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## Multi-scale analysis of Alkali-Silica Reaction (ASR): impact of alkali leaching on scale effects affecting expansion tests

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#### **Abstract**

Alkali-silica reaction expansions are disturbed by a variety of mechanisms (alkali leaching, ASR-gel permeation through cracks, chemical conditions in pore solution water and its dependence on temperature, etc.). An important consequence is the difficulty of using the expansion test on specimens to analyse the behaviour of ASR-damaged structures. The paper focuses on the influence of leaching: alkali transport and consumption are modelled using a multi-scale approach (aggregate and concrete scales). The evaluation of the alkali concentration below which expansion stops is needed to perform relevant analysis in various alkali conditions and this alkali threshold is quantified according to calcium concentration and temperature. The impact of the coupling between alkali transport in aggregate and silica reactivity is also studied. Lastly, the consequences of leaching on ASR-expansion are analysed in two case studies drawn from the literature.

**Keywords**: alkali-silica reaction (ASR) (C), alkali (D), expansion (C), leaching, modelling (E)

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#### 26 **1. Introduction**

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Expansion tests performed on mortar or concrete specimens are the most usual laboratory tool to evaluate the risk of Alkali-Silica Reaction in newly designed structures or to quantify the potential future expansion with a view to reassessing ASR-damaged structures. Several disturbing effects make the analysis of such tests difficult, by giving rise to scale effects. Alkali leaching [1]–[5], moisture gradient [6] and ASR-gel permeation through cracks [7]–[9] lead to larger expansions in larger specimens according to the storage conditions [5], [8]–[10]. These phenomena greatly impact the ASR-expansion measured on specimens, which raises the question of whether such measurements should be used to represent expansion in conditions existing in real structures. It is then necessary to analyse and quantify these effects so as to be able to use such usual tests as input data for structural assessment. It is difficult to estimate the contribution of each phenomenon to the differences of expansion with specimen size for the moment. In order to obtain a better prediction of expansion in structures, models have to be able to distinguish how much of the scale effect is due to alkali leaching and how much is induced by gel permeation through cracks for tests in leaching conditions. Expansion tests in the conditions the closest to structural reality are performed in a constant moist environment. For such experiments, one of the main scale effects is due to alkali leaching. This paper focuses on the alkali mass balance in ASR mechanisms and proposes modelling to reproduce the effect of alkali leaching on the expansion of prisms. The aim is to obtain a model that includes enough physical details to explain differences of expansion in conditions of alkali leaching but is simple enough to be usable for structural calculations.

49 Two equations are proposed to quantify alkali actions in ASR kinetics and expansion:

- At aggregate scale, the mass balance equation of alkalis takes their diffusion and fixation in ASR-gels in aggregates into account,
- At concrete scale, the mass balance considers the alkali diffusion in a specimen in relation to the boundary conditions, while the alkali consumption in the specimen is evaluated from the previous scale.

55 The link between chemical modelling and expansion is obtained through a model 56 based on poromechanical theory that takes account of creep and damage at the 57 concrete scale. The description of this mechanical part has been kept as brief as 58 possible and only the main improvements compared to the previous version of this 59 model are presented. In particular, the scaling up from gel pressure inside the 60 aggregate to aggregate pressure on the surrounding concrete is modified. Finally, two 61 case studies are presented to analyse literature experiments involving scale effects due 62 to alkali leaching and potential consequences on measured expansion are discussed.

## 2. ASR mechanisms and alkali leaching

## 64 2.1 Multi-scale chemical approach

- 65 The chemical approach used in this paper is characterized by an alkali mass balance
- performed at two scales: the aggregate and the concrete scales (Figure 1).
- At the aggregate scale, the alkali mass balance equation has to consider the diffusion
- and the fixation of alkali in ASR-gels. In order to be as representative as possible of
- 69 the different types of aggregate attacks [11]–[13], analysis of ASR cannot consider
- 70 the alkali diffusion in reactive aggregate as the only driving mechanism of ASR-
- 71 kinetics. At least two main phenomena should be taken into consideration (Figure 1):
- 72 ionic transport (to have alkali and silica in the same place) and the chemical reaction

- 73 (attack of silica to form gels). The impact of the coupling between alkali diffusion and
- 74 silica reactivity on the distribution of ASR-gels in aggregate and concrete is analysed
- 75 in the following part.
- 76 At concrete scale, the alkali diffusion equation takes the alkali flow  $\overrightarrow{\varphi_{Na}^c}$  due to
- 77 external boundary conditions into account (Figure 1). The alkali bound in ASR-gels
- 78  $(S_{\sum Na_h}^c)$  in Figure 1) can be evaluated as the sum of alkali flow at the boundary of the
- 79 aggregate  $(\overline{\varphi_{Na}^{agg}}(r = R_{agg}))$  in Figure 1) determined at the lower scale.
- 80 The alkali concentration in the cement paste at the aggregate edge and at the concrete
- scale ( $[Na^+]_x$  in Figure 1) has to be the same in order for the multi-scale chemical
- approach to be consistent.

#### 2.2 Alkali mass balance at aggregate scale

#### 84 **2.2.1 Alkali transport**

- 85 The reactive silica is dissolved in presence of hydroxyl and alkali ions before ASR-
- 86 gels are formed. In most cases, alkali and hydroxyl come from the cement paste
- 87 solution and move to the silica in the aggregate to start the reaction. The mass balance
- 88 equation at aggregate scales represents the alkali diffusion in aggregates and the alkali
- 89 fixation in ASR-gels. It is applied for each size of aggregate, as proposed in previous
- 90 modelling [14]–[17]. It can be written, for a constant water saturation degree:

$$p_{agg}S_r \frac{\partial [Na^+]}{\partial t} = -div\left(\overrightarrow{\varphi_{Na}^{agg}}\right) + S_{Na} \tag{1}$$

- 91 with  $p_{agg}$  the aggregate porosity,  $S_r$  the degree of water saturation,  $[Na^+]$  the alkali
- 92 concentration in solution,  $\overline{\varphi_{Na}^{agg}}$  the alkali flow in the aggregate and  $S_{Na}$ , the rate of
- 93 alkali binding in ASR-gels per unit of time and of aggregate volume.
- The alkali flow depends on the coefficient of diffusion of alkali in the aggregate  $D_{agg}$ :

$$\overline{\varphi_{Na}^{agg}} = -D_{agg}\overline{grad}[Na^+] \tag{2}$$

95 For this diffusion phenomenon, the Arrhenius energy was taken to be 20 kJ/mol [18]

- 96 to account for the increase of diffusion velocity with the temperature.
- 97 Despite the fact that alkali transport occurs in aggregate in diverse manners depending
- 98 on whether the reactive silica is in contact with cracks or contained in veins of the
- 99 aggregates [11], the coefficient of diffusion is assumed to be homogeneous in the
- aggregate. This is an approximation that requires a calibration by inverse analysis of
- expansion curves. The dependence of the expansion kinetics on the aggregate sizes
- can be reproduced by the differences of alkali ingress into the aggregate.

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#### 2.2.2 Alkali fixation in gels

- 105 Attack of silica and alkali fixation
- The rate of alkali binding in ASR-gels (the sink term  $S_{Na}$  of the mass balance equation
- applied at the aggregate scale) is driven by the reactivity of the aggregate. In the
- 108 absence of precise quantification of the different mechanisms involved in the
- aggregate attack, it is assumed that linear kinetics is sufficient to consider the silica
- attack and the ASR-gel precipitation according to an alkali threshold [17]. This is a
- simplified approach compatible with experimental results on pore solution extraction
- 112 [19].
- 113 The form chosen for the rate of alkali fixation is similar to the one used in [17]:

$$S_{Na} = -\frac{\partial Na_f}{\partial t} = -\frac{\langle [Na^+] - [Na^+]_{(Ca,T)}^{thr} \rangle^+}{\tau_{ASR}}$$
(3)

- with  $\tau_{ASR}$  the characteristic time of silica attack, which can be considered to represent
- silica reactivity (even though, in reality, it combines both the kinetics of the reactive

silica dissolution and the kinetics of the ASR-gel production) and  $[Na^+]_{(Ca,T)}^{thr}$  the alkali concentration "threshold" below which the reaction products cause negligible expansion. In the first version of this model [17], considering a constant threshold of alkali in equation (3) was necessary and sufficient to model the ASR-expansion of concrete with different alkali contents in moisture conditions [17]. But this same assumption leads to expansion rates that are too different for the expansion of specimens kept in NaOH solution and no threshold was taken into account for such calculations [9]. In fact, it is not really a threshold of silica attack, but an apparent threshold due to the difference of composition of the reaction products according to the calcium concentration [18]. The alkali concentration threshold, for which gel is no longer sufficiently expansive, is not constant as supposed in [17] but depends on the calcium concentration and the temperature, which can be approximated through the simplified approach proposed in the next part. For this mechanism of reaction, the Arrhenius energy is taken to be 80 kJ/mol [20] to represent the dependence on thermal conditions. Equation 3 leads to an evaluation of the alkali bound in ASR-gels. Usually, the molar ratio between silica (SiO2) and alkali (Na2O) present in gels in laboratory conditions

 $\frac{\partial n_{gel}^{mol}}{\partial t} = \frac{5}{2} \frac{\partial Na_f}{\partial t}$ 

be deduced from the following equation:

is about 5 [21] [22]). The number of ASR-gel moles produced by the reaction is

assumed to be equal to the number of moles of silica attacked by alkalis and can thus

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137 Alkali threshold

(4)

- Based on thermodynamics modelling, Kim and Olek showed that the formation of ASR-gels stopped when the alkali concentration became lower than a threshold value [18]. The rate of alkali binding (Equation 3) can be read as a simplified representation using only the alkali concentration to represent the disequilibrium:
- For high alkali concentration (high [Na<sup>+</sup>] / [Ca<sup>2+</sup>] ratio), the silica attack is rapid and large quantities of alkali are bound by gels. ASR-gel contains mainly alkali and silica [18] and is very expansive [23] [24].
- For lower alkali concentration, portlandite is dissolved and calcium concentration increases (decrease of  $[Na^+]$  /  $[Ca^{2+}]$  ratio). The rate of alkali binding decreases and gel becomes rich in calcium [18]. Such gels with high calcium content have low bound water contents [25] and could cause less expansion [23], [24].
- In consequence,  $[Na^+]_{(Ca,T)}^{thr}$ , the alkali limit under which expansion stops, is not constant and has to be quantified according to the calcium concentration and the temperature. Based on the thermodynamic equilibrium of portlandite [16], calcium concentration (in mol/l) in the pore solution can be approximated from alkali concentration (in mol/l) and absolute temperature by [26]:

$$[Ca^{2+}] = 0.357 \cdot exp(386.8 \cdot [Na^{+}] - 0.01 \cdot T - 1.4 \cdot [Na^{+}] \cdot T)$$
(5)

The main interest of this simplified relation is that it enables calcium concentration to be evaluated from alkali and temperature. Thus, it is not necessary to model calcium diffusion, which limits the number of different variables in the numerical resolution.

Kim et al. [19] measured the evolution of alkali concentration in mortars subjected to ASR (Figure 2). From this experiment, it was possible to evaluate the alkali threshold at about 0.325 mol/l at 23°C. For such an alkali concentration and temperature,

- 161 Equation (5) gives a calcium concentration of about 0.11 mmol/l (Figure 3). At 23°C,
- if the alkali concentration becomes lower than 0.325 mol/l, Kim et al. show that
- expansion stops [19]. For this limit, the  $[Na^+]$  /  $[Ca^{2+}]$  ratio is around 3,000. Finally,
- 164  $[Na^+]_{(Ca,T)}^{thr}$  can be defined as:

$$[Na^{+}]_{(Ca,T)}^{thr} = \rho_{sol}^{(T)} \cdot [Ca^{2+}]$$
(6)

- 165  $\rho_{sol}^{(T)}$ , the  $[Na^+]$  /  $[Ca^{2+}]$  limit ratio, depends on the different solubility constants of
- the species acting in these processes (silica gels with more or less alkali and calcium)
- and thus on temperature since the variations of the constants with temperature are
- 168 different [18].
- 169 If the  $[Na^+]$  /  $[Ca^{2+}]$  ratio is higher than  $\rho_{sol}^{(T)}$ , alkali ions are predominant and gels
- are very expansive (Figure 3). If calcium becomes preponderant compared to alkali
- 171 (ratio lower than  $\rho_{sol}^{(T)}$ ), expansive gel stops being produced [18]. Using Kim et al.'s
- 172 experimental results ([19] Figure 2), the ratio  $\rho_{sol}^{(T)}$  can be evaluated for three
- 173 temperatures (23, 38 and 55°C Figure 2). It is interesting to note the good agreement
- of the variation of  $\rho_{sol}^{(T)}$  determined from Kim et al.'s experiments with the Van't Hoff
- law for a standard enthalpy change of 205.9 kJ/mol (Figure 4), with  $\rho_{sol}^{(293)}$  equal to
- 1.76 1.54e<sup>3</sup>. This law was used to perform the following case studies.
- With such equations, it is important to note that the alkali threshold is not constant
- even during a single expansion test at a uniform temperature. In the case of an
- expansion test without external supply of alkali:
- Alkali ions are first predominant and  $[Na^+]_{(Ca,T)}^{thr}$  is equal to 0 at the beginning
- of the calculation,

- Then, alkalis are consumed in ASR-gels (and can be partly leached out) so alkali concentration in solution decreases,  $[Na^+]_{(Ca,T)}^{thr}$  (Equation 6) increases with the calcium concentration (Equation 5). Finally, expansion stops when  $[Na^+]_{(Ca,T)}^{thr}$  becomes higher than the alkali concentration in solution  $[Na^+]$  (Equation 3).

In the case of expansion in NaOH solution, alkali concentration stays high and calcium concentration too low to stop the production of expansive gels. In such conditions, there is no apparent threshold as shown in [9]. This is well reproduced by the proposed relations since  $[Na^+]_{(Ca,T)}^{thr}$  is then equal to 0 during the entire test  $([Ca^{2+}]$  close to 0 for high alkali concentration – Equation 5). It can be noted that this approach could also be useful for the quantification of the alkali effect in the control of ASR risk [27].

Finally, the different reactive processes involved in ASR are condensed into a single characteristic time to be calibrated on an expansion test.

#### 2.2.3 Coupling transport / fixation in aggregates

In the proposed modelling, two main processes are assumed to drive the kinetics of alkali-silica reaction: transport in aggregate and reaction mechanisms (silica attack and gel formation). Each mechanism is represented by one parameter: the diffusion coefficient in aggregate for the transport, and the characteristic time of ASR for the reactions. Transport kinetics is also dependent on aggregate size: the larger the aggregate, the slower the penetration of ions into it (whatever the diffusion coefficient). Thus, the difference of kinetics of ASR-gel formation with aggregate size is smaller for a coefficient of diffusion of 1.e-13 m²/s (as measured in [28]) than for a

coefficient of 1.e-14 m<sup>2</sup>/s (Figure 5). The difference becomes all the greater as the 206 207 aggregate size grows (Figure 5). 208 However, it is not possible to obtain a realistic expansion of concrete containing 209 mixes of reactive aggregate with different sizes from this transport equation alone, as 210 shown in [16]. With the assumption that transport in aggregate totally drives the ASR 211 kinetics, calculations lead to an overestimate of the impact of the smallest reactive 212 particles in alkali binding. This leads to an underestimate of the expansion of concrete 213 containing both small and large aggregate [16]. Reaction kinetics is not directly 214 dependent on aggregate size but depends on the alkali concentration in the aggregate 215 (thus indirectly on transport). In consequence, if the transport is fast compared to the 216 chemical reaction (Figure 6-a), the ASR-gel development is proportional to bound 217 alkali and appears to be homogeneous in the aggregate (Figure 7-a). Expansion is then 218 little impacted by aggregate size. This is the case for aggregate with low reactivity 219 [29]. Conversely, more slowly diffusing aggregate with very reactive silica leads to a 220 diffusion front in the aggregate until the threshold is reached (at about 500 days in 221 Figure 6-b), and therefore to a steep gradient of bound alkali in the aggregate (Figure 222 7-b). Larger differences of expansion with aggregate size are observed [7] [30]. In 223 reality, the front does not necessarily start from the external limit of the aggregate as 224 in the simplified representation used in the model. It can also be a front starting from 225 cracks existing in the aggregates before the ASR starts, as observed in [11]. Pore 226 solution and alkali can move rapidly in such cracks, while the real diffusion impacting 227 ASR-kinetics is a slower diffusion that takes place in the natural and less connected 228 porosity of the aggregate. 229 In most cases, the role of the two mechanisms is important and has to be taken into 230 account. The predominance of one equation over the other leads to behaviour that can

be more or less expansive for concrete containing a powder of small reactive particles, according to the aggregate nature [31]. To distinguish between the contribution of the diffusion and the contribution of the reaction kinetics, it is necessary to calibrate the expansion on tests with aggregates of different sizes.

#### 2.3 Alkali mass balance at concrete scale

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Experiments [1]–[5] have shown the importance of alkali leaching on ASR-expansion tests even in laboratory controlled conditions and at 95 % relative humidity. Consequently, ASR-expansion is not a uniform phenomenon even at the scale of the specimen (Figure 8). The analysis of such tests has to take the expansion gradient induced by the alkali gradient into account (Figure 1). The diffusion of alkali in concrete was determined by the usual mass balance:

$$p_c S_r \frac{\partial [Na^+]_c}{\partial t} = -div \left( \overrightarrow{\varphi_{Na}^c} \right) + S_{\sum Na_b}^c$$
 (7)

- with  $p_c$  the concrete porosity,  $S_r$  the degree of saturation (assumed constant),  $[Na^+]_c$ the alkali concentration in the concrete solution,  $\overrightarrow{\varphi_{Na}^c}$  the alkali flow at the specimen scale, and  $S_{\Sigma Na_b}^c$  the sink term to account for alkali bound in ASR-gels at each time step determined at the aggregate scale.
- 246 The alkali flow in concrete depends on its coefficient of diffusion in the concrete:

$$\overrightarrow{\varphi_{Na}^c} = -D_c \ \overrightarrow{grad}[Na^+]_c \tag{8}$$

- 247 The Arrhenius energy was taken to be 40 kJ/mol [32] [33] to represent the influence
- of thermal conditions on diffusion with interaction with C-S-H in concrete.
- 249 The distribution of aggregate sizes is not homogeneous in specimens, and particularly,
- 250 close to the concrete skin. This concrete heterogeneity can be the cause of expansion
- 251 gradient between core and external surface. The impact of the aggregate sizes

distribution on ASR-expansion and cracking in specimens should be analysed through probabilistic approach in future work. By sake of simplicity, the assumption of homogeneous distribution is adopted in this work. The sink term of bound alkali can be calculated from the alkali flow in aggregate (Figure 1):

$$S_{\Sigma Na_b}^c = -\sum_{agg} N_{agg} \cdot 4\pi R_{agg}^2 \cdot \varphi_{Na}^{agg} (r = R_{agg})$$
<sup>(9)</sup>

with  $N_{agg}$  the number of reactive aggregates of each size per concrete volume,  $R_{agg}$ the aggregate size and  $\varphi_{Na}^{agg}(r=R_{agg})$  the alkali flow in the aggregate.

In the following calculations, the equations of transport in the aggregate and of ASR reaction (Equations (1) and (3), aggregate scale) and Equation (7) of global diffusion (concrete scale) are weakly coupled. The chemical approach proposed in the paper considers the diffusion in the specimen (top of Figure 8), takes the consumption of alkali ingress in aggregates into account (middle of Figure 8) and leads to the determination of the resulting gradient of volume of ASR-gels in the specimen (bottom of Figure 8). Due to the alkali gradient, the equations at the aggregate scale have to be solved at different points of the specimen. According to the kinetics of gel formation, the impact of alkali leaching can be more or less pronounced. The analysis is more difficult when the activation energies of the mechanisms involved are different. The acceleration of chemical reactions with temperature is larger than the acceleration of diffusive phenomena [18] [20]. In consequence, alkali leaching goes faster at high temperatures but the acceleration of chemical reactions is still greater and thus the consequences of alkali leaching cannot be directly compared without paying attention to the temperature conditions.

#### 2.4 ASR pressure and concrete damage

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The volume of ASR-gels was assessed by the multi-scale chemical approach. The consequences of the gel formation on concrete (expansion, damage) had to be analysed through mechanical considerations. From the volume of gels produced by the reaction, the mechanical effects on aggregate and concrete can be evaluated through various usual assumptions: imposed chemical strain [17] [34] [35] [36] or pressure [15], [37]–[43]. In this paper, the expansion is not obtained by the mechanical modelling proposed in [17] but through an existing poromechanical model previously developed and taking account of the damage and creep of concrete resulting from both external loading and internal pressure [44]. The consideration of creep during ASR-expansion is important to obtain a realistic evaluation of concrete damage [35] [44]. Concerning the mechanical aspect, the most important improvement compared to the previous modelling was the distinction between gel pressure in the aggregate and average aggregate pressure on the surrounding concrete (Figure 9). In most cases of ASR, cracking starts in the aggregate and aggregate cracks filled by the gel induce the cracking of the cement paste [11], [12] [45]. The consequences of ASR-gel formations were first assessed at the aggregate scale then deduced at the concrete scale (Figure 9).

#### 2.4.1 Pressure in ASR-gels

At the aggregate scale (Figure 9), the gel pressure increases with the volumetric fraction of ASR-gels  $\varphi_{gel}$  (assumed to be proportional to the number of ASR-gel moles, determined by Equation (4) and to the molar volume of gels  $V_{gel}^{mol}$ ) and decreases with the volumetric deformation of the aggregate due to elastic strain  $\varphi_{el}^{agg}$ 

- 297 (elastic deformation of pore volume containing gels) and with the volumetric concrete
- 298 cracking  $\varphi_{cr}^c$  (cracks are filled by ASR-gels).
- 299 It is also necessary to consider that a part of the volume of gel produced at the
- 300 beginning of the reaction does not cause significant expansion. This can be
- 301 established by two experimental observations:
- 302 the absence of expansion for small reactive particles,
- 303 the delay of expansion at the beginning of the reaction for large reactive particles,
- followed by a slope break of the expansion rate, whereas alkali consumption does not
- 305 show any slope break but has an exponential evolution [19] as would be expected for
- 306 equations of diffusion and reaction.
- 307 Several assumptions can explain this behaviour. Previous modelling assumed that it
- 308 could be explained by the migration of ASR-gels in connected porosity very close to
- 309 the reactive aggregate [14]–[17], [39], [41], [46]. This assumption appeared to be
- 310 valid since very small distances were necessary to obtain good quantification (less
- than 10 μm) [17]. This assumption may be suitable for very reactive aggregate. In this
- case, the gels are first produced close to the cement paste and can partly migrate in the
- surrounding porosity [11], [47]–[49]. However, many reactive aggregates do not show
- any gels in cement paste before the first cracking [11], [12], [45], [49]. Other
- 315 explanations concerning the aggregates characteristics effects can also be derived
- 316 from fracture mechanics: cracks development in aggregate and resulting concrete
- 317 cracking are dependant of the internal reactive silica distribution in aggregate, of the
- 318 shape, of the size of aggregates relatively to the specimen ones, and of the distance of
- 319 the aggregates from the edges. Moreover, very large aggregates expand due to the
- 320 effect of a network of cracks developing in them; this aggregate cracking could make
- 321 the expansion slower. These factors, not yet considered in the model, can explain a

part of the difference in the start of expansions curves between small and large aggregates. Chemical considerations could also explain the lack of expansion at the beginning of the reaction by the differences of expansive behaviour of ASR-products according to their time of formation. ASR-gels produced during the initial time of reaction could be less efficient to cause expansion [50]–[53]. This phenomenon can also be described as a non-expansive surface absorption of alkali by reactive silica at the beginning of the processes [54].

Consequently, a part of the gels produced by ASR,  $\varphi_{0\_exp}$ , has to be removed from the total gel volume to represent this effect. Finally the pressure in ASR-gel can be written as:

$$p_{gel} = M_{gel} \cdot \left( \varphi_{gel} - \varphi_{0\_exp} - \varphi_{el}^{agg} - \varphi_{cr}^{c} \right) \tag{10}$$

with  $M_{gel}$  the Biot Modulus of gel in aggregate. As not all the porosity of aggregate is filled by ASR-gels, the Biot Modulus is assessed according to the volume of ASRgels  $\varphi_{gel}$  contained by the aggregate as proposed by usual poromechanics [55]–[57]. The mechanisms resulting in only little expansion at the beginning of the reaction should be progressive. Therefore, the volume of ASR-gels leading to slight expansion at the beginning of the reaction was taken to be proportional to ASR-gel pressure as proposed in [58].

$$\varphi_{0\_exp} = \frac{4}{3}\pi \left( R_{agg}^3 - \left( R_{agg} - t_{0\_exp} \right)^3 \right) \cdot \frac{p_{gel}}{R_t}$$

$$\tag{11}$$

with  $t_{0\_exp}$  an average thickness to quantify the volume of the gels inefficient for expansion. This parameter was calibrated to obtain the volume of ASR-gels that had to be removed to obtain non-expansion of the smallest reactive particles and the delay in expansion for the largest.

- With equation (11), gel pressure acts on the concrete as soon as gel is formed but the majority of the gel does not cause expansion until the gel pressure causes stress equal to the tensile strength of the material. Once the stress induced by this gel pressure exceeds the tensile strength, the volume of gels inefficient for expansion is assumed to be reached and all the supplementary gels cause pressure.
- 348 In poromechanics, the elastic volumetric deformation of pore volume containing gels
- 349  $\varphi_{el}^{agg}$  can be assessed by:

$$\varphi_{el}^{agg} = b_{gel} \cdot \varepsilon_{el}^{agg} \tag{12}$$

- $b_{gel}$  is the Biot coefficient due to gel formation in the aggregate. As for the Biot
- modulus, it is assessed according to the gel volume in the aggregate [55]–[57].
- 352 The volume of concrete cracks  $\varphi_{cr}^c$  can be assessed by plastic or damage modelling.
- 353 The calibration of the parameters acting on the expansion level  $(V_{gel}^{mol})$  and  $t_{0\_exp}$  will
- be modified according to the capacity of the concrete to restrain the expansion due to
- 355 gel formation [59]. Therefore, the parameters  $V_{gel}^{mol}$  and  $t_{0\_exp}$  will depend on the
- assumptions of the mechanical modelling used and particularly on the assessment of
- 357 the loss of rigidity due to cracking (with or without crack reclosure, consideration of
- 358 creep, etc.). In the present work, the previous anisotropic damage modelling coupled
- with creep presented in [44] was used. However, the cracking criterion is on the gel
- 360 pressure (equation 10) here, which causes aggregate cracking first and then leads to
- 361 concrete cracking.

#### 2.4.2 Resulting pressure in concrete

- 363 At the concrete scale (Figure 9), the volumetric deformation of aggregate under ASR-
- 364 gel pressure,  $\varphi_{def}^{agg}$ , leads to the deformation of the concrete, which can be evaluated
- through the action of an equivalent pressure of aggregate on the concrete:

$$p_{agg} = M_{agg} \cdot \left( \varphi_{def}^{agg} - \varphi_{el}^{c} \right) \tag{13}$$

366 with  $M_{agg}$  the Biot Modulus of aggregate in concrete determined by usual

poromechanics [55]–[57] and  $\varphi_{el}^c$  the elastic deformation of the concrete,

368 and:

$$\varphi_{el}^{c} = b_{aqq} \cdot \varepsilon_{el}^{c} \tag{14}$$

369 with  $b_{agg}$  the Biot coefficient of aggregate pressure on concrete (due to gel pressure

in the aggregate). It is assessed according to the aggregate content in the concrete

through usual poromechanics relationships [55]–[57]. It is thus possible to evaluate

the gel pressure according to the conditions of compressibility of ASR-gels, aggregate

and concrete.

Finally, the aggregate pressure on the concrete is linked to the gel pressure through:

$$p_{agg} = b_{gel} \cdot p_{gel} \tag{15}$$

As proposed in [43], [56], the poromechanical constitutive law then becomes:

$$\sigma = K_d^c \cdot (\varepsilon - \varepsilon_{an}) - \sum_{agg} b_{agg} \cdot p_{agg}$$
(16)

- 376 with  $K_d^c$  the concrete compressibility taking account of damage in the mechanical
- 377 modelling used here, and  $\varepsilon_{an}$  the anelastic strains due to creep and cracking.
- Finally, in the poromechanics approach proposed in this paper, the ASR-expansion of
- 379 concrete results from two causes: the pressure due to the aggregate deformation under
- ASR-gel formation and the cracking induced by ASR-gels in the aggregate.

#### 3. Case studies

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382 The previous equations are now used to analyse two experiments in leaching

conditions drawn from the literature. The first one is the well-documented experiment

on the impact of leaching on ASR-expansion performed by Lindgård [5], [60]. In this study, the alkali leaching and expansion were both evaluated on the same specimens. This provided data that was very interesting for the analysis of the effect according to specimen size and environmental storage. The second experiment is one used to evaluate the impact of the competition between diffusion into reactive aggregates and leaching out of the specimen. To simplify the analysis and the comparison, the values of the parameters depending on temperature are given for the reference temperature of 20°C. In the calculations, the values at storage temperature during the test are calculated through the Arrhenius law with the activation energies given in the first part of this paper.

#### 3.1 Impact of leaching on expansion

The impact of leaching on ASR-expansion was analysed from experimental results obtained at 38°C on specimens with cross sections of 70x70 mm and 100x100 mm kept at 95% RH and in water [5], [60], [61]. The experiments gave alkali leaching (Figure 10) and measured expansion (Figure 11) over two years for the two sizes of specimens and for two moisture conditions (95% with limited leaching and in water with high leaching) [5]. The total alkali leaching (alkali content at the bottom of the storage containers and alkali content in the lining) was given at two time steps (1 and 2 years). For the other time steps, the alkali content at the bottom of the storage containers was given (but not the alkali content in the lining). To obtain data of the total leaching for intermediate time steps between the beginning and the first year and between the first and the second years, it was supposed that the ratio between alkali in the containers and total leached alkali was the same throughout the experiments (Figure 10).

The first difficulty encountered in analysing the results was to represent the external conditions of leaching. The storage at 95% RH does not give a boundary condition that is easy to model for alkali external diffusion since such storage should not lead to leaching. In reality, the leaching was due to the condensation of water vapour on the surfaces of specimens following small temperature variations during the tests. The boundary condition was obtained by inverse analysis of the total leached alkali given by experiments (Figure 10). As alkali bound by ASR-gels influences this total amount of leached alkali, the inverse analysis of boundary conditions and the calibration of expansion parameters were performed simultaneously for the most limited leaching (specimens with cross section of 100x100 mm kept at 95% RH). Once expansion parameters had been determined for these conditions (Table 1), only the boundary conditions were modified to obtain the other leaching curves (Figure 10 – storage in water and specimens with cross sections of 70x70 mm) with no modification of the expansion parameters. With good reproduction of leaching results (Figure 10), ASRexpansions calculated by the previous equations were in good agreement with expansions measured during experiments (Figure 11). The differences of expansion for the two sizes of specimens studied in [5] could be quantified by the differences of ASR-advancement in specimens (Figure 12) due to alkali leaching. In the specimens with severe conditions of leaching, expansion stopped when the alkali concentration dropped below the alkali threshold obtained through Equations (5) and (6) (for 38°C, the alkali threshold is estimated at about 260 mmol/l). This analysis validated the simplified representation of the alkali threshold for the assessment of ASR-expansion in various alkali conditions. Moreover, the decrease of expansion with the specimen size could then be explained by the alkali leaching alone. In this case, it was not useful to assess the volume of gels lost by permeation through cracks as for

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experiments on specimens kept in alkali solution [9]. This effect of gel permeation appeared to be negligible compared with the alkali leaching effect for the sizes of specimens and the conditions of this experiment. Lastly, modelling can assess a theoretical value of expansion which could appear in concrete if no leaching occurred (Figure 11) within the outlines of the assumptions proposed here. This is an important value for structural analysis since, in the core of large damaged structures, leaching should be negligible. However, it cannot be stated that it will represent the real expansion in damaged structures, because of environmental conditions (moisture conditions, temperature effects on viscosity and/or molar volume of ASR-gels, mechanical conditions) or other disturbing effects (gel permeation through cracks). The modelling confirms the large impact of alkali leaching on ASR-expansion measured on specimens. Commonly used expansion tests should not be extrapolated to large structures without using multi-scale analysis.

# 3.2 Competition between alkali diffusion in aggregate and external leaching

The previous equations were finally used to analyse the pessimum effect of expansion with aggregate size [62]–[64]. The pessimum effect obtained in [59] can be reproduced by the previous equations (Figure 13 and Figure 14) with a single set of parameters (Table 1) and thus could be explained by alkali leaching in conditions of moisture similar to Lindgård's ones (95% RH). Obtaining smaller expansion for larger reactive aggregate can then be explained by the competition between alkali diffusion in the aggregate and external leaching. The time necessary for alkali to reach the core of the aggregate is longest for the largest aggregates. At the same time, part of the alkali is leached out of the specimens. The result of the competition between

the two transport systems is that a larger amount of alkali is leached for concrete containing larger reactive aggregate and thus smaller resulting expansion even after a long time of stabilization of the phenomena (Figure 13). Differences can be noted between the parameters of the first case study and this one (Table 1). For the diffusion in the aggregate, characteristic time of silica attack and thickness of non-expansive gels, they could be explained by differences in the nature and size of the reactive aggregates. The difference of molar volume of ASR-gels can be due to the effect of storage temperature on the composition of gels (differences of expansion with temperature were observed on the concrete studied in Lindgård's experiments [5]). This second study confirms, by calculations, that the pessimum effect with aggregate size in leaching conditions could be due to the competition between diffusion into the aggregate and alkali diffusion out of the specimens. However this remains theoretical since no leaching data was available and a pessimum effect can exist even when leaching is impossible (storage in alkali solutions [9]). Experiments with different sizes of reactive aggregate and control of alkali leaching would be necessary to estimate the proportions of expansion decrease that are due to alkali leaching and to gel permeation (which is the explanation proposed in the case of storage in alkali solution) and finally to validate such modelling.

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#### 4. Conclusion

Alkali-silica reaction expansion tests can be disturbed by several phenomena in laboratory conditions. One of the main consequences is the difficulty of using expansion tests on specimens to analyse the behaviour of ASR-damaged structures. Modelling has to take these effects into account to help in the analysis of laboratory tests and to make the link between experimentation on specimens and structural diagnosis. Scale-up methods have been used and combined to quantify the phenomenon (alkali diffusion and chemical reactions at the aggregate scale, alkali transport at the specimen scale taking into account the alkali consumption from the lower scale). At the aggregate scale, a mass balance equation with a sink term is necessary to obtain simplified but realistic kinetics of ASR-expansion. The alkali transport in aggregate is necessary to reproduce the dependence of the ASR kinetics on size for aggregates with high and intermediate reactivity. The sink term depending on alkali concentration is necessary to represent alkali fixation and to reproduce ASR kinetics of aggregates with low reactivity and mixes of reactive aggregate of different sizes (particularly the impact of the finest reactive particles for aggregates with intermediate reactivity).

The main interest of the present work lies in:

- The possibility to assess the apparent alkali threshold according to temperature and calcium concentration with simplified equations. Thus the alkali threshold defined in the first modelling [17] can be explained by the conditions of equilibrium between alkali and calcium ions [18]. Alkali concentration below which there is no expansion is not a threshold in silica attack but a concentration under which gels contain mainly calcium and are not significantly expansive. Differences of expansions on specimens in leaching conditions drawn from literature have been reproduced using the proposed equations to assess the alkali threshold.
- The analysis of the impact of the coupling between alkali transport in aggregate (characterized by a coefficient of diffusion) and silica reactivity

506	(determined through a characteristic time of silica attack) on ASR-gel
507	production to reproduce kinetics according to aggregate size and distribution.
508	The volume of gels thus calculated can be used in mechanical modelling.
509	- The combination of the mass balance at aggregate scale with the alkali
510	transport at the concrete scale taking the alkali diffusion in aggregate, the
511	binding of alkali in ASR-gels and the alkali diffusion out of specimens into
512	account simultaneously. Due to the high mobility of alkali ions, alkali leaching
513	should not be neglected in ASR-modelling, particularly when analysing
514	expansions in specimens, since it induces a significant expansion gradient
515	between the core and the external surface.
516	After the development of modelling at the aggregate scale [15]-[17], this work is a
517	second step to obtain the capacity to analyse ASR-expansion in specimens kept in
518	usual conditions in the laboratory. Based on this multi-scale modelling, it is possible
519	to analyse the causes of the ASR scale effect due to alkali leaching, which can induce
520	differences of expansion with specimen sizes. The next step will be to consider the
521	permeation of ASR-gels through cracks.

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- 525 'Impact of leaching on expansion'.

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## Table

702 Table 1: Parameters for the calculations presented in the two case studies for the reference temperature of  $20^{\circ}$ C

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- /	v	т

		Lindgård et al.	Multon et al.
T	storage temperature (°C)	38°C	60°C
$D_c$	alkali diffusion in concrete (m²/s)	1.e-12	1.e-12
$D_{agg}$	transport in aggregate (m²/s)	1.35e-15	0.4e-15
$ au_{ASR}$	characteristic time of silica attack (day)	400.	1200.
$t_{0\_exp}$	thickness of non-expansive gels (µm)	12.	5.
$V_{gel}^{mol}$	molar volume of ASR-gels (cm <sup>3</sup> /mol)	105.	71.

## 707 Figures

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#### **Aggregate scale**

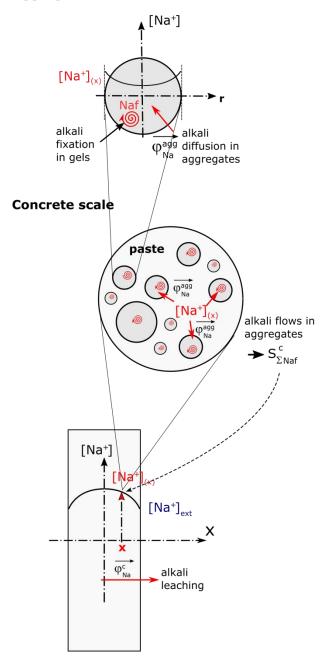


Figure 1: Alkali mass balance at aggregate scale (with diffusion and fixation in ASR-gels), at concrete scale and at specimen scale (diffusion in the specimen)

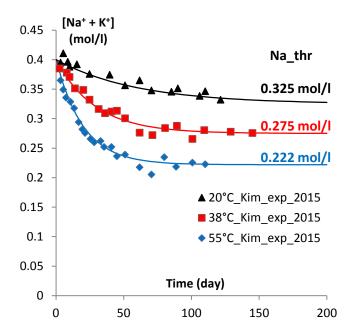


Figure 2: Evolution of alkali concentration in mortars subjected to ASR for three temperatures (experimental results from Kim et al., 2015 [19])

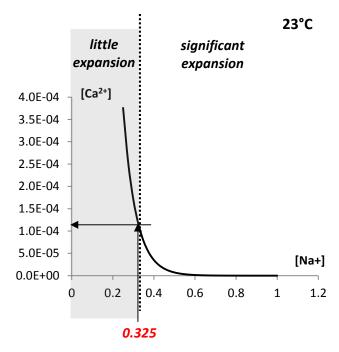


Figure 3: Calcium concentration (mol/l) according to alkali concentration (mol/l) in solution at 23°C due to portlandite equilibrium and limit of expansion of ASR-gels

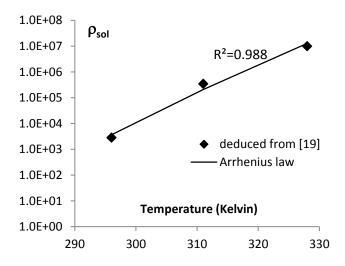


Figure 4: Evolution of  $ho_{sol}^{(T)}$  for the determination of alkali threshold with temperature

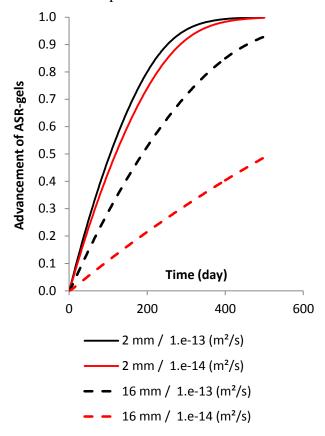


Figure 5: Kinetics of gel formation for coefficients of diffusion of  $1.^{e-13}$  m²/s and  $1.^{e-14}$  m²/s and the same characteristic time of reaction of 500 days for two sizes of aggregate (mean diameters 2 and 16 mm)

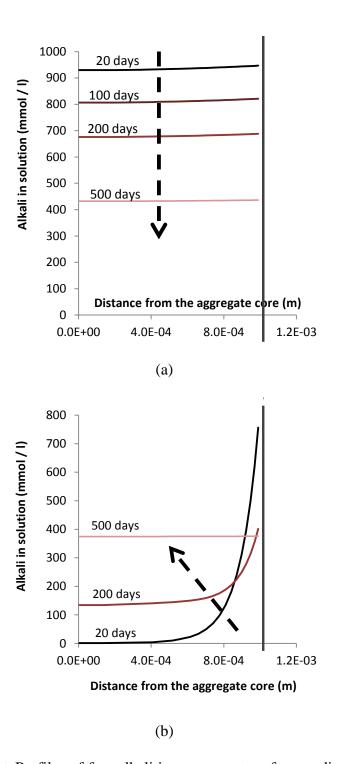
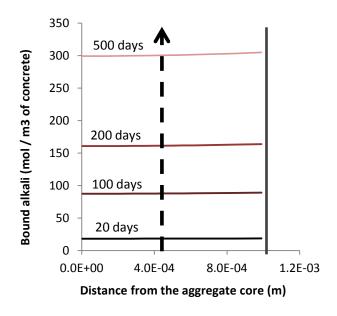


Figure 6: Profiles of free alkali in an aggregate of mean diameter of 2 mm: (a) coefficient of diffusion of  $1.^{e-13}$  m²/s and characteristic time of reaction of 1000 days, (b) coefficient of diffusion of  $1.^{e-15}$  m²/s and characteristic time of reaction of 100 days



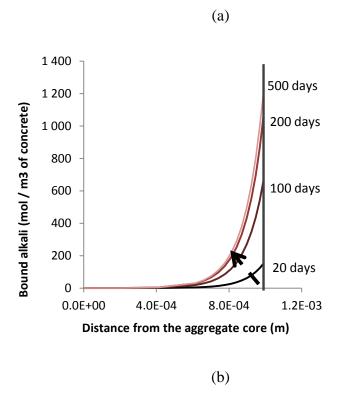


Figure 7: Profiles of alkali bound in ASR-gels for an aggregate of mean diameter of 2 mm: (a) coefficient of diffusion of  $1.^{e-13}$  m²/s and characteristic time of reaction of 1000 days, (b) coefficient of diffusion of  $1.^{e-15}$  m²/s and characteristic time of reaction of 100 days

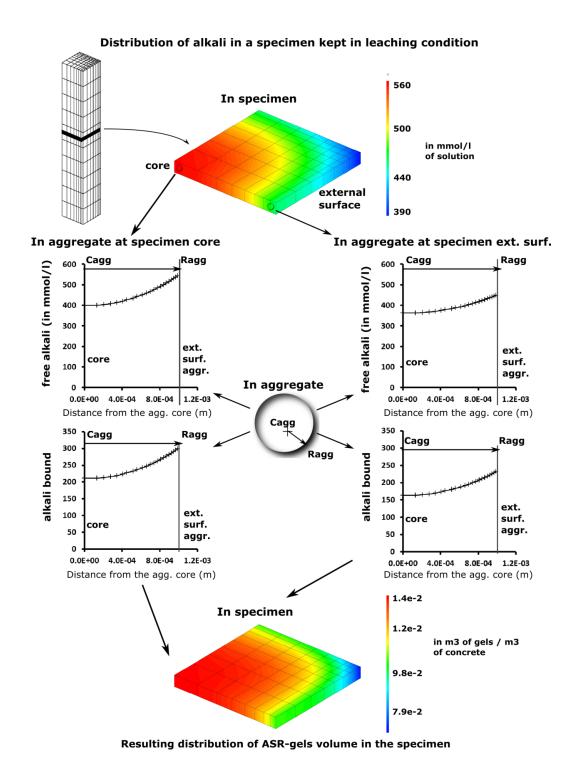


Figure 8: Slices of specimens (100x100 mm cross section) subjected to ASR in moderate leaching condition (aggregate coefficient of diffusion of 1.e-14 m²/s and characteristic time of reaction of 500 days). Top: distribution of alkali in specimen, middle: gradient of free and bound alkali in aggregates in the core and at the external surface of the specimen, bottom: resulting distribution of ASR-gels in specimen

#### Aggregate scale

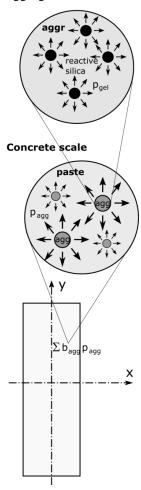


Figure 9: Pressure induced by ASR-gels in aggregate and resulting pressure in concrete

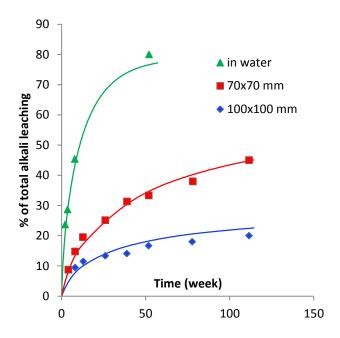


Figure 10: Total alkali leaching according to specimen size and storage in Lindgard's experiments [5] (points) and numerical modelling (curves)

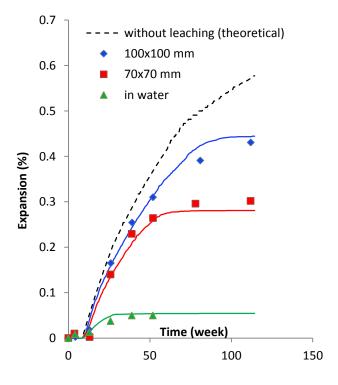


Figure 11: Expansion according to specimen size and storage in Lindgard's experiments [5] (points) and numerical modelling (curves)

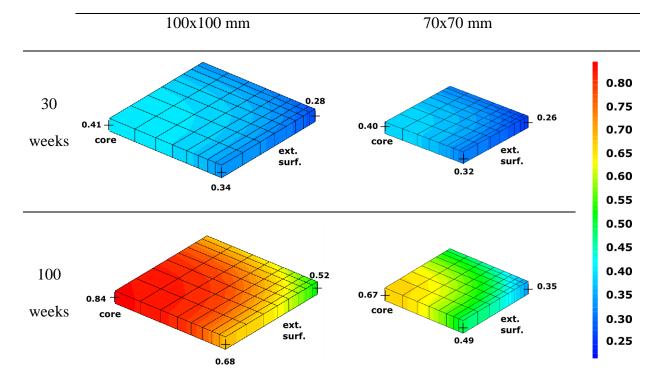


Figure 12: Advancement of ASR in one size of aggregate in the specimens of Lindgard's experiments [5] after 30 and 100 weeks

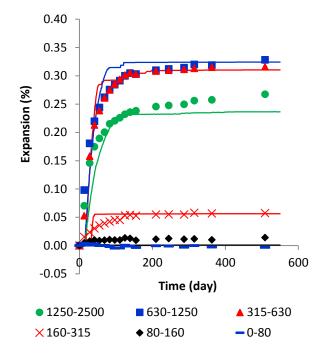


Figure 13: Expansion according to aggregate size [59] (points) and numerical modelling (curves)

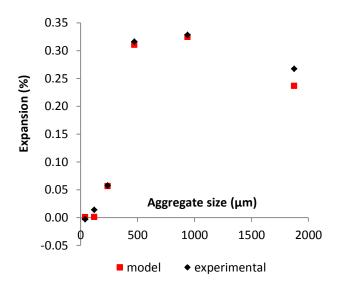


Figure 14: Pessimum effect in expansion due to aggregate size, experimentation and modelling