

# THE KINETIC FOKKER-PLANCK EQUATION WITH WEAK CONFINEMENT FORCE

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### THE KINETIC FOKKER-PLANCK EQUATION WITH WEAK CONFINEMENT FORCE

### CHUQI CAO

### Version of January 30, 2018

ABSTRACT. We consider the kinetic Fokker-Planck equation with weak confinement force which do not have an exponential convergence to the equilibrium. We proved some (polynomial and sub-exponential) rate of convergence to the equilibrium (depending on the space to which the initial datum belongs). Our results generalized the result in [5] [1] [2] to weak confinement case.

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### 1. Introduction

In this paper, we consider the weak hypocoercivity issue for the kinetic Fokker-Planck(KFP for short) equation

(1.1) 
$$\partial_t f = \mathcal{L}f := -v \cdot \nabla_x f + \nabla_x V(x) \cdot \nabla_v f + \Delta_v f + div_v(vf),$$

for a density function f = f(t, x, v), with  $t \ge 0$ ,  $x \in \mathbb{R}^d$ ,  $v \in \mathbb{R}^d$ . The evolution equations are complemented with an initial data

$$f(0,) = f_0 \quad on \ \mathbb{R}^{2d}.$$

We make the fundamental assumption on the confinement potential V

$$V(x) = \langle x \rangle^{\gamma}, \quad \gamma \in (0, 1],$$

where  $\langle x \rangle^2 := 1 + |x|^2$ .

We make some fundamental observations. One is mass conservative,  $M(f_0) = M(f(t, .))$ , where we define

$$M(f) = \int_{R^d \times R^d} f dx dv.$$

We also observe the existence of steady state G for the KFP models  $\mathcal{L}G=0$ , given by  $G=Ce^{-W}, W=\frac{v^2}{2}+V(x)$ , C is the normalizing constant such that

$$M(G) = 1.$$

Also observe that contrary to the case  $\gamma \geq 1,$  a Poincaré inequality of the type

$$\exists c>0, \quad \int_{\mathbb{R}^d} |f(x)|^2 exp(-V(x)) dx \leq c \int_{\mathbb{R}^d} |\nabla f(x)|^2 exp(-V(x)) dx,$$

for f such that

$$\int_{\mathbb{R}^d} f(x) exp(-V(x)) dx = 0,$$

does not hold, but only a weaker version of this inequality remains true (see [10], or below section 2). In particular, there is no spectral gap for the associated operator  $\mathcal{L}$ , nor is there an exponential trend to the equilibrium for the associated semigroup.

In order to state our main result, we introduce some notations.

We will denote H=1+J+K+Q, where  $J=x^2, K=2v\cdot x, Q=3v^2$ . Observe that  $\frac{1}{2}(x^2+v^2+1)\leq H\leq 4(x^2+v^2+1)$ , which means H is equivalent to  $x^2+v^2+1$ 

For a given weight function m, we will denote  $L^p(m) = \{f | fm \in L^p\}$  and  $||f||_{L^p(m)} = ||fm||_{L^p}$ .

With these notations we can introduce the main result of this paper:

**Theorem 1.1.** (1) For any initial data  $f_0 \in L^p(G^{-(\frac{p-1}{p}+\epsilon)})$ ,  $p \in [1, \infty)$ ,  $\epsilon > 0$  small, the associated solution f(t, .) of the kinetic Fokker-Planck equation (1.1) satisfies

$$||f(t,.) - M(f_0)G||_{L^p(G^{-\frac{p-1}{p}})} \lesssim e^{-Ct^b} ||f_0 - M(f_0)G||_{L^p(G^{-(\frac{p-1}{p} + \epsilon)})}.$$

$$\forall 0 < b < \frac{\gamma}{2 - \gamma}.$$

(2)Let  $m = H^k$ , 0 < k, For any initial data  $f_0 \in L^1(m)$ , the associated solution f(t, .) of the kinetic Fokker-Planck equation (1.1) satisfies

$$||f(t,.) - M(f_0)G||_{L^1(m^{\theta})} \lesssim (1+t)^{-a} ||f_0 - M(f_0)G||_{L^1(m)},$$
  
 $\forall 0 < \theta < 1, \ \forall 0 < a < \frac{k(1-\theta)}{1-\frac{\gamma}{2}}.$ 

Remark 1.2. The constant in the theorem does not depend on  $f_0$ , only depends on  $\gamma, d, \epsilon, \theta$ 

Remark 1.3. The Theorem 1.1 also true when V behaves like  $\langle x \rangle^{\gamma}$ , that is for any V satisfying

$$C_1 \langle x \rangle^{\gamma} \le V(x) \le C_2 \langle x \rangle^{\gamma}, \quad \forall x \in \mathbb{R}^d,$$

$$C_3|x|\langle x\rangle^{\gamma-1} \le x \cdot \nabla_x V(x) \le C_4|x|\langle x\rangle^{\gamma-1}, \ \forall x \in B_R^c$$

and

$$|D_x^2 V(x)| \le C_5 \langle x \rangle^{\gamma - 2}, \quad \forall x \in \mathbb{R}^d,$$

for some constant  $C_i > 0$ , R > 0.

Remark 1.4. There are many classical results on the case  $1 \leq \gamma$ . In this case there is an exponentially decay proved by Villani [5] and Dolbeault, Mouhot, Schmeiser [1] [2]

For any initial data  $f_0 \in L^2(G^{-1/2})$ , f(t,.) is the solution of (1.1) correspond to this  $f_0$ , we have:

$$||f(t,.) - M(f_0)G||_{L^2(G^{-1/2})} \lesssim e^{-Ct} ||f_0 - M(f_0)G||_{L^2(G^{-1/2})}$$
.

Let us briefly explain the main ideas behind our method of proof.

We first introduce four spaces  $E_1 = L^2(G^{-1/2})$ ,  $E_2 = L^2(G^{-1/2}e^{\epsilon_1 V(x)})$ ,  $E_3 = L^2(G^{-(1+\epsilon_2)/2})$  and  $E_0 = L^2(G^{-1/2}\langle x \rangle^{\gamma-1})$  (remember  $\gamma \in (0,1)$ ), take  $\epsilon_1 > 0$  and  $\epsilon_2 > 0$  small such that  $E_3 \subset E_2 \subset E_1 \subset E_0 \subset L^2$ , and  $E_1$  is an exponentially interpolation between  $E_0$  and  $E_2$ . We first use an argument as in [1][2] to prove, for any  $f_0 \in E_3$ , the solution to the KFP equation 1.1 satisfies

$$\frac{d}{dt}||f(t)||_{E_1} \le -\lambda ||f(t)||_{E_0},$$

for some constant  $\lambda > 0$ . We use this and Duhamel formula to prove

$$||f(t)||_{E_2} \lesssim ||f_0||_{E_3}.$$

Combine the two inequalities and using a exponentially interpolation argument as in [3], we can have

$$||f(t)||_{E_1} \lesssim e^{-at^b} ||f_0||_{E_3},$$

for some  $a > 0, b \in (0, 1)$ .

We then generalize the decay estimate to a wider class of Banach spaces by adapting the extension theory introduced in [11] and developed in [4] [12]. We first introduce a notation.

If  $\mathcal{T}_i$  with i = 1, 2 are two given operator valued measurable functions defined on  $(0, \infty)$ , we denote by

$$(\mathcal{T}_1 * \mathcal{T}_2)(t) = \int_0^t \mathcal{T}_1(s)\mathcal{T}_2(t-s)ds,$$

their convolution on  $\mathbb{R}_+$ . We then denote  $\mathcal{T}^{(*0)} := 1$ ,  $\mathcal{T}^{(*1)} := \mathcal{T}$  and for any  $k \geq 2$ ,  $\mathcal{T}^{(*k)} := \mathcal{T}^{*(k-1)} * \mathcal{T}$ .

Then we consider two Banach spaces  $E_4 = L^1(m)$  and  $E_5 = L^1(m^{\theta})$ ,  $\theta \in (0,1)$ . We introduce a splitting  $\mathcal{L} = \mathcal{A} + \mathcal{B}$ , where  $\mathcal{A}$  is an appropriately defined bounded operator so that  $\mathcal{B}$  becomes a dissipative operator. Then we can show that, for any integer  $k \geq 0$ , we have

(1.3) 
$$\|((S_{\mathcal{B}}\mathcal{A})^{*k} * S_{\mathcal{B}})(t)\|_{E_4 \to E_5} \in L^1(\mathbb{R}^+),$$

and for some n large, we have

$$\|(\mathcal{A}S_{\mathcal{B}})^{*n}(t)\|_{E_4 \to E_3} \in L^1(\mathbb{R}_+),$$

and our weight m is small such that

$$||I||_{E_1 \to E_5} \lesssim 1.$$

Using the semigroup version of Duhamel formula

$$S_{\mathcal{L}} = S_{\mathcal{B}} + S_{\mathcal{B}} * (\mathcal{A}S_{\mathcal{L}}) = S_{\mathcal{B}} + (S_{\mathcal{B}}\mathcal{A}) * S_{\mathcal{L}}$$
$$= S_{\mathcal{B}} + S_{\mathcal{L}} * (\mathcal{A}S_{\mathcal{B}}) = S_{\mathcal{B}} + (S_{\mathcal{L}}\mathcal{A}) * S_{\mathcal{B}}$$

Iterating this formula we get

(1.6) 
$$S_{\mathcal{L}} = S_{\mathcal{B}} + \sum_{l=1}^{n-1} (S_{\mathcal{B}}) * (\mathcal{A}S_{\mathcal{B}})^{(*l)} + S_{\mathcal{L}} * (\mathcal{A}S_{\mathcal{B}}(t))^{*n},$$

using the above formula (1.6) and the estimates (1.3) (1.4) (1.5) we can conclude  $||S_{\mathcal{L}}||_{E_4 \to E_5} \in L^1(\mathbb{R}_+)$ , which is a rough version of the estimates presented in Theorem 1.1.

The organization of the following paper is as follows:

Section 2 we will prove a  $L^2$  estimate for the KFP model.

In section 3 we will use the result in Section 2 to prove the  $L^2$  convergence for the KFP equation, which is the (1) p=2 of the Theorem 1.1

Section 4 we introduce a splitting  $\mathcal{L} = \mathcal{A} + \mathcal{B}$  and prove some  $L^1$  estimate on semigroup  $S_{\mathcal{B}}$ .

Section 5 is the proof of a regularization estimate on  $S_{\mathcal{B}}$  to go from  $L^2$  to  $L^1$  and  $L^{\infty}$ 

Section 6 use the regularization lemma to prove  $L^p$  convergence for KFP equation, which is Theorem 1.1.

### 2. $L^2$ framework: Dirichlet form and rate of convergence estimate

For later discussion we introduce some notations for the whole paper. The first is the splitting of the KFP operator  $\mathcal{L} = \mathcal{T} + \mathcal{S}$ , where  $\mathcal{T}$  stand for the transport part:

$$\mathcal{T}f = -v \cdot \nabla_x f + \nabla_x V(x) \cdot \nabla_v f,$$

and S stand for the collision operator

$$\mathcal{S}f = \Delta_v f + div_v(vf),$$

We will denote the cut-off function  $\chi$  such that  $\chi(x,v) \in [0,1], \ \chi(x,v) \in C^{\infty}, \ \chi(x,v) = 1$  when  $x^2 + v^2 \leq 1$ ,  $\chi(x,v) = 0$  when  $x^2 + v^2 \geq 2$ , and denote  $\chi_R = \chi(x/R, v/R)$ .

We introduce the splitting of the KFP operator  $\mathcal{L}$  and denote it by

$$\mathcal{L} = \mathcal{A} + \mathcal{B}, \quad \mathcal{A} = M \chi_R(x, v).$$

We will denote  $S_{\mathcal{L}}(t)$  the semigroup associated with operator  $\mathcal{L}$ , similarly  $S_{\mathcal{B}}(t)$  the semigroup associated with operator  $\mathcal{B}$ . We use  $\int f$  in place of  $\int_{\mathbb{R}^d \times R^d} f dx dv$  for short, similarly  $\int f dx$  means  $\int_{\mathbb{R}^d} f dx$ ,  $\int f dv$  means  $\int_{\mathbb{R}^d} f dv$ .  $B_{|x| \leq \rho}$  is used to denote the ball such that  $\{x \in \mathbb{R}^d | |x| \leq \rho\}$ , similarly  $B_{\rho}$  means the ball such that  $\{x, v \in \mathbb{R}^d | |x|^2 + v^2 \leq \rho\}$ .

For  $V(x) = \langle x \rangle^{\gamma}$ ,  $0 < \gamma \le 1$ , when we write the term  $\langle \nabla V \rangle^{-1}$  and  $\langle \nabla V \rangle$ ,  $\langle \nabla V \rangle^{-1}$  means  $\langle x \rangle^{1-\gamma}$ ,  $\langle \nabla V \rangle$  means  $\langle x \rangle^{\gamma-1}$ .

And we denote the projection operator  $\Pi f = M(f)G$ .

With these notations we introduce the Dirichlet form adapted to our problem. We define

$$\rho_f = \rho[f] = \int f dv, \ \ j_f = j[f] = \int v f dv.$$
 
$$M = C e^{-v^2/2}, \ \int M dv = 1, \ \pi f = M \rho_f, \ \pi^{\perp} = 1 - \pi, \ f^{\perp} = \pi^{\perp} f.$$

Recall for the kinetic Fokker-Planck model

$$\mathcal{S}f = \Delta_v f + div_v(vf), \mathcal{T}f = -v \cdot \nabla_x f + \nabla_x V(x) \cdot \nabla_v f,$$

define an elliptic operator and its dual

$$\Delta_V u := div_r (\nabla_r u + \nabla_r V u), \quad \Delta_V^* u = \Delta_r u - \nabla_r V \cdot \nabla_r u,$$

we define  $u = (\Delta_V^*)^{-1}\xi$  the solution to the above elliptic equation (note that u can differ by a constant)

$$\Delta_V^* u = \xi \text{ on } \mathbb{R}^d, \text{ with } 0 = \langle ue^{-V} \langle \nabla V \rangle^{-2} \rangle := \int ue^{-V} \langle \nabla V \rangle^{-2} dx,$$

we use this notation to define a scalar product by

$$((f,g)) := (f,g)_{\mathcal{H}} + \epsilon(\Delta_V^{-1}\nabla_x j_f, (\rho_g e^V \langle \nabla V \rangle^2))_{L^2}$$

$$+ \epsilon((\rho_f e^V \langle \nabla V \rangle^2), \Delta_V^{-1}\nabla_x j_g)_{L^2}$$

$$= (f,g)_{\mathcal{H}} + \epsilon(j_f, \nabla_x (\Delta_V^*)^{-1} (\rho_g e^V \langle \nabla V \rangle^2))_{L^2}$$

$$+ \epsilon((\nabla_x (\Delta_V^*)^{-1} (\rho_f e^V \langle \nabla V \rangle^2), j_q)_{L^2},$$

and we define

$$D[f] := ((-\mathcal{L}f, f))$$

$$= (-\mathcal{L}f, f)_{\mathcal{H}} + \epsilon(\Delta_V^{-1}\nabla_x j[-\mathcal{L}f], (\rho_f e^V \langle \nabla V \rangle^2))_{L^2}$$

$$+ \epsilon((\rho[-\mathcal{L}f]e^V \langle \nabla V \rangle^2), \Delta_V^{-1}\nabla_x j_f)_{L^2}.$$

With these notations we can come to our first theorem.

**Theorem 2.1.** For the kinetic Fokker-Planck model with  $V(x) = \langle x \rangle^{\gamma}$ ,  $0 < \gamma < 1$ ,  $\mathcal{H} = L^2(G^{-1/2})$   $\mathcal{H}_1 = L^2(G^{-1/2}\langle\nabla V\rangle)$ , we define  $\mathcal{H}_0 = \{h \in \mathcal{H}, \int f dx dv = 0\}$ . Then there exists  $\epsilon > 0$  small such that on  $\mathcal{H}_0$  the norm ((f, f)) defined above is equivalent to the norm of  $\mathcal{H}$ , moreover there exist  $\lambda > 0$ , such that

$$D[f] \ge \lambda ||f||_{\mathcal{H}_1}^2, \quad \forall f \in \mathcal{H}_0$$

Remark 2.2. Note that

$$\langle \rho_f e^V \langle \nabla V \rangle^2 e^{-V} \langle \nabla V \rangle^{-2} \rangle dx = \int \rho_f dx = 0,$$

this is one of the core of the construction.

Remark 2.3. in Villani's paper [5], a  $H^1$  version of our theorem is established for the kinetic Fokker-Planck operator for both the case  $r \ge 1$  and  $0 < r \le 1$ .

Remark 2.4. Our first statement is a generalization of [1] [2], and the method of our second estimate is based on [3].

Before proving the theorem, we need some lemmas.

First we need local Poincare inequality:

**Lemma 2.5.** We say that W satisfies a local Poincare inequality on a bounded open set  $\Omega$  if there exist some constant  $\kappa_{\Omega} > 0$  such that:

$$\int_{\Omega} h^2 W \le k_{\Omega} \int_{\Omega} |\nabla h|^2 W + \frac{1}{W(\Omega)} (\int_{\Omega} h W)^2,$$

for any nice function  $h: \mathbb{R}^d \to \mathbb{R}$  and where we denote  $W(\Omega) := \langle W1_{\Omega} \rangle$ . Under the assumption  $W, W^{-1} \in L^{\infty}_{loc}(\mathbb{R}^d)$ , we can prove that the function W satisfies the local Poincaré inequality.

Prove of Lemma 2.5: see [9]

And the weak Poincaré inequality.

**Lemma 2.6.** For any  $u \in \mathcal{D}(\mathbb{R}^d)$  such that  $\langle ue^{-V} \langle \nabla V \rangle^{-2} \rangle = 0$ , we have

$$||u||_{L^2(\langle \nabla V \rangle e^{-V/2})} \lesssim ||\nabla u||_{L^2(e^{-V/2})}$$

Proof of Lemma 2.6: We need to prove for any  $h \in \mathcal{D}(\mathbb{R}^d)$  such that

$$\int_{\mathbb{R}^d} h e^{-V} \langle \nabla V \rangle^{-2} = 0,$$

we have

$$\int_{\mathbb{R}^d} |\nabla h|^2 e^{-V} \geq C \int_{\mathbb{R}^d} h^2 e^{-V} \langle x \rangle^{2(\gamma-1)}.$$

Taking  $g=he^{-1/2V},$  we can have  $\nabla g=\nabla he^{-\frac{1}{2}V}-\frac{1}{2}\nabla Vhe^{-\frac{1}{2}V},$  so

$$\int |\nabla g|^2 = \int |\nabla h|^2 e^{-V} + \int h^2 \frac{1}{4} |\nabla V|^2 e^{-V} - \int \frac{1}{2} \nabla (h^2) \cdot \nabla V e^{-V}$$
$$= \int |\nabla h|^2 e^{-V} + \int h^2 (\frac{1}{2} \Delta V - \frac{1}{4} |\nabla V|^2) e^{-V},$$

from this we can deduce for some K and  $R_0 > 0$ 

$$\int |\nabla h|^2 e^{-V} \geq \int \frac{1}{8} h^2 \langle \nabla V \rangle^2 e^{-V} - K \int_{B_{R_0}} h^2 e^{-V} \langle \nabla V \rangle^{-2}.$$

Define

$$\epsilon_R := \int_{B_R^c} e^{-V} \langle \nabla V \rangle^{-6},$$

and

$$Z_R := \int_{B_R} e^{-V} \langle \nabla V \rangle^{-2},$$

then by

$$\int_{\mathbb{R}^d} h e^{-V} \langle \nabla V \rangle^{-2} = 0,$$

we can get

$$\begin{split} (\int_{B_R} h e^{-V} \langle \nabla V \rangle^{-2})^2 & &= (\int_{B_R^c} h e^{-V} \langle \nabla V \rangle^{-2})^2 \\ & &\leq \int_{B_R^c} h^2 e^{-V} \langle \nabla V \rangle^2 \int_{B_R^c} e^{-V} \langle \nabla V \rangle^{-6} \\ & &\leq \epsilon_R \int_{B_R^c} h^2 e^{-V} \langle \nabla V \rangle^2. \end{split}$$

Using Lemma 2.5 we have

$$\begin{split} \int_{B_R} h^2 e^{-V} \langle \nabla V \rangle^{-2} & \leq C_R \int_{B_R} |\nabla h|^2 e^{-V} \langle \nabla V \rangle^{-2} + \frac{1}{Z_R} (\int_{B_R} h e^{-V} \langle \nabla V \rangle^{-2})^2 \\ & \leq C_R' \int_{B_R} |\nabla h|^2 e^{-V} + \frac{\epsilon_R}{Z_R} \int_{B_R} h^2 e^{-V} \langle \nabla V \rangle^2. \end{split}$$

Putting all the inequalities together we have

$$\begin{split} \int h^2 e^{-V} \langle \nabla V \rangle^2 & & \leq 8 \int |\nabla h|^2 e^{-V} + 8K \int_{B_{R_0}} h^2 e^{-V} \langle \nabla V \rangle^{-2} \\ & \leq 8(1 + KC_R^{'}) \int_{B_R} |\nabla h|^2 e^{-V} + \frac{8K \epsilon_R}{Z_R} \int_{B_R} h^2 e^{-V} \langle \nabla V \rangle^2, \end{split}$$

by taking R large such that:  $\frac{8K\epsilon_R}{Z_R} \leq \frac{1}{2}$ , we are done.

**Lemma 2.7.** (Elliptic Estimate) For any  $\xi_1 \in L^2(\langle \nabla V \rangle^{-1}e^{-V/2})$  and  $\xi_2 \in L^2(e^{-V/2})$ , the solution  $u \in L^2(e^{-V/2})$  to the elliptic equation

$$-\Delta_V^* u = \xi_1 + \nabla \xi_2, \ \langle u e^{-V} \langle \nabla V \rangle^{-2} \rangle = 0,$$

satisfies

$$\|u\|_{L^2(\langle \nabla V \rangle e^{-V/2})} + \|\nabla u\|_{L^2(e^{-V/2})} \lesssim A := \|\xi_1\|_{L^2(\langle \nabla V \rangle^{-1}e^{-V/2})} + \|\xi_2\|_{L^2(e^{-V/2})}.$$

Similarly for any  $\xi \in L^2(e^{-V/2})$ , the solution  $u \in L(e^{-V/2})$  to the elliptic problem

$$-\Delta_V^* u = \xi, \ \langle ue^{-V} \langle \nabla V \rangle^{-2} \rangle = 0,$$

satisfies

$$(2.1) ||u||_{L^{2}(\langle \nabla V \rangle^{2}e^{-V/2})} + ||\nabla u||_{L^{2}(\langle \nabla V \rangle e^{-V/2})} + ||D^{2}u||_{L^{2}(e^{-V/2})} \lesssim ||\xi||_{L^{2}(e^{-V/2}\langle \nabla V \rangle^{-1})}.$$

Remark 2.8. I believe in (2.1) we can improve the last term from  $\|\xi\|_{L^2(e^{-V/2}\langle\nabla V\rangle^{-1})}$  to  $\|\xi\|_{L^2(e^{-V/2})}$ , but this result is enough to continue our proof.

Proof of Lemma 2.7: First observe

$$e^{V}div_{x}[e^{-V}\nabla_{x}u] = \Delta_{x}u - \nabla_{x}V \cdot \nabla_{x}u = \Delta_{V}^{*}u,$$

integrate the equation by  $ue^{-V}$  and use this observation we get

$$-\int e^{V} div_{x} [e^{-V} \nabla_{x} u] u e^{-V} = \int (\xi_{1} + \nabla \cdot \xi_{2}) u e^{-V}.$$

Performing integration by parts we have

$$\int e^{-V} |\nabla_x u|^2 = \int (\xi_1 u + \xi_2 \cdot \nabla u - \xi_2 \cdot \nabla V u) e^{-V},$$

by Lemma 2.6

$$||u||_{L^2(\langle \nabla V \rangle e^{-V/2})} \lesssim ||\nabla u||_{L^2(e^{-V/2})},$$

we deduce

$$||u||_{L^{2}(\langle \nabla V \rangle e^{-V/2})}^{2} + ||\nabla u||_{L^{2}(e^{-V/2})}^{2} \lesssim A \times (||u||_{L^{2}(\langle \nabla V \rangle e^{-V/2})} + ||\nabla u||_{L^{2}(e^{-V/2})}),$$

so the proof for the first inequality is done.

For the second inequality, since  $\langle \nabla V \rangle \leq 1$  so the only thing need to be proved is the  $||D^2u||_{L^2(e^{-V/2})}$  term, by integration by parts

$$\begin{split} \int |D^{2}u|^{2}e^{-V} &= \sum_{i,j=1}^{d} \int (\partial_{ij}^{2}u)^{2}e^{-V} \\ &= \sum_{i,j=1}^{d} \int \partial_{i}u(\partial_{ij}^{2}u\partial_{j}V - \partial_{ijj}^{3}u)e^{-V} \\ &= \sum_{i,j=1}^{d} \int \partial_{jj}u\partial_{i}(\partial_{i}ue^{-V}) - \frac{1}{2} \int (\partial_{i}u)^{2}\partial_{j}(\partial_{j}Ve^{-V}) \\ &= \int (\Delta u)(-\Delta_{V}^{*}u)e^{-V} + \int |\nabla u|^{2}(|\nabla V|^{2} - \Delta V)e^{-V} \\ &\lesssim \|D^{2}u\|_{L^{2}(e^{-V/2})} \|\xi\|_{L^{2}(e^{-V/2})} + \|\langle \nabla V \rangle \nabla u\|_{L^{2}(e^{-V/2})}, \end{split}$$

where in the third equality we have used

$$\int \partial_{ij}^{2} u \partial_{i} u \partial_{j} V e^{-V} = -\int \partial_{i} u \partial_{j} (\partial_{i} u \partial_{j} V e^{-V}) 
= -\int \partial_{ij}^{2} u \partial_{i} u \partial_{j} V e^{-V} - \int (\partial_{i} u)^{2} \partial_{j} (\partial_{j} V e^{-V}),$$

which implies

$$\int \partial_{ij}^2 u \partial_i u \partial_j V e^{-V} = -\frac{1}{2} \int (\partial_i u)^2 \partial_j (\partial_j V e^{-V}),$$

and in the forth equality we have used

$$e^{V} \sum_{i=1}^{d} \partial_{i}(e^{-V}\partial_{i}u) = e^{V} div_{x}[e^{-V}\nabla_{x}u] = u = \Delta_{V}^{*}u,$$

so our proof is done.

Now we can turn to the proof of Theorem 2.1.

Proof of Theorem 2.1 :First, we prove the equivalence of the norms  $((\ ,\ ))$  and  $(\ ,\ )_{\mathcal{H}}.$  by Cauchy-Schwarz inequality and Lemma 2.7, we have

$$(j_f, \nabla_x(\Delta_V^*)^{-1}(\rho_g e^V \langle \nabla V \rangle^2))_{L^2} \le ||j_f||_{L^2(e^{V/2})} ||\rho_g e^V \langle \nabla V \rangle^2||_{L^2(\langle \nabla V \rangle^{-1} e^{-V/2})},$$

and obviously

$$\|\rho_g e^V \langle \nabla V \rangle^2\|_{L^2(\langle \nabla V \rangle^{-1} e^{-V/2})} = \|\rho_g\|_{L^2(\langle \nabla V \rangle e^{V/2})} \le \|\rho_g\|_{L^2(e^{V/2})} \lesssim \|g\|_{\mathcal{H}}.$$

Using elementary observation

$$|j_f| \lesssim ||f||_{L^2(e^{v^2/4})} \quad |\rho_f| \lesssim ||f||_{L^2(e^{v^2/4})},$$

we deduce

$$(j_f, \nabla_x(\Delta_V^*)^{-1}(\rho_g e^V \langle \nabla V \rangle^2))_{L^2} \lesssim ||f||_{\mathcal{H}} ||g||_{\mathcal{H}}.$$

The third term in the definition of (( , )) can be estimated in the same way and that ends the proof of equivalence of norms.

Now we turn to prove the main estimate of the theorem. We split the D[f] into 3 parts

$$D[f] = T_1 + \epsilon T_2 + \epsilon T_3$$

with

$$T_1 = (\mathcal{L}f, f)_{\mathcal{H}}$$

$$T_2 = (\Delta_V^{-1} \nabla_x j[-\mathcal{L}f], \rho_f)_{L^2(e^{V/2} \langle \nabla V \rangle)}$$

$$T_3 = ((\Delta_V)^{-1} \nabla_x j_f, \rho[-\mathcal{L}f])_{L^2(e^{V/2} \langle \nabla V \rangle)},$$

and compute them separately. For the  $T_1$  term, we have

$$T_{1} := (-\mathcal{T}f + \mathcal{S}f, f)_{\mathcal{H}} = (-\mathcal{S}f, f)_{\mathcal{H}}$$

$$= -\int [\Delta_{v}f + div_{v}(vf)]fM^{-1}e^{V} = \int |\nabla_{v}(f/M)|^{2}Me^{V}$$

$$\geq k_{p} \int |f/M - \rho_{f}|^{2}Me^{V} = k_{p}||f - \rho_{f}M||_{\mathcal{H}}^{2} = k_{p}||f^{\perp}||_{\mathcal{H}}^{2},$$

note in the last line we use the classical Poincare inequality. For the  $T_2$  term, we split it as

$$T_{2} := (\Delta_{V}^{-1} \nabla_{x} j[-\mathcal{L}f], \rho_{f})_{L^{2}(e^{V/2}\langle\nabla V\rangle)}$$

$$= (\Delta_{V}^{-1} \nabla_{x} j[-\mathcal{T}\pi f], \rho_{f})_{L^{2}(e^{V/2}\langle\nabla V\rangle)}$$

$$+ (\Delta_{V}^{-1} \nabla_{x} j[-\mathcal{T}f^{\perp}], \rho_{f})_{L^{2}(e^{V/2}\langle\nabla V\rangle)}$$

$$+ (\Delta_{V}^{-1} \nabla_{x} j[-\mathcal{S}f], \rho_{f})_{L^{2}(e^{V/2}\langle\nabla V\rangle)}$$

$$:= T_{2,1} + T_{2,2} + T_{2,3}.$$

We first observe

$$\mathcal{T}\pi f = -v \cdot \nabla_x \rho_f M - \nabla_x V \cdot v \rho_f M = -e^{-V} M v \cdot \nabla_x (\rho_f / e^{-V}),$$

so we can have

$$j[-\mathcal{T}\pi f] = \langle vv_k M \rangle e^{-V} \partial_{x_k} (\rho_f / e^{-V}) = e^{-V} \nabla_x (\rho_f / e^{-V}),$$

next observe that

$$e^V div_x [e^{-V} \nabla_x u] = \Delta_V^* u,$$

then we have

$$T_{2,1} = (j[-\mathcal{T}\pi f], \nabla(\Delta_{V}^{*})^{-1}(\rho_{f}e^{V}\langle\nabla V\rangle^{2}))_{L^{2}}$$

$$= (\rho_{f}, [e^{V}div_{x}(e^{-V}\nabla)][(\Delta_{V}^{*})^{-1}(\rho_{f}e^{V}\langle\nabla V\rangle^{2})])_{L^{2}}$$

$$= \|\rho_{f}e^{V/2}\langle\nabla V\rangle\|_{L^{2}}^{2} = \|\pi f\|_{\mathcal{H}_{1}}^{2}.$$

Using the notation  $\eta_1 = \langle v \otimes v f^{\perp} \rangle$  and  $\eta_{2,\alpha\beta} = \langle v_{\alpha} \partial_{v_{\beta}} f^{\perp} \rangle$ , observe that

$$|\eta_1| \lesssim ||f^{\perp}||_{L^2(e^{v^2/4})}, |\eta_2| \lesssim ||f^{\perp}||_{L^2(e^{v^2/4})},$$

we compute

$$T_{2,2} = (j[-\mathcal{T}f^{\perp}], \nabla(\Delta_{V}^{*})^{-1}(\rho_{f}e^{V}\langle\nabla V\rangle^{2}))_{L^{2}}$$

$$= (D\eta_{1} + \eta_{2}\nabla V, \nabla(\Delta_{V}^{*})^{-1}(\rho_{f}e^{V}\langle\nabla V\rangle^{2}))_{L^{2}}$$

$$= (\eta_{1}, D^{2}(\Delta_{V}^{*})^{-1}(\rho_{f}e^{V}\langle\nabla V\rangle^{2}))_{L^{2}} + (\eta_{2}, \nabla V\nabla(\Delta_{V}^{*})^{-1}(\rho_{f}e^{V}\langle\nabla V\rangle^{2}))_{L^{2}}$$

$$= \|\eta_{1}\|_{L^{2}(e^{V/2})} \|D^{2}(\Delta_{V}^{*})^{-1}(\rho_{f}e^{V}\langle\nabla V\rangle^{2})\|_{L^{2}(e^{-V/2})}$$

$$+ \|\eta_{2}\|_{L^{2}(e^{V/2})} \|\nabla V\nabla(\Delta_{V}^{*})^{-1}(\rho_{f}e^{V}\langle\nabla V\rangle^{2})\|_{L^{2}(e^{-V/2})},$$

and by Lemma 2.7

$$T_{2,2} \lesssim \|\eta_1\|_{L^2(e^{V/2})} \|\rho_f e^V \langle \nabla V \rangle^2\|_{L^2(e^{-V/2}\langle \nabla V \rangle^{-1})} + \|\eta_2\|_{L^2(e^{V/2})} \|\rho_f e^V \langle \nabla V \rangle^2\|_{L^2(e^{-V/2}\langle \nabla V \rangle^{-1})}$$
  
$$\lesssim \|f^{\perp}\|_{\mathcal{H}} \|\pi f\|_{\mathcal{H}_1}.$$

For  $T_{2,3}$  using

$$j[-\mathcal{S}f] = j[-\mathcal{S}f^{\perp}] \qquad = -\int v[\Delta_v f^{\perp} + div_v(vf^{\perp})]dv$$
$$= d\int f^{\perp}vdv \lesssim \|f^{\perp}\|_{L^2(e^{v^2/4})},$$

we have by Lemma 2.7

$$T_{2,3} = (j[-Sf], \nabla(\Delta_{V}^{*})^{-1}(\rho_{f}e^{V}\langle\nabla V\rangle^{2}))_{L^{2}}$$

$$\leq ||j[-Sf]||_{L^{2}(e^{V/2})}||\nabla(\Delta_{V}^{*})^{-1}(\rho_{f}e^{V}\langle\nabla V\rangle^{2})||_{L^{2}(e^{-V/2})}$$

$$\lesssim ||f^{\perp}||_{\mathcal{H}}||\rho_{f}e^{V}\langle\nabla V\rangle^{2}||_{L^{2}(\langle\nabla V\rangle^{-1}e^{-V/2})}$$

$$= ||f^{\perp}||_{\mathcal{H}}||\rho_{f}||_{L^{2}(\langle\nabla V\rangle e^{V/2})}$$

$$\lesssim ||f^{\perp}||_{\mathcal{H}}||\pi_{f}||_{\mathcal{H}_{1}}.$$

Finally we come to the  $T_3$  term using

$$\rho[-Sf] = \int \nabla_v \cdot (\nabla_v f + v f) dv = 0,$$

and

$$\rho[-Tf] = \rho[v\nabla_x f - \nabla_x V(x)\nabla_v f]$$

$$= \int v\nabla_x f - \nabla_x V(x)\nabla_v f dv$$

$$= \nabla_x \int v f dv$$

$$= \nabla_x j[f],$$

we have by  $\nabla(\langle \nabla V \rangle^2) \lesssim \langle \nabla V \rangle^2$  and  $\langle \nabla V \rangle^2 \lesssim \langle \nabla V \rangle$  we get

$$\begin{split} T_{3} &= ((\Delta_{V})^{-1} \nabla_{x} j_{f}, \rho[-\mathcal{L}f])_{L^{2}(e^{V/2} \langle \nabla V \rangle)} \\ &= ((\Delta_{V})^{-1} \nabla_{x} j[f^{\perp}], \rho[-\mathcal{T}f])_{L^{2}(e^{V/2} \langle \nabla V \rangle)} \\ &= (j[-f^{\perp}], \nabla(\Delta_{V}^{*})^{-1} (\rho[-\mathcal{T}f]e^{V} \langle \nabla V \rangle^{2})_{L^{2}} \\ &= (j[-f^{\perp}], \nabla(\Delta_{V}^{*})^{-1} (\nabla_{x} j[f]e^{V} \langle \nabla V \rangle^{2})_{L^{2}} \\ &= \|j[f^{\perp}]\|_{L^{2}(e^{V/2})} \|\nabla(\Delta_{V}^{*})^{-1} [\nabla_{x} (j_{f}e^{V} \langle \nabla V \rangle^{2}) - \nabla V j_{f}e^{V} \langle \nabla V \rangle^{2} - \nabla (\langle \nabla V \rangle^{2}) j_{f}e^{V}]\|_{L^{2}(e^{-V/2})}, \end{split}$$

again by Lemma 2.7 we have

$$T_{3} \qquad \lesssim \|j[f^{\perp}]\|_{L^{2}(e^{V/2})} (\|j_{f}e^{V}\langle\nabla V\rangle^{2}\|_{L^{2}(e^{-V/2}\langle\nabla V\rangle^{-1})} + \|j_{f}e^{V}\nabla(\langle\nabla V\rangle^{2})\|_{L^{2}(\langle\nabla V\rangle^{-1}e^{-V/2})})$$
  
$$\lesssim \|f^{\perp}\|_{\mathcal{H}} \|f\|_{\mathcal{H}_{1}}.$$

Gathering all the term together we have for  $\epsilon > 0$  small enough

$$D[f] \geq \|f^{\perp}\|_{\mathcal{H}}^{2} + \epsilon \|\pi f\|_{\mathcal{H}_{1}}^{2} - \epsilon 2K \|f^{\perp}\|_{\mathcal{H}} \|f\|_{\mathcal{H}_{1}} - \epsilon 2K \|f^{\perp}\|_{\mathcal{H}} \|\pi f\|_{\mathcal{H}_{1}}$$

$$\geq \|f^{\perp}\|_{\mathcal{H}}^{2} + \epsilon \|\pi f\|_{\mathcal{H}_{1}}^{2} - (2\epsilon + 4\epsilon^{1/2})K \|f^{\perp}\|_{\mathcal{H}}^{2} - \epsilon^{3/2} 4K \|\pi f\|_{\mathcal{H}_{1}}^{2}$$

$$\geq \frac{1}{2} (\|f^{\perp}\|_{\mathcal{H}}^{2} + \epsilon \|\pi f\|_{\mathcal{H}_{1}}^{2}) \geq \frac{\epsilon}{M} \|f\|_{\mathcal{H}_{1}},$$

so the proof is ended.

## 3. $L^2$ sub-exponential decay for the kinetic Fokker-Planck equation based on a splitting

**Theorem 3.1.** Using the notation and results in Theorem 2.1, we have

$$\|S_{\mathcal{L}}(t)f_0\|_{L^2(G^{-\frac{1}{2}})} \lesssim e^{-Ct^{\gamma/(2-\gamma)}} \|f_0\|_{L^2(G^{-(\frac{1}{2}+\epsilon)})},$$

for any  $f_0 \in L^2(G^{-(\frac{1}{2}+\epsilon)}) \cap \mathcal{H}_0$ ,  $\epsilon > 0$  small or equivalently

$$||S_{\mathcal{L}}(t)(I-\Pi)f_0||_{L^2(G^{-\frac{1}{2}})} \lesssim e^{-Ct^{\gamma/(2-\gamma)}} ||(I-\Pi)f_0||_{L^2(G^{-(\frac{1}{2}+\epsilon)})},$$

for any  $f_0 \in L^2(G^{-(\frac{1}{2}+\epsilon)})$ ,  $\epsilon > 0$  small.

During the proof of Theorem 3.1 we will make use of the following Gronwall's Lemma.

**Lemma 3.2.** (Gronwall's Lemma) Suppose 0 < b, 0 < c, and  $0 \le u(t) \in \mathcal{C}^0(\mathbb{R}_+, \mathbb{R}_+)$  satisfying:

$$u'(t) \le -cu(t) + b,$$

in the sense of distribution  $\mathcal{D}'((0,\infty))$  we have:

$$u(t) \le u(0)e^{-ct} + \frac{b}{c}.$$

Since the result is well-known, the proof is omitted. Recall the splitting of  $\mathcal{L}$ 

$$\mathcal{L} = \mathcal{A} + \mathcal{B}, \quad \mathcal{A} = M \chi_R(x, v),$$

we first prove some convergence on the semigroup associated with  $\mathcal{B}$  denoted by  $S_{\mathcal{B}}(t)$ .

**Lemma 3.3.** For any  $p \in [1, \infty)$  we have (1) For a given weight function m, we have

$$\int f^{p-1}(\mathcal{L}f)G^{-(p-1)}m \le \frac{1}{p} \int f^{p}G^{-(p-1)}\tilde{m}$$

with

$$\tilde{m} = \Delta_v m - \nabla_v m \cdot v - \nabla V(x) \cdot \nabla_v m + v \cdot \nabla_x m,$$

(2) Taking  $m=e^{\epsilon H^\delta}$ ,  $\epsilon>0$  if  $0<\delta<\frac{\gamma}{2}$ ,  $\epsilon$  small enough if  $\delta=\frac{\gamma}{2}$ ,  $H=3v^2+2x\cdot v+x^2+1$ , we have

$$\int f^{p-1}(\mathcal{B}f)G^{-(p-1)}e^{\epsilon H^{\delta}} \le -C \int f^{p}G^{-(p-1)}e^{\epsilon H^{\delta}}H^{\frac{\delta}{2}+\gamma-1},$$

for some M and R large.

(3) Using the results in (2), we can prove

$$||S_{\mathcal{B}}(t)||_{L^{p}(e^{2\epsilon H^{\delta}}G^{-\frac{p-1}{p}})\to L^{p}(e^{\epsilon H^{\delta}}G^{-\frac{p-1}{p}})} \lesssim e^{-at^{\frac{2\delta}{2-\gamma}}},$$

for some a > 0. In particular, this implies

$$||S_{\mathcal{B}}(t)||_{L^{p}(G^{-(\frac{p-1}{p}+\epsilon)})\to L^{p}(G^{-\frac{p-1}{p}})} \lesssim e^{-at^{\frac{\gamma}{2-\gamma}}}.$$

Prove of Lemma 3.3: Step 1: Recall  $G^{-1} = Ce^{v^2/2 + V(x)}$ . We write

$$\int f^{p-1}(\mathcal{L}f)G^{-(p-1)}m = \int f^{p-1}(\mathcal{T}f)G^{-(p-1)}m + \int f^{p-1}(\mathcal{S}f)G^{-(p-1)}m.$$

We first compute the contribution of the term with operator  $\mathcal{T}$ 

$$\int f^{p-1}(\mathcal{T}f)G^{-(p-1)}m = \frac{1}{p}\int \mathcal{T}(f^p)G^{-(p-1)}m$$

$$= -\frac{1}{p}\int f^p\mathcal{T}(G^{-(p-1)}m)$$

$$= \frac{1}{p}\int f^pG^{-(p-1)}(v\cdot\nabla_x m - \nabla V(x)\cdot\nabla_v m),$$

for the term with operator  $\mathcal S$  , using integration by parts and we get

$$\int f^{p-1}(\mathcal{S}f)G^{-(p-1)}m$$

$$= \int f^{p-1}(\Delta_v f + div_v(vf))G^{-(p-1)}m$$

$$= -\int \nabla_v((fG^{-1})^{p-1}m) \cdot (\nabla_v f + vf)$$

$$= -\int ((fG^{-1})^{p-1}\nabla_v m + (p-1)(fG^{-1})^{p-2}\nabla_v(fG^{-1})m) \cdot \nabla_v(fG^{-1})G^{-1}$$

$$= -\int (p-1)|\nabla_v(fG^{-1})|^2(fG^{-1})^{p-2}Gm - \frac{1}{p}\nabla_v((fG^{-1})^p) \cdot (\nabla_v m)G,$$

performing another integration by parts on the latter term we have

$$\int f^{p-1}(\mathcal{S}f)G^{-(p-1)}m$$

$$= \int -C|\nabla_v(fG^{-1})|^2(fG^{-1})^{p-2}Gm + \frac{1}{p}\nabla_v \cdot (G\nabla_v m)(fG^{-1})^p$$

$$= \int -C|\nabla_v(fG^{-1})|^2(fG^{-1})^{p-2}Gm + \frac{1}{p}(\Delta_v m - v \cdot \nabla_v m)f^pG^{-(p-1)}$$

putting together the two identities, we obtain the result.

Step 2: We particular use  $m = e^{\epsilon H^{\delta}}$  now, we easily compute:

$$\frac{\nabla_v m}{m} = \delta \epsilon \frac{\nabla_v H}{H^{1-\delta}}, \frac{\nabla_x m}{m} = \delta \epsilon \frac{\nabla_x H}{H^{1-\delta}},$$

$$\frac{\Delta_v m}{m} \le \delta \epsilon \frac{\Delta_v H}{H^{1-\delta}} + (\delta \epsilon)^2 \frac{|\nabla_v H|^2}{H^{2(1-\delta)}}.$$

We deduce that  $\phi = \frac{\tilde{m}}{m}$  satisfies

$$\frac{\phi H^{1-\delta}}{\epsilon \delta} \le \Delta_v H + \epsilon \delta \frac{|\nabla_v H|^2}{H^{1-\delta}} - v \cdot \nabla_v H + v \cdot \nabla_x H - \nabla_x V(x) \cdot \nabla_v H,$$

recall  $H = 3v^2 + 2v \cdot x + x^2 + 1$ , we have

$$\nabla_v H = 6v + 2x, \nabla_x H = 2v + 2x, \Delta_v H = 6,$$

so let  $\epsilon > 0$  arbitrary if  $0 < 2\delta < \gamma$ ,  $\epsilon$  small if  $2\delta = \gamma$ , we have

$$\Delta_{v}H + 2\epsilon\delta \frac{|\nabla_{v}H|^{2}}{H^{1-\delta}} + v \cdot \nabla_{x}H - v \cdot \nabla_{v}H - \nabla_{x}V(x) \cdot \nabla_{v}H 
= 6 + \epsilon\delta \frac{(6v + 2x)^{2}}{H^{1-\delta}} + 2v^{2} + 2x \cdot v - 6v^{2} - 2x \cdot v - 6v \cdot \nabla_{x}V(x) - 2x \cdot \nabla_{x}V(x) 
< (2v^{2} + C_{1}v + C_{2}v^{2\delta} - 6v^{2}) + (C_{3}\epsilon\delta x^{2\delta} - 2x \cdot \nabla_{x}V(x)) + C 
< -C_{4}v^{2} - C_{5}x \cdot \nabla_{x}V(x) + C_{6} 
< -C_{7}H^{\frac{\gamma}{2}} + M\chi_{R},$$

for some  $C_i > 0$ . Then we get  $\phi \leq \frac{-C}{H^{1-\delta-\frac{\gamma}{2}}} + M\chi_R$ , the proof of (2) is ended.

Step 3: During the proof of this part, we will denote  $f_t = S_{\mathcal{B}}(t)f_0$  the solution to  $\partial_t f = \mathcal{B}f$ ,  $f(0) = f_0$ . First we have by (2)

$$\int f^{p-1}(\mathcal{B}f)G^{-(p-1)}e^{2\epsilon H^{\delta}} \le 0,$$

which implies

$$\int f_t^p G^{-(p-1)} e^{2\epsilon H^{\delta}} \le \int f_0^p G^{-(p-1)} e^{2\epsilon H^{\delta}} := Y_1,$$

define

$$Y := \int f_t^p G^{-(p-1)} e^{\epsilon H^{\delta}},$$

and making use of the results in (2), for any  $\rho > 0$  we have

$$\frac{d}{dt}Y = 2 \int f_t^{p-1} \mathcal{B} f_t G^{-(p-1)} e^{\epsilon H^{\delta}} 
\leq -a \int f_t^p G^{-(p-1)} e^{\epsilon H^{\delta}} H^{\delta + \frac{\gamma}{2} - 1} 
\leq -a \int f_t^p G^{-(p-1)} e^{\epsilon H^{\delta}} \langle x \rangle^{2\delta + \gamma - 2} 
\leq -a \int_{B_{|x| \leq \rho}} f_t^p G^{-(p-1)} e^{\epsilon H^{\delta}} \langle x \rangle^{2\delta + \gamma - 2}.$$

As  $2\delta + \gamma < 2$ , so  $0 \le |x| \le \rho$  can imply  $\langle x \rangle^{2\delta + \gamma - 2} \ge \langle \rho \rangle^{2\delta + \gamma - 2}$ , we get

$$\begin{split} \frac{d}{dt}Y & \leq -a\langle\rho\rangle^{2\delta+\gamma-2} \int_{B_{|x|\leq\rho}} f_t^p G^{-(p-1)} e^{\epsilon H^{\delta}} \\ & \leq -a\langle\rho\rangle^{2\delta+\gamma-2} Y + a\langle\rho\rangle^{2\delta+\gamma-2} \int_{B_{|x|>\rho}} f_t^p G^{-(p-1)} e^{\epsilon H^{\delta}}, \end{split}$$

and  $|x| \ge \rho$  can imply  $e^{\epsilon \langle x \rangle^{2\delta}} \ge e^{\epsilon \langle \rho \rangle^{2\delta}}$ , we have

$$\begin{split} \frac{d}{dt}Y & \leq -a\langle\rho\rangle^{2\delta+\gamma-2}Y + a\langle\rho\rangle^{2\delta+\gamma-2}e^{-\epsilon\langle\rho\rangle^{2\delta}} \int_{B_{|x|\geq\rho}} f_t^p G^{-(p-1)} e^{\epsilon H^\delta} e^{\epsilon\langle x\rangle^{2\delta}} \\ & \leq -a\langle\rho\rangle^{2\delta+\gamma-2}Y + a\langle\rho\rangle^{2\delta+\gamma-2}e^{-\epsilon\langle\rho\rangle^{2\delta}} \int f_t^p G^{-(p-1)} e^{\epsilon H^\delta} e^{\epsilon\langle x\rangle^{2\delta}} \\ & \leq -a\langle\rho\rangle^{2\delta+\gamma-2}Y + a\langle\rho\rangle^{2\delta+\gamma-2}e^{-\epsilon\langle\rho\rangle^{2\delta}} CY_1. \end{split}$$

We can deduce from Gronwall's Lemma

$$Y(t) \leq e^{-a\langle\rho\rangle^{2\delta+\gamma-2}t}Y(0) + Ce^{-\epsilon\langle\rho\rangle^{2\delta}}Y_1$$
  
$$\lesssim (e^{-a\langle\rho\rangle^{2\delta+\gamma-2}t} + e^{-\epsilon\langle\rho\rangle^{2\delta}})Y_1,$$

choosing  $\rho$  such that  $a\langle\rho\rangle^{2\delta+\gamma-2}t=\epsilon\langle\rho\rangle^{2\delta}$ , that is  $\langle\rho\rangle^{2-\gamma}=Ct$ , then

$$Y(t) \le C_1 e^{-C_2 t^{\frac{2\delta}{2-\gamma}}} Y_2,$$

for some  $C_i > 0$ , then the proof of Lemma 3.3 is complete. Now we come to prove Theorem 3.1.

Proof of Theorem 3.1: By Theorem 2.1, we have

$$||S_{\mathcal{L}}(t)||_{L^2(G^{-1/2})\to L^2(G^{-1/2})} \lesssim 1,$$

recall  $\mathcal{A} = M\chi_R$ , so we have

$$\|\mathcal{A}\|_{L^2(G^{-1/2})\to L^2(e^{2\epsilon H^\delta}G^{-1/2})} \lesssim 1,$$

and by Lemma 3.3 when p=2

$$||S_{\mathcal{B}}(t)||_{L^{2}(e^{2\epsilon H\delta}G^{-1/2})\to L^{2}(e^{\epsilon H\delta}G^{-1/2})} \lesssim e^{-at^{\frac{2\delta}{2-\gamma}}},$$

gathering the three estimates and using Duhamel's formula

$$S_{\mathcal{L}} = S_{\mathcal{B}} + S_{\mathcal{B}} \mathcal{A} * S_{\mathcal{L}},$$

we deduce

$$||S_{\mathcal{L}}(t)||_{L^{2}(e^{2\epsilon H^{\delta}}G^{-1/2})\to L^{2}(e^{\epsilon H^{\delta}}G^{-1/2})} \lesssim 1,$$

taking  $2\delta = \gamma$ ,  $\epsilon$  small enough we have

$$\int f_t^2 G^{-1} e^{\epsilon H^{\frac{\gamma}{2}}} \le C \int f_0^2 G^{-1} e^{2\epsilon H^{\frac{\gamma}{2}}} =: Y_3,$$

and we define

$$Y_2(t) := \int f_t^2 G^{-1}.$$

Using the result from Theorem 2.1, we have

$$\frac{d}{dt}Y_2 = 2 \int f_t \mathcal{L} f_t G^{-1}$$

$$\leq -a \int f_t^2 G^{-1} \langle x \rangle^{2(\gamma - 1)}$$

$$\leq -a \int_{B_{|x| \leq \rho}} f_t^2 G^{-1} \langle x \rangle^{2(\gamma - 1)},$$

as  $2\gamma < 2$ , so  $0 \le |x| \le \rho$  can imply  $\langle x \rangle^{2(\gamma - 1)} \ge \langle \rho \rangle^{2(\gamma - 1)}$ , we have

$$\frac{d}{dt}Y_2 \qquad \leq -a\langle\rho\rangle^{2(\gamma-1)} \int_{B_{|x|}\leq\rho} f_t^2 G^{-1} 
\leq -a\langle\rho\rangle^{2(\gamma-1)} Y_2 + a\langle\rho\rangle^{2(\gamma-1)} \int_{B_{|x|}>\rho} f_t^2 G^{-1},$$

for any  $\epsilon > 0$  small, we can find  $\epsilon_2 > 0$  such that  $: \epsilon_2 V(x) \le \epsilon H^{\frac{\gamma}{2}}$ , and  $|x| \ge \rho$  can imply  $e^{\epsilon_2 \langle x \rangle^{\gamma}} \ge e^{\epsilon_2 \langle \rho \rangle^{\gamma}}$ , so

$$\begin{split} \frac{d}{dt}Y_2 & \leq -a\langle\rho\rangle^{2(\gamma-1)}Y_2 + a\langle\rho\rangle^{2(\gamma-1)}e^{-\epsilon_2\langle\rho\rangle^{\gamma}} \int_{B_{|x|\geq\rho}} f_t^2 G^{-1} e^{\epsilon_2 V(x)} \\ & \leq -a\langle\rho\rangle^{2(\gamma-1)}Y_2 + a\langle\rho\rangle^{2(\gamma-1)}e^{-\epsilon_2\langle\rho\rangle^{\gamma}} \int f_t^2 G^{-1} e^{\epsilon H^{\frac{\gamma}{2}}} \\ & \leq -a\langle\rho\rangle^{2(\gamma-1)}Y_2 + a\langle\rho\rangle^{2(\gamma-1)}e^{-\epsilon_2\langle\rho\rangle^{\gamma}} CY_3, \end{split}$$

we can deduce thanks to Gronwall's Lemma

$$Y_2(t) \leq e^{-a\langle\rho\rangle^{2(\gamma-1)}t} Y_2(0) + Ce^{-\epsilon_2\langle\rho\rangle^{\gamma}} Y_3.$$
  
$$\leq (e^{-a\langle\rho\rangle^{2(\gamma-1)}t} + e^{-\epsilon_2\langle\rho\rangle^{\gamma}}) Y_3,$$

choosing  $\rho$  such that  $a\langle\rho\rangle^{2(\gamma-1)}t=\epsilon_2\langle\rho\rangle^{\gamma}$ , that is  $\langle\rho\rangle^{2-\gamma}=Ct$ , then

$$Y_2(t) \le C_1 e^{-C_2 t^{\gamma/(2-\gamma)}} Y_3.$$

As  $H^{\frac{\gamma}{2}} \lesssim C(\frac{v^2}{2} + V(x))$ , we have:

$$e^{\epsilon H^{\frac{\gamma}{2}}} \le G^{-C\epsilon},$$

so taking  $\epsilon$  small the proof of Theorem 3.1 is done.

### 4. $L^1$ convergence on $S_{\mathcal{B}}$

This section we prove the decay rate for  $S_{\beta}$ .

**Theorem 4.1.** Let  $H = 1 + x^2 + 2\langle v, x \rangle + 3v^2$ , for any  $\theta \in (0, 1)$  and for  $\forall l > 0$ , we have

$$\|\mathcal{S}_{\mathcal{B}}(t)\|_{L^{1}(H^{l})\to L^{1}(H^{l\theta})} \lesssim (1+t)^{-a},$$

with

$$a = \frac{l(1-\theta)}{1-\frac{\gamma}{2}},$$

To continue our proof we first need a lemma.

**Lemma 4.2.** For the kinetic Fokker Planck operator  $\mathcal{L}$ , let m be a weight function, for any  $p \in [1, \infty]$  we have

$$\int (\mathcal{L}f)f^{p-1}m^p = -(p-1)\int |\nabla_v(mf)|^2 (mf)^{p-2} + \int f^p m^p \phi,$$

with

$$\phi = \frac{2}{p'} \frac{|\nabla_v m|^2}{m^2} + (\frac{2}{p} - 1) \frac{\Delta_v m}{m} + \frac{d}{p'} - v \cdot \frac{\nabla_v m}{m} - \frac{\mathcal{T}m}{m},$$

in particular when p=1

$$\phi = \frac{\Delta_v m}{m} - v \cdot \frac{\nabla_v m}{m} - \frac{\mathcal{T}m}{m}.$$

Proof of Lemma 4.2:First we have

$$\int (\mathcal{L}f)f^{p-1}m^p = \int f^{p-1}\mathcal{S}fm^p + \int f^{p-1}\mathcal{T}fm^p,$$

we first compute the contribution of the term with operator  $\mathcal{T}$ , we have

$$\int f^{p-1} \mathcal{T} f m^p = \frac{1}{p} \int \mathcal{T} (f^p) m^p = - \int f^p m^{p-1} \mathcal{T} m = - \int f^p m^p \frac{\mathcal{T} m}{m},$$

then we come to the term with operator  $\mathcal{S}$ 

$$\int (\mathcal{S}f)f^{p-1}m^p = \int f^{p-1}m^p(\Delta_v f + div_v(vf)) := C_1 + C_2,$$

we first compute the  $C_2$  term

$$C_2 = \int f^{p-1} m^p (div_v v f + v \cdot \nabla_v f)$$

$$= \int f^p (div_v v) m^p - \frac{1}{p} \int f^p div_v (v m^p)$$

$$= \int f^p [(1 - \frac{1}{p}) d - v \cdot \frac{\nabla_v m}{m}] m^p,$$

and we turn to the  $C_1$  term

$$C_1 = \int f^{p-1} m^p \Delta_v f = -\int \nabla_v (f^{p-1} m^p) \cdot \nabla_v f$$
$$= \int -(p-1) |\nabla_v f|^2 f^{p-2} m^p - \frac{1}{p} \int \nabla_v f^p \cdot \nabla_v m^p,$$

using  $\nabla_v(mf) = m\nabla_v f + f\nabla_v m$ , we have

$$\begin{split} C_1 & = -(p-1) \int |\nabla_v(mf)|^2 f^p m^{p-2} + (p-1) \int |\nabla_v m|^2 f^p m^{p-2} \\ & + \frac{2(p-1)}{p^2} \int \nabla_v(f^p) \cdot \nabla_v(m^p) - \frac{1}{p} \int \nabla_v(f^p) \cdot \nabla_v(m^p) \\ & = -(p-1) \int |\nabla_v(mf)|^2 f^{p-2} m^p + (p-1) \int |\nabla_v m|^2 f^p m^{p-2} \\ & + \frac{p-2}{p^2} \int f^p \Delta_v m^p, \end{split}$$

using  $\Delta_v m^p = p \Delta_v m \ m^{p-1} + p(p-1) |\nabla_v m|^2 m^{p-2}$ , we can conclude

$$C_1 = -(p-1)\int |\nabla_v(mf)|^2 f^{p-2} m^{p-2} + \int f^p m^p \left[ \left(\frac{2}{p} - 1\right) \frac{\Delta_v m}{m} + 2\left(1 - \frac{1}{p}\right) \frac{|\nabla_v m|^2}{m^2} \right].$$

Combining the equalities above we are done.

Proof of Theorem 4.1: From Lemma 4.2 we have

$$(4.1) \int (\mathcal{B}f) f^{p-1} m^p = \int (\mathcal{L} - M\chi_R) f^{p-1} m^p$$

$$= -(p-1) \int |\nabla_v(mf)|^2 (mf)^{p-2} + \int f^p m^p \phi,$$

with

$$\phi = \left[\frac{2}{p'} \frac{|\nabla_v m|^2}{m^2} + \left(\frac{2}{p} - 1\right) \frac{\Delta_v m}{m} + \frac{d}{p'} - v \cdot \frac{\nabla_v m}{m} - \frac{\mathcal{T}m}{m} - M\chi_R\right],$$

for p = 1, we have

$$\phi = \frac{\Delta_v m}{m} - v \cdot \frac{\nabla_v m}{m} - \frac{\mathcal{T}m}{m} - M\chi_R.$$

(1) Let  $m = H^k$ , we have

$$\frac{\nabla_v m}{m} = k \frac{\nabla_v H}{H}, \frac{\nabla_x m}{m} = k \frac{\nabla_x H}{H},$$

and

$$\frac{\Delta_v m}{m} = \frac{k\Delta_v H}{H} + \frac{k(k-1)|\nabla_v H|^2}{H^2},$$

in sum we have for  $\phi$ 

$$\frac{\phi H}{k} = \Delta_v H + (k-1) \frac{|\nabla_v H|^2}{H} - v \cdot \nabla_v H + v \cdot \nabla_x H - \nabla_x V(x) \cdot \nabla_v H - M\chi_R,$$

Recall  $H = 3v^2 + 2\langle v, x \rangle + x^2$ , we have

$$\nabla_v H = 6v + 2x, \nabla_x H = 2v + 2x, \Delta_v H = 6,$$

we compute

$$\Delta_{v}H + (k-1)\frac{|\nabla_{v}H|^{2}}{H} + v \cdot \nabla_{x}H - v \cdot \nabla_{v}H - \nabla_{x}V(x) \cdot \nabla_{v}H 
= 6 + (k-1)\frac{(6v+2x)^{2}}{H} + 2v^{2} + 2x \cdot v - 6v^{2} 
-2x \cdot v - 6v \cdot \nabla_{x}V(x) - 2x \cdot \nabla_{x}V(x) 
< (2v^{2} + Cv - 6v^{2}) - 2x \cdot \nabla_{x}V(x) + C 
< -C_{1}v^{2} - C_{2}x \cdot \nabla_{x}V(x) + C_{3} 
< -C_{4}H^{\frac{\gamma}{2}} + M_{1}\chi_{B_{1}},$$

so taking M and R very large, we have  $\phi \leq -CH^{\frac{\gamma}{2}-1}$ , taking this result into equation (4.1), we have:

$$\frac{d}{dt}Y_4(t) := \frac{d}{dt} \int |f_{\mathcal{B}}(t)| H^k = \int sign(f_{\mathcal{B}}(t)) \mathcal{B}f_{\mathcal{B}}(t) H^k \le -C \int |f_B(t)| H^{k-1+\frac{\gamma}{2}}, k > 1,$$

using this result, first we have for any l large fixed, we can take M and R large such that

$$\frac{d}{dt} \int |f_{\mathcal{B}}(t)| H^l \le 0,$$

which implies

$$\int |f_{\mathcal{B}}(t)|H^l \le \int |f_0|H^l := Y_5,$$

and using Holder's inequality:

$$\int |f_B(t)| H^k \le \left( \int |f_B(t)| H^{k-1+\frac{\gamma}{2}} \right)^{\alpha} \left( \int |f_B(t)| H^l \right)^{1-\alpha},$$

with

$$\alpha = \frac{l-k}{l-k+1-\frac{\gamma}{2}} \in [0,1],$$

which means

$$(\int |f_B(t)|H^k)^{\frac{1}{\alpha}} (\int |f_B(t)|H^l)^{\frac{\alpha-1}{\alpha}} \le \int |f_B(t)|H^{k-1+\frac{\gamma}{2}},$$

with

$$\alpha = \frac{l-k}{l-k+1-\frac{\gamma}{2}} \in [0,1],$$

taking the Holder's inequality into 4.2 we have

$$\frac{d}{dt}Y_4(t) \le -C(Y_4(t))^{\frac{1}{\alpha}}Y_5^{\frac{\alpha-1}{\alpha}},$$

using  $Y_4(0) \leq Y_5$ , we deduce by a simple argument

$$Y_4(t) \le C_{\alpha} \frac{1}{(1+t)^{\frac{\alpha}{1-\alpha}}} Y_5,$$

which means we get a polynomial decay

$$\|\mathcal{S}_B(t)\|_{L^p(H^l)\to L^p(H^k)} \lesssim (1+t)^{-a},$$

with

$$a = \frac{l-k}{1 - \frac{\gamma}{2}}, \quad \forall 0 < k < l,$$

we can write it in this way

$$\|\mathcal{S}_B(t)\|_{L^p(H^l)\to L^p(H^{l\theta})}\lesssim (1+t)^{-a}$$

with

$$a = \frac{l(1-\theta)}{1-\frac{\gamma}{2}}, \quad \forall 0 < \theta < 1, 0 < l,$$

so the proof is ended

#### 5. Regularization Lemma

This section we prove a regularization lemma.

In this section we will denote  $\mathcal{L}^* = \mathcal{L}^*_{G^{-1/2}} = \mathcal{S} - \mathcal{T}$  be the dual operator of  $\mathcal{L}$  on  $L^2(G^{-1/2})$  such that

$$\int (\mathcal{L}f)gG^{-1} = \int (\mathcal{L}^*g)fG^{-1}.$$

for any smooth function f, g, and denote  $\mathcal{B}^* = \mathcal{L}^* - M\chi_R$ 

**Lemma 5.1.** For any  $0 \le \delta < 1$ , there exist  $\eta > 0$  such that

$$\|\mathcal{S}_{\mathcal{B}}(t)f\|_{L^{2}(G^{-1/2(1+\delta)})} \lesssim \frac{1}{t^{\frac{5d+1}{2}}} \|f\|_{L^{1}(G^{-1/2(1+\delta)})}, \quad \forall t \in [0, \eta].$$

and for  $\mathcal{B}^*$  we have similar result

$$\|\mathcal{S}_{\mathcal{B}^*}(t)f\|_{L^2(G^{-1/2(1+\delta)})} \lesssim \frac{1}{t^{\frac{5d+1}{2}}} \|f\|_{L^1(G^{-1/2(1+\delta)})}, \quad \forall t \in [0,\eta].$$

in particular by duality this implies

$$\|\mathcal{S}_{\mathcal{B}}(t)f\|_{L^{\infty}(G^{-1/2})} \lesssim \frac{1}{t^{\frac{5d+1}{2}}} \|f\|_{L^{2}(G^{-1/2})}, \quad \forall t \in [0, \eta].$$

We start with some elementary lemmas.

**Lemma 5.2.** For any  $0 \le \delta < 1$ , we have

$$\int f(\mathcal{L}g)G^{-(1+\delta)} + \int g(\mathcal{L}f)G^{-(1+\delta)} = -2 \int \nabla_v (fG^{-1}) \cdot \nabla_v (gG^{-1})G^{1-\delta} 
+ \delta d \int fgG^{-(1+\delta)} - \delta(1-\delta) \int v^2 fgG^{-(1+\delta)},$$

in particular

$$\int f(\mathcal{L}f)G^{-(1+\delta)} = -\int |\nabla_v(fG^{-1})|^2 G^{1-\delta} + \frac{\delta d}{2} \int f^2 G^{-(1+\delta)} - \frac{\delta(1-\delta)}{2} \int v^2 f^2 G^{-(1+\delta)},$$

we also have another version of  $\int f(\mathcal{L}f)G^{-(1+\delta)}$ 

$$\int f(\mathcal{L}f)G^{-(1+\delta)} = -\int |\nabla_v f|^2 G^{-(1+\delta)} + \frac{\delta(1+\delta)}{2} \int v^2 f^2 G^{-(1+\delta)} + \frac{(2+\delta)d}{2} \int f^2 G^{-(1+\delta)},$$

and all the results above remain true when  $\mathcal{L}$  is replaced by  $\mathcal{L}^*$ .

Proof of Lemma 5.2: First remember  $\mathcal{T}(G^{-(1+\delta)}) = 0$ , so we have

$$\int f(\mathcal{T}g)G^{-(1+\delta)} = \int \mathcal{T}(fG^{-(1+\delta)})g = -\int (\mathcal{T}f)gG^{-(1+\delta)},$$

which implies

$$\int f(\mathcal{T}g)G^{-(1+\delta)} + \int (\mathcal{T}f)gG^{-(1+\delta)} = 0,$$

As  $\mathcal{L} = \mathcal{S} + \mathcal{T}$ ,  $\mathcal{L}^* = \mathcal{S} - \mathcal{T}$ , as the  $\mathcal{T}$  term is 0, so the computation result of  $\mathcal{L}$  and  $\mathcal{L}^*$  is the same, for the term with operator  $\mathcal{S}$  we have

$$\int f(\mathcal{S}g)G^{-(1+\delta)} = -\int \nabla_v (fG^{-(1+\delta)}) \cdot (\nabla_v g + vg)$$

$$= -\int (\nabla_v f + (1+\delta)vf) \cdot (\nabla_v g + vg)G^{-(1+\delta)}$$

$$= -\int \nabla_v (fG^{-1}) \cdot \nabla_v (gG^{-1})G^{1-\delta} - \int \delta v^2 fgG^{-(1+\delta)} - \int \delta fv \cdot \nabla_v gG^{-(1+\delta)},$$

using integration by parts, we have

$$\begin{split} \int \delta v f \nabla_v g G^{-(1+\delta)} & = -\int \delta g \nabla_v \cdot (v f G^{-(1+\delta)}) \\ & = -\int \delta v \cdot \nabla_v f g G^{-(1+\delta)} - \int \delta df g G^{-(1+\delta)} - \int \delta (1+\delta) v^2 f g G^{-(1+\delta)}, \end{split}$$

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$$\begin{split} &\int (f(\mathcal{S}g) + g(\mathcal{S}f))G^{-(1+\delta)} \\ &= -2\int \nabla_v (fG^{-1}) \cdot \nabla_v (gG^{-1})G^{1-\delta} + \delta d \int fgG^{-(1+\delta)} - \delta(1-\delta) \int v^2 fgG^{-(1+\delta)}, \end{split}$$

Gathering the two terms, the proof of the first two equalities are done.  $\Box$  For the last equality, we have:

$$\int f \mathcal{S} f G^{-(1+\delta)} = -\int (\nabla_v f + (1+\delta)vf) \cdot (\nabla_v f + vf) G^{-(1+\delta)}$$

$$= -\int |\nabla_v f|^2 G^{-(1+\delta)} - \int (1+\delta)v^2 f^2 G^{-(1+\delta)} - \int (2+\delta)fv \cdot \nabla_v f G^{-(1+\delta)}$$

$$= -\int |\nabla_v f|^2 G^{-(1+\delta)} - \int (1+\delta)v^2 f^2 G^{-(1+\delta)} + \frac{2+\delta}{2} \int \nabla_v \cdot (vG^{-(1+\delta)}) f^2$$

$$= -\int |\nabla_v f|^2 G^{-(1+\delta)} + \frac{\delta(1+\delta)}{2} \int v^2 f^2 G^{-(1+\delta)} + \frac{(2+\delta)d}{2} \int f^2 G^{-(1+\delta)}.$$

Putting together the above equality with  $\int f \mathcal{T} f G^{-(1+\delta)} = 0$  the proof is done.

Note in the following of this section we will split into two cases, and denote  $f_t$  in two ways,  $f_t = S_{\mathcal{B}}(t)f_0$  or  $f_t = S_{\mathcal{B}^*}(t)f_0$ .

**Lemma 5.3.** When  $f_t = S_{\mathcal{B}}(t)f_0$ , we define an energy functional

$$\mathcal{F}(t, f_t) := A \|f_t\|_{L^2(G^{-1/2(1+\delta)})}^2 + at^2 \|\nabla_v f_t\|_{L^2(G^{-1/2(1+\delta)})}^2 + 2ct^4 \langle \nabla_v f_t, \nabla_x f_t \rangle_{L^2(G^{-1/2(1+\delta)})}^2 + bt^6 \|\nabla_x f_t\|_{L^2(G^{-1/2(1+\delta)})}^2,$$

when  $f_t = S_{\mathcal{B}^*}(t)f_0$ , we define an energy functional

$$\mathcal{F}(t, f_t) := A \|f_t\|_{L^2(G^{-1/2(1+\delta)})}^2 + at^2 \|\nabla_v f_t\|_{L^2(G^{-1/2(1+\delta)})}^2 -2ct^4 \langle \nabla_v f_t, \nabla_x f_t \rangle_{L^2(G^{-1/2(1+\delta)})}^2 + bt^6 \|\nabla_x f_t\|_{L^2(G^{-1/2(1+\delta)})}^2,$$

with  $a, b, c > 0, c \leq \sqrt{ab}$  and A large enough. Then for both cases we have

$$\frac{d}{dt}F(t,f_t) \le -K(\|\nabla_v f_t\|_{L^2(G^{-1/2(1+\delta)})} + t^4 \|\nabla_x f_t\|_{L^2(G^{-1/2(1+\delta)})}) + C \int f_t^2 G^{-(1+\delta)},$$

for all  $t \in [0, \eta]$  and for some K > 0, C > 0.

Proof of Lemma 5.3

We split the computation into several parts and then put them together. Step 1: (1)For the case  $f_t = S_{\mathcal{B}}(t)f_0$ , first using both two equalities in Lemma 5.2 we have

$$\begin{split} \frac{d}{dt} \int f^2 G^{-(1+\delta)} & = \int f(\mathcal{L} - M\chi_R) f G^{-(1+\delta)} \\ & = \frac{1-\delta}{2} \int f \mathcal{L} f G^{-(1+\delta)} + \frac{1+\delta}{2} \int f \mathcal{L} f G^{-(1+\delta)} - \int M\chi_R f^2 G^{-(1+\delta)} \\ & \leq -\frac{1-\delta}{2} \int |\nabla_v f|^2 G^{-(1+\delta)} - \frac{1+\delta}{2} \int |\nabla_v (fG^{-1})|^2 G^{1-\delta} + C \int f^2 G^{-(1+\delta)} \\ & \leq -\frac{1-\delta}{2} \int |\nabla_v f|^2 G^{-(1+\delta)} + C \int f^2 G^{-(1+\delta)}. \end{split}$$

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(2)As Lemma 5.2 is also true for  $\mathcal{L}^*$ , so the above inequality is also true for  $\mathcal{L}^*$ .

Step 2:(1)For the case  $f_t = S_{\mathcal{B}}(t)f_0$ , we easily compute

$$\partial_{x_i} \mathcal{L} f = \mathcal{L} \partial_{x_i} f + \partial_{x_i} (\nabla_x V(x) \cdot \nabla_v f) = \mathcal{L} \partial_{x_i} f + \sum_{i=1}^d \partial_{x_i x_j}^2 V \partial_{v_j} f,$$

using this and Lemma 5.2 we have

$$\begin{split} \frac{d}{dt} \int (\partial_{x_i} f)^2 G^{-(1+\delta)} &= \int \partial_{x_i} f \partial_{x_i} (\mathcal{L} - M \chi_R) f G^{-(1+\delta)} \\ &= - \int |\nabla_v (\partial_{x_i} f G^{-1})|^2 G^{1-\delta} + \frac{\delta d}{2} \int (\partial_{x_i} f)^2 G^{-(1+\delta)} \\ &- \frac{\delta (1-\delta)}{2} \int v^2 (\partial_{x_i} f)^2 G^{-(1+\delta)} + \int \partial_{x_i} f \sum_{j=1}^d \partial_{x_i x_j}^2 V \partial_{v_j} f G^{-(1+\delta)} \\ &- \int M \chi_R |\partial_{x_i} f|^2 G^{-(1+\delta)} + \int M \partial_{x_i} f \partial_{x_i} \chi_R f G^{-(1+\delta)}, \end{split}$$

using Cauchy-Schwarz inequality and summing up by i, we get

$$\frac{d}{dt} \int |\nabla_x f|^2 G^{-(1+\delta)} 
\leq -\sum_{i=1}^d \int |\nabla_v (\partial_{x_i} f G^{-1})|^2 G^{1-\delta} - \frac{\delta(1-\delta)}{2} \int v^2 (\nabla_x f)^2 G^{-(1+\delta)} 
+ C \int |\nabla_v f|^2 G^{-(1+\delta)} + C \int |\nabla_x f|^2 G^{-(1+\delta)} + C \int |f|^2 G^{-(1+\delta)},$$

for some C > 0.

(2) For the case  $f_t = S_{\mathcal{B}^*}(t) f_0$ , we have

$$\partial_{x_i} \mathcal{L}^* f = \mathcal{L}^* \partial_{x_i} f - \partial_{x_i} (\nabla_x V(x) \cdot \nabla_v f) = \mathcal{L}^* \partial_{x_i} f - \sum_{i=1}^d \partial_{x_i x_j}^2 V \partial_{v_j} f,$$

using the same argument we still have the same result as above. Step 3:(1)For the case  $f_t = S_{\mathcal{B}}(t)f_0$ , we similarly compute

$$\partial_{v_i} \mathcal{L} f = \mathcal{L} \partial_{v_i} f - \partial_{x_i} f + \partial_{v_i} f$$

using this inequality and Lemma 5.2, we have

$$\begin{split} \frac{d}{dt} \int (\partial_{v_i} f)^2 G^{-(1+\delta)} &= \int \partial_{v_i} f \partial_{v_i} (\mathcal{L} - M \chi_R) f G^{-(1+\delta)} \\ &= -\int |\nabla_v (\partial_{v_i} f G^{-1})|^2 G^{1-\delta} + \frac{\delta d}{2} \int (\partial_{v_i} f)^2 G^{-(1+\delta)} \\ &- \frac{\delta (1-\delta)}{2} \int v^2 (\partial_{v_i} f)^2 G^{-(1+\delta)} - \int \partial_{x_i} f \partial_{v_i} f G^{-(1+\delta)} \\ &+ \int |\partial_{v_i} f|^2 G^{-(1+\delta)} - \int M \chi_R |\partial_{v_i} f|^2 G^{-(1+\delta)} + M \partial_{v_i} f \partial_{v_i} \chi_R f G^{-(1+\delta)}, \end{split}$$

using Cauchy-Schwarz inequality and summing up by i we get

$$\begin{split} & \frac{d}{dt} \int |\nabla_v f|^2 G^{-(1+\delta)} \\ & \leq -\sum_{i=1}^d \int |\nabla_v (\partial_{v_i} f G^{-1})|^2 G^{1-\delta} + C \int |\nabla_x f| |\nabla_v f| G^{-(1+\delta)} \\ & + C \int |\nabla_v f|^2 G^{-(1+\delta)} + C \int |f|^2 G^{-(1+\delta)} - \frac{\delta(1-\delta)}{2} \int v^2 (\nabla_v f)^2 G^{-(1+\delta)}. \end{split}$$

For the case  $f_t = S_{\mathcal{B}^*}(t)f_0$ , we have

$$\partial_{v_i} \mathcal{L}^* f = \mathcal{L}^* \partial_{v_i} f + \partial_{x_i} f + \partial_{v_i} f,$$

using the same argument we still have the same result as above. Step 4:(1)For the case  $f_t = S_B(t)f_0$ , for the crossing term we have

$$\begin{split} \frac{d}{dt} \int 2\partial_{v_i} f \partial_{x_i} f G^{-(1+\delta)} & = (\int \partial_{v_i} f \partial_{x_i} \mathcal{L} f G^{-(1+\delta)} + \int \partial_{v_i} \mathcal{L} f \partial_{x_i} f G^{-(1+\delta)}) \\ & - (\int \partial_{v_i} f \partial_{x_i} (M \chi_R f) G^{-(1+\delta)} + \int \partial_{x_i} (M \chi_R f) \partial_{v_i} f G^{-(1+\delta)}) \\ & := W_1 + W_2, \end{split}$$

we split it into two parts, for the first part still using

$$\partial_{x_i} \mathcal{L} f = \mathcal{L} \partial_{x_i} f + \partial_{x_i} (\nabla_x V(x) \cdot \nabla_v f) = \mathcal{L} \partial_{x_i} f + \sum_{j=1}^d \partial_{x_i x_j}^2 V \partial_{v_j} f,$$

and

$$\partial_{v_i} \mathcal{L} f = \mathcal{L} \partial_{v_i} f - \partial_{x_i} f + \partial_{v_i} f,$$

we have

$$\begin{split} W_1 & = \int \partial_{v_i} f \mathcal{L}(\partial_{x_i} f) G^{-(1+\delta)} + \int \mathcal{L}(\partial_{v_i} f) \partial_{x_i} f G^{-(1+\delta)} \\ & + \int \partial_{v_i} f \sum_{j=1}^d \partial_{x_i x_j} V(x) \partial_{v_j} f G^{-(1+\delta)} - \int |\partial_{x_i} f|^2 G^{-(1+\delta)} + \int \partial_{x_i} f \partial_{v_i} f G^{-(1+\delta)}, \end{split}$$

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using Lemma 5.2, we have

$$W_{1} = -\int 2\nabla_{v}(\partial_{v_{i}}fG^{-1}) \cdot \nabla_{v}(\partial_{x_{i}}fG^{-1})G^{1-\delta} + \delta d \int \partial_{v_{i}}f\partial_{x_{i}}fG^{-(1+\delta)}$$
$$-\delta(1-\delta) \int v^{2}\partial_{v_{i}}f\partial_{x_{i}}fG^{-(1+\delta)} + \int \partial_{v_{i}}f\sum_{j=1}^{d} \partial_{x_{i}x_{j}}V(x)\partial_{v_{j}}fG^{-(1+\delta)}$$
$$-\int |\partial_{x_{i}}f|^{2}G^{-(1+\delta)} + \int \partial_{x_{i}}f\partial_{v_{i}}fG^{-(1+\delta)}.$$

For the  $W_2$  term we have

$$\begin{split} W_2 = & -\int \partial_{v_i} f \partial_{x_i} (M \chi_R f) G^{-(1+\delta)} - \int \partial_{x_i} (M \chi_R f) \partial_{v_i} f G^{-(1+\delta)} \\ = & -\int 2M \chi_R \partial_{x_i} f \partial_{v_i} f G^{-(1+\delta)} + \int \partial_{v_i} f M \partial_{x_i} \chi_R f G^{-(1+\delta)} + \int M \partial_{v_i} \chi_R f \partial_{x_i} f G^{-(1+\delta)} \\ \leq & C \int |\partial_{x_i} f| |\partial_{v_i} f| G^{-(1+\delta)} + C \int |\partial_{v_i} f| |f| G^{-(1+\delta)} + C \int |f| |\partial_{x_i} f| G^{-(1+\delta)}, \end{split}$$

combining the two parts , using Cauchy-Schwarz inequality and summing up by i we get

$$\begin{split} &\frac{d}{dt} \int 2\nabla_x f \cdot \nabla_v f G^{-(1+\delta)} \\ &\leq -\sum_{i=1}^d \int 2\nabla_v (\partial_{v_i} f G^{-1}) \cdot \nabla_v (\partial_{x_i} f G^{-1}) G^{1-\delta} - \frac{1}{2} \int |\nabla_x f|^2 G^{-(1+\delta)} \\ &+ C \int |\nabla_v f|^2 G^{-(1+\delta)} + C \int |f|^2 G^{-(1+\delta)} - \delta (1-\delta) \int v^2 \nabla_v f \cdot \nabla_x f G^{-(1+\delta)}. \end{split}$$

(2For the case  $f_t = S_{\mathcal{B}^*}(t)f_0$  using

$$\partial_{x_i} \mathcal{L}^* f = \mathcal{L}^* \partial_{x_i} f - \partial_{x_i} (\nabla_x V(x) \cdot \nabla_v f) = \mathcal{L}^* \partial_{x_i} f - \sum_{i=1}^d \partial_{x_i x_j}^2 V \partial_{v_j} f,$$

and

$$\partial_{v_i} \mathcal{L}^* f = \mathcal{L}^* \partial_{v_i} f + \partial_{x_i} f + \partial_{v_i} f,$$

we will get a slightly different result

$$\begin{split} &-\frac{d}{dt}\int 2\nabla_x f\cdot\nabla_v fG^{-(1+\delta)}\\ &\leq \sum_{i=1}^d \int 2\nabla_v (\partial_{v_i} fG^{-1})\cdot\nabla_v (\partial_{x_i} fG^{-1})G^{1-\delta} - \frac{1}{2}\int |\nabla_x f|^2 G^{-(1+\delta)}\\ &+C\int |\nabla_v f|^2 G^{-(1+\delta)} + C\int |f|^2 G^{-(1+\delta)} + \delta(1-\delta)\int v^2 \nabla_v f\cdot\nabla_x fG^{-(1+\delta)}. \end{split}$$

Step 5: (1) For the case  $f_t = S_{\mathcal{B}}(t) f_0$ , gathering all the terms together, recall

$$\mathcal{F}(t, f_t) := A \|f_t\|_{L^2(G^{-1/2(1+\delta)})}^2 + at^2 \|\nabla_v f_t\|_{L^2(G^{-1/2(1+\delta)})}^2 + 2ct^4 \langle \nabla_v f_t, \nabla_x f_t \rangle_{L^2(G^{-1/2(1+\delta)})}^2 + bt^6 \|\nabla_x f_t\|_{L^2(G^{-1/2(1+\delta)})}^2,$$

with  $a,b,c>0,c\leq \sqrt{ab}$  and A large enough. We easily compute

$$\frac{d}{dt}\mathcal{F}(t,f_t) 
= A\frac{d}{dt}\|f_t\|_{L^2(G^{-1/2(1+\delta)})}^2 + at^2\frac{d}{dt}\|\nabla_v f_t\|_{L^2(G^{-1/2(1+\delta)})}^2 
+2ct^4\frac{d}{dt}\langle\nabla_v f_t, \nabla_x f_t\rangle_{L^2(G^{-1/2(1+\delta)})}^2 + bt^6\frac{d}{dt}\|\nabla_x f_t\|_{L^2(G^{-1/2(1+\delta)})}^2 
+2at\|\nabla_v f_t\|_{L^2(G^{-1/2(1+\delta)})}^2 + 8ct^3\langle\nabla_v f_t, \nabla_x f_t\rangle_{L^2(G^{-1/2(1+\delta)})}^2 + 6bt^5\|\nabla_x f_t\|_{L^2(G^{-1/2(1+\delta)})}^2.$$

Using the results in Step 1-4 and gathering all the terms together we have

$$\begin{split} &\frac{d}{dt}\mathcal{F}(t,f_{t}) \\ &\leq (2at - \frac{A(1-\delta)}{2} + Cat^{2} + 2Ct^{4}c + Cbt^{6}) \int |\nabla_{v}f_{t}|^{2}G^{-(1+\delta)} \\ &+ (6bt^{5} - ct^{4} + Cbt^{6}) \int |\nabla_{x}f_{t}|^{2}G^{-(1+\delta)} + C \int f_{t}^{2}G^{-(1+\delta)} \\ &+ (8ct^{3} + Cat^{2}) \int |\nabla_{v}f_{t}||\nabla_{x}f_{t}|G^{-(1+\delta)} \\ &- (at^{2}\sum_{i=1}^{d} \int |\nabla_{v}(\partial_{v_{i}}f_{t}G^{-1})|^{2}G^{1-\delta} + bt^{6}\sum_{i=1}^{d} \int |\nabla_{v}(\partial_{x_{i}}f_{t}G^{-1})|^{2}G^{1-\delta} \\ &+ 2ct^{4}\sum_{i=1}^{d} \int 2\nabla_{v}(\partial_{v_{i}}f_{t}G^{-1}) \cdot \nabla_{v}(\partial_{x_{i}}f_{t}G^{-1})G^{1-\delta}) - \frac{\delta(1-\delta)}{2}(at^{2}\int v^{2}(\nabla_{v}f)^{2}G^{-(1+\delta)} \\ &+ bt^{6}\int v^{2}(\nabla_{x}f)^{2}G^{-(1+\delta)} + 2ct^{4}\int v^{2}\nabla_{v}f \cdot \nabla_{x}fG^{-(1+\delta)}), \end{split}$$

by our taking on a, b, c we can have

$$|2ct^4 \int v^2 \nabla_v f \cdot \nabla_x f G^{-(1+\delta)}| \le at^2 \int v^2 (\nabla_v f)^2 G^{-(1+\delta)} + bt^6 \int v^2 (\nabla_x f)^2 G^{-(1+\delta)},$$

and

$$\begin{split} &|2ct^4\sum_{i=1}^d\int 2\nabla_v(\partial_{v_i}f_tG^{-1})\cdot\nabla_v(\partial_{x_i}f_tG^{-1})G^{1-\delta}|\\ &\leq &at^2\sum_{i=1}^d\int |\nabla_v(\partial_{v_i}f_tG^{-1})|^2G^{1-\delta} + bt^6\sum_{i=1}^d\int |\nabla_v(\partial_{x_i}f_tG^{-1})|^2G^{1-\delta}, \end{split}$$

by taking A large and  $0 < \eta$  small  $(t \in [0, \eta])$ , we have for some K > 0:

$$\frac{d}{dt}\mathcal{F}(t,f_t) \leq -K(\|\nabla_v f_t\|_{L^2(G^{-1/2(1+\delta)})} + t^4 \|\nabla_x f_t\|_{L^2(G^{-1/2(1+\delta)})}) + C \int f_t^2 G^{-(1+\delta)}.$$

(2) For the case  $f_t = S_{\mathcal{B}^*}(t) f_0$ , the only change is that the last three terms changes to

$$(Cat^{2} - 8ct^{3}) \int |\nabla_{v} f_{t}| |\nabla_{x} f_{t}| G^{-(1+\delta)}$$

$$-(at^{2} \sum_{i=1}^{d} \int |\nabla_{v} (\partial_{v_{i}} f_{t} G^{-1})|^{2} G^{1-\delta} + bt^{6} \sum_{i=1}^{d} \int |\nabla_{v} (\partial_{x_{i}} f_{t} G^{-1})|^{2} G^{1-\delta}$$

$$-2ct^{4} \sum_{i=1}^{d} \int 2\nabla_{v} (\partial_{v_{i}} f_{t} G^{-1}) \cdot \nabla_{v} (\partial_{x_{i}} f_{t} G^{-1}) G^{1-\delta}) - \frac{\delta(1-\delta)}{2} (at^{2} \int v^{2} (\nabla_{v} f)^{2} G^{-(1+\delta)}$$

$$+bt^{6} \int v^{2} (\nabla_{x} f)^{2} G^{-(1+\delta)} - 2ct^{4} \int v^{2} \nabla_{v} f \cdot \nabla_{x} f G^{-(1+\delta)}),$$

which will not change the result. The proof of Lemma 5.3 is ended.  $\Box$  The following proof of this section is true for both cases.

To prove Lemma 5.1 we still need some other lemmas.

**Lemma 5.4.** For any  $0 \le \delta < 1$  we have

$$\int |\nabla_{x,v}(fG^{-1/2(1+\delta)})|^2 \le \int |\nabla_{x,v}f|^2 G^{-(1+\delta)} + C \int f^2 G^{-(1+\delta)},$$

Prove of Lemma 5.4: For any weight function m we have

$$\int |\nabla_x (fm)|^2 = \int |\nabla_x fm + \nabla_x mf|^2 
= \int |\nabla_x f|^2 m^2 + \int |\nabla_x m|^2 f^2 + \int 2f \nabla_x fm \nabla_x m 
= \int |\nabla_x f|^2 m^2 + \int |\nabla_x m|^2 f^2 - \int \frac{1}{2} f^2 \Delta_x (m^2) 
= \int |\nabla_x f|^2 m^2 + \int (|\nabla_x m|^2 - \frac{1}{2} \Delta_x (m^2)) f^2,$$

taking  $m = G^{-1/2(1+\delta)}$  we have

$$\int |\nabla_x (fG^{-1/2(1+\delta)})|^2 
= \int |\nabla_x f|^2 G^{-(1+\delta)} + \int (|\nabla_x G^{-1/2(1+\delta)}|^2 - \frac{1}{2} \Delta_x G^{-(1+\delta)}) f^2 
= \int |\nabla_x f|^2 G^{-(1+\delta)} + \int -(\frac{(1+\delta)^2}{4} |\nabla_x V(x)|^2 + \frac{1+\delta}{2} \Delta_x V(x)) f^2 G^{-(1+\delta)} 
\leq \int |\nabla_x f|^2 G^{-(1+\delta)} + C \int f^2 G^{-(1+\delta)},$$

similarly

$$\begin{split} & \int |\nabla_v (fG^{-1/2(1+\delta)})|^2 \\ & = \int |\nabla_v f|^2 G^{-(1+\delta)} + \int (|\nabla_v G^{-1/2(1+\delta)}|^2 - \frac{1}{2} \Delta_v G^{-(1+\delta)}) f^2 \\ & = \int |\nabla_v f|^2 G^{-(1+\delta)} + \int -(\frac{(1+\delta)^2}{4} v^2 + \frac{1+\delta}{2} d) f^2 G^{-(1+\delta)} \\ & \le \int |\nabla_v f|^2 G^{-(1+\delta)}. \end{split}$$

Putting together the two inequalities we obtain the result.

**Lemma 5.5.** Nash's inequality: for any  $f \in L^1(\mathbb{R}^d) \cap H^1(\mathbb{R}^d)$ , there exist a constant  $C_d$  such that:

$$||f||_{L^2}^{1+\frac{2}{d}} \le C_d ||f||_{L^1}^{2/d} ||\nabla_v f||_{L^2},$$

Proof of Nash's inequality: For any R > 0 we have

$$\begin{split} \|f\|_{L^{2}}^{2} &= \|\hat{f}\|_{L^{2}}^{2} &= \int_{|\xi| \le R} |\hat{f}|^{2} + \int_{|\xi| \ge R} |\hat{f}|^{2} \\ &\le c_{d} R^{d} \|\hat{f}\|_{L^{\infty}}^{2} + \frac{1}{R^{2}} \int_{|\xi| \le R} \xi^{2} |\hat{f}|^{2} \\ &\le c_{d} R^{d} \|f\|_{L^{1}}^{2} + \frac{1}{R^{2}} \|\nabla_{v} f\|_{L^{2}}^{2}, \end{split}$$

taking an optimal R by setting  $R = (\|f\|_{L^2}^2/c_d\|f\|_{L^1}^2)^{\frac{1}{d+2}}$ , we are done the proof.

**Lemma 5.6.** For any  $0 \le \delta < 1$  we have

$$\frac{d}{dt} \int |f| G^{-1/2(1+\delta)} \le d \int |f| G^{-1/2(1+\delta)},$$

which implies

$$\int |f_t| G^{-1/2(1+\delta)} \le Ce^{dt} \int |f_0| G^{-1/2(1+\delta)},$$

in particular we have

$$\int |f_t| G^{-1/2(1+\delta)} \le C \int |f_0| G^{-1/2(1+\delta)}, \quad \forall t \in [0, \eta],$$

Proof of Lemma 5.6:For the case  $f_t = S_{\mathcal{B}}(t)f_0$ , by Lemma 4.2, we have

$$\begin{split} &\frac{d}{dt} \int |f| G^{-1/2(1+\delta)} \\ &= \int |f| (\Delta_v G^{-1/2(1+\delta)} - v \cdot \nabla_v G^{-1/2(1+\delta)} \\ &+ v \cdot \nabla_x G^{-1/2(1+\delta)} - \nabla V(x) \cdot \nabla_v G^{-1/2(1+\delta)} - M \chi_R G^{-1/2(1+\delta)}) \\ &\leq \int |f| (\frac{1+\delta}{2} d - \frac{(1+\delta)(1-\delta)}{4} v^2) G^{-1/2(1+\delta)} \leq \int |f| dG^{-1/2(1+\delta)}, \end{split}$$

as  $\mathcal{T}G^{-1/2(1+\delta)}=0$ , the result is still true for the case  $f_t=S_{\mathcal{B}^*}(t)f_0$  Now we come to the proof of our original lemma.

Proof of Lemma 5.1: We define

$$\mathcal{G}(t, f_t) = B \|f_t\|_{L^1(G^{-1/2(1+\delta)})}^2 + t^Z \mathcal{F}(t, f_t),$$

with Z>0 to be fixed and  ${\mathcal F}$  defined in Lemma 5.3. It's important to recall here that

$$\mathcal{F}(t, f_t) := A \|f_t\|_{L^2(G^{-1/2(1+\delta)})}^2 + at^2 \|\nabla_v f_t\|_{L^2(G^{-1/2(1+\delta)})}^2 + 2ct^4 \langle \nabla_v f_t, \nabla_x f_t \rangle_{L^2(G^{-1/2(1+\delta)})}^2 + bt^6 \|\nabla_x f_t\|_{L^2(G^{-1/2(1+\delta)})}^2.$$

We choose  $t\in[0,\eta]$  , $\eta$  small such that  $(a+b+c)Zt^{Z+1}\leq\frac{1}{2}Kt^Z$  (K is also in Lemma 5.3). Then by Lemma 5.6 and Lemma 5.3

$$\frac{d}{dt}\mathcal{G}(t,f_t) \leq dB \|f_t\|_{L^1(G^{-1/2(1+\delta)})}^2 + Zt^{Z-1}\mathcal{F}(t,f_t) 
-Kt^Z(\|\nabla_v f_t\|_{L^2(G^{-1/2(1+\delta)})}^2 + t^4 \|\nabla_x f_t\|_{L^2(G^{-1/2(1+\delta)})}^2) 
+Ct^Z \int f_t^2 G^{-(1+\delta)} 
\leq dB \|f_t\|_{L^1(G^{-1/2(1+\delta)})}^2 + Ct^{Z-1} \int f_t^2 G^{-(1+\delta)} 
-\frac{K}{2} t^Z(\|\nabla_v f_t\|_{L^2(G^{-1/2(1+\delta)})}^2 + t^4 \|\nabla_x f_t\|_{L^2(G^{-1/2(1+\delta)})}^2),$$

Nash's inequality and Lemma 5.4 implies

$$\int f_t^2 G^{-(1+\delta)} \leq \left( \int |f_t| G^{-1/2(1+\delta)} \right)^{\frac{4}{d+2}} \left( \int |\nabla_{x,v} (f_t G^{-1/2(1+\delta)})|^2 \right)^{\frac{d}{d+2}} \\
\leq \left( \int |f_t| G^{-1/2(1+\delta)} \right)^{\frac{4}{d+2}} \left( \int |\nabla_{x,v} f_t|^2 G^{-(1+\delta)} + C \int f_t^2 G^{-(1+\delta)} \right)^{\frac{d}{d+2}},$$

using Young's inequality we have

$$||f_t||_{L^2(G^{-1/2(1+\delta)})}^2 \le C_{\epsilon} t^{-5d} ||f||_{L^1(G^{-1/2(1+\delta)})}^2 + \epsilon t^5 (||\nabla_{x,v} f_t||_{L^2(G^{-1/2(1+\delta)})}^2 + C ||f_t||_{L^2(G^{-1/2(1+\delta)})}^2),$$
we deduce

$$||f_t||_{L^2(G^{-1/2(1+\delta)})}^2 \le 2C_{\epsilon}t^{-5d}||f||_{L^1(G^{-1/2(1+\delta)})}^2 + 2\epsilon t^5||\nabla_{x,v}f_t||_{L^2(G^{-1/2(1+\delta)})}^2,$$

taking  $\epsilon$  small we have

$$\frac{d}{dt}\mathcal{G}(t, f_t) \le dB \|f_t\|_{L^1(G^{-1/2(1+\delta)})}^2 + C_1 t^{Z-1-5d} \|f_t\|_{L^1(G^{-1/2(1+\delta)})}^2,$$

finally choose Z = 1 + 5d, and using Lemma 5.6 then we deduce

$$\forall t \in [0, \eta], \quad \mathcal{G}(t, f_t) \leq \mathcal{G}(0, f_0) + C_1 \|f_0\|_{L^1(G^{-1/2(1+\delta)})}^2 \leq C_2 \|f_0\|_{L^1(G^{-1/2(1+\delta)})}^2,$$
 the proof is ended.

### 6. $L^p$ convergence for the KFP model

To reach the final convergence we first need:

**Lemma 6.1.** Recall  $A = M\chi_R$ ,  $B = \mathcal{L} - M\chi_R$ , using the estimates in former sections, for any  $\epsilon > 0$  small, we can have some estimates on  $AS_{\mathcal{B}}(t)$ 

$$\|\mathcal{A}S_{\mathcal{B}}(t)\|_{L^{2}(G^{-(\frac{1}{2}+\epsilon)})\to L^{2}(G^{-(\frac{1}{2}+\epsilon)})} \lesssim e^{-at^{\frac{\gamma}{2-\gamma}}},$$

and

$$\|\mathcal{AS}_B(t)\|_{L^1(G^{-(\frac{1}{2}+\epsilon)})\to L^1(G^{-(\frac{1}{2}+\epsilon)})} \lesssim e^{-at^{\frac{\gamma}{2-\gamma}}},$$

for some a > 0, and for any  $0 < b < \frac{\gamma}{2-\gamma}$  we have

$$\|\mathcal{A}S_{\mathcal{B}}(t)\|_{L^{1}(G^{-(\frac{1}{2}+\epsilon)})\to L^{2}(G^{-(\frac{1}{2}+\epsilon)})} \lesssim t^{-\alpha}e^{-at^{b}},$$

for  $\alpha = \frac{5d+1}{2}$  and some a > 0.

Proof of Lemma 6.1: (1) Using the result in Lemma 3.3 we have

$$\|\mathcal{S}_B(t)\|_{L^2(G^{-(\frac{1}{2}+\epsilon)})\to L^2(G^{-\frac{1}{2}})} \lesssim e^{-at^{\frac{\gamma}{2-\gamma}}},$$

recall  $\mathcal{A} = M\chi_R$ , so

$$\|\mathcal{A}\|_{L^2(G^{-\frac{1}{2}})\to L^2(G^{-(\frac{1}{2}+\epsilon)})} \lesssim 1,$$

combining the above 2 inequalities we are done.

(2) Also by Lemma 3.3 we have

$$\|\mathcal{S}_B(t)\|_{L^1(G^{-\epsilon})\to L^1} \lesssim e^{-at^{\frac{2\delta}{2-\gamma}}}$$

and obviously

$$\|A\|_{L^1 \to L^1(G^{-(\frac{1}{2}+\epsilon)})} \lesssim 1,$$

so combine the 2 inequalities we are done.

(3) For the third inequality, we split it into two parts. When  $t \in [0, \eta]$ ,  $e^{-at^{\frac{\gamma}{2-\gamma}}} \geq e^{-a\eta^{\frac{\gamma}{2-\gamma}}}$ , and  $\mathcal{A} \leq MI$ , using Lemma 5.1, we have

$$\|\mathcal{AS}_{B}(t)\|_{L^{1}(G^{-(\frac{1}{2}+\epsilon)})\to L^{2}(G^{-(\frac{1}{2}+\epsilon)})} \lesssim t^{-\alpha} \lesssim t^{-\alpha}e^{-at^{\frac{\gamma}{2-\gamma}}}, a > 0, \forall t \in [0, \eta].$$

When  $t \geq \eta$ , by Lemma 5.1 we have

$$||S_{\mathcal{B}}(\eta)||_{L^{2}(G^{-(\frac{1}{2}+\epsilon)})\to L^{2}(G^{-(\frac{1}{2}+\epsilon)})} \lesssim \eta^{\alpha} \lesssim 1,$$

using Lemma 3.3,we have

$$\|\mathcal{S}_{\mathcal{B}}(t-\eta)\|_{L^{2}(G^{-(\frac{1}{2}+\epsilon)})\to L^{2}(G^{-\frac{1}{2}})} \leq Ce^{-a(t-\eta)^{\frac{\gamma}{2-\gamma}}} \leq Ce^{-at^{\frac{\gamma}{2-\gamma}}},$$

for A obviously

$$\|\mathcal{A}\|_{L^2(G^{-\frac{1}{2}})\to L^2(G^{-(\frac{1}{2}+\epsilon)})} \lesssim 1,$$

when  $t > \eta$ , gathering all the inequalities above, we have

$$\|\mathcal{AS}_B(t)\|_{L^1(G^{-1/2(1+2\epsilon)})\to L^2(G^{-1/2(1+2\epsilon)})} \lesssim e^{-at^{\frac{2\delta}{2-\gamma}}} \lesssim t^{-\alpha}e^{-at^b}.$$

for any  $0 < b < \frac{\gamma}{2-\gamma}$ , combining the two cases, the proof is ended.

**Lemma 6.2.** similarly as Lemma 6.1, for any  $p \in (2, \infty)$ , we have

$$||S_{\mathcal{B}}(t)\mathcal{A}||_{L^{2}(G^{-1/2})\to L^{2}(G^{-1/2})} \lesssim e^{-at^{\frac{\gamma}{2-\gamma}}},$$

and

$$\|\mathcal{S}_B(t)\mathcal{A}\|_{L^p(G^{-1/2})\to L^p(G^{-1/2})} \lesssim e^{-at^{\frac{\gamma}{2-\gamma}}},$$

for some a > 0, and for any  $0 < b < \frac{\gamma}{2-\gamma}$  we have

$$||S_{\mathcal{B}}(t)\mathcal{A}||_{L^{2}(G^{-1/2})\to L^{p}(G^{-1/2})} \lesssim t^{-\beta}e^{-at^{b}},$$

for some  $\beta > 0$  and some a > 0.

Proof of Lemma 6.2: (1) Using the result in Lemma 3.3 we have

$$\|\mathcal{S}_B(t)\|_{L^2(G^{-(\frac{1}{2}+\epsilon)})\to L^2(G^{-\frac{1}{2}})} \lesssim e^{-at^{\frac{\gamma}{2-\gamma}}},$$

recall  $\mathcal{A} = M\chi_R$ , so

$$\|\mathcal{A}\|_{L^2(G^{-1/2})\to L^2(G^{-(\frac{1}{2}+\epsilon)})} \lesssim 1,$$

combining the above 2 inequalities we are done.

(2) Also by Lemma 3.3 we have

$$\|\mathcal{S}_B(t)\|_{L^p(G^{-(\frac{p-1}{p}+\epsilon)})\to L^p(G^{-\frac{p-1}{p}})} \lesssim e^{-at^{\frac{\gamma}{2-\gamma}}}, a>0,$$

and obviously

$$\|\mathcal{A}\|_{L^p(G^{-1/2})\to L^p(G^{-(\frac{p-1}{p}+\epsilon)})} \lesssim 1,$$

so combine the 2 inequalities we are done.

(3) For the third inequality, we split it into two parts. Interpolate between Lemma 3.3 and Lemma 5.1 we have

$$\|S_B(t)\|_{L^2(G^{-(\frac{1}{2}+\epsilon)})\to L^p(G^{-1/2})} \lesssim t^{-\beta},$$

for some  $\beta > 0$ .

When  $t \in [0, \eta]$ ,  $e^{-at^{\frac{\gamma}{2-\gamma}}} \ge e^{-a\eta^{\frac{\gamma}{2-\gamma}}}$ , and  $A \le MI$ , we have

$$\|\mathcal{S}_B(t)\mathcal{A}\|_{L^2(G^{-1/2})\to L^p(G^{-1/2})} \lesssim t^{-\beta} \lesssim t^{-\beta}e^{-at^{\frac{\gamma}{2-\gamma}}}, a > 0, \forall t \in [0, \eta].$$

When  $t \geq \eta$ , interpolate between Lemma 3.3 and Lemma 5.1 and take  $2\delta = \gamma$  we have

$$||S_{\mathcal{B}}(\eta)||_{L^{2}(e^{\epsilon H^{\delta}}G^{-1/2}) \to L^{p}(G^{-1/2})} \lesssim \eta^{-\beta} \lesssim 1,$$

using Lemma 3.3, we have

$$\|\mathcal{S}_{\mathcal{B}}(t-\eta)\|_{L^2(e^{2\epsilon H^\delta}G^{-1/2})\to L^2(e^{\epsilon H^\delta}G^{-1/2})}\lesssim e^{-a(t-\eta)^{\frac{\gamma}{2-\gamma}}}\lesssim e^{-at^{\frac{\gamma}{2-\gamma}}},$$

for  $\mathcal{A}$  obviously

$$\|\mathcal{A}\|_{L^2(G^{-1/2}) \to L^2(e^{2\epsilon H^\delta}G^{-1/2})} \lesssim 1,$$

when  $t > \eta$ , gathering all the inequalities above, we have

$$\|\mathcal{AS}_B(t)\|_{L^1(G^{-1/2(1+2\epsilon)})\to L^2(G^{-1/2(1+2\epsilon)})} \lesssim e^{-at^{\frac{\gamma}{2-\gamma}}} \lesssim t^{-\beta}e^{-at^b}.$$

for any  $0 < b < \frac{\gamma}{2-\gamma}$ , combining the two cases, the proof is ended.  $\Box$  Then we need an abstract lemma like Lemma 2.4 in [3].

**Lemma 6.3.** let X, Y be two Banach spaces, S(t) a semigroup such that for all  $t \ge 0$  and some 0 < a, 0 < b < 1 we have

$$||S(t)||_{X\to X} \le C_X e^{-at^b}, \quad ||S(t)||_{Y\to Y} \le C_Y e^{-at^b},$$

and for some  $0 < \alpha$ , we have

$$||S(t)||_{X\to Y} \le C_{X,Y} t^{-\alpha} e^{-at^b}.$$

Then we can have that for all integer n > 0

$$||S^{(*n)}(t)||_{X\to X} \le C_{X,n} t^{n-1} e^{-at^b},$$

similarly

$$||S^{(*n)}(t)||_{Y\to Y} \le C_{Y,n} t^{n-1} e^{-at^b},$$

and

$$||S^{(*n)}(t)||_{X\to Y} \le C_{X,Y,n} t^{n-\alpha-1} e^{-at^b},$$

in particular for  $\alpha + 1 < n$ , and  $\forall b^* < b$ 

$$||S^{(*n)}(t)||_{X\to Y} \le C_{X,Y,n}e^{-at^{b^*}}.$$

Proof of Lemma 6.3: We use the fact that  $t^b \leq s^b + (t-s)^b$  for any  $0 \leq s \leq t, 0 < b < 1$ , by induction

$$||S^{*(n+1)}(t)||_{X\to X} \leq \int_0^t ||S(t-s)S^{*n}(s)||_{X\to X} \leq C_n \int_0^t e^{-a(t-s)^b} e^{-as^b} s^{n-1} ds$$
$$\leq C_n e^{-at^b} \int_0^t s^{n-1} ds = C_{n+1} e^{-at^b} t^n,$$

and we compute

$$||S^{*(n+1)}(t)||_{X\to Y} \leq \int_0^{t/2} ||S^{*(n)}(t-s)||_{X\to Y} ||S(s)||_{X\to X} ds$$

$$+ \int_{t/2}^t ||S^{*(n)}(t-s)||_{Y\to Y} ||S(s)||_{X\to Y}$$

$$\leq C_n C_1 \int_0^{t/2} (t-s)^{n-\alpha-1} e^{-a(t-s)^b} e^{-as^b} ds$$

$$+ C_n C_1 \int_{t/2}^t (t-s)^{n-1} e^{-a(t-s)^b} s^{-\alpha} e^{-as^b} ds$$

$$\leq C_n C_1 e^{-at^b} t^{n-\alpha} (\int_0^{1/2} (1-\tau)^{n-\alpha-1} d\tau + \int_{1/2}^1 (1-\tau)^{n-1} \tau^{-\alpha} d\tau)$$

$$\leq C_{n+1} t^{n-\alpha} e^{-at^b},$$

the proof of the lemma is ended.

Then we come to the final proof.

Proof of Theorem 1.1: We only prove the case when  $m=H^k$ , the case  $m=G^{\frac{p-1}{p}}(1+\epsilon)$  is similar. By Duhamel formula, we have

$$S_{\mathcal{L}} = S_{\mathcal{B}} + S_{\mathcal{B}} * (\mathcal{A}S_{\mathcal{L}}) = S_{\mathcal{B}} + (S_{\mathcal{B}}\mathcal{A}) * S_{\mathcal{L}}$$
$$= S_{\mathcal{B}} + S_{\mathcal{L}} * (\mathcal{A}S_{\mathcal{B}}) = S_{\mathcal{B}} + (S_{\mathcal{L}}\mathcal{A}) * S_{\mathcal{B}},$$

iterate the formula in this way

$$S_{\mathcal{L}} = S_{\mathcal{B}} + (S_{\mathcal{B}} + S_{\mathcal{L}} * (\mathcal{A}S_{\mathcal{B}})) * (\mathcal{A}S_{\mathcal{B}})$$

$$= S_{\mathcal{B}} + S_{\mathcal{B}} * (\mathcal{A}S_{\mathcal{B}}) + S_{\mathcal{L}} * (\mathcal{A}S_{\mathcal{B}})^{(*2)}$$

$$= S_{\mathcal{B}} + (S_{\mathcal{B}}\mathcal{A}) * S_{\mathcal{B}} + S_{\mathcal{L}} * (\mathcal{A}S_{\mathcal{B}})^{(*2)},$$

we can get

$$S_{\mathcal{L}}(I - \Pi) = (I - \Pi)\{S_{\mathcal{B}} + \sum_{l=1}^{n-1} (S_{\mathcal{B}}\mathcal{A})^{(*l)} * (S_{\mathcal{B}})\}$$
  
  $+\{(I - \Pi)S_{\mathcal{L}}\} * (\mathcal{A}S_{\mathcal{B}}(t))^{*n}.$ 

For the first term we have from Theorem 4.1

$$||S_{\mathcal{B}}(t)||_{L^{1}(m)\to L^{1}(m^{\theta})} \lesssim (1+t)^{-a}.$$

For the second term, we have by Lemma 3.3

$$||S_{\mathcal{B}}(t)\mathcal{A}||_{L^{1}(m)\to L^{1}(m)} \lesssim e^{-at^{\frac{\gamma}{2-\gamma}}}$$

so by Lemma 6.3

$$\|(S_{\mathcal{B}}(t)\mathcal{A})^{*l}\|_{L^{1}(m)\to L^{1}(m)} \lesssim t^{l-1}e^{-at^{\frac{\gamma}{2-\gamma}}}.$$

For the last term we have from Theorem 4.1

$$\|\mathcal{A}S_{\mathcal{B}}(t)\|_{L^{1}(m)\to L^{1}(G^{-(\frac{1}{2}+\epsilon)})} \lesssim e^{-at^{\frac{\gamma}{2-\gamma}}}$$

by Lemma 6.1, for any  $0 < b < \frac{\gamma}{2-\gamma}$ , we have

$$\|(\mathcal{A}S_{\mathcal{B}})^{(*n-1)}(t)\|_{L^{1}(G^{-(\frac{1}{2}+\epsilon)})\to L^{2}(G^{-(\frac{1}{2}+\epsilon)})} \lesssim t^{n-\alpha-2}e^{-at^{b}},$$

by Theorem 3.1

$$||S_{\mathcal{L}}(t)(I-\Pi)||_{L^{2}(G^{-(\frac{1}{2}+\epsilon)})\to L^{2}(G^{-1/2})} \lesssim e^{-at^{\frac{2\delta}{2-\gamma}}},$$

and for the identity operator I, obviously we have

$$||I||_{L^2(G^{-\frac{1}{2}})\to L^1(m^{\theta})} \lesssim 1,$$

so taking  $n>\alpha+2$  and gathering all the terms together, and use this inequality for any  $\epsilon>0$ 

$$\int_0^t (1+t-s)^{-a} e^{-Cs^b} ds \le \int_0^t (\frac{1+s}{1+t})^a e^{-Cs^b} ds = (1+t)^{-a} \int_0^t (1+s)^{-a} e^{-Cs^b} ds$$
$$= (1+t)^{-a} (\int_0^{t^{\epsilon}} + \int_{t^{\epsilon}}^t (1+s)^{-a} e^{-Cs^b} ds \lesssim (1+t)^{-a+\epsilon},$$

we deduce

$$||S_{\mathcal{L}}(t)(I-\Pi)||_{L^{1}(m)\to L^{1}(m^{\theta})} \lesssim (1+t)^{-a+\epsilon},$$

for the case  $m = G^{-(\frac{p-1}{p}+\epsilon)}$ , the only change is this time we have

$$||S_{\mathcal{B}}(t)||_{L^{p}(G^{-(\frac{p-1}{p}+\epsilon)})\to L^{p}(G^{-\frac{p-1}{p}})} \lesssim e^{-at^{\frac{\gamma}{2-\gamma}}},$$

using similar argument we can get

$$||S_{\mathcal{L}}(t)(I-\Pi)||_{L^p(G^{-(\frac{p-1}{p}+\epsilon)})\to L^p(G^{-\frac{p-1}{p}})} \lesssim e^{-at^{\frac{\gamma}{2-\gamma}}}.$$

which implies Theorem 1.1

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