{v}) \xrightarrow{(\pi_1 \sigma_1^h \dots \pi_k \sigma_k^h)^a} q_k(a \cdot \mathbf{c}_k + 2 \cdot h \cdot a \cdot \mathbf{v})$$



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**Proof.** Let us introduce the sequence  $(\mathbf{x}_n)_{0 \leq n \leq a}$  defined by  $\mathbf{x}_n = (a - n) \cdot \mathbf{c}_0 + n \cdot \mathbf{c}_k + h \cdot (n + a) \cdot \mathbf{v}$ . Since  $\mathbf{x}_n \geq \mathbf{c}_0 + h \cdot a \cdot \mathbf{v}$  for every  $0 \leq n < a$ , Corollary 26 shows that  $q_0(\mathbf{x}_n) \xrightarrow{\sigma} q_k(\mathbf{x}_n - \mathbf{c}_0 + \mathbf{c}_k + h \cdot \mathbf{v})$  where  $\sigma = \pi_1 \sigma_1^h \dots \pi_k \sigma_k^h$ . Since  $\mathbf{x}_n - \mathbf{c}_0 + \mathbf{c}_k + h \cdot \mathbf{v} = \mathbf{x}_{n+1}$  and  $q_0 = q_k$ , we have proved that  $q_0(\mathbf{x}_0) \xrightarrow{\sigma^a} q_k(\mathbf{x}_a)$ . We have proved the corollary.  $\blacktriangleleft$

► **Corollary 28.** *For every  $m \in \mathbb{N}$ , we have:*

$$q_0(m \cdot a \cdot \mathbf{c}_0 + a \cdot \mathbf{v}) \xrightarrow{w_m} q_k(m \cdot a \cdot \mathbf{c}_k + 2^m \cdot a \cdot \mathbf{v})$$

where  $w_m$  is defined as follows:

$$w_m = \prod_{1 \leq h \leq m} (\pi_1 \sigma_1^h \dots \pi_k \sigma_k^h)^a$$

**Proof.** Let us introduce the vector  $\mathbf{x}_n = (m - n) \cdot a \cdot \mathbf{c}_0 + n \cdot a \cdot \mathbf{c}_k + 2^n \cdot a \cdot \mathbf{v}$  for every  $0 \leq n \leq m$ . Let  $n < m$ . By monotony, applying Corollary 27 with  $h = 2^n$ , we get  $q_0(\mathbf{x}_n) \xrightarrow{\sigma_h} q_k(\mathbf{y}_n)$  where  $\mathbf{y}_n = \mathbf{x}_n - a \cdot \mathbf{c}_0 + a \cdot \mathbf{c}_k + 2^n \cdot a \cdot \mathbf{v}$  and  $\sigma_h = (\pi_1 \sigma_1^h \dots \pi_k \sigma_k^h)^a$ . Notice that  $\mathbf{y}_n = \mathbf{x}_{n+1}$ . Since  $q_0 = q_k$ , by transitivity, we deduce that  $q_0(\mathbf{x}_0) \xrightarrow{w_m} q_k(\mathbf{x}_m)$  and the corollary is proved.  $\blacktriangleleft$

We have proved Lemma 9.

## B Proof of Lemma 18

We first recall a “small solution” theorem from Pottier [15].

► **Lemma 29** (Weaker statement of Theorem 1 in [15]). *Solutions  $\mathbf{x}$  in  $\mathbb{N}^k$  of the following linear system:*

$$\bigwedge_{i=1}^d \mathbf{m}_i \cdot \mathbf{x} = 0$$

where  $\mathbf{m}_1, \dots, \mathbf{m}_d$  of vectors in  $\mathbb{Z}^k$  are finite sums of solutions  $\mathbf{s}$  in  $\mathbb{N}^k$  of the same system satisfying additionally:

$$\sum_{j=1}^k \mathbf{s}[j] \leq (1 + \max_{1 \leq i \leq d} \sum_{j=1}^k |\mathbf{m}_i[j]|)^d$$

Now, let us prove Lemma 18. Let  $s \in S$ . We introduce for each  $i \notin I$  the sign  $\epsilon_{s,i} \in \{-1, 0, 1\}$  and the absolute value  $t_{s,i}$  of  $\sum_{\mathbf{z} \in \mathbf{Z}} n_{s,\mathbf{z}} \mathbf{z}[i]$ . Observe that  $(n_{s,\mathbf{z}})_{\mathbf{z} \in \mathbf{Z}}$  and  $(t_{s,i})_{i \notin I}$  forms a solution of the following linear system:

$$\bigwedge_{i \notin I} \sum_{\mathbf{z} \in \mathbf{Z}} n_{s,\mathbf{z}} \mathbf{z}[i] - t_{s,i} \epsilon_{s,i} = 0$$

Lemma 29 shows that this solution can be decomposed into a finite sum of solutions  $(n_{s,\mathbf{z}}^\ell)_{\mathbf{z} \in \mathbf{Z}}$  and  $(t_{s,i}^\ell)_{i \notin I}$  indexed by  $\ell$  in a finite set  $L_s$  such that for every  $\ell \in L_s$ :

$$\sum_{\mathbf{z} \in \mathbf{Z}} n_{s,\mathbf{z}}^\ell + \sum_{i \notin I} t_{s,i}^\ell \leq (2 + |\mathbf{Z}| \|\mathbf{Z}\|)^d \leq (2 + \mu(1 + 2\mu)^d)^d \leq (3\mu)^{2d^2}$$

Let  $K = \bigcup_{s \in S} \{s\} \times L_s$ . We associate to each  $k = (s, \ell)$  in  $K$  the vector  $\mathbf{v}_k = \sum_{\mathbf{z} \in \mathbf{Z}} n_{s,\mathbf{z}}^\ell \mathbf{z}$ . Notice that  $\|\mathbf{v}_k\| \leq \mu(3\mu)^{2d^2}$ . Let  $K^0$  be the set of  $(s, \ell) \in K$  such that  $t_{s,i}^\ell = 0$  for every  $i \notin I$ . Observe that  $\mathbf{v}_k[i] = 0$  for every  $k \in K^0$  and for every  $i \notin I$ . Since  $t_{s,i} \leq m$  for every

$i \notin I$ , and  $\sum_{s \in S} \sum_{i \notin I} t_{s,i} = \sum_{(s,\ell) \in K \setminus K^0} \sum_{i \notin I} t_{s,i}^\ell \geq |K \setminus K^0|$  we deduce that the cardinal of  $K \setminus K^0$  is bounded by  $|S|md$ . In particular, we get the following inequality:

$$\sum_{(s,\ell) \in K \setminus K^0} n_{s,\mathbf{z}}^\ell \leq |K \setminus K^0| (3\mu)^{2d^2} \leq |S|md(3\mu)^{2d^2}$$

Moreover, we have:

$$\left\| \sum_{k \in K \setminus K^0} \mathbf{v}_k \right\| \leq |S|md\mu(3\mu)^{2d^2}$$

Therefore, we deduce that for every  $i \in \{1, \dots, d\}$ :

$$\sum_{k \in K^0} \mathbf{v}_k[i] \geq \sum_{s \in S} \sum_{\mathbf{z} \in \mathbf{Z}} n_{s,\mathbf{z}} \mathbf{z}[i] - |S|md\mu(3\mu)^{2d^2}$$

In particular  $\sum_{k \in K^0} \mathbf{v}_k[i] \geq -2|S|m^2d\mu(3\mu)^{2d^2}$  for every  $i$ .

Now, let us introduce  $\mathbf{V} = \{\mathbf{v}_k \mid k \in K^0\}$ . We associate to each  $\mathbf{v} \in \mathbf{V}$  the set  $K_{\mathbf{v}}^0 = \{k \in K^0 \mid \mathbf{v}_k = \mathbf{v}\}$  and  $c_{\mathbf{v}} = |K_{\mathbf{v}}^0|$ . Observe that  $\sum_{k \in K^0} \mathbf{v}_k = \sum_{\mathbf{v} \in \mathbf{V}} c_{\mathbf{v}} \mathbf{v}$ . We introduce for each  $i \in \{1, \dots, d\}$  the sign  $\epsilon_i$  and the absolute value  $t_i$  of that vector. Observe that  $(c_{\mathbf{v}})_{\mathbf{v}} \mathbf{v}$  and  $(t_i)_i$  is a solution of the following linear system:

$$\bigwedge_{i=1}^d \sum_{\mathbf{v} \in \mathbf{V}} c_{\mathbf{v}} \mathbf{v}[i] - \epsilon_i t_i = 0$$

Lemma 29 shows that this solution can be decomposed into finite sum of solutions  $(c_{\mathbf{v}}^r)_{\mathbf{v} \in \mathbf{V}}$  and  $(t_i^r)_{1 \leq i \leq d}$  indexed by  $r$  in a finite set  $R$  such that for every  $r \in R$ :

$$\sum_{\mathbf{v} \in \mathbf{V}} c_{\mathbf{v}}^r + \sum_{i=1}^d t_i^r \leq (2 + \|\mathbf{V}\| \|\mathbf{V}\|)^d \leq (2 + \mu(3\mu)^{2d^2} (1 + 2\mu(3\mu)^{2d^2})^d)^d \leq (3\mu)^{6d^4}$$

Let  $R^+$  be the set of  $r \in R$  such that  $t_i^r = 0$  for every  $i$  such that  $\epsilon_i = -1$ . Notice that  $t_i \leq 2zm|S|d\mu(3\mu)^{2d^2}$  for every  $i$  such that  $\epsilon_i = -1$ . We deduce that  $\sum_{i|\epsilon_i=-1} t_i \leq 2(md)^2|S|\mu(3\mu)^{2d^2}$ . Moreover, we have  $\sum_{i|\epsilon_i=-1} t_i = \sum_{r \in R \setminus R^+} \sum_{i|\epsilon_i=-1} t_i^r \geq |R \setminus R^+|$ . We have proved that:

$$|R \setminus R^+| \leq 2(md)^2|S|\mu(3\mu)^{2d^2}$$

Since  $c_{\mathbf{v}} = \sum_{r \in R} c_{\mathbf{v}}^r$  and  $c_{\mathbf{v}} = |K_{\mathbf{v}}^0|$ , there exists a partition  $(K_{\mathbf{v}}^r)_{r \in R}$  of  $K_{\mathbf{v}}^0$  such that  $c_{\mathbf{v}}^r = |K_{\mathbf{v}}^r|$ . Let us introduce the sequence  $(m_{s,\mathbf{z}})_{s,\mathbf{z}}$  defined as follows:

$$m_{s,\mathbf{z}} = \sum_{r \in R^+} \sum_{\mathbf{v} \in \mathbf{V}} \sum_{\ell|(s,\ell) \in K_{\mathbf{v}}^r} n_{s,\mathbf{z}}^\ell$$

For every  $s \in S$  and for every  $i \notin I$ , we have:

$$\begin{aligned} \sum_{\mathbf{z} \in \mathbf{Z}} m_{s,\mathbf{z}} \mathbf{z}[i] &= \sum_{\mathbf{v} \in \mathbf{V}} \sum_{r \in R^+} \sum_{\ell|(s,\ell) \in K_{\mathbf{v}}^r} \sum_{\mathbf{z} \in \mathbf{Z}} n_{s,\mathbf{z}}^\ell \mathbf{z}[i] \\ &= \sum_{\mathbf{v} \in \mathbf{V}} \sum_{\ell|(s,\ell) \in K_{\mathbf{v}}^0} \mathbf{v}_{s,\ell}[i] \\ &= 0 \end{aligned}$$

Notice that we have:

$$\begin{aligned}
 n_{s,\mathbf{z}} &= \sum_{\ell} n_{s,\mathbf{z}}^{\ell} \\
 &= \sum_{\ell|(s,\ell) \in K^0} n_{s,\mathbf{z}}^{\ell} + \sum_{\ell|(s,\ell) \in K \setminus K^0} n_{s,\mathbf{z}}^{\ell} \\
 &\leq \sum_{\ell|(s,\ell) \in K^0} n_{s,\mathbf{z}}^{\ell} + |S|md(3\mu)^{2d^2} \\
 &= \sum_{r \in R} \sum_{\mathbf{v} \in \mathbf{V}} \sum_{\ell|(s,\ell) \in K_{\mathbf{v}}^r} n_{s,\mathbf{z}}^{\ell} + |S|md(3\mu)^{2d^2} \\
 &= m_{s,\mathbf{z}} + \sum_{r \in R \setminus R^+} \sum_{\mathbf{v} \in \mathbf{V}} \sum_{\ell|(s,\ell) \in K_{\mathbf{v}}^r} n_{s,\mathbf{z}}^{\ell} + |S|md(3\mu)^{2d^2} \\
 &\leq m_{s,\mathbf{z}} + |R \setminus R^+| (3\mu)^{6d^4} + |S|md(3\mu)^{2d^2} \\
 &\leq m_{s,\mathbf{z}} + 3(md)^2 |S| \mu (3\mu)^{6d^4} \\
 &\leq m_{s,\mathbf{z}} + |S| (md)^2 (3\mu)^{7d^4}
 \end{aligned}$$

It follows that if  $n_{s,\mathbf{z}} > |S|(md)^2(3\mu)^{7d^4}$  then  $m_{s,\mathbf{z}} > 0$ .

Finally, let  $i \in \{1, \dots, d\}$  such that  $\sum_s \sum_{\mathbf{z}} n_{s,\mathbf{z}} \mathbf{z}[i] > |S|md\mu(3\mu)^{2d^2} + 2|S|(md)^2\mu(3\mu)^{8d^4}$ . It follows that  $\epsilon_i = 1$  and  $t_i > 2|S|(md)^2\mu(3\mu)^{8d^4}$ . We have  $t_i = \sum_{r \in R^+} t_i^r + \sum_{r \in R \setminus R^+} t_i^r \leq \sum_{r \in R^+} t_i^r + |R \setminus R^+| (3\mu)^{6d^4} \leq \sum_{r \in R^+} t_i^r + 2|S|(md)^2\mu(3\mu)^{8d^4}$ . Since  $t_i > 2|S|(md)^2\mu(3\mu)^{8d^4}$ , we deduce that there exists  $r \in R^+$  such that  $t_i^r > 0$ . Notice that for such an  $r$ , we have  $\sum_{r \in R^+} \sum_{\mathbf{v} \in \mathbf{V}} c_{\mathbf{v}}^r \mathbf{v}[i] > 0$ . Observe that the following relations

$$\begin{aligned}
 \sum_{s \in S} \sum_{\mathbf{z} \in \mathbf{Z}} m_{s,\mathbf{z}} &= \sum_{r \in R^+} \sum_{\mathbf{v} \in \mathbf{V}} \sum_{s \in S} \sum_{\ell|(s,\ell) \in K_{\mathbf{v}}^r} \sum_{\mathbf{z} \in \mathbf{Z}} n_{s,\mathbf{z}}^{\ell} \\
 &= \sum_{r \in R^+} \sum_{\mathbf{v} \in \mathbf{V}} \sum_{k \in K_{\mathbf{v}}^r} \mathbf{v}_k \\
 &= \sum_{r \in R^+} \sum_{\mathbf{v} \in \mathbf{V}} c_{\mathbf{v}}^r \mathbf{v} \\
 &\geq \mathbf{0}
 \end{aligned}$$

shows that  $\sum_{s \in S} \sum_{\mathbf{z} \in \mathbf{Z}} m_{s,\mathbf{z}} \mathbf{z}[i] > 0$  if  $\sum_s \sum_{\mathbf{z}} n_{s,\mathbf{z}} \mathbf{z}[i]$  is strictly larger than  $|S|md\mu(3\mu)^{2d^2} + 2|S|(md)^2\mu(3\mu)^{8d^4}$ , in particular if it is strictly larger than  $|S|(md)^2(3\mu)^{9d^4}$ .

## **C Proof of Lemma 21**

**Proof.** Naturally, if a VASS is polynomial then its restriction to every SCC is polynomial. For the converse, assume that  $G = (Q, \mathbf{A}, E)$  is a VASS such that its restriction to every SCC is polynomial and let us prove that  $G$  is polynomial. A polynomial function with non-negative coefficients is a function  $f$  such that there exists a sequence  $c_0, \dots, c_k \geq 0$  of coefficients such that  $f(x) = \sum_{j=0}^k c_j \cdot x^j$  for every  $x \in \mathbb{Q}$ . Notice that for every polynomial function  $f$  there exists a polynomial function with non-negative coefficients  $g$  such that  $f(x) \leq g(x)$  for every  $x \geq 0$  by replacing the coefficients by their absolute values. For every SCC  $S$  in  $\text{SCC}(G)$ , since the restriction  $G|_S$  is polynomial, there exists a polynomial function with non-negative coefficients  $g_S$  such that  $\|\mathbf{y}\| \leq g_S(\|\mathbf{x}\|)$  for every  $p(\mathbf{x}) \xrightarrow{\pi} q(\mathbf{y})$  where  $\pi$  is a path in  $S$ . Let us consider the polynomial function  $g$  satisfying  $g(x) = x +$

$\|\mathbf{A}\| + \sum_{S \in \text{SCC}(G)} g_S(x)$ . Observe that  $g_S(n) + \|\mathbf{A}\| \leq g(n)$ , and  $n \leq g(n) \leq g(m)$  for every  $0 \leq n \leq m$  and for every  $S \in \text{SCC}(G)$ . Assume that  $p(\mathbf{x}) \xrightarrow{\pi} q(\mathbf{y})$  for some path  $\pi$ , and notice that  $\pi$  can be decomposed as  $\pi_0 e_1 \pi_1 \dots e_k \pi_k$  where  $k+1 \leq |Q|$ ,  $e_1, \dots, e_k \in E$ , and  $\pi_j$  is a path in a strongly connected component  $S_j$  of  $G$ . We deduce that there exists a sequence  $(p_j(\mathbf{x}_j), q_j(\mathbf{y}_j))_{0 \leq j \leq k}$  of pairs of configurations such that  $p_j(\mathbf{x}_j) \xrightarrow{\pi_j} q_j(\mathbf{y}_j)$  for every  $0 \leq j \leq k$ ,  $p_0(\mathbf{x}_0) = p(\mathbf{x})$ ,  $q_k(\mathbf{y}_k) = q(\mathbf{y})$ , and such that  $q_{j-1}(\mathbf{y}_{j-1}) \xrightarrow{e_j} p_j(\mathbf{x}_j)$  for every  $1 \leq j \leq k$ . We derive that  $\|\mathbf{x}_j\| \leq \|\mathbf{y}_{j-1}\| + \|\mathbf{A}\|$  for every  $1 \leq j \leq k$ , and  $\|\mathbf{y}_j\| \leq g_{S_j}(\|\mathbf{x}_j\|)$  for every  $0 \leq j \leq k$ . It follows that  $\|\mathbf{x}_j\| \leq g_{S_{j-1}}(\|\mathbf{x}_{j-1}\|) + \|\mathbf{A}\| \leq g(\|\mathbf{x}_{j-1}\|)$  for every  $1 \leq j \leq k$ . It follows that  $\|\mathbf{y}\| + \|\mathbf{A}\| \leq g^{k-1}(\|\mathbf{x}\|) \leq g^{|Q|}(\|\mathbf{x}\|)$  where  $g^\ell$  means composing  $g$  with itself  $\ell$  times. The polynomial  $f = g^{|Q|}$  shows that the VASS is polynomial. ◀