

Examples of infinite direct sums of spectral triples

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Abstract

We study two ways of summing an infinite family of noncommutative spectral triples. First, we propose a definition of the integration of spectral triples and give an example using algebras of Toeplitz operators acting on weighted Bergman spaces over the unit ball of \mathbb{C}^n . Secondly, we construct a spectral triple associated to a general polygonal self-similar set in \mathbb{C} using algebras of Toeplitz operators on Hardy spaces. In this case, we show that we can recover the Hausdorff dimension of the fractal set.

Keywords: noncommutative geometry, spectral triples, Toeplitz operators, self-similar sets.

1 Introduction and motivation

The main idea of Connes's noncommutative geometry is to characterize the geometry of a space in the language of algebras [7]. We know for instance that a compact Hausdorff space can be equivalently seen as the *commutative* C^* -algebra of continuous functions living on it. By analogy, a *noncommutative* algebra would correspond to a space of quantum nature: a *noncommutative space*. More precisely, the algebraic description of a Riemannian manifold is based on the notion of *unital spectral triple*, consisting of the data $(\mathcal{A}, \mathcal{H}, \mathcal{D})$, where \mathcal{A} is an involutive unital $*$ -algebra \mathcal{A} faithfully represented on a Hilbert space \mathcal{H} via a representation π , and \mathcal{D} is a selfadjoint operator acting on \mathcal{H} with compact resolvent and such that for any $a \in \mathcal{A}$, $\pi(a)$ maps $\text{dom}(\mathcal{D})$ into itself, and $[\mathcal{D}, \pi(a)]$ extends to a bounded operator on \mathcal{H} . When \mathcal{A} is not unital, replace the compactness of the resolvent by the compactness of $\pi(a)(\mathcal{D} - \lambda)^{-1}$ for any $a \in \mathcal{A}$ and $\lambda \notin \text{Spec}(\mathcal{D})$: the induced triple is then called *nonunital*. Among the various geometric entities which are encoded in the spectrum of \mathcal{D} , we are interested in the so-called *spectral dimension*, defined as the quantity

$$d := \inf\{s \in \mathbb{R}, \text{Tr} |\mathcal{D}|^{-s} < +\infty\}.$$

As easily checked, the direct sum of a finite number of spectral triples is again a spectral triple. We are interested here in *integrations* of spectral triples which consist, roughly speaking, of the direct sum of an *infinite* number of spectral triples. Such constructions have already been encountered in [8]: the spectral triple related to the Berezin–Toeplitz quantization over a smoothly bounded

strictly pseudoconvex domain of \mathbb{C}^n can be viewed as the integration of an infinite family of spectral triples based on algebras generated by Toeplitz operators acting on weighted Bergman spaces.

The first idea is the following: given a countable family of spectral triples $(\mathcal{A}_m, \mathcal{H}_m, \mathcal{D}_m)_{m \in \mathbb{N}}$ (commutative or not), the corresponding infinite direct sum “ $\bigoplus_{m \in \mathbb{N}} (\mathcal{A}_m, \mathcal{H}_m, \mathcal{D}_m)$ ”, might not be necessarily a spectral triple again. Indeed, as m tends to infinity, the boundedness of the representations of \mathcal{A}_m , the boundedness of the commutator between \mathcal{A}_m and the operators \mathcal{D}_m , or the compactness of the resolvent of the direct sum of all operators \mathcal{D}_m is hard to control in general and the sum may fail to converge. In order to control the behaviour of the operators \mathcal{D}_m , we multiply them by some coefficients $\alpha_m \in \mathbb{R} \setminus \{0\}$.

Surprisingly, a strong link exists between direct summations of spectral triples and fractal sets, but before describing the second approach, let us recall some previous results on the topic. Since the works of A. Connes [7, Chapter 4, 3.ε], we know that noncommutative geometry can detect the topology of fractal sets: it is shown that a commutative spectral triple involving the C^* -algebra of continuous functions over the Cantor set can be used to recover its Hausdorff dimension and the Hausdorff measure. Later on, D. Guido and T. Isola proposed a commutative spectral triple, also based on a discrete approximation of the fractal, and extend Connes’ result to more general self-similar sets in \mathbb{R}^n [10, Chapter 7], [11] (the existence of such spectral triples was already conjectured in M. Lapidus’ paper [13]). See also [14] for a review of open problems and questions about the links between analysis and spectral geometry on fractal sets.

In the latter works, each spectral triple is directly built over the fractal set. The approach we follow in the present paper is a constructive one: decompose the considered fractal set as the union of an infinite number of subdomains and associate to each of them a spectral triple. The spectral triple over the whole fractal set is obtained after the direct summation of all these spectral triples. This construction has already been used in [3, 6, 15] to recover the Hausdorff dimension and the metric on p -summable infinite trees and the Sierpinski gasket, and also in [4] to study the Hausdorff dimension of the Sierpinski gasket (and pyramid), its metric and describe its K-homology group.

For simplicity reasons, we restrict our study to self-similar sets E of the plane \mathbb{C} which can be expressed as

$$\overline{E = E_0 \cup \bigcup_{k=1}^N F_k(E_0) \cup \bigcup_{k,l=1}^N F_k \circ F_l(E_0) \cup \dots}, \quad (1)$$

where the overline means taking the closure, E_0 is a polygonal Jordan curve in the complex plane or the unit disk, and $(F_k)_{k=1, \dots, N}$ is a finite family of contracting similarities.

The paper is organized as follows.

We present in Section 2 some sufficient conditions for the sum to be a spectral triple and we give an example of such integration using Toeplitz operators over the unit ball of \mathbb{C}^n .

We show in Section 3 that it is possible to build a *noncommutative* spectral triple over such sets, involving algebras of Toeplitz operators, and whose spectral dimension corresponds to the Hausdorff dimension of E .

2 Abstract integration of spectral triples

2.1 Conditions of integrability

Lemma 2.1. *Let $(\mathcal{H}_m)_{m \in \mathbb{N}}$ be a family of Hilbert spaces, $(\mathcal{D}_m)_{m \in \mathbb{N}}$ be a family of unbounded selfadjoint operators with corresponding dense domains $(\text{dom}(\mathcal{D}_m) \subset \mathcal{H}_m)_{m \in \mathbb{N}}$, and $(\alpha_m)_{m \in \mathbb{N}} \in (\mathbb{R} \setminus \{0\})^{\mathbb{N}}$. Let $\mathcal{D}^\oplus := \bigoplus_{m \in \mathbb{N}} \alpha_m \mathcal{D}_m$ with domain*

$$\text{dom}(\mathcal{D}^\oplus) := \left\{ \bigoplus_{m=0}^N v_m \in \mathcal{H}^\oplus, N \in \mathbb{N}, v_m \in \text{dom}(\mathcal{D}_m) \right\}.$$

Then \mathcal{D}^\oplus is essentially selfadjoint, with selfadjoint extension $\overline{\mathcal{D}^\oplus}$.

Proof. Let $v^\oplus := \bigoplus_{m \in \mathbb{N}} v_m \in \mathcal{H}^\oplus$. For any $m \in \mathbb{N}$, the operator \mathcal{D}_m is densely defined so there is a sequence $(v_{mj})_{j \in \mathbb{N}}$ of elements in $\text{dom}(\mathcal{D}_m)$ converging to v_m as $j \rightarrow \infty$. Thus for any fixed $(m, j) \in \mathbb{N}^2$, there is $M_{mj} \in \mathbb{N}$ such that $\|v_m - v_{m, M_{mj}+k}\|_{\mathcal{H}_m}^2 < 2^{-j}$ for any $k \in \mathbb{N}$. Define for any $j \in \mathbb{N}$ the vector $w_j^\oplus := \bigoplus_{m=0}^j v_{m, M_{mj}}$ $\in \text{dom}(\mathcal{D}^\oplus)$. For any $j \in \mathbb{N}$, $w_j^\oplus \in \text{dom}(\mathcal{D}^\oplus)$ and

$$\|v^\oplus - w_j^\oplus\|_{\mathcal{H}^\oplus}^2 = \sum_{m=0}^j \|v_m - v_{m, M_{mj}+k}\|_{\mathcal{H}_m}^2 + \sum_{m>j} \|v_m\|_{\mathcal{H}_m}^2 < j2^{-j} + \sum_{m>j} \|v_m\|_{\mathcal{H}_m}^2 \xrightarrow{j \rightarrow +\infty} 0.$$

Thus for any $\varepsilon > 0$, there exists $N \in \mathbb{N}$ such that $\|v^\oplus - w_N^\oplus\|_{\mathcal{H}^\oplus} < \varepsilon$, which shows that \mathcal{D}^\oplus is densely defined.

Using the same reasoning and the fact that for any $m \in \mathbb{N}$, $\text{Ran}(\alpha_m \mathcal{D}_m \pm i) = \mathcal{H}_m$ (since $\alpha_m \mathcal{D}_m$ is selfadjoint), it can be shown that for any $v^\oplus \in \mathcal{H}^\oplus$ and $\varepsilon > 0$, there is $N \in \mathbb{N}$ and $w_N^\oplus \in \text{dom}(\mathcal{D}^\oplus)$ defined as above and such that $\|v^\oplus - (\mathcal{D}^\oplus \pm i)w_N^\oplus\|_{\mathcal{H}^\oplus} < \varepsilon$, thus $\text{Ran}(\mathcal{D}^\oplus \pm i)$ is dense in \mathcal{H}^\oplus .

The operator \mathcal{D}^\oplus is also symmetric since for any $v^\oplus := \sum_{m=0}^N v_m$ and $v'^\oplus := \sum_{m=0}^{N'} v'_m$ in $\text{dom}(\mathcal{D}^\oplus)$,

$$\langle \mathcal{D}^\oplus v^\oplus, v'^\oplus \rangle_{\mathcal{H}^\oplus} = \sum_{m=0}^{\min(N, N')} \langle \alpha_m \mathcal{D}_m v_m, v'_m \rangle_{\mathcal{H}_m} = \sum_{m=0}^{\min(N, N')} \langle v_m, \alpha_m \mathcal{D}_m v'_m \rangle_{\mathcal{H}_m} = \langle v^\oplus, \mathcal{D}^\oplus v'^\oplus \rangle_{\mathcal{H}^\oplus},$$

which shows that \mathcal{D}^\oplus is essentially selfadjoint (see [17, Chapter VIII.2, Corollary p.257]). \square

The following result establishes sufficient conditions on an infinite family of spectral triples together with a family of weights $(\alpha_m)_{m \in \mathbb{N}} \in (\mathbb{R} \setminus \{0\})^{\mathbb{N}}$ so that the corresponding weighted direct sum is a spectral triple.

Proposition 2.2. *Let $(\mathcal{A}_m, \mathcal{H}_m, \mathcal{D}_m)_{m \in \mathbb{N}}$ be a family of (not necessarily unital) spectral triples, with corresponding representations $(\pi_m)_{m \in \mathbb{N}}$, and denote $\|\cdot\|_m$ the norm on \mathcal{H}_m .*

Let $(\alpha_m)_{m \in \mathbb{N}}$ be a sequence of non-zero real numbers such that

$$\|(1 + \alpha_m^2 \mathcal{D}_m^2)^{-1/2}\|_m \xrightarrow{m \rightarrow +\infty} 0. \quad (2)$$

Define the following objects:

- $\mathcal{H}^\oplus := \bigoplus_{m \in \mathbb{N}} \mathcal{H}_m$,

- $\mathcal{D}^\oplus := \bigoplus_{m \in \mathbb{N}} \alpha_m \mathcal{D}_m$ and $\overline{\mathcal{D}^\oplus}$ as above, both acting on \mathcal{H}^\oplus ,
- $\mathcal{A}^\oplus := \left\{ (a_m)_{m \in \mathbb{N}} \in \prod_{m \in \mathbb{N}} \mathcal{A}_m : \sup_{m \in \mathbb{N}} \|\pi_m(a_m)\|_m < +\infty, \text{ and } \sup_{m \in \mathbb{N}} \|\alpha_m \mathcal{D}_m, \pi_m(a_m)\|_m < +\infty \right\}$,
- $\pi^\oplus(a^\oplus) := \bigoplus_{m \in \mathbb{N}} \pi_m(a_m)$, for $a^\oplus \in \mathcal{A}^\oplus$.

Then $(\mathcal{A}^\oplus, \mathcal{H}^\oplus, \overline{\mathcal{D}^\oplus})$ is a (not necessarily unital) spectral triple.

Proof. For two elements $a^\oplus = (a_m)_{m \in \mathbb{N}}$ and $b^\oplus = (b_m)_{m \in \mathbb{N}}$ in \mathcal{A}^\oplus , we have:

$$\begin{aligned} \sup_{m \in \mathbb{N}} \|\pi_m(a_m b_m)\|_m &\leq \sup_{m \in \mathbb{N}} \|\pi_m(a_m)\|_m \sup_{m \in \mathbb{N}} \|\pi_m(b_m)\|_m < +\infty, \quad \text{and} \\ \sup_{m \in \mathbb{N}} \|\alpha_m \mathcal{D}_m, \pi_m(a_m b_m)\|_m &\leq \sup_{m \in \mathbb{N}} \|\pi_m(a_m)\|_m \sup_{m \in \mathbb{N}} \|\alpha_m \mathcal{D}_m, \pi_m(b_m)\|_m \\ &\quad + \sup_{m \in \mathbb{N}} \|\alpha_m \mathcal{D}_m, \pi_m(a_m)\|_m \sup_{m \in \mathbb{N}} \|\pi_m(b_m)\|_m < +\infty, \end{aligned}$$

hence \mathcal{A}^\oplus is an algebra with involution $*$: $a^\oplus = (a_m)_{m \in \mathbb{N}} \mapsto (a^\oplus)^* := (a_m^*)_{m \in \mathbb{N}}$. For $a^\oplus \in \mathcal{A}^\oplus$, we have

$$\pi^\oplus(a^\oplus) (1 + (\mathcal{D}^\oplus)^2)^{-1/2} = \bigoplus_{m \in \mathbb{N}} \pi_m(a_m) (1 + \alpha_m^2 \mathcal{D}_m^2)^{-1/2}.$$

For any $m \in \mathbb{N}$, the summand $\pi_m(a_m) (1 + \alpha_m^2 \mathcal{D}_m^2)^{-1/2}$ is compact. From (2) and the fact that π^\oplus is a bounded representation, $\|\pi_m(a_m) (1 + \alpha_m^2 \mathcal{D}_m^2)^{-1/2}\|_m$ tends to 0 as $m \rightarrow +\infty$. As a consequence, $\pi^\oplus(a^\oplus) (1 + (\mathcal{D}^\oplus)^2)^{-1/2}$ is compact.

From Lemma 2.1, \mathcal{D}^\oplus is essentially selfadjoint with selfadjoint extension $\overline{\mathcal{D}^\oplus}$.

For $a^\oplus \in \mathcal{A}^\oplus$ and $v_N^\oplus := \bigoplus_{m=0}^N v_{N,m} \in \text{dom}(\mathcal{D}^\oplus)$, for some $N \in \mathbb{N}$, we have

$$\pi^\oplus(a^\oplus) v_N^\oplus = \bigoplus_{m=0}^N \pi_m(a_m) v_{N,m}$$

and each summand on the right-hand side belongs to $\text{dom}(\mathcal{D}_m)$ since $(\mathcal{A}_m, \mathcal{H}_m, \mathcal{D}_m)$ is a spectral triple for any $m \in \mathbb{N}$. Thus $\pi^\oplus(a^\oplus)$ maps $\text{dom}(\mathcal{D}^\oplus)$ into itself for any $a^\oplus \in \mathcal{A}^\oplus$.

Moreover, for any $a^\oplus \in \mathcal{A}^\oplus$ and $v_N^\oplus := \bigoplus_{m=0}^N v_{N,m} \in \text{dom}(\mathcal{D}^\oplus)$ of norm 1, we have

$$\|[\mathcal{D}^\oplus, \pi^\oplus(a^\oplus)] v_N^\oplus\| = \sup_{m=0, \dots, N} \|\alpha_m \mathcal{D}_m, \pi_m(a_m)\|_m \|v_{N,m}\| \leq \sup_{m \in \mathbb{N}} \|\alpha_m \mathcal{D}_m, \pi_m(a_m)\|_m < +\infty,$$

so $[\mathcal{D}^\oplus, \pi^\oplus(a^\oplus)]$ is bounded on $\text{dom}(\mathcal{D}^\oplus)$. Moreover, since

$$\overline{\mathcal{D}^\oplus|_{\text{dom}(\mathcal{D}^\oplus)}} = \overline{\mathcal{D}^\oplus|_{\text{dom}(\mathcal{D}^\oplus)}} = \overline{\mathcal{D}^\oplus},$$

then $\text{dom}(\mathcal{D}^\oplus)$ is a core for $\overline{\mathcal{D}^\oplus}$. Using [16, Proposition A.1], we conclude that for any $a^\oplus \in \mathcal{A}^\oplus$,

$$\pi^\oplus(a^\oplus) (\text{dom}(\overline{\mathcal{D}^\oplus})) \subset \text{dom}(\overline{\mathcal{D}^\oplus})$$

and $[\overline{\mathcal{D}^\oplus}, \pi^\oplus(a^\oplus)]$ extends to a bounded operator on \mathcal{H}^\oplus . \square

Definition 2.3. The spectral triple $(\mathcal{A}^\oplus, \mathcal{H}^\oplus, \overline{\mathcal{D}^\oplus})$ as above is called the integration of the five-tuple $(\mathcal{A}_m, \mathcal{H}_m, \mathcal{D}_m, \pi_m, \alpha_m)_{m \in \mathbb{N}}$, where $(\mathcal{A}_m, \mathcal{H}_m, \mathcal{D}_m)$ is a spectral triple for any $m \in \mathbb{N}$, with corresponding representations π_m and weights α_m in $\mathbb{R} \setminus \{0\}$.

As a consequence of (2), the sequence $(\mathcal{D}_m)_{m \in \mathbb{N}}$ is such that $\sum_{m \in \mathbb{N}} \dim(\text{Ker } \mathcal{D}_m) < \infty$. In particular, if we take the same $\mathcal{D}_m = \mathcal{D}_0$ at each level $m \in \mathbb{N}$, the latter must be invertible.

The two conditions in the definition of \mathcal{A}^\oplus correspond to the boundedness of both the representation π^\oplus and the commutator $[\mathcal{D}^\oplus, \pi^\oplus(\mathcal{A}^\oplus)]$ for the norm $\|\cdot\|^\oplus := \sup_{m \in \mathbb{N}} \|\cdot\|_m$ on $\pi^\oplus(\mathcal{A}^\oplus)$. The parameter $(\alpha_m)_{m \in \mathbb{N}}$ has been introduced in order to control the behaviour of the sequence $(\mathcal{D}_m)_{m \in \mathbb{N}}$ as m tends to infinity. This can be avoided by putting some constraints directly on the operators \mathcal{D}_m , but this restricts the set of summable families of spectral triples. For instance, when $\mathcal{D}^\oplus := \bigoplus_{m \in \mathbb{N}} \mathcal{D}_0$, with \mathcal{D}_0 invertible, then the resolvent of \mathcal{D}^\oplus is not compact.

We make use of the following notations for the rest of the document. For a multiindex $\alpha \in \mathbb{N}^n$ and $z \in \mathbb{C}^n$, denote $z^\alpha := z_1^{\alpha_1} z_2^{\alpha_2} \dots z_n^{\alpha_n}$ and $|\alpha| := \alpha_1 + \dots + \alpha_n$. For any set $X \subset \mathbb{C}^n$, let $\text{Pol}(X)$ be the set of polynomial functions in z and \bar{z} over X . We denote $\mathbb{B}^n := \{z \in \mathbb{C}^n, |z| < 1\}$ the unit open ball of \mathbb{C}^n , $\overline{\mathbb{B}^n}$ its closure, and simply $\mathbb{B} := \mathbb{B}^1$ the unit open disk in \mathbb{C} . The corresponding boundaries are denoted respectively $\partial\mathbb{B}^n$ and $\partial\mathbb{B}$.

2.2 An example of integration over the unit ball

We choose for \mathbb{B}^n the following defining function (i.e. a smooth function r over $\overline{\mathbb{B}^n}$ such that $r|_{\mathbb{B}^n} < 0$, $r|_{\partial\mathbb{B}^n} = 0$ and $dr|_{\partial\mathbb{B}^n} \neq 0$) and a weight on \mathbb{B}^n :

$$r(z) := |z|^2 - 1, \quad \text{and} \quad w_m(z) := (-r(z))^m, \quad z \in \overline{\mathbb{B}^n}, \quad m \in (-1, +\infty). \quad (3)$$

The *weighted Bergman space* over \mathbb{B}^n with weight w_m is

$$A_m^2(\mathbb{B}^n) := \{\phi \in L^2(\mathbb{B}^n, w_m d\mu), \phi \text{ holomorphic in } \mathbb{B}^n\},$$

where $d\mu$ is the usual normalized Lebesgue measure over \mathbb{B}^n . Denote $\mathbf{\Pi}_m$ the orthogonal projection from $L^2(\mathbb{B}^n)$ onto $A_m^2(\mathbb{B}^n)$. The *Toeplitz operator* $\mathbf{T}_f^{(m)} : A_m^2(\mathbb{B}^n) \rightarrow A_m^2(\mathbb{B}^n)$ associated to the function $f \in C^\infty(\overline{\mathbb{B}^n})$ is defined as

$$\mathbf{T}_f^{(m)} : \phi \mapsto \mathbf{\Pi}_m(f\phi).$$

In particular, Toeplitz operators enjoy the following properties:

$$f \mapsto \mathbf{T}_f^{(m)} \text{ is linear, } \quad \|\mathbf{T}_f^{(m)}\| \leq \|f\|_\infty, \quad \text{and} \quad (\mathbf{T}_f^{(m)})^* = \mathbf{T}_{\bar{f}}^{(m)}. \quad (4)$$

Since in general the product of two Toeplitz operators is not a Toeplitz operator anymore, we will consider the $*$ -algebra *generated* by the Toeplitz operators, the involution being the Hilbert space adjoint operation (4).

The following result is a corollary of [8, Proposition 5.4]:

Proposition 2.4. *For any real number $m > -1$, let \mathcal{A}_m be the algebra generated by the Toeplitz operators $\mathbf{T}_f^{(m)}$, $f \in C^\infty(\overline{\mathbb{B}^n})$, with the identity representation on $\mathcal{H}_m := A_m^2(\mathbb{B}^n)$, and also $\mathcal{D}_m := (\mathbf{T}_{-r}^{(m)})^{-1}$. Then $(\mathcal{A}_m, \mathcal{H}_m, \mathcal{D}_m)$ is a spectral triple of spectral dimension $n = \dim_{\mathbb{C}} \mathbb{B}^n$.*

In order to get a family of spectral triples, we make m vary in the set of integers, and for the rest of this section $m \in \mathbb{N}$. Let us present a preliminary result which establishes the dependence

on m of the commutator between a Toeplitz operator with polynomial symbol and the previous operator $\mathcal{D}_m := (\mathbf{T}_{-r}^{(m)})^{-1}$. Denote the operators $\mathcal{R} := \sum_{j=1}^n \mathcal{R}_j$ and $\overline{\mathcal{R}} := \sum_{j=1}^n \overline{\mathcal{R}}_j$ with $\mathcal{R}_j := z_j \partial_{z_j}$ and $\overline{\mathcal{R}}_j := \bar{z}_j \partial_{\bar{z}_j}$, acting on $C^\infty(\mathbb{B}^n)$.

Proposition 2.5. *For any polynomial function $p(z) = \sum_{|\alpha| \leq d, |\beta| \leq d'} p_{\alpha\beta} z^\alpha \bar{z}^\beta \in \text{Pol}(\mathbb{B}^n)$, we have*

$$[(\mathbf{T}_{-r}^{(m)})^{-1}, \mathbf{T}_p^{(m)}] = \frac{1}{m+1} \mathbf{T}_{(\mathcal{R}-\overline{\mathcal{R}})_p}^{(m)}, \quad \text{on } A_m^2(\mathbb{B}^n).$$

Proof. We denote briefly $\mathbf{T}_p = \mathbf{T}_p^{(m)}$. An orthonormal basis of $A_m^2(\mathbb{B}^n)$ is given by (see [19, (2.9)])

$$u_{m,\alpha}(z) := \left(\frac{(|\alpha|+m+n)!}{(m+n)! \alpha!} \right)^{1/2} z^\alpha. \quad (5)$$

Using the shift operators $\mathbf{S}_j : u_{m,\alpha} \mapsto u_{m,\alpha+1_j}$, with $\alpha + 1_j := (\alpha_1, \dots, \alpha_j + 1, \dots, \alpha_n)$ and $j = 1, \dots, n$, we have the relations

$$\mathbf{T}_{z_j} = \mathbf{S}_j \left(\frac{\mathcal{R}_j + 1}{\mathcal{R} + m + n + 1} \right)^{1/2}, \quad [\mathcal{R}_j, \mathbf{S}_k] = \delta(j=k) \mathbf{S}_j, \quad \mathbf{S}_j^* \mathbf{S}_j = 1, \quad \text{for } j = 1, \dots, n, \quad \text{and} \quad (6)$$

$$\begin{aligned} \mathbf{T}_{-r}^{-1} &= \left(1 - \sum_{j=1}^n \mathbf{T}_{|z_j|^2} \right)^{-1} = \left(1 - \sum_{j=1}^n (\mathbf{T}_{z_j})^* \mathbf{T}_{z_j} \right)^{-1} = \left(1 - \sum_{j=1}^n \frac{\mathcal{R}_j + 1}{\mathcal{R} + m + n + 1} \right)^{-1} \\ &= \frac{1}{m+1} (\mathcal{R} + m + n + 1). \end{aligned}$$

Hence we get

$$\begin{aligned} [\mathbf{T}_{-r}^{-1}, \mathbf{T}_{z_j}] &= \frac{1}{m+1} \left((\mathcal{R} + m + n + 1) \mathbf{S}_j \left(\frac{\mathcal{R}_j + 1}{\mathcal{R} + m + n + 1} \right)^{1/2} - \mathbf{S}_j \left(\frac{\mathcal{R}_j + 1}{\mathcal{R} + m + n + 1} \right)^{1/2} (\mathcal{R} + m + n + 1) \right) \\ &= \frac{1}{m+1} \mathbf{S}_j \left(\frac{\mathcal{R}_j + 1}{\mathcal{R} + m + n + 1} \right)^{1/2} (\mathcal{R} + m + n + 2 - (\mathcal{R} + m + n + 1)) \\ &= \frac{1}{m+1} \mathbf{T}_{z_j}. \end{aligned}$$

From this last equality and the fact that $[\mathbf{T}_{z_j}, \mathbf{T}_{z_k}] = 0$, for any $j, k = 1, \dots, n$, we get by iteration of the formula $[A, BC] = B[A, C] + [A, B]C$

$$[\mathbf{T}_{-r}^{-1}, \prod_{j=1}^n \mathbf{T}_{z_j}^{\alpha_j}] = \frac{|\alpha|}{m+1} \prod_{j=1}^n \mathbf{T}_{z_j}^{\alpha_j} \quad \text{and} \quad [\mathbf{T}_{-r}^{-1}, \prod_{j=1}^n (\mathbf{T}_{z_j}^*)^{\beta_j}] = -\frac{|\beta|}{m+1} \prod_{j=1}^n (\mathbf{T}_{z_j}^*)^{\beta_j}, \quad \alpha, \beta \in \mathbb{N}^n.$$

Hence, the relation $\mathbf{T}_{z^\alpha \bar{z}^\beta} = \left(\prod_{j=1}^n (\mathbf{T}_{z_j}^*)^{\beta_j} \right) \left(\prod_{j=1}^n \mathbf{T}_{z_j}^{\alpha_j} \right)$ yields to

$$\begin{aligned} [\mathbf{T}_{-r}^{-1}, \mathbf{T}_p] &= \sum_{|\alpha| \leq d, |\beta| \leq d'} [\mathbf{T}_{-r}^{-1}, \mathbf{T}_{z^\alpha \bar{z}^\beta}] = \sum_{|\alpha| \leq d, |\beta| \leq d'} [\mathbf{T}_{-r}^{-1}, \left(\prod_{j=1}^n (\mathbf{T}_{z_j}^*)^{\beta_j} \right) \left(\prod_{j=1}^n \mathbf{T}_{z_j}^{\alpha_j} \right)] \\ &= \sum_{|\alpha| \leq d, |\beta| \leq d'} p_{\alpha\beta} \left(\left(\prod_{j=1}^n (\mathbf{T}_{z_j}^*)^{\beta_j} \right) [\mathbf{T}_{-r}^{-1}, \prod_{j=1}^n \mathbf{T}_{z_j}^{\alpha_j}] + [\mathbf{T}_{-r}^{-1}, \prod_{j=1}^n (\mathbf{T}_{z_j}^*)^{\beta_j}] \prod_{j=1}^n \mathbf{T}_{z_j}^{\alpha_j} \right) \\ &= \frac{1}{m+1} \sum_{|\alpha| \leq d, |\beta| \leq d'} p_{\alpha\beta} (|\alpha| - |\beta|) \left(\prod_{j=1}^n (\mathbf{T}_{z_j}^*)^{\beta_j} \right) \left(\prod_{j=1}^n \mathbf{T}_{z_j}^{\alpha_j} \right) = \frac{1}{m+1} \sum_{|\alpha| \leq d, |\beta| \leq d'} p_{\alpha\beta} (|\alpha| - |\beta|) \mathbf{T}_{z^\alpha \bar{z}^\beta} \\ &= \frac{1}{m+1} \mathbf{T}_{(\mathcal{R}-\overline{\mathcal{R}})_p}. \quad \square \end{aligned}$$

An example of previous integration of noncommutative spectral triples is given here for the unit ball \mathbb{B}^n :

Proposition 2.6. *For $m \in \mathbb{N}$, let*

- $\mathcal{H}_m := A_m^2(\mathbb{B}^n)$,
- $\mathcal{D}_m := (\mathbf{T}_{-r}^{(m)})^{-1}$,
- \mathcal{A}_m be the $*$ -algebra generated by Toeplitz operators $\mathbf{T}_p^{(m)}$ on \mathcal{H}_m , with $p \in \text{Pol}(\mathbb{B}^n)$,
- π_m be the identity representation on \mathcal{H}_m ,
- $\|\cdot\|_m$ be the usual norm of operators,
- $\alpha_m := m + 1$.

If we let \mathcal{H}^\oplus , $\overline{\mathcal{D}^\oplus}$, π^\oplus as in [Proposition 2.2](#) and \mathcal{A}'^\oplus be the algebra generated by elements of the form $(\mathbf{T}_p^{(m)})_{m \in \mathbb{N}}$, with $p \in \text{Pol}(\mathbb{B}^n)$ (i.e. keeping the same polynomial at all levels $m \in \mathbb{N}$), then the previous quintuple is integrable and $(\mathcal{A}'^\oplus, \mathcal{H}^\oplus, \overline{\mathcal{D}^\oplus})$ is a spectral triple of spectral dimension $n + 1$.

Proof. First, we know from [Proposition 2.4](#) that for any $m \in \mathbb{N}$, $(\mathcal{A}_m, \mathcal{H}_m, \mathcal{D}_m)$ defines a spectral triple of dimension n . Moreover,

$$\begin{aligned} \|(1 + \alpha_m^2 \mathcal{D}_m^2)^{-1/2}\|_m &= \|(1 + \alpha_m^2 (\mathbf{T}_{-r}^{(m)})^{-2})^{-1/2}\|_m \leq |\alpha_m|^{-1} \|\mathbf{T}_{-r}^{(m)}\|_m \\ &\leq |\alpha_m|^{-1} \|r\|_\infty \xrightarrow{m \rightarrow +\infty} 0. \end{aligned}$$

Let us show that \mathcal{A}'^\oplus is a subalgebra of \mathcal{A}^\oplus of [Proposition 2.2](#): if $(a_m)_{m \in \mathbb{N}} = (\mathbf{T}_p^{(m)})_{m \in \mathbb{N}}$ of \mathcal{A}'^\oplus , with $p \in \text{Pol}(\mathbb{B}^n)$, is a generator, the conditions are satisfied since

$$\begin{aligned} \sup_{m \in \mathbb{N}} \|\pi_m(a_m)\|_m &\leq \|p\|_\infty < +\infty \text{ and from [Proposition 2.5](#),} \\ \sup_{m \in \mathbb{N}} \|\alpha_m \mathcal{D}_m, \pi_m(a_m)\|_m &= \sup_{m \in \mathbb{N}} \frac{m+1}{m+1} \|\mathbf{T}_{(\mathcal{R}-\overline{\mathcal{R}})_p}^{(m)}\| \leq \|(\mathcal{R} - \overline{\mathcal{R}})p\|_\infty < +\infty. \end{aligned}$$

These inequalities remain valid for a general element of \mathcal{A}'^\oplus , which is composed, at each level $m \in \mathbb{N}$, by the same finite sum of finite products of Toeplitz operators acting on $A_m^2(\mathbb{B}^n)$. Since \mathcal{A}'^\oplus form a $*$ -algebra, we conclude that it is a $*$ -subalgebra of \mathcal{A}^\oplus and from [Proposition 2.2](#), $(\mathcal{A}'^\oplus, \mathcal{H}^\oplus, \overline{\mathcal{D}^\oplus})$ is a spectral triple.

We now compute its spectral dimension. For $s \in \mathbb{R}$, we have

$$\begin{aligned} \text{Tr} |\mathcal{D}^\oplus|^{-s} &= \sum_{m \in \mathbb{N}} \alpha_m^{-s} \text{Tr} (\mathbf{T}_{-r}^{(m)})^s = \sum_{m \in \mathbb{N}} \left(\frac{\alpha_m}{m+1}\right)^{-s} \text{Tr} (\mathcal{R} + m + n + 1)^{-s} \\ &= \sum_{m \in \mathbb{N}} \sum_{k \in \mathbb{N}} \binom{k+n-1}{n-1} (k + m + n + 1)^{-s}. \end{aligned}$$

For any $k \in \mathbb{N}$ and $s > 1$, we have

$$\int_{k+m+n}^{k+m+n+1} x^{-s} dx < (k + m + n + 1)^{-s} < \int_{k+m+n+1}^{k+m+n+2} x^{-s} dx,$$

so summing over $m \in \mathbb{N}$ leads to

$$\frac{1}{s-1} (k+n)^{1-s} < \sum_{m \in \mathbb{N}} (k + m + n + 1)^{-s} < \frac{1}{s-1} (k+n+1)^{1-s}.$$

Since $\binom{k+n-1}{n-1} \underset{k \rightarrow +\infty}{\sim} \frac{k^{n-1}}{(n-1)!}$, the operator $|\mathcal{D}^\oplus|^{-s}$ is if and only if

$$\frac{1}{(1-s)(n-1)!} \sum_{k \in \mathbb{N}} (k+1)^{n-1} (k+n+1)^{1-s} < +\infty \quad \Leftrightarrow \quad \sum_{m \in \mathbb{N}} k^{n-s} < +\infty,$$

i.e. for $s > n + 1$. □

Remark 2.7. *The previous result is restricted to the case of polynomial symbols. Indeed, we cannot apply the Stone–Weierstrass theorem in order to extend the result for general smooth functions over $\overline{\mathbb{B}^n}$ since $f \mapsto [(\mathbf{T}_{-r}^{(m)})^{-1}, \mathbf{T}_f]$ is not continuous on $A_m^2(\mathbb{B}^n)$ for the norm $\|\cdot\|_\infty$.*

A possible extension of [Proposition 2.6](#), in which any $(a_m)_{m \in \mathbb{N}} \in \mathcal{A}'^\oplus$ is defined as the copy of the same element on each level $m \in \mathbb{N}$, consists of replacing a finite number of a_m by arbitrary elements of \mathcal{A}_m .

Thus the representation of an element a^\oplus of this new algebra \mathcal{A}''^\oplus is of the form

$$\pi''^\oplus(a^\oplus) = \bigoplus_{m \leq N} \pi_m(a_m) \oplus \bigoplus_{m > N} \sum_{i=1}^p \prod_{j=1}^{q_i} \mathbf{T}_{p_{ij}}^{(m)},$$

for some integer N , some arbitrary $a_m \in \mathcal{A}_m$, $m \leq N$, and fixed family of polynomials p_{ij} in $\text{Pol}(\mathbb{B}^n)$, $i = 1, \dots, p$, $j = 1, \dots, q_i$.

We can also consider a more general sequence $(\alpha_m)_{m \in \mathbb{N}}$ such that $\alpha_m \sim m^\delta$, as m tends to infinity, for $0 < \delta \leq 1$ (the upper bound comes from the boundedness of the commutator between the representation of an element of the algebra and \mathcal{D}^\oplus). Then, the conclusions of [Proposition 2.2](#) remain valid but the spectral dimension lies in $[n + 1, +\infty)$.

The “ $n + 1$ phenomenon” also appears in the spectral dimension of the spectral triple built from the Berezin–Toeplitz quantization [[8](#), Section 6]. For short, the latter spectral triple can be expressed as a summation of spectral triples on a smoothly bounded strictly pseudoconvex domain like the ones in [Proposition 2.4](#). This can be equivalently seen as a spectral triple over the boundary of a disk bundle over the domain, whose spectral dimension is exactly $n + 1$, and which brings a geometric explanation for the “extra dimension”.

Spectral triples with arbitrary real positive spectral dimension have already been encountered in C. Ivan and E. Christensen’s paper [[2](#)]; the construction uses algebras of continuous functions over the Cantor set.

3 Integration along decomposable self-similar sets in the plane

3.1 Spectral triple on fractal sets generated by a polygonal Jordan curve

Definition 3.1. *Let \mathcal{S} be the set of families $(F_k)_{k=1, \dots, N}$ of similarities on \mathbb{C} such that*

i) for any $k = 1, \dots, N$, F_k have the same ratio $c \in (0, 1)$:

$$F_k(z) = a_k z + b_k, \quad z \in \mathbb{C}, \quad \text{with } |a_k| = c,$$

- ii) there is a non-empty open bounded set $V \subset \mathbb{C}$ such that $\bigsqcup_{k=1}^N F_k(V) \subset V$ (open set condition),
- iii) there is a polygonal Jordan curve E_0 defined by the points $(p_j)_{j=1,\dots,M}$, $M > 2$, such that the attractor E of $(F_k)_{k=1,\dots,N}$ can be decomposed as

$$E = \overline{\bigcup_{m \in \mathbb{N}} \bigcup_{\omega \in \{1,\dots,N\}^m} F_{\omega_1} \circ \dots \circ F_{\omega_m}(E_0)}. \quad (7)$$

The set E_0 is called the generator.

The set E is a non-empty closed bounded set in the metric space \mathbb{R}^2 [12, 3.1.(3)(i)]. Moreover, since E is defined from similarities of same ratios and verifies the open set condition, its Hausdorff dimension \dim_H is given by (see [9, Theorem 9.3])

$$\dim_H(E) = \frac{\log(N)}{\log(1/c)}. \quad (8)$$

For the rest of this section, $(F_k)_{k=1,\dots,N}$ denotes an element of \mathcal{S} with fixed ratio $c \in (0, 1)$, E_0 a generator and E the corresponding attractor. Let $(L_j)_{j=1,\dots,M-1}$ be the family of closed line segments between the points p_j and p_{j+1} , and L_M between p_M and p_1 . If $|L_j|$ is the length of the segment L_j , we assume that the perimeter $\sum_{j=1}^M |L_j|$ of E_0 is 2π and we denote $\theta_j := \sum_{\ell=1}^{j-1} |L_\ell|$ for any $j = 2, \dots, M$, and $\theta_1 = 0$. For $j = 1, \dots, M-1$, let A_j be the closed arc of the unit circle $\partial\mathbb{B}$ between $e^{i\theta_j}$ and $e^{i\theta_{j+1}}$, and A_M the one between the points $e^{i\theta_M}$ and 1. For any $m \in \mathbb{N}$, $\omega \in \{1, \dots, N\}^m$ and $j = 1, \dots, M$, we use the following notations:

$$\begin{aligned} \mathbb{B}_m &:= \{z \in \mathbb{C}, |z| < c^m\}, & \mathcal{C}_m &:= \partial\mathbb{B}_m, & F_\omega &:= F_{\omega_1} \circ \dots \circ F_{\omega_m}, & E_\omega &:= F_\omega(E_0), \\ p_{\omega j} &:= F_\omega(p_j), & L_{\omega j} &:= F_\omega(L_j), & A_{\omega j} &:= c^m A_j. \end{aligned} \quad (9)$$

(note that $A_{\omega j}$ are the closed arcs whose union over j is \mathcal{C}_m .)

Example 3.2. The Sierpinski gasket $E_{\mathcal{S}\mathcal{G}}$ [18] is the attractor of $(F_1, F_2, F_3) \in \mathcal{S}$, where F_k , $k = 1, 2, 3$, is the homothety of center the k th vertex p_k of an equilateral triangle E_0 , and of ratio $c = 1/2$. It can be expressed as the union between E_0 and all of its images by F_ω , for any $\omega \in \{1, \dots, N\}^m$ and $m \in \mathbb{N}$, and its Hausdorff dimension is $\dim_H(E_{\mathcal{S}\mathcal{G}}) = \frac{\log(3)}{\log(2)}$. On Figure 1, the sets $F_k(E_0)$ and $F_k \circ F_l(E_0)$, $k, l = 1, 2, 3$, are denoted E_k and E_{kl} respectively.

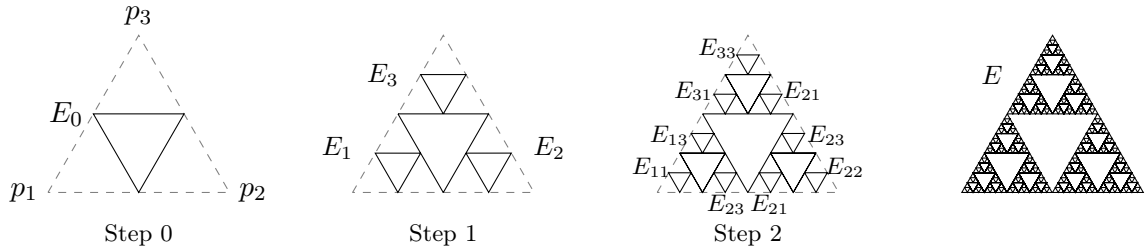


Figure 1

In order to define Toeplitz operators on the polygonal Jordan curves E_ω , we define a sufficiently nice homeomorphism from the circle \mathcal{C}_m into E_ω based on Möbius transforms that send holomorphically each arc $A_{\omega j}$ into the line segments $[p_{\omega j}, p_{\omega j+1}]$.

Lemma 3.3. For any $m \in \mathbb{N}$, $\omega \in \{1, \dots, N\}^m$ and $j = 1, \dots, M$, let the maps

$$\kappa_{\omega j}(z) := \frac{(p_{\omega j} - i/(\delta_{\omega j} \tau_{\omega j}))z + c^m e^{i\theta_j} (p_{\omega j} + i/(\delta_{\omega j} \tau_{\omega j}))}{z + c^m e^{i\theta_j}},$$

from \mathcal{C}_m into E_ω , where

$$\begin{aligned} \delta_{\omega j} &:= (p_{\omega j+1} - p_{\omega j})^{-1}, & \tau_{\omega j} &:= \tan((\theta_{j+1} - \theta_j)/2), & \text{if } j = 1, \dots, M-1, \text{ and} \\ \delta_{\omega M} &:= (p_{\omega 1} - p_{\omega M})^{-1}, & \tau_{\omega M} &:= \tan((\theta_1 - \theta_M)/2). \end{aligned}$$

Then the map κ_ω defined as $\kappa_\omega|_{A_{\omega j}} := \kappa_{\omega j}$ is an homeomorphism from \mathcal{C}_m into E_ω .

Proof. Since $M > 2$, $|L_j| = \theta_{j+1} - \theta_j < \pi$ so $\tau_{\omega j} < +\infty$ for any $j = 1, \dots, M$. Expressing any point z in $A_{\omega j}$ as

$$z = z(t) = c^m e^{i(\theta_j + t|L_j|)}, \quad \text{for } t \in [0, 1], \quad (10)$$

we have for any $t \in [0, 1]$

$$\begin{aligned} \kappa_{\omega j}(z(t)) &= \frac{(p_{\omega j} - i/(\delta_{\omega j} \tau_{\omega j}))c^m e^{i(\theta_j + t|L_j|)} + c^m e^{i\theta_j} (p_{\omega j} + i/(\delta_{\omega j} \tau_{\omega j}))}{c^m e^{i(\theta_j + t|L_j|)} + c^m e^{i\theta_j}} \\ &= \frac{(p_{\omega j} - i/(\delta_{\omega j} \tau_{\omega j}))e^{it|L_j|} + p_{\omega j} + i/(\delta_{\omega j} \tau_{\omega j})}{e^{it|L_j|} + 1} = p_{\omega j} + \frac{i}{\delta_{\omega j} \tau_{\omega j}} \frac{1 - e^{it|L_j|}}{1 + e^{it|L_j|}} \\ &= p_{\omega j} + (p_{\omega j+1} - p_{\omega j}) \frac{\tan(t|L_j|/2)}{\tan(|L_j|/2)}. \end{aligned} \quad (11)$$

Thus $\kappa_{\omega j}$ maps continuously the closed arc $A_{\omega j}$ into the line segment $[p_{\omega j}, p_{\omega j+1}]$ for any $j = 1, \dots, M-1$, so does κ_M from A_M into the line segment $[p_{\omega M}, p_{\omega 1}]$. \square

Let $m \in \mathbb{N}$ and $\omega \in \{1, \dots, N\}^m$. The *Hardy space* over the circle \mathcal{C}_m , denoted $H^2(\mathcal{C}_m)$, \mathcal{H}_ω or \mathcal{H}_m , is the space of functions ϕ that are holomorphic on the corresponding open disk \mathbb{B}_m and such that

$$\|\phi\|_{\mathcal{H}_m}^2 := \sup_{0 < \rho < c^m} \int_0^{2\pi} |\phi(\rho e^{it})|^2 \frac{dt}{2\pi} < +\infty.$$

The inner product in \mathcal{H}_m between $\phi(z) = \sum_{k \in \mathbb{N}} \phi_k z^k$ and $\psi(z) = \sum_{k \in \mathbb{N}} \psi_k z^k$, $z \in \mathbb{B}_m$, is given by

$$\langle \phi, \psi \rangle_{\mathcal{H}_m} := \int_0^{2\pi} \phi(c^m e^{it}) \overline{\psi(c^m e^{it})} \frac{dt}{2\pi} = \sum_{k \in \mathbb{N}} \phi_k \overline{\psi_k} c^{2mk}.$$

An orthonormal basis for \mathcal{H}_m is given by the vectors $v_{mj}(z) := c^{-m} z^j$ and the reproducing kernel $S_z^{(m)}(w) = \sum_{k \in \mathbb{N}} c^{-2mk} \bar{z}^k w^k$ verifies $\phi(z) = \langle \phi, S_z^{(m)} \rangle_{\mathcal{H}_m}$ for any $\phi \in \mathcal{H}_m$ and $z \in \mathbb{B}_m$. The orthogonal projection $\Pi_m : L^2(\mathcal{C}_m) \rightarrow \mathcal{H}_m$ is called the Szegő projector. Any bounded function u on the circle \mathcal{C}_m gives rise to the Toeplitz operator $T_u : \phi \mapsto \Pi_m(u\phi)$, $\phi \in \mathcal{H}_m$. Thus for any polynomial function $p \in \text{Pol}(E_\omega)$, the function $p \circ \kappa_\omega$ is bounded on \mathcal{C}_m , and we can consider Toeplitz operators of the form

$$T_{p \circ \kappa_\omega}^{(\omega)} : \mathcal{H}_m \ni \phi \mapsto \Pi_m((p \circ \kappa_\omega)\phi) \in \mathcal{H}_m. \quad (12)$$

The integral representation of the action of such operators is

$$\begin{aligned} (T_{p \circ \kappa_\omega}^{(\omega)} \phi)(z) &= \langle (p \circ \kappa_\omega) \phi, S_z^{(m)} \rangle_{\mathcal{H}_\omega} \\ &= \sum_{j=1}^M \int_{\theta_j}^{\theta_{j+1}} \frac{dt}{2\pi} (p \circ \kappa_{\omega_j})(c^m e^{it}) \phi(c^m e^{it}) \overline{S_z^{(m)}(c^m e^{it})}, \quad z \in \mathbb{B}_m. \end{aligned} \quad (13)$$

As in previous section, define the operators $\mathcal{R} := z\partial_z$ and $\overline{\mathcal{R}} := \bar{z}\partial_{\bar{z}}$ acting on \mathcal{H}_ω . Since $\text{Spec}(\mathcal{R}) = \mathbb{N}$ consists of the positive part of the usual Dirac operator on the circle \mathcal{C}_m , we choose for \mathcal{D}_ω the following expression

$$\mathcal{D}_\omega := \alpha_m \mathcal{R} + \beta_m, \quad (14)$$

where $(\alpha_m)_{m \in \mathbb{N}}$ and $(\beta_m)_{m \in \mathbb{N}}$ are two sequences of strictly positive real numbers: these sequences depend only on m since each \mathcal{D}_ω acts on a Hardy space over the same circle \mathcal{C}_m of radius c^m .

Lemma 3.4. *Let $m \in \mathbb{N}$, $\omega \in \{1, \dots, N\}^m$ and $p \in \text{Pol}(E_\omega)$. The operator $[\mathcal{D}_\omega, T_{p \circ \kappa_\omega|_{\mathcal{C}_\omega}}^{(\omega)}]$ is bounded and*

$$\|[\mathcal{D}_\omega, T_{p \circ \kappa_\omega|_{\mathcal{C}_\omega}}^{(\omega)}]\| \leq \alpha_m K_p,$$

for some constant $K_p > 0$ independent on m .

Proof. We fix $m \in \mathbb{N}$, $\omega \in \{1, \dots, N\}^m$, and denote $T_u := T_u^{(\omega)}$, $u \in L^\infty(\mathcal{C}_\omega)$, for clarity reasons. If $p(z) = \sum_{a,b} p_{ab} z^a \bar{z}^b$ is a polynomial on the set E_ω , we have $[\mathcal{R}, T_{p \circ \kappa_\omega}] = \sum_{a,b} p_{ab} [\mathcal{R}, T_{\kappa_\omega^a \bar{\kappa}_\omega^b}]$. We now show that for any $a, b \in \mathbb{N}$,

$$[\mathcal{R}, T_{\kappa_\omega^a \bar{\kappa}_\omega^b}] = T_{\pi_{\omega ab}}, \quad (15)$$

as operators acting on \mathcal{H}_ω , where $\pi_{\omega ab}$ defined by

$$\pi_{\omega ab}|_{\mathring{A}_{\omega_j}} = (\mathcal{R} - \overline{\mathcal{R}}) \kappa_{\omega_j}^a \overline{\kappa_{\omega_j}^b}, \quad \text{for any } j = 1, \dots, M,$$

where \mathring{A}_{ω_j} denotes the interior of the closed arc A_{ω_j} , is extendible to a piecewise continuous, hence bounded, function on \mathcal{C}_m . For any $j = 1, \dots, M$, the map $\kappa_{\omega_j}^a$ is holomorphic in a neighborhood of A_{ω_j} , and we write $\kappa_{\omega_j}^a(z) = \sum_{k \in \mathbb{N}} \kappa_{\omega_j a k} z^k$, $z \in A_{\omega_j}$. For any $z \in \mathbb{B}_m$, $n \in \mathbb{N}$, and using (13), we have on one hand

$$\begin{aligned} T_{\kappa_\omega^a \bar{\kappa}_\omega^b} z^n &= \sum_{j=1}^M \int_{\theta_j}^{\theta_{j+1}} \frac{dt}{2\pi} \sum_{k \in \mathbb{N}} \kappa_{\omega_j a k} c^{mk} e^{itk} \overline{\sum_{l \in \mathbb{N}} \kappa_{\omega_j b l} c^{ml} e^{itl}} c^{mn} e^{itn} \sum_{s \in \mathbb{N}} c^{-2ms} z^s c^{ms} e^{-its} \\ &= \sum_{s \in \mathbb{N}} c^{m(n-s)} z^s \sum_{j=1}^M \int_{\theta_j}^{\theta_{j+1}} \frac{dt}{2\pi} \sum_{k, l \in \mathbb{N}} \kappa_{\omega_j a k} \overline{\kappa_{\omega_j b l}} c^{m(k+l)} e^{it(k-l+n-s)} \\ &= \sum_{s \in \mathbb{N}} c^{m(n-s)} z^s \sum_{j=1}^M \sum_{k, l \in \mathbb{N}} \kappa_{\omega_j a k} \overline{\kappa_{\omega_j b l}} c^{m(k+l)} \\ &\quad \left(\frac{e^{i\theta_{j+1}(k-l+n-s)} - e^{i\theta_j(k-l+n-s)}}{i(k-l+n-s)} \delta(k+n \neq l+s) + (\theta_{j+1} - \theta_j) \delta(k+n = l+s) \right). \end{aligned}$$

On the other hand, since on each A_{ω_j} we have $(\mathcal{R} - \overline{\mathcal{R}})\kappa_{\omega_j}^a \overline{\kappa_{\omega_j}^b} = (\mathcal{R}\kappa_{\omega_j}^a)\overline{\kappa_{\omega_j}^b} - \kappa_{\omega_j}^a \overline{\mathcal{R}\kappa_{\omega_j}^b}$, we get for any $z \in \mathbb{B}_m$ and $n \in \mathbb{N}$

$$\begin{aligned} T_{\pi_{\omega ab}} z^n &= \sum_{j=1}^M \int_{\theta_j}^{\theta_{j+1}} \frac{dt}{2\pi} \sum_{k,l \in \mathbb{N}} (k-l) \kappa_{\omega_j a k} \overline{\kappa_{\omega_j b l}} c^{m(k+l)} c^{mn} e^{itn} \sum_{s \in \mathbb{N}} c^{-2ms} z^s c^{ms} e^{-its} \\ &= \sum_{s \in \mathbb{N}} c^{m(n-s)} z^s \sum_{j=1}^M \sum_{k,l \in \mathbb{N}} \kappa_{\omega_j a k} \overline{\kappa_{\omega_j b l}} c^{m(k+l)} (k-l) \\ &\quad \left(\frac{e^{i\theta_{j+1}(k-l+n-s)} - e^{i\theta_j(k-l+n-s)}}{i(k-l+n-s)} \delta(k+n \neq l+s) + (\theta_{j+1} - \theta_j) \delta(k+n = l+s) \right). \end{aligned}$$

Thus, setting $B := T_{\pi_{\omega ab}} - [\mathcal{R}, T_{\kappa_{\omega}^a \overline{\kappa_{\omega}^b}}]$, we obtain

$$\begin{aligned} Bz^n &= \sum_{s \in \mathbb{N}} c^{m(n-s)} z^s \sum_{j=1}^M \sum_{k,l \in \mathbb{N}} \kappa_{\omega_j a k} \overline{\kappa_{\omega_j b l}} c^{m(k+l)} (k-l+n-s) \\ &\quad \frac{e^{i\theta_{j+1}(k-l+n-s)} - e^{i\theta_j(k-l+n-s)}}{i(k-l+n-s)} \delta(k+n \neq l+s) \\ &= -i \sum_{s \in \mathbb{N}} c^{m(n-s)} z^s \sum_{j=1}^M \sum_{k,l \in \mathbb{N}} \kappa_{\omega_j a k} \overline{\kappa_{\omega_j b l}} c^{m(k+l)} (e^{i\theta_{j+1}(k-l+n-s)} - e^{i\theta_j(k-l+n-s)}) \\ &= -i \sum_{s \in \mathbb{N}} c^{m(n-s)} z^s e^i \sum_{j=1}^M (\kappa_{\omega_j}^a(p_{\omega_{j+1}}) \overline{\kappa_{\omega_j}^b}(p_{\omega_{j+1}}) e^{i\theta_{j+1}(n-s)} - \kappa_{\omega_j}^a(p_{\omega_j}) \overline{\kappa_{\omega_j}^b}(p_{\omega_j}) e^{i\theta_j(n-s)}). \end{aligned}$$

Since κ_{ω} is continuous on \mathcal{C}_m , $\kappa_{\omega_j}(p_{\omega_{j+1}}) = \kappa_{\omega_{j+1}}(p_{\omega_{j+1}})$ for any $j = 1, \dots, M-1$, and $\kappa_{\omega_M}(p_{\omega_1}) = \kappa_{\omega_1}(p_{\omega_1})$, so the summation over j on the right-hand side vanishes and we proved (15).

By linearity, we have $[\mathcal{D}_{\omega}, T_{p \circ \kappa_{\omega}|_{\mathcal{C}_m}}] = \alpha_m \sum_{a,b} p_{ab} T_{\pi_{\omega ab}}$, which is a bounded operator on \mathcal{H}_{ω} with

$$\|[\mathcal{D}_{\omega}, T_{p \circ \kappa_{\omega}|_{\mathcal{C}_m}}]\| \leq \alpha_m \sum_{a,b} |p_{ab}| \|\pi_{\omega ab}\|_{\infty}.$$

We have for any $z \in \dot{A}_{\omega_j}$

$$\mathcal{R}\kappa_{\omega_j}(z) = z \partial_z \frac{(p_{\omega_j} - i/(\delta_{\omega_j} \tau_{\omega_j}))z + c^m e^{i\theta_j}(p_{\omega_j} + i/(\delta_{\omega_j} \tau_{\omega_j}))}{z + c^m e^{i\theta_j}} = \frac{-2i/(\delta_{\omega_j} \tau_{\omega_j}) c^m e^{i\theta_j} z}{(z + c^m e^{i\theta_j})^2},$$

so using (10) we get

$$\mathcal{R}\kappa_{\omega_j}(t) = \frac{-2i}{\delta_{\omega_j} \tau_{\omega_j}} \frac{e^{it|L_j|}}{(1+e^{it|L_j|})^2}, \quad \text{hence} \quad |\mathcal{R}\kappa_{\omega_j}|(t) \leq \frac{2}{\tau_M |p_{j+1} - p_j| k_j}, \quad \forall t \in (0, 1),$$

with $k_j := \inf_{t \in (0,1)} (1+e^{it|L_j|})^2 > 0$. Moreover, since E is a compact set in \mathbb{C} , there is a constant $K > 0$ such that for any $m \in \mathbb{N}$ and $\omega \in \{1, \dots, N\}^m$, $\|\kappa_{\omega}\|_{\infty} \leq K$. Thus

$$\begin{aligned} \|\pi_{\omega ab}\|_{\infty} &\leq \sup_{j=1, \dots, M} \|a(\mathcal{R}\kappa_{\omega_j}) \kappa_{\omega_j}^{a-1} \overline{\kappa_{\omega_j}^b}|_{\dot{A}_{\omega_j}}\|_{\infty} + \|b \kappa_{\omega_j}^a (\overline{\mathcal{R}\kappa_{\omega_j}}) \overline{\kappa_{\omega_j}^{b-1}}|_{\dot{A}_{\omega_j}}\|_{\infty} \\ &\leq \sup_{j=1, \dots, M} \frac{2(a+b)K^{a+b-1}}{\tau_{\omega_j} |p_{\omega_{j+1}} - p_{\omega_j}| k_j} =: K'_{ab}. \end{aligned}$$

Finally, we take $K_p := \sum_{a,b} |p_{ab}| K'_{ab}$ and the proof is complete. \square

Proposition 3.5. *Let $\omega \in \{1, \dots, N\}^m$, $m \in \mathbb{N}$. Let \mathcal{A}_ω be the algebra generated by Toeplitz operators of the form (12), $\mathcal{H}_\omega := H^2(\mathcal{C}_m)$ and \mathcal{D}_ω as in (14).*

Then for any sequences $(\alpha_m)_{m \in \mathbb{N}}$, $(\beta_m)_{m \in \mathbb{N}}$ of strictly positive real numbers, $(\mathcal{A}_\omega, \mathcal{H}_\omega, \mathcal{D}_\omega)$ is a spectral triple of spectral dimension 1.

Proof. The circle \mathcal{C}_ω is the boundary of a strictly pseudoconvex domain with complex dimension 1 and \mathcal{A}_ω is a subalgebra of the algebra of generalized Toeplitz operators of order 0 (see [1]), and the operator \mathcal{D}_ω is a selfadjoint elliptic generalized Toeplitz operator of order 1 on \mathcal{H}_ω , so the proof is similar to the one of [8, Proposition 5.2], except that here $[\mathcal{D}_\omega, \mathcal{A}_\omega] \notin \mathcal{A}_\omega$. The boundedness of the commutator is nonetheless proved by Lemma 3.4. \square

We assumed that the attractor E is the union of all the components E_ω , so we sum the spectral triples obtained in Proposition 3.5 in order to obtain a noncommutative spectral triple over the whole set E . It is still possible to adjust the coefficients $(\alpha_m)_{m \in \mathbb{N}}$ and $(\beta_m)_{m \in \mathbb{N}}$ so that the spectral dimension of the integrated spectral triple corresponds to $\dim_H(E)$.

Theorem 3.6. *Let $(F_k)_{k=1, \dots, N}$ be an element of \mathcal{S} with ratio $c \in (0, 1)$ such that $1 < cN$. Let*

- $\mathcal{H}^\oplus := \bigoplus_{m \in \mathbb{N}} \bigoplus_{\omega \in \{1, \dots, N\}^m} \mathcal{H}_\omega$, with $\mathcal{H}_\omega := H^2(\mathcal{C}_m)$,
- \mathcal{A}^\oplus be the algebra generated by Toeplitz operators of the form

$$T_p^\oplus := \bigoplus_{m \in \mathbb{N}} \bigoplus_{\omega \in \{1, \dots, N\}^m} T_{p \circ \kappa_\omega}^{(\omega)}, \quad \text{with } p \in \text{Pol}(E),$$

- $\mathcal{D}^\oplus := \bigoplus_{m \in \mathbb{N}} \bigoplus_{\omega \in \{1, \dots, N\}^m} \mathcal{D}_\omega$, where $\mathcal{D}_\omega := \alpha_m \mathcal{R}_\omega + \beta_m$, for some sequences $(\alpha_m)_{m \in \mathbb{N}}$, $(\beta_m)_{m \in \mathbb{N}}$ of strictly positive real numbers and with domain

$$\text{dom}(\mathcal{D}^\oplus) := \{v^\oplus := \bigoplus_{m=0}^N \bigoplus_{\omega \in \{1, \dots, N\}^m} v_\omega, N \in \mathbb{N}, v_\omega \in \text{dom}(\mathcal{D}_\omega)\}.$$

Then \mathcal{D}^\oplus is essentially selfadjoint and one can choose the sequences $(\alpha_m)_{m \in \mathbb{N}}$ and $(\beta_m)_{m \in \mathbb{N}}$ so that $(\mathcal{A}^\oplus, \mathcal{H}^\oplus, \overline{\mathcal{D}^\oplus})$ is a spectral triple of spectral dimension $\dim_H(E)$.

Proof. The attractor E is compact, so for any $p \in \text{Pol}(E)$, the norm $\|T_p^\oplus\| \leq \|p\|_\infty$ is finite. Let

$$\ell \in \left(\frac{\log(N)}{\log(cN)}, +\infty\right), \quad \alpha_m := c^{-\ell m} N^{-m(\ell-1)}, \quad \text{and} \quad \beta_m := c^{-\ell m}, \quad \forall m \in \mathbb{N}. \quad (16)$$

For any $m \in \mathbb{N}$ and $\omega \in \{1, \dots, N\}^m$, $\text{Spec}(\mathcal{D}_\omega) = \{\alpha_m j + \beta_m, j \in \mathbb{N}\} \subset \mathbb{R}^+ \setminus \{0\}$, so the operator \mathcal{D}_ω^{-1} is compact and

$$\|\mathcal{D}_\omega^{-1}\| = \sup_{j \in \mathbb{N}} (\alpha_m j + \beta_m)^{-1} = \beta_m^{-1} = c^{\ell m} \xrightarrow{m \rightarrow +\infty} 0,$$

hence \mathcal{D}^\oplus has compact resolvent. Using Lemma 3.4, for any $p \in \text{Pol}(E)$, we have

$$\|[\mathcal{D}^\oplus, T_p^\oplus]\| = \sup_{m \in \mathbb{N}} \sup_{\omega \in \{1, \dots, N\}^m} \|[\mathcal{D}_\omega, T_{p \circ \kappa_\omega}^{(\omega)}]\| \leq K_p \sup_{m \in \mathbb{N}} \alpha_m \leq K_p$$

(indeed $\alpha_0 = 1$ and $\frac{\log(N)}{\log(cN)} < \ell \Leftrightarrow \alpha_m < 1$ for any $m \neq 0$).

From [Proposition 3.5](#), the spectral dimension of $(\mathcal{A}_\omega, \mathcal{H}_\omega, \mathcal{D}_\omega)$ is 1 for any $\omega \in \{1, \dots, N\}^m$, $m \in \mathbb{N}$, so we study $\text{Tr}(|\mathcal{D}^\oplus|^{-s})$ for $s > 1$:

$$\text{Tr}(|\mathcal{D}^\oplus|^{-s}) = \sum_{m \in \mathbb{N}} N^m \sum_{j \in \mathbb{N}} (\alpha_m j + \beta_m)^{-s} = \sum_{m \in \mathbb{N}} N^m \alpha_m^{-s} \sum_{j \in \mathbb{N}} (j + \frac{\beta_m}{\alpha_m})^{-s}.$$

A similar calculation as in the proof of [Proposition 2.6](#) shows that $\sum_{j \in \mathbb{N}} (j + \frac{\beta_m}{\alpha_m})^{-s} \underset{m \rightarrow +\infty}{\sim} \frac{1}{s-1} (\frac{\beta_m}{\alpha_m})^{1-s}$, so $\text{Tr}(|\mathcal{D}^\oplus|^{-s})$ is finite if and only if

$$\sum_{m \in \mathbb{N}} N^m \alpha_m^{-s} (\frac{\beta_m}{\alpha_m})^{1-s} = \sum_{m \in \mathbb{N}} (c^{\ell s} N^\ell)^m < +\infty \quad \Leftrightarrow \quad c^{\ell s} N^\ell < 1,$$

i.e. for $s > \frac{\log(N)}{\log(1/c)} = \dim_H(E)$. □

Because of the condition $1 < cN$, the previous operator \mathcal{D}^\oplus encodes the Hausdorff dimension of E when the latter is strictly greater than 1.

3.2 Spectral triple on fractal sets generated by the unit disk

The integration of [Section 2.2](#) is obtained from a family of spectral triples over a fixed domain which is the unit disk \mathbb{B} , and the dimension is recovered after adjusting the family of weights and the sequence $(\alpha_m)_{m \in \mathbb{N}}$. Here, the considered domain is a union of disks of different sizes, forming a self-similar set. This approach of integration seems more natural in the sense that the geometrical structure of the fractal keeps us to put by hand the coefficients α_m on the operators \mathcal{D}_ω , and the only degree of freedom remains the choice of the weights on each disk.

In this section, we consider a family of similarities $(F_k)_{k=1, \dots, N}$ on \mathbb{C} which verify *i)* and *ii)* from [Definition 3.1](#), whose attractor E can be expressed as

$$E = \bigcup_{m \in \mathbb{N}} \bigcup_{\omega \in \{1, \dots, N\}^m} F_\omega(\mathbb{B})$$

(we keep the same notations as in [\(9\)](#)).

Again, the attractor E is a self-similar set and its Hausdorff dimension is given by [\(8\)](#). The spectral triple over E is obtained in a similar way as in [Section 3.1](#), except that the algebras we consider here are the algebras generated by Toeplitz operators on Bergman spaces over the disks.

For any $m \in \mathbb{N}$, we consider for the disk \mathbb{B}_m the following defining function and weight

$$r_m(z) := |z|^2 - c^{2m}, \quad \text{and} \quad \tilde{w}_m(z) := (-r_m(z))^{N^m}, \quad z \in \overline{\mathbb{B}_m},$$

and we denote the corresponding weighted Bergman spaces $\tilde{A}_m^2(\mathbb{B}_m)$. For any $m \in \mathbb{N}$ and $\omega \in \{1, \dots, N\}^m$, we consider the translation $\iota_\omega : z \mapsto z + q_\omega$ from \mathbb{B}_m into $F_\omega(\mathbb{B})$, where $q_\omega := F_\omega(0)$ is the center of the open disk $F_\omega(\mathbb{B})$. Of course [Proposition 2.4](#) remains valid when \mathcal{H}_m is replaced by $\tilde{A}_m^2(\mathbb{B}_m)$, and we get

Proposition 3.7. *Let*

- $\mathcal{H}^\oplus := \bigoplus_{m \in \mathbb{N}} \bigoplus_{\omega \in \{1, \dots, N\}^m} \mathcal{H}_m$, with $\mathcal{H}_m := \tilde{A}_m^2(\mathbb{B}_m)$,
- \mathcal{A}^\oplus be the $*$ -algebra generated by operators on \mathcal{H}^\oplus of the form

$$\mathbf{T}_p^\oplus := \bigoplus_{m \in \mathbb{N}} \bigoplus_{\omega \in \{1, \dots, N\}^m} \mathbf{T}_{p \circ \iota_\omega|_{\mathbb{B}_m}}^{(\omega)}, \quad \text{with } p \in \text{Pol}(E),$$

- $\mathcal{D}^\oplus := \bigoplus_{m \in \mathbb{N}} \bigoplus_{\omega \in \{1, \dots, N\}^m} \mathcal{D}_\omega$, with $\mathcal{D}_\omega := (\mathbf{T}_{-r_m}^{(\omega)})^{-1}$.

Then, if $1 < c^2 N$, then $(\mathcal{A}^\oplus, \mathcal{H}^\oplus, \mathcal{D}^\oplus)$ is a spectral triple of spectral dimension $\dim_H(E)$.

Proof. For any $m \in \mathbb{N}$, an orthonormal basis of $\tilde{A}_m^2(\mathbb{B}_m)$ is given by

$$\tilde{u}_{m,j}(z) := c^{-m(N^m+j+1)} \left(\frac{(N^m+j+1)!}{N^m! j! \pi} \right)^{1/2} z^j, \quad z \in \mathbb{B}_m,$$

and similarly as in (6), we have for any $\omega \in \{1, \dots, N\}^m$

$$\mathbf{T}_{z_j}^{(\omega)} = c^m \mathbf{S} \left(\frac{\mathcal{R}+1}{\mathcal{R}+N^m+2} \right)^{1/2}, \quad \text{and} \quad \mathcal{D}_\omega = c^{-2m} \frac{\mathcal{R}+N^m+2}{N^m+1} = \alpha'_m \mathcal{R} + \beta'_m,$$

with $\alpha'_m := c^{-2m}(N^m-1)^{-1}$ and $\beta'_m := c^{-2m} \frac{N^m+2}{N^m+1}$. These sequences are equivalent, as $m \rightarrow \infty$, to $(\alpha_m)_{m \in \mathbb{N}}$ and $(\beta_m)_{m \in \mathbb{N}}$ of (16) when $\ell = 2$. Since we assumed $1 < c^2 N$, the compactness of the resolvent of \mathcal{D}^\oplus and the computation of the spectral dimension are shown similarly as in the proof of Theorem 3.6. Moreover, as in Proposition 2.5, we have $[\mathcal{D}_\omega, \mathbf{T}_{p \circ \iota_\omega}^{(\omega)}] = \alpha'_m \mathbf{T}_{(\mathcal{R}-\overline{\mathcal{R}})(p \circ \iota_\omega)}^{(\omega)}$ for any $m \in \mathbb{N}$ and $\omega \in \{1, \dots, N\}^m$, so $\|[\mathcal{D}_\oplus, \mathbf{T}_p^\oplus]\| \leq \sup_{m \in \mathbb{N}} \alpha'_m \|p\|_\infty < +\infty$. \square

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