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Antibacterial activity of graphene-based materials

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Abstract

Complications related to infectious diseases have significantly decreased due to the availability and use of a wide variety of antibiotics and antimicrobial agents. However, excessive use of antibiotics and antimicrobial agents over years has increased the number of drug resistant pathogens. Microbial multidrug resistance poses serious risks and consequently research attention has refocused on finding alternatives for antimicrobial treatment. Among the various approaches, the use of engineered nanostructures is currently the most promising strategy to overcome microbial drug resistance by improving the remedial efficiency due to their high surface-to-volume ratio and their intrinsic or chemically incorporated antibacterial activity. Graphene, a two-dimensional ultra-thin nanomaterial, possesses excellent biocompatibility, putting it in the forefront for different applications in biosensing, drug delivery, biomedical device development, diagnostics and therapeutics. Graphene-based nanostructures also hold great promise for combating microbial infections. Yet, several questions remain unanswered such as the mechanism of action with the microbial entities, the importance of size and chemical composition in the inhibition of bacterial proliferation and adhesion, cytotoxicity, and other issues when considering future clinical implementation. This review summarizes the current efforts in the formulation of graphene-based nanocomposites with antimicrobial and antibiofilm activities as new tools to tackle the current challenges in fighting against bacterial targets. Furthermore, the review describes the features of graphene–bacterial interactions, with the hope to shed light on the range of possible mode of actions, serving the goal to develop a better understanding of the antibacterial capabilities of graphene-based nanostructures.

1. Introduction

1

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Infectious diseases caused by pathogenic microorganisms such as viruses, bacteria and fungi are still one of the world's most challenging global health issues. While morbidity and mortality from infections decreased considerably from the late 1940s onwards due to the commercialization and use of penicillin, issues related to the emergence of many microorganisms that have become resistant to antibiotics have made the search for the treatment of infectious diseases again of high importance. For example, some of the Gram-positive (e.g. *Staphylococcus aureus*) and Gram-negative (*Escherichia coli*, *Pseudomonas aeruginosa*) bacteria are the common multi-drug resistant (MDR) pathogens and important causes of various often hospital-acquired infections. According to published data in 2011, 25000 patients die annually in the EU as a result of infections caused by antibiotic-resistant bacteria, with two-thirds of these deaths due to Gram-negative pathogens. The report entitled “Review on Antimicrobial Resistance” published in December 2014 by J. O'Neill estimates a death quote attributable to antimicrobial resistance (AMR) of 10 million in 2050.¹ The costs incurred by drug resistant infections amount to an estimated €1.5 billion annually, due to the increase in healthcare expenditure costs. The situation is all the more serious as antimicrobials have become an essential tool for modern medicine and many surgical operations could not be performed without them. The demand for developing new antimicrobial drugs and therapies for combating bacterial infections has thus become crucial.

Next to the conventional treatments of pathogenic bacteria with antibiotics, other antimicrobial agents such as topical antiseptics,² iodine-containing solutions,³ or silver ion containing preparations⁴ can be used. While these approaches have the advantage of surpassing any bacterial resistance mechanism, they are not harmless and generally do not target only bacteria but also normal cells. Absorption of antiseptics such as iodine compounds can cause many adverse effects, such as psychological disorders to skin reactions or acidosis, but also metabolic disorders such as hyperthyroidism. This made iodine not recommended for the treatment of infected wounds. Silver preparations such as silver nitrate or silver sulfadiazine are also used as antimicrobial agents. Taking again the example of wound infections, such compounds are not capable of penetrating deep inside and are ineffective in the treatment of deeply infected wounds and burns.⁵

This and other infection related problems have motivated researchers to concentrate on the development of novel, inexpensive and efficient antimicrobial treatment strategies for fighting pathogenic infections. These strategies are becoming even more important over the years, as, despite extensive efforts in research and enormous investment of resources, the speed of antibiotic development has not kept up with the development of resistance.

The application of materials science and nanotechnology to medicine has shown impressive potential in tackling different aspects of microbial infections.^{6–11} Many nanomaterials have been demonstrated to possess inherent antimicrobial properties that are rarely expressed in their bulk form, with the best known example being silver nanoparticles (Ag NPs). [Fig. 1](#) illustrates some examples of the use of different nanostructures for treatment of infections, for sensing or as inhibitors of biofilm formation.

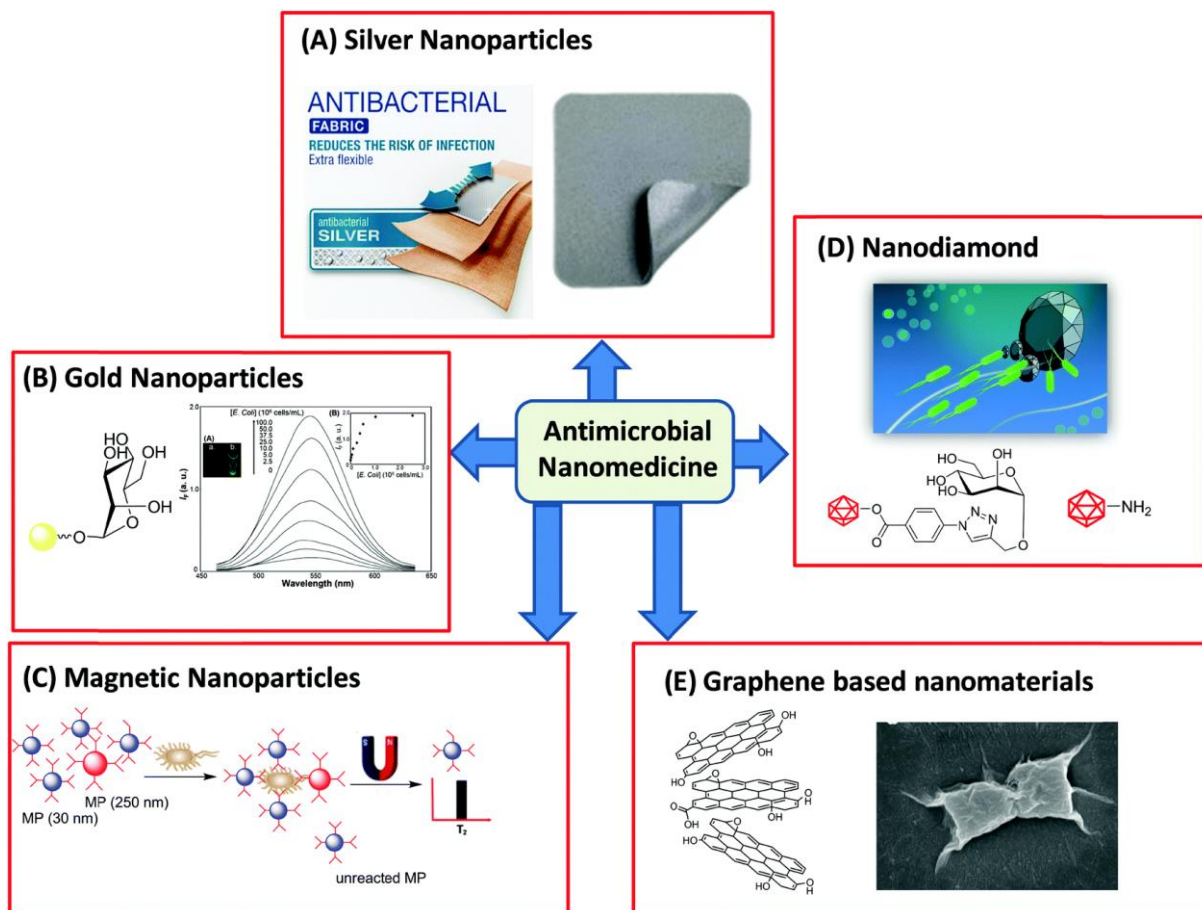


Fig. 1

Different nanoparticles for the detection and ablation of pathogens: (A) the bactericidal action of silver NPs has found its use in wound dressings, patches and antiseptic sprays; (B) use of fluorescent mannose-modified gold nanoparticles (Man-Au NPs) for the detection of *E. coli*; fluorescence spectra of Man-Au NPs (25 nM) used as probes for the detection of *E. coli* ((2.50×10^6) – (1.00×10^8) cells per mL). (Inset A) Visualization of Man-Au NPs (25 nM) in the (a) absence and (b) presence of *E. coli* (2.50×10^8 cells per mL) upon excitation (365 nm) under a hand-held UV lamp. (Inset B) Plot of fluorescence intensity (545 nm) versus *E. coli* concentration (reprint with permission from [ref. 19](#)); (C) schematic illustration of magnetic separation of pathogens and selective detection of *S. enterica* (10^5 cfu per mL) (reprint with permission from [ref. 12](#)); (D) sugar and amine-modified diamond particles for the inhibition of biofilm formation or as bactericidal probes;^{14–16} (E) graphene oxide and its bactericidal action on *E. coli* as shown by the SEM image after incubation with GO ($40 \mu\text{g mL}^{-1}$) for 2 h (reprint with permission from [ref. 13](#)).

Silver nanoparticles (Ag NPs) are probably the oldest nanomaterials used as antibacterial agents. Ag NPs are nowadays present in dressings for surgical wounds, in coating for medical devices as well as in lotions and gels to prevent bacterial and fungal contaminations ([Fig. 1A](#)). The mechanism of action of Ag NPs is well studied and is based on the attack of the respiratory chain and cell division that finally leads to cell death, with the concomitant release of silver cations (Ag^+) which enhances the bactericidal activity. While the antibacterial character of Ag

NPs appears appealing, practical applications of Ag NPs are often hampered by their aggregation and subsequent loss of antibacterial activity.¹⁷ In addition, concerns about the cytotoxicity of Ag NPs towards human cells have been voiced.¹⁸ The use of gold nanoparticles (Au NPs) has received sustained attention over the past few years for pathogen identification^{19–21} and treatment. Hung et al. reported a universal, simple, and convenient method for the preparation of water-soluble, luminescent, mannose modified Au NPs and demonstrated their application for *E. coli* detection through multivalent cooperative interactions between the mannose modified particles and glycoproteins of the bacterial membrane (Fig. 1B).¹⁹ Indeed, early detection of foodborne pathogenic bacteria is critical for preventing disease outbreaks and preserving public health. An alternative platform for the simple isolation and detection of *E. coli* using a magnetic bead (MB)-based sensor that combines magnetic separation (MS) and magnetic relaxation switch (MRS) for one-step detection of bacteria with high sensitivity and reproducibility has been reported recently (Fig. 1C).¹² Glycan-modified nanodiamonds (glyco-NDs) have lately been added to the list of antibacterial agents (Fig. 1C).^{14–16,22} In contrast to metal and metal oxide NPs, NDs are highly stable in corrosive media, which limits their decomposition or transformation to materials with potential toxicity and decreased activity. The antibacterial activity of NDs is strongly guided by their surface composition and charge. While carboxylated and hydroxylated NDs do not exhibit antibacterial activity, aminated ND (ND-NH₂) particles showed bactericidal activity for *S. aureus*, but not for *E. coli*.¹⁶ Next to the bactericidal effect of the different ND structures, their potential for interfering with the formation of microbial biofilm has been investigated.^{14,15} Mannose-modified NDs were found to be extremely efficient in interfering with biofilm formation of uropathogenic *E. coli*.^{14–16}

Carbon, due to its valency, is able to form several allotropes, resulting in a broad range of structures with different shapes and properties: graphite with bonded carbon atoms in a hexagonal lattice and layered two-dimension sheets, graphene, consisting of a single sheet of graphite, or fullerenes where the carbon atoms are bonded in a hexagonal lattice forming three-dimensional cylindrical hollow structures that can be spheres (C₆₀), ellipsoids (C₇₀, C₈₄) or tubular (carbon nanotubes, CNTs). In the case of graphene, it is only the pristine form that contains complete sp² hybridization as a single layer of atoms with no defects (Fig. 2). However, in the literature graphene may also refer to a few layered materials held together by van der Waals forces with a high density of defects such as graphene oxide (GO) and reduced graphene oxide (rGO) (Fig. 2). What makes graphene however of preferential use over other carbon-based materials, such as fullerenes or CNTs,²³ is the fact that in contrast to CNTs, which are grown from carbon-containing gases in the presence of catalytic nanoparticles, depending on the method of formation, graphene and its derivatives can be free of any metallic impurities. As only 50 ppm residual metallic impurities induce substantial cytotoxicity within biological samples,²⁴ effective proliferation of human and mammalian cells is limited. The apparent low mammalian cell cytotoxicity of graphene-based materials^{25–28} encouraged investigations of their bactericidal properties as well as their use in inhibiting bacterial attachment.

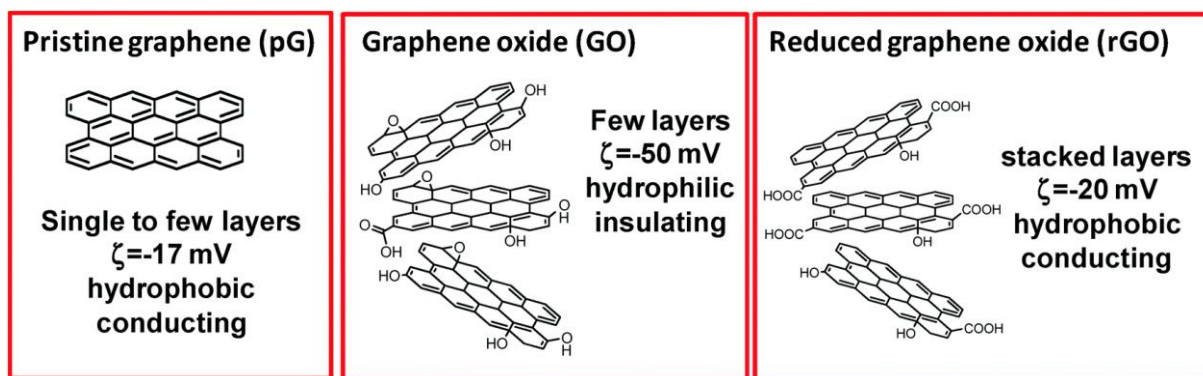


Fig. 2 Graphene family.

Despite intense interest in graphene, widespread implementation of graphene is yet to occur. Micromechanical exfoliation fails in producing graphene in large quantities. In addition, exfoliated graphene has the tendency to restack to form graphite through π - π stacking and van der Waals interactions if the sheets are not well separated from each other. This all calls for the necessity to develop, on the one hand, alternative production processes and, on the other hand, to reduce and control aggregation of single-layer graphene into multilayered structures. Organic chemistry and surface chemistry offer the needed toolboxes to meet both demands. The first success in dissolving graphite dates back to the end of the 19th century, when Hummer, Offeman and Staudenmaier showed that treating graphite with strong acids afforded products referred to as graphite oxide.²⁹ Subsequent mechanical/chemical or thermal exfoliation of graphite oxide forms graphene oxide (GO) sheets. GO was found to swell once in contact with water, an indication for its hydrophilic nature. Indeed, the surface of GO is highly oxygenated, bearing hydroxyl, epoxide, diol, and carbonyl functional groups allowing GO to readily swell and disperse in water (Fig. 2). The negatively charged oxygen species help in addition to disperse graphene oxide as a single sheet by providing electrostatic repulsion and solvation. The drawback is that the presence of oxygen groups on the basal plane of graphene disrupts the π -conjugation, as a result of which GO is insulating.

The oxygen-containing functionalities on the GO surface can be removed through reduction procedures. This reduction of GO to reduced graphene oxide (rGO) (Fig. 2) can be achieved through chemical (e.g. hydrazine monohydrate, sodium borohydride, hydroquinone), thermal, photochemical and other means.²⁹⁻⁴⁷ Hydrazine monohydrate is still the most widely used, mainly due to its strong reduction activity to eliminate most oxygen-containing functional groups of GO and its ability to yield stable rGO aqueous dispersions.⁴⁸ However, with hydrazine as the reducing agent, its residual trace may strongly decrease the performance of rGO-based devices. In addition, hydrazine is a highly toxic and potentially explosive chemical. To avoid using hydrazine, many environmentally friendly and high-efficiency reductants have been developed and used for the reduction of GO, including vitamin C,^{49,50} amino acids,⁵⁰ reducing sugar,⁵¹ alcohols,⁵² hydroiodic acid,⁵³ reducing metal powder,^{54,55} sodium citrate,⁵⁶ tea,⁵⁷ lysozyme,⁵⁸ dopamine,^{59,60} etc. Independent of the reduction method used, the resulting nanocarbons differ from graphene, since the structural integrity and hence the electronic properties are altered when compared to pristine graphene. The chemically derived rGO is however both versatile and scalable, offers the greatest ease for functionalization, and is adaptable to a wide variety of applications.

In light of this, in this review, the different approaches for combating bacterial infections using graphene-based materials, namely graphene oxide (GO), reduced graphene oxide (rGO) and their composites, will be presented. The importance of surface functional groups, size and shape of graphene will be pointed out and will be discussed in depth. In terms of potential interaction with bacteria, the reduced conductivity of GO and rGO is not necessarily detrimental. The presence of different surface functions has the additional advantage of tuning the interaction with bacteria through the incorporation of other chemical functions or molecules. In order to get an overview of some of the past and present findings on the antibacterial and bacteriostatic properties of graphene, GO, rGO and their composites, in the following the most important works in this field will be discussed.

2. Bactericidal character of pristine graphene (pG), graphene oxide (GO), and reduced graphene oxide (rGO)

2.1. Interaction in suspensions

3. The presence of defects in rGO and GO alters heavily their conductivity and electronic properties, but also their overall physico-chemical properties ([Fig. 2](#)). It is thus not surprising that the interaction with bacteria is also influenced by the type of graphene material investigated.
4. In contrast to pristine graphene, with limited or no oxygen functions, GO bears oxygen functional groups on the basal planes and edges resulting in mixed sp^2/sp^3 hybridized carbon domains. Depending on the synthetic procedure, it contains a certain number of water molecules intercalated between the oxidized sheets and variable oxygen containing functionalities such as hydroxyl, ether, and carboxylic acid functions. The oxygen content is usually rather high, typically characterized by a C/O ratio <2.5 . Reduction of GO to rGO results in a material that resembles pristine graphene, but lacks the perfect crystallinity of intact graphene, inducing strain on both in-plane and out-of-plane deformations.⁶¹ rGO is hydrophobic and tends to aggregate via π - π stacking. It is commonly believed that bacteria adsorption is rapid and strong on hydrophobic surfaces, while adhesion on hydrophilic substrates follows a model of reversible adhesion as proposed by Marshall.⁶² The chemical nature will be thus of high importance for the manner in which bacteria interact with surfaces. Surface charges are in addition known to influence bacterial adhesion. While pristine graphene has an overall neutral surface charge, GO as well as rGO exhibit negative surface charges which can be as negative as -50 mV. Besides these chemical variations between the different graphene-based materials, the size of the sheets can vary from micrometer to nanometer structures. For instance, graphene disks in the size range of 2–20 nm have been commonly named as graphene quantum dots (GQDs). They are fluorescent due to quantum confinement effects, surface defects and zigzag edges. GQDs are composed mainly of sp^2 hybridized carbon and are crystalline. The wide range of size and shape will certainly have an impact on the bactericidal character of the graphene structure as well as on cell growth.^{63–71} Finally, carbon dots (C-dots), spherical carbon particles with a size less than 10 nm and composed mainly of sp^3 hybridized carbon which are usually amorphous, have to be added to the list of carbon materials investigated for their antibacterial activity.

5. While numerous studies investigated the interaction of bacteria with GO and rGO nanosheets in suspension (Table 1)^{13,72–83} and revealed the loss of bacterial cell viability in a concentration and time dependent manner, with a greater loss of viability of Gram-positive bacteria, it is the initial work by Liu et al. that shed light on the antibacterial activity of a family of related material dispersions, including graphite, graphite oxide, GO and rGO, using Gram-negative bacteria (*E. coli*) as a model (Fig. 3).¹³ Under otherwise similar conditions and incubation times, GO dispersions exhibited the highest antibacterial activity, followed by rGO, graphite and graphite oxide (Fig. 3A). SEM images of *E. coli* cells before and after incubation with GO for 2 h showed that the bacteria are flattened, have lost their cell integrity and are wrapped by a thin layer of GO. In contrast, *E. coli* cells were embedded in large rGO aggregates. This underlines that aggregation/dispersion and the lateral dimensions of graphene-based materials play an important role in the antibacterial avidity of graphene-based materials.^{13,72} While no superoxide radical anion ($O_2^{\cdot-}$) induced reactive oxygen species production was detected, the materials could oxidize glutathione, which serves as a redox state mediator in bacteria. Conductive rGO and graphite showed higher oxidative capacities than the insulating GO. These results suggested that antimicrobial actions are contributed by both membrane and oxidative stress involving three steps: (i) cell deposition on graphene materials, (ii) membrane stress caused by the direct contact with sharp nanosheets, and (iii) superoxide anion-independent oxidation.¹³ The same group showed, in addition, that the antimicrobial efficacy of dispersed GO evaluated against *E. coli* cells exhibits strong lateral dimension dependency.⁷² For larger GO, viability loss increases sharply when compared to the smaller ones reaching complete inactivation (>99%) in a time and concentration dependent manner. It was argued that large GO sheets cover cells more easily, and cells cannot proliferate once fully covered, resulting in the cell viability loss observed in the followed colony counting test.⁷² However, oxidative stress mediated by production of reactive oxygen species (ROS) is the only possible antibacterial mechanism of the graphene family (see Section 3 for a detailed discussion).

Table 1 Bactericidal character of pristine graphene (pG), graphene oxide (GO), and reduced graphene oxide (rGO)

Graphene material	Bacterial cell model	Comments	Ref.
GO	<i>E. coli</i>	69.3% inhibition using plate counting at [GO] = 85 $\mu\text{g mL}^{-1}$, loss of cell integrity, no $O_2^{\cdot-}$ formation	13
GO	<i>E. coli</i>	100% destruction at 5 mg mL^{-1}	73
GO/rGO	<i>E. coli</i>	98.5% inhibition using plate counting at [GO] = 85 $\mu\text{g mL}^{-1}$; loss of cell integrity; GO showed higher antibacterial activity	75
GO	<i>E. coli</i>	Interaction with GO for 48 h results in the formation of rGO	74

GO	E. coli	Large GO sheets show stronger antibacterial activity over small ones at [GO] < 10 $\mu\text{g mL}^{-1}$	72
GO	P. aeruginosa	100% inhibition using plate counting at [GO] = 10 ² $\mu\text{g mL}^{-1}$	80
GO	Streptococcus mutans Porphyromonas gingivalis Fusobacterium nucleatum	Loss of cell integrity, intracellular content leakage at [GO] = 20–80 $\mu\text{g mL}^{-1}$	67
GO	P. syringae/X. campestris pv. F. graminearum/F. oxysporum	90% killing at [GO] = 500 $\mu\text{g mL}^{-1}$	79
GO	Bacillus subtilis/Enterococcus faecalis/Salmonella typhimurium/E. coli	MIC = 0.25 mg mL ⁻¹	81
rGO	E. coli/Salmonella typhimurium/Enterococcus faecalis	MIC = 1–8 $\mu\text{g mL}^{-1}$	77
rGO	E. coli	88% inhibition using plate counting at [rGO] = 175 $\mu\text{g mL}^{-1}$	82
pG/GO/rGO	Listeria monocytogenes Salmonella enterica	[GO] = 25 $\mu\text{g mL}^{-1}$ and [pG] = 250 $\mu\text{g mL}^{-1}$ for full inhibition of bacterial growth	76
pG/Tween 80	Gut microbia	Oxidative stress, loss of cell integrity	78
G-QDs	E. coli/S. aureus	80/92% inhibition using plate counting at [G-QDs] = 200 $\mu\text{g mL}^{-1}$	83

GO: graphene oxide; rGO: reduced graphene oxide; pG: pristine graphene; G-QDs: graphene quantum dots.

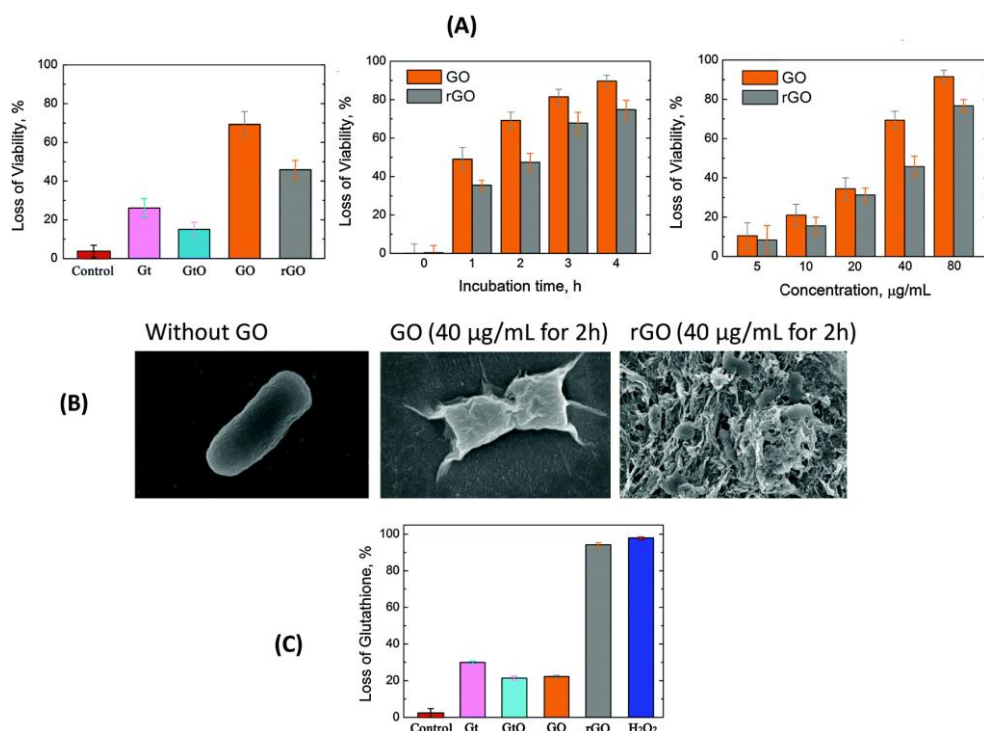


Fig. 3

(A) Viability of bacterial cells after incubation with different graphene-related nanomaterials such as graphite (Gt), graphite oxide (GtO), GO and rGO suspensions at different concentrations and times; (B) SEM images of *E. coli* in the absence and after incubation with GO and rGO dispersions ($40 \mu\text{g mL}^{-1}$) for 2 h; (C) loss of glutathione after in vitro incubation with graphite (Gt), graphite oxide (GtO), GO and rGO suspensions (reprint with permission from [ref. 13](#)).

The potential of wrapping *E. coli* by graphene nanosheets was also investigated by Akhavan et al.⁷³ They found that the bacteria entrapped within the aggregated sheets were biologically disconnected from their environment, and do not proliferate. However, after removing the sheets from the surface of the microorganisms by sonication, the bacteria were reactivated and proliferated, i.e. they were alive within the aggregated graphene sheets at least for 24 h.⁷³ These results suggest that graphene nanosheets may potentially serve as an encapsulating material for delivery of such microorganisms. The trapped alive bacteria could however be fully inactivated photothermally using near-infrared irradiation at 808 nm. The same group also suggested that the interaction of GO with *E. coli* under acidic conditions leads to the formation of rGO.⁷⁴ In addition, it was demonstrated that exposing GO for 48 h to bacteria results in the reduction of GO. The reduction is believed to be due to the metabolic activity of the surviving bacteria through their glycolysis process. GO sheets act as adsorption sites for bacteria, while the reduced GO sheets exhibit an inhibition of the bacterial proliferation on their surface.⁷⁴

In line with the results by Liu et al.,¹³ Hu et al. reported on the antibacterial activity of aqueous GO and rGO suspensions.⁷⁵ Colony forming units (cfu) counting was used to assess the bactericidal effect of both materials. It was demonstrated that both graphene matrices effectively inhibit *E. coli* cell growth with 98.5% (GO) and >90% (rGO) viability loss.

As can be seen from [Table 1](#), the large part of the literature focused on the antibacterial properties of graphene-based materials against *E. coli* while other bacterial strains were rarely investigated. Chawalibog and co-workers studied the interaction of different graphene materials (pristine graphene, GO, rGO) with food-borne bacterial pathogens *Listeria monocytogenes* and *Salmonella enterica*. The antibacterial activity decreased from graphene, for which a concentration as high as 250 $\mu\text{g mL}^{-1}$ was needed to completely inhibit pathogen growth, to rGO with GO showing the highest antibacterial activity even at low concentration (25 $\mu\text{g mL}^{-1}$).⁷⁶

Krishnamoorthy et al. determined the minimum inhibitory concentration (MIC) of rGO nanosheets against *E. coli*, *Salmonella typhimurium* and *Enterococcus faecalis*.⁷⁷ MICs between 1 and 8 $\mu\text{g mL}^{-1}$ were obtained, while a standard drug such as kanamycin showed MICs in the 64–128 $\mu\text{g mL}^{-1}$ range, with the lower MIC values being for Gram-negative bacteria.

Xie et al. recently studied the influence of pristine graphene dispersed in Tween 80 on microbial communities and antibiotic resistance genes in mouse guts.⁷⁸ The results showed that graphene exposure increased biodiversity of the gut microbiota and changed their community. The influence of graphene on gut microbiota was attributed to the fact that graphene could induce oxidative stress and damage to cell membrane integrity.

He et al. exploited the antibacterial activity of GO to kill dental pathogens such as *Streptococcus mutans*, *Porphyromonas gingivalis* and *Fusobacterium nucleatum*.⁶⁷ TEM images revealed that the cell wall and the membrane of the bacteria lost their integrity and the intracellular contents leaked out after GO treatment at concentrations of 20–80 $\mu\text{g mL}^{-1}$.

Han and co-workers conducted studies on the antimicrobial activity of GO against *P. syringae*, *X. campestris* pv. and two fungal pathogens such as *F. graminearum* and *F. oxysporum*. At a concentration of 500 $\mu\text{g mL}^{-1}$, GO showed a powerful effect on the reproduction of all 4 pathogens.⁷⁹

In the case of *P. aeruginosa*,⁸⁰ vaguely better antibacterial activity was observed for rGO. Most importantly, exposure to GO and rGO induced significant production of $\text{O}_2^{\cdot-}$, in contrast to *E. coli*.¹³

2.2. Interaction with graphene-coated surfaces

A number of research groups have investigated the antimicrobial and anti-biofilm forming activity of graphene sheets supported on a wide variety of surfaces. There is a great interest in producing such interfaces as biofilm formation occurs just about on any surface, from copper pipes to medical catheters and implants, resulting in contamination of water and food supplies as well as persistent infections in medical settings. Even cavities in teeth are the unwelcome result of bacterial colonies. One of the first studies on the interaction of bacteria with graphene are those by Akhavan and Ghaderi⁸⁴ and Hu et al.⁷⁵ Akhavan et al.⁸⁴ coated GO on stainless steel substrates through electrophoretic deposition from a suspension containing Mg^{2+} -GO nanosheets resulting in GO nanowells (GONWs) with a significant amount of exposed edges ([Fig. 4Aa](#)). Reduction of the GONWs by hydrazine vapor did not change the morphology, but

resulted in a significant reduction of the C–O, C–O and O–C–OH functions as evidenced by the C_{1s} XPS core level spectra of both graphene structures (Fig. 4Ab). Reduced GONWs exhibited lower survival rates towards *S. aureus* (5%) and *E. coli* (16%) when compared to GONWs (26 and 41%, respectively; Fig. 4Ac). The sharpened edges of reduced GONWs are believed to provide stronger contact points with the bacterial cell membrane, resulting in enhanced membrane damage and cell death. The graphene structures exhibited stronger antibacterial activity against *S. aureus* than *E. coli* due to higher resistance of *E. coli* bacteria against the direct interaction with the edge of the nanowalls. Indeed, as a Gram-positive bacterium, *S. aureus* is constituted by a peptidoglycan layer with a thickness ranging from 20 to 80 nm without an outer membrane, while the Gram-negative *E. coli* has a much thinner peptidoglycan layer (1–8 nm) and possesses an additional outer membrane.

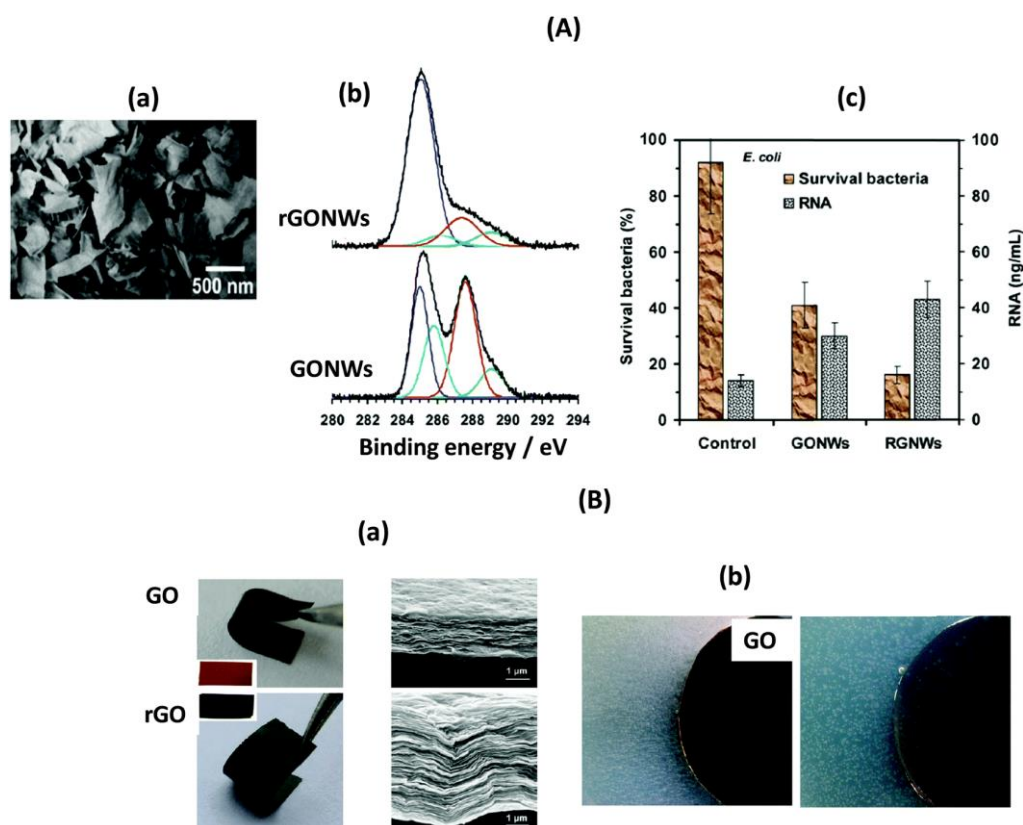


Fig. 4 (A) (a) SEM images of electrophoretically deposited GO nanowalls, (b) peak deconvolution of C_{1s} core level XPS spectra of GONWs and reduced GONWs together with (c) cytotoxicity of both materials to *E. coli* (reprint with permission from ref. 84). (B) (a) Photographs of freestanding, flexible GO and rGO paper, (b) photographs of *E. coli* grown on GO and rGO paper (reprint with permission from ref. 75).

The antibacterial efficacy of GO and rGO nanosheets on freestanding paper was assessed by Hu et al. (Fig. 4Ba).⁷⁵ No visible bacterial growth appeared on the GO paper, whereas a small number of *E. coli* colonies were visible on the rGO paper after overnight incubation (Fig. 4Bb).

This is in contrast to the results of Akhavan and Ghaderi.⁸⁴ However, in the results reported by Hu et al.,⁷⁵ GO and rGO lay relatively flat on the surface with only a few edges oriented away from the interface. More recently, Elimelech et al. pointed out that, in addition, the size effects are also important with a 4-fold increase in the antimicrobial activity when the GO sheet area decreased from 0.65 to 0.01 μm^2 .⁶⁶

According to Zhao et al., GO can be fixed onto cotton fabrics through direct adsorption or crosslinking. The GO fabric eliminated more than 90% of an *E. coli* cell population even after being washed 100 times.⁸⁵

Ruiz et al. reported results that contradicted the previous studies, using coated sterile PVDF filters with a GO colloidal suspension.⁶³ GO-coated filters lacked bacteriostatic activity and even supported *E. coli* growth. It is likely that this preparation results on GO lying flat on the substrate with few exposed edges. From these studies, it is clear that the antibacterial activity of structurally flat graphene is an interesting avenue to investigate.

GO formed on PET substrates by the Langmuir Blodgett technique results in flat sheets. The observed antibacterial activity was found to be layer dependent, with increasing number of layers resulting in increased antibacterial properties: three layers of GO displayed the most efficient (89%) inactivation.⁸⁶

Li et al. evaluated the interaction of graphene films deposited on copper, germanium and silica with *S. aureus* and *E. coli*.⁸⁷ Both species were dissociated from the graphene surface and recultivated in solid growth media. No bacterial growth was observed for graphene on Cu and Ge, while in the case of graphene on SiO_2 colony growth was observed, indicating that graphene on conducting and semiconducting surfaces is bactericidal. The following section will look at this from a mechanistic point of view in more detail.

3. Antibacterial mode of actions of graphene nanostructures

While several studies have demonstrated that pristine graphene and its derivatives possess antibacterial properties, the mechanism by which these antimicrobial properties arise continues to be the subject of debate.^{68,70,88} This is related to the rich and complex graphene and graphene-coated interfaces. Surface charge, hydrophobicity and roughness are highly dependent on the type of graphene derivative used and have important impacts on the antimicrobial properties of graphene. As the interaction of bacteria with graphene is in addition dependent on the orientation of the surface relative to the bacteria, the way graphene is deposited onto surfaces can influence the antimicrobial character significantly. A few mechanisms have been suggested to explain the antibacterial mode of action of graphene such as production of reactive oxygen species,⁷⁷ oxidative stress^{13,80,89} or vigorous extraction of large amounts of phospholipids from the membrane of the bacteria under consideration (Fig. 5).⁹⁰ The morphological changes of *E. coli* exposed to GO nanosheets have been visualized by Tu et al. using TEM experiments.⁹⁰ Fig. 5A depicts a TEM image of the cell morphology of *E. coli* incubated with GO ($100 \mu\text{g mL}^{-1}$) at 37 °C for 2.5 h. While *E. coli* can tolerate GO nanosheets for a short period of time at low concentrations, the *E. coli* cells lose their cellular integrity after 2.5 h. The cell membranes are severely damaged and some are missing their cytoplasm entirely (Type A in Fig. 5A). Next to the damage of cell membranes, some bacteria display lower surface phospholipid density (Type B in Fig. 5A), which eventually results in a loss of cell membrane integrity. The lipid extraction

mechanism was further confirmed by simulating the interaction between GO and membranes. [Fig. 5B](#) exhibits a representative configuration of GO interaction with both the outer and inner *E. coli* membranes showing the extraction of phospholipids by the GO nanosheets. The main driving force stems from the strong van der Waals interactions between the GO nanosheets and the membrane lipids. Once extracted, hydrophobic interaction plays a dominant role, where the lipid hydrophobic tails spread out mainly on the unoxidized hydrophobic regions of GO, while the hydrophilic head groups prefer to contact polar oxide functions via favorable electrostatic interactions. It is thus concluded that both the severe graphene insertion and destructive lipid extraction induced severe membrane stress and significantly reduced cell viability, a process that is concentration dependent and increases with increasing graphene lateral size.⁹⁰

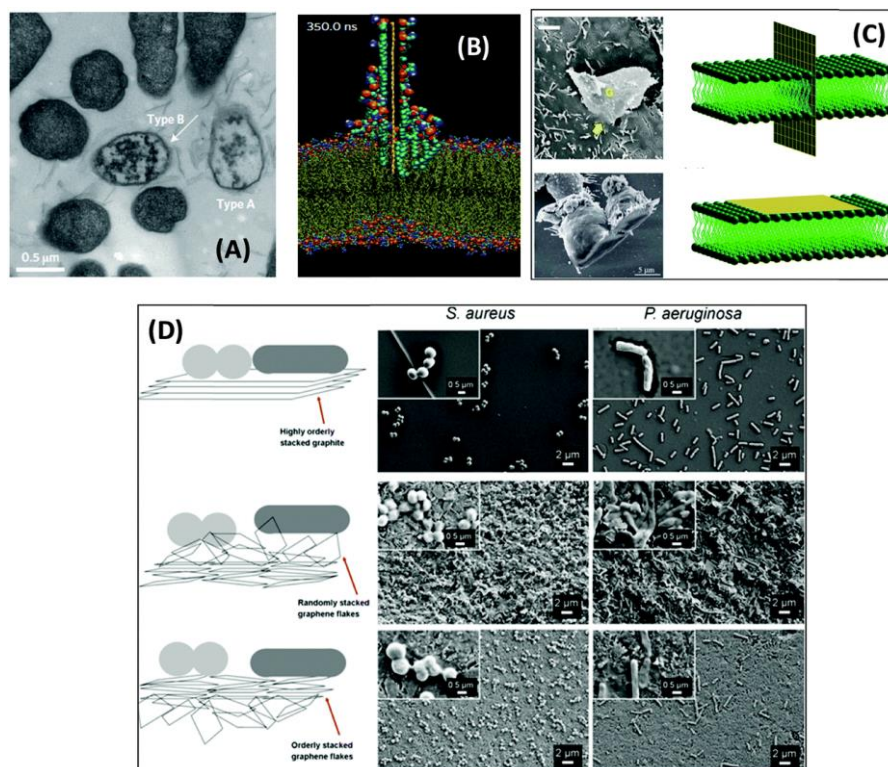


Fig. 5 (A) Morphological changes of *E. coli* exposed to GO ($100 \mu\text{g mL}^{-1}$), TEM images of *E. coli* after 2.5 h incubation at 37°C , arrows indicate the Type B mechanism, where GO nanosheets extract phospholipids from the cell membrane, in the Type A mechanism GO nanosheets cut off large areas of the membrane surface; (B) simulation of lipid extraction of GO nanosheets: hydrogen (white), oxygen (red), nitrogen (dark blue), carbon (cyan), phosphorus (orange) (reprint with permission from [ref. 90](#)); (C) influence of GO size on the interaction with the cell membrane: near-perpendicular penetration at a corner (yellow arrow) with micrometer sized GO, or parallel attachment and spreading of GO nanosheets (reprint with permission from [ref. 92](#)); (D) influence of the orientation of GO on bactericidal activity (reprint with permission from [ref. 94](#)).

Indeed, this mechanism, sometimes referred to as “insertion mode of action”, based on the action of the sharp edges of graphene nanosheets, which act as knives to cut through cell membranes of bacteria, resulting in the leakage of intracellular substrates causing eventually cell death has been proposed by several other studies.^{84,91} Akhavan reported that both GO and

rGO exhibit bactericidal behavior towards Gram-negative and Gram-positive bacteria arising from the direct contact of the bacterial cell wall with the sharp edges of GO and rGO.⁸⁴ Li et al. suggested that the bacterial action occurred through a spontaneous localized piercing of the bacterial cell wall by the sharp edges and corner sites present on the graphene nanosheets, followed by the full penetration of the graphene through the lipid bilayer.⁹¹ Yi et al. further demonstrated that insertion of graphene sheets in the lipid bilayer was size-dependent, where the micrometer sized graphene preferred to adopt a near-perpendicular configuration with respect to the cell wall, while nanosheets were required to adopt a position that was parallel to the lipid bilayer ([Fig. 5C](#)).⁹² This was driven by the preferential attraction between the hydrocarbon tails of the lipids and the lipophilic flat surface of graphene, allowing the graphene to sink in between the lipid tails, embedding the graphene nanosheets in the cell membrane. Dallavalle on the other hand showed that the smaller the graphene sheets are, the more freely they could diffuse into the lipid membrane in a preferential perpendicular orientation, while larger nanosheets prefer to arrange themselves across the membrane, embedding themselves in the more lipophilic section of the cell membrane.⁹³

More recently, the antimicrobial behavior of pristine graphene was investigated by Pham et al. in an attempt to further advance the current knowledge pertaining to graphene cytotoxicity using both experimental and computer simulation.⁹⁴ They validated that the density of graphene edges was the principal parameter that contributes to the antibacterial behavior, which results in the formation of pores in the bacterial cell walls, causing a subsequent osmotic imbalance and cell death.

An additional possible mechanism was proposed by Luan et al.⁹⁵ They demonstrated that the flat face of graphene, being lipophilic, disrupts the protein–protein bonding in the cell membrane, leading to the destabilization of the three-dimensional structure of the protein, causing its functional failure. The bacteria showed a self-killing effect, where the bacterial metabolic activity increased causing the GO to be reduced to a form of graphene that was bactericidal via a glycolysis process.⁷⁴

Indeed, next to the insertion mode of action, another concept stipulates that the destructive effect of graphene on the bacterial membrane is induced by “direct contact” of the bacterial cell membrane with the basal plane of graphene.^{86,87,89} We have investigated recently the adhesion behavior of two different *E. coli* strains, UTI89 and LF82, to gold interfaces coated with CVD graphene. As seen from SEM images in [Fig. 6A](#), no morphological changes or membrane damage of the bacteria occurred, demonstrating that such interfaces possess no antibacterial properties. Adherent and invasive *E. coli* such as LF82 bacteria can in contrast easily proliferate into biofilms.

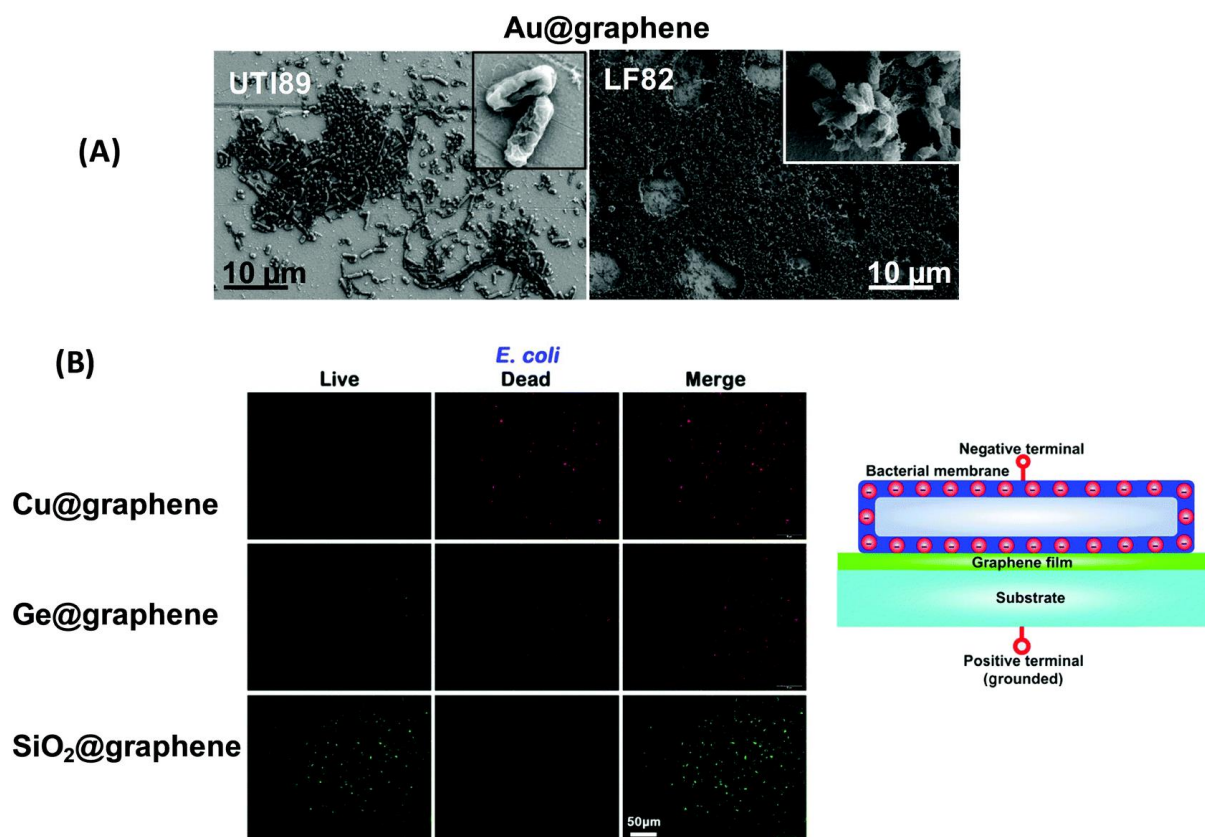


Fig. 6 (A) SEM images of *E. coli* UTI89 and LF82 seeded on gold coated with CVD graphene (24 h at 37 °C) at low and high magnifications (insets); (B) fluorescence images showing the viability of bacteria after 24 h incubation over different interfaces, visualized by staining with a LIVE/DEAD bacterial viability kit (live bacteria are green, dead ones are red) together with the proposed mechanism of the observed phenomenon (reprint with permission from [ref. 87](#)).

Li et al. showed that the destructive effect of graphene coated surfaces is related to the electronic properties of the substrate ([Fig. 6B](#)).⁸⁷ Large-area graphene films on Cu and Ge inhibited bacterial growth and resulted in bacterial death, while the proliferation of bacteria cannot be restricted by graphene films on SiO₂. The easy transfer of electrons was believed to be the underlying reason, as for both Cu and Ge, the graphene-on-substrate junctions can act as an electron pump and electrons are steadily pumped away from the microbial membrane producing oxidative stress in the membrane. Indeed, Mangadlao et al. demonstrated that the antibacterial efficiency of graphene is not dependent on its sharp edges, but depends upon the contact that takes place between the GO basal plane and *E. coli* cells.⁸⁶ They found that 89% *E. coli* killing efficiency could be achieved using a GO film that had been fabricated using the Langmuir–Blodgett deposition method; the technique limits the extent of exposure of sharp edges present on the GO to the bacteria. This is confirmed by the work of Hui and coworkers, who demonstrated that masking the GO basal plane decreased the antimicrobial efficiency of the GO nanosheets by decreasing the extent of direct contact with the bacteria.⁸⁹

4. Bactericidal character of functional graphene and graphene nanocomposites

The broad antimicrobial properties of graphene based materials are well established. For several application-driven purposes, it has become imperative to enhance the biocompatibility as well as the robustness of graphene based materials, while sustaining their antimicrobial properties. The presence of amine and quaternary ammonium groups is known to enhance the antibacterial properties of nanostructures, and several efforts for their integration into graphene based materials have been undertaken. In most cases, this was achieved by using polymers such as chitosan,⁹⁶ polyethyleneimine (PEI)⁹⁷ or poly(L-lysine) (PLL).⁶⁵

4.1 From graphene based polymer nanocomposites and hydrogels to membranes

A number of researchers have investigated the application of graphene-based matrices that contain polymers (e.g. chitosan, poly(vinyl alcohol), polyamide, polyethyleneimine, etc.) for enhancing the antimicrobial effects.^{65,88,97–118} In addition, current efforts in the formulation of polymer–graphene nanocomposites have resulted, in several cases, in the development of graphene based membranes, one of the most significant marketable products for application in disinfection, gas separation or water desalination.^{107,116–119} Lately, Hui and co-workers reported in this respect an antibacterial surface based on a polyelectrolyte-stabilized rGO sheet that kills airborne bacteria on contact upon minutes of solar near-infrared (NIR) irradiation. The observed activity was reported to be retained even when the film was placed underneath a piece of pork tissue, indicating that solar light in the near-infrared region plays a dominant role in the observed activity.¹¹⁹

Electrostatic interaction between the negatively charged carboxylic acid groups of GO and inherently anti-bacterial polymers such as those containing amine or quaternary ammonium groups has proven to be particularly an easy way to increase the antibacterial properties of graphene materials. Lee and co-workers reported on the integration of poly(L-lysine) (PLL) onto GO and rGO.⁶⁵ This composite material functions as an antibacterial agent, but at the same time promotes the growth of human cells ([Fig. 7A](#)). They also modified the composite to boost the amount of cationic charges through covalent attachment of diazonium salts, which resulted in a further decrease in cell adherence. A flexible polyurethane composite, prepared by incorporation of polyethyleneimine-modified rGO, was proposed recently by Tang to enhance bacterial anti-adhesive properties when compared to pure polyurethane and GO–polyurethane, due to the high density of amine groups in the PEI chains.⁹⁷

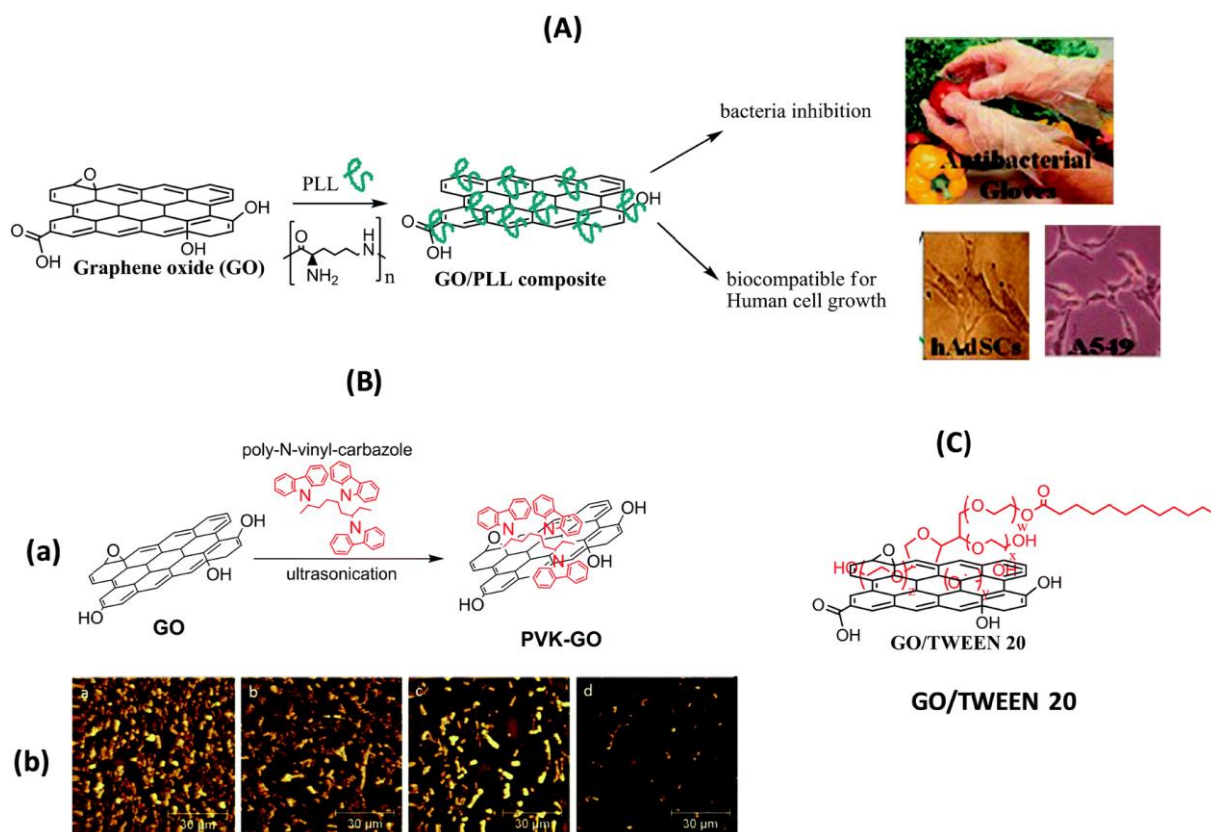


Fig. 7 (A) Chemical modification of GO with poly(L-lysine); the GO/PLL composite can be used as an antibacterial agent, but at the same time promotes the growth of human cells (reprint with permission from [ref. 65](#)); (B) (a) formation of poly-N-vinyl-carbazole (PVK) modified GO, (b) AFM images of *E. coli* biofilms after 48 h growth on bare ITO (a), PVK-modified ITO (b), GO-modified ITO (c) and PVK-GO modified ITO (d) (reprint with permission from [ref. 98](#)); (C) chemical structure of GO/Tween 20.⁹⁹

GO-based bactericidal hydrogels have to be added to this list as these scaffold materials have attracted much attention as efficient matrices for immobilization and encapsulation of biomolecules.^{120,121} One of the first attempts is that by Wang et al.,¹²¹ employing a biocompatible agarose polysaccharide where the formed hydrogel resulted in a full reduction of bacterial growth. Incorporation of commercial preservatives such as benzalkonium bromide cationic surfactants into GO resulted in hydrogels with strong bactericidal activity against a broad spectrum of pathogens.¹²⁰

The efficiency of polyvinyl-N-carbazole (PVK) modified GO against *E. coli*, *C. metallidurans*, *B. subtilis* and *R. opacus* was demonstrated by Mejeas ([Fig. 7Ba](#)).⁹⁸ The formed PVK-GO matrix when exposed to bacterial cells inactivated significant proportions of bacteria with a greater loss of viability of Gram positive bacteria. Furthermore, biofilm formation was inhibited on PVK-GO modified ITO surfaces due to the bactericidal activity of PVK-GO ([Fig. 7Bb](#)). Park et al. found that free-standing paper, composed of TWEEN/graphene, prevented the binding of bacteria due to the presence of the surfactant.⁹⁹ Cystamine modified GO was tested by Nanda et al. and MIC values of $1 \mu\text{g mL}^{-1}$ against *E. coli* and *Salmonella typhimurium*, $6 \mu\text{g mL}^{-1}$ against *Enterococcus faecalis*, and $4 \mu\text{g mL}^{-1}$ against *B. subtilis* were determined.⁸⁸

4.2. Other modified graphene matrices

Sreeprasade et al. produced composite films consisting of GO and rGO modified with lactoferrin (Lf), a multifunctional glycoprotein of the transferrin family, and chitosan.⁶⁴ A significant increase in cytotoxicity was observed due to the presence of lactoferrin with the greatest loss of cell viability with a combination of Lf and chitosan, due to the well-known bacteriostatic properties of chitosan. GO modified with lysozyme, one of the enzymes that catalyze the hydrolysis of bacterial cell walls, which also acts as a nonspecific immune molecule against the invasion of bacterial pathogens, was proposed recently as an antibacterial ultrafiltration membrane against *E. coli*.¹¹⁶

Kanchanapally et al. showed furthermore that a 3D porous membrane comprising GO and nisin, an antimicrobial peptide, is capable of effectively identifying, separating and disinfecting water contaminated with methicillin resistant *S. aureus* (MRS) (Fig. 8A).¹²² The small membrane pore size (about 300 nm) compared to the bacterial cell size (1000 nm) allows the retention of MRS and nearly complete water disinfection (Fig. 8B).

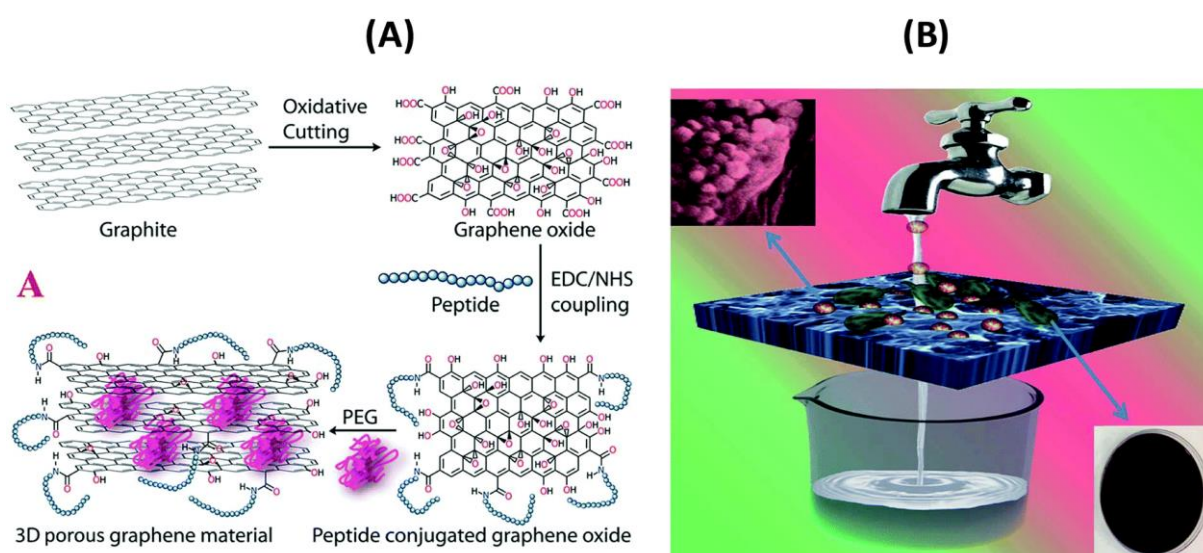


Fig. 8 (A) Synthetic procedure of a 3D graphene material modified with nisin antimicrobial peptide; (B) schematic representation showing the MDR pathogen separation and killing capability using a nisin conjugated porous graphene oxide membrane (inset: image of the membrane) (reprint with permission from [ref. 122](#)).

4.3. Graphene-loaded with nanoparticles

he antibacterial activity of graphene and graphene based membranes can be further tuned by incorporating nanoparticles into the scaffolds. Promising results from several silver nanoparticle (Ag NP) based graphene materials^{123–125} resulted in an avalanche of reports on the antibacterial character of Ag NP loaded graphene nanocomposites,^{126–128} as seen from [Table 2](#). In addition to graphene loaded with Ag NPs, other metallic nanostructures such as gold nanoparticles (Au NPs) or copper nanoparticles (Cu NPs), oxides including titanium oxide (TiO₂), zinc oxide (ZnO) and iron oxide (Fe₂O₃) and others such as CuS have been screened for their bactericidal properties and some examples are provided in [Table 2](#).

Table 2 Antibacterial properties of nanoparticle decorated graphene matrices

Graphene material	Bacterial cell model	Comments	Ref.
Silver nanostructures			
GO–Ag NPs	E. coli	100% inhibition at [GO–Ag NPs] = 100 µg mL ⁻¹	129
GO–Ag NPs	E. coli	99.9% inhibition at [GO–Ag NPs] = 3.2/6.4 µg mL ⁻¹	130
GO–Ag NPs	E. coli/P. aeruginosa	Agar diffusion assay 18/26 mm	125
GO–Ag NPs	S. aureus/B. subtilis	100% inhibition with Agar diffusion assay	131
GO–Ag NPs	E. coli/S. aureus	100% inhibition at [GO–Ag NPs] = 10 µg mL ⁻¹	132
GO–Ag NPs	E. coli/S. aureus	Increasing Ag NPs increases growth delay	133
GO–Ag NPs	E. coli/S. aureus	100% inhibition at [GO–Ag NPs] = 45 µg mL ⁻¹	134
GO–Ag NPs	Methicillin-resistant S. aureus	MIC = 15 µg mL ⁻¹	135
rGO–Ag NPs	E. coli/S. aureus/B. cereus/P. aeruginosa	Disc diffusion analysis showed inhibition of 48.14% (S. aureus), 48.72% (E. coli), 25.89% (B. cereus), 11.48% (P. aeruginosa)	136
rGO–Ag NPs	E. coli	100% inhibition at 20 µg mL ⁻¹	137
rGO–Ag NPs	E. coli	100% inhibition of bacterial colony growth	138
rGO–Ag NPs	E. coli/S. aureus	100% inhibition at [rGO–Ag NPs] = 2.5 × 10 ³ µg mL ⁻¹ in 30 min	139
GO–C ₂ –Ag NPs	E. coli/S. typhimurium/P. aeruginosa/B. subtilis/S. epidermidis/S. aureus	GO–C ₂ –Ag showed higher antibacterial activity than GO–C ₀ –Ag and GO–C ₄ –Ag due to nearly eight	140

		times higher reactive oxygen species (ROS), MIC = [0.6–0.8 $\mu\text{g mL}^{-1}$] (E. coli and S. epidermidis); MIC = [2.3–1.6 $\mu\text{g mL}^{-1}$] (S. typhimurium and P. aeruginosa); MIC = [2.3–3.2 $\mu\text{g mL}^{-1}$] (B. subtilis); MIC = [4.6–3.2 $\mu\text{g mL}^{-1}$] (S. aureus)	
pG–Ag NWs	E. coli/S. aureus/Candida albicans	Antimicrobial efficiency ~100% by water electrolysis by using the conductive graphene/Ag NW coating as a cathode	141
GO–Ag NWs	E. coli/S. aureus	MIC = 16/20 $\mu\text{g mL}^{-1}$	142
rGO–Ag NPs hydrogel	E. coli	>97% bacterial inactivation for [E. coli] = 10^3 – 10^5 cfu per mL	143
GO–PLDA–chitosan–Au NPs	E. coli/S. aureus/P. aeruginosa	98% inactivation for E. coli and P. aeruginosa, 79.4% for S. aureus	128
GO–PNVP–Ag NPs	E. coli/S. aureus	MIC = 0.17/21.92 $\mu\text{g mL}^{-1}$	144
GO/PA/Ag NPs	E. coli	98% inhibition at [GO/PA/Ag NPS] = 10^3 $\mu\text{g mL}^{-1}$	145
rGO–PES–Ag NPs	E. coli/S. aureus/P. aeruginosa	Agar diffusion	126
GO–chitosan–Ag NPs		MIC = 1.09 $\mu\text{g mL}^{-1}$	146
GO–PEG–Ag NPs	E. coli/S. aureus	Smallest Ag NPs (10 nm) demonstrated higher antibacterial activity than other sizes (30, 50, and 80 nm) at [GO–PEG–Ag NPs] = 10–40 $\mu\text{g mL}^{-1}$	147
rGO–MWCNTs–Ag NPs	E. coli/Bacillus subtilis	MIC = 15 $\mu\text{g mL}^{-1}$	148
rGO–Ag in PE/PEO membranes	E. coli	Water purification of [E. coli] = 3×10^8 cfu per mL to [E. coli] = 7.5×10^7 cfu per mL	149
rGO–HNTs–Ag NPs	E. coli/S. aureus	MIC = 2 $\mu\text{g mL}^{-1}$	150

Gold nanostructures			
rGO–Au NPs	E. coli/S. aureus/P. aeruginosa/Bacillus subtilis	97–100% killing of 10^8 cfu per mL pathogens	151
rGO wrapped Au NRs	E. coli (UTI 89)	Photothermal killing of $5 \times \log$ cfu per mL	152
rGO–Au NPs	P. aeruginosa/Klebsiella pneumoniae/S. aureus/B. cereus	Zone inhibition tests (16–24 mm) with [rGO–Au NPs] = 50–150 $\mu\text{g mL}^{-1}$	153
Copper nanostructures			
rGO–PLL–Cu NPs	E. coli/S. aureus	99% killing rate at 16.3 mg L^{-1} Cu NPs present on the matrix	154
Titanium oxide nanoparticles			
rGO–TiO ₂	E. coli	Solar light irradiation of 2 h for killing $5 \times \log$ cfu per mL	155
GO–TiO ₂ loaded cotton	E. coli/S. aureus/C. albicans	100% pathogen killing with cotton loaded with [GO–TiO ₂] = 0.5% GO/1.2 mL TiCl ₃	156
rGO–titanate	E. coli O157:H7	100% sterilization in 15 min for free standing films	157
rGO–Ag–TiO ₂	E. coli	67% inhibition using [rGO–Ag–TiO ₂] = $10^2 \mu\text{g mL}^{-1}$	158
rGO–magnetic–TiO ₂	E. coli	99% inhibition	159
pG–Au–TiO ₂	E. coli/Candida/Rhodospseudomonas palustris	90–100% inactivation using [pG–Au–TiO ₂] = 150 $\mu\text{g mL}^{-1}$	160
Zinc oxide nanostructures			
GO–ZnO	E. coli	Growth reduction at [GO–ZnO] = 10 $\mu\text{g mL}^{-1}$	161
GO–ZnO	E. coli/B. subtilis/Salmonella typhimurium/Enterococcus faecalis	MIC = 6.25–25 $\mu\text{g mL}^{-1}$	162
pG–ZnO	E. coli	100% inhibition at [pGO–ZnO] = 0.003 $\mu\text{g mL}^{-1}$	163
GO–ZnO NWs	E. coli	95–99.5% inhibition at [GO–ZnO NWs] = $10^3 \mu\text{g mL}^{-1}$	164
GO–Cu–ZnO	E. coli/S. aureus	Disc diffusion method	165

GO–chitosan–ZnO	E. coli/S. aureus	MIC = 62.5–5 $\mu\text{g mL}^{-1}$	166
Iron oxide nanostructures			
GO–Fe ₂ O ₃	E. coli	91.5% inhibition at [GO–Fe ₂ O ₃] = 10 ² $\mu\text{g mL}^{-1}$	167
GO–Fe ₂ O ₃	E. coli	97% viability loss at [GO–Fe ₂ O ₃] = 100 $\mu\text{g mL}^{-1}$	168
rGO–Fe ₂ O ₃	E. coli/S. aureus/Salmonella/E. faecium/E. faecalis/Shigella	93.09% removal	169
GO–MnFe ₂ O ₄	E. coli	82% inhibition at [GO–MnFe ₂ O ₄] = 10 ² $\mu\text{g mL}^{-1}$	170
rGO–GA–Fe ₂ O ₃	E. coli/S. aureus	99.9% photothermal killing upon NIR irradiation for 10 min	171
GO–Ag NPs–Fe ₂ O ₃	E. coli/S. aureus	MIC = 25–50 $\mu\text{g mL}^{-1}$	172
rGO–PEI–Ag NPs–Fe ₂ O ₃	E. coli O157:H7	99.9% photothermal killing using 0.1 $\mu\text{g mL}^{-1}$, laser irradiation for 10 min	173
rGO–Ag–CoFe ₂ O ₄	E. coli/S. aureus	97–99% viability loss using [rGO–Ag–CoFe ₂ O ₄] = 12.2 $\mu\text{g mL}^{-1}$	174
CuS nanostructures			
GO–CuS	E. coli/B. subtilis	80–100% inactivation in a time dependent manner (30–60 min)	175

Au NWs: gold nanowires; Au NRs: gold nanorods; GA: glutaraldehyde; GO: graphene oxide; rGO: reduced graphene oxide; pG: pristine graphene; HNTS = Halloysite nanotubes; MWCNTs: multiwalled carbon nanotubes; PA: polyamide; PE: polyethylene; PES: polyethersulfone; PEO: polyethylene oxide; PLGA: poly(lactide-co-glycolide); PLL: poly(L-lysine); PNVP: poly(N-vinyl pyrrolidone).

4.3.1. Graphene/silver nanoparticles.

Throughout the past decades, Ag-based nanomaterials have occupied an important position as antimicrobial agents and have been integrated into several commercial products (see [Fig. 1](#) for some examples). One of the key characteristics of silver nanoparticles (Ag NPs) is that at low concentrations they are toxic to microbes, but are safe for human cells. There are however challenges associated with the oxidation and aggregation of Ag NPs, which compromise their antimicrobial properties and thus limit their efficient use. By attaching Ag NPs to graphene-based materials, stable antibacterial matrices are obtained. Gao et al. demonstrated that the presence of GO not only slows down Ag NPs oxidation, but also enables Ag⁺ ion recrystallization on the GO surface.¹⁷⁶ A large number of Ag containing composite materials

for antibacterial applications, where the materials have been used as substrates and templates for binding Ag NPs, have been proposed with graphene materials being one of them. By growing Ag NPs on the surface of GO, several groups using different approaches have synthesized GO–Ag NP and rGO–Ag NP nanocomposites with significantly improved antibacterial activities when compared to GO and Ag NPs taken separately.^{123–125,128,131,134,137,147,172,177–187} The enhanced antimicrobial activity of GO–Ag NP nanocomposites is attributed to the presence of Ag NPs. Additionally, the high concentration of oxygenated groups confers on GO–Ag NPs sheets the versatility to bind to solid surfaces via covalent and non-covalent interactions, thus providing multiple opportunities to develop multifunctional materials with antimicrobial activity. However, despite these independent demonstrations of the strong antibacterial ability of GO–Ag nanomaterials, the demonstration of the real advantage of GO–Ag as a new generation of antibacterial agents over Ag NPs was highlighted by Peng and co-workers only in 2013.¹⁷⁹ GO–Ag nanocomposites with different Ag NPs/GO ratios were synthesized and their antibacterial activities were assessed against Gram-negative and Gram-positive bacteria. Compared to Ag NPs, GO/Ag NPs with an optimal ratio of Ag NPs/GO are much more efficient and show synergistically enhanced, strong antibacterial activities at doses as low as 2.5 $\mu\text{g mL}^{-1}$. While GO/Ag NPs function as a bactericide against Gram-negative *E. coli* through bacterial cell disruption, bacteriostatic effects by inhibiting cell division are the underlying mechanism for Gram-positive *S. aureus* (Fig. 9A).¹⁷⁹

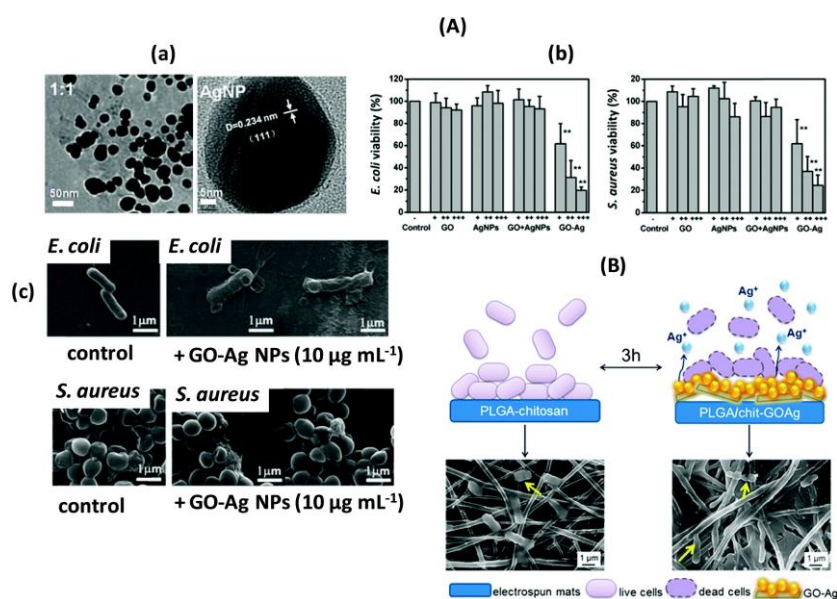


Fig. 9 (A) (a) TEM images of GO/Ag NPs, (b) bacterial cell viability of *E. coli* and *S. aureus* after treatment with either pure GO sheets, pure Ag NPs or GO–Ag NP (1/1) nanocomposites, (c) SEM images of *E. coli* and *S. aureus* cells without and with GO–Ag NP treatment at 10 $\mu\text{g mL}^{-1}$ for 2.5 h (reprint with permission from [ref. 179](#)); (B) SEM image of a surface of PLGA–chitosan mats and PLGA–chitosan–GO/Ag NPs and morphological changes of *E. coli* (reprint with permission from [ref. 128](#)).

Focusing on the work of the last few months, some interesting works can be cited.^{128,142,147,183,184} Antimicrobial electrospun mats, synthesized through a post-fabrication binding of graphene-based nanocomposites to the nanofibers' surface, were demonstrated by Elimelech and co-workers (Fig. 9B).¹²⁸ Poly(lactide-co-glycolide) (PLGA) and chitosan were electrospun to yield

cylindrical polymer fibers, and were further functionalized with GO/Ag NPs via chemical reaction between the carboxyl groups of GO and the primary amine groups of the PLGA–chitosan fibers. Upon direct contact with bacterial cells, Gram-negative and Gram-positive bacteria were effectively inactivated.¹²⁸ From the SEM images ([Fig. 9B](#)) of PLGA–chitosan and PLGA–chitosan–GO/Ag NP mats, *E. coli* lost their morphological integrity upon contact with the GO/Ag NP nanocomposites. *E. coli*, *P. aeruginosa* and *S. aureus* became flattened and wrinkled after their contact with PLGA–chitosan–GO/Ag NPs. The deposition of Ag nanowires onto GO was recently proposed as an alternative antibacterial material where bacterial death is due to damage of the cell membrane integrity, and DNA, RNA and protein leakage due to oxidative damage of the bacteria through the release of reactive oxygen species brought by the release of silver ions.¹⁴² GO@PEG@Ag NPs, developed using polyoxyethylene bis(amine) (PEG) directed Ag NP growth on GO, were reported by Liu and co-workers with an antibacterial activity exceeding that of Ag NPs alone. A matrix of GO@PEG@Ag NPs with 10 nm particle size of Ag NPs showed the highest antibacterial activity when compared to other sizes (30, 50, 80 nm).¹⁴⁷ GO loaded cellulose membranes modified with Ag NPs formed in situ significantly lowered the release of Ag ions and exhibited strong antibacterial activity against *S. aureus* and *E. coli*.¹⁸⁴

4.3.2. Graphene/gold nanoparticles.

The integration of gold nanostructures onto graphene-based materials has in addition been considered.^{151,152,188,189} Das and co-workers showed that rGO nanosheets decorated with gold nanoparticles (Au NPs) possess high antibacterial activity; bacterial cell death is caused by the leakage of sugars and proteins from the cell membrane once in contact with the rGO–Au NPs material.¹⁵¹

More recently, graphene wrapped Au nanostructures were used for the photothermal ablation of bacteria.^{152,188} Our group developed Au NRs coated with rGO–PEG (rGO–PEG–Au NRs) for the selective killing of uropathogenic *E. coli* UTI89 ([Fig. 10](#)). More importantly, targeted killing of *E. coli* UTI89 was achieved through functionalization of the photothermal agent with multimeric heptyl α -D-mannoside probes. This currently offers a unique biocompatible method for the ablation of pathogens with the opening of probably a new possibility for clinical treatments of patients with urinary infections.

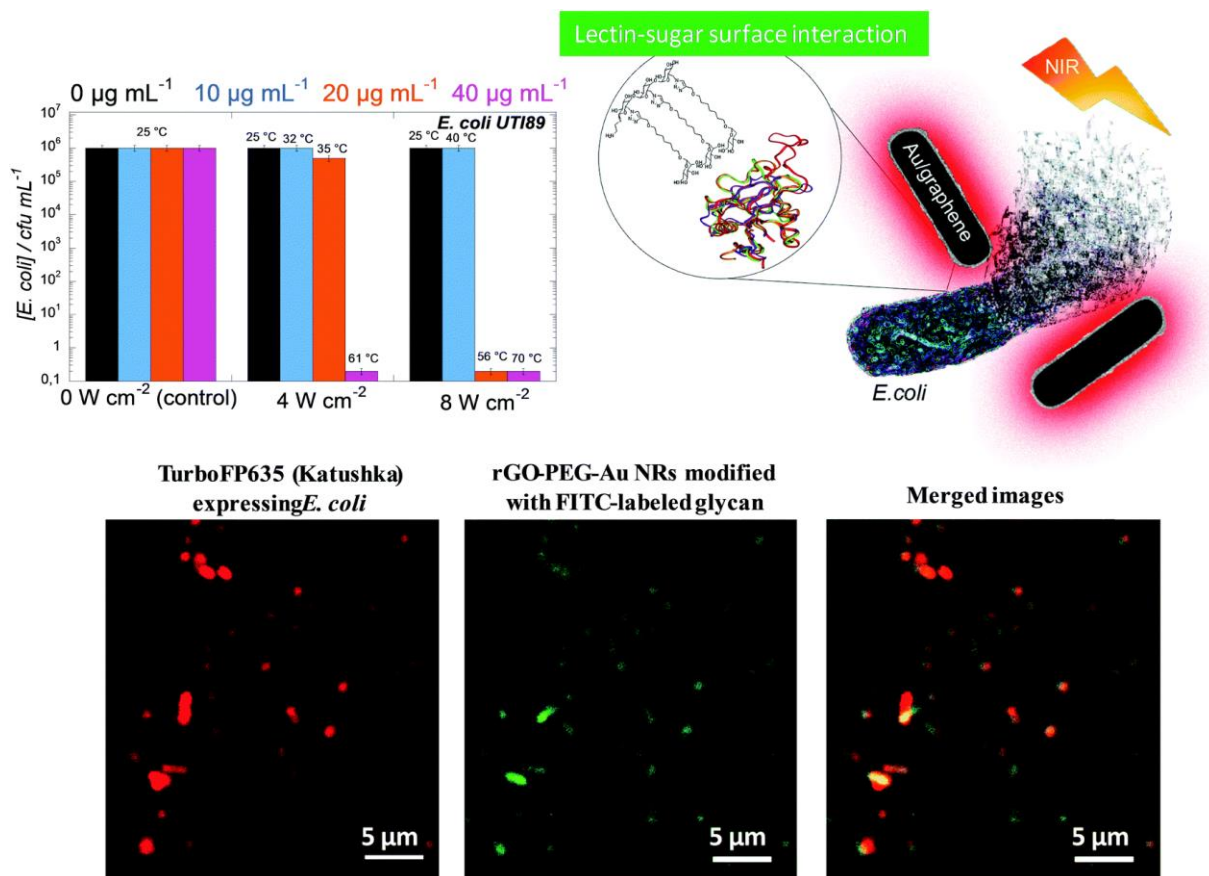


Fig. 10 *E. coli* UTI89 viability in the presence of multimeric heptyl- α -D-mannoside modified rGO-PEG-Au NR particles upon irradiation at 4 or 8 $W\ cm^{-2}$ for 10 min at 700 nm together with effective targeting demonstration. The fluorescence images correspond to *E. coli* UTI89 expressing the fluorescent Katushka protein, to FITC-labeled multimeric heptyl- α -D-mannoside modified rGO-PEG-Au NRs and the overlap of both images (reprint with permission from [ref. 152](#)).

4.3.3. Graphene/copper nanoparticles.

Several reports indicated that copper nanoparticles (Cu NPs) at high concentrations are toxic to most microorganisms as the redox properties of Cu NPs cause cellular damage such as the oxidation of proteins and lipids. It has thus become important to control the release of Cu^{2+} from Cu NPs. To address this issue, Cu NPs were integrated onto poly-L-lysine modified rGO.¹⁵⁴ This hybrid proved to be highly stable over a long time, and showed excellent water solubility as well as antibacterial activity.

4.3.4. Graphene/titanium oxide nanoparticles.

While Au NPs allow ablation of pathogens using the photothermal effects, photocatalytic inactivation under solar irradiation can be achieved using titanium oxide nanoparticles (TiO_2 NPs) as a promising alternative for the removal of pathogens from water ([Table 2](#)).^{155-157,159} Upon excitation of TiO_2 by light, the photon energy generates electron-hole pairs on the TiO_2 surface, which can react with oxygen and water molecules to form hydroxyl radicals,

responsible for the disinfection of bacteria.¹⁹⁰ Akhavan and Ghaderi proposed photo-inactivation of bacteria by solar light irradiation using rGO/TiO₂ thin films already in 2009.¹⁵⁵ The use of GO–TiO₂ nanocomposites on cotton to reduce bacteria and fungi was proposed more recently by Karimi et al.¹⁵⁶ Furthermore, the antibacterial properties of GO–TiO₂ modified with magnetic particles were recently investigated and excellent antimicrobial properties were obtained.¹⁵⁹ After 30 min solar irradiation, the bacterial inactivation rates nearly reached 100%. The low cost of GO and TiO₂ and the simplicity of manufacturing magnetic GO–TiO₂ make it a promising candidate for water disinfection treatment.

4.3.5. Graphene/zinc oxide nanoparticles.

Like Ag NPs, zinc oxide nanoparticles (ZnO NPs) have been widely used as antibacterial agents. As they can be prepared easily and cost effectively they are of particular interest. The release of zinc ions from ZnO was suggested as one of the primary antibacterial mechanisms of ZnO NPs. Moreover, the penetration and disorganization of the bacterial membrane upon contact with ZnO NPs is believed to contribute in addition to their antibacterial ability. However, as with all nanoparticles, aggregation interferes with their long lasting antibacterial character. Some groups thus looked at the integration of ZnO NPs onto graphene nanomaterials.^{161–163,165,166} Wang et al. prepared GO–ZnO NPs by a facile one-pot reaction where the 4 nm sized ZnO NPs are homogeneously anchored onto the GO sheets.¹⁶¹ GO helped the dispersion of ZnO NPs, slowed down the dissolution of ZnO, and acted as a storage site for dissolved zinc ions, thus enabling the intimate contact of *E. coli* with ZnO NPs and zinc ions.¹⁶¹ A ZnO decorated chitosan–GO nanocomposite was recently reported by Chowdhuri et al. with enhanced antimicrobial activity due to ROS generation; the nanocomposite exhibited MIC values against *E. coli* and *S. aureus* of 2.5 and 5 µg mL⁻¹, respectively.¹⁶⁶

4.3.6. Graphene/iron oxide particles.

There is still a critical need to develop new approaches that can clean bacteria from water. Nanomaterials have been proposed for such treatments. However, due to their small size they are difficult to separate from water, which not only results in difficulty in recycling but also in the reuse of the materials. Magnetic nanoparticles, which can be easily separated from any medium with the use of an external magnet, are a promising solution to these problems. The use of magnetic antibacterial graphene nanocomposites to clean pathogens in water has been proposed.^{169–172} The successful synthesis of Fe₃O₄ modified GO using a solvothermal method was proposed by Shen and co-workers for the efficient (93%) removal of *E. coli*, whereas it was only 55% when using Fe₃O₄ NPs (Fig. 11).¹⁶⁹ Chella et al. investigated in addition the bactericidal activity of magnetic GO (GO–MnFe₂O₄) on *E. coli* and showed a 82% loss of viability after 2 h contact with 100 µg mL⁻¹ GO–MnFe₂O₄.¹⁷⁰ An antibacterial nanocomposite of GO, CoFe₂O₄ and Ag NPs (Ag NPs@GO@CoFe₂O₄) was developed by Ma et al. for efficient disinfection of water contaminated with *E. coli* and *S. aureus*.¹⁷⁴

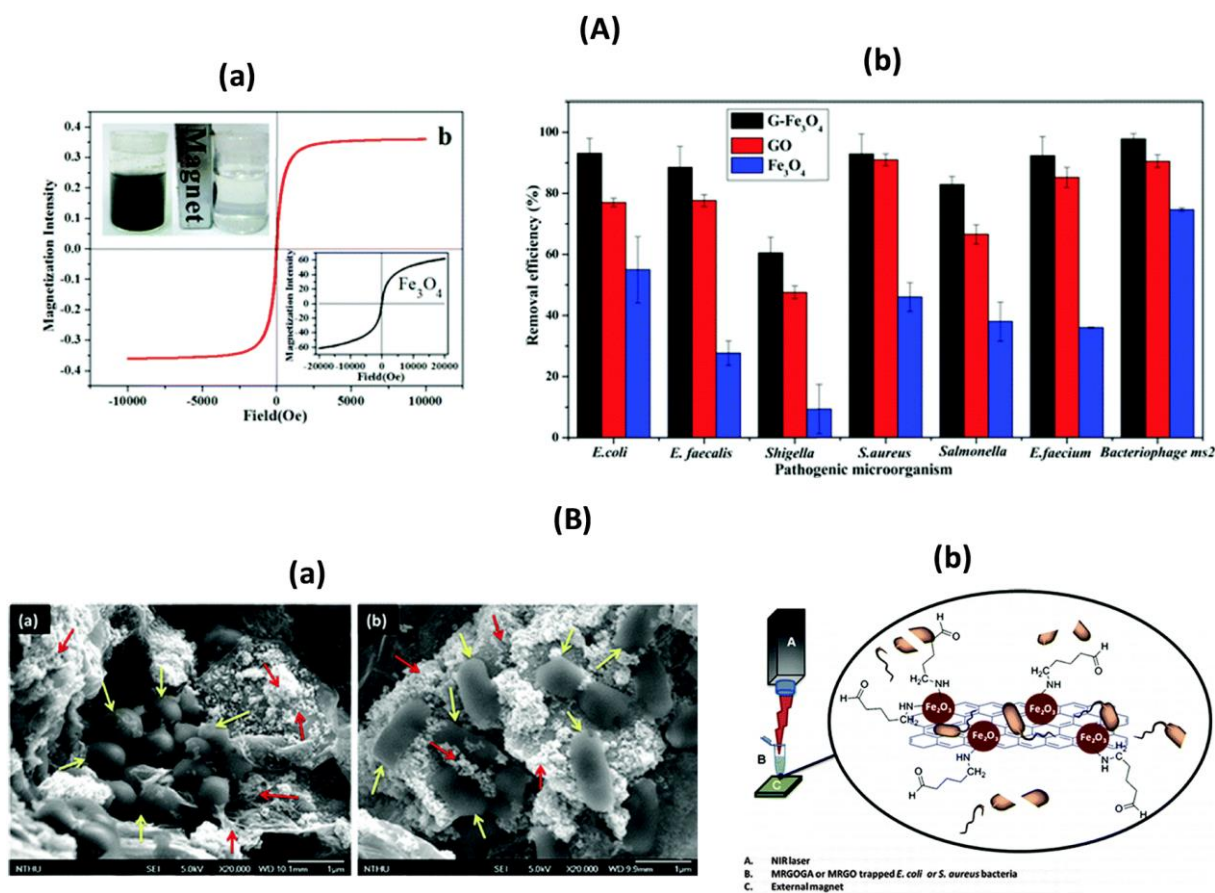


Fig. 11 (A) (a) Room temperature magnetization curves of Fe_3O_4 and $\text{GO-Fe}_3\text{O}_4$, (b) removal of different pathogenic microorganisms using GO , Fe_3O_4 and $\text{GO-Fe}_3\text{O}_4$ (reprint with permission from [ref. 169](#)); (B) (a) SEM images of magnetic glutaraldehyde modified GO matrices (red arrows) capturing *S. aureus* and *E. coli*, (b) photothermal ablation of captured pathogens (reprint with permission from [ref. 174](#)).

Different from these reports, Wu et al. developed a magnetic glutaraldehyde modified GO matrix for harvesting bacteria and used the near-infrared photothermal properties of the graphene matrix for the photothermal killing of the pathogens ([Fig. 11B](#)). Indeed, the magnetic properties allowed bacteria to be rapidly trapped in a small volume by an external magnet. The synergistic effects increased the heating efficacy of the matrix upon NIR laser irradiation and resulted in efficient thermal ablation (99%) of the captured bacteria.¹⁷¹

4.3.7. Graphene/copper sulfide nanoparticles.

Another approach was taken by Yu et al., who proposed carboxylated GO/copper sulfide (GO-COOH-CuS) as an antibacterial nanocomposite for the removal of pathogens.¹⁷⁵ The antibacterial activity was evaluated under solar light irradiation ([Fig. 12](#)). While GO-COOH-CuS could inactivate pathogens in the dark to some extent (40% for *E. coli*, 30% for *B. subtilis*), under solar light irradiation, the survival rate decreased drastically. After 60 min, 100% inactivation was achieved. This efficient deactivation is linked to the photocatalytic activity of GO-COOH-CuS . Under solar light irradiation, electrons are generated from CuS NPs and

transferred to GO-COOH, where they react with adsorbed surface oxygen to produce reactive oxygen species, assisting the inactivation process of the pathogens.¹⁷⁵

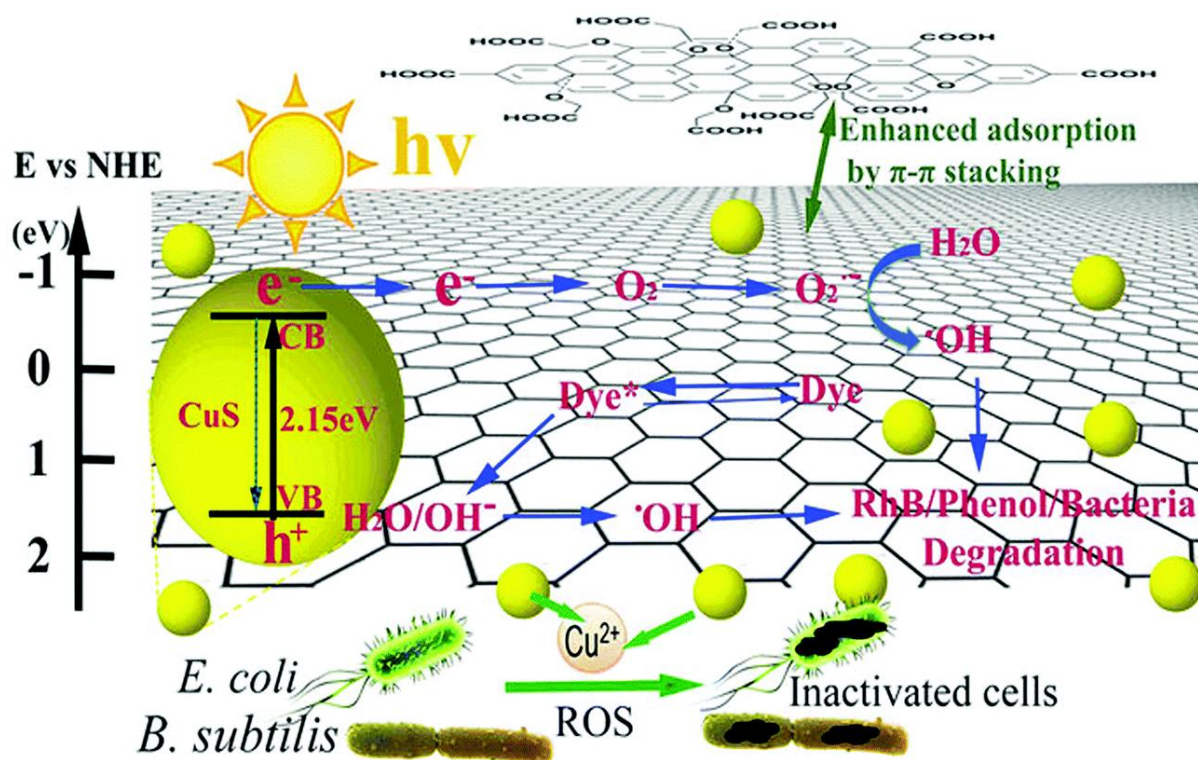


Fig. 12 Schematic illustration of the photocatalytic and antibacterial mechanism of GO-COOH-CuS (reprint with permission from [ref. 175](#)).

5. Conclusion and perspectives

A global summary of the bactericidal efficiency and the possibility to interfere with biofilm formation using graphene nanostructures has been provided in this review. The different studies have resulted in the preparation of a large amount of graphene-based scaffolds. Their antimicrobial properties were tested in the majority of cases against *E. coli* and *S. aureus* as model pathogens. In light of the increasing spread of antibiotic resistant bacteria associated with their severe threat against public health worldwide, it might be important to investigate other pathogenic species and phenotypes to illustrate the broad range of bactericidal properties of graphene nanostructures. Indeed, most of their action has still to be validated on other bacterial strains. Even though some studies on *P. aeruginosa*, *E. faecalis*, *B. subtilis* and *S. typhimurium* are emerging, a deeper understanding is still required to conclude about the general antibacterial ability of graphene. These studies might in addition help in giving general guidelines as to how to modulate the graphene nanostructures to obtain desired antimicrobial properties. The antimicrobial mechanism of graphene based nanostructures has indeed been the focus of several recent investigations; a deeper and more consistent understanding of the underlying molecular mechanisms is however still required. The other difficulty associated with graphene based materials is the existence of different parameters influencing their antibacterial properties. Orientation, size, shape, structural defects as well as surface functional groups are different physico-chemical characteristics, all strongly

influencing the biological response and defining the antibacterial activity of the graphene family. Well-dispersed graphene sheets have been, for example, shown to display stronger antibacterial activity among several graphene-family nanomaterials. Thus the combination with biocompatible polymers and different nanoparticles was a logical way to further improve the biocompatibility and dispersibility of a broad range of graphene based structures. Depending on the application sought after (e.g. wound healing, treatment of urinal infection, disinfection of water, etc.), different scaffolds are now available and their application and commercialization should be the main focus over the next years. One major limitation for these advancements is that, currently, nonsystematic *in vivo* antibacterial activity has been demonstrated in animal models. While graphene based materials may be beneficial for wound healing and dental care, future applications *in vivo* should be not ruled out. One important question that has to be answered first is whether graphene nanomaterials can selectively target pathogenic microorganisms without affecting normal mammalian cells or non-pathogenic bacteria? Only a few studies associated with the selective killing of pathogenic microorganisms over non-pathogenic ones are provided. One notably uses sugar modified graphene coated gold nanorods for the selective accumulation of *E. coli* UTI89 close to the nanostructures, allowing the use of lower light power to eradicate the pathogenic microorganism in a photothermal ablation approach.¹⁵²

While the bridge to the biomedical market is still missing, material scientists have not slowed down in proposing different graphene based materials as antibacterial agents. Chen and co-workers reported for example recently the use of Pluronic F-127, a biocompatible block polymer, modified GO, together with water shock treatment (e.g. a sudden decrease in environmental salinity) as a novel antibacterial strategy.¹⁰²

Several works on the antibacterial properties of graphene quantum dots (G-QDs), graphene nanosheets of <20 nm in lateral dimensions, have been highlighted.^{83,191,192} Unlike their C-dot cousins, G-QDs have been found to have lower toxicity than GO and cause no apparent toxicity *in vivo*.¹⁹³ These results are a step further to the missing *in vivo* studies as evoked above. Further G-QD suspensions are able to generate reactive oxygen species (ROS) upon photo-excitation, allowing such nanostructures to be used for the photodynamic treatment of pathogens, an approach only marginally developed currently for graphene-based nanostructures.

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