

Sequential stream segregation of voiced and unvoiced speech sounds based on fundamental frequency

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1	Running title: Segregation of speech sounds based on F0 differences
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5	Sequential stream segregation of voiced and unvoiced speech sounds based on fundamental
6	frequency
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Abstract 13

Differences in fundamental frequency (F0) between voiced sounds are known to be a strong cue 14 for stream segregation. However, speech consists of both voiced and unvoiced sounds, and less 15 16 is known about whether and how the unvoiced portions are segregated. This study measured listeners' ability to integrate or segregate sequences of consonant-vowel tokens, comprising a 17 voiceless fricative and a vowel, as a function of the F0 difference between interleaved sequences 18 of tokens. A performance-based measure was used, in which listeners detected the presence of a 19 20 repeated token either within one sequence or between the two sequences (measures of voluntary 21 and obligatory streaming, respectively). The results showed a systematic increase of voluntary stream segregation as the F0 difference between the two interleaved sequences increased from 0 22 to 13 semitones, suggesting that F0 differences allowed listeners to segregate speech sounds, 23 including the unvoiced portions. In contrast to the consistent effects of voluntary streaming, the 24 trend towards obligatory stream segregation at large F0 differences failed to reach significance. 25 Listeners were no longer able to perform the voluntary-streaming task reliably when the 26 unvoiced portions were removed from the stimuli, suggesting that the unvoiced portions were 27 28 used and correctly segregated in the original task. The results demonstrate that streaming based on F0 differences occurs for natural speech sounds, and that unvoiced portions are correctly 29 assigned to corresponding voiced portions of the speech sounds. 30

31

Keywords: Stream segregation, Fundamental frequency, Speech sounds

32 **1. Introduction**

Speech intelligibility in complex auditory environments, such as a cocktail party (Cherry, 1953), relies on our natural ability to perceptually segregate competing voices. To be intelligible, the sequence of sounds spoken by each person must be integrated into a single perceptual stream, and must be segregated from the speech sounds produced by other people. Auditory stream segregation and integration have been studied using both speech and non-speech sounds.

A large body of literature has documented the cues by which simple (non-speech) sounds are 38 perceptually integrated and segregated (e.g., Bregman, 1990; Moore and Gockel, 2002, 2012). 39 One important segregation cue involves differences in frequency or fundamental frequency (F0) 40 between pure tones (Miller 1957; van Noorden 1975) and complex tones (Vliegen and Oxenham, 41 1999), respectively. One difficulty with generalizing the results from studies of streaming to real-42 43 world listening is that streaming studies often use sequences of sounds that are exact repetitions of each other, without the variations that are common in everyday situations. Some exceptions 44 include studies of melody discrimination (e.g., Hartmann and Johnson, 1991), and a study 45 involving two interleaved sequences of vowels that differed in F0 (Gaudrain et al. 2007). 46 Listeners in that study were asked to report the order of presentation of the vowels either 47 48 between or within the two interleaved sequences. Performance in the between-sequence task 49 decreased significantly, while performance in the within-sequence task improved significantly, as the difference in F0 (Δ F0) between the two streams increased. Although this result shows that 50 sequential voiced speech sounds can be segregated based on F0 differences, real speech also 51 includes many unvoiced sounds, such as fricatives, which must be assigned to the correct speaker 52 and segregated from other competing sounds. 53

Numerous studies of speech perception in the presence of competing speech have shown that 54 F0 and intonation differences between a target and an interfering speaker can indeed improve the 55 intelligibility of a target (Brokx and Nooteboom, 1982; Assmann and Summerfield, 1990; Bird 56 and Darwin, 1998; Darwin et al., 2003), along with other cues, such as differences in vocal tract 57 length (Darwin and Hukin, 2000; Darwin et al., 2003; Gaudrain and Başkent, 2015) or intensity 58 differences (Brungart, 2001). However, these measures were based on sentence intelligibility. 59 Because of the numerous linguistic and other context effects present in speech, such stimuli do 60 not provide a strong test of whether all voiced and unvoiced segments are correctly assigned to 61 the correct speaker, as some degree of reconstruction could occur based on linguistic or lexical 62 63 context and constraints.

A stronger test of the binding between consonants and vowels was provided by Cole and 64 Cole and Scott (1973), who studied the perceptual organization of repeating syllables consisting 65 of an unvoiced fricative consonant and a voiced vowel (CV), all with the same vowel (/a/) but 66 with different consonants. They found that listeners' ability to judge the order of the sounds was 67 best when the natural sounds were presented, and worsened if the formant transitions between 68 69 the consonant and its vowel were removed from the vowels. They argued that these vowel transitions play an important role in binding adjacent segments of speech. A more recent study 70 (Stachurski et al., 2015) used the verbal transformation effect (Warren, 1961) to determine the 71 extent to which formant transitions bind vowels to their preceding consonant. Stachurski et al. 72 (2015) found that the number of verbal transformations reported decreased when the formant 73 74 transitions were left intact, suggesting that the transitions provided additional binding between 75 the consonant and its following vowel, particularly when the formant transition itself was more pronounced. 76

Although these studies suggest that formant transitions assist in binding successive consonant 77 and vowel pairs, none of them has studied the extent to which this binding is maintained in the 78 presence of competing streams, as would be encountered in a multi-talker environment. The 79 purpose of the present study was to test whether successful streaming of interleaved sequences of 80 speech sounds can be achieved based solely on differences in F0 between the voiced portions of 81 speech, and thus whether the unvoiced segments can be segregated into the correct streams by 82 83 virtue of their companion voiced segments. On the one hand, the temporal proximity of the unvoiced and voiced portions of a CV pair, along with the formant transitions, might assist in the 84 perceptual fusion of the unvoiced and voiced portions (Cole and Scott, 1973; Stachurski et al., 85 86 2015). On the other hand, repeating sequences of spectrally dissimilar sounds (such as the fricative consonant and vowel) can lead to perceptual segregation and, in some cases, spurious 87 perceptual organization (Harris, 1958), even when formant transitions are maintained (Stachurski 88 89 et al., 2015). Here, naturally spoken CV pairs were generated to produce speech sounds that contained both unvoiced and voiced segments. Sequences of speech sounds were then generated 90 91 by concatenating the speech sounds in random order into sequences. Two such sequences were temporally interleaved, and a difference in F0 was introduced between the interleaved sequences 92 to produce a pattern of speech tokens with alternating F0, and thus induce stream segregation. 93 Performance was measured in tasks that either favored perceptual integration of all the sounds 94 into a single stream or favored perceptual segregation of the alternating sounds into two separate 95 96 streams.

97 2. Experiment 1: Within- and across-sequence repetition detection with consonant98 vowel pairs

2.1 Rationale

The aim of this experiment was to test whether sequential stream segregation of CV tokens 100 can be elicited by differences in F0 between the voiced portions of the tokens. Voiceless 101 fricatives were used as consonants to provide noise-like aperiodic stimuli that did not carry F0 102 information. Therefore, successful streaming based solely on F0 differences would require 103 additional binding of the voiced and voiceless segments of each CV token. Such binding can 104 occur in naturally uttered speech signals due to spectral transitions between the consonant and 105 vowel (Cole and Scott, 1973; Stachurski et al., 2015). The present experiment tests whether such 106 binding is sufficient to allow segregation of competing streams. 107

108 *2.2 Methods*

109 *2.2.1. Stimuli*

The speech sounds were naturally uttered pairs of voiceless fricative consonants and voiced 110 vowels. Because the consonant-vowel stimuli were recorded as a whole, they included a fricative 111 part (the consonant), a transition part (the vocalic part still containing some consonant 112 information) and a voiced part (the vowel). A set of 45 such sounds were recorded by two 113 speakers, one male and one female, both of whom were native speakers of American English. 114 The recordings were made with a microphone (Sennheiser E914) and portable digital recorder 115 (Marantz PMD670) in a sound attenuating booth. The stimulus set was composed of five 116 voiceless fricative consonants ([f], [s], [X], [X], and [h]) combined with nine vowels ([x], [e], [i:], 117 [I], $[\Theta]$, $[\boxtimes]$, $[\boxtimes]$, $[\alpha]$ and [u.]). The [h] is not often considered in studies investigating fricative 118

consonants (Jongman et al., 2000); however, [h] is defined as a glottal fricative consonant in theInternational Phonetic Alphabet (IPA), and so was included here.

The stimuli had to be short enough to produce automatic or obligatory stream segregation 121 (van Noorden, 1975), but long enough to contain information from both the consonant and 122 vowel. The duration of each token was therefore limited to 160 ms, with 40 ms inter-token 123 intervals, leading to an onset-to-onset time of 200 ms which is close to the upper limit for 124 observing obligatory stream segregation (van Noorden, 1975; Micheyl and Oxenham, 2010a; 125 David et al, 2015). The beginning and end of the recorded speech sounds were truncated and 126 127 gated on and off with 10-ms raised-cosine ramps. The truncation points were chosen manually to ensure that the consonant and vowel parts of the stimulus had approximately the same length. 128 The spectral shapes of the different vowels were, of course, different, but the spectral shape of 129 the steady-state portion of each vowel did not differ much in the context of different consonants, 130 as expected. The pitch contours of the tokens were flattened using Praat software (Boersma and 131 Weenink, 2001). The stimuli were then resynthesized using a pitch synchronous overlap-add 132 technique (PSOLA), widely used for F0 manipulations of speech sounds, which has minimal 133 effect on the spectral shape of the CV tokens, including the vocalic portions. 134

Listeners were presented with interleaved sequences in an ABAB... format, with the A and B sequences presented at different F0s. There were 14 speech tokens in each of the A and B sequences, for a total of 28 speech tokens in each presentation, with the speech tokens selected randomly (without replacement) from the total set of 45 tokens for each presentation. The F0 of the A tokens was constant at 110 Hz and 220 Hz for the male and female voice, respectively, while the F0 of the B tokens was set to be Δ F0 semitones above the F0 of A (0, 1, 3, 5, 7 and 9 semitones, i.e., approximately 110, 116, 131, 147, 165, 185 Hz, and 220, 233, 262, 294, 330, 370

Hz for the male and female voice, respectively). In half the presentations, selected at random, a 142 consecutive repetition of a CV token was introduced. Depending on the condition (within- or 143 across-sequence task), the repetition occurred in one of the sequence (as two consecutive As) or 144 across the sequences (as a consecutive A and B), as shown in Fig. 1. In the within-sequence task, 145 the listeners were asked to attend to the voice with the lower pitch (i.e., the A sequence). No 146 repetitions were introduced in the higher-F0 sequence (B). In the across-sequence task, listeners 147 were instructed to attend to the entire interleaved ABAB... sequence. The repetition was 148 introduced at a random position sometime after the 12th token, in order to allow time for the 149 build-up of segregation (Anstis and Saida, 1985; Haywood and Roberts, 2010). Performance was 150 151 predicted to be best in the within-sequence task when listeners were able to segregate the interleaved sequence into two streams and so to hear out a repetition within one stream without 152 interference from the other stream, and to be best in the across-sequence task when listeners were 153 154 able to integrate the sequence into one single stream, and so detect a repetition of a CV that occurred across the two sequences. Listeners are typically able to judge accurately the relative 155 timing of consecutive tokens only when they fall within a single stream (Roberts et al., 2002; 156 Micheyl and Oxenham, 2010). 157

158

Within-sequence task - favored by segregation

F0B //fe/.../
$$|sa/$$
// $|a/$ / $|$

Across-sequence task - favored by integration



159

Fig. 1: Structure of the interleaved sequences in the within-sequence (top panel) and acrosssequence (bottom panel) tasks. The syllables within a shaded region correspond to a repeated token. In half the presentations, the interleaved sequences consisted of only different stimuli (not shown) and in the other half, a repeat was introduced. In the within-sequence task, performance should improve when the sequences are heard as two separate streams, whereas in the acrosssequence task, performance should improve when the interleaved sequences are heard as a single stream.

167 [FIG. 1 ABOUT HERE]

168 *2.2.2. Procedure*

In both tasks, listeners had to indicate whether or not the interleaved sequence contained a repeat. Feedback was provided after each response. Listeners' sensitivity to the repetition (d')was estimated by taking the inverse cumulative normal distribution function (z-transform) of the hit rate (H, i.e., proportion of repeats correctly detected) and subtracting from that the same transformation of the false alarm rate (FA, i.e., proportion of repeats reported in trials with no repeats), with a correction for 100% or 0% H or FA rates by using 1-1/(2N) and 1/(2N), respectively, where *N* is the total number of trials (Macmillan and Creelman, 2004).

The experiment involved two sessions, with each session devoted to one of the two tasks. 176 Half the listeners started with the across-sequence task and the other half started with the within-177 sequence task. In each session, the listeners completed thirteen runs per talker (i.e., 26 runs in 178 total). For each run, 2 repetitions of the 12 conditions (6 values of Δ F0, each with repeat and no-179 repeat conditions) were completed, resulting in a total of 624 sequences tested for each task. 180 Both sessions took place in a sound-attenuating booth. Stimulus presentation and response 181 182 collection were controlled using the AFC software package (Ewert, 2013) under MATLAB (Mathworks, Natick, MA). The stimuli were presented diotically at 65 dB SPL via HD 650 183 headphones (Sennheiser, Wedemark, Germany). 184

185

186 *2.2.3 Listeners*

Sixteen listeners were recruited for this experiment. All of them were native speakers of 187 American English. They all had normal hearing (i.e., pure tone threshold of less than 20 dB HL 188 at octave frequencies between 200 and 8000 Hz), and were paid an hourly wage for their 189 190 participation. In addition to screening for normal hearing, a selection criterion was used to ensure that each listener was able to perform the task. Each subject's performance in each of the 24 191 conditions (two talkers, two tasks, and six values of $\Delta F0$) was calculated in terms of d' and a 192 193 one-sample two-tailed t-test was performed to determine whether the average performance of each subject was significantly different from chance (d' = 0). All the listeners whose overall 194 performance, pooled across all conditions, was significantly different from chance were included 195

in the analyses. One of the listeners did not perform above chance using this test, and so their
data were excluded from further consideration. The remaining 15 listeners were aged between 18
and 24 years (seven females, eight males, average age = 19.5 years, standard deviation, SD = 1.6
years).

200

201 *2.3. Results*

The d' scores in each of the two tasks were subjected to a mixed-model analysis of variance 202 (ANOVA), with the order of the tasks (within- or across-sequence condition first) as a between-203 subjects factor, and speaker gender (male/female) and $\Delta F0$ (1-9 semitones) as within-subjects 204 factors. Neither the main effects of speaker gender and task order nor their interactions were 205 significant (p > 0.2 in all cases). For this reason, the results shown in Fig. 2 are averaged across 206 participants, speaker gender, and task order. The left panel shows the results for the within-207 sequence task and the right panel shows the results for the across-sequence task. The main effect 208 209 of $\Delta F0$ was significant in the within-sequence task [F(1,14) = 24.4, p < 0.001], with a significant linear trend (p = 0.003), reflecting a systematic increase in performance with increasing $\Delta F0$, as 210 would be expected if an increase in the F0 separation led to improved segregation between the 211 two interleaved sequences, making it easier for subjects to attend selectively to one sequence (the 212 one with the lower pitch) to detect the repetition. In the across-sequence condition (right panel), 213 the main effect of $\Delta F0$ was not significant [F(1,14) = 1.88. p = 0.183]. It appears, therefore, that 214 introducing an F0 difference of up to 9 semitones between the two interleaved sequences did not 215 result in obligatory streaming, or in the inability to detect patterns that occurred between the two 216 217 sequences.



219

220

Fig. 2: Mean performance across fifteen listeners for the within- (left panel) and the across-(right panel) sequence tasks in Experiment 1. In the within-sequence task, high d' values at large Δ F0 values indicates a greater tendency to segregate the sequences into two streams; in the across-sequence task, the generally high d' values indicate an ability to integrate the interleaved sequence into a single stream, despite the F0 difference between the two sequences. The error bars represent ±1 standard error of the mean.

227 [FIG 2 ABOUT HERE]

228 *2.4. Discussion*

Listeners were able to make use of a difference in F0 between the two sequences of speech sounds in order to detect a repeated speech token within one of the sequences. This result is in agreement with previous studies, which found that stream segregation can be elicited by a difference in F0 when listeners attempt to segregate sounds (Darwin et al., 2003; Gaudrain et al. 2007). This improvement occurred despite the fact that the speech sounds contained voiceless as well as voiced elements, meaning that the F0 cues were only salient for a portion of the speech sounds. One interpretation of this outcome is that listeners were able to perceptually fuse the voiceless and voiced parts of each speech sound even without the F0 cue in the consonant part. Another possibility, however, is that listeners attended only to the voiced part of the speech sounds and responded based only on those parts.

In the case where listeners had to detect a repetition across sequences, there was little 239 evidence for a worsening in performance with increasing F0 difference, as would have been 240 241 expected based on streaming considerations. Again, multiple explanations are possible. First, a shallower slope than for the within- sequence task is expected, based on the fact that listeners 242 were attempting to segregate in the within-sequence task, and to integrate in the across-sequence 243 task. Indeed, given the definitions proposed by van Noorden (1975), the thresholds of obligatory 244 and voluntary stream segregation correspond to the temporal coherence and fission boundaries 245 (TCB and FB), respectively. Since the TCB requires larger stimulus dissimilarity for streaming 246 to occur compared to FB, obligatory stream segregation was expected to be less affected by a 247 difference in F0 than voluntary stream segregation. Second, the relatively long onset-to-onset 248 time of 200 ms provides only a weak impetus for obligatory stream segregation (van Noorden, 249 1975). Third, broadband sounds that overlap in spectrum do not always produce an obligatory 250 streaming effect. For instance, Vliegen et al. (1999) found that larger differences in F0 than were 251 tested here were necessary to induce obligatory segregation of sequences of complex tones with 252 253 overlapping harmonic spectra. Fourth, it is possible that listeners were simply detecting a repeat 254 in the voiceless portions of the speech sounds. In this case, introducing an F0 difference would not necessarily worsen performance in the across-stream task, as the voiceless portions may not 255

have been segregated. Experiment 2 attempts to distinguish between these alternativeexplanations.

258

259 3. Experiment 2: Separate contributions of vowels and consonants to repetition 260 detection

261 *3.1. Rationale*

Experiment 1 showed that F0 differences seemed to allow listeners to segregate sequences of 262 speech sounds that contained both voiced and unvoiced information. However, the repetition of 263 264 one token could have been detected by either the repetition of just the vowel or just the consonant. To test whether listeners were indeed streaming both the vowels and consonants, this 265 experiment ensured that all the non-target trials, which did not contain a repeated CV, instead 266 contained a repetition of either the consonant or the vowel. In this way, good performance would 267 268 only be possible if the listener was able to perceive the repetition of both the consonant and the 269 vowel. In addition, a larger maximum F0 separation was achieved without resorting to an 270 unnatural combination of F0 and vocal tract length, by increasing the F0 of the higher stream and decreasing the F0 of the lower stream, so that neither stream was more than six semitones away 271 from its original F0. 272

273

274 *3.2. Method*

275 *3.2.1. Stimuli*

The stimulus tokens used in this experiment were the same as those in Experiment 1. However, because the speaker's gender was found to have no effect, only the male voice was used here. To encourage attention to the entire interleaved sequence on each trial, the length of each sequence was randomized to be between 16 and 28 tokens long. The repeat (if present) was always presented in the penultimate pair of tokens.

To allow us to test a wider range of Δ F0 values, the F0s of the A and B tokens were varied, with the F0 of the A tokens decreasing and the F0 of the B tokens increasing. The values of Δ F0 tested were 0 semitones (F0_A = 110 Hz, F0_B = 110 Hz), 3 semitones (104 and 123 Hz), 5 semitones (98 and 131 Hz), 7 semitones (92 and 139 Hz), 9 semitones (87 and 147 Hz) and 13 semitones (78 and 165 Hz).

286

287 *3.2.2. Procedure*

To investigate whether the listeners' responses were based more on the vowels or the 288 consonants, 50% of the presentations, selected at random, included a consecutive repetition of a 289 full token (consonant and vowel, referred to as a "full repeat"), 25% of the presentations 290 included a repetition of only the consonant, and 25% included a repetition of only the vowel 291 (these last two cases being referred to as a "half repeat"). The hit rate (H) corresponded to the 292 293 proportion of full repeats that were detected; the false alarm (FA) rate corresponded to the proportion of trials in which a repeat was reported when in fact only a half-repeat was presented. 294 Because of the experiment's design, it was possible to calculate separately the FA for the 295

consonant-only and vowel-only repeats. As in Experiment 1, listeners were instructed to attend
to the low-pitch sequence (A sequence) in the within- sequence task, and no repeat was
introduced in the high-pitch sequence (B sequence).

The within- and across-sequence tasks were completed in a single two-hour session. Half the listeners started with the across-sequence task and the other half started with the within-sequence task. In each session, the listeners completed fifteen runs per task, for a total of 30 runs. For each run, 24 conditions (6 values of Δ F0, each with 2 full repeats, 1 vowel-only repeat, and 1 consonant-only repeat) were presented, resulting in a total of 720 sequences tested. The experimental setup was the same as for Experiment 1.

305

306 *3.2.3. Listeners*

The same selection criteria were used for listeners as in Experiment 1. Twenty-six out of twenty-eight listeners tested, aged from 18 to 33 years (twelve females, fourteen males, average age = 22.5 years, SD = 4.2 years), met the criterion of performing the task above chance on average. One listener had already participated in Experiment 1. All the listeners were native speakers of American English and were paid for their participation.

312

313 *3.3. Results*

The *d'* scores in each of the two tasks were subjected to a mixed-model ANOVA, with the order of the tasks (within- or across-sequence condition first) as a between-subjects factor, and Δ F0 (0-13 semitones) as a within-subjects factor. For both tasks, the effect of the order of the

tasks was not significant [F(1,9) = 0.512, p = 0.482 and F(1,9) = 0.373, p = 0.548, for the within 317 and across-sequence tasks, respectively]. Thus the mean data, averaged across listeners and task 318 orders, are shown in Fig. 3. The left and right panels correspond to the within- and across-319 sequence tasks, respectively. As in Experiment 1, the main effect of $\Delta F0$ was significant for the 320 within-sequence task [F(1,25) = 12.57, p = 0.002], with a significant linear trend (p = 0.018), 321 reflecting the improvement in performance with increasing $\Delta F0$ (Fig. 3, left panel). Also in line 322 with Experiment 1, the effect of $\Delta F0$ failed to reach significance for the across-stream task 323 [F(1,25) = 3.31, p = 0.081], although a trend was apparent for decreasing performance at the 324 very largest value of Δ F0. 325

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327



328

Fig. 3: Mean performance across the twenty-six listeners who could perform the task in terms of d' scores for the within- (left panel) and the across- (right panel) sequence tasks in Experiment 2. In the within- sequence task, high d' values indicate a greater tendency to segregate the sequences apart; in the across- sequence task, high d' values indicate a greater tendency to integrate the interleaved sequence into one single stream. The error bars correspond to ± 1 standard error of the mean.

335 [FIG 3 ABOUT HERE]

In this experiment, the no-repeat trials included a repetition of either the consonant or the vowel, but not both. To determine whether performance relied more on one speech segment than the other, an analysis of the FA rates was carried out. The FA rates in response to a vowel-only or a consonant-only repeat are shown in Fig. 4, along with the H rates. It can be seen that the FA rates for the vowel-only and consonant-only repeat trials were quite similar. This outcome suggests that performance was based not on just the vowels or just the consonants, but instead that listeners were integrating information from the entire CV to perform the task. Nevertheless, the FA rates associated with the vowels were slightly but consistently higher than the FA rates associated with the consonants in the within- sequence task, in line with expectations given the more salient information for streaming and identification present in the vowels.

A mixed-model ANOVA was performed on the FA rates for both tasks separately, again with the order of the tasks (within- or across-sequence condition first) as a between-subjects factor, and FA type (vowel or consonant) and Δ F0 (0-13 semitones) as a within-subjects factors. The effect of the order of the task was not significant in the within- and across-sequence tasks, nor the effect of the FA type. The effect of Δ F0 was significant [*F*(1,9) = 6.83, *p* = 0.028] in the within-sequence task but not in the across-sequence task. None of the interactions were significant in either task.

353





Fig. 4: Mean false- and H rates for the within- and across-sequence tasks (left and right panels, respectively). The error bars correspond to ± 1 standard error of the mean.

357 [FIG 4 ABOUT HERE]

358 *3.4. Discussion*

The listeners were able to detect a repetition introduced either across or within the sequences. Segregation became significantly easier as $\Delta F0$ increased. Although there was a trend for integration to become more difficult with increasing $\Delta F0$, it failed to reach significance.

The main purpose of this experiment was to test whether listeners were using the full CV, rather than just the vowel or just the consonant, to perform the task. The fact that listeners were able to perform the task at a similar level of performance as found in Experiment 1, despite the fact that each trial had a repeat of either the vowel or the consonant, suggests that listeners could indeed perceive and segregate the entire CV. The generally similar FA rates for both the vowelonly and consonant-only trials suggest that both influence performance, although there was atendency for the vowels to produce higher FA rates.

There remains another potential explanation for the outcomes of this experiment, which 369 would not necessarily require the streaming of the unvoiced portions of the speech sounds: it 370 may be that there is sufficient information regarding the identity of the consonant embedded in 371 the voiced transition between the consonant and the vowel, due to effects of coarticulation 372 (Harris, 1958; Repp, 1981; Wagner et al., 2006). In other words, listeners may have relied solely 373 on the voiced portions of the speech to segregate the sounds, but were able to derive the identity 374 375 of the consonant from the initial portion of the vowel. This possibility was tested in Experiment 3. 376

377

4. Experiment **3**: Testing for the presence of consonant information in the vowel

379 *4.1. Rationale*

380 The aim of Experiment 3 was to test the hypothesis that listeners were using the voiced portion of the CV to extract the identity of the consonant. If this were the case, then no 381 conclusions can be drawn regarding the streaming of the unvoiced portions of the speech sounds. 382 Whalen (1984) showed that a mismatched transition between the consonant and the vowel 383 increased the reaction time for the identification of CV syllables without influencing the 384 response accuracy. This result shows the importance of the fricative content in the transition part 385 (i.e., the vocalic formant transition) on the identification in CV stimuli. It has also been shown 386 that matched transitions are needed for non-sibilant fricative consonants (in the present case [f], 387 [h] and [X]) to ensure their correct identification (Harris, 1958), even if there is some variability 388

among listeners (Repp, 1981). The vocalic formant transition has been shown to have an 389 influence on the perception and the identification of the unvoiced portion of a CV token (Wagner 390 et al., 2006). To explore this possibility, this experiment tested listeners' ability to perform the 391 task used in Experiment 2, but with the stimuli truncated to contain only the voiced portion of 392 each CV pair. If listeners were able to still perform the task with the truncated stimuli, then it 393 would suggest that segregation can be based solely on the voiced portions of the speech. On the 394 other hand, if listeners are not able to perform the task with the truncated stimuli, that would 395 suggest that listeners require the unvoiced portions to perform the task, and that these unvoiced 396 portions are successfully segregated even without any F0 information. 397

- 398 *4.2 Method*
- 399 *4.2.1 Stimuli*

The harmonics-to-noise ratio (HNR) was evaluated for each stimulus used in Experiments 2. 400 The HNR, which was initially used to define the degree of hoarseness (Yumoto, 1982), enables 401 the evaluation of the relative weight of the noise and the harmonic content (in the present case 402 the fricative consonant and vowel, respectively). The HNR was calculated over time-frame steps 403 of 2 ms. This analysis of HNR over time revealed an inflection corresponding to the transition 404 405 part between the consonant and vowel (see Fig. 5). The midpoint of the inflection was taken as 406 the reference where the energy of the fricative consonant was roughly equivalent to the energy of the voiced vowel. Only the 80 ms of vowel following the midpoint (included the vocalic 407 transition) was preserved and windowed with 5-ms raised-cosine onset and offset ramps. In this 408 way, the stimuli contained both the vocalic formant transition and the vocalic part of the initial 409 token, but not the unvoiced part of the fricative. The offset-to-onset time was increased from 40 410 ms to 120 ms, so that the onset-to-onset time remained at 200 ms. 411



413

Fig. 5: An example of harmonics-to-noise ratio (HNR) as a function of time. When the HNR is less than 0 dB, the noise part (fricative part in our case) is dominant, and when the HNR is greater than 0 dB, the harmonic part (vowel part in our case) is dominant. The inflection, representing the transition, is displayed by the grey zone and the midpoint is indicated by the vertical bar.

418 [FIG 5 ABOUT HERE]

419 *4.2.2. Procedure*

The two tasks were the same as in the first two experiments (see Fig. 1) and the conditions were similar to those in Experiment 2. Half of the presentations presented a "full repeat" (both consonant and vowel repeated) and half of the presentations presented a "half repeat" (either consonant or vowel repeated). The same F0 differences of 0, 3, 5, 7, 9 and 13 semitones were tested.

As in Experiment 2, the two tasks were completed in a single two-hour session. Half of the participants started with the across-sequence task and the other half started with the withinsequence task. In each session, the listeners completed fifteen runs per task, for a total of 30 runs. For each run, 24 conditions (6 values of Δ F0 with 4 repeat types: 2 full repeats, 1 vowel-only repeat and 1 consonant-only repeat) were presented, resulting in a total of 720 sequences tested.The experiment setup remained the same as in Experiments 1 and 2.

431 *4.2.3. Listeners*

Sixteen listeners took part in the experiment, aged from 18 to 58 years (eight females and 432 eight males, average age = 24.4 years, SD = 9.9 years). All of them were native speakers of 433 American English and had normal or near-normal hearing (one subject had a slight bilateral 434 hearing loss at 8 kHz, with 35 and 20 dB HL in the right and left ear, respectively). They were 435 paid an hourly wage for their participation. In the previous two experiments, listeners were 436 required to perform above chance overall in order to be included in the analysis. However, in this 437 experiment, only eight of the sixteen listeners would have achieved criterion performance. For 438 this reason the results from all the listeners are shown below. 439

440

441 *4.3. Results and Discussion*

The question asked by this experiment was whether listeners could perform the task based 442 only on the voiced segments of the speech stimuli. The fact that only eight of sixteen subjects 443 passed the selection criterion (even without any correction for multiple statistical tests) suggests 444 that listeners were generally not able to reliably perform the task. Confirming this expectation, 445 Fig. 6 shows that overall performance, averaged across subjects, was also poor, with d' values 446 not exceeding 0.3 in the within-sequence task and not exceeding 1 in the across-sequence task. In 447 448 the within-sequence task (left panel), a repeated-measures ANOVA revealed no main effect of Δ F0 [*F*(1,15) = 1.11, *p* = 0.308], and the average value of *d'* (averaged across all Δ F0 values for 449 each subject) was not significantly different from zero [one-sample t-test; t(15) = 2.26, p =450

451 0.074]. In the across- sequence task (right panel), a significant main effect of Δ F0 was observed 452 [F(1,15) = 8.88, p = 0.009], with a significant linear trend [p = 0.05], but the slope was positive, 453 i.e., opposite to what would be expected based on the effects of streaming, and the overall level 454 of performance was low (but better than chance on average). We have no clear explanation for 455 why a positive slope emerged here.

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460 **Fig. 6:** Mean performance in terms of d', averaged across the sixteen listeners in Experiment 3. 461 The dotted line represents chance performance, and the error bars represent ± 1 standard error of 462 the mean.

463 [FIG 6 ABOUT HERE]

Figure 7 shows the FA rates for both conditions, as a function of Δ F0. For both experiments, 464 the FA rate was very high, reflecting the poor d' scores. A mixed-model ANOVA was performed 465 on the values of d' for both tasks, with the order of the tasks (within- or across-sequence 466 condition first) as a between-subjects factor, and FA type (vowel or consonant) and $\Delta F0$ (0-13 467 semitones) as a within-subjects factors. The effect of FA type was significant in the within-468 sequence task [F(1,14) = 17.2, p = 0.001]. This result indicates that the vowels were responsible 469 of the high FA rates, most likely because the vowels contain all the distinguishing acoustic cues. 470 The effects of $\Delta F0$ and the interactions were not significant. Considering now the across-471 sequence task, both the effect of FA type [F(1,14) = 20.9, p < 0.001] and the effect of $\Delta F0$ 472 [F(1,14) = 40.3, p < 0.001] were significant. The effect of the order of the task was not 473 significant. The results of this experiment suggested that the vocalic formant transition by itself 474 did not provide enough information to correctly identify the missing unvoiced part of the token. 475

Given the poor performance of listeners in this experiment when the unvoiced portions of the 476 speech were removed, particularly in the within-sequence task, it seems that the results from 477 Experiments 1 and 2 cannot easily be explained only in terms of speech information present in 478 the voiced portions of the speech. Instead, a parsimonious account of all the data presented in 479 this study is that listeners are able to perceptually segregate CV tokens based on differences in 480 F0 that are present only in the voiced portions of the tokens. Spectrotemporal continuity, based 481 on coarticulation, can contribute to the binding of the consonant and vowel portions of the CV 482 tokens. Cole and Scott (1973) showed that the order of a sequence of CV tokens could not be 483 484 accurately reported when the vowel transition was removed, indicating that the vowel transition facilitated the integration of the sequences. Similarly, by changing the formant transition and 485 modifying the shape of the F0 contour of CVC syllables, Stachurski et al. (2015) found that both 486

487 cues affect the binding of consonants and vowels. Another contributing factor is likely to 488 involve perceived continuity induced by the vocal tract length (one single talker recorded the 489 whole syllable) (Tsuzaki et al., 2007). Regardless of the mechanism, the present study confirms 490 that such binding occurs and demonstrates that it can be used in perceptual stream segregation.

491



Fig. 7: Same as Fig. 4 with the results of Experiment 3.



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492

496 5. CONCLUSIONS

This series of experiments tested whether differences in F0 could induce auditory stream segregation between sequences of CV tokens, even though the unvoiced consonant part of the CV contained no voiced information. The results can be summarized as follows:

Experiment 1 showed that listeners could use F0 differences between syllables 500 containing an unvoiced fricative consonant and a voiced vowel (CV token) to form 501 perceptual streams. When the listeners' task encouraged segregation (voluntary 502 streaming), performance improved with increasing $\Delta F0$; however, when the listeners' 503 504 task encouraged integration of the streams, increasing the Δ F0 from 0 to 9 semitones did not lead to a significant decrement in performance, suggesting that obligatory streaming 505 did not occur. The relatively long (200-ms) onset-to-onset interval might have 506 contributed to this outcome. 507

Experiment 2 investigated the possibility that listeners were basing their judgments on either just the vowels or just the consonants, and increased the tested range of ΔF0 to 13 semitones. Again, evidence for voluntary streaming was found, suggesting that listeners were indeed using both the consonant and vowel portions of the stimuli to perform the task. The analysis of the FA rate found no evidence that listeners were basing their judgments on the vowel only or on the consonant only. Even with the larger range of ΔF0, effects of obligatory streaming failed to reach significance.

• Experiment 3 tested the possibility that listeners were able to extract the identity of the consonant from just the voiced portion of the CV, by removing the unvoiced portion of the stimuli. Performance was near chance in conditions requiring perceptual segregation of the interleaved sequences, suggesting that the voiced portions of the tokens did not 519 carry sufficient information about the consonant to enable accurate performance in the520 streaming task.

521 Overall, the results suggest that listeners are able to form sequential auditory streams of 522 alternating speech sounds based solely on F0 differences in the voiced portions of the speech. 523 The cues that enable the grouping of the unvoiced with the voiced portions of speech and their 524 segregation from competing sounds remain to be investigated further.

525

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